

<b>UFT Submission System - State Participation</b>													
<b>As of 08.09.2024</b>													
<u>Jurisdiction</u>	<u>State Participation</u>	<u>Registrations by Coordination</u>	<u>Registrations by Qualifications</u>	<u>Reg A Tier 1</u>	<u>Reg A Tier 2 Notice Filings</u>	<u>State Crowdfunding Offerings</u>	<u>Federal Crowdfunding Notice Filings</u>	<u>Reg D - Rule 504</u>	<u>Mutual Funds</u>	<u>Business Opportunities</u>	<u>Other Filing Types</u>	<u>Other Filings Detail</u>	<u>Hard Copy Submission Required</u>
Alabama	Available	X	X	X	X	X	X		X				
Alaska	Available	X	X	X		X	X	X					
Arizona	Not on the system at this time												
Arkansas	Not on the system at this time												
California	Not on the system at this time												
Colorado	Available	X	X	X	X	X	X	X					
Connecticut	Not on the system at this time												
Delaware	Available	X	X	X	X	X	X	X	X		X	LOE, Model Accredited Investor Notice Filing Exemption Form, Face Amount Certificate Company, Amended Form D Filings	
DC	Available	X	X	X	X	X		X			X	Notice filing for claiming certain exemptions under DC Securities Act 2000, as amended. See sections 26-B243 and 26-B249 of DC Municipal Regulations.	
Florida	Available		X	X				X					
Georgia	Available							X	X				
Hawaii	Not on the system at this time												
Idaho	Available	X	X	X	X	X			X		X		
Illinois	Available	X	X	X	X	X	X		X		X	4G Report of Sale: "IL Securities Law Section 4.G offering exemption"	
Indiana	Available							X	X				
Iowa	Available	X	X	X	X	X	X				X		
Kansas	Available	X	X	X				X			X	Kansas Accredited Investor Exemption	
Kentucky	Available	X	X	X	X	X		X			X	Claims of Exemption pursuant to KRS 292.400	
Louisiana	Not on the system at this time												
Maine	Not on the system at this time												
Maryland	Available	X	X	X	X	X	X	X			X	Issuer agents, securities exemption filings	

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Massachusetts	Not on the system at this time												
Michigan	Available	X	X	X	X	X	X		X		X	Offerings of Not-for-profit securities filed under MCL451.2201(g) and R.451.2.1	
Minnesota	Available				X								
Mississippi	Available	X	X	X	X		X						
Missouri	Available	X	X	X	X				X		X	Not-for-profit church extension funds, church bond filings	
Montana	Available	X	X	X	X	X	X				X		
Nebraska	Available	X	X	X	X					X			
Nevada	Not on the system at this time												
New Hampshire	Available	X	X	X	X		X				X	Non-profit filings	Yes - Form U2 only
New Jersey	Available	X	X	X		X		X			X	Section 4(2) Exemptions, Model Accredited Investor Exemption	Yes
New Mexico	Available	X	X	X	X			X			X	Exemption filings accepted, but would prefer these documents via paper	
New York	Not on the system at this time												
North Carolina	Not on the system at this time												
North Dakota	Available	X	X	X	X		X				X	Accredited Investor, Limited Offeree, Limited Offering, Non-Profit	
Ohio	Available	X	X		X		X				X	Registration by Description	
Oklahoma	Available	X	X	X	X			X		X	X	Exemption Notices, Coordination/Qualification filings, Issuer Agent registration, Requests for no action/discretionary exemptions	
Oregon	Available		X	X	X		X	X	X		X	U4 – Salesperson filings. Form 701 Compensatory Benefit Plan.	
Pennsylvania	Available	X	X	X	X		X	X	X		X	Limited Offering Exemption	
Puerto Rico	Available	X	X	X	X		X	X					
Rhode Island	Available	X	X	X	X	X	X	X			X	All Others if they initiate original filing in EFD	
South Carolina	Available				X							Per note, would consider accepting Registrations by Coordination and Tier 1 filing through EFD temporarily in certain situations	
South Dakota	Available	X	X	X	X	X	X	X		X	X	Church Extension, Model Accreditation.	
Tennessee	Not on the system at this time												

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Texas	Available	X	X	X	X	X					X	Over Sales; Secondary Trading Exemption; TX Specific: Non-issuer Sales, Sales to individual Accredited Investors, Accredited Investor exemption, Crowdfunding Exemption, Intrastate limited offering exemption	
Utah	Not on the system at this time												
Vermont	Available	X	X	X	X	X	X	X			X	Church bond & Church extension funds	
Virginia	Available	X	X	X	X	X	X				X	Model Accredited Investor Form	
US Virgin Islands	Available	X	X	X	X			X					
Washington	Available	X	X	X	X	X	X	X			X	State Exemption Notices	
West Virginia	Available	X	X	X	X	X	X		X		X	Employee Exemption, Non-profit Exemption, Broker/Dealer Address/Name Changes, Investment Adviser Address/Name Changes, Timeshare Filings, Interpretive Opinion Requests.	
Wisconsin	Available	X	X	X	X						X	State Exemptions.	
Wyoming	Available	X	X	X	X				X				