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香港聯合交易所有限公司
(香港交易及結算所有限公司全資附屬公司)

THE STOCK EXCHANGE OF HONG KONG LIMITED
(A wholly-owned subsidiary of Hong Kong Exchanges and Clearing Limited)

通告 CIRCULAR

Subject: **Launch of the Inclusion of ETFs in Stock Connect**

Enquiry: **Participant General Enquiry Hotline¹ (Tel: 2840 3626 E-mail: trd@hkex.com.hk)**

With reference to the [Joint Announcement](#) issued by the Securities and Futures Commission (SFC) and the China Securities Regulatory Commission (CSRC) today regarding the official launch of the Inclusion of Exchange Traded Funds (ETFs) in Stock Connect (the Inclusion) on 4 July 2022 (Monday), China Connect Exchange Participants (CCEPs) and Trade-through Exchange Participants (TTEPs) are requested to note the information as follows:

Eligible ETFs

The initial list² of ETFs eligible for Northbound trading is published on the [designated page](#) on the HKEX website. The lists of China Connect Securities, including ETFs, that are deemed to be eligible for Northbound trading through subsequent reviews will be published on the [All Eligible Securities page](#) and will be updated from time to time.

File Layout Update of Lists of China Connect Securities

The lists of China Connect Securities with a new file layout for Northbound trading on 4 July 2022 (Monday) will be posted on the [All Eligible Securities page](#) after the market close of 29 June 2022 (Wednesday).

Post Release Test (PRT)

CCEPs are requested to note that a PRT will be held on 2 July 2022 (Saturday). Participation is optional and CCEPs who wish to participate in the PRT are required to complete [the registration](#) and return it to the Exchange on or before 29 June 2022 (Wednesday). Detailed activity rundown for the PRT will be sent to the registered CCEPs by 30 June 2022 (Thursday).

Information of the Inclusion

All relevant information regarding the Inclusion, including the trading arrangements, eligibility criteria and [Frequently Asked Questions](#) (FAQs), can be found on the [designated page](#) on the HKEX

¹ All calls to and from the Participant General Enquiry Hotline may be recorded. HKEX Privacy Policy Statement is available [here](#).

² Data cut-off date: 29 April 2022

website. CCEPs and TTEPs are also reminded to take note of the Exchange's circulars³ for additional information.

Please send any enquiries on the list of eligible ETFs to ETFs@hkex.com.hk, enquiries on trading arrangements to trd@hkex.com.hk and enquiries on clearing and settlement arrangements to clg@hkex.com.hk.

Blanche Lui
Managing Director
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³ Ref: [CT/033/22](#), Ref: [CT/043/22](#) and Ref: [CT/059/22](#) dated 22 April, 6 May and 27 May 2022 respectively