

SCIENTIFIC REPORTS



OPEN

Satellite NO₂ retrievals suggest China has exceeded its NO_x reduction goals from the twelfth Five-Year Plan

Benjamin de Foy¹, Zifeng Lu² & David G. Streets²

Received: 10 May 2016

Accepted: 03 October 2016

Published: 27 October 2016

China's twelfth Five-Year Plan included pollution control measures with a goal of reducing national emissions of nitrogen oxides (NO_x) by 10% by 2015 compared with 2010. Multiple linear regression analysis was used on 11-year time series of all nitrogen dioxide (NO₂) pixels from the Ozone Monitoring Instrument (OMI) over 18 NO₂ hotspots in China. The regression analysis accounted for variations in meteorology, pixel resolution, seasonal effects, weekday variability and year-to-year variability. The NO₂ trends suggested that there was an increase in NO₂ columns in most areas from 2005 to around 2011 which was followed by a strong decrease continuing through 2015. The satellite results were in good agreement with the annual official NO_x emission inventories which were available up until 2014. This shows the value of evaluating trends in emission inventories using satellite retrievals. It further shows that recent control strategies were effective in reducing emissions and that recent economic transformations in China may be having an effect on NO₂ columns. Satellite information for 2015 suggests that emissions have continued to decrease since the latest inventories available and have surpassed the goals of the twelfth Five-Year Plan.

China's rapid economic growth has been accompanied by an increase in emissions of air pollutants^{1,2}. In particular, the increases in emissions of nitrogen oxides (NO_x) can be clearly seen using satellite remote sensing^{3–5} although some regions, such as the Pearl River Delta, experienced reductions since 2005 because of local emission control⁶.

Starting with the eleventh Five-Year Plan (FYP11, 2006–2010), policies were enacted to reduce emissions of sulfur dioxide from coal-fired power plants even as coal consumption and emissions of nitrogen oxides continued to rise^{2,7,8}. These reductions were clearly identified using satellite remote sensing^{9,10}. Inventories separated by activity sectors suggest that industrial sources and power generation account for close to three quarters of emissions and have the greatest potential for emissions reductions¹¹. Increasing levels of fine particulate matter (PM_{2.5}) are a possible confounding factor in the satellite detection of NO₂ due to elevated levels in numerous cities in China¹². Analysis of Aerosol Optical Depth (AOD) from the Moderate Resolution Imaging Spectroradiometer (MODIS) found an increasing trend from 2004 to 2007 followed by a more gradual decrease from 2008 to 2013¹³. However these trends are less pronounced than the NO₂ trends in this study and are consequently unlikely to account for the NO₂ trends reported here.

During the twelfth Five-Year Plan (FYP12, 2011–2015), China set a goal of reducing the annual national NO_x emissions by 10% compared to the year 2010 (see http://news.xinhuanet.com/politics/2011-03/16/c_121193916.htm (in Chinese)). Scenario analysis suggests that NO_x emissions could be reduced by 32% relative to business as usual by FYP11 and FYP12¹⁴. Further studies suggest reductions of emissions of 40% by the year 2020 relative to 2010¹⁵, and a return to levels not seen since 1995 by 2030¹⁶.

To achieve the FYP12 goal, China has implemented a series of stringent measures and aggressive emission standards for coal-fired power plants (GB 13223-2011, July 2011), and heavy industries such as coking (GB 16171-2012, June 2012), iron and steel (GB 28662-2012, GB 28663-2012, GB 28664-2012, June 2012), cement (GB 4915-2013, December 2013), aluminum (GB 25465-2010, September 2010), lead and zinc (GB 25466-2010,

¹Saint Louis University, Department of Earth and Atmospheric Sciences, St. Louis, MO 63108, USA. ²Argonne National Laboratory, Energy Systems Division, Argonne, IL 60439, USA. Correspondence and requests for materials should be addressed to B.d.F. (email: bdefoy@slu.edu)

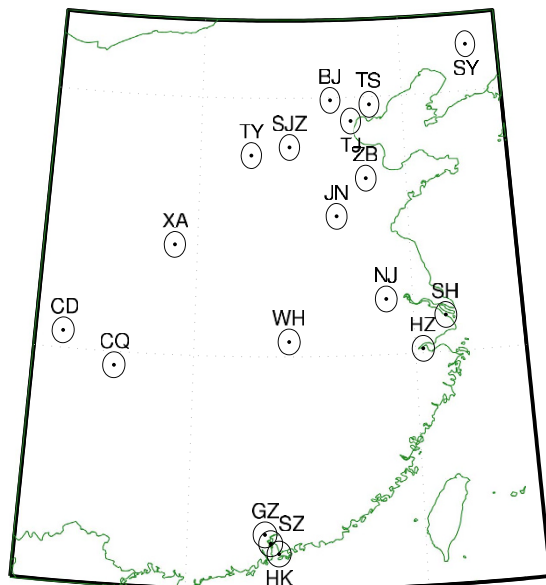


Figure 1. Map showing the location of the analysis sites, see Table 1 for full names. OMI pixels were selected within the circles shown for each area. Map generated using Matlab R2014b (<http://www.mathworks.com>).

September 2010), copper, nickel, and cobalt (GB 25467-2010, September 2010), etc. ref. 17 (where GB, Guo Biao, is the Chinese National Standard). Among them, NO_x emission-control measures on coal-fired power plants are the most effective ones. China had already shut down most coal-fired power units with capacity less than 50 MW by the end of 2003, and began to gradually close units with capacity less than 200 MW in 2007¹⁸. Newly constructed units are required to be at least 300 MW and most of them have installed NO_x emission control devices such as low NO_x burners (LNB, 40–60% NO_x removal rate) and selective catalytic/non-catalytic reduction systems (SCR/SNCR, 90% NO_x removal rate). From 2011 to 2014, the installation rate of SCR/SNCR systems in coal-fired power units increased dramatically from 16.9% to 83.2% in terms of capacity^{19,20}. As a result, net NO_x emission factor of the coal-fired power sector decreased sharply by 60% in only three years¹⁷.

Moreover, China is continuously tightening its vehicle emission standards which are a major challenge for NO_x emission control in part because of the difference between engine testing conditions and real-world operating conditions²¹. The China IV Emission Standard which is equivalent to Euro IV standard has been implemented since 2013; the China V Emission Standard which is equivalent to Euro V standard has been implemented in Beijing, Shanghai, and cities in Pearl River Delta, and will be enforced at the country level in 2017.

The Ozone Monitoring Instrument has been widely used to estimate trends and emissions of NO_x all over the world in the past decade²². Over China, in addition to the studies cited above, NO_2 retrievals from the Global Ozone Monitoring Experiment-2 (GOME-2) were reconciled with OMI retrievals and were used to estimate seasonal and weekday variations²³. Recent work found rapid growth over western China continuing through 2013^{17,24} whereas reductions have been observed since 2011 in the main Chinese cities as well as in the North China Plain^{25,26}. Changes in NO_2 are leading to shifts in the ozone chemical regime and to changes in formaldehyde levels depending on the regional conditions in China²⁷.

Recently, we used a multiple linear regression model to study annual changes in NO_2 columns over polluted areas while taking into account meteorology, pixel resolution, seasonality and day of week effects²⁸. By using this method, we successfully identified the effects of the Great Recession in urban areas in the United States of America. In this work, we apply the method to China to evaluate the impact of the most recent Five-Year Plan at 18 locations shown in Fig. 1 and listed in Table 1. Although there are limited surface data to evaluate the long-term trends in China, previous work over the United States of America has found that the results from OMI columns are in agreement with surface measurements²⁹. Our results confirm the announcement in November 2015 by China's Ministry of Environmental Protection (MEP) that China has achieved its national NO_x emission reduction goal six months ahead of the FYP12 (http://news.xinhuanet.com/fortune/2015-11/29/c_1117292909.htm (in Chinese)).

Results and Discussion

Annual averages of OMI columns over China show the high NO_2 vertical tropospheric columns over the megacities (Beijing, Yangtze Delta, Pearl River Delta) as well as industrial areas in the North China Plain (Fig. 2). Annually-averaged NO_2 vertical columns reach values of up to $40 \times 10^{15} \text{ molec/cm}^2$. Additional hotspots can be clearly seen for Xi'an, Chengdu, Chongqing and Wuhan. The figure shows that most places experienced an increase from 2005 to 2011 followed by a decrease such that levels in 2015 are similar to 2005. In terms of net changes from 2005 to 2015, the most striking difference is the reduction in vertical columns over the Pearl River Delta, with peak annual averages decreasing from $35 \times 10^{15} \text{ molec/cm}^2$ to $20 \times 10^{15} \text{ molec/cm}^2$. While most of the

Location	Abbr.	Latitude	Longitude	Mean NO ₂ VCD	Pixels	r MLR	r EI/OMI	OMI Max	Δ(Max - 2014)	Δ(2014–2015)
		°N	°E	$1 \times 10^{15} \text{ molec/cm}^2$	No.			Year	Rel. Diff. %	Rel. Diff. %
Beijing	BJ	39.90	116.40	22.83	6,797	0.80	0.27	2010	−24.7	−21.1
Tianjin	TJ	39.08	117.40	22.01	7,143	0.71	0.61	2010	−24.1	−3.1
Tangshan	TS	39.70	118.35	21.34	6,913	0.73	0.77	2011	−7.8	−16.3
Shenyang	SY	41.80	123.42	11.30	4,736	0.73	0.68	2011	−28.2	−8.2
Shijiazhuang	SJZ	38.10	114.35	25.78	6,367	0.76	0.71	2011	−37.1	−16.4
Taiyuan	TY	37.78	112.48	13.85	6,524	0.67	0.53	2011	−26.3	−24.3
Zibo	ZB	36.85	118.05	22.50	6,450	0.74	0.81	2011	−20.3	−11.5
Jining	JN	35.41	116.59	18.90	5,129	0.80	0.76	2011	−38.5	−15.3
Xī'an	XA	34.26	108.93	14.89	4,692	0.77	0.86	2011	−14.8	−24.4
Chongqing	CQ	29.48	106.45	9.97	2,942	0.79	0.95	2011	−12.8	−23.6
Chengdu	CD	30.67	104.05	12.18	2,996	0.81	0.71	2011	−1.6	−19.8
Wuhan	WH	30.58	114.30	11.93	4,907	0.81	−0.56	2012	−19.9	−0.7
Nanjing	NJ	32.15	118.78	17.42	5,025	0.73	0.89	2011	−20.7	−4.8
Shanghai	SH	31.42	121.47	21.91	5,881	0.73	0.25	2013	−13.9	−9.1
Hangzhou	HZ	30.17	120.35	17.20	5,307	0.80	0.86	2011	−15.9	−14.1
Guangzhou	GZ	23.12	113.26	15.84	4,532	0.61	0.58	2007	−27.2	−2.3
Shenzhen	SZ	22.78	113.50	18.47	4,692	0.78	0.81	2005	−40.0	−9.6
Hong Kong	HK	22.38	113.85	15.01	4,848	0.75	0.90	2005	−33.1	−8.8

Table 1. Areas included in this study along with selected parameters from the multiple linear regression analysis: mean vertical OMI NO₂ column (VCD), number of pixel retrievals in each time series, correlation coefficient between OMI time series and MLR fit. In addition the table shows the correlation coefficient between the OMI trends and the emission inventory (EI) trends, the year of maximum VCD, the relative difference in OMI NO₂ between 2014 and the maximum year and the relative difference between 2014 and 2015.

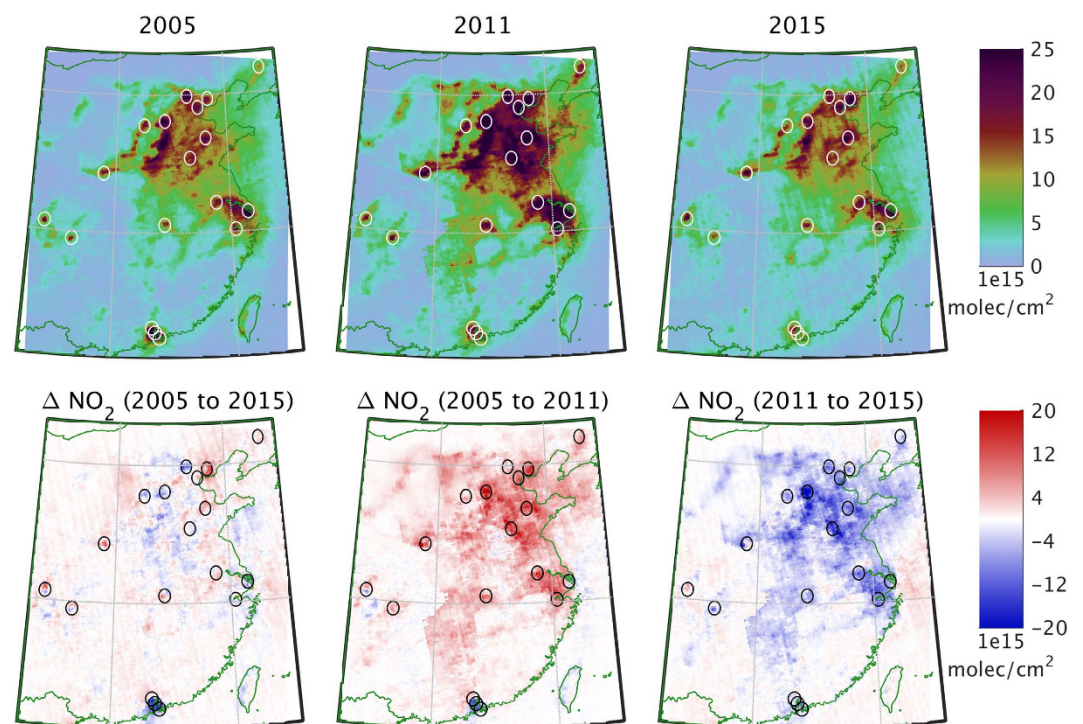


Figure 2. Top: Map showing the average OMI NO₂ tropospheric Vertical Column Density for 2005 (left), 2011 (center), and 2015 (right). Bottom: Map showing the change in average OMI NO₂ tropospheric Vertical Column Density from 2005 to 2015 (left), from 2005 to 2011 (center), and from 2011 to 2015 (right). OMI pixels were selected within the circles shown for each area. Maps generated using Matlab R2014b (<http://www.mathworks.com>).

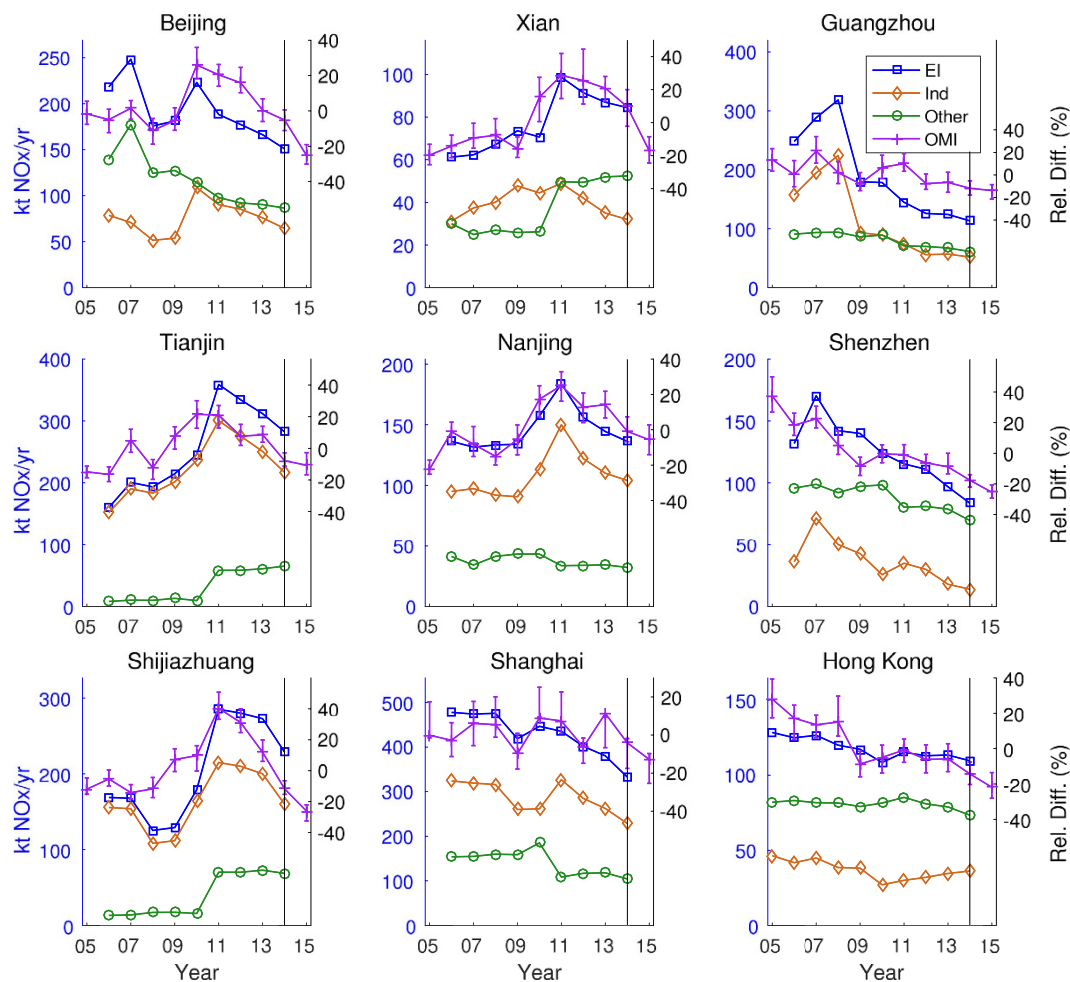


Figure 3. Left axes: annual trends in the emissions inventory (EI) of NO_x for 2006 to 2014 including industrial and power plant emissions (“Ind”) and residential and transportation emissions (“Other”). Right axes: relative percentage difference in both the emissions inventory (EI) and the OMI NO_2 columns from the multiple linear regression model for 2005 to 2015 compared with the average over all years. Uncertainty in OMI trends shown as the full range of results from 100 bootstrapped simulations. See Fig. S1 for remaining sites in the study.

other areas went through the increase to 2011 and decrease to 2015, the net change varies between positive and negative from site to site. There are lesser reductions visible in other cities and in the North China Plain.

We performed a multiple linear regression for each of the areas circled in Fig. 2 and listed in Table 1. The individual time series of the OMI pixels and the regression are shown for each area in the supplementary information, along with the regression parameters (Figs S6 to S23). There are between 3,000 and 7,000 data points per area, and the correlation coefficients of the fit varies between 0.61 and 0.81.

The annual variation of the official emission inventories for 2006 to 2014 are shown in Fig. 3 for the 9 sites that will be discussed below. The remaining 9 sites are shown in Fig. S1. The figures show both the total emissions and the split between industrial emissions (which includes both industry and power plants) and “Other” emissions which includes transportation and residential sources. For most areas there are gradual increases until about 2009 or 2010 and a sharp increase for 2011. This is then followed by a gradual decrease from 2012 to 2014. The right axis shows the corresponding percentage relative differences of the emissions for each year of the time period (i.e. the percentage that each year is above or below the 9-year mean).

The percentage relative difference of the emission inventories can be compared directly with the percentage relative difference of the NO_2 vertical columns based on the scaling factors obtained from the multiple linear regression model. The graph shows the uncertainty in the results as the range from 100 realizations of the model with bootstrapping. The average range by site varies between 12 and 23% over the 11-year time period. The average standard deviation of the trends varies between 2.5 and 4.4%, which suggests that the results are robust with respect to data selection, instrumental errors and model errors. Figs S2 and S3 show the comparison of the annual trends in the satellite columns by season. The regression model was run separately for April to October (Summer) and for November to March (Winter). Because aerosol loadings vary by season¹² as well as NO_x lifetimes, comparing the results by season serves as a test on the sensitivity of the method in addition to the bootstrap method.

There is very good agreement between the summer, winter, and annual trends which suggests that the results are robust with respect to NO_x lifetimes and photochemical activity.

The correlation coefficients between the emission inventories and the vertical columns are shown in Table 1 and Fig. S4, along with the years of maximum vertical NO_2 columns at each site. Overall, there is considerable agreement between the NO_2 columns and the NO_x emissions which provides supporting evidence for the increase in emissions up until 2011 followed by a strong decrease from 2011 to 2015. In particular, Xi'an, Chongqing, Nanjing and Hangzhou have very good agreement (Pearson's correlation coefficients between 0.86 and 0.95) with similar trends between the official inventories and the satellite retrievals.

Although data from surface measurements were not available to perform an evaluation of the trends similar to that made elsewhere²⁹, Fig. S5 shows the OMI results compared with annual averages of surface measurements of NO_2 concentrations. For the Pearl River Delta this shows that there is strong agreement for the continuous decrease in NO_2 levels. Other sites have a discontinuity in 2013 due to an increase in data availability, but they nonetheless show a strong decrease in concentration since then that is consistent with the results from the OMI analysis.

The sites shown in Fig. 3 can be considered in 3 broad categories: the Pearl River Delta where emissions are dominated by transportation and residential ("Other") emissions, the North China Plain where emissions are dominated by industrial emissions, and some sites where neither category dominates the other (Beijing and Xi'an).

The Pearl River Delta includes Guangzhou, Shenzhen, and Hong Kong along with other cities. Prior tests in North America were not able to identify possible differences in trends within individual urban areas²⁸. While the Pearl River Delta is much larger than most urban areas in North America, the NO_2 plume in the area is merged into a single air mass, and so there is overlap in the results from the individual cities. Nonetheless, there is a good match between the OMI results and the emissions inventory for Shenzhen with a gradual decrease over the last decade which is also seen in the measurements (see Fig. S5). In Guangzhou, there is a drop of around 60% in the emissions inventory which is not reflected in the columns. This suggests that the high emissions reported for 2006, 2007 and 2008 are not in agreement with the satellite results.

For Hong Kong, the columns overestimate the drop in the emissions inventory. Additional multiple linear regression tests were performed for the Pearl River Delta using ozone time series from Hong Kong. There was no clear trend in the ozone levels, and the inclusion of these measurements did not change the results of the regression analysis substantially. This suggests that changing levels of photochemical activity in the area are not responsible for the trends observed in the satellite columns. The drop in Hong Kong is therefore most likely related to the regional drop in emissions in the whole of the Pearl River Delta. The reasons for the significant drop in emissions in the Pearl River Delta are not entirely clear but are most likely the result of a longer history of pollution control on numerous different sources resulting from the region's status as the most economically developed region in the country⁶.

The industrial sites in the North China Plain share a common trend with increases in emissions until 2011 and decreases since then. This is clearly visible in both Tianjin and Shijiazhuang, although the drop in emissions in 2008 and 2009 that is clearly visible in the official inventory for Shijiazhuang is not as evident in the satellite results. Tangshan (Fig. S1) is similar to Tianjin except that the columns increased before the emission inventory which suggests that there may have been a delay either in updating the inventory or in the implementation of control equipment.

Three more sites can be considered in connection with the industrial sites: Xi'an, Nanjing and Wuhan. Xi'an has similar emissions from the industrial and "Other" sectors, but the trends in the industrial emissions follows the same pattern as the other industrial sites, and appear to be the driver for the recent decrease in NO_2 columns. Although located further south, Nanjing is also dominated by industrial emissions and follows the same industrial pattern with strong reductions since 2011.

The largest discrepancy between the emission inventory and the OMI columns is for Wuhan (Fig. S1), where the official emission inventory shows a fairly constant decrease of over 35%, whereas the satellite results show a large increase until 2012 followed by a sharp drop. To explore the possible reasons for the discrepancies, we reviewed the relevant official statistics reported by the Wuhan Bureau of Statistics³⁰. Figure 4 shows relative percentage differences of industrial activity for Wuhan including industrial energy consumption, coal-fired power generation, industrial SO_2 generation³¹, and industrial NO_x generation³¹. In general, industrial SO_2 and NO_x generations should be in line with the industrial activities. This is true for SO_2 generation which increased by 54% during 2005–2014 and is in good agreement with the trends of industrial energy consumption ($r = 0.89$) and coal-fired power generation ($r = 0.88$). However, the official NO_x generation shows an unreasonable decrease trend over the same time period. We therefore speculate that there might be some issues in compiling the NO_x generation, and consequently the NO_x emissions, for Wuhan in the official statistics. A new estimate of NO_x emissions was made by using the SO_2 generation trend combined with the official NO_x removal rate³¹. As can be seen in the right panel of Fig. 4, the corrected NO_x emission trend for Wuhan has a much better agreement with the results from the OMI columns. The above analysis implies that the top-down satellite NO_2 observations may help us identify the potential problems in official bottom-up NO_x emission estimates.

Most of these industrial sites have a discontinuity in the "Other" emissions due to a change in 2011 of the estimation of emissions from transportation³¹, but this is a minor contributor relative to industrial emissions and does not offset the downward trends in NO_2 columns. Overall, this provides evidence that the emission control standards listed in the introduction are having a significant impact on NO_2 levels.

While Shanghai is dominated by industrial emissions, the OMI trend is weak and contains short-lived decreases for 2009 and 2012. Shanghai however is in a more complex geographic region, because of the Yangtze River Delta and the heterogeneous location of surrounding sources. Whereas the NO_2 plume in the Pearl River Delta is fairly uniform, the heterogeneity in the Yangtze River Delta suggests that the trends may be less reliable in this case.

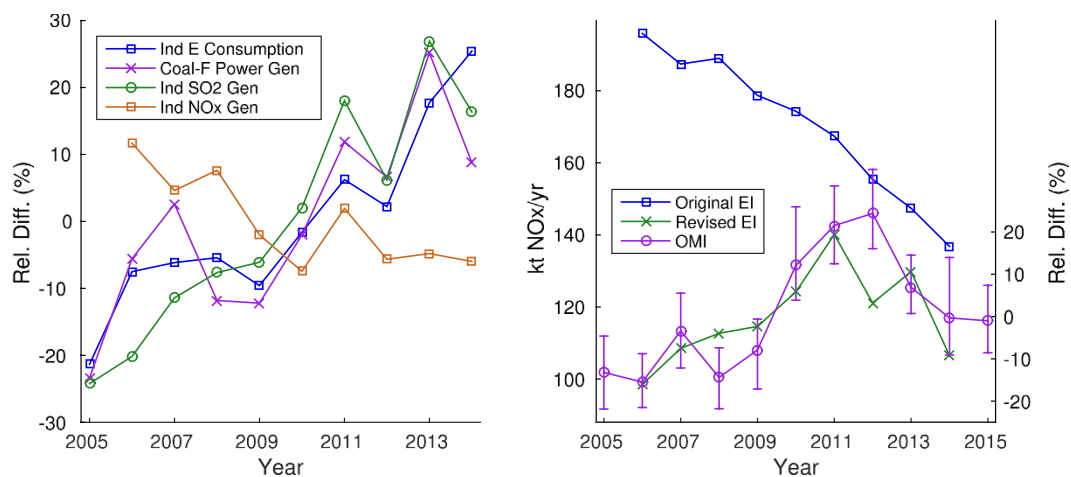


Figure 4. Left: Annual trends in industrial energy consumption, coal-fired power generation, industrial SO_2 generation and industrial NO_x generation for Wuhan from 2005 to 2014, shown as relative percentage differences. Right: Comparison of original and revised annual emissions inventory with the trends from the OMI columns. Emission totals shown on the left axis, relative percentage difference of the revised EI and the OMI trends shown on the right axis (see also Fig. 3). Uncertainty in OMI trends shown as the full range of results from 100 bootstrapped simulations.

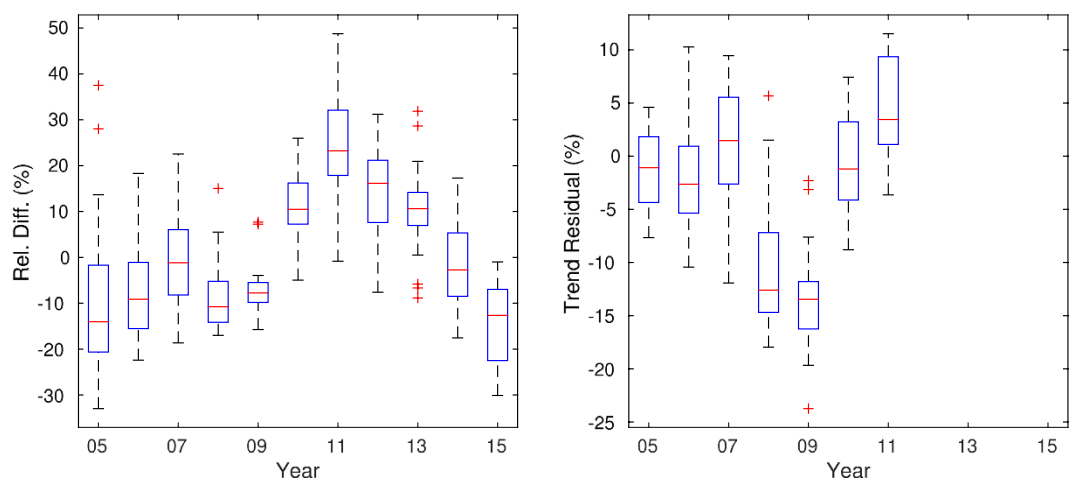


Figure 5. Left: Boxplot of annual percentage relative difference of OMI annual factors for all sites in this study. Right: Boxplot of the residual for each year between 2005 and 2011 relative to a linear trend for that time period.

Beijing has similar emissions from the industrial and “Other” sectors. The industrial emissions decreased in connection with the 2008 Olympics, and increased after that before decreasing since their peak in 2010. There is a relatively poor fit between the OMI results and the emission inventory. This seems to be because of the spike in “Other” emissions in 2007. The official emissions seem to overestimate the decrease from 2006 to 2009, but correctly identify the jump in emissions in 2010. Both the columns and the retrievals have had reductions of around 30% between 2010 and 2014.

Many sites experienced a reduction in NO_2 columns during 2008 and 2009, which was the time of the Great Recession as well as of the 2008 Summer Olympic games in Beijing. Figure 5 shows a boxplot of the relative percentage difference of all the sites in this study, showing a clear reduction during 2008 and 2009 compared with a strong rising trend from 2005 to 2011. The right panel shows a boxplot of the residual of the percentage relative difference compared with a linear trend for each station from 2005 to 2011. In this way, the drop during 2008 and 2009 can be estimated separately from the different trends at each site. This shows that the interquartile range of reductions was between 7 and 15% in 2008 and between 12 and 16% in 2009. Although most sites had lower columns in 2009 than 2008, the reverse is true for Beijing. This may be associated with the air quality control measures implemented for the 2008 Summer Olympic games. Because the recession and the Olympic games overlap, it is not possible to clearly distinguish between their impacts. Nevertheless, the decrease in NO_2 columns is not limited to the areas of the country that had special air quality policy measures for the Olympic games.

Annual	Seasonal	Weekday	Meteorology	Pixel Resolution
2005–2015	Sin 1yr	Mon - Sun	Wind Speed	Min Pixel Distance
	Cos 1 yr		Zonal Wind	Max Pixel Distance
	Sin 6 mo		Meridional Wind	
	Cos 6 mo		Temperature	

Table 2. Factors included in the multiple linear regression analysis of the NO₂ columns.

Table 1 shows the percentage relative difference in the NO₂ columns between the maximum year and 2014. These show reductions of around 30% in most of the main urban areas and reductions between 0 and 20% in the more industrial regions. Also shown are the relative differences from 2014 to 2015. These are much stronger in the industrial areas, with decreases of up to 24%, and continue to be sizable in the urban areas with columns decreasing in many areas by around 10% or more. These estimates are in agreement with the first annual report on the environment to the Standing Committee of the National People's Congress (http://news.xinhuanet.com/english/2016-04/25/c_135311023.htm) which report NO_x average national reductions of 19% from 2010 to 2015 and 11% from 2014 to 2015.

A contributing factor to the recent decrease in NO₂ columns is the economic slowdown that has taken place since 2015. Gross Domestic Product growth was 6.9% in 2015, which is the lowest growth rate since 1990. This is combined with a shift from an investment-driven economy towards a more consumer-driven economy. Additionally, the Chinese government has been putting great efforts in reducing industrial overcapacity since 2015, particularly in the coal and steel industries which typically have high NO_x emissions. While we cannot distinguish the relative impacts of pollution control policies and economic transformations at this time, the results clearly suggest that emissions of NO_x are continuing to decrease considerably over most of the areas in China that have large vertical NO₂ columns.

Summary

We analyzed retrievals of the vertical column density of NO₂ from the Ozone Monitoring Instrument (OMI) over an 11 year period from 2005 to 2015 in China. The estimated trends from the satellite remote sensing were compared with official annual emission inventories. There was an excellent agreement in most cases which increases the confidence in the quality of the trends derived from both the official NO_x emission estimates and the satellite products. Comparisons with the official emission inventories of industrial and power plant sources suggest that these have been the main drivers of the recent reductions. Reductions are most likely the result of the combination of pollution control policies and recent economic transformations. Overall, China is on track to achieve NO_x emissions consistent with previously described successful policy scenarios¹⁶.

In some cases, discrepancies can be used to identify specific areas needing further study. By comparing the annual columns in 2008 and 2009 with the linear trends for the areas considered, it was possible to estimate that the combination of clean air policies for the 2008 Beijing Summer Olympic games and the Great Recession was accompanied by reductions of up to 20% in NO₂ columns. We further expect that improved numerical simulations of atmospheric chemistry can be obtained for current time periods by applying scale factors obtained from satellite remote sensing. Overall, the results showed that China had already met its NO_x reduction goals set out in the twelfth Five-Year Plan by 2014, and that reductions continued in 2015.

Methods

OMI Retrievals. The Ozone Monitoring Instrument (OMI) is a Dutch-Finnish instrument launched on NASA's Aura satellite in July 2004 and has been providing measurements of ultraviolet and visible radiation down to a resolution of 13 km by 24 km³². This paper uses the Vertical Column Density (VCD) of tropospheric NO₂ from the DOMINO v2.0 product^{33,34}.

We selected 18 areas in China as shown in Table 1 and Fig. 2. The areas cover most of the NO₂ hotspots in China. We also performed the analysis for additional industrial hotspots in the southwest of the North China Plain, but do not report them here as the results are similar to the neighboring sites reported in this paper. For each area selected, we retrieved all level 2 swath pixels at the native instrument resolution within a 0.5 degree radius of the center point.

OMI has suffered from a partial blockage of its field of view leading to row anomalies. In order to have a consistent record, we limit the analysis to rows 11 to 23 for the years from 2005 to 2015 inclusive. Only data points with a quality assurance flag of 0 were retained which excludes all data points with a cloud radiance fraction greater than 50%. Furthermore, all points with a surface albedo exceeding 30% were excluded, as were all points with a solar zenith angle greater than 70 degrees. Overall, we have between 3,000 and 7,000 OMI pixels for each of the 18 areas selected over the 11-year period being analyzed.

Multiple Linear Regression. We use a multiple linear regression method specifically developed to analyze OMI pixel data²⁸ which is similar to other models developed for OMI^{35–37}. Because we are working with the original OMI pixel data with no averaging, we have a long time series for each site and can use the regression model to account for weekly, seasonal, and annual variations as well as the impact of meteorology and pixel resolution. Briefly, the model includes the factors listed in Table 2 and can be described by Eq. 1:

$$\log(C) = \sum_{yr=2005}^{2015} c_{yr} t_{yr} + \sum_{wd=Mon}^{Sun} c_{wd} t_{wd} + f(\text{other}) + \varepsilon \quad (1)$$

Where C is the series of NO_2 columns; the coefficients c are determined by the Iteratively Reweighted Least Squares procedure; the time vectors (t_{yr} and t_{wd}) represent the annual and weekly variation; and ε is the residual between the model and the retrievals. We use the natural logarithm of the OMI NO_2 columns because they are log-normally distributed over polluted areas. $f(\text{other})$ is made up of the following components:

$$f(\text{seasons}) = \sum_{j=1}^2 c_{sj} \sin\left(\frac{2\pi jt}{365.25}\right) + c_{cj} \cos\left(\frac{2\pi jt}{365.25}\right) \quad (2)$$

$$f(\text{meteorology}) = c_{ws}(\log(WS + 3))' + c_{t2}T_2' + c_{u10}U_{10}' + c_{v10}V_{10}' \quad (3)$$

$$f(\text{resolution}) = c_{dmin}D_{min}' + c_{dmax}D_{max}' \quad (4)$$

The inputs to the meteorology and resolution function are normalized variables ($T' = (T - \mu(T))/\sigma(T)$): WS is the 10 m wind speed; T_2 is the 2 m temperature; U_{10} and V_{10} are the zonal and meridional 10 m wind speeds. The meteorological time series are obtained from ERA-Interim data at 1 degree and 6 hour resolution³⁸ which are available from the European Center for Medium-Range Weather Forecasts (<http://apps.ecmwf.int/datasets/>). D_{min} is the distance of the nearest pixel corner to the center point of the urban area, and is negative if the urban area is inside the pixel. D_{max} is the distance of the farthest pixel corner from the center of the urban area.

In contrast with the analysis over cities in the United States²⁸, we do not include a linear factor for long-term trends, but instead use a separate factor for each year in the study. We further do not consider holidays separately as their signal was not as clear as in the United States. A least squares solver is used for the multiple linear regression³⁹. To account for outliers, we use an Iteratively Reweighted Least Squares (IRLS) procedure.

The uncertainty in the regression results is estimated using bootstrapping. 100 realizations of the model were performed using randomly selected days to be included in the analysis with replacement. This accounts for both measurement errors and model errors as the instrument errors are assumed to be uncorrelated and model errors are unlikely to be correlated beyond a couple of days²⁸.

Official NO_x Emission Inventory. We compared the trends in OMI NO_2 columns for 18 areas with official bottom-up NO_x emissions. For the 17 areas in mainland China, we use the official NO_x emissions at the municipality level for Beijing, Tianjin, Shanghai, and Chongqing and at the prefecture city level for the other areas³¹. Mainland China began to report official NO_x emissions in 2006, and the data are available annually with 2014 being the most recent year currently available. The interannual trends of the official NO_x emissions are reported to be fairly reasonable^{9,17,40}, despite the omission of emissions from rural industries and biofuels^{9,17,40–42}. For Hong Kong, NO_x emissions for the period of 2005–2014 were obtained from the Hong Kong Air Pollutant Emission Inventory, which is compiled by the Hong Kong Environmental Protection Department⁴³.

References

- Zhang, Q., He, K. & Huo, H. Policy: cleaning China's air. *Nature* **484**, 161–162 (2012).
- Zhao, Y. *et al.* Primary air pollutant emissions of coal-fired power plants in China: Current status and future prediction. *Atmos. Environ.* **42**, 8442–8452 (2008).
- Zhang, Q. *et al.* NO_x emission trends for China, 1995–2004: The view from the ground and the view from space. *J. Geophys. Res.-Atmos.* **112** (2007).
- Zhang, X., Zhang, P., Zhang, Y., Li, X. & Qiu, H. The trend, seasonal cycle, and sources of tropospheric NO_2 over China during 1997–2006 based on satellite measurement. *Science in China Series D: Earth Sciences* **50**, 1877–1884 (2007).
- Wang, S. *et al.* Growth in NO_x emissions from power plants in China: bottom-up estimates and satellite observations. *Atmos. Chem. Phys.* **12**, 4429–4447 (2012).
- Gu, D., Wang, Y., Smeltzer, C. & Liu, Z. Reduction in NO_x emission trends over China: Regional and seasonal variations. *Environ. Sci. Technol.* **47**, 12912–12919 (2013).
- Lu, Q. *et al.* Emission trends and source characteristics of SO_2 , NO_x , PM10 and VOCs in the pearl river delta region from 2000 to 2009. *Atmos. Environ.* **76**, 11–20 (2013).
- Liu, F. *et al.* High-resolution inventory of technologies, activities, and emissions of coal-fired power plants in China from 1990 to 2010. *Atmos. Chem. Phys.* **15**, 13299–13317 (2015).
- Lu, Z. *et al.* Sulfur dioxide emissions in China and sulfur trends in East Asia since 2000. *Atmos. Chem. Phys.* **10**, 6311–6331 (2010).
- Wang, S. *et al.* Satellite measurements oversee China's sulfur dioxide emission reductions from coal-fired power plants. *Env. Res. Lett.* **10**, 114015 (2015).
- Shi, Y. *et al.* Emission inventory and trends of NO_x for China, 2000–2020. *Journal of Zhejiang University Science A* **15**, 454–464 (2014).
- Zhang, Y.-L. & Cao, F. Fine particulate matter (PM2.5) in China at a city level. *Scientific Reports* **5** (2015).
- Ma, Z. *et al.* Satellite-based spatiotemporal trends in PM2.5 concentrations: China, 2004–2013. *Environmental Health Perspectives* **124**, 184–192 (2015).
- Anger, A., Dessens, O., Xi, F., Barker, T. & Wu, R. China's air pollution reduction efforts may result in an increase in surface ozone levels in highly polluted areas. *Ambio* **45**, 254–265 (2016).
- Tian, H. *et al.* Nitrogen oxides emissions from thermal power plants in China: Current status and future predictions. *Environ. Sci. Technol.* **47**, 11350–11357 (2013).
- Zhao, B. *et al.* NO_x emissions in China: historical trends and future perspectives. *Atmos. Chem. Phys.* **13**, 9869–9897 (2013).
- Xia, Y., Zhao, Y. & Nielsen, C. P. Benefits of China's efforts in gaseous pollutant control indicated by the bottom-up emissions and satellite observations 2000–2014. *Atmos. Environ.* In Press (2016).

18. China State Council. Implementation opinions of accelerating shutting down small units. Tech. Rep., China State Council (2007).
19. China Electricity Council. Environmental protection information on thermal power plants 2011. Tech. Rep., China Electricity Council (2012).
20. China Electricity Council. Environmental protection information on thermal power plants 2014. Tech. Rep., China Electricity Council (2015).
21. Wu, Y. *et al.* The challenge to NO_x emission control for heavy-duty diesel vehicles in China. *Atmos. Chem. Phys.* **12**, 9365–9379 (2012).
22. Streets, D. G. *et al.* Emissions estimation from satellite retrievals: a review of current capability. *Atmos. Environ.* **77**, 1011–1042 (2013).
23. Gu, D., Wang, Y., Smeltzer, C. & Boersma, K. F. Anthropogenic emissions of NO_x over China: Reconciling the difference of inverse modeling results using GOME-2 and OMI measurements. *J. Geophys. Res.-Atmos.* **119**, 7732–7740 (2014).
24. Cui, Y.-Z. *et al.* Rapid growth in nitrogen dioxide pollution over Western China, 2005–2013. *Atmos. Chem. Phys. Discuss.* **15**, 34913–34948 (2015).
25. Duncan, B. N. *et al.* A space-based, high-resolution view of notable changes in urban NO_x pollution around the world (2005–2014). *J. Geophys. Res.-Atmos.* **121**, 976–996, 2015JD024121 (2016).
26. Krotkov, N. A. *et al.* Aura OMI observations of regional SO₂ and NO₂ pollution changes from 2005 to 2015. *Atmos. Chem. Phys.* **16**, 4605–4629 (2016).
27. Jin, X. & Holloway, T. Spatial and temporal variability of ozone sensitivity over China observed from the Ozone Monitoring Instrument. *Journal of Geophysical Research: Atmospheres* **120**, 7229–7246 (2015).
28. de Foy, B., Lu, Z. & Streets, D. G. Impacts of control strategies, the great recession and weekday variations on NO₂ columns above North American cities. *Atmospheric Environment* **138**, 74–86 (2016).
29. Lamsal, L. N. *et al.* Evaluation of OMI operational standard NO₂ column retrievals using *in situ* and surface-based NO₂ observations. *Atmos. Chem. Phys.* **14**, 11587–11609 (2014).
30. WBS. Wuhan statistical yearbook 2006–2015. Tech. Rep., Wuhan Bureau of Statistics, Beijing, China (2006–2015).
31. CEN. China environment yearbook 2006–2015. Tech. Rep., China Environmental Newspaper, Beijing, China (2006–2015).
32. Levelt, P. F. *et al.* The Ozone Monitoring Instrument. *IEEE T. Geosci. Remote Sens.* **44**, 1093–1101 (2006).
33. Boersma, K. F. *et al.* Near-real time retrieval of tropospheric NO₂ from OMI. *Atmos. Chem. Phys.* **7**, 2103–2118 (2007).
34. Boersma, K. *et al.* An improved tropospheric NO₂ column retrieval algorithm for the Ozone Monitoring Instrument. *Atmos. Meas. Tech.* **4**, 1905–1928 (2011).
35. Lamsal, L. N. *et al.* U.S. NO₂ trends (2005–2013): EPA Air Quality System (AQS) data versus improved observations from the ozone monitoring instrument (OMI). *Atmos. Environ.* **110**, 130–143 (2015).
36. Hilboll, A., Richter, A. & Burrows, J. P. Long-term changes of tropospheric NO₂ over megacities derived from multiple satellite instruments. *Atmos. Chem. Phys.* **13**, 4145–4169 (2013).
37. Zhou, Y., Brunner, D., Hueglin, C., Henne, S. & Staehelin, J. Changes in OMI tropospheric NO₂ columns over Europe from 2004 to 2009 and the influence of meteorological variability. *Atmos. Environ.* **46**, 482–495 (2012).
38. Dee, D. P. *et al.* The ERA-Interim reanalysis: configuration and performance of the data assimilation system. *Q. J. R. Meteorol. Soc.* **137**, 553–597 (2011).
39. de Foy, B. & Schauer, J. J. Origin of high particle number concentrations reaching the St. Louis, Midwest supersite. *J. Env. Sci.* **34**, 219–231 (2015).
40. Lu, Z., Zhang, Q. & Streets, D. G. Sulfur dioxide and primary carbonaceous aerosol emissions in China and India, 1996–2010. *Atmos. Chem. Phys.* **11**, 9839–9864 (2011).
41. Streets, D. G. *et al.* An inventory of gaseous and primary aerosol emissions in Asia in the year 2000. *J. Geophys. Res.-Atmos.* **108**, D21–8809 (2003).
42. Zhang, Q. *et al.* Asian emissions in 2006 for the NASA INTEX-B mission. *Atmos. Chem. Phys.* **9**, 5131–5153 (2009).
43. HKEPD. 2014 Hong Kong emission inventory report. Tech. Rep. EPD/TR 1/16, Hong Kong Environmental Protection Department, Hong Kong (2016).

Acknowledgements

This research was funded in part by the NASA Air Quality Applied Sciences Team (AQA) program, NASA grant #NNX11AJ63G. Argonne also acknowledges support from the US Department of Energy, Office of Fossil Energy, Office of Strategic Planning & Global Engagement. Argonne National Laboratory is operated by UChicago, LLC, under contract no. DE-AC02-06CH11357 with the US Department of Energy. We acknowledge the free use of tropospheric NO₂ column data from the OMI sensor from www.temis.nl and of ERA-Interim data from ECMWF. We are grateful to the anonymous reviewers for their comments and suggestions which have improved this manuscript.

Author Contributions

B.d.F. performed the OMI analysis, Z.L. provided the emission inventory data, B.d.F., Z.L. and D.G.S. analyzed the results. All authors reviewed the manuscript.

Additional Information

Supplementary information accompanies this paper at <http://www.nature.com/srep>

Competing financial interests: The authors declare no competing financial interests.

How to cite this article: de Foy, B. *et al.* Satellite NO₂ retrievals suggest China has exceeded its NO_x reduction goals from the twelfth Five-Year Plan. *Sci. Rep.* **6**, 35912; doi: 10.1038/srep35912 (2016).

Publisher's note: Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.



This work is licensed under a Creative Commons Attribution 4.0 International License. The images or other third party material in this article are included in the article's Creative Commons license, unless indicated otherwise in the credit line; if the material is not included under the Creative Commons license, users will need to obtain permission from the license holder to reproduce the material. To view a copy of this license, visit <http://creativecommons.org/licenses/by/4.0/>

© The Author(s) 2016