



19 June 2023

## NOTICE

### RE: Financial Sanctions Notice

The Cayman Islands Monetary Authority ("CIMA") hereby notifies you that it has received a new Notice from the Office of Financial Sanctions Implementation, HM Treasury ("OFSI"), which is attached as an Annex to this Notice.

#### 1. What you must do:

- A. In the case of an addition or amendment of a person to the [Consolidated List](#) and asset freeze:
  - i. Check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the OFSI Notice;
  - ii. Freeze any such accounts and other funds or economic resources.
  - iii. Refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor.
  - iv. Report any findings to the Financial Reporting Authority ("FRA") at [financialsanctions@gov.ky](mailto:financialsanctions@gov.ky) together with any additional information that would facilitate compliance with the relevant legislative requirements.
  - v. Provide any information concerning the frozen assets of designated persons to the FRA at [financialsanctions@gov.ky](mailto:financialsanctions@gov.ky) and submitting a compliance reporting form. Information reported to FRA may be passed to other regulatory authorities or law enforcement.
  
- B. In the case of the removal of a person from the [Consolidated List](#) and unfreezing of assets
  - i. Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person is no longer subject to an asset freeze.
  - ii. Remove the person from your institution's list of persons or entities subject to financial sanction.
  - iii. Un-freeze the assets of the person and where necessary re-activate all relevant accounts.

- iv. Send advice to the person that the assets are no longer subject to an asset freeze.
- v. Advise the FRA at [financialsanctions@gov.ky](mailto:financialsanctions@gov.ky) of the actions taken.

Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

### **Further Information.**

For general information on financial sanctions please see FRAs Industry Guidance on targeted financial sanctions.

[http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20\(Final\).pdf](http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf).

Enquiries regarding this sanctions notice should be addressed to  
The Sanctions Coordinator  
Financial Reporting Authority  
P.O. Box 1054  
Grand Cayman KY1-1102  
Cayman Islands  
[FinancialSanctions@gov.ky](mailto:FinancialSanctions@gov.ky)

REGIME: Democratic Republic of the Congo

*INDIVIDUAL*

1. **Names (Last):** NDJUKPA **(1):** DESIRE **(2):** LONDROMA **(3):** n/a **(4):** n/a **(5):** n/a  
**Name (non-Latin):** Désiré Londroma Ndjukpa  
**Title:** n/a  
**Position:** Commander of CODECO URDPC  
**A.K.A:** DESIRE LOKANA LOKANZA (non-Latin: Désiré Lokana Lokanza)  
**Date of Birth:** n/a  
**Place of Birth:** n/a  
**Nationality:** n/a  
**Passport Number:** n/a **Passport Details:** n/a  
**Address:** n/a  
**Other Information** (UK Sanctions List Ref): DRC0063 (UK Statement of Reasons): Désiré Londroma NDJUKPA is an involved person under the Democratic Republic of the Congo (Sanctions) (EU Exit) Regulations 2019 because he is or has been involved in the commission of serious violations of international humanitarian law in the Democratic Republic of the Congo, including rape, mass rape and other forms of sexual and gender-based violence, through his role as a leader of CODECO-URDPC..  
**Listed On:** 19/06/2023  
**Last Updated:** **19/06/2023**  
**Group ID:** 15989
  
2. **Names (Last):** YAKUTUMBA **(1):** WILLIAM **(2):** n/a **(3):** n/a **(4):** n/a **(5):** n/a  
**Name (non-Latin):** n/a  
**Title:** n/a  
**Position:** Leader of the Mai-Mai Yakutumba  
**A.K.A:** n/a  
**Date of Birth:** 00/00/1970  
**Place of Birth:** n/a  
**Nationality:** n/a  
**Passport Number:** n/a **Passport Details:** n/a  
**Address:** n/a

**Other Information** (UK Sanctions List Ref): DRC0062 (UK Statement of Reasons): William YAKUTUMBA is an "involved person" under the Democratic Republic of the Congo (Sanctions) (EU Exit) Regulations 2019 because he is or has been involved in the commission of violations of international humanitarian law in the Democratic Republic of the Congo, including rape, mass rape and other forms of sexual and gender-based violence, through his role as leader of the Mai-Mai Yakutumba..

**Listed On:** 19/06/2023

**Last Updated:** **19/06/2023**

**Group ID:** 15988

REGIME: Syria

*INDIVIDUAL*

1. **Names (Last):** ABBAS **(1):** ALI **(2):** MAHMOUD **(3):** n/a **(4):** n/a **(5):** n/a

**Name (non-Latin):** n/a

**Title:** General

**Position:** Minister of Defence

**A.K.A:** n/a

**Date of Birth:** 02/11/1964

**Place of Birth:** Rif Dimashq Governorate

**Nationality:** Syria

**Passport Number:** n/a **Passport Details:** n/a

**Address:** n/a

**Other Information** (UK Sanctions List Ref): SYR0408 (UK Statement of Reasons): Ali Mahmoud ABBAS is an "involved person" under the Syria (Sanctions) (EU Exit) Regulations 2019 on the basis that he is or has been involved in repressing the civilian population in Syria through his role as the Syrian Minister of Defence. Specifically, ABBAS is or has been involved in the repression of the Syrian population through his commanding role of the Syrian military and armed forces, who have systematically used rape, and other forms of sexual and gender-based violence against civilians..

**Listed On:** 19/06/2023

**Last Updated:** **19/06/2023**

**Group ID:** 15991

2. **Names (Last):** IBRAHIM **(1):** ABDEL **(2):** KARIM **(3):** MAHMOUD **(4):** n/a **(5):** n/a

**Name (non-Latin):** n/a

**Title:** General

**Position:** Chief of the General Staff of the Army and Armed Forces

**A.K.A:** n/a

**Date of Birth:** n/a

**Place of Birth:** Tartous governorate

**Nationality:** Syria

**Passport Number:** n/a **Passport Details:** n/a

**Address:** n/a

**Other Information** (UK Sanctions List Ref): SYR0407 (UK Statement of Reasons): Abdel Karim Mahmoud IBRAHIM is an "involved person" under the Syria (Sanctions) (EU Exit) Regulations 2019 on the basis that he is or has been involved in repressing the civilian population in Syria through his role as the Chief of the General Staff of the Syrian Army and Armed Forces. Specifically, IBRAHIM is or has been involved in the repression of the Syrian population through commanding military forces where there has been systematic use of rape and other forms of sexual and gender-based violence..

**Listed On:** 19/06/2023

**Last Updated:** **19/06/2023**

**Group ID:** 15990