

Annex IV: IIU Budget for 2023

1. The 2023 Work Programme and Budget of the Independent Integrity Unit was presented to the Ethics and Audit Committee and the Budget Committee of the Board⁷ for their consideration and endorsement. The following table presents a general overview of the proposed 2023 budget of the IIU:

TABLE 19. DRAFT BUDGET OF THE INDEPENDENT INTEGRITY UNIT FOR 2023

| | | Approved Budget for 2022 | Draft Budget for 2023 | Increase | | Percent of Draft 2023 Budget |
|----------|--|--------------------------|-----------------------|----------------|--------------|------------------------------|
| | | | | USD | Percent | |
| 1 | Staff and Consultants | | | | | |
| 1.1 | Full-Time Staff | 2,413,515 | 2,541,562 | 128,047 | 5.3 | 78.0 |
| 1.2 | Consultants and Interns | 96,575 | 92,556 | (4,019) | -4.2 | 2.8 |
| | Sub-total: Staff and Consultants (1) | 2,510,090 | 2,634,118 | 124,028 | 4.9 | 80.8 |
| | | | | | | |
| 2 | Travel | | | | | |
| 2.1 | Travel | 13,560 | 51,052 | 37,492 | 276.5 | 1.6 |
| | Sub-total: Travel (2) | 13,560 | 51,052 | 37,492 | 276.5 | 1.6 |
| | | | | | | |
| 3 | Contractual Services | | | | | |
| 3.1 | Professional Services | 50,000 | 100,000 | 50,000 | 100.0 | 3.1 |
| 3.2 | Brand Promotion | 7,000 | 7,500 | 500 | 7.1 | 0.2 |
| 3.3 | Operating Costs | 43,300 | 5,000 | (38,300) | -88.5 | 0.2 |
| 3.4 | Information Communication and Technology | 129,454 | 115,542 | (13,912) | -10.7 | 3.5 |
| | Sub-total: Contractual Services (3) | 229,754 | 228,042 | (1,712) | -0.7 | 7.0 |
| | | | | | | |
| | Total (1+2+3) | 2,753,404 | 2,913,212 | 159,808 | 5.8 | 89.4 |
| | | | | | | |
| 4 | Shared Cost Allocation | | | | | |
| 4.1 | Shared Cost Allocation | 349,506 | 345,656 | (3,850) | -1.1 | 10.6 |
| | Sub-total: Shared Cost Allocation (4)⁸ | 349,506 | 345,656 | (3,850) | -1.1 | 10.6 |
| | | | | | | |
| | Grand Total (1+2+3+4) | 3,102,910 | 3,258,868 | 155,958 | 5.0 | 100.0 |

⁷ As presented in Annexes V and VI to document GCF/B.34/17, respectively.

⁸ Shared costs as determined by the Secretariat based on the projected headcount of IIU for 2023. These include allocations for ICT services and business applications, standard office equipment, general utility costs and supplies, applicable insurances, and depreciation.



2. The table below elaborates on the proposed expenditure framework by presenting the cost allocations per identified key priority or output:

TABLE 20. DRAFT 2023 IIU BUDGET: COST ALLOCATION PER KEY PRIORITY/OUTPUT

| Output | Output Description/ Budget Categories | Total Budgeted Amount for 2023 | Budget Allocation (Percent) |
|---------------|--|---|--|
| 1 | Optimise Investigation Efficiencies and Capacities | | |
| 1.1 | Full-Time Staff | 470,360 | |
| 1.2 | Consultants | 11,080 | |
| 1.3 | Travel | 19,995 | |
| 1.4 | Professional Services | - | |
| 1.5 | Other Operating Costs | 29,900 | |
| | Sub-total: Optimise Investigation Efficiencies and Capacities (1) | 531,335 | 16.3 |
| 2 | Integrity Policy Guidance and Implementation Oversight | | |
| 2.1 | Full-Time Staff | 458,966 | |
| 2.2 | Consultants | - | |
| 2.3 | Travel | - | |
| 2.4 | Professional Services | - | |
| 2.5 | Other Operating Costs | - | |
| | Sub-total: Integrity Policy Guidance and Implementation Oversight (2) | 458,966 | 14.1 |
| 3 | Risk Detection, Mitigation, and Proactive Integrity Reviews | | |
| 3.1 | Full-Time Staff | 521,224 | |
| 3.2 | Consultants | 54,983 | |
| 3.3 | Travel | 9,830 | |
| 3.4 | Professional Services | 100,000 | |
| 3.5 | Other Operating Costs | 73,012 | |
| | Sub-total: Risk Detection, Mitigation, and Proactive Integrity Reviews (3) | 759,049 | 23.3 |
| 4 | External Investigative Coordination and Technical Assistance to Strengthen Capacity of Accredited Entities, Delivery Partners, and Other GCF Counterparties | | |
| 4.1 | Full-Time Staff | 518,916 | |
| 4.2 | Consultants | 6,331 | |
| 4.3 | Travel | 6,592 | |
| 4.4 | Professional Services | - | |
| 4.5 | Other Operating Costs | 5,000 | |
| | Sub-total: External Investigative Coordination and Technical Assistance to Strengthen Capacity of Accredited | 536,839 | 16.5 |



| Output | Output Description/ Budget Categories | Total Budgeted Amount for 2023 | Budget Allocation (Percent) |
|----------|---|-----------------------------------|-----------------------------------|
| | Entities, Delivery Partners, and Other GCF Counterparties (4) | | |
| | | | |
| 5 | Intelligent Automation and Operational Database Development | | |
| 5.1 | Full-Time Staff | 141,194 | |
| 5.2 | Consultants | 13,831 | |
| 5.3 | Travel | - | |
| 5.4 | Professional Services | - | |
| 5.5 | Other Operating Costs | 7,650 | |
| | Sub-total: Intelligent Automation and Operational Database Development (5) | 162,675 | 5.0 |
| | | | |
| 6 | Awareness Raising, Communications, and Enhancing Operational Efficiencies | | |
| 6.1 | Full-Time Staff | 430,902 | |
| 6.2 | Consultants | 6,331 | |
| 6.3 | Travel | 14,635 | |
| 6.4 | Professional Services | - | |
| 6.5 | Other Operating Costs | 358,136 | |
| | Sub-total: Awareness Raising, Communications, and Enhancing Operational Efficiencies (6) | 810,004 | 24.9 |
| | | | |
| | Grand Total (1+2+3+4+5+6) | 3,258,868 | 100.0 |

3. The following table further describes the proposed expenditure framework with a breakdown of the budget into contingent and non-contingent costs:

TABLE 21. DRAFT 2023 IIU BUDGET: CONTINGENT AND NON-CONTINGENT COSTS

| Cost Categories | | Draft Budget for 2023 | Budget Allocation (Percent) |
|-----------------|---|--------------------------|-----------------------------------|
| | NON-CONTINGENT COSTS | | |
| 1 | Staff and Consultants | | |
| 1.1 | Full-Time Staff | 2,541,562 | |
| 1.2 | Consultants and Interns | 69,156 | |
| | Sub-total: Staff and Consultants (1)⁹ | 2,610,718 | |
| | | | |
| 2 | Contractual Services | | |
| 2.1 | Professional Services | 100,000 | |
| 2.2 | Brand Promotion | - | |

⁹ Includes budget provisions for 12 full-time staff, 4 interns, and one remote consultancy for the continued enhancement of the Integrity Due Diligence Platform (IDDP) and data analytics capabilities in risk detection and mitigation (Lead Data Consultant).



| Cost Categories | | Draft Budget for 2023 | Budget Allocation (Percent) |
|-----------------|--|-----------------------|-----------------------------|
| 2.3 | Operating Costs | 5,000 | |
| 2.4 | Information Communication and Technology | 115,542 | |
| | Sub-total: Contractual Services (2) | 220,542 | |
| 3 | Shared Cost Allocation | | |
| 3.1 | Shared Cost Allocation | 345,656 | |
| | Sub-total: Shared Cost Allocation (3) | 345,656 | |
| A | TOTAL NON-CONTINGENT COSTS (1+2+3) | 3,176,916 | 98.0 |
| | CONTINGENT COSTS | | |
| 4 | Staff and Consultants | | |
| 4.1 | Full-Time Staff | - | |
| 4.2 | Consultants and Interns | 23,400 | |
| | Sub-total: Staff and Consultants (4)¹⁰ | 23,400 | |
| 5 | Contractual Services | | |
| 5.1 | Professional Services | - | |
| 5.2 | Brand Promotion | 7,500 | |
| 5.3 | Operating Costs | - | |
| 5.4 | Information Communication and Technology | - | |
| | Sub-total: Contractual Services (5)¹¹ | 7,500 | |
| 6 | Travel | | |
| 6.1 | Travel | 51,052 | |
| | Sub-total: Travel (6)¹² | 51,052 | |
| B | TOTAL CONTINGENT COSTS (4+5+6) | 81,952 | 2.0 |
| | Grand Total (A+B) | 3,258,868 | 100.0 |

¹⁰ Includes budget provision for a short-term consultancy assignment to support follow-up actions on PIRs, when necessary.

¹¹ Considering that IIU outreach initiatives remain largely online, communication collaterals are expected to be produced at a minimum and when necessary.

¹² All anticipated travel costs have been considered contingent due to uncertainties related to and persisting impacts of the COVID-19 pandemic on international travels. Taken into account are official missions for the operationalisation of the PIRs, potential investigative activities, and IIU representation in official meetings and relevant outreach initiatives.