

# HCRL at NTCIR-11 MedNLP-2 Task

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## ABSTRACT

This year's MedNLP-2 [1] has two tasks: Extraction task (Task 1) and Normalization task (Task 2). We tested both machine learning based methods and an ad-hoc rule-based method for the two tasks. For the Extraction Task, a two-stage approach (first, the machine learning based method is applied to identify c tags, and second, the rule-based method is applied to modality features) obtained higher results. For the Normalization Task, the machine learning based method obtained higher results for training data, but the simple pattern-matching method obtained higher results for test data.

## Team Name

HCRL

## Subtasks

Task 1 (Extraction Task)

Task 2 (Normalization Task)

## Keywords

sequential labeling, CRF, SVM, unsupervised feature learning.

## 1. INTRODUCTION

Machine learning based and rule-based methods are the two main approaches for extracting useful information from natural language texts. To clarify their pros and cons, we applied both approaches to this year's MedNLP-2 tasks: Extraction Task (Task 1) and Normalization Task (Task 2).

## 2. Task 1 (Extraction Task)

### 2.1 Approach

We formalized the information extraction task as a sequential labeling problem. We adopted a conditional random field (CRF) [2] as the learning algorithm. We used CRFsuite [3], which is an implementation of first order linear chain CRF. We also used MeCab [4] as a Japanese morphological analyzer and CaboCha [5] as a Japanese dependency parser.

### 2.2 Basic Features

We used the following features to capture the characteristics of the token: surface, part-of-speech, and dictionary matching. The dictionary feature is a binary expression that returns one if a word is in the dictionary and zero otherwise.

We prepared ten kinds of dictionaries featuring age expressions, organ names, Japanese era names, family names, time

expressions, names of hospital departments, disease names from the Japanese version of Wikipedia, Chinese characters related to diseases, expressions of suspicion, and negative expressions. These dictionaries were created on the basis of the rules from training data and Wikipedia. We also used a sentence feature based on the field name to which the sentence belongs.

## 2.3 Unsupervised Feature Learning

In addition to the basic features, we used clustering-based word features [6] to estimate clusters of words that appear only in test data. These clusters can be learned from unlabeled data by using Brown's algorithm [7], which clusters words to maximize the mutual information of bigrams. Brown clustering is a hierarchical clustering algorithm, which means we can choose the granularity of clustering after the learning process has finished.

We examined two kinds of Brown features: those created from training and test data related to the MedNLP-2 task (1,000 categories) and those created from Japanese Wikipedia (1000 categories).

## 2.4 Rule-based method

We applied the machine learning method to identify c tags and then the rule-based method to identify time tags and modality tags. The patterns are developed for NTCIR-10 MedNLP Task [8]. The details are explained in our previous work [9].

## 3. Task 2 (Normalization Task)

### 3.1 Approach

We formalized the normalization task as a chunk classification problem, and an information retrieval problem. We adopted a support vector machine (SVM) as the learning algorithm. We used libsvm [10], which is an implementation of the SVM. We also tested the simple pattern-matching method as a baseline.

### 3.2 Basic Features

We used the following features to capture the characteristics of the chunk: surface, part-of-speeches of the tokens in each chunk or neighbors of each chunk, and dictionary matching. The surfaces and part-of-speeches were converted into the feature vectors, which are the same as those for Task 1. For the dictionaries, we used the International Statistical Classification of Diseases and Related Health Problems 10th Revision (ICD10). The first two to three layers of the matched ICD10 entries were used for the dictionary matching features.

### 3.3 Unsupervised Feature Learning

In addition to the basic features, we used clustering-based word features, which are the same as those for Task 1. In Task 2, we also used a neural-network based word vector representation [11]. We used word2vec [12], which is an implementation of the neural network based on the skip-gram model. We examined 50 to 800 dimensions of word2vec features learned from MedNLP-2 training, test data, and Japanese Wikipedia.

## 4. RESULTS

### 4.1 Task 1 (Extraction Task)

The results for Task 1 are shown in Tables 1, 2, and 3. All values in the tables are F1 values.

Table 1: Results for training data (c tags and modality)

	c	c+ family	c+ negation	c+ suspicion
HCRL-1 (ML only)	85.36	78.12	78.10	46.49
HCRL-2 (ML + Rule)	85.26	88.89	80.79	72.75
HCRL-3 (ML only)	85.94	75.97	78.40	50.62

Table 2: Results for test data (F-value)

	t	c
HCRL-1 (ML only)	85.68	82.61
HCRL-2 (ML + Rule)	87.07	82.54
HCRL-3 (ML only)	85.39	83.33

Table 3: Results for test data (NE + modality of c tag)

	NE+ positive	NE+ family	NE+ negation	NE+ suspicion
HCRL-1 (ML only)	74.06	86.84	72.87	48.78
HCRL-2 (ML + Rule)	75.94	86.08	76.67	60.50
HCRL-3 (ML only)	75.74	86.74	73.59	44.44

HCRL-3 used ICD10 entry names as an additional dictionary.

### 4.2 Task 2 (Normalization Task)

Table 4: Results for training data and test data

	Training data	Test data
HCRL-1 (SVM)	75.7	62.3
HCRL-2 (SVM + w2v)	75.8	63.5
HCRL-3 (SVM + Brown)	72.9	60.5
HCRL-4 (pattern match)	70.7	72.2

The results of HCRL-4 are not submitted because the score for training data is relatively low.

## 5. Conclusion

For the Extraction Task, a two-stage approach (first, the machine learning based method is applied to identify c tags, and second, the rule-based method is applied to modality features) obtained higher results. For the Normalization Task, the machine learning based method obtained higher results for training data, but the simple pattern-matching method obtained higher results for test data.

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