



29 April 2021

NOTICE

RE: Financial Sanctions Notice

The Cayman Islands Monetary Authority ("CIMA") hereby notifies you that it has received a new Notice from the Office of Financial Sanctions Implementation, HM Treasury ("OFSI"), which is attached as an Annex to this Notice.

1. What you must do:

- A. In the case of an addition or amendment of a person to the [Consolidated List](#) and asset freeze:
 - i. Check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the OFSI Notice;
 - ii. Freeze any such accounts and other funds or economic resources.
 - iii. Refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor.
 - iv. Report any findings to the Financial Reporting Authority ("FRA") at financialsanctions@gov.ky together with any additional information that would facilitate compliance with the relevant legislative requirements.
 - v. Provide any information concerning the frozen assets of designated persons to the FRA at financialsanctions@gov.ky and submitting a compliance reporting form. Information reported to FRA may be passed to other regulatory authorities or law enforcement.

- B. In the case of the removal of a person from the [Consolidated List](#) and unfreezing of assets
 - i. Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person is no longer subject to an asset freeze.
 - ii. Remove the person from your institution's list of persons or entities subject to financial sanction.
 - iii. Un-freeze the assets of the person and where necessary re-activate all relevant accounts.

- iv. Send advice to the person that the assets are no longer subject to an asset freeze.
 - v. Advise the FRA at financialsanctions@gov.ky of the actions taken.
2. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Further Information.

For general information on financial sanctions please see FRAs Industry Guidance on targeted financial sanctions.

[http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20\(Final\).pdf](http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf).

Enquiries regarding this sanctions notice should be addressed to
The Sanctions Coordinator
Financial Reporting Authority
P.O. Box 1054
Grand Cayman KY1-1102
Cayman Islands
FinancialSanctions@gov.ky

REGIME: Global Human Rights

INDIVIDUAL

1. **Names (Last):** Hlaing **(1):** Min **(2):** Aung **(3):** n/a **(4):** n/a **(5):** n/a
Title: n/a
Position: Commander-in-Chief of the Myanmar Armed Forces (Tatmadaw)
A.K.A: n/a
Date of Birth: 03/07/1956
Place of Birth: Tavoy
Nationality: Myanmar
Passport Details: n/a
Address: Myanmar
Other Information Listed under the Global Human Rights and Myanmar sanctions regimes.
Listed On: 06/07/2020
Last Updated: 29/04/2021
Group ID: 13897

REGIME: Myanmar

INDIVIDUAL

1. **Names (Last):** Swe **(1):** Nyi **(2):** Nyi **(3):** n/a **(4):** n/a **(5):** n/a
Title: Major General
Position: Former leader of the Northern Command of the Myanmar Military (Tatmadaw)

A.K.A: n/a
Date of Birth: n/a
Place of Birth: n/a
Nationality: Myanmar
Passport Details: n/a
Address: Myanmar
Other Information Date designated on UK Sanctions List: 29/04/2021
Listed On: 24/12/2018
Last Updated: 29/04/2021
Group ID: 13739

2. **Names (Last):** Thu **(1):** Aung **(2):** Myo **(3):** n/a **(4):** n/a **(5):** n/a
Title: Major
Position: Field Unit Commander of 33rd Light Infantry Division (LID) of the Myanmar Armed Forces (Tatmadaw)
A.K.A: n/a
Date of Birth: n/a
Place of Birth: n/a
Nationality: Myanmar
Passport Details: n/a
Address: Myanmar
Other Information Date designated on UK Sanctions List: 29/04/2021
Listed On: 24/12/2018
Last Updated: 29/04/2021
Group ID: 13736

3. **Names (Last):** Kyaw **(1):** Ba **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a
Title: Staff Sergeant
Position: Staff Sergeant in the 564th Light Infantry Battalion (LIB) of Myanmar Armed Forces (Tatmadaw)
A.K.A: n/a
Date of Birth: n/a
Place of Birth: n/a
Nationality: Myanmar
Passport Details: n/a
Address: n/a
Other Information Date designated on UK Sanctions List: 29/04/2021
Listed On: 24/12/2018
Last Updated: 29/04/2021
Group ID: 13733

4. **Names (Last):** Chay **(1):** Kyaw **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a
Title: Corporal
Position: A Corporal in the Border Guard Police (BGP)
A.K.A: n/a

Date of Birth: n/a
Place of Birth: n/a
Nationality: Myanmar
Passport Details: n/a
Address: Myanmar
Other Information Date designated on UK Sanctions List: 29/04/2021
Listed On: 24/12/2018
Last Updated: 29/04/2021
Group ID: 13738

5. **Names (Last):** Win **(1):** Thant **(2):** Zaw **(3):** n/a **(4):** n/a **(5):** n/a
Title: Major
Position: A Major in the 564th Light Infantry Battalion of the Myanmar Armed Forces (Tatmadaw)
A.K.A: Win Than Zaw
Date of Birth: n/a
Place of Birth: n/a
Nationality: Myanmar
Passport Details: n/a
Address: Myanmar
Other Information Date designated on UK Sanctions List: 29/04/2021.
Listed On: 24/12/2018
Last Updated: 29/04/2021
Group ID: 13737

6. **Names (Last):** Naing **(1):** Tun **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a
Title: Officer
Position: Commanding officer of the Border Guard Police (BGP) base in Taung Bazar
A.K.A: n/a
Date of Birth: n/a
Place of Birth: n/a
Nationality: Myanmar
Passport Details: n/a
Address: n/a
Other Information Date designated on UK Sanctions List: 29/04/2021.
Listed On: 24/12/2018
Last Updated: 29/04/2021
Group ID: 13734

7. **Names (Last):** Oo **(1):** Thant **(2):** Zin **(3):** n/a **(4):** n/a **(5):** n/a
Title: Commander
Position: Commander of the 8th Security Police Battalion
A.K.A: n/a
Date of Birth: n/a
Place of Birth: n/a

Nationality: Myanmar
Passport Details: n/a
Address: n/a
Other Information Date designated on UK Sanctions List: 29/04/2021
Listed On: 26/06/2018
Last Updated: 29/04/2021
Group ID: 13693

8. **Names (Last):** Aung **(1):** Aung **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a
Title: Brigadier General
Position: Commander of the 33rd Light Infantry Division of the Myanmar Armed Forces (Tatmadaw)
A.K.A: n/a
Date of Birth: n/a
Place of Birth: n/a
Nationality: Myanmar
Passport Details: n/a
Address: Mandalay
Other Information Date designated on UK Sanctions List: 29/04/2021
Listed On: 26/06/2018
Last Updated: 29/04/2021
Group ID: 13686

9. **Names (Last):** Hlaing **(1):** Than **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a
Title: n/a
Position: (1) Deputy Minister for Home Affairs (2) Chief of Police
A.K.A: n/a
Date of Birth: n/a
Place of Birth: n/a
Nationality: Myanmar
Passport Details: n/a
Address: Myanmar
Other Information n/a
Listed On: 18/02/2021
Last Updated: 29/04/2021
Group ID: 14057

10. **Names (Last):** Hlaing **(1):** Min **(2):** Aung **(3):** n/a **(4):** n/a **(5):** n/a
Title: n/a
Position: Commander-in-Chief of the Myanmar Armed Forces (Tatmadaw)
A.K.A: n/a
Date of Birth: 03/07/1956
Place of Birth: Tavoy
Nationality: Myanmar
Passport Details: n/a

Address: Myanmar

Other Information Listed under the Global Human Rights and Myanmar sanctions regimes.

Listed On: 25/02/2021

Last Updated: 29/04/2021

Group ID: 13897

11. **Names (Last):** Oo **(1):** Than **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a

Title: Brigadier General

Position: Commander in the 99th Light Infantry Division of the Myanmar Armed Forces

A.K.A: n/a

Date of Birth: 12/10/1973

Place of Birth: n/a

Nationality: Myanmar

Passport Details: n/a

Address: Meikthila Myanmar

Other Information Date designated on UK Sanctions List: 29/04/2021

Listed On: 26/06/2018

Last Updated: 29/04/2021

Group ID: 13684

12. **Names (Last):** Zaw **(1):** Aung **(2):** Kyaw **(3):** n/a **(4):** n/a **(5):** n/a

Title: Lieutenant General

Position: (1) Chief of No 3 and No 5 Bureau of Special Operations, Office of the Commander-in-Chief of the Defense Services, Myanmar Army (2) Currently Chief of Bureau of Special Operations No 6

A.K.A: n/a

Date of Birth: 20/08/1961

Place of Birth: n/a

Nationality: Myanmar

Passport Details: DM000826. Date of Issue: 22 November 2011. Date of Expiry: 21 November 2021

Address: Nay Pyi Taw Myanmar

Other Information Date designated on UK Sanctions List: 29/04/2021

Listed On: 26/06/2018

Last Updated: 29/04/2021

Group ID: 13681

13. **Names (Last):** Soe **(1):** Khin **(2):** Maung **(3):** n/a **(4):** n/a **(5):** n/a

Title: Brigadier General

Position: Commander of the Military Operation Command 15

A.K.A: n/a

Date of Birth: 00/00/1972

Place of Birth: n/a

Nationality: Myanmar

Passport Details: n/a

Address: Myanmar

Other Information Date designated on UK Sanctions List: 29/04/2021.

Listed On: 26/06/2018

Last Updated: 29/04/2021

Group ID: 13689

14. **Names (Last):** Soe **(1):** Maung **(2):** Maung **(3):** n/a **(4):** n/a **(5):** n/a

Title: Major General

Position: Former Commander of the Myanmar Army's Western Command

A.K.A: n/a

Date of Birth: 00/03/1964

Place of Birth: n/a

Nationality: Myanmar

Passport Details: n/a

Address: Myanmar

Other Information Date designated on UK Sanctions List: 29/04/2021

Listed On: 26/06/2018

Last Updated: 29/04/2021

Group ID: 13682

15. **Names (Last):** Lwin **(1):** Thura **(2):** San **(3):** n/a **(4):** n/a **(5):** n/a

Title: Brigadier General

Position: Commander of the Border Guard Police

A.K.A: n/a

Date of Birth: 17/03/1959

Place of Birth: n/a

Nationality: Myanmar

Passport Details: n/a

Address: n/a

Other Information Date designated on UK Sanctions List: 29/04/2021

Listed On: 26/06/2018

Last Updated: 29/04/2021

Group ID: 13692

16. **Names (Last):** Hlaing **(1):** Khin **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a

Title: Brigadier General

Position: (1) Commander of the Northeastern Command of the Myanmar Armed Forces (Tatmadaw) (2) Former Leader of the 99th Light Infantry Division (LID) of the Myanmar Military (Tatmadaw)

A.K.A: n/a

Date of Birth: 02/05/1968

Place of Birth: n/a

Nationality: Myanmar

Passport Details: n/a

Address: Myanmar

Other Information Date designated on UK Sanctions List: 29/04/2021

Listed On: 24/12/2018

Last Updated: 29/04/2021

Group ID: 13735

17. **Names (Last):** Htut **(1):** Soe **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a

Title: n/a

Position: Minister for Home Affairs and the Minister for the Office of the Union Government

A.K.A: n/a

Date of Birth: 00/03/1960

Place of Birth: n/a

Nationality: Myanmar

Passport Details: n/a

Address: Myanmar

Other Information Lt-Gen Soe Htut graduated from Intake 64 of the Myanmar military's Officer Training School. Became a regional commander in 2010.

Listed On: 18/02/2021

Last Updated: 29/04/2021

Group ID: 14056

18. **Names (Last):** Oo **(1):** Mya **(2):** Tun **(3):** n/a **(4):** n/a **(5):** n/a

Title: n/a

Position: (1) Minister of Defence (2) State Administration Council Member

A.K.A: Oo Mya Htun

Date of Birth: 05/05/1961

Place of Birth: n/a

Nationality: Myanmar

Passport Details: n/a

Address: Myanmar

Other Information Joined Military 1980. Rapid rise to General 26 August 2016 (Chief of General Staff, Army, Navy and Air Force).

Listed On: 18/02/2021

Last Updated: 29/04/2021

Group ID: 14058

19. **Names (Last):** San **(1):** Tin **(2):** Aung **(3):** n/a **(4):** n/a **(5):** n/a

Title: n/a

Position: (1) Commander-in-Chief of the Myanmar Navy (2) SAC Member

A.K.A: n/a

Date of Birth: 16/10/1960

Place of Birth: n/a

Nationality: Myanmar

Passport Details: n/a

Address: Myanmar

Other Information n/a

Listed On: 25/02/2021

Last Updated: 29/04/2021

Group ID: 14059

20. **Names (Last):** Kyaw **(1):** Maung **(2):** Maung **(3):** n/a **(4):** n/a **(5):** n/a

Title: n/a

Position: (1) Commander-in-Chief of Air Force (2) Member of State Administration Council

A.K.A: n/a

Date of Birth: 23/07/1964

Place of Birth: n/a

Nationality: Myanmar

Passport Details: n/a

Address: Myanmar

Other Information Air Force Serial: BAF1925.

Listed On: 25/02/2021

Last Updated: 29/04/2021

Group ID: 14060

21. **Names (Last):** Dwe **(1):** Aung **(2):** Lin **(3):** n/a **(4):** n/a **(5):** n/a

Title: n/a

Position: (1) Secretary of the SAC (2) Judge Advocate General of the TMD (3) Secretary to the Peace Negotiation Committee

A.K.A: n/a

Date of Birth: 31/05/1962

Place of Birth: n/a

Nationality: Myanmar

Passport Details: n/a

Address: Myanmar

Other Information n/a

Listed On: 25/02/2021

Last Updated: 29/04/2021

Group ID: 14061

22. **Names (Last):** Tun **(1):** Moe **(2):** Myint **(3):** n/a **(4):** n/a **(5):** n/a

Title: n/a

Position: SAC Member

A.K.A: n/a

Date of Birth: 24/05/1968

Place of Birth: n/a

Nationality: Myanmar

Passport Details: n/a

Address: Myanmar

Other Information n/a

Listed On: 25/02/2021

Last Updated: 29/04/2021

Group ID: 14062

Names (Last): **Oo** (1): **Ye** (2): **Win** (3): **n/a** (4): **n/a** (5): **n/a**

Title: **n/a**

Position: **Joint Secretary of SAC**

A.K.A: **n/a**

Date of Birth: **03/05/1968**

Place of Birth: **n/a**

Nationality: **Myanmar**

Passport Details: **n/a**

Address: **Myanmar**

Other Information **n/a**

Listed On: **25/02/2021**

Last Updated: 29/04/2021

Group ID: **14063**