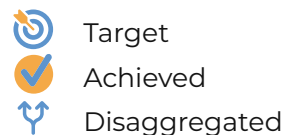


# PBF Performance Framework

Last updated: 9 May 2023



Outcome	Indicators	Means of Verification	Baseline (as of Dec 2019)	2020	2021	2022	2023	2024	Indicator definition/methodology
<b>Strategic peacebuilding &amp; prevention effects:</b> PBF investments lead to more and better nationally led peacebuilding & prevention interventions, including in cross-border and transition contexts, and in support of more inclusion of women and youth	1.0.1 % of PBF active projects considered "on track with evidence of peacebuilding results"	Project score tracked in PBF Reporting Dashboard	36.5%	30% 19.2%	30% 23.6%	30% 19%	30% 0%	30% 0%	The score is assigned by PBSO based on analysis of project progress reports, end evaluations and other sources. Projects included in the calculation are all projects that ended in or after the given year, and started at least 3 months before the annual reporting deadline.
	1.0.2 Percentage of eligible countries that adopted country-level strategic frameworks to guide PBF investment strategy	SDCFs, ISFs, PBF Strategic Frameworks	N/A N/A	N/A N/A	50% 12%	60% 40%	70% 0%	80% 0%	PBF revised its approach to PRF countries' 5-year eligibility requests in 2020. This revision calls for the adoption of country-level Strategic Results Frameworks (SRFs) to guide PBF investment strategy in certain country settings. PBF began rolling out this new policy in January 2021. These frameworks are designed to better articulate joint peacebuilding results and theories of change at the outcome level to allow for better monitoring by the UN Country Teams and, in time, evaluation of cumulative PBF project results through independent portfolio evaluations.
<b>Outputs</b>									
<b>1.1:</b> PBF meets annual approval targets set for 2020-24	1.1.1 Total PBF annual approvals in USD	Annual PBF approval table	\$191m	\$175m \$173.7m	\$210m \$195m	\$295m \$231.5m	\$350m 0%	\$400m 0%	Annual approval targets relate to the "sustained growth scenario" set out in the PBF Strategy 2020-24. They are based on anticipated demand and management capacity as well as on available income and will have to be adjusted as these factors can fluctuate.
<b>1.2:</b> PBF approves projects in line with priority windows, and in support of gender-responsive peacebuilding	1.2.1 % of PBF approvals that support gender-responsive peacebuilding	End of year review of project budgets dedicated to GEWE	30% 40%	30% 40%	30% 47%	30% 47%	Within 5% of 2022 figure 0%	35% 0%	This is the target set in PBF's Strategy 2020-24. To note: the UN's guideline is for all UN initiatives to have at least 15% of budgets dedicated to gender and women's empowerment.
	1.2.2 % of annual PBF approvals to transition settings	PBF Annual approval table	30%	35% 20.4%	35% 38.15%	35% 33%	35% 0%	35% 0%	Indicator calculated on the basis of dollar amounts, not numbers of projects.
	1.2.3 % of annual PBF approvals to women's and youth empowerment	PBF Annual approval table	21%	25% 34.4%	25% 26%	25% 37%	25% 0%	25% 0%	Indicator on Gender includes Gender Marker 3 projects in both GYPI and regular programmes; indicator on youth includes all projects that have a primary focus on youth in both GYPI and regular programmes. Projects that are GM3 and primarily focused on youth are counted only in the Gender indicator, which will slightly undercount PBF's overall achievement.
	1.2.4 % of PBF approvals to cross-border initiatives	PBF Annual approval table	8%	20% 16.5%	20% 14%	20% 12%	10% 0%	20% 0%	

\*Targets revised based on findings from the Midterm Review of the PBF Strategy and recommendations from the Board of Auditors.

<p><b>1.3:</b> PBF distributes project approvals along priority areas as outlined in Terms of Reference</p>	<p>1.3.1 % of PBF approvals approved towards Implementing and Sustaining Peace Agreements</p>	<p>PBF annual approval table</p>	<p>16%</p>	<p> 19.3%</p>	<p> 15%</p>	<p> 17%</p>	<p></p>	<p></p>	<p>These indicators do not have predetermined targets as explained in the PBF Strategy, given that the Fund has to retain flexibility on specific needs identified. It is nonetheless important for the Fund to track to establish comparative emphasis and inform learning and partnership approaches.</p>
	<p>1.3.2 % of PBF approvals approved towards Dialogue and Peaceful Coexistence</p>	<p>PBF annual approval table</p>	<p>65%</p>	<p> 65.5%</p>	<p> 72%</p>	<p> 67%</p>	<p></p>	<p></p>	
	<p>1.3.3 % of PBF approvals approved towards Peace Dividends</p>	<p>PBF annual approval table</p>	<p>8%</p>	<p> 8.5%</p>	<p> 4%</p>	<p> 5%</p>	<p></p>	<p></p>	
	<p>1.3.4 % of PBF approvals dedicated approved towards Re-establishing Basic Services</p>	<p>PBF annual approval table</p>	<p>11%</p>	<p> 6.6%</p>	<p> 9%</p>	<p> 11%</p>	<p></p>	<p></p>	
<p><b>1.4:</b> PBF supports national ownership through establishment of Joint Steering Committees (or equivalent)</p>	<p>1.4: PBF supports national ownership through establishment of Joint Steering Committees (or equivalent)</p>	<p>PRF country tracker</p>	<p>50%</p>	<p>N/A  45</p>	<p> 60%  84%</p>	<p> 70%  59%</p>	<p> 75% </p>	<p> 80% </p>	<p>PBF encourages the creation of Joint Steering Committees in PRF countries to facilitate prioritization, partnerships and strategic oversight of PBF investments. These can be dedicated PBF JSCs, OR, to avoid duplication and where applicable, existing steering committees such as the overall UN-Govt Steering Committee with dedicated discussions on PBF (especially where PBF is anchored in the UNSDCF), those of national MPTFs or other aid coordination frameworks that can integrate PBF steering functions at country level.</p> <p>The Fund has committed to establish or support existing JSCs for countries seeking eligibility or re-eligibility that fall into tiers one or two as part of the 2020-2024 Strategic Planning cycle.</p>
<p><b>1.5:</b> The PBF ensures a robust Monitoring, Evaluation &amp; Learning system</p>	<p>1.5.1 % of PRF countries with strategic frameworks where outcome-level data is collected</p>	<p>PRF country tracker</p>	<p>N/A</p>	<p>N/A</p>	<p> 80%  33%</p>	<p> 80%  36%</p>	<p> 80% </p>	<p> 80% </p>	<p>The Fund has committed to support the collection of strategic outcome-level data within the first 9-12-month period for most tiers one and two countries seeking eligibility or re-eligibility as part of the 2020-2024 Strategic Planning cycle.</p>
	<p>1.5.2 % of projects requiring project evaluations for which a final evaluation has been completed</p>	<p>Project evaluation tracking table</p>	<p>0.3</p>	<p> &gt; than previous year  36.4%</p>	<p> &gt; than previous year  40.7%</p>	<p> &gt; than previous year  51%</p>	<p> &gt; than previous year </p>	<p> &gt; than previous year </p>	<p>PBF requires independent project end evaluations for all projects. The responsibility for conducting these lies with recipient entities. This indicator tracks compliance. Achieved so far is from April 2023. The total results will as the year progresses as some evaluations start later in the year.</p>

1.5.3 % of PRF countries with Strategic Frameworks that engage in community-based monitoring mechanisms or other feedback loops.	CBM analytic reports; minutes of JSC meetings	0%	N/A	20% 33%	30% 27%	35% 	40% 	<p>The feedback loop is the Community-based monitoring mechanism, which will provide a vehicle for including the voices of our ultimate stakeholders within the JSCs (or their equivalents). JSCs include senior level government, UN, CSOs and donors at country level.</p> <p>PBF is committed to testing the viability of this approach, but full implementation will be contingent on: 1) sufficient Fund capitalization to allow for additional M&amp;E budgets to PBF Secretariats, and 2) evidence of uptake within the JSCs and broader UN of the information provided by stakeholders.</p>
1.5.4 Number of Thematic Reviews commissioned annually	Terms of Reference finalized and consultancy contract issued	0%	2 1	2 1	2 2	2 	2 	<p>PBF committed to commissioning up to two Thematic Reviews per year. Reviews tend to start at different times in the year and may not be published in the same calendar year.</p>

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Outcome	Indicators	Means of Verification	Baseline (as of Dec 2019)	2020	2021	2022	2023	2024	Indicator definition/methodology
<b>Catalytic effect:</b> PBF investments catalyze more investments in peacebuilding at country level, help unblock critical processes, and enable innovative approaches for peacebuilding and prevention	2.0.1 Additional \$ leveraged for peacebuilding initiatives after initial PBF investment	Three-year estimates in collaboration with PBF Secretariats, plus project reporting, and through portfolio evaluations	(2017-2019) 7 to 1	10 to 1 7.65	10 to 1 4.88	10 to 1 TBD	10 to 1 	10 to 1 	To be determined in Q3 - 2023
	2.0.2 % of PBF approved projects leveraging innovative/blended finance	PBSO tracking through annual approval table and reporting on projects identified as aiming at innovative/blended finance	N/A	N/A	3.08%	1.83%			PBSO's Project Appraisal Committee will mark projects with clear pilot or innovation aspects and track them and their end evaluations to analyse their effects. There are not specific targets but rather an effort to better assess degree to which this kind of catalytic effect manifests itself.
<b>Outputs</b>									
<b>2.1:</b> PBF approves projects that are considered risk-tolerant	2.1.1 % of PBF approvals considered "high-risk" (Risk marker 2 & 3)	PBF annual approval table	N/A	23.3%	29.86%	25%			PBF will not set targets for these types of initiatives but commits to tracking implementation of this policy for evaluation at the end of Strategic Plan 2020-2024.
<b>2.2:</b> PBF approves projects that seek to pilot new or untested approaches	2.2.1 % of PBF approved projects which include pilot components	Project Appraisal Committee (PAC) score card	N/A	TBD%	7.74%	TBD			PBF will not set targets for these types of initiatives but commits to tracking implementation of this new policy for evaluation at the end of Strategic Plan 2020-2024.

Outcome	Indicators	Means of Verification	Baseline (as of Dec 2019)	2020	2021	2022	2023	2024	Indicator definition/methodology
<b>Systemic coherence:</b> PBF investments enable the United Nations system and partners to implement more coherent and integrated approaches to peacebuilding in a timely manner	3.0.1 % of PBF approved projects that are joint UN-CSO projects	PBF annual approval table.	0%	10.6%	8.1%	4.5%			Joint UN-CSO projects were first piloted in 2020 with the first Gender and Youth Promotion Initiative welcoming joint UN-CSO proposals. PBF will not set targets for these types of initiatives but commits to tracking implementation of this policy for evaluation at the end of Strategic Plan 2020-2024.
	3.0.2 Number of PRF countries where PBF planning is aligned with new SDCFs	Annual Strategic Reports from RCs, PBF/DCO reporting	N/A	N/A	1 2	2 3	2 	2 	PBSO is coordinating with DCO to identify countries who start new Sustainable Development Cooperation Frameworks in a given year and where PBF can align or integrate its planning processes (such as eligibility applications and Strategic Framework).
	3.0.3 In countries with Peace and Development Advisors (PDAs), % of PBF countries where PDAs provided support to PBF processes including eligibility, design, implementation, and quality assurance	PDA reporting and annual survey through the Joint "PDA" Programme	95%	90% N/A	90% 95%	90% 95%	90% 	90% 	A joint indicator with UNDP/DPPA's Joint Programme on conflict prevention capacities (PDAs), indicative of complementarity of different key UN system-wide instruments.
	3.0.4 % of government, UN and donor respondents who rate PBF's integration role highly	Annual targeted partner survey in PRF countries	N/A	N/A	50% 45% high (55% medium, 0% low)	50% 64% (based on 2022 partner survey)	50% 	50% 	PBSO has introduced a new limited survey in 2021, working through PBF Secretariats, that will ask a set of targeted questions on an annual basis.
<b>Outputs</b>									
<b>3.1:</b> PBF supports both short term and medium-to-long term peacebuilding initiatives	3.1.1 % of PBF approvals to IRF and PRF facilities	PBF annual approval table	IRF - 43.2%/ PRF -56.8%	45%/55% 49%/51%	45%/55% 44%/56%	45%/55% 47.7%/52.3%	45%/55% 	45%/55% 	
<b>3.2:</b> PBF provides support in UN peacekeeping and special political mission settings	3.2.1% of PBF approvals in peacekeeping mission settings	PBF annual approval table	39%	20%	27%	23%			
	3.2.2 % of PBF approvals in special political mission settings	PBF annual approval table	12%	6%	19%	21%			
<b>3.3:</b> PBF provides funding to civil society organizations	3.3.1 % of PBF funding to civil society organizations	PBF annual approval table	8%	10.5%	16.3%	13.5%			

Outcome	Indicators	Means of Verification	Baseline (as of Dec 2019)	2020	2021	2022	2023	2024	Indicator definition/methodology
<b>Fund efficiency &amp; effectiveness:</b> The PBF maintains and enhances management & governance systems that consolidate it as leading multilateral, pooled financing instrument with increased resources	4.0.1 Annual financial contributions to PBF in USD	MPTF Gateway	\$134.8m	\$200m \$180.2m	\$220m \$195m	\$275m \$170.8m	\$330m 	\$385m 	
	4.0.2 # of contributors to PBF (disaggregating for existing versus new contributors in the current Strategic Plan)	MPTF Gateway	24	24 24	> than previous year 38 (17 new)	> than previous year 32 (18 new)	> than previous year 	> than previous year 	
	4.0.3 % of donors with multi-year commitments	MPTF Office	6	8	11 15	12 17	14 	16 	
	4.0.4 % of contributions from top 12 donors	MPTF Gateway	96%	< than previous year 95.2%	< than previous year 93.5%	< than previous year 92%	< than previous year 	< than previous year 	
<b>Outputs</b>									
<b>4.1:</b> The PBF manages funds in a responsible and transparent way	4.1.1 PBF overhead as percentage of annual contributions	Fund Status / Administrative Records	1.8%	Less than 3% 2.34%	Less than 3% 2.48%	Less than 3% 2.8%	Less than 3% 	Less than 3% 	Percentage of Direct & Other Costs from the Incoming Contributions in a given year
	4.1.2 Projects that are financially closed within one financial reporting year after project end date.	Project reporting dashboard: overdue analysis	N/A	50%	60% 20.3%	65% 5%	70%	75%	Projects that are financially closed as a percentage of projects that are due to be financially closed. PBF has changed the methodology. Retaining the original methodology, the Achieved result for 2022 would be 18%.
	4.1.3 The PBF commits to maximize transparency and accountability through regular, informative updates to donors.	Minutes meetings and Chair's summaries of meetings	N/A	N/A	4 meetings 4 GoF meetings	4 meetings 4	4 meetings 	4 meetings 	