



10 March 2022

NOTICE

RE: Financial Sanctions Notice

The Cayman Islands Monetary Authority ("CIMA") hereby notifies you that it has received a new Notice from the Office of Financial Sanctions Implementation, HM Treasury ("OFSI"), which is attached as an Annex to this Notice.

1. What you must do:

- A. In the case of an addition or amendment of a person to the [Consolidated List](#) and asset freeze:
 - i. Check whether you maintain any accounts or hold any funds or economic resources for the persons set out in the OFSI Notice;
 - ii. Freeze any such accounts and other funds or economic resources.
 - iii. Refrain from dealing with the funds or assets or making them available (directly or indirectly) to such persons unless licensed by the Governor.
 - iv. Report any findings to the Financial Reporting Authority ("FRA") at financialsanctions@gov.ky together with any additional information that would facilitate compliance with the relevant legislative requirements.
 - v. Provide any information concerning the frozen assets of designated persons to the FRA at financialsanctions@gov.ky and submitting a compliance reporting form. Information reported to FRA may be passed to other regulatory authorities or law enforcement.

- B. In the case of the removal of a person from the [Consolidated List](#) and unfreezing of assets
 - i. Check whether you have frozen assets of any person or entity removed from the Consolidated List and verify that the person is no longer subject to an asset freeze.
 - ii. Remove the person from your institution's list of persons or entities subject to financial sanction.
 - iii. Un-freeze the assets of the person and where necessary re-activate all relevant accounts.

- iv. Send advice to the person that the assets are no longer subject to an asset freeze.
- v. Advise the FRA at financialsanctions@gov.ky of the actions taken.

Failure to comply with financial sanctions legislation or to seek to circumvent its provisions is a criminal offence.

Further Information.

For general information on financial sanctions please see FRAs Industry Guidance on targeted financial sanctions.

[http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20\(Final\).pdf](http://fra.gov.ky/app/webroot/files/2020-02-21%20FRA%20Financial%20Sanctions%20Guidance%20(Final).pdf).

Enquiries regarding this sanctions notice should be addressed to
The Sanctions Coordinator
Financial Reporting Authority
P.O. Box 1054
Grand Cayman KY1-1102
Cayman Islands
FinancialSanctions@gov.ky

REGIME: Russia

INDIVIDUAL

1. **Names (Last):** ABRAMOVICH **(1):** ROMAN **(2):** ARKADYEVICH **(3):** n/a **(4):** n/a **(5):** n/a
Name (non-Latin): Роман Аркадьевич АБРАМОВИЧ
Title: n/a
Position: (1) Businessman – Owner of Chelsea Football Club (2) Stakeholder in Evraz PLC and Norilsk Nickel
A.K.A: ROMAN ABRAMOVICH
Date of Birth: 24/10/1966
Place of Birth: Saratov
Nationality: (1) Russia (2) Israel (3) Portugal
Passport Number: (1) CB982788 (2) 24132276 **Passport Details:** (1) Portugal (2) Israel
Address: n/a
Other Information (UK Sanctions List Ref): RUS0270 (UK Statement of Reasons): Roman Arkadyevich ABRAMOVICH (hereafter ABRAMOVICH) is a prominent Russian businessman and pro-Kremlin oligarch. ABRAMOVICH is associated with a person who is or has been involved in destabilising Ukraine and undermining and threatening the territorial integrity, sovereignty and independence of Ukraine, namely Vladimir Putin, with whom ABRAMOVICH has had a close relationship for decades. This association has included obtaining a financial benefit or other material benefit from Putin and the Government of Russia. This includes tax breaks received by companies linked to ABRAMOVICH, buying and selling shares from and to the state at favourable rates, and the contracts received in the run up to the FIFA 2018 World Cup. Therefore, ABRAMOVICH has received preferential treatment and concessions from Putin and the Government of Russia. ABRAMOVICH is also associated with a person who is or has been involved in obtaining a benefit from or supporting the Government of Russia, namely: former First Deputy Prime Minister, and current Chairman of the Management Board for VEB, Igor Shuvalov; former General Director of Gazprom Investment Holdings, Alisher Usmanov, both of whom were sanctioned on 3 March 2022 for being involved persons in obtaining a benefit from or supporting the Russian government through carrying on business in a sector of strategic significance to the Russian government. ABRAMOVICH is associated with these individuals through close business relationships and mutual assistance, including ABRAMOVICH financing Shuvalov's trust and the subsequent loans from Shuvalov to enable Usmanov's purchase of a British mill. Furthermore, ABRAMOVICH is or has been involved in destabilising Ukraine and undermining and threatening the territorial integrity, sovereignty and independence of Ukraine, via

Evraz PLC, a steel manufacturing and mining company in which ABRAMOVICH has a significant shareholding and over which ABRAMOVICH exercises effective control. Evraz PLC is or has been involved in providing financial services, or making available funds, economic resources, goods or technology that could contribute to destabilising Ukraine or undermining or threatening the territorial integrity, sovereignty or independence of Ukraine – which includes potentially supplying steel to the Russian military which may have been used in the production of tanks. ABRAMOVICH exercises effective control of Evraz PLC given his significant shareholding and the shareholdings of his close associates who it is reasonable to expect ABRAMOVICH could direct through his close ties with Abramov and Shvidler, as well as his power to nominate directors of the board. ABRAMOVICH is or has been involved in obtaining a benefit from or supporting the Government of Russia, as ABRAMOVICH and Evraz PLC carry on business in sectors of strategic significance to the Government of Russia – namely the construction, defence and extractive sectors..

Listed On: 10/03/2022

Last Updated: 10/03/2022

Group ID: 14212

2. **Names (Last):** DERIPASKA **(1):** OLEG **(2):** VLADIMIROVICH **(3):** n/a **(4):** n/a **(5):** n/a

Name (non-Latin): Олег Владимирович ДЕРИПАСКА

Title: Mr

Position: Shareholder at EN+ GROUP

A.K.A: OLEG DERIPASKA

Date of Birth: 02/01/1968

Place of Birth: Dzerzhinsk

Nationality: Russia

Passport Number: n/a **Passport Details:** n/a

Address: n/a

Other Information (UK Sanctions List Ref): RUS0269 (UK Statement of Reasons): Oleg Vladimirovich DERIPASKA, hereafter DERIPASKA, is a prominent Russian businessman and pro-Kremlin oligarch. DERIPASKA is closely associated with the Government of Russia and Vladimir Putin. Therefore, DERIPASKA is associated with persons who are involved in destabilising and threatening the territorial integrity, sovereignty and independence of Ukraine. DERIPASKA is or has been involved in obtaining benefit from or supporting the Government of Russia, by carrying on business in, and owning or controlling and working as a director or equivalent in businesses in the Russian extractives and energy sectors, sectors of strategic significance to the Government of Russia..

Listed On: 10/03/2022

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Group ID: 14214

3. **Names (Last):** KOSTIN **(1):** ANDREI **(2):** LEONIDOVICH **(3):** n/a **(4):** n/a **(5):** n/a

Name (non-Latin): Андрей Леонидович КОСТИН

Title: Mr

Position: (1) President of VTB Bank (PJSC) (2) Chairman of VTB Bank (PJSC) Management Board (3) Member of the Supervisory Council of VTB Bank (PJSC) (4) Chairman of the Strategy and Corporate Governance Committee

A.K.A: (1) ANDREI KOSTIN (2) ANDREY KOSTIN (3) ANDREY LEONIDOVICH KOSTIN

Date of Birth: 21/09/1956

Place of Birth: Moscow

Nationality: Russia

Passport Number: n/a **Passport Details:** n/a

Address: n/a

Other Information (UK Sanctions List Ref): RUS0267 (UK Statement of Reasons): Andrei Leonidovich KOSTIN (hereafter KOSTIN) is a prominent Russian businessman and banker, with close links to Vladimir Putin. KOSTIN holds a role equivalent to executive director as the President of VTB Bank (PJSC) and Chairman of VTB Bank (PJSC) Management Board. VTB Bank (PJSC) is owned or controlled directly or indirectly by the Government of Russia because the Russian Federal Agency for State Property Management owns 60.9% of ordinary shares within it; VTB Bank is therefore a Government of Russia-affiliated entity. KOSTIN therefore is or has been involved in supporting the Government of Russia through his role working as a director (whether

executive or non-executive), trustee, or equivalent as President of VTB Bank (PJSC) and Chairman of VTB Bank (PJSC) Management Board. VTB Bank (PJSC) is a Government of Russia-affiliated entity, and/or is an entity that is carrying on business in the Russian financial services sector, a sector of strategic significance to the Government of Russia..

Listed On: 10/03/2022

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Group ID: 14217

4. **Names (Last):** LEBEDEV **(1):** DMITRI **(2):** ALEKSEEVICH **(3):** n/a **(4):** n/a **(5):** n/a

Name (non-Latin): Дмитрий Алексеевич ЛЕБЕДЕВ

Title: n/a

Position: Chairman of Board of Directors, Bank Rossiya

A.K.A: (1) DMITRI LEBEDEV (2) DMITRI ALEKSEYEVICH LEBEDEV

Date of Birth: 03/03/1968

Place of Birth: Leningrad/St Petersburg

Nationality: Russia

Passport Number: n/a **Passport Details:** n/a

Address: Moscow, Russia.

Other Information (UK Sanctions List Ref): RUS0272 (UK Statement of Reasons): DMITRI ALEKSEEVICH LEBEDEV, hereafter LEBEDEV, is the Chairman of the Board of Directors at Bank Rossiya and Managing Director and Co-Chairman of the Board of Management of ABR Management, and a member of Board of Directors of SOGAZ JSC, (both of whom are affiliates of Bank Rossiya), one of Russia's largest insurers. As Chairman of the Board of Directors at Bank Rossiya and a Member of the Board of Directors at SOGAZ, he is involved in carrying on business in a sector (financial services) of strategic significance to the Russian Government. Bank Rossiya is a Russian bank privately owned by elite Russian billionaires with direct links to Vladimir Putin. Since the annexation of Crimea, Bank Rossiya has opened branches across Crimea and Sevastopol, and provided travel cards for the public to travel across the peninsula thereby supporting the integration of Crimea and Sevastopol into the Russian Federation through the financial system. Bank Rossiya has also contributed to the provision of insurance and investment throughout Crimea and Sevastopol and services to support military capability and major transport links. SOGAZ JSC, the largest insurer in the Russian corporate sector, insured the construction of the railway infrastructure connecting the bridge over the Kerch Strait and the Port of Taman and reinsured the construction of the bridge over the Kerch Strait between the Russian mainland and the Crimean peninsula. In doing so, SOGAZ supported the illegally annexed Crimean peninsula into the Russian Federation which in turn further undermined the territorial integrity, sovereignty and independence of Ukraine. LEBEDEV therefore is involved in carrying on business in a sector of strategic significance to the Russian Government, has obtained a benefit from or is supporting the Russian Government by working as a director or equivalent of a company which carries on business in sectors of strategic significance (financial services) to the Government of Russia, and has supported activities which destabilise Ukraine and undermine and threaten its sovereignty, independence and territorial integrity..

Listed On: 10/03/2022

Last Updated: 10/03/2022

Group ID: 14215

5. **Names (Last):** MILLER **(1):** ALEXEI **(2):** BORISOVICH **(3):** n/a **(4):** n/a **(5):** n/a

Name (non-Latin): Алексей Борисович МИЛЛЕР

Title: n/a

Position: (1) Deputy Chairman of the Board of Directors (2) Chairman of the Management Committee of GAZPROM

A.K.A: (1) ALEXEI MILLER (2) ALEXEY MILLER

Date of Birth: 31/01/1962

Place of Birth: Leningrad/St Petersburg

Nationality: Russia

Passport Number: n/a **Passport Details:** n/a

Address: Moscow, Russia.

Other Information (UK Sanctions List Ref): RUS0268 (UK Statement of Reasons): ALEXEI BORISOVICH MILLER, hereafter MILLER, is a prominent Russian businessman with close personal ties to

Vladimir Putin. In 2001, Putin appointed MILLER to the position of CEO of GAZPROM, Russia's largest energy producer, and was re-appointed for a further 5-year term in 2021. As CEO of GAZPROM, whose majority shareholder is the Government of Russia, MILLER is involved in carrying on business in a sector (energy) of strategic significance to the Russian Government. GAZPROM has also provided deliveries of liquefied petroleum gas to Crimea which was annexed illegally by Russia in 2014. MILLER therefore is involved in carrying on a business in a sector of strategic significance to the Government of Russia, has obtained a financial benefit from the Government of Russia, and has supported activities which undermine and/or threaten the sovereignty, independence and territorial integrity of Ukraine..

Listed On: 10/03/2022

Last Updated: 10/03/2022

Group ID: 14216

6. **Names (Last):** SECHIN (1): IGOR (2): IVANOVICH (3): n/a (4): n/a (5): n/a

Name (non-Latin): Игорь Иванович СЕЧИН

Title: n/a

Position: (1) Chief Executive Officer (2) Chairman of the Management Board (3) Deputy Chairman of the Board of Directors, Rosneft

A.K.A: IGOR SECHIN

Date of Birth: 07/09/1960

Place of Birth: Leningrad / St. Petersburg

Nationality: Russia

Passport Number: n/a **Passport Details:** n/a

Address: n/a

Other Information (UK Sanctions List Ref): RUS0273 (UK Statement of Reasons): Igor Ivanovich SECHIN (hereafter referred to as SECHIN) is a prominent Russian businessman with close personal ties to Vladimir Putin. SECHIN is Chief Executive Officer, Chairman of the Management Board and Deputy Chairman of the Board of Directors at Rosneft. Rosneft is the leading company in the Russian oil sector and the largest publicly owned oil and gas corporation in the world. Its main shareholder is ROSNEFTEGAZ JSC, which is 100% owned by the Government of Russia. It is therefore a Government of Russia-affiliated entity. Therefore, as a result of his positions above, SECHIN is involved in obtaining a benefit from and supporting the Government of Russia as he holds the position of a director in a Government of Russia-affiliated entity, and one that carries on business in a sector of strategic significance to the Government of Russia..

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Group ID: 14213

Names (Last): TOKAREV (1): NIKOLAI (2): PETROVICH (3): n/a (4): n/a (5): n/a

Name (non-Latin): Николай Петрович ТОКАРЕВ

Title: n/a

Position: (1) Chairman of the Management (2) President of Transneft

A.K.A: (1) NIKOLAI TOKAREV (2) NIKOLAY TOKAREV

Date of Birth: 20/12/1950

Place of Birth: Karaganda

Nationality: Russia

Passport Number: n/a Passport Details: n/a

Address: n/a

Other Information (UK Sanctions List Ref): RUS0271 (UK Statement of Reasons): Nikolai TOKAREV, hereafter TOKAREV, is a prominent Russian businessman with significant interests in the extractives and energy industries, as well as a longstanding associate of Vladimir Putin. He is currently President of Transneft – a state enterprise that provides services for oil and oil products transportation within Russia and beyond. Transneft is a

Government of Russia-affiliated entity which carries on business in sectors of strategic significance to the Government of Russia. TOKAREV is working as a director (whether executive or non-executive), trustee, or equivalent of Transneft, and is therefore a person who is or has been involved in obtaining a benefit from or supporting the Government of Russia..

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Group ID: **14218**