

COLOMBIA

**Financial
Superintendence of
Colombia**

CAYMAN ISLANDS

**The Cayman Islands
Monetary Authority**

**MEMORANDUM
OF UNDERSTANDING
FOR THE EXCHANGE OF INFORMATION
AND MUTUAL COOPERATION FOR CONSOLIDATED AND CROSS-
BORDER SUPERVISION**

**Memorandum of Understanding ("MoU")
for the exchange of information and mutual cooperation for consolidated and cross-
border supervision
Between
Financial Superintendence of Colombia ("SFC") and Cayman Islands Monetary Authority
("CIMA")**

Recitals

- A. CIMA, legally represented by Mrs. Cindy Scotland, Managing Director, was established as a body corporate on January 1, 1997 as a result of the merger of the former Financial Services Supervision Department and the Cayman Islands Currency Board. Its statutory functions are set out in the Monetary Authority Law (2011 Revision) and include- (a) currency management; (b) regulation and supervision of financial services business carried on from or within the Islands in accordance with the regulatory laws; (c) advising the government; and (d) liaising and cooperating with overseas regulators as well as monitoring procedures for the prevention of money laundering. CIMA has the legal authority to enter into a memorandum of understanding with an overseas regulatory authority for the purpose of assisting cross border supervision or for such other supervisory and regulatory purposes as it deems fit pursuant to section 51(1) of the Monetary Authority Law (2011 Revision), as amended by the Monetary Authority (Amendment) Law 2013. Further, CIMA is obliged, under section 50 of the Monetary Authority Law (2011 Revision), to keep any information shared by or with an overseas regulatory authority or any communication related thereto confidential.
- B. The SFC, legally represented by Mr. Gerardo Hernandez Correa, Colombia's Financial Superintendent, is a technical entity of the Ministry of Finance with legal, administrative and financial autonomy, and own equity, in accordance with article 11.2.1.1.1. of Decree 2555 of 2010. The SFC is the supervisor of the national banking, insurance, pension funds, and securities markets, under the Colombian Laws. Within its jurisdiction, the SFC supervises the institutions of the financial, insurance, pension funds and securities markets, with the sole purpose of assuring their stability and fine performance, as well as maintaining and fostering a sound and balanced development of the financial system as a whole, while protecting the interests of the public. According to article 22 of Law 964 of 2005 and numeral 8 of article 326 of the Organic Statute of the Colombian Financial System – EOSF (Decree 663 of 1993), the SFC is authorized to assist and co-operate with similar foreign supervisory authorities and to exchange public and non-public information with the commitment that the receiving authority will keep it under reserve and confidential treatment.
- C. CIMA and the SFC wish to enter into this MoU to provide a formal basis for co-operation, including for the exchange of information, On-Site Inspections, visits and investigative assistance, as well as cooperation in matters related to training, technical assistance and internships, to the extent permitted by Applicable Laws, Regulations and Requirements entrusted to CIMA and the SFC. CIMA and the SFC believe such

co-operation will enable them more effectively to perform their functions. The conditions agreed in this MoU do not have the purpose of creating legal obligations or replacing their domestic laws.

- D. This MoU demonstrates the commitment of both authorities to the compliance with the Basel Core Principles for Effective Banking Supervision issued by the Bank of International Settlements (BIS), the Objectives and Principles of Securities Regulation issued by the International Organization of Securities Commissions (IOSCO), the Insurance Core Principles issued by the International Association of Insurance Supervisors (IAIS), and the Regulatory and Supervisory Principles issued by the International Organization of Pension Supervisors (IOPS) and by the International Association of Supervisory Organisms of Pension Funds (AIOS, for its Spanish acronym). The SFC is member of these organizations, with the exception of the BIS. CIMA is also a member of all of these organizations.
- E. In the case of the SFC a supervised institution, which includes banking organizations and financial institutions, correspond to any of the following: credit establishments; trust companies; general deposit warehouses; pension and severance fund management companies; PAYGO system management companies; insurance companies; insurance cooperatives; re-insurance companies; capitalization companies; professional risk management companies; brokers of insurance and reinsurance; foreign exchange brokerage and special financial services companies; Central Bank (Banco de la República, in Spanish); representative offices of financial organizations, reinsurance companies and institutions of foreign securities market; securities stock exchanges and their brokers; stock exchanges of agricultural, agro-industrial and other commodities products and goods, their brokers and institutions performing clearing and settlement of transactions carried out through it; securities centralized deposits; management companies of securities trading systems; management companies of clearing and settlement systems; central counterparty risk clearing houses; investment management companies; risk rating agencies; securitization companies; management companies of foreign exchange trading and registration systems and of foreign exchange clearing and settlement systems; and, in general, all institutions or activities that by law are or become subject to inspection and surveillance of the SFC, or subject to its control. Likewise, in accordance with literal a) of article 45 of the Organic Statute of the Financial System (EOSF – for its acronym in Spanish), added through article 65 of Law 1328 of 2009, which becomes applicable since July 15th of 2013, due to branches of banks and of foreign insurance companies are considered financial institutions, are subject to inspection and surveillance of the SFC. Thus, such institutions have the same rights and are subject to the same obligations as those of national banks and insurance companies, as the case may be. Inspection and surveillance of branches of foreign banks and insurance companies will be carried out in the same terms and conditions as such function is done with respect to banks and insurance companies established in the national territory.
- F. CIMA exercises regulatory and supervisory functions over entities which fall under its regulatory laws which includes any one or more of the following : (a) Banks and Trust Companies (b) Building Societies (c) Cooperative Societies (d) Companies Management (e) Insurance Companies (f) Portfolio Insurance Companies (g) Money Services Businesses (h) Mutual Funds (i) Securities and Investment Businesses to

include cross border entities which may include, but are not limited to, brokers or dealers, investment companies, operators, promoters, insurance brokers, agents and managers.

1. Interpretation

In this MoU, unless the context requires otherwise:

"Applicable Laws, Regulations and Requirements" means any law, regulation or requirement applicable in the Cayman Islands and/or in Colombia and where the context permits includes:

- (a) any law, regulation or requirement applicable in the Cayman Islands or Colombia; and
- (b) any rule, direction, requirement, guidance or policy made or given by or to be taken into account by an Authority in their respective country.

"Authority" means CIMA or the SFC and **"Authorities"** means CIMA and the SFC.

"Person" means a natural person, legal entity, unincorporated entity or association including corporations and partnerships.

"Requested Authority" means an authority to whom a request is made under this MoU.

"Requesting Authority" means an authority making a request under this MoU.

"Home Supervisor" means the supervisor located in the Cayman Islands or in Colombia, respectively, responsible for the supervision on a consolidated basis of Banking or Financial Institution.

"Host Supervisor" means the supervisor located in the Cayman Island or in Colombia, respectively, responsible for the surveillance of a Cross-Border Establishment or a Financial Institution in the other Authority's jurisdiction.

"Banking Organization(s)" means the institution carrying out banking activities in the Cayman Islands and/or in Colombia through its head office and Cross-Border Establishments, that are subject to the supervision and regulation of any of the Authorities in accordance with the Applicable Laws, Regulations and Requirements in their respective jurisdictions. In its case, Banking Organization will include any holding company and its bank subsidiaries duly incorporated and placed under the supervision of any of the Authorities.

"Cross-Border Establishment(s)" means an organizational unit carrying out banking activities authorized in the Cayman Islands or in Colombia of a Banking Organization which is authorized in the other jurisdiction, or an institution carrying out banking activities authorized in the Cayman Islands or in Colombia controlled by a Banking Organization which is authorized in the other jurisdiction. A Cross-Border Establishment is also an institution that by virtue of direct or indirect investment by a

Banking Organization established in the home supervisor's jurisdiction is subject to supervision by any of the Authorities.

"Financial Institution(s)" means those entities different from a Banking Organizations or a Cross-Border Establishment, subject to the supervision by any of the Authorities and which are controlled by a Financial Institution or a Banking Organization authorized in the other jurisdiction. A Financial Institution is also an organization that by virtue of direct or indirect investment by a Financial Institution established in the home jurisdiction is subject to supervision by any of the Authorities.

"Visit(s)" means the visits carried out at the Banking Organizations or a Financial Institution located in the other Authority's territory with the purpose to revise and supervise the systems and process related to the operation of the Banking Organizations or Financial Institutions, authorized to act as agent for by the Cayman Islands or Colombian institutions supervised by CIMA or the SFC, respectively. Furthermore, visits can be for cooperation and/or technical assistance purposes.

"On-Site Inspections(s)" means the official visits of inspection carried out at a Cross-Border Establishment or at a Financial Institution by the Home Supervisor, or Host Supervisor as appropriate, through duly authorized officers, to verify its compliance with the Authority's Applicable Laws, Regulations and Requirements.

2. Purpose and Principles

- 2.1. The purpose of this MoU is to assist the Authorities to carry out their responsibilities and functions more effectively, by providing a framework for mutual co-operation for consolidated and cross-border supervision, including the exchange of information, channels for communication, increased shared understanding, On-Site Inspections, visits and the provisions of investigative assistance, as well as matters related to promoting the design and development of joint supervisory methodologies, training, technical assistance and internships to the extent permitted by Applicable Laws, Regulations and Requirements.
- 2.2. The Authorities acknowledge that the gathering and furnishing of information and documentation referred to under this MoU, shall be carried out under Applicable Laws, Regulations and Requirements.
- 2.3. The Authorities acknowledge that they may only provide information under this MoU if permitted or not prevented under Applicable Laws, Regulations and Requirements.
- 2.4. This MoU does not confer upon any Person or an Authority the right or ability, directly or indirectly, to obtain, suppress or exclude any information or to challenge the execution of a request for assistance under this MoU.

3. Provision of Unsolicited Information

The Authorities may provide information, or arrange for information to be provided, on a voluntary basis even though no request has been made.

4. Requests for Mutual Co-operation and/or Assistance

If a request for mutual co-operation and/or assistance is made, each Authority will use reasonable efforts to provide assistance to the other, subject to its Applicable Laws, Regulations, and Requirements.

4.1. Assistance may include for example:

- (a) Providing information in the possession of the Requested Authority;
- (b) Confirming or verifying information provided to it for that purpose by the Requesting Authority;
- (c) Exchanging information on or discussing issues of mutual interest;
- (d) Obtaining specified information and documents from persons;
- (e) Questioning or taking testimony of persons designated by the Requesting Authority, in accordance with the Applicable Laws, Regulations and Requirements of the Requested Authority; and
- (f) Conducting On-Site Inspections or Visits of Cross-Border Establishments or arranging for the same.

4.2. The Authorities acknowledge that communication between them generates mutual benefits for the development of consolidated supervision and for the exercising of their functions. In this sense, cooperation shall include the exchange of information during the process of authorization or licensing of Cross-Border Establishments, as well as in the supervision of the activities of the Banking Organizations, Financial Institutions and Cross-Border Establishments, under trust, reciprocity and confidentiality conditions.

Regarding the authorization process of Cross-Border Establishments, the Authorities agree that:

- (a) The Host Supervisor will notify the Home Supervisor, without delay, of applications for approval of incorporation or creation of a Cross-Border Establishment, or for the direct or indirect acquisition of a Cross-Border Establishment by an institution supervised by the Home Supervisor or any of its related or subordinate institutions.
- (b) If requested, the Home Supervisor will inform the Host Supervisor if the Banking Organization or the Financial Institution substantially complies with Applicable Laws, Regulations and Requirements, and if it can be expected that, given its administrative structure and internal controls, such institution can appropriately handle the Cross-Border Establishment. Upon request, the Home Supervisor will assist the Host Supervisor in order to verify or complement any information given by the Banking Organizations and Financial Institutions
- (c) The Home Supervisor shall notify the Host Supervisor about the nature of their regulatory system, scope and development of their risk-based supervision and the scope of consolidated supervision it will execute on the Banking Organization, their Cross-Border Establishments and the Financial Institution. Similarly, the Host

Supervisor shall inform the Home Supervisor about the nature of their regulatory system, scope and development of their risk-based supervision and the scope of Cross-Border Establishments supervision.

- (d) As far as permitted by the Applicable Laws, Regulations and Requirements of each jurisdiction, the Authorities shall exchange information on the suitability of potential directors or managers and relevant shareholders or real beneficiaries of the Cross-Border Establishment.

Regarding the supervisory activities over Banking Organizations, Financial Institutions and Cross-Border Establishments, the Authorities agree to:

- (e) Disclose information regarding significant events or consultations on the operations of Banking Organizations, Financial Institutions and Cross-Border Establishments, as well as changes of the relevant shareholders and real beneficiaries.
- (f) Provide information on the operations carried out within the financial conglomerate, when these are equal to or exceed 1% of the capital of the Cross-Border Establishment, or may have a material impact on the performance and soundness of Banking Organizations, Financial Institutions and/or Cross-Border Establishments.
- (g) Provide, on a quarterly basis, financial information on Cross-Border Establishments, and in particular the following:
- Individual and consolidated financial statements with their respective notes when, according to each country's Applicable Laws, Regulations and Requirements, those should be prepared.
 - Detail of the portfolio including, when applicable, credit trusts managed by banks, together with the name and identification number of the trustees and beneficiaries of such credit agreements (promissory notes), as well as the detail of this portfolio.
 - Detail of the investment portfolio of Cross-Border Establishments.
 - Operations carried out between the Banking Organization, the Financial Institution and the Cross-Border Establishment.
- (h) Answer the information requests mutually formulated on their respective Applicable Laws, Regulations and Requirements and report major changes in these, particularly those that have a significant effect on the activities of Banking Organizations, Financial Institutions and their Cross-Border Establishments.
- (i) Inform each other, as soon as and to the extent possible, about any event that has the possibility of endangering the stability of Cross-Border Establishments, Banking Organizations or Financial Institutions.
- (j) Provide relevant information that may be required within their supervisory processes. The following may be included:

- The results of On-Site supervisory processes performed by the respective Authority on a Cross-Border Establishment.
 - Information related to the quality of the management of risks of Banking Organizations, Financial Institutions and Cross-Border Establishments (credit, market, liquidity, anti-money laundering, operational and conglomerates, when they apply, in accordance with Applicable Laws, Regulations and Requirements in each jurisdiction).
 - Sanctions and measures that have been imposed in the past two (2) years and that henceforth will be imposed to these entities as a result of the violations established by the respective Authority.
 - Requirements and orders made by the respective Authority, causing an impact on the financial statements of a Banking Organization, Financial Institution or the Cross-Border Establishment, or on its normal operation.
 - Changes in the structure of ownership or variation in the actual beneficiary of Banking Organizations or Financial Institutions.
- (k) Provide information about the incorporation of new Cross-Border Establishments to the respective financial conglomerate, whether consolidable or not.
- (l) Conduct meetings with the appropriate frequency to discuss issues of Banking Organizations or Financial Institutions that have Cross-Border Establishments in the other country. Similarly, meetings between technical teams would be properly coordinated by the corresponding local Authority.
- (m) Submit, given the request of one of the Authorities, a report that includes a brief overview of the institution(s) that make up the respective conglomerate, linked institutions, relevant shareholders, real beneficiaries, and main aspects, relative to its management and the way they meet the obligations and requirements of the Authority, and of any other aspects considered relevant.

Regarding the crisis management, recovery and resolution of the supervised institutions and cross-border establishments, the Authorities agree to:

- (n) Jointly participate in activities and procedures that the Authorities define to cooperate and exchange information that facilitates a timely and effective management of the events or crisis circumstances where Banking Organizations, Cross-Border Establishments and/or Financial Institutions are involved or may be or affected with potential trans-boundary effects, and that affect financial conglomerates or entities of each jurisdiction, or when occurring disturbances related to monetary and financial markets and/or markets' infrastructures (including payments' infrastructures), with possible implications on each Authorities' countries.
- (o) The cooperation between the Authorities will take the required form given the specific characteristics of the crisis (such as developing contingency and restructuring plans, as well as recovery measures, etc.). It also will take into account the competence and functions of each of the Authorities in order to act with the needed flexibility. The

Authorities will design special protocols to facilitate the performing of the cooperation in this matter.

- (p) Pursuant to Applicable Laws, Regulations and Requirements and the confidentiality arrangements applicable in each jurisdiction, the Authorities shall notify other supervisory authorities of institutions that might be involved in events or circumstances of cross-border crises, and will share relevant information for the coordinated management thereof.

5. Procedure for Requests

Requests for the provision of information or other assistance will be made in writing or by other means which shall be confirmed in writing within ten (10) business days. Such requests must be made through employees designated by the Requesting Authority and addressed to the contact list of the Requested Authority indicated in Annex A of this MoU. Authorities should report as soon as possible any change made to this contact list.

To facilitate assistance, the Requesting Authority should specify the following in any written request:

- (a) The information or other assistance requested (identity of persons, specific questions to be asked etc.);
- (b) If information is provided by the Requesting Authority for confirmation or verification, the information and the kind of confirmation or verification sought;
- (c) The purpose for which the information or other assistance is sought;
- (d) If the request for assistance is for the purpose of actual or possible enforcement action; and
- (e) Any other matters specified by the Requested Authority and by the Applicable Laws, Regulations and Requirements in relation to the Requested Authority.

6. Assessing Requests

6.1. Each request for assistance will be assessed on a case-by-case basis by the Requested Authority to determine whether assistance can be provided under the terms of this MoU. In any case where the request cannot be fulfilled in part or whole, the Requested Authority will consider whether there may be other assistance which can be given by itself or by any other authority in its jurisdiction.

6.2. In deciding whether and to what extent to fulfill a request, the Requested Authority may take the following into account:

- (a) Whether the request conforms with this MoU;
- (b) Whether the provision of assistance would be so burdensome as to disrupt the proper performance of the Requested Authority's functions;

- (c) Whether it would be otherwise contrary to the public interest or the essential national interest of the Requested Authority's jurisdiction to give the assistance sought;
 - (d) If the request for assistance is for the purpose of actual or possible enforcement action;
 - (e) Any other matters specified by the Laws, Regulations and Requirements of the Requested Authority's jurisdiction (in particular those relating to confidentiality and professional secrecy, data protection and privacy, and procedural fairness); and
 - (f) Whether complying with the request may otherwise be prejudicial to the performance by the Requested Authority of its functions.
- 6.3. Authorities recognize that assistance may be denied in whole or in part for any of the reasons mentioned in numeral 6.2. at the discretion of the Requested Authority.

7. Supervisory Cooperation

- 7.1. The Authorities acknowledge that cooperation provided to each other is particularly useful in carrying out On-Site Inspections to Cross-Border Establishments and the Financial Institutions, in the Cayman Islands or in Colombia. On-Site Inspections will be carried out prior written notice, according to the Applicable Laws, Regulations and Requirements at the jurisdiction where the On-Site Inspection will be carried out and according to the terms agreed by the Authorities for such purpose.
- 7.2. The Home Supervisor would notify the Host Supervisor at least with thirty (30) days calendar in advance of plans to inspect or verify information concerning a Financial Institution or a Cross-Border Establishment in the host jurisdiction, indicating the purpose and scope of the inspection and the specific aspects of the Financial Institution and/or the Cross-Border Establishment to supervise. Additionally, any request should include the Applicable Laws, Regulations and Requirements for the corresponding On-Site Inspection. On-Site Inspections at Financial Institutions or Cross-Border Establishments by the Home Supervisor in the other jurisdiction would only be carried out after consultation with the Host Supervisor.
- 7.3. The Host Supervisor will allow the home supervisor to conduct On-Site Inspections in accordance with this Memorandum. The Home Supervisor together with the Host Supervisor should perform the On-Site Inspections. At the conclusion of the inspection, an exchange of views between the home and host supervisory teams shall be done. Without prejudice of the above, the Home Supervisor would provide the Host Supervisor, to the extent reasonable, information on the results of the On-Site Inspection relevant to the operations of the Financial Institution or the Cross-Border Establishment.

8. Mutual Interest

- 8.1. The Authorities agree that all actions of cooperation derived from this MoU will be carried out in accordance with the Applicable Laws, Regulations and Requirements of each jurisdiction and subject to the principle of reciprocity, in recognition of the mutual co-operation and/or assistance that each Authority can provide to the other in carrying out the consolidated supervision of Banking Organizations or Financial Institutions headquartered in Colombia or in the Cayman Islands. Consequently, it is intended that

the Authorities will share information with each other, will permit and facilitate the conduct of On-Site Inspections or Visits to Banking Organizations, Financial Institutions or Cross-Border Establishments, and will cooperate as described in this MoU.

- 8.2. The Authorities agree on providing each other with mutual co-operation and/or assistance related to On-Site Inspections, Visits, technical assistance, training and internships, in accordance with the Applicable Laws, Regulations and Requirements of each jurisdiction.

9. Contact Points

The Authorities will provide a list of contact persons to which information or requests for information, co-operation or assistance under this MoU should be directed. The list is included in Annex A of this MoU and, following the signature of this MoU, the Authorities shall maintain it duly updated.

10. Costs

Each Authority will cover costs derived from On-Site Inspections, Visits and other assistance required under the provisions of this MoU (unless otherwise agreed with the licensee), as well as the cost of generating the requested information, in the event this proceeds. Advisory costs will be covered as agreed by the Authorities for each particular case.

If the cost of fulfilling a request is likely to be substantial, the requested Authority may, as a condition of agreeing to give assistance under this MoU, require the Requesting Authority to make a contribution to costs.

11. Confidentiality

- 11.1. Each Authority shall maintain the confidentiality of any non-public information it receives under this MoU and will only disclose that information in accordance with disclosures permitted under its Applicable Laws, Regulations and Requirements.

The recipient Authority will agree to comply with any restrictions on the disclosure and use of the information provided under the provisions of this MoU. Information received will be used solely for the purpose of:

- (a) Securing compliance with or enforcement of Applicable Laws, Regulations and Requirements or such other use specified in the request, including the duly exercise of the supervisory activity by each Authority;
- (b) Initiating or assisting in criminal investigations and prosecutions arising out of the breach of Applicable Laws, Regulations and Requirements; and/or
- (c) Conducting or assisting in civil or administrative proceedings arising out of the breach of Applicable Laws, Regulations and Requirements specified in the requests (and brought by the Authorities or other law enforcement or regulatory bodies within the jurisdictions of the Cayman Island and Colombia).

- 11.2. The Requesting Authority will notify and, pursuant to section 12 of this MoU, consult with the Requested Authority where it seeks to use any non-public information supplied

- under this MoU for purposes other than those set out in paragraph 11.1 above, or where it proposes to disclose on information to another person not specified in the request.
- 11.3. In the event of a legally enforceable demand to disclose any information received under this MoU, the Authority receiving the demand will notify the Authority that supplied the information of the demand, including asserting such appropriate legal exemptions or privileges with respect to that information as may be available.
- 11.4. An information request or delivery under the present Memorandum of Understanding may be denied for reasons of public interest, national security, or when its disclosure may interfere with an on-going investigation.
- 11.5. In cases in which the Authority receives an information request from third parties, without having a legal obligation to accomplish it, or it is not necessary to carry out the legal responsibilities of supervision, it must consult and previously obtain a written consent of the Authority who has supplied the information for delivery of the same. The latter may refuse to allow its disclosure or delivery to third parties, or add conditions to share it, including that the third party is obliged to maintain confidentiality.

12. Illegal Activities

- 12.1. The parties have the determination to cooperate effectively when they identify the exercise of a financial, insurance and related pension activity or stock market unauthorized activity or it has criminal implications, including illegal monetary transactions. The parties will share information related to these activities in accordance with the legal provisions and/or domestic agreements of the country of each supervisor.
- 12.2. Upon a writing request, the parties shall endeavor to make their best efforts, accordingly to the provisions given by their respective legislation, in order to cooperate with the other party to provide the requested assistance in the events where there is suspicion that the supervised institutions or cross-border establishments would be carrying out illegal activities.

13. Consultation

The Authorities will keep the operation of this MoU under review and will consult, when necessary, with a view to improving its operation and resolving any matters.

14. Termination

- 14.1 This MoU will continue to have effect until terminated by either Authority giving thirty (30) days calendar advance written notice to the other Authority.
- 14.2 After termination, the confidentiality provisions shall continue to apply to any information provided under this MoU prior to termination.
- 14.3 The anticipated termination of this MoU will not affect the conclusion of the actions for cooperation that would have been formalized or initiated while it was in force.

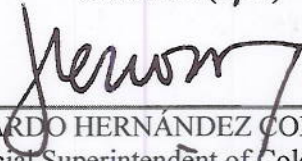
15. Effective Date

- 15.1 This MoU will take effect on the date when both Authorities have signed it and will remain in force indefinitely unless one of the Authorities decides to declare it finished, accordingly to the provisions established in numeral 14 above.
- 15.2 No amendments to this MoU shall be effective unless made in writing and executed by the Authorities, specifying the date in which such amendments come into force.

Two copies of equal content and value of this Memorandum of Understanding are signed.

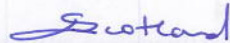
Executed by the Authorities:

**For the Financial Superintendence of
Colombia (SFC)**



GERARDO HERNÁNDEZ CORREA
Financial Superintendent of Colombia

**For the Cayman Islands Monetary
Authority (CIMA)**



CINDY SCOTLAND
Managing Director

Date: 26 August 2013

Date: 9th August 2013

**ANNEX A
LIST OF CONTACT DETAILS**

FINANCIAL SUPRINTENDENCE OF COLOMBIA

Contact: **Gerardo Hernández Correa**
Financial Superintendent

Phone: (57 +1) 5940200 Ext. 1501-1502

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Alternate contact: **Jorge Castaño Gutierrez**
Director of Research and Development Office

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Email: jacastano@superfinanciera.gov.co

CAYMAN ISLANDS MONETARY AUTHORITY

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Managing Director

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Alternate contact: **Langston Sibblies**
General Counsel-Deputy Managing Director

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