



Financial Sanctions Notice

January 20, 2021

The Cayman Islands Monetary Authority ("CIMA") hereby notifies you that it has received a new Notice from the Office of Financial Sanctions Implementation, HM Treasury ("OFSI"), which is attached as an Annex to this Notice.

What you must do:

- A. In the case of an addition or amendment of a person to the [Consolidated List](#) and asset freeze:
 - i. Check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the OFSI Notice;
 - ii. Freeze any such accounts and other funds or economic resources.
 - iii. Refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor.
 - iv. Report any findings to the Financial Reporting Authority ("FRA") at financialsanctions@gov.ky together with any additional information that would facilitate compliance with the relevant legislative requirements.
 - v. Provide any information concerning the frozen assets of designated persons to the FRA at financialsanctions@gov.ky and submitting a compliance reporting form. Information reported to FRA may be passed to other regulatory authorities or law enforcement.
- B. In the case of the removal of a person from the [Consolidated List](#) and unfreezing of assets
 - i. Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person is no longer subject to an asset freeze.
 - ii. Remove the person from your institution's list of persons or entities subject to financial sanction.
 - iii. Un-freeze the assets of the person and where necessary re-activate all relevant accounts.
 - iv. Send advice to the person that the assets are no longer subject to an asset freeze.
 - v. Advise the FRA at financialsanctions@gov.ky of the actions taken.
2. Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Further Information.

For general information on financial sanctions please see FRAs Industry Guidance on targeted financial sanctions.

[http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20\(Final\).pdf](http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf).

Enquiries regarding this sanctions notice should be addressed to
The Sanctions Coordinator
Financial Reporting Authority
P.O. Box 1054
Grand Cayman KY1-1102
Cayman Islands
FinancialSanctions@gov.ky

REGIME: Counter-Terrorism (International)

ENTITY

- Names (Last):** Hamas including Izz al-Din al-Qassam Brigades **(1):** n/a **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a
A.K.A: n/a
Other Information Date designated on UK Sanctions List: 31/12/2020
Listed On: 15/09/2003
Last Updated: 20/01/2021
Group ID: 7855

REGIME: Democratic Republic of the Congo

INDIVIDUAL

- Names (Last):** Bwambale **(1):** Frank **(2):** Kakolele **(3):** n/a **(4):** n/a **(5):** n/a
Title: n/a
Position: FARDC General
A.K.A: (1) Kakorere Frank, (2) Bwambale Frank Kakorere, (3) Blanc Aigle
Date of Birth: n/a
Place of Birth: n/a
Nationality: Democratic Republic of the Congo
Passport Details: n/a
Address: Kinshasa Democratic Republic of the Congo (as of June 2016)
Other Information (UN Ref): CDi.002
Listed On: 02/11/2005
Last Updated: 20/01/2021
Group ID: 8732

2. **Names (Last):** Bukande **(1):** Jérôme **(2):** Kakwavu **(3):** n/a **(4):** n/a **(5):** n/a
Title: General
Position: Former General in the FARDC
A.K.A: (1) Kakwavu Jérôme, (2) Jérôme Commandant
Date of Birth: n/a
Place of Birth: Goma
Nationality: Democratic Republic of the Congo
Passport Details: n/a
Address: n/a
Other Information (UN Ref):CDi.005
Listed On: 02/11/2005
Last Updated: 20/01/2021
Group ID: 8707
3. **Names (Last):** Lubanga **(1):** Thomas **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a
Title: n/a
Position: n/a
A.K.A: n/a
Date of Birth: n/a
Place of Birth: Ituri
Nationality: Democratic Republic of the Congo
Passport Details: n/a
Address: Democratic Republic of the Congo (in prison)
Other Information (UN Ref):CDi.007
Listed On: 02/11/2005
Last Updated: 20/01/2021
Group ID: 8738
4. **Names (Last):** Myamuro **(1):** Baudoin **(2):** Ngaruye **(3):** Wa **(4):** n/a **(5):** n/a
Title: Brigadier General
Position: Military Leader of the movement du Mars (M23)
A.K.A: Ngaruye Colonel Baudoin
Date of Birth: (1) 1978, (2) 01/04/1978
Place of Birth: (1) Bibwe (2) Lusamambo, Lubero territory
Nationality: Democratic Republic of the Congo
Passport Details: 1-78-09-44621-80
Address: Rubavu / Mudende Rwanda
Other Information (UN Ref):CDi.019
Listed On: 28/02/2013

Last Updated: 20/01/2021

Group ID: 12828

5. **Names (Last):** Ozia Mazio **(1):** Dieudonné **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a
Title: n/a
Position: Former president of the Fédération des entreprises congolaises (FEC) in ARU territory
A.K.A: (1) Ozia Mazio, (2) Omari, (3) Mr Omari
Date of Birth: 06/06/1949
Place of Birth: Ariwara
Nationality: Democratic Republic of the Congo
Passport Details: n/a
Address: n/a
Other Information (UN Ref): CDi.027.
Listed On: 02/11/2005
Last Updated: 20/01/2021
Group ID: 8705

6. **Names (Last):** Badege **(1):** Eric **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a
Title: n/a
Position: n/a
A.K.A: n/a
Date of Birth: 1971
Place of Birth: n/a
Nationality: Democratic Republic of the Congo
Passport Details: n/a
Address: Rwanda (as of early 2016)
Other Information (UN Ref): CDi.001
Listed On: 23/01/2013
Last Updated: 20/01/2021
Group ID: 12838

7. **Names (Last):** Njabu **(1):** Floribert **(2):** Ngabu **(3):** n/a **(4):** n/a **(5):** n/a
Title: n/a
Position: President of FNI
A.K.A: (1) Ngabu Floribert Njabu, (2) Ndjabu Floribert, (3) Ndjabu Floribert Ngabu
Date of Birth: 23/05/1971
Place of Birth: n/a
Nationality: Democratic Republic of the Congo
Passport Details: Passport No OB 0243318
Address: n/a

Other Information (UN Ref):CDi.021

Listed On: 02/11/2005

Last Updated: 20/01/2021

Group ID: 8737

8. **Names (Last):** Katanga **(1):** Germain **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a

Title: n/a

Position: n/a

A.K.A: n/a

Date of Birth: 28/04/1978

Place of Birth: Mambasa, Ituri Province

Nationality: Democratic Republic of the Congo

Passport Details: n/a

Address: Democratic Republic of the Congo (in prison)

Other Information (UN Ref):CDi.006

Listed On: 02/11/2005

Last Updated: 20/01/2021

Group ID: 8735

9. **Names (Last):** Zimurinda **(1):** Innocent **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a

Title: Lieutenant Colonel

Position: M23, Bde Comd, Rank: Colonel and in the FARDC

A.K.A: Zimulinda

Date of Birth: (1) 01/09/1972, (2) 1975, (3) 16/03/1972

Place of Birth: Ngungu, Masisi Territory, North Kivu Province, Masisi

Nationality: Democratic Republic of the Congo

Passport Details: n/a

Address: Masisi Territory North Kivu Province Democratic Republic of the Congo

Other Information (UN Ref): CDi.031

Listed On: 03/12/2010

Last Updated: 20/01/2021

Group ID: 11278

10. **Names (Last):** Mpamo **(1):** Iruta **(2):** Douglas **(3):** n/a **(4):** n/a **(5):** n/a

Title: n/a

Position: Owner/Manager of the Compagnie Aérienne des Grands Lacs and of Great Lakes Business Company (GLBC)

A.K.A: (1) Mpamo Douglas Iruta, (2) Mpano

Date of Birth: (1) 28/12/1965, (2) 29/12/1965

Place of Birth: (1) Bashali, Masisi (2) Goma (3) Uvira, Masisi

Nationality: Democratic Republic of the Congo
Passport Details: n/a
Address: Gisenyi Rwanda (as of June 2011)
Other Information (UN Ref):CDi.011
Listed On: 02/11/2005
Last Updated: 20/01/2021
Group ID: 8711

11. **Names (Last):** Mutebutsi **(1):** Jules **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a
Title: n/a
Position: n/a
A.K.A: (1) Mutebusi Jules, (2) Mutebuzi Jules, (3) Mutebutsi Colonel
Date of Birth: 1964
Place of Birth: Minembwe, South Kivu
Nationality: Democratic Republic of the Congo
Passport Details: n/a
Address: n/a
Other Information (UN Ref):CDi.018
Listed On: 02/11/2005
Last Updated: 20/01/2021
Group ID: 8709

12. **Names (Last):** Mandro **(1):** Khawa **(2):** Panga **(3):** n/a **(4):** n/a **(5):** n/a
Title: n/a
Position: n/a
A.K.A: (1) Panga Kawa, (2) Mandro Kawa Panga, (3) Mandro Kawa, (4) Karim Yves Andoul, (5) Kahwa Mandro Panga, (6) Mandro Yves Khawa Panga, (7) Kahwa Chief, (8) Kawa
Date of Birth: 20/08/1973
Place of Birth: Bunia
Nationality: Democratic Republic of the Congo
Passport Details: n/a
Address: Uganda (as of May 2016)
Other Information (UN Ref):CDi.009
Listed On: 02/11/2005
Last Updated: 20/01/2021
Group ID: 8708

13. **Names (Last):** Nkunda **(1):** Laurent **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a
Title: n/a
Position: (1) Former RCD-G General (2) Founder of the National Congress for the People's Defense, Senior Officer for the Rally for Congolese Democracy-Goma

(RCD-G) (3) Officer for the Rwandan Patriotic Front (RPF)

A.K.A: (1) Laurent Nkunda Mihigo, (2) Bwatare Laurent Nkunda, (3) Nkundabatware Laurent, (4) Batware Laurent Nkunda Mahoro, (5) Batware Laurent Nkunda, (6) Chairman, (7) Nkunda General, (8) Six Papa

Date of Birth: (1) 06/02/1967, (2) 02/02/1967

Place of Birth: North Kivu/Rutshuru

Nationality: Democratic Republic of the Congo

Passport Details: n/a

Address: n/a

Other Information (UN Ref): CDi.022. Also referred to as Chairman, General Nkunda and Papa Six.

Listed On: 02/11/2005

Last Updated: 20/01/2021

Group ID: 8710

14. **Names (Last):** Ngudjolo **(1):** Mathieu **(2):** Chui **(3):** n/a **(4):** n/a **(5):** n/a

Title: n/a

Position: n/a

A.K.A: Ngudjolo Cui

Date of Birth: 08/10/1970

Place of Birth: Bunia, Ituri Province

Nationality: Democratic Republic of the Congo

Passport Details: n/a

Address: Democratic Republic of the Congo

Other Information (UN Ref):CDi.020

Listed On: 02/11/2005

Last Updated: 20/01/2021

Group ID: 8734

15. **Names (Last):** Makenga **(1):** Sultani **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a

Title: n/a

Position: Military leader of the Mouvement du 23 Mars (M23) group operating in the Democratic Republic of the Congo

A.K.A: (1) Makenga Colonel Sultani, (2) Makenga Emmanuel Sultani

Date of Birth: 25/12/1973

Place of Birth: Rutshuru

Nationality: Democratic Republic of the Congo

Passport Details: n/a

Address: n/a

Other Information (UN Ref):CDi.008

Listed On: 28/02/2013

Last Updated: 20/01/2021

Group ID: 12812

