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35 years of GUTs - where do we stand?

Michal Malinský
Royal Institute of Technology, Stockholm

in collaboration with
Stefano Bertolini and Luca di Luzio
SISSA/ISAS Trieste

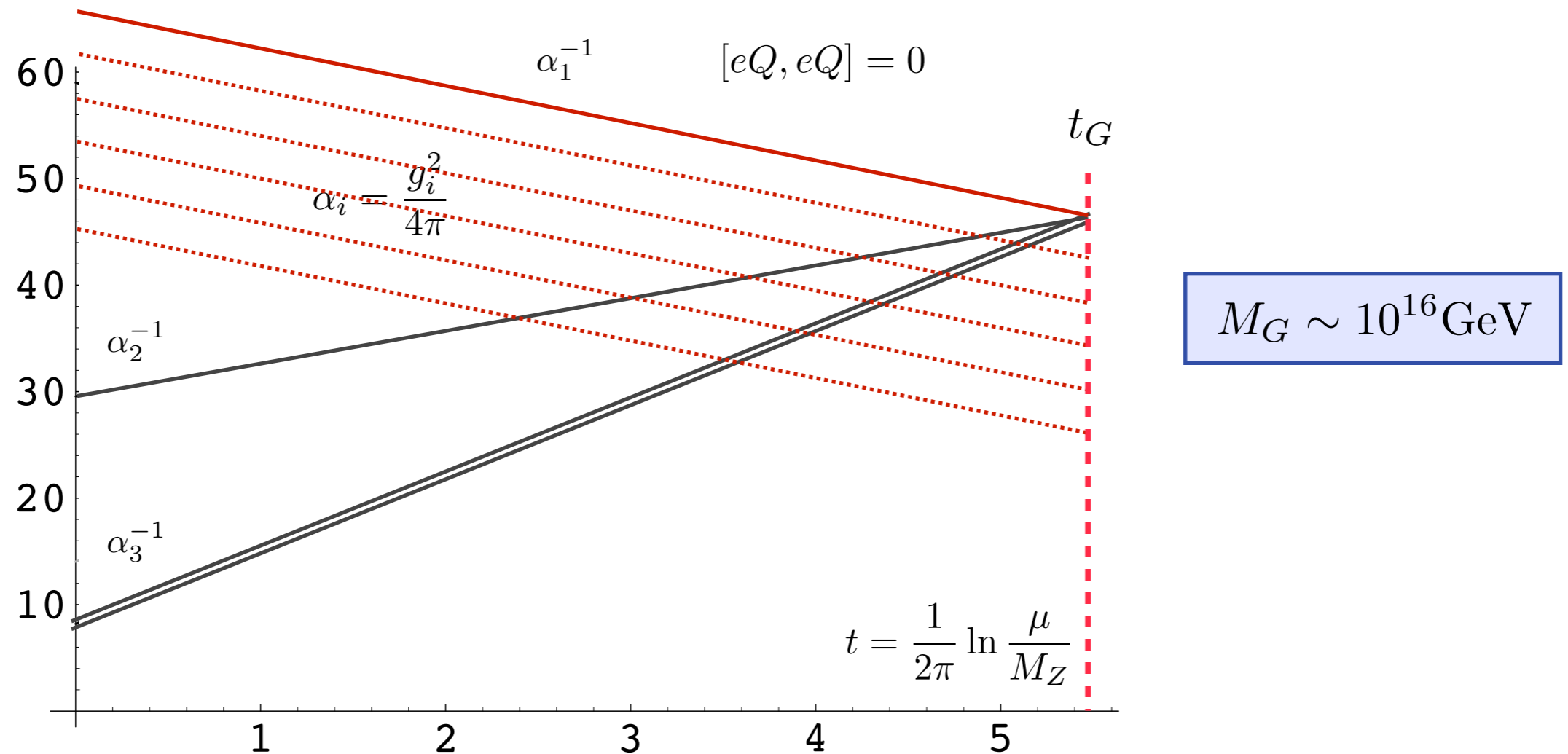
based on [Phys.Rev.D80:015013,2009](#) and [arXiv:0912.xxxx\[hep-ph\]](#)

Outline

- Grand Unified Theories - a brief intro
- Simplest Grand unified models and their status
- Minimal non-SUSY $SO(10)$
- No-go? No, go!
- Quantum resuscitation of the cadaver

Grand Unified Theories - a brief intro

- Convergence of the running gauge couplings at very high energies



If not an accident the gauge interactions unify
and a new dynamics pops up there

Grand Unified Theories - a brief intro

- Neutrino masses in the SM: $L = \begin{pmatrix} \nu_\ell \\ \ell^- \end{pmatrix} = (1, 2, -\frac{1}{2})$

- d = 5 Weinberg operator: $\mathcal{L}_{eff} \ni \frac{c}{\Lambda} LHLH$

- Neutrino oscillation parameters:

$$\Delta m_{\odot}^2 = (8.0 \pm 0.3) \times 10^{-5} \text{ eV}^2$$

$$|\Delta m_A^2| = (2.5 \pm 0.3) \times 10^{-3} \text{ eV}^2$$

$$\Lambda \sim (10^{12} - 10^{14}) \text{ GeV}$$

“New physics” scale governing neutrino masses (seesaw)

Remarkably close to the unification scale!

O.K., let's take the gauge unification idea seriously...



Grand Unified Theories - a brief intro

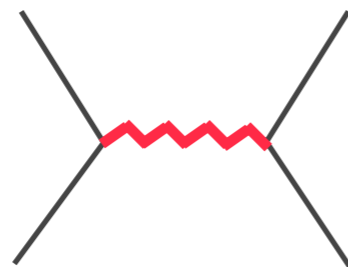
Consequences:

- GUT-scale predictions for one of the gauge couplings, e.g.: $\sin^2 \theta_W = \frac{3}{8}$
- Quarks and leptons share the GUT multiplets
 - Yukawas spanned over the GUT multiplets \Rightarrow insight into flavour
 - gauge transitions violate B and L \Rightarrow “exotic” processes

- $d = 6$ proton decay:

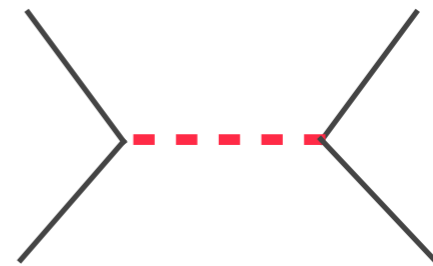
$$\frac{f_1}{M_G^2} \bar{Q} u^c \bar{Q} e^c, \quad \frac{f_2}{M_G^2} u^c \bar{Q} d^c \bar{L}$$

vector-induced



$$\frac{f_3}{M_G^2} QQQQL, \quad \frac{f_4}{M_G^2} u^c u^c d^c e^c$$

scalar-induced



current SK limit: $\tau(p^+ \rightarrow \pi^0 e^+) \gtrsim 10^{33}$ years \Rightarrow $M_G \gtrsim 10^{15.5}$ GeV

Grand Unified Theories - a brief intro

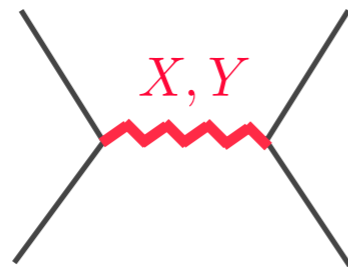
- **Minimality is an invaluable guiding principle**
 - overall predictivity
 - in particular for flavour (not dictated by symmetry but by Higgs structure)

 - **Minimal GUT gauge group:**
 - rank 4 or higher
 - must have complex representations
 - correct multiplet patterns
- } ⇒ **SU(5)**
unique rank = 4 option

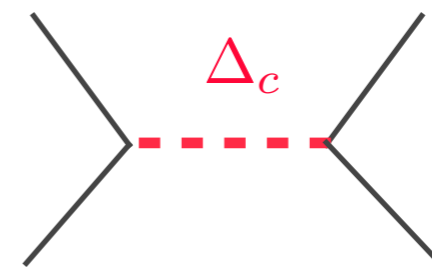
Minimal SU(5) model

- Gauge fields:** $24_G = (8, 1, 0) \oplus (1, 3, 0) \oplus (1, 1, 0) \oplus (3, 2, -\frac{5}{6}) \oplus (\bar{3}, 2, +\frac{5}{6})$
 $G^\mu \quad A^\mu \quad B^\mu \quad (X^\mu, Y^\mu)$
- Matter fields:** $\bar{5}_F = (1, 2, -\frac{1}{2}) \oplus (\bar{3}, 1, +\frac{1}{3})$ $10_F = (1, 1, +1) \oplus (3, 2, +\frac{1}{6}) \oplus (\bar{3}, 1, -\frac{2}{3})$
 $L \quad d^c \quad e^c \quad Q \quad u^c$
- Higgs fields:** $\bar{5}_H = (1, 2, -\frac{1}{2}) \oplus (\bar{3}, 1, +\frac{1}{3})$ $24_H = (1, 1, 0) \oplus \dots$
 $H \quad \Delta_c \quad \text{SU(5)-breaking SM singlet}$

- Proton decay:**



$$\bar{10}_F \not{D} 10_F + \bar{5}_F \not{D} \bar{5}_F$$

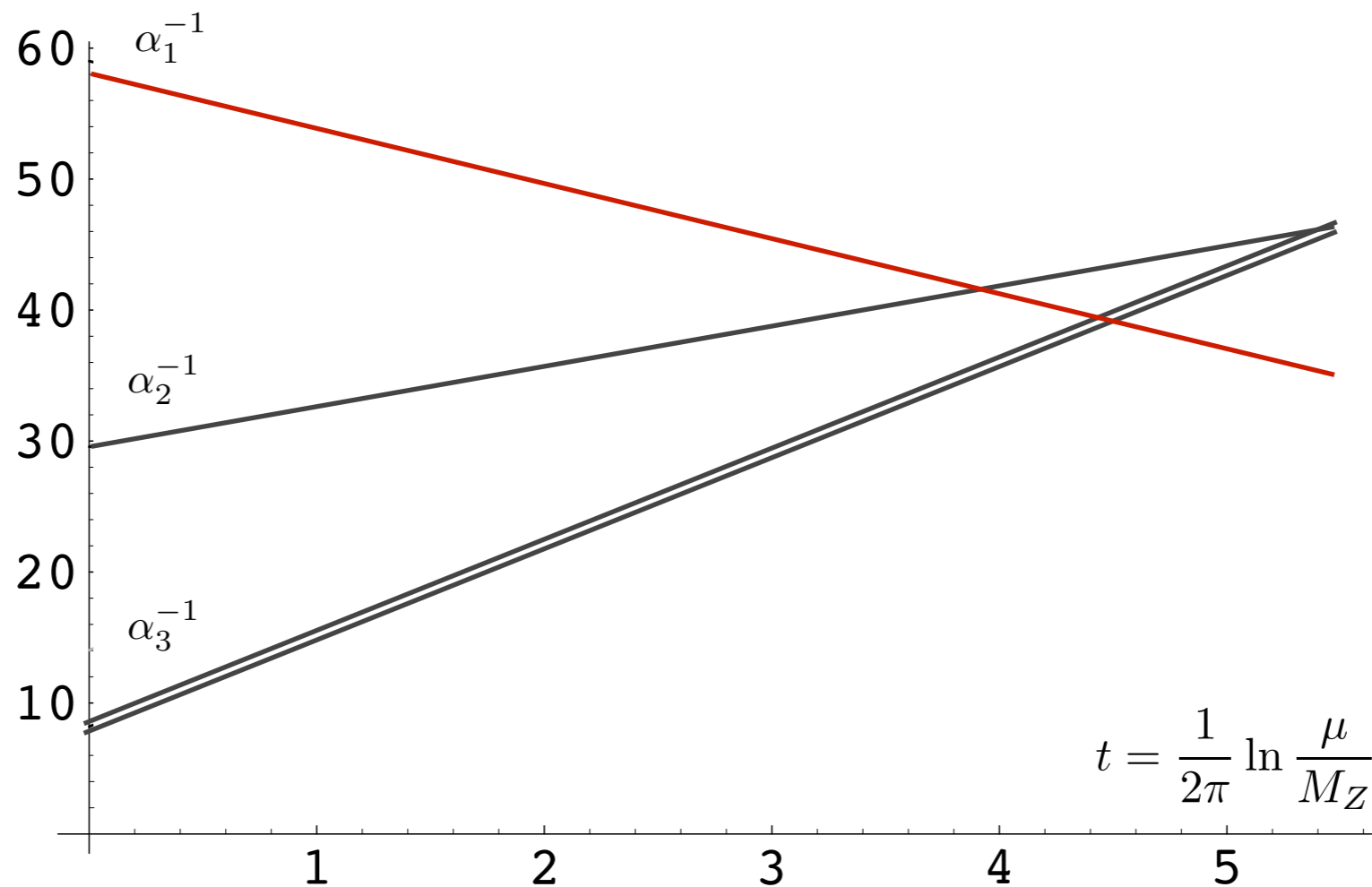


$$Y_5 \bar{5}_F 10_F \bar{5}_H + Y_{10} 10_F 10_F * \bar{5}_H^\dagger$$

- Flavour structure:** $M_u = M_u^T$ $M_d = M_l^T$ b-tau unification (?)

Minimal SU(5) model

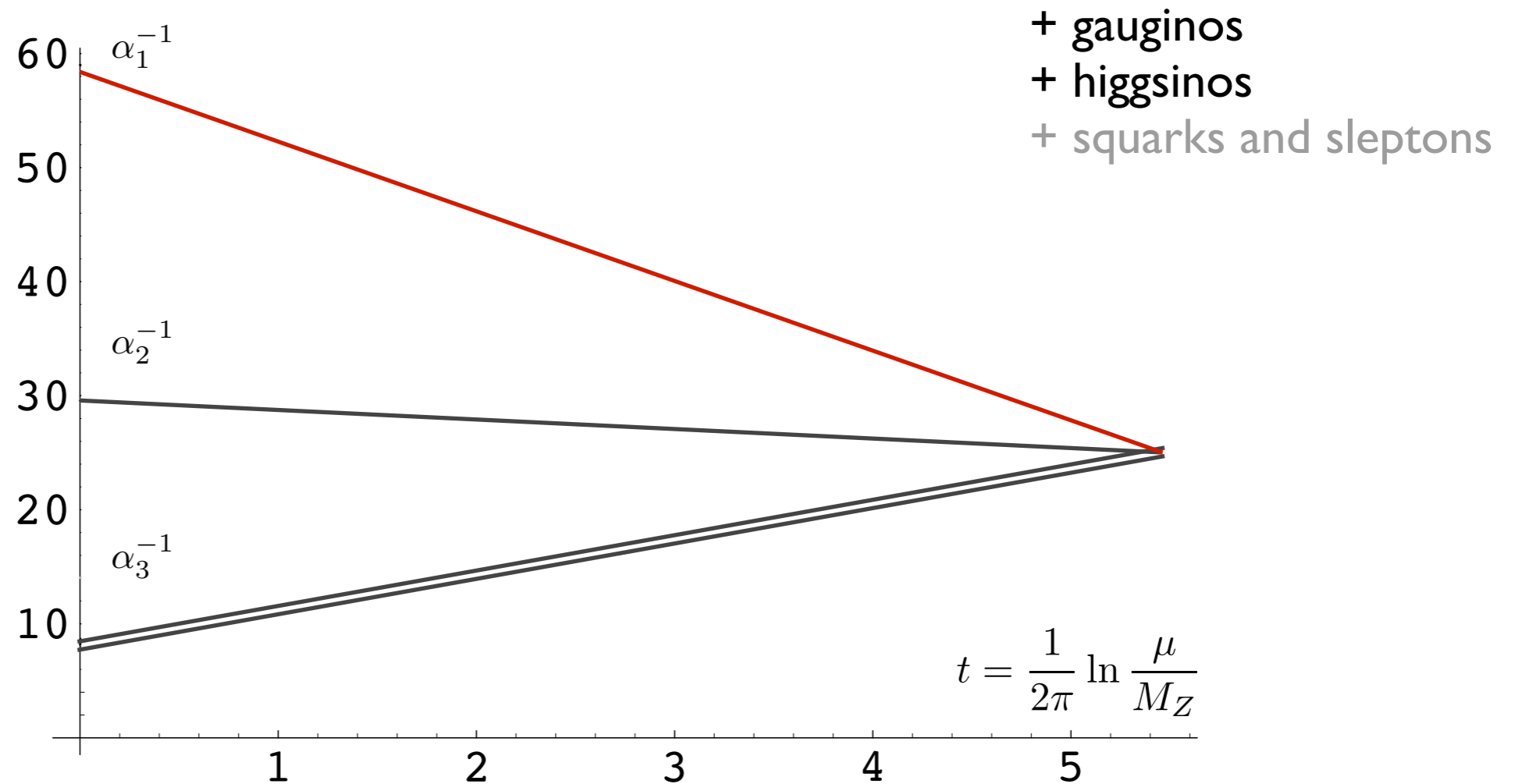
- Gauge coupling unification in minimal SU(5) GUT: $Y \in SU(5)$



no unification ?

Minimal SUSY SU(5) GUT

- Gauge coupling unification in minimal SUSY SU(5) GUT: $Y \in SU(5)$



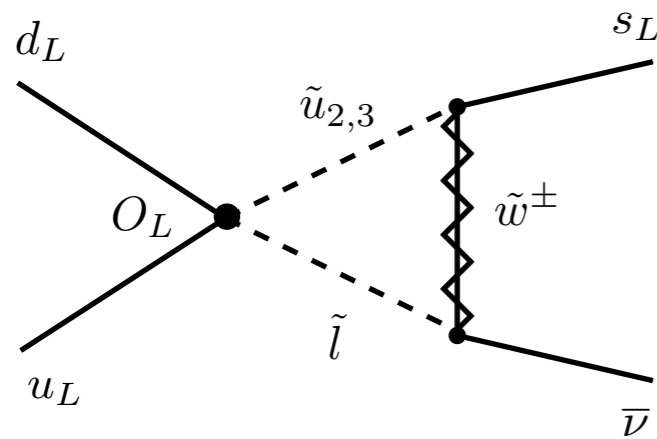
supersymmetric GUTs !

- Good also for hierarchies

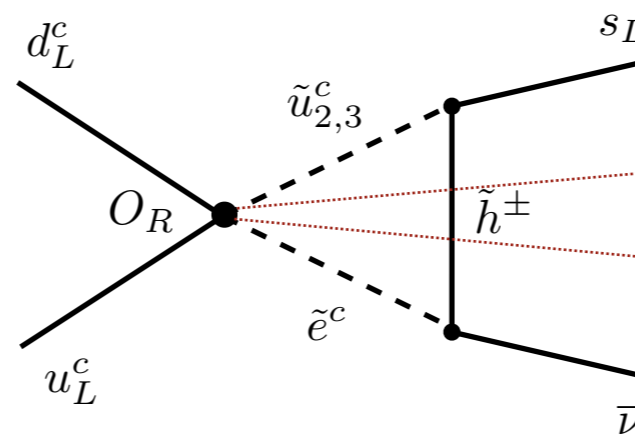
Minimal SUSY SU(5) GUT

- SUSY SU(5) : simpler than non-SUSY (up to an extra Higgs doublet)

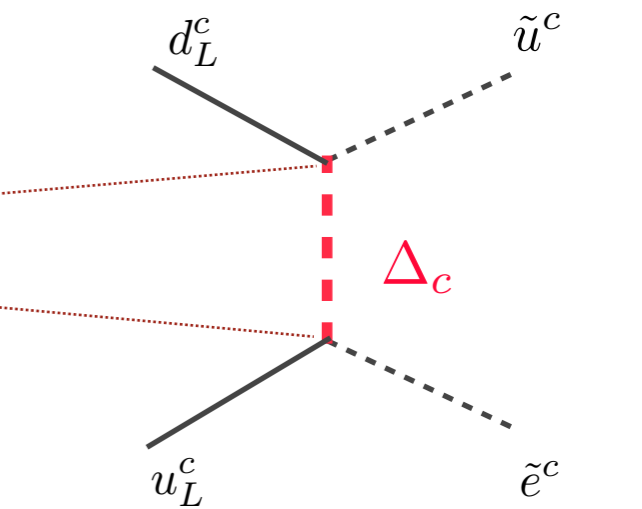
- d=5 proton decay operators in SUSY:



$$W_L \sim \frac{c_L}{M_{\Delta_c}} \hat{Q} \hat{Q} \hat{Q} \hat{L}$$



$$W_R \sim \frac{c_R}{M_{\Delta_c}} \hat{u}^c \hat{u}^c \hat{d}^c \hat{e}^c$$



$$c_L, c_R \sim Y_u Y_d^\dagger, Y_u^\dagger Y_d$$

$$\Gamma(p^+ \rightarrow K^0 e^+) \propto \frac{\alpha_G^2}{(4\pi)^4} \frac{m_p^5}{M_{\Delta_c}^2 M_{\text{SUSY}}^2} |V_{\text{CKM}} Y_u Y_d^\dagger V_{\text{CKM}}^\dagger|^2$$

- yields at best 10^{30} years;

- perhaps viable with non-renormalizable operators invoked (?) [Bajc, Fileviez-Perez, Senjanovic, 2002](#)

Interlude

- Both SUSY as well as non-SUSY minimal SU(5) very sick...
 - the only rank = 4 option though !
-

- How about rank = 5 ?

- admits adding one more Cartan to the four SM ones
- a very natural candidate: B - L
- left-right symmetric models à la

$$SU(3)_c \otimes SU(2)_L \otimes SU(2)_R \otimes U(1)_{B-L} \rightarrow SU(3)_c \otimes SU(2)_L \otimes U(1)_Y$$

- SU(2)_R requires RH neutrino, seesaw natural
- rank = 5 admits more than a single breaking step like e.g.

$$SU(4)_C \otimes SU(2)_L \otimes SU(2)_R \quad SU(3)_c \otimes SU(2)_L \otimes U(1)_R \otimes U(1)_{B-L}$$

- these emerge as subgroups of SO(10)

SO(10) basics

- Anomaly-free

$$16_F = (3, 2, +\frac{1}{6}) \oplus (1, 2, -\frac{1}{2}) \oplus (\bar{3}, 1, +\frac{1}{3}) \oplus (\bar{3}, 1, -\frac{2}{3}) \oplus (1, 1, +1) \oplus (1, 1, 0)$$

$$10_H = (1, 2, -\frac{1}{2}) \oplus (1, 2, +\frac{1}{2}) \oplus (\bar{3}, 1, +\frac{1}{3}) \oplus (3, 1, -\frac{1}{3}) \quad \text{- N.B. doublets in pairs}$$

- Strongly correlated Yukawa's: a chance to understand some aspects of flavour

$$16_F 16_F 10_H \ni \text{Dirac masses for everybody driven by a single coupling!}$$

- RH neutrinos automate, renormalizable type I+II seesaw natural

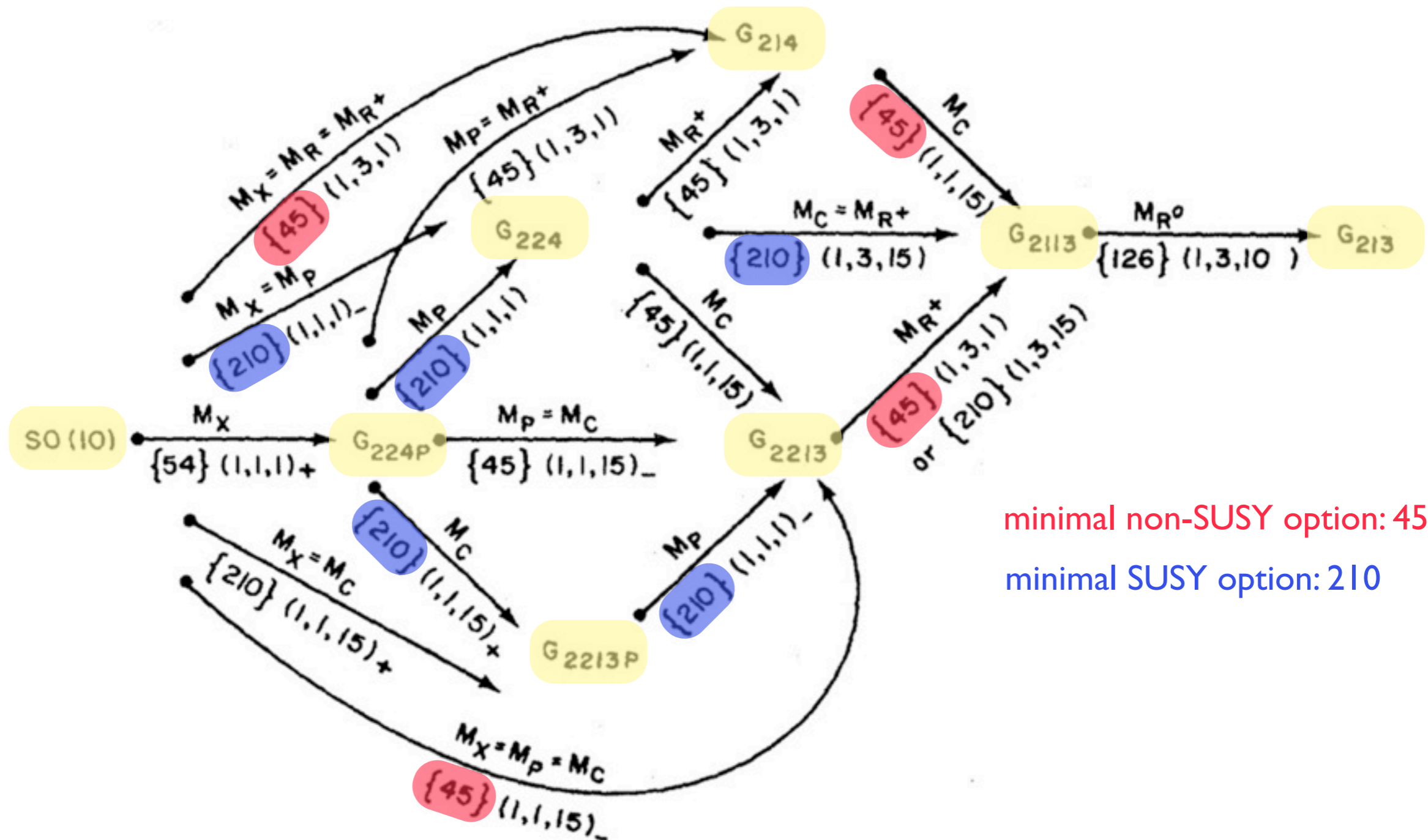
$$\overline{126}_H \ni (1, 2, -\frac{1}{2}) \oplus (1, 2, +\frac{1}{2}) \oplus (1, 1, 0) \oplus (1, 3, +1) \oplus \dots$$

$$16_F 16_F \overline{126}_H \ni \text{LH and RH Majorana neutrino masses, extra Dirac contributions}$$

- SO(10) SSB: $\overline{126}_H$ breaks large portion of the GUT symmetry, but not SU(5)

SO(10) basics

- SO(10) breaking chains (omitting the SU(5) options) :



minimal non-SUSY option: 45

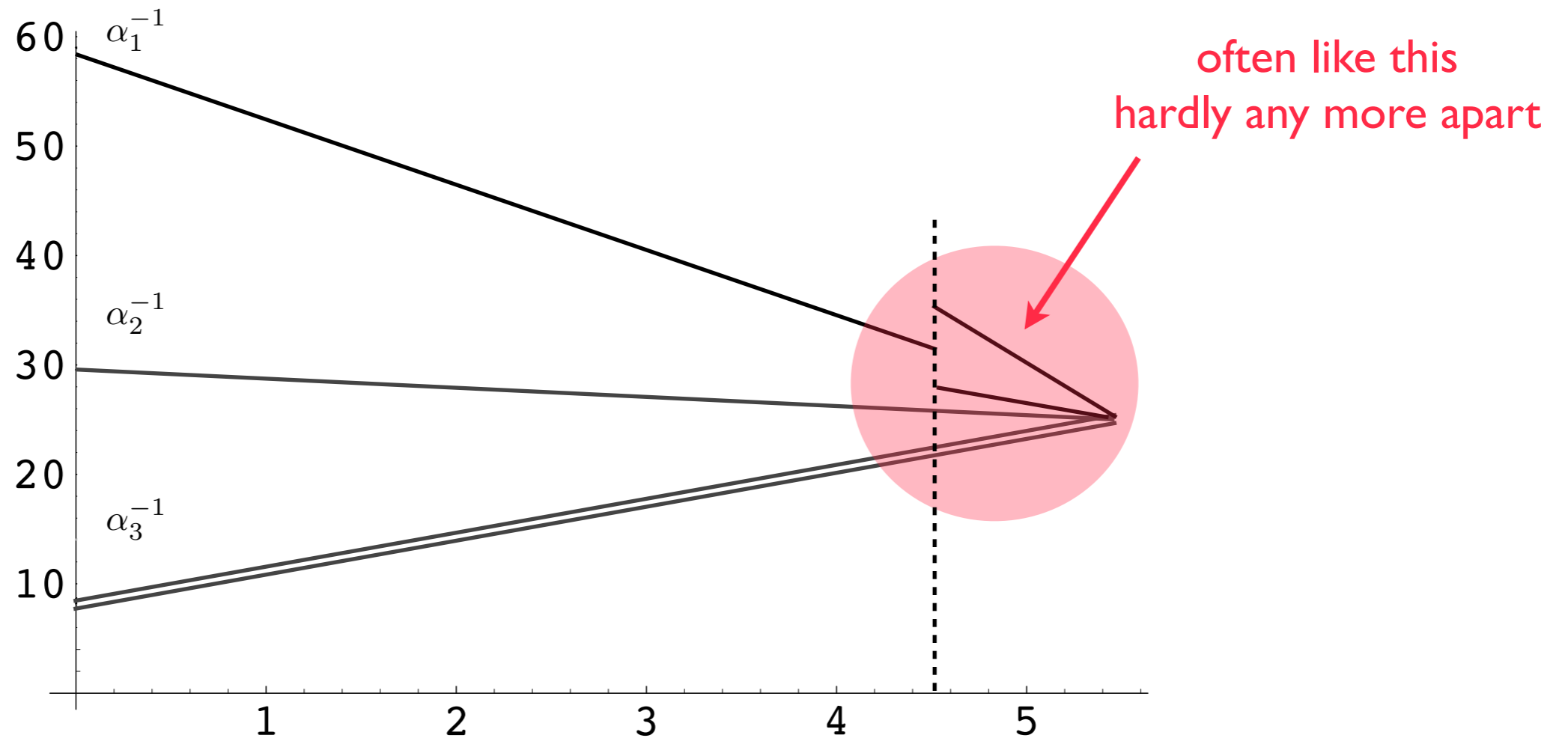
minimal SUSY option: 210

Chang, Mohapatra, Gipson, Marshak, Parida 1985

SO(10) basics

- N.B. in SUSY the extra freedom in running is not very pronounced

Example: $SO(10) \rightarrow SU(3)_c \otimes SU(2)_L \otimes SU(2)_R \otimes U(1)_{B-L} \rightarrow SM$



“SUSY likes desert”

Minimal SUSY SO(10) GUT

- Structure

Matter: $3 \times 16_F$

Higgses: $10_H \oplus \overline{126}_H \oplus 126_H \oplus 210_H$ - D-flatness, realistic breaking pattern

T.E. Clark, T.K. Kuo, N. Nakagawa 1982
 C.S. Aulakh, Rabindra N. Mohapatra 1983
 C.S. Aulakh, B. Bajc, A. Melfo, G. Senjanovic and F. Vissani 2004

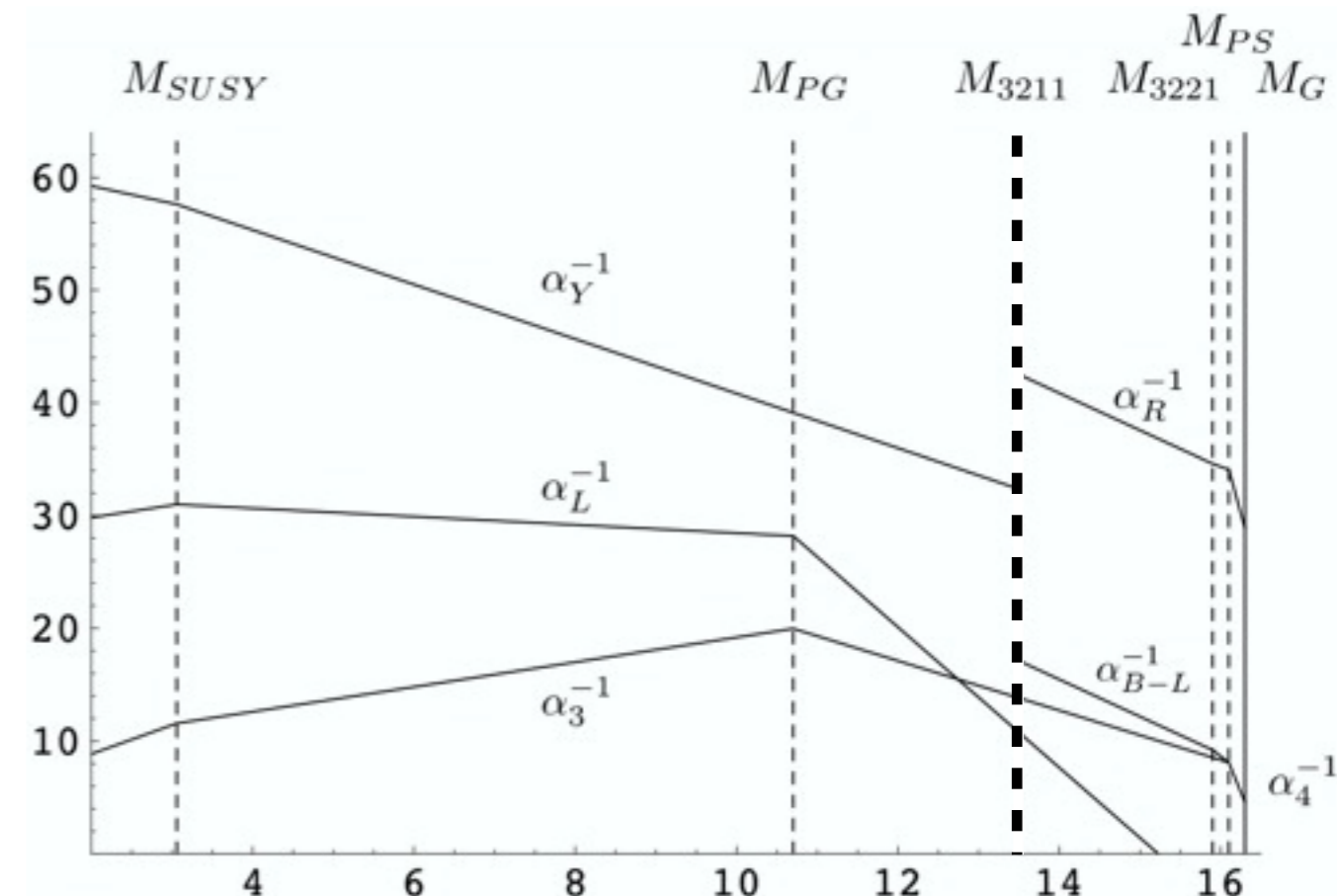
- Proton decay - in a better shape than in SU(5) - many triplets around

- Neutrino challenge:

High B - L scale \Rightarrow neutrinos too light

Low B - L scale \Rightarrow thresholds

hints: C. Aulakh, 2005
 complete analysis: S. Bertolini, MM, T. Schwetz 2006

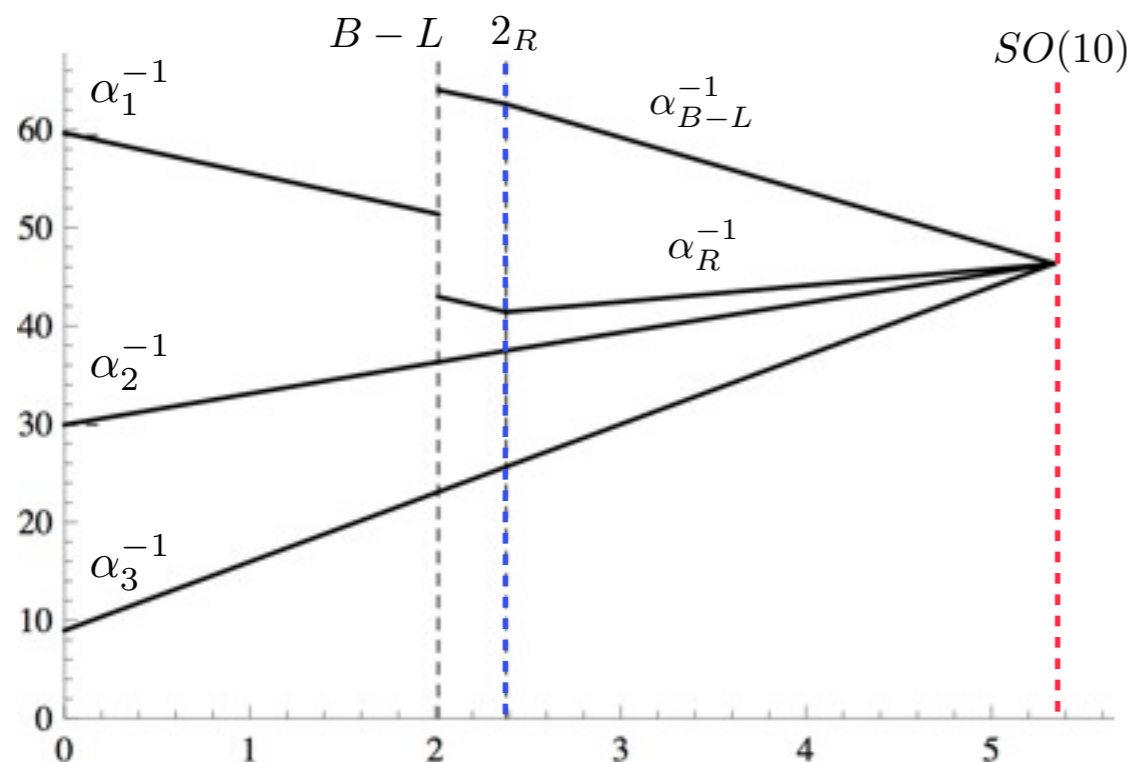


Minimal non-SUSY SO(10)

I won't be concerned about naturalness as long as predictivity is at stakes.

- Unlike minimal SU(5), minimal SO(10) can live and is simpler without SUSY
 - smaller representations, minimal model $45_H \oplus 16_H \oplus 10_H$ or $45_H \oplus \overline{126}_H \oplus 10_H$
 - only d=6 or higher proton decay

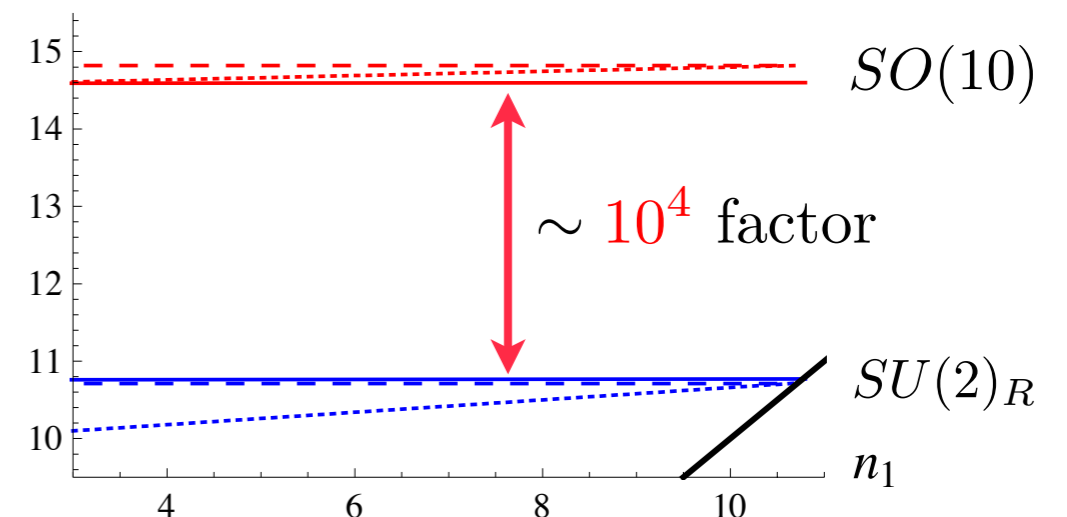
- Typical “multi-stage” breaking pattern



“non-SUSY doesn't like desert”

- a more realistic example:

$$SO(10) \rightarrow 3_c 2_L 2_R 1_{B-L} \rightarrow 3_c 2_L 1_R 1_{B-L} \rightarrow \dots$$



- gap between GUT and intermediate SSB

Chang, Mohapatra, Gipson, Marshak, Parida 1985
recent reassessment: Bertolini, MM, Di Luzio 2009

Minimal non-SUSY SO(10)

(the 45+16 variant)

- The gap from the minimal non-SUSY SO(10) perspective:

The minimal Higgs sector dynamics does not support it !!!

$$\langle 45_H \rangle = \begin{pmatrix} \omega_Y & & & & \\ & \omega_Y & & & \\ & & \omega_Y & & \\ & & & \omega_R & \\ & & & & \omega_R \end{pmatrix} \otimes \tau_2 \quad \text{triggers the following chains:}$$

$$\omega_Y \gg \omega_R \quad SO(10) \xrightarrow{45} SU(3)_c \otimes SU(2)_L \otimes SU(2)_R \otimes U(1)_{B-L} \xrightarrow{45} SU(3)_c \otimes SU(2)_L \otimes U(1)_R \otimes U(1)_{B-L} \xrightarrow{16} SM$$

$$\omega_R \gg \omega_Y \quad SO(10) \xrightarrow{45} SU(4)_C \otimes SU(2)_L \otimes U(1)_R \otimes U(1)_{B-L} \xrightarrow{45} SU(3)_c \otimes SU(2)_L \otimes U(1)_R \otimes U(1)_{B-L} \xrightarrow{16} SM$$

$$\omega_R = \pm \omega_Y \quad SO(10) \xrightarrow{45} SU(5) \otimes U(1) \xrightarrow{16} SM \quad \text{- this one we know is not realistic though!}$$

- tachyons in the scalar spectrum unless $\frac{1}{2} \leq |\omega_Y / \omega_R| \leq 2$

$$m_{(8,1,0)}^2 = 2a_2(\omega_R - \omega_Y)(\omega_R + 2\omega_Y)$$

$$m_{(1,3,0)}^2 = 2a_2(\omega_Y - \omega_R)(\omega_Y + 2\omega_R)$$

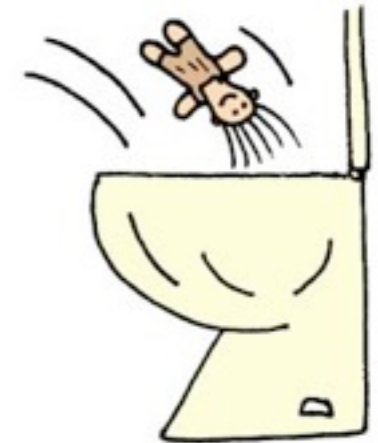
Only the (flipped) SU(5) vacuum allowed !

Yasue, Ma & co., Buccella & co. back in 1980's

Status of the Minimal non-SUSY SO(10)

- This “textbook” result implies no-go also for the Minimal non-SUSY SO(10)

Is that really a no-go?



-
- “Second Weinberg’s law of progress in particle physics”:

“Never trust arguments based on the lowest order of perturbation theory.”

S.Weinberg (an essay from 1983)

No-go? No! Go!

Resuscitating the Minimal non-SUSY SO(10)

- There is something peculiar about the “tachyons”:

$$m_{(8,1,0)}^2 = 2a_2(\omega_R - \omega_Y)(\omega_R + 2\omega_Y)$$

$$m_{(1,3,0)}^2 = 2a_2(\omega_Y - \omega_R)(\omega_Y + 2\omega_R)$$

PGB's of an enhanced global symmetry of the scalar potential, but quite interesting...

$$V = \frac{1}{2}m_{16}^2 16^\dagger 16 + \lambda(16^\dagger 16)^2 + \frac{1}{2}m_{45}^2 \text{Tr}(45^2) + a_1[\text{Tr}(45^2)]^2 + \alpha 16^\dagger 16 \text{Tr}(45^2)$$

$$+ a_2 \text{Tr}(45^4) + \beta 16^\dagger .45.45.16 + \tau 16^\dagger .45.16 + \dots$$

individual rotations of 16 and 45 preserved

individual rotations of 16 and 45 broken explicitly

How come that β and τ do not enter the PGB masses when turned on ???

- the τ - term obviously “does not have enough legs”
- the β - term is accidentally zero (resembles the SM gauge mass term)

IRRELEVANT AT THE QUANTUM LEVEL!

S.Bertolini, MM, L.Di Luzio,
arXiv:0912.xxxx[hep-ph]

Resuscitating the Minimal non-SUSY SO(10)

- We have performed a one-loop analysis of the minimal SO(10) vacuum

- Coleman-Weinberg effective potential minimization:

$$\Delta m_{(1,3,0)}^2 = \frac{1}{4\pi^2} \left[\tau^2 + \beta^2 (2\omega_R^2 - \omega_R \omega_Y + 2\omega_Y^2) + g^4 (16\omega_R^2 + \omega_Y \omega_R + 19\omega_Y^2) \right] + \text{logs},$$

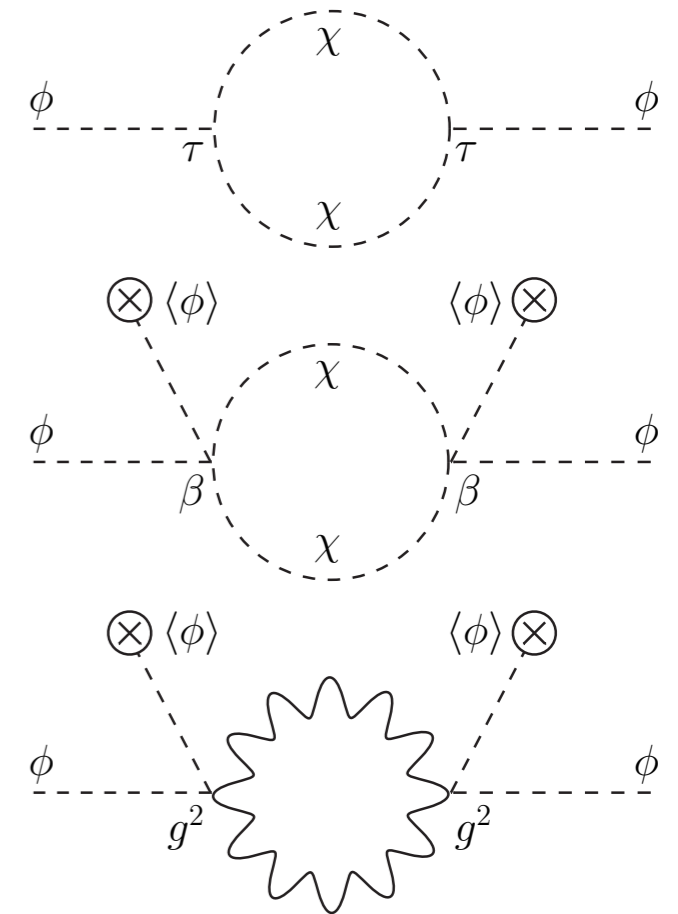
$$\Delta m_{(8,1,0)}^2 = \frac{1}{4\pi^2} \left[\tau^2 + \beta^2 (\omega_R^2 - \omega_R \omega_Y + 3\omega_Y^2) + g^4 (13\omega_R^2 + \omega_Y \omega_R + 22\omega_Y^2) \right] + \text{logs},$$

- radiative corrections to PGB masses **non-trivial & large**

- compete with the tree-level parts for $a_2 \lesssim \mathcal{O}(10^{-1})\beta, \tau$

NO TACHYONS even in the $\omega_R \gg \omega_Y$ or $\omega_Y \gg \omega_R$ regimes !
THE GAP IS SUPPORTED AT THE QUANTUM LEVEL !!!

- **unexpected bonus** : the “gap” shrinks a bit



S.Bertolini, MM, L.Di Luzio,
 arXiv:0912.xxxx[hep-ph]

Conclusions

Grand unifications are beautiful but the minimal (predictive) models tend to fail:

- Minimal SU(5) killed by unification constraints
- Minimal SUSY SU(5) killed by proton decay
- Minimal SUSY SO(10) killed by flavour + unification constraints
- Minimal non-SUSY SO(10) cursed 25 years ago for “technical reasons”
- The first quantum level analysis of its vacuum brings it back to life!

“True love’s kiss to the Sleeping Beauty... just 75 years too early ?!”

Thank you for your kind attention!