

1 **Diversity, functional structure and functional redundancy of woodland plant communities:**
2 **how do mixed tree species plantations compare with monocultures?**

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17

18 **Abstract**

19 Managing forest plantation stands in a way that retains productivity targets, but that also fosters
20 biodiversity and stand resilience are key sustainable forest management goals. Current forestry
21 policy advocates a diversification of forest stands to achieve these goals, favouring mixed age
22 structures and polycultures over single-aged monocultures. Evidence is lacking, however, to support
23 this management recommendation for biodiversity gains and related ecosystem service delivery. We
24 used indices of taxonomic diversity and functional structure to compare ground vegetation
25 communities in mixed and pure stands of Scots pine (*Pinus sylvestris*) and pedunculate oak
26 (*Quercus robur*) in each of three study regions. We categorised the 91 vascular plant species
27 identified into functional effect and response groups. We tested the hypotheses that ground
28 vegetation communities (i) differ significantly in structure and composition between Scots pine and
29 oak monocultures and (ii) show enhanced levels of taxonomic and functional diversity and
30 functional redundancy in mixed stands of Scots pine and oak compared with monocultures. We
31 explored the implications of any differences in the functional structure of ground vegetation
32 communities in the different stand types on two ecosystem services: nutrient availability and levels
33 of resource provisioning for herbivores. Nine functional response groups (RG) and seven functional
34 effect groups (EG) were identified with considerable overlap in the RG and EG species grouping.
35 Three RGs had traits characteristic of forests (spring flowering herbs, tree saplings and shrubs/
36 climbers), one RG had traits characteristic of open habitats (annual ruderals) and the remaining RGs
37 had more generalist traits (anemochorous perennials, graminoids and short perennials). No
38 significant differences were found among stand types in terms of taxonomic diversity or richness of
39 the different functional trait groups. Ground vegetation communities in the three study regions also
40 had similar levels of functional redundancy across stand types. However, Scots pine and oak
41 monocultures harboured significantly different abundances of species with distinct functional traits.
42 In all three study regions, anemochorous perennials were significantly more abundant in Scots pine

43 monocultures than oak monocultures, while two core forest groups (shrubs/ climbers, spring
44 flowering herbs) were significantly more abundant in oak monocultures. Mixed stands had
45 intermediate abundances of these functional groups. These differences have implications for the
46 comparative availability of food resources and shelter for wildlife, but also the mobilisation and
47 temporal availability of nutrients in the two monocultures. Thus, mixtures of Scots pine and
48 pedunculate oak can temper significant tree species identity influences on ground vegetation
49 functional diversity.

50 **Key-words:** Diversification, functional traits, functional redundancy, ground vegetation, *Pinus*
51 *sylvestris*, *Quercus robur*

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54 **1. Introduction**

55 Plantations make up 7%, i.e. 264 million ha, of forest cover worldwide and this area is increasing
56 rapidly with a growing reliance on plantations for wood products, carbon management, the
57 protection of soil and water and the rehabilitation and diversification of impoverished landscapes
58 (FAO 2010; Pawson, Brin, & Brockeroff 2013). In some countries, plantations constitute a
59 significant proportion of the total forest area, resulting in a strong anthropogenic influence on the
60 composition of forest stands. Often the composition, structure and function of plantations are highly
61 simplified; e.g. in Europe, 29% of forests are composed of a single tree species and many of these
62 are plantations comprised of a single age cohort (Forest Europe, UNECE & FAO 2011). This raises
63 concerns over the implications for biodiversity, particularly for the many forest dependent species
64 that are in decline (Shvidenko, Barber & Persson 2005). Also of concern is the resilience of these
65 simplified forests to environmental change (e.g. drought, invasive species, pests and diseases) and
66 their capacity to deliver anticipated ecosystem services (e.g. nutrient cycling, erosion control,
67 shelter and food resources for wildlife) (Thompson *et al.* 2009; Kanninen 2010).

68 A consistent mitigation measure that is advocated under current forestry policy is the diversification
69 of forest management units to derive greater structural and/or compositional heterogeneity
70 (Puettmann 2011); structural diversity is generally accepted to enhance levels of biodiversity
71 through the provision of a greater diversity of microhabitats (Simpson 1949; Tews *et al.* 2004). A
72 mixed tree species approach is a particularly attractive option as it combines recommendations for
73 increased stand heterogeneity while potentially retaining, or even enhancing levels of productivity
74 where there is complementary resource use by the tree species in a polyculture (Pretzsch & Schütze
75 2009; Thompson *et al.* 2009; Jucker *et al.* 2014). There is inconsistent supporting evidence,
76 however, of the comparative benefits of mixed stands over monocultures for forest biodiversity and
77 ecosystem functioning (e.g. resistance to disturbance, or element cycling) (Nadrowski, Wirth &
78 Scherer-Lorenzen 2010; Cavard *et al.* 2011; Gamfeldt *et al.* 2012; Scherer-Lorenzen 2014); this is

79 related to the difficulty in disentangling tree diversity effects from confounding factors such as
80 substrate and topographic heterogeneity (Nadrowski, Wirth & Scherer-Lorenzen 2010). Moreover,
81 the respective roles of tree species identity and tree species richness in influencing wider forest
82 species diversity and ecosystem functioning are not fully understood, making it difficult to predict
83 the likely consequences of various proposed tree species combinations on ecosystem service
84 provision and the functional resilience of associated communities. Differences in traits between tree
85 species, such as canopy phenology, have been shown in some studies to have a greater influence on
86 wider forest species diversity than tree species richness (Barbier, Gosselin & Balandier 2008;
87 Scherer-Lorenzen 2014). Tree species identity has also been found to have stronger effects than tree
88 species richness on forest ecosystem functioning and associated ecosystem service provisioning
89 (e.g. resistance to herbivory, decomposition) (Nadrowski, Wirth & Scherer-Lorenzen 2010).

90 Ground vegetation is a highly influential component of forest ecosystem processes (Gilliam 2007).
91 It has an impact on recruitment patterns of the overstorey, nutrient cycling and disturbance
92 mediation; it also plays an important role in the provisioning of habitat and foraging material (e.g.
93 pollen, nectar, foliage) for many associated species (Royo & Carson 2006; Gilliam 2007). Ground
94 vegetation, in turn, is strongly influenced by the composition and structure of the overstorey,
95 responding to differences in temperatures and the availability of light, water and soil nutrients at the
96 forest floor level (Barbier *et al.* 2008). Thus, a greater understanding of tree compositional
97 influences on ground vegetation species diversity and functional structure should contribute greatly
98 to the improved management of this component of forest biodiversity and associated ecosystem
99 functions and services. Existing evidence for monoculture compared with mixed tree species effects
100 on ground vegetation is largely based on taxonomic indices of diversity (i.e. species richness,
101 diversity, evenness) with no consistent trends found. Taboada *et al.* (2010) and Augusto, Dupouey
102 & Ranger (2003), for example, found limited significant influences of tree species mixtures
103 compared with pure stands on taxonomic indices of ground vegetation diversity, unlike some other

104 authors (e.g. Simmons & Buckley, 1992; Saetre *et al.*, 1997). Furthermore, where stand age was
105 considered as an explanatory variable in some studies, significant positive correlations between tree
106 species richness and ground vegetation species diversity were not consistently found across all
107 growth stages (Auclair & Goff, 1971; Pharo, Beattie & Pressey, 2000).

108 Regional differences in species pools and the need for research results to be easily transposable
109 across regions argue in favour of adopting a functional diversity approach which relies on
110 describing the functional traits, rather than the taxonomic identity, of species to help explain forest
111 composition and biodiversity-ecosystem function relationships (Hooper *et al.* 2005). The functional
112 structure of communities can be defined by categorising species both according to functional
113 *response* traits, which reflect the way species respond to the abiotic and biotic environments (e.g.
114 resource availability, disturbance), but also according to functional *effect* traits which characterise
115 species effects on dominant ecosystem functional processes and the related delivery of ecosystem
116 services (e.g. nutrient cycling, disturbance mediation, pollination). A functional diversity approach
117 can also be used as an indirect measure of resilience by assessing levels of functional redundancy in
118 the delivery of one or more ecosystem services among associated communities. This can be
119 achieved, for example, by assessing the number of species present in different functional effect trait
120 groups and the number of distinct functional effect trait groups represented in a community (Díaz &
121 Cabido 2001; Laliberté *et al.* 2010).

122 A functional diversity approach is achievable with ground vegetation considering the significant
123 species-specific physiological and morphological knowledge that has been acquired, documented
124 and linked to functional processes (Pérez-Harguindeguy *et al.* 2013). There is also a good
125 understanding of traits that typify forest-dependent species and those with the highest conservation
126 value (Hermy *et al.* 1999; Hérault, Honnay & Thoen 2005). Functional diversity analyses represent
127 an alternative approach, therefore, to information-poor species richness analyses on the one hand,
128 and analyses based on taxonomic composition for which results are hard to generalise, on the other.

129 This study compared ground vegetation communities in mixed and pure stands of Scots pine (*Pinus*
130 *sylvestris* L.) and pedunculate oak (*Quercus robur* L.) across three study regions. These species
131 were selected for their contrasting evergreen and deciduous habits and because they are known
132 successful polycultures. The study objectives were to investigate the influences of tree species
133 identity (Scots pine or oak) and plantation complexity (i.e. monocultures or two species mixtures of
134 Scots pine and oak) on the functional structure and levels of taxonomic diversity and functional
135 redundancy of ground vegetation communities. Functional structure was described by categorising
136 ground vegetation species according to two alternative functional classifications, based on species
137 functional response and functional effect traits, respectively. This allowed for inferences to be made
138 about ground vegetation community responses to environmental conditions, but also their potential
139 influences on forest ecosystem functioning in mixed and pure stands of Scots pine and oak.
140 Functional redundancy served as a proxy for the functional resilience of communities to
141 environmental change. The same tree species identity and monoculture/ polyculture comparisons
142 were repeated in three study regions selected for their differing environmental conditions,
143 particularly for differences in environmental variables known to have a strong influence on ground
144 vegetation community composition (e.g. levels of N deposition, rainfall). This was to check for the
145 consistency of any significant stand type effects on ground vegetation communities, but also to test
146 for any significant stand type and region interactions. Hence, this study aims to contribute towards
147 the evidence base, thereby helping to inform and increase the robustness of existing forest
148 management recommendations across regions differing in species pools and environmental
149 conditions. In particular, we tested the hypotheses that ground vegetation communities (i) differ
150 significantly in structure and composition between Scots pine and oak monocultures and (ii) in
151 support of current forest management policy recommendations, show enhanced levels of taxonomic
152 and functional diversity and functional redundancy in mixed stands of Scots pine and oak compared
153 with Scots pine or oak monocultures. Additionally, we explored the implications of any differences
154 in the functional structure of ground vegetation communities in the different stand types for the

155 provisioning of two ecosystem services, namely nutrient availability (based on measured levels of
156 soil nutrients) and levels of resource provisioning for herbivores (based on measured levels of
157 herbivory).

158

159

160 **2. Material and methods**

161 2.1 Study area

162 A total of 42 forest stands were selected for study, located in three regions of temperate maritime
163 climate: Thetford Forest, East Anglia in south-east England (52° 27' N, 0° 51' E, 10-40m a.s.l.), the
164 New Forest, Hampshire, in southern England (50° 47' N, 1° 38' W, 20-90m a.s.l.) and across a
165 wider area in the centre and east of the Republic of Ireland (most northern stand at 53°20' N, 6°44'
166 W; most western stand at 52° 26' N, 8°6' W, 57-234m a.s.l.). The three study regions together span
167 east and west gradients of precipitation and N deposition with lowest levels of precipitation and
168 highest levels of N deposition in Thetford Forest (see Table A.1 in Supplementary material). In both
169 Thetford Forest and the New Forest, five stands were selected and in Ireland four stands were
170 selected from each of three different forest stand types: Scots pine monocultures, pedunculate oak
171 monocultures, and intimate mixtures of Scots pine and pedunculate oak. The average stand size was
172 6.8ha and the majority of stands were planted between 1930 and 1954 (Table A.2).

173 In each region initial stand selection was based on a number of criteria: minimum stand area of
174 1.5ha, planting age of between 1930 and 1940, stands must have an even shape (i.e. long, thin
175 stands were avoided), and a stand should occur in close proximity (within the same forest
176 management block) as selected examples of the other two stand types of interest to allow for a
177 number of clusters of the different stand types to be sampled across the region. A planting age range
178 was selected to confine the study to a single stage of the forest harvest cycle, thus minimising the
179 influence of stand age as a variable. Enough stands were not always found in each region to
180 accommodate these selection criteria, requiring some older or younger stands to be included in
181 some cases. It was also not possible to establish four (or five, in the case of the English stands)
182 distinct clusters of stands comprising each of the different stand types in each region. Figure 1
183 illustrates the final distribution of stands across each region using available stands matching as
184 closely as possible the specified criteria.

185 Thetford Forest was planted largely with Scots and Corsican pine (*Pinus nigra* subsp. *laricio*) in the
186 early 20th century on extensive heathland and marginal agricultural land with smaller areas of oak
187 and beech (Randall & Dymond 1996). The New Forest is a renowned area of ancient woodland
188 pasture that is still actively grazed by livestock; there are diverse plantation types intermingled with
189 ancient oak or beech dominated woodland (Grant & Edwards 2008).

190 Scots pine and pedunculate oak are native species of Ireland and Great Britain, although pollen
191 records indicate that Scots pine disappeared from the landscapes of our study regions for a long
192 periods of time (>1000 years) until it was reintroduced as a plantation species (Randall & Dymond
193 1996; Grant & Edwards 2008; Roche, Mitchell & Waldren 2009). Scots pine has been planted with
194 oak in intimate mixtures since the 1930s in Britain and Ireland, serving as a nurse crop for oak
195 (Kerr, Nixon & Matthews 1992). This mixture is being revived more widely as a productive
196 polyculture (Morneau, Duprez & Hervé 2008; Del Rio & Sterba 2009; Matos *et al.* 2010).

197

198 2.2 Data collection

199 2.2.1 Ground vegetation surveys

200 In each of the selected stands, vascular plants were surveyed in three 2 x 2-m quadrats located
201 ≥ 50 m from the stand edge and mid-way between adjacent trees. In the English stands (Thetford
202 Forest and New Forest regions) positioning of ground vegetation quadrats in each of the selected
203 stands was by random selection of three out of eight possible regularly spaced sample positions
204 around a 50m x 50m quadrat centred in the forest stand. This method was used to allow the data to
205 be compared to other UK-wide studies using the same basic protocol (e.g. Ferris *et al.*, 2000). In the
206 Irish stands, positioning of the three ground vegetation quadrats was in areas which were considered
207 to be representative of the stand as a whole in terms of ground vegetation and stand structure (i.e.
208 canopy cover, level of thinning), with quadrats always being positioned >50m apart from each

209 other. The percentage cover of each species of vascular plant was estimated in each quadrat
210 between June and August 2011. In the Irish stands, percentage cover was estimated to the nearest
211 5% except where cover was below 5%; in this case two cover-abundance units were distinguished:
212 3% (indicating cover of 1–5%) and 0.5% (indicating cover <1%). In the English stands, cover was
213 estimated using the DOMIN cover-abundance scale. The two cover-abundance scales used for
214 assessments in the English and Irish stands were harmonised by transforming each score to a mean
215 percentage cover, or Domin 2.6 score (Currall 1987). The nomenclature of vascular plants follows
216 Stace (2010).

217

218 2.2.2 Environmental variables

219 Assessments were made of levels of grazing/ browsing pressure, canopy openness, soil moisture,
220 litter depth and, from surface mineral layers (0-10cm), pH, total N, organic matter content (OMC)
221 and available P and K. Modified Ellenberg values were applied using the ground vegetation data as
222 additional assessments of light, moisture, fertility and acidity. See Table A.1 for environmental
223 variable sampling methods.

224

225 2.3 Data analysis

226 2.3.1 Taxonomic diversity

227 Four metrics were used: (i) the total number of species present in each stand (ii) the mean species
228 richness (S) per 2 x 2-m quadrat (iii) the mean Shannon index of diversity (H') per 2 x 2-m quadrat
229 calculated as $H' = -\sum p_i \ln p_i$, where $p_i = C_i / \sum C_i$ and C_i is the mean percentage cover of species i ,
230 and $\sum C_i$ is the sum of all cover values included in the quadrat; and (iv) Pielou's Equitability index
231 (J') per 2 x 2-m quadrat calculated as $J' = H' / \log_2 S$, where H' and S are the values as calculated
232 above.

233

234 2.3.2 Functional response and effect trait selection and cluster analysis

235 19 functional response and 9 functional effect traits were used in the classification of plant
236 functional types (Tables 1 and 2). Response traits represented key stages of the plant's life cycle;
237 i.e. dispersal, establishment and persistence. Effect traits influenced forest ecosystem services such
238 as food availability for wildlife (i.e. foliage, berries, seed, nectar) and nutrient cycling. Response
239 and effect trait information was collected from existing literature (mainly Grime, Hodgson & Hunt
240 1988) and the LEDA (Kleyer *et al.* 2008) and Ecological Flora (Fitter & Peat 1994) trait databases.
241 The TRY trait database (Kattge *et al.* 2011) and Woodland Grazing Toolbox (Forestry Commission
242 Scotland, 2016) were used to supplement palatability information. A total of 89 vascular plant
243 species were included in the analysis and four species (*Agrostis curtisii*, *Carex macrocarpa*, *Picea*
244 *abies* and *Pseudotsuga menziesii*) were excluded due to missing information for a high proportion
245 (>50%) of the selected traits.

246 Response trait groupings (RGs) were determined by first calculating the Gower dissimilarity matrix
247 from species' trait scores, giving equal weight to all traits considered. This method can deal with
248 both missing values and mixed data (Legendre & Legendre 1998). The resulting matrix was
249 clustered using the Ward method, followed by visual inspection of the dendrogram (Laliberté *et al.*
250 2010; Figure 2). Significant differences between RGs were tested for using Kruskal-Wallis tests
251 with adjusted p-values for multiple comparisons and Chi square tests (Héroult, Honnay & Thoen
252 2005). The same procedure was carried out to determine effect trait groupings (EGs).

253

254 2.3.3 Functional structure and functional redundancy

255 Species cover abundance in each stand was used to construct a RG abundance matrix, following
256 Héroult, Honnay & Thoen (2005). Having assigned each species to an RG in the clustering step, the

257 summed cover abundance of all species in each RG was calculated for each stand. The RG
258 abundance matrix was standardised for differences in the number of species per stand by calculating
259 the relative abundance: R_{ip} / R_p , where R_i = abundance of each response group, R = total abundance
260 of response groups, p = each stand. RG richness was calculated as the total number of RGs in a
261 stand. Functional redundancy was calculated as the number of species in each RG in each stand.

262

263 2.4 Statistical analyses

264 Generalised linear mixed effects modelling (GLMM) was used to model the effect of the
265 explanatory variables (region, stand type and region x stand type interaction) on the response
266 variables (species richness, J' , H' , RG relative abundance, RG richness and functional redundancy).
267 Region and stand type were fitted as fixed effects and we also tested for a significant region x stand
268 type interaction. Quadrat was nested as a random effect within stand which was also a random
269 effect. Where categorical explanatory variables had a significant effect, post hoc multiple
270 comparisons with Bonferroni corrections were applied. Models of RG and EG richness and
271 functional redundancy used the Poisson distribution as these are integer count data. Models of RG
272 and EG relative abundance used the binomial distribution because these data are proportions. The
273 same procedure was applied in the analyses of the EGs.

274 The effect of stand location (latitude and longitude) on response variables (i.e. species richness, H'
275 and J' diversity indices) was modelled using GLMM in R with no significant effects found.

276 Additionally, residuals from the models were examined for spatial autocorrelation by calculating
277 Moran's I using the program Spatial Analysis in Macroecology (SAM) (Rangel, Diniz-Filho &
278 Bini, 2010) with no autocorrelation found.

279 Considering the variation in tree ages and canopy openness between study stands, we tested these as
280 additional potential explanatory variables that could have significant direct influences on ground

281 vegetation communities using GLMM in R. Alongside stand type, we fitted stand age and canopy
282 openness as well as stand type x stand age and stand type x canopy openness interaction terms as
283 predictors in our models using species richness, H' and J' as response variables. As there was no
284 strong correlation between canopy openness and age either across all regions or within regions, all
285 predictors were fitted together in these models.

286 If differences in ground flora between monoculture types include differences in species identity and
287 composition, we might expect mixed stands to have higher species richness and diversity than
288 would be expected from a simple proportional averaging of the diversities found in the respective
289 monocultures of tree species making up the mixed stands. We explored this idea by testing for
290 differences in 'observed' species richness, H' and J', of mixed stands and that 'expected' from
291 averaging the species richness, H' and J' of oak and Scots pine monocultures. Specifically, we took
292 account of the fact that the proportion of oak was not consistent across mixed stands (ranging from
293 between 10 and 60%), by using a weighted-averaging method, based on the known species richness,
294 H' and J', and tree species composition of our mixed stands in the same region as the mixed stand
295 being compared. Using species richness as an example, the following formula was used to calculate
296 expected species richness in the mixed stands as weighted averages of the pure oak and Scots pine
297 stands:

298
$$\text{Species richness per stand} = (\% \text{oak mix}/100) * \text{mean (species richness in all pure oak stands)} + (\%$$

299
$$\text{Scots pine in oak pine mix}/ 100) * \text{mean (species richness in all pure Scots pine stands)}$$

300 Paired Wilcoxon signed rank tests were used to test for differences between the expected and
301 observed values of taxonomic diversity in mixed plots in each region.

302 Species richness, H' and J' were calculated using the vegan package (Oksanen *et al.* 2013) in R (R
303 Core Team 2014); cluster analysis used the 'cluster' package (Maechler 2014); GLMMs were
304 carried out using the GLIMMIX procedure in SAS 9.3.

305

306 2.3.3 Environmental variables

307 GLMM was used to model the effect of the explanatory variables (stand type, region and region x
308 stand type interaction) on the response variables (environmental variables) using the same
309 procedure as described for taxonomic diversity indices.

310

311 **3. Results**

312 3.1 Taxonomic diversity

313 The total number of vascular plant species identified in the survey of forest stands in the New
314 Forest, Thetford Forest and Ireland was 47, 47 and 53, respectively. The three study regions had 16
315 of these plant species in common; the New Forest and Ireland had the most species in common (28),
316 while Thetford Forest shared 21 species in common with the New Forest and 21 species in common
317 with Ireland (Table A.3). None of the taxonomic diversity metrics considered showed significant
318 differences between stand types, between regions, or as a region x stand type interaction (Table
319 A.4). Our models that additionally fitted canopy openness and stand age showed no significant main
320 effects for any region. We therefore do not present these model outputs. There was no significant
321 correlation between the ‘observed’ value of species richness, H' and J' and the corresponding value
322 ‘expected’ from weighted averaging. Hence, observed levels of these metrics are not a simple
323 weighted average of what would be observed from pure stands. Paired Wilcoxon signed rank tests
324 showed some significant differences in observed and expected values for some of these metrics,
325 most notably for the New Forest, which showed that mixed stands had significantly higher observed
326 than expected values for all three metrics. This was not consistent across regions, however, with
327 Ireland showing significantly lower observed than expected species richness in mixed stands, and
328 Thetford showing significantly lower observed than expected J' . All other comparisons between
329 observed and expected were not significant ($P > 0.05$ in all cases) (Tables A.5 and A.6).

330

331 3.2 Response trait clustering

332 The ground vegetation species clustered into nine RGs with between seven and twelve species in
333 each RG (Figure 2). These RGs included: Tree saplings (RG1), tall zoochorous perennials (RG2),
334 woody shrubs/ climbers (RG3), wind-pollinated, zoochorous graminoids (RG4), short barochorous,

335 creeping/clump-forming herbs and graminoids (RG5), tufted graminoids and upright, clump-
336 forming herbs (RG6), tall anemochorous perennials (RG7), spring-flowering, shade tolerant herbs
337 (RG8) and annuals (RG9) (see Table A.7 for more detailed descriptions of each RG). Highly
338 significant differences ($p < 0.0001$) were identified between the RGs for almost all of the response
339 traits (Table A.8). Exceptions included seed longevity, which only showed a significant difference
340 ($p < 0.004$) between RG1 and RG9 and seed shape which was not significantly different between the
341 different RGs.

342

343 3.3 Effect trait clustering

344 The ground vegetation species clustered into seven EGs with between 8 and 22 species in each EG.
345 EGs comprised: Tree saplings (EG1), medium to tall (i.e. at least 30cm) non-woody ruderals and
346 competitors (EG2), ferns and rushes (EG3), grasses and sedges (EG4), annual herbs (EG5), short to
347 medium height (10-30cm) perennial herbs (EG6) and shrubs/ climbers (EG7) (see Table A.9 for
348 more detailed descriptions of each EG). Highly significant differences ($p < 0.0001$) were identified
349 between the EGs for all of the effect traits (Table A.10).

350

351 3.4 Richness, abundance, and redundancy of functional response groups

352 There was no significant difference in RG richness (i.e. the total number of RGs per stand) between
353 regions ($F_{2,120} = 0.50, p = 0.61$), stand type ($F_{2,120} = 0.07, p = 0.94$), or region x stand type ($F_{4,120} =$
354 $2.32, P = 0.06$).. There were significant differences, however, in the relative abundances of RGs
355 between stand types and regions, with significant region x stand type interactions (Table 3).
356 Compared with oak monocultures, Scots pine monocultures had significantly lower abundances of
357 woody shrubs/ climbers (RG3) and spring-flowering, shade tolerant herbs (RG8), but significantly
358 higher abundances of tall anemochorous perennials (RG7); these RG differences were consistent

359 across all three regions of study with the exception of no significant difference in abundances of
360 RG3 species between oak and Scots pine monocultures in the New Forest. RG3, RG7 and RG8
361 species abundances tended to be 'intermediate' in mixed stands, not showing any significant
362 differences in abundance when compared with one or both monocultures. Thetford Forest had a
363 greater abundance, across all stand types, of RGs comprising species of high resource, high
364 disturbance environments (i.e. non-woody perennial competitors and annuals in RG2 and RG9,
365 respectively). Shrubs and climbers (RG3) occurred in significantly greater abundance (all stand
366 types) in Irish stands compared with Thetford Forest and the New Forest.

367 Comparisons of levels of RG functional redundancy revealed few significant differences between
368 the three stand types and regions (Figure 3). Levels of functional redundancy among tall
369 anemochorous perennial (RG7) species were consistently higher in Scots pine monocultures
370 compared with oak monocultures and 'intermediate' in mixed stands across the three regions, with
371 no significant region x stand type interaction. Across regions, levels of functional redundancy were
372 greatest in Thetford Forest among RGs comprising species of high resource, high disturbance
373 environments (i.e. tall zoochorous perennials and annuals in RG2 and RG9, respectively), while
374 functional redundancy among shrubs/ climbers (RG3) and tufted graminoids (RG6) were
375 significantly greater in the New Forest and/or Ireland compared with Thetford Forest.

376

377 3.5 Richness, abundance, and redundancy of functional effect groups

378 No significant difference was found in EG richness (i.e. the total number of EGs per stand) between
379 regions ($F_{2,120} = 0.39$, $P = 0.68$), stand types ($F_{2,120} = 0.51$, $P = 0.60$) or region x stand type ($F_{4,120} =$
380 0.86 , $P = 0.49$). There were significant differences, however, in the relative abundances of EGs
381 (Table 4) between stand types and regions, with significant stand type x region interactions. Scots
382 pine monocultures across all regions had a consistently higher abundance of ferns and rushes (EG3)
383 and significantly lower abundance of shrubs/ climbers (EG7) than oak monocultures, while the

384 abundances of EG3 and EG7 species in mixed stands did not differ significantly from those in the
385 oak and/or the Scots pine monocultures.

386 Levels of functional redundancy of plant functional EGs were similar among stand types (Figure 4).
387 Only EG3 (ferns and rushes) showed significantly higher levels of functional redundancy in Scots
388 pine compared with oak monocultures, and intermediate levels in mixed stands, in the Irish and
389 Thetford Forest stands. The Irish and New Forest stands otherwise had significantly higher levels
390 of functional redundancy among E7 species (shrubs/climbers) compared with Thetford Forest
391 stands and particularly in mixed stands.

392

393 3.6 Environmental variables

394 Levels of shoot browsing, sward grazing and herbivore ground disturbance were significantly
395 higher in the oak compared with the Scots pine monocultures in the two English regions where
396 herbivore pressure was found to be significantly greater than the Irish sites; levels of herbivory in
397 mixed stands were similar to one or other of the monocultures depending on the region (Table A.1).
398 There was no consistent significant difference in soil moisture between the different stand types, but
399 in all regions levels of soil OMC and available nutrients were significantly higher in Scots pine
400 compared with oak monocultures, with a tendency for intermediate levels of these in the mixed
401 stands. This contrasted with Ellenberg soil fertility levels which were significantly higher in oak
402 compared with Scots pine monocultures in the three study regions. Litter depth was significantly
403 lower in oak stands compared with the other stand types only in Thetford Forest. Soil pH and
404 Ellenberg soil acidity were significantly lower in Scots pine monocultures and intermediate in
405 mixed stands. Ellenberg light levels were always significantly higher in the Scots pine monocultures
406 compared with the other stand types; canopy openness values showed a similar trend, although
407 differences were not found to be significant.

408

409 **4. Discussion**

410 4.1 Influence of monocultures and tree species mixtures on ground vegetation

411 4.1.1 Indices of taxonomic diversity

412 We found no significant differences in ground vegetation species richness, diversity or
413 evenness when comparing species present in mixtures and monocultures of oak and Scots
414 pine in three different geographical regions of study. These first results based only on
415 taxonomic diversity indices do not lend support to forest management recommendations to
416 favour polycultures over monocultures for associated biodiversity gains. Instead these
417 findings concur with the review findings of Barbier, Gosselin & Balandier (2008) and Cavard
418 *et al.* (2011) who reported that significant differences in these diversity indices are observed
419 to occur more often (but not always) between monocultures composed of tree species with
420 contrasting influences on resource availability (e.g. light, water, soil nutrients), suggesting
421 that tree species identity rather than the number of tree species in a stand has the greater
422 influence on ground vegetation structure and composition (e.g. Augusto, Dupouey & Ranger
423 2003; Mölder, Bernhardt-Römermann & Schmidt, 2008). The lack of any significant
424 difference in taxonomic measures of ground vegetation community diversity between oak
425 and Scots pine monocultures in this study was contrary to our expectations. Considering
426 levels of canopy shading by these two tree species, we had expected more shaded conditions
427 under oak compared with Scots pine based on previous assessments of light conditions in oak
428 and Scots pine stands (Sonohat, Balandier & Ruchaud 2004; Balandier *et al.* 2006); this was
429 not convincingly apparent, however, from our direct measurements of canopy openness
430 which were not significantly different between oak and Scots pine during the summer months
431 (June to August), although Ellenburg light values were significantly higher in Scots pine

432 compared with oak stands in each region of study (Table A.1). This suggests that, despite
433 contrasting traits (e.g. canopy phenology), neither tree species has a more limiting influence
434 on ground vegetation community development than the other. Other successful polycultures
435 comprising tree species of more strongly contrasting shade tolerance traits such as Norway
436 spruce (*Picea abies*) / common alder (*Alnus glutinosa*), Sitka spruce (*P. sitchensis*)/ Scots
437 pine, Norway spruce/ Scots pine are more likely to show strong comparative species identity
438 influences on ground vegetation species diversity, although this remains to be tested. In such
439 cases, the introduction of a deciduous species, oak, into an otherwise heavily shaded
440 environment could have a similar effect to stand thinning which has been shown to promote
441 ground vegetation with traits that deliver functional benefits for wildlife (Neill & Puettmann
442 2013). The use of taxonomic diversity indices belies, however, some important differences in
443 the functional trait characteristics of ground vegetation in Scots pine and oak monocultures.

444

445 4.1.2 Functional structure

446 Tree identity influences were found to have a significant influence on the functional structure
447 of ground vegetation communities. Across the three study regions, comparisons of the
448 functional structure revealed consistent significant differences in the relative abundances of
449 different functional response and effect trait groupings in the Scots pine and oak
450 monocultures. Scots pine monocultures had significantly lower abundances of woody shrubs/
451 climbers (RG3; EG7) and spring flowering, shade tolerant herbs (RG8) than oak
452 monocultures, but significantly higher abundances of tall anemochorous perennials (RG7),
453 many of which had traits characteristic of EG3 (ferns and rushes). Among the nine RGs
454 identified in this study, the two most closely associated with oak monocultures (RG3 and
455 RG8) are the only RGs that comprise species with life-history trait combinations that are
456 reflective of their successful adaptation to the closed-canopy forest environment (i.e. shade-

457 tolerant, large seeds associated with a vernal phenology or zoochorous dispersal); spring
458 flowering herbs (RG8) are additionally considered to have the highest conservation value in
459 temperate forests, but pose considerable restoration challenges due to numerous recruitment
460 limitations (e.g. limited seed dispersal, transient seed banks; Hermy *et al.* 1999; Baeten *et al.*
461 2009). The tall anemochorous perennials (RG7) most closely associated with Scots pine
462 monocultures consisted of relatively competitive species, including fern species such as
463 bracken (*P. aquilinum*). These species have life history traits that ensure rapid and effective
464 recruitment and good regional population persistence (high numbers of diaspores that can
465 form a persistent seed bank; rapid growth of tall shoots). The comparatively low abundances
466 of RG3 and RG8 species in Scots pine monocultures might be explained by the combination
467 of a high number of species with RG7 traits, outcompeting RG3 and RG8 species, but also
468 the evergreen habit of Scots pine which may pose light resource limitations on spring
469 flowering RG8 species.

470 These results are consistent with findings by H erault, Honnay & Thoen (2005) and Pitman,
471 Benham & Poole (2014) who also found a significantly greater abundance of anemochorous
472 perennials (traits equivalent to our RG7 species) and a significantly lower abundance of short
473 geophytes (traits comparable to our RG8 species) in conifer plantations compared with
474 broadleaf deciduous forests. Other studies comparing ground vegetation in conifer
475 monocultures with deciduous broadleaf monocultures/semi-natural broadleaf forests have
476 also found that shrub species and forest specialist herbs occur more commonly in broadleaf
477 stands (e.g. *Fraxinus excelsior*, *Quercus robur/ petraea*) compared with conifer
478 monocultures, especially heavy shading conifer species (e.g. *Picea sitchensis*, *Picea abies*;
479 Amezaga & Onaindia 1997; Fahy & Gormally 1998; Coote *et al.* 2012).

480 We found significant overlap in species groupings according to functional response and effect
481 traits; e.g. RG3 and EG7 were primarily composed of shrubs and climbers. Thus, region and

482 stand influences were frequently the same for corresponding response and effect groups. A
483 significantly lower abundance of shrubs and climbers (EG7) in Scots pine monocultures will
484 reduce the availability of food resources for herbivores, granivores and pollinators as EG7
485 species are important sources of fleshy and non-fleshy fruit, nectar, pollen and flowers. EG7
486 species such as *Hedera helix*, *Lonicera pericylymenum*, *Rubus fruticosus*, *Vaccinium*
487 *myrtillus* (Pollard & Cooke 1994; Tudor *et al.* 2004; Jacobs *et al.* 2009) also have highly
488 palatable foliage and provide valuable shelter for wildlife, including birds and mammals
489 (Snow & Snow 1988). The significantly lower measured levels of herbivore grazing/
490 browsing in the Scots pine compared with the oak monocultures is indicative of a preference
491 by large herbivores for oak monocultures, likely due to the greater abundance of food
492 resources there in the form of shrubs and herbs. The comparatively high abundances of EG3
493 species (ferns and rushes) in Scots pine monocultures suggests, conversely, that there is
494 greater potential for the mobilisation of nutrients, oxidation of soils and erosion control. The
495 fern species *P. aquilinum* is known, for example, to increase the soil nutrient status by
496 bringing large amounts of phosphate, nitrogen, and potassium into circulation through litter
497 leaching, stem flow and periodic dieback of foliage (Carlisle, Brown & White 1967;
498 Williams, Kent & Ternan 1987). The significantly higher abundances of ephemeral spring-
499 flowering herbs (e.g. bluebell, *Hyacinthoides non-scripta*; wood-sorrel, *Oxalis acetosella*) in
500 oak monocultures has a similar potential nutrient-retention and release benefit for these
501 stands by rapid uptake of nutrients before the deciduous canopy develops, followed by rapid
502 decomposition of foliage thereafter (Muller 2003). Our soil measurements reflect a
503 significantly greater availability of nutrients in the Scots pine compared with the oak
504 monocultures, although more acidic and potentially drier soils in the winter months under the
505 Scots pine evergreen canopy may pose comparative limitations to nutrient uptake and
506 microbial activity. The latter effect is supported by the significantly greater accumulation of

507 soil organic matter in the Scots pine stands and, in the comparatively low rainfall conditions
508 in Thetford Forest, a significantly deeper litter layer in the Scots pine compared with oak
509 monocultures. A faster turnover of soil organic matter in oak compared with pine stands has
510 been reported elsewhere and may explain the significantly higher Ellenberg fertility scores
511 we obtained in oak monocultures (Matos *et al.* 2010; Pitman, Benham & Poole 2014).

512 Ground vegetation communities in Scots pine and oak mixed stands were composed of
513 species from the same functional trait groups as Scots pine and oak monocultures and these
514 occurred in 'intermediate' abundances; i.e. no significant difference was found when mixed
515 stands were compared with one or both monocultures. These findings suggest, contrary to our
516 hypotheses, that the diversification of Scots pine or oak monocultures to two-species mixes of
517 Scots pine and oak would not be sufficient to increase the relative abundance of ground
518 vegetation species with favoured traits beyond that which is present in monocultures,
519 particularly in landscapes where both monocultures are present.. Mixed stands can, however,
520 increase the abundance of species with favoured traits (e.g. species of conservation interest
521 such as spring-flowering herbs) compared with Scots pine monoculture stands and reduce the
522 relative abundance of those functional groups which tend to dominate ground vegetation
523 communities under monocultures.

524

525 4.1.3 Functional redundancy

526 Levels of functional redundancy were unaffected by stand type or region for the majority of
527 functional response and effect groups (Figures 3 & 4). Where any significant differences
528 were observed between stand types these were not consistent across regions, with one
529 exception. Consistent significant differences between the different stand types were observed
530 only among tall anemochorous perennials (RG7/EG3); i.e. levels of redundancy were always

531 found to be significantly higher in Scots pine monocultures compared with oak monocultures
532 where the evergreen habit of Scots pine may have favoured shade-tolerant RG7 species.
533 Mixed stand also consistently showed ‘intermediate’ levels of functional redundancy
534 compared with oak and Scots pine monocultures. Inter-regional differences in levels of
535 functional redundancy within functional trait groups included significantly higher levels of
536 redundancy of nitrophilous, tall non-woody competitive perennials (RG2) and annuals (RG9)
537 in Thetford Forest which may be related to the comparatively high total N deposition in this
538 region compared with the New Forest and Irish study regions. There was also significantly
539 lower redundancy of tufted graminoids (e.g. *Carex* species) and upright, clump-forming herbs
540 (RG6) in Thetford Forest which might be explained by the comparatively low levels of
541 rainfall here. The lack of evidence of hypothesised increased levels of functional redundancy
542 among most functional response and effect trait groups in mixed stands compared with
543 monocultures does not support the argument that polycultures should be favoured over
544 monocultures for improved resilience of vascular plant communities, at least not for the
545 majority of ground vegetation functional trait groups in Scots pine-oak mixtures.

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554 **5. Conclusions**

555 Our results show that the establishment of polycultures comprised of tree species with
556 contrasting traits (e.g. canopy phenology) can ‘neutralise’ strong tree species identity
557 influences on the composition of ground vegetation. This can allow for the proliferation of
558 ground vegetation species with desirable functional traits that might otherwise be suppressed
559 or excluded in a monoculture of one of the component tree species of a mixed stand; mixtures
560 might similarly reduce the overall abundance of species that would otherwise tend to
561 dominate ground vegetation communities in a monoculture. However, while we were able to
562 detect some significant functional trait differences in ground vegetation communities of oak
563 and Scots pine monocultures, tree species within polycultures that have more strongly
564 contrasting influences on environmental conditions are likely to result in more varied
565 influences on the functional structure of ground vegetation (e.g. Veldman, Mattingly &
566 Brudvig, 2013). From a management viewpoint, our work therefore only weakly supports
567 two-species polycultures of Scots pine and oak as a means of improving functional diversity
568 and associated ecosystem service provision which arises from this increased functional
569 diversity in vascular plant communities. We otherwise found no evidence of higher levels of
570 functional redundancy in Scots pine –oak polycultures compared with monocultures, with the
571 exception of tall anemochorous perennials (RG7/EG3) which showed consistent improved
572 functional resilience in mixed stands compared with oak monocultures across study regions.
573 We also note that there are situations where competitive interactions between tree species in a
574 polyculture negatively impact on productivity (Mason and Connolly, 2013), making such a
575 strategy economically non-viable. In such cases a more realistic strategy to maximise
576 ecosystem service benefits derived by either crop would be the creation of a chessboard
577 pattern of monospecific stand types within the same forest management unit (Mason, 2006;
578 Ampoorter *et al.* 2015). Overall, the effects on taxonomic indices commonly used to assess

579 the effectiveness of management interventions were not significant; thus this study shows that
580 functional diversity assessments are most likely a more sensitive tool.

581 There are two important caveats to our conclusions that are worth considering. First, our
582 ground vegetation surveys were conducted over a single field season and sampling did not
583 include the spring months before canopy closure. A repeat survey covering also the spring
584 period may improve the number of ground vegetation species detected, particularly the
585 number of spring geophytes. Second, our results pertain to a single stage of the forest harvest
586 cycle which may not be the life stage that typically sustains the highest levels of ground
587 vegetation diversity. Thus, we cannot describe how temporal changes in environmental
588 conditions at different stages of the forest harvest cycle might influence our results. Stand
589 structural changes through a typical forest plantation harvest cycle have previously been
590 reported to have significant influences on ground vegetation community composition (Ferris
591 *et al.*, 2000; Aubin *et al.*, 2013). Richness, and levels of taxonomic/functional diversity show
592 no consistent pattern; i.e. in some cases they may remain very similar at different stages
593 through a rotation (Aubin *et al.*, 2013), or may decline with highest levels of diversity
594 reported in pre-thicket and over-mature stages and significantly lower levels of diversity in
595 mid-rotation and mature stands (e.g. Eycott *et al.*, 2007 for *Pinus sylvestris* stands in Thetford
596 Forest).

597

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613

614 **Appendix A: Supplementary material**

615

616 Additional Supplementary tables associated with this article are listed below.

617

618 **Table A.1** Environmental variable means in each region and stand type. GLMMs were
619 applied with region and stand type used as fixed effects. Different lower case letters indicate
620 a significant difference between stand types within a region and different upper case letters
621 indicate a significant difference between regions within stand type

622

623 **Table A.2** Summary characteristics of stands in the three study regions and three stand types

624

625 **Table A.3** List of the total number of ground-vegetation species identified in 2 x 2-m survey
626 plots in the three study regions and three forest stand types (SP= Scots pine monocultures,
627 OK = oak monocultures, OK/SP = Oak and Scots pine mixtures)

628

629 **Table A.4** Mean (standard error) of vascular plant total species richness (TSR), mean species
630 richness (S), mean Shannon diversity Index (H') and mean Pielou Equitability Index (J') in
631 each region x stand type. GLMMs were applied with region and stand type used as fixed
632 effects

633

634 **Table A.5** Median (interquartile range) observed and expected values for mixed stands in
635 each region. Different letters indicate significant differences in the observed and expected
636 values for each taxonomic diversity metric in each region analysed using paired Wilcoxon
637 signed rank tests (P<0.05)

638 **Table A.6** Percentage of oak (OK) and Scots pine (SP) in each mixed stand, the
639 corresponding observed (Obs) and expected (Exp) values of taxonomic diversity metrics in
640 each mixed stand, and the observed minus expected (Obs-Exp) for each taxonomic diversity
641 metric in each mixed stand. SR = species richness, H' = Shannon Diversity Index, J' =
642 Pielou's Equitability Index. These values were used to analyse the difference between the
643 observed vs expected values presented in Table A.5

644

645 **Table A.7** Descriptions of nine plant functional response trait groups and associated
646 additional references

647

648 **Table A.8** Median of ordinal and continuous response traits and the difference between
649 observed and expected frequencies of each class of nominal response traits (separated by
650 slashes) for each RG. Chi square and Kruskal-Wallis tests were applied with adjusted p-
651 values for multiple comparisons. Different letters indicate significant differences (p<0.05-
652 0.001) between RG's

653

654 **Table A.9** Descriptions of seven plant functional effect trait groups and associated additional
655 references

656

657 **Table A.10** Median of ordinal effect traits and the difference between observed and expected
658 frequencies of each class of nominal effect traits (separated by slashes) for each EG. Chi
659 square and Kruskal-Wallis tests were applied with adjusted p-values for multiple
660 comparisons. Different letters indicate significant differences ($p < 0.05$ - 0.001) between EGs.

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Table 1. List of 19 plant functional response traits compiled from the literature

Plant functional response traits	Description	Trait role*	Missing trait information	Variable type
Seed weight	1. Too small to be measured; 2. <0.2 mg; 3. 0.21-0.50 mg; 4. 0.51-1.00 mg; 5. 1.01-2.00 mg; 6. 2.01-10.00 mg; 7. >10.00 mg	D, E	20%	Ordinal
Seed size	Average in mm (length, breadth)	D, E	41%	Continuous
Seed shape	1. length/breadth ratio <1.5; 2. ratio 1.5-2.5; 3. ratio >2.5=length/breadth	D	22%	Ordinal
Seed production per ramet	1 = 1-10; 2 = 10-100; 3 = 100-1000; 4 = 1000-10000; 5 = >10000	D, E	37%	Ordinal
Seed longevity	Based on Thompson et al. 1998 longevity index. Estimates of seed longevity given when at least five records were present in the Thompson, Bakker & Bekker (1997) database; where there were fewer than five records for a given species, no seed longevity value was proposed.	E	26%	Continuous
Age at first flowering	1 = < 1 year; 2 = 1-5 years; 3 = 5+ years	P	39%	Ordinal
Height	1. <0.1 m; 2. 0.1-0.29 m; 3. 0.30-0.59 m; 4. 0.60-0.99 m; 5. 1.0-3.0 m; 6. >3.0	P	20%	Ordinal
Specific Leaf Area (leaf area mm ² / leaf mass mg)	1. <15; 2. 15-20; 3. 20-25; 4. 25-30; 5. >30	P	23%	Ordinal
Leaf Dry Matter (% of fresh weight)	1. <15; 2. 15-20; 3. 20-25; 4. 25-30; 5. >30	P	24%	Ordinal
Growth form	1. Basal - leaves confined to a basal rosette, or to a prostrate stem; 2. Semi-basal - Stems erect or ascending, leafy but with the largest leaves towards their base; 3. Leafy - Stems erect or ascending with no basal rosette, leaves of approximately equal size; 4. Small leaves, reduced to spines or scales with the stem as the main photosynthetic organ; 5. Small leafy - as for 'Leafy' except that canopy does not exceed 100mm; 6. Large-leaved semi-basal or basal - as for 'basal' or semi-basal' except leaves >10,000mm ² ; 7. Small semi-basal - as for 'semi-basal' except that canopy does not exceed 100mm.	P	22%	Nominal
Leaf phenology	1. aestival (duration of canopy spring to autumn); 2. hibernal (mainly autumn to early summer); 3. always evergreen; 4. partially evergreen; 5. vernal (winter to spring)	P	20%	Nominal
Germination requirement	1. immediate; 2. chilling or drying or light or scarification; 3. combinations of the latter	E	25%	Ordinal
Dispersal type	1. barochory ; 2. anemochory; 3. hydrochory; 4. endo- and ectozoochory; 5. myrmecochory	D	22%	Nominal
Clonal propagation	0. yes; 1. no	D, P	20%	Nominal
Life form	1. chamaephyte; 2. geophyte; 3. hemicryptophyte; 4. therophyte; 5. phanerophyte; 6. helophyte	P	20%	Nominal
Life cycle	1. annual; 2. perennial	P	20%	Nominal
Pollination vector	1. autogamy; 2. anemogamy; 3. entomogamy	P	20%	Nominal
Mycorrhiza	0. 74% or less of records report mycorrhiza; 1. 75% or more records report infection with VA mycorrhiza	E, P	25%	Nominal
Flowering period	1. period > 4 months; 2. spring (3-5; March-May); 3. summer (6-7; June-July); 4. autumn (8-9; Aug-Sept)	P	20%	Nominal

* Trait roles are D – Dispersal, E – Establishment, P – Persistence (Weiher *et al.* 1999).

1 Table 2. List of 9 plant functional effect traits compiled from the literature

Plant functional effect traits	Description	Ecosystem services influenced by ET	Missing ET information	Variable type
Dispersule and germinule form	1. Dispersule and germinule a fruit (or part of a fruit, e.g. nutlet or mericarp) 2. Dispersule and germinule a seed; 3. Dispersule and germinule a spore; 4. Dispersule a fruit, germinule a seed (as in berries and other fleshy fruits); 5. Germinule a seed.	Food Resources	21%	Nominal
Palatability of foliage	1 = Low; 2 = Medium; 3 = High	Food Resources	39%	Ordinal
Insect-pollinated	0 = yes; 1 = no	Food Resources	20%	Nominal
Growth form/ Canopy structure	1. Basal - leaves confined to a basal rosette, or to a prostrate stem 2. Semi-basal - Stems erect or ascending, leafy but with the largest leaves towards their base. 3. Leafy - Stems erect or ascending with no basal rosette, leaves of approximately equal size; 4. Small leaves, reduced to spines or scales with the stem as the main photosynthetic organ; 5. Small leafy - as for 'Leafy' except that canopy does not exceed 100mm; 6. Large-leaved semi-basal or basal - as for 'basal' or semi-basal' except leaves >10,000mm ² 7. Small semi-basal - as for 'semi-basal' except that canopy does not exceed 100mm.	Biogeochemical cycles Disturbance mediation	21%	Nominal
Specific Leaf Area (leaf area mm ² / leaf mass mg)	1. <15; 2. 15-20; 3. 20-25; 4. 25-30; 5. >30	Biogeochemical cycles Disturbance mediation	23%	Ordinal
Leaf Dry Matter (% of fresh weight)	1. <15; 2. 15-20; 3. 20-25; 4. 25-30; 5. >30	Biogeochemical cycles Disturbance mediation	24%	Ordinal
Leaf phenology	1. aestival (duration of canopy spring to autumn); 2. hibernal (mainly autumn to early summer); 3. always evergreen; 4. partially evergreen; 5. vernal (winter to spring)	Biogeochemical cycles Disturbance mediation	20%	Nominal
Mean shoot height (m)	1. <0.1 m; 2. 0.1-0.29 m; 3. 0.30-0.59 m; 4. 0.60-0.99 m; 5. 1.0-3.0 m; 6. >3.0	Biogeochemical cycles Disturbance mediation	20%	Ordinal
Life form	1. chamaephyte; 2. geophyte; 3. hemicryptophyte; 4. therophyte; 5. phanerophyte; 6. helophyte	Biogeochemical cycles Disturbance mediation	21%	Nominal

2 Table 3. Mean of response group (RG) relative abundance in each region x stand type. Different lower case letters indicate a significant
3 difference between stand types within a region and different uppercase letters indicate a significant difference between regions within stand type
4 ($p < 0.05$ - 0.001). Numerator degrees of freedom were region = 2, stand type = 2, and region x stand type = 4. Denominator degrees of freedom
5 were region = 120, stand type = 120 and region x stand type = 120. F statistic for the fixed effects of region (R), stand type (S) and region x
6 stand type (RxS) are presented in brackets for each RG. Asterisks = significance of lettering and F statistics (* = $p < 0.05$; ** = $p < 0.01$; *** =
7 $p < 0.001$)

Functional response trait groups*	Ireland			New Forest			Thetford Forest		
	Oak	Scots pine	Mix	Oak	Scots pine	Mix	Oak	Scots pine	Mix
RG1 (R=0.24, S=2.34, RxS=2.90*)	1.13	0.58	0.39	0.28	0.62	3.23	1.29	0.17	1.01
			A*	a*	ab*	b			AB
RG2 [†] (S = 0.49)	7.26	0.16	0	0.03	0	0	1.69	9.11	11.88
RG3 (R=56.62, S = 4.69*, RxS = 10.50***)	90.12	48.34	19.87	0.84	0.94	15.50	7.18	0.74	1.69
	a	b***	b**	a***	a***	b	a*	b	ab
	A	A	A**	B***	B***	A*	C***	B***	B
RG4 (R=0.80, S = 0.02, RxS = 3.99**)	0.05	2.29	0.52	1.17	0.48	2.2	4.60	0.43	0.29
	A*			AB			B		
RG5 (R=10.77, S = 4.98**, RxS = 2.78*)	0.22	1.12	0.08	30.15	0.73	1.75	29	12.17	2.52
	A	AB		a	b***	b**			
				B***	A		B***	B*	
RG6 (R=4.85, S = 3.43*, RxS = 3.00*)	0.38	0.78	38.49	1.12	0.11	3.85	0.57	0.07	0.07
	a***	a***	b	ab	a*	b			
			A			B*			B**
RG7 (R=14.45, S = 14.95***, RxS = 2.52*)	0.88	21.07	10.55	34.77	95.71	24.38	0.48	31.54	21.11
	a*	b	ab	a**	b	a***	a	b**	b*
	B**	A**		A	B		B**	A***	
RG8 (R=10.51, S = 5.01**, RxS = 0.74)	0.56	0.22	0.3	3.11	0.45	0.22	6.18	1.61	3.54
	a**	b	ab	a**	b	ab	a**	b	ab
	A***	A***	A***	A*	A*	A*	B	B	B
RG9 [†] (S = 2.12)	0	0.03	0	0	0	0.05	0.78	1.45	0.3

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9 * RG1 - Tree saplings; RG2 – Tall zoochorous perennials; RG3 - Woody shrubs/ climbers; RG4 – Wind-pollinated, zoochorous graminoids; RG5 – Short barochorous,
10 creeping/ clump-forming herbs and graminoids; RG6 – Tufted graminoids and upright, clump-forming herbs; RG7 - Tall anemochorous perennials; RG8 –Spring-flowering,
11 shade tolerant herbs; RG9 – Annuals.

12 † Not possible to test Ireland and New Forest for significance because of too many 0's. Effect of stand type was tested in Thetford Forest only – RG2 numDF = 2, denDF
 13 =39.77. RG9 num DF = 2, DenDF = 42.

14 Table 4. Mean of effect group (EG) relative abundance in each region x stand type. Different lower case letters indicate a significant difference
 15 between stand types within a region and different uppercase letters indicate a significant difference between regions within stand type (p<0.05-
 16 0.001). Numerator degrees of freedom were region = 2, stand type = 2, and region x stand type = 4. Denominator degrees of freedom were
 17 region = 120, stand type = 120 and region x stand type = 120. F statistic for the fixed effects of region (R), stand type (S) and region x stand type
 18 (RxS) are presented in brackets for each EG. Asterisks = significance of lettering and F statistics (* = p<0.05; ** = p<0.01; *** = p<0.001)

Functional effect trait groups*	Ireland			New Forest			Thetford Forest		
	Oak	Scots pine	Mix	Oak	Scots pine	Mix	Oak	Scots pine	Mix
EG1 (R=2.55, S =0.98, Rx S = 2.32)	0.69	0.18	0.06	0.29 a**	0.62 ab	2.94	0.8	0.17	1.01
EG2† (S = 0.33)	0.34	0.37	0	0.05	0	0.19	9.34	18.04	27.31
EG3 (R=3.4*, S = 7.02**, Rx S = 2.03)	0.90 a** A**	22.19 b A**	8.47 ab A**	27.13 a* B	35.83 b B	15.06 ab B	1.21 a AB	32.24 b** AB	21.37 b** AB
EG4 (R=11.23***, S = 0.14, Rx S = 8.18***)	0.82 a*** A	4.74 a** A**	44.42 b A**	53.44 B***	54.03 B	28.51 A*	46.69 a** B***	10.66 ab A*	2.85 b B
EG5† (S = 2.24)	0.15	0.05	0	0	0	0.47	16.76	4.32	1.89
EG6 (R=3.19, S = 1.90, Rx S = 1.00)	1.83 A*	0.68 A	0.28 A	3.06 AB	0.54 AB	0.62 AB	2.14 B	2.82 B	2.92 B
EG7 (R=59.11***, S = 5.62**, Rx S = 9.90***)	90.56 a A***	49.57 b*** A	25.56 b** A**	0.83 a*** B**	0.94 a*** B***	16.47 b A**	7.88 a** C***	0.55 b B***	1.69 ab B

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 20 * EG1 - Tree saplings; EG2 - Medium to tall non-woody ruderals and competitors; EG3 -: Ferns and rushes; EG4 – Grasses and sedges; EG5 – Annual herbs; EG6 – Short to
 21 medium height perennial herbs; EG7 - Shrubs/ climbers.

22 † Not possible to test Ireland and New Forest for significance because of too many 0's. Effect of stand type was tested in Thetford Forest only – EG2 numDF = 2, denDF =
 23 40.53. EG5 numDF = 2, denDF = 42.

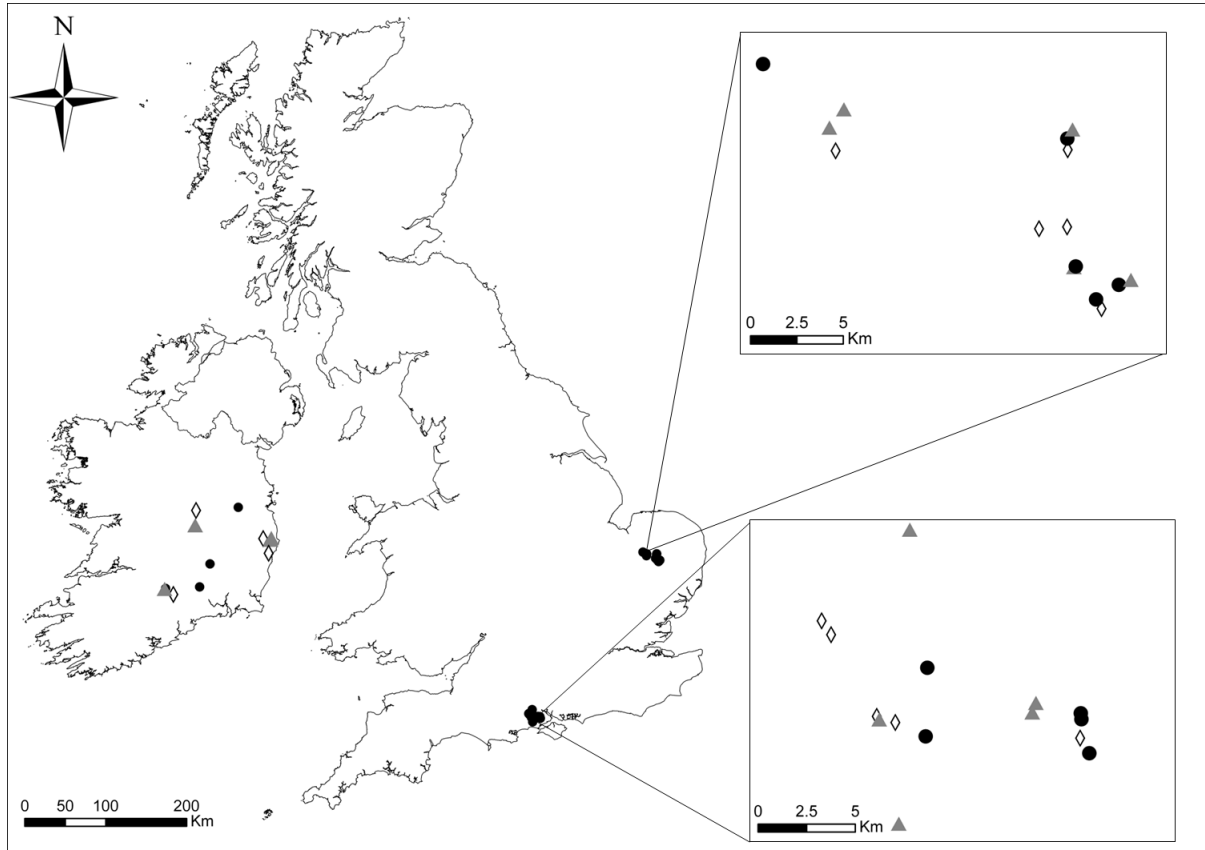
24 **Figures**

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26 **Fig. 1.** Locations of the stands in each of the three regions studied. Oak monoculture stands

27 (●), Scots pine monoculture stands (◇), Scots pine and oak mixed stands (▲).

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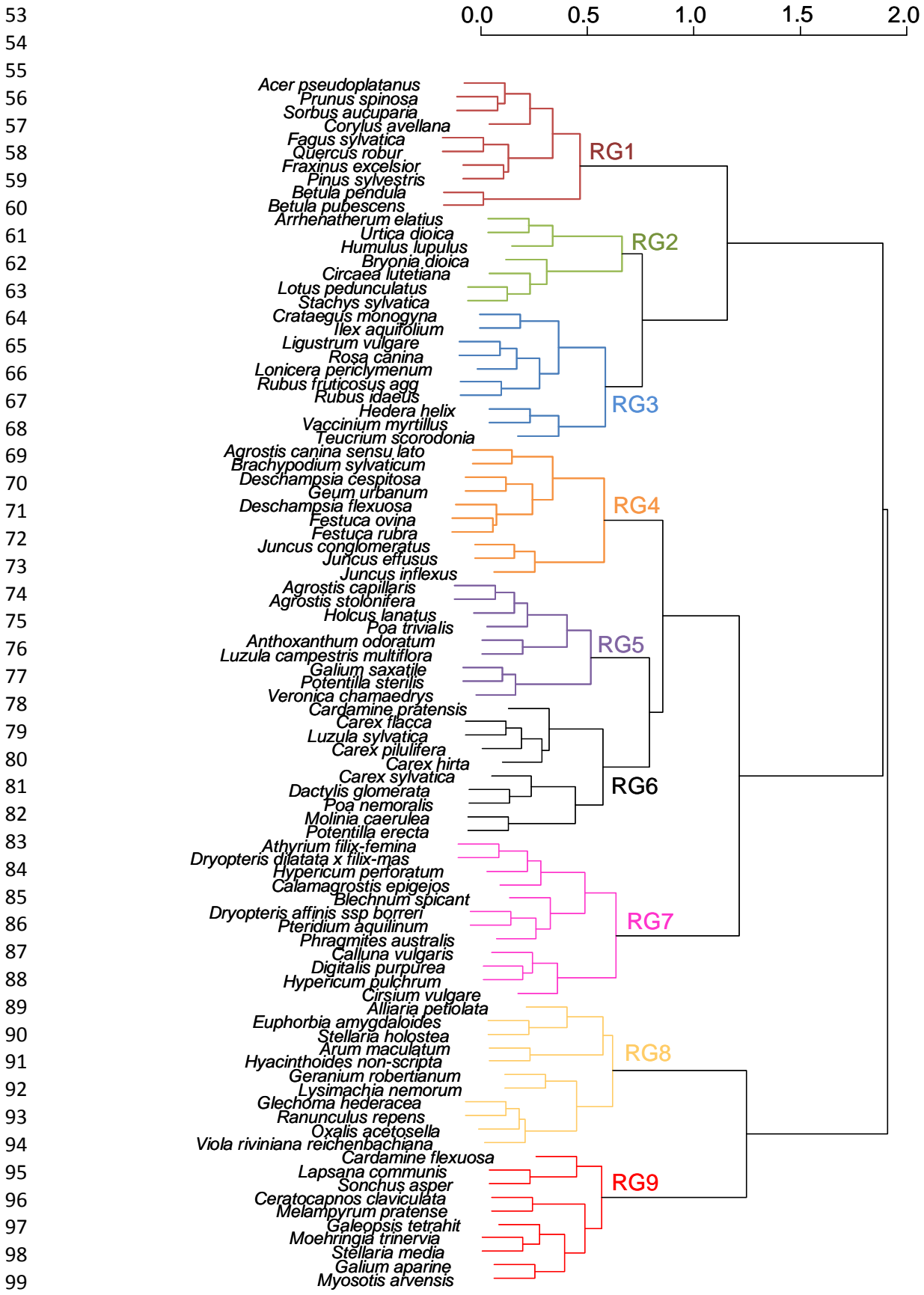
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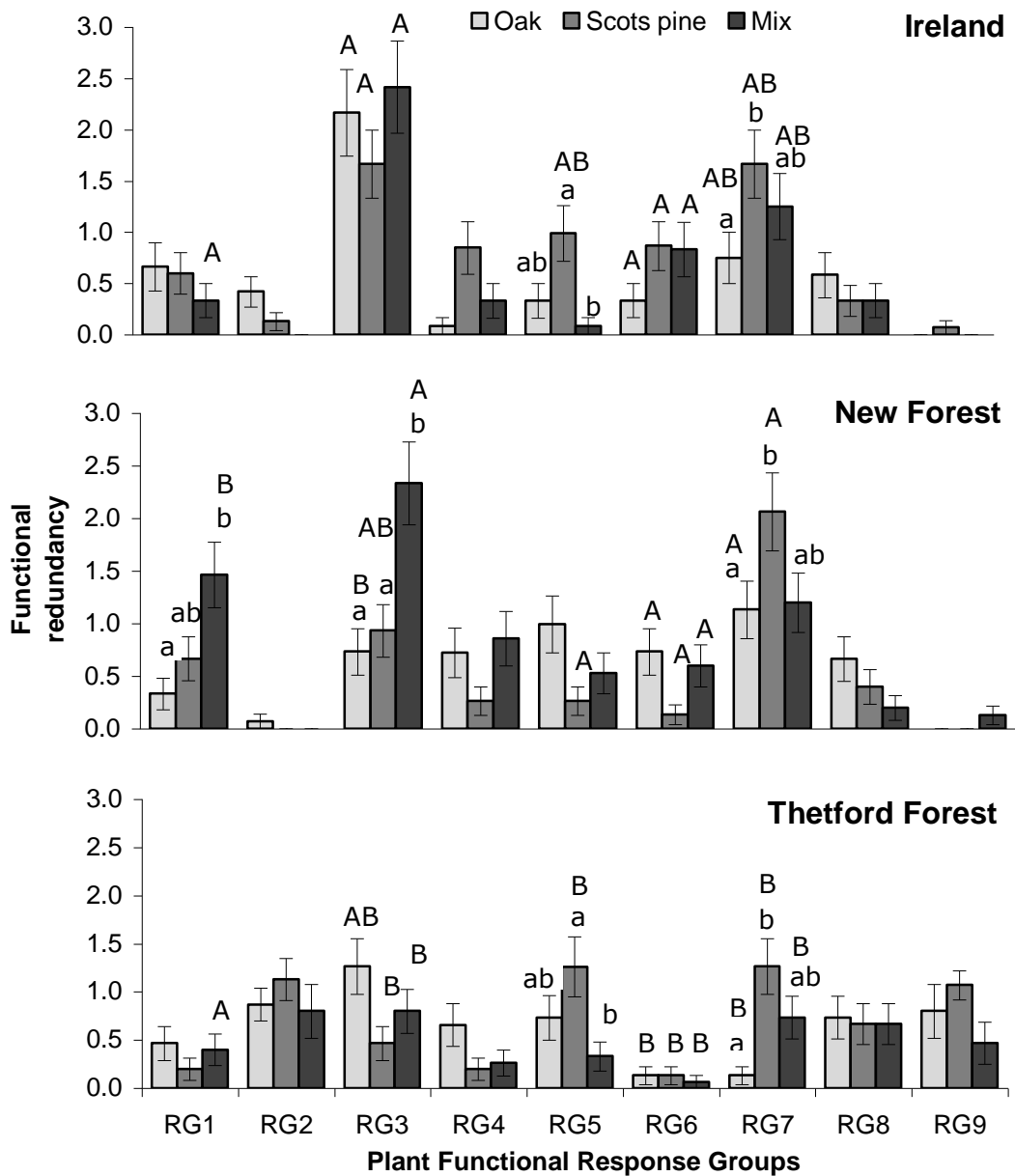
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50 **Fig. 2.** Dendrogram illustrating clustering of ground vegetation species into nine functional
 51 response trait groups.



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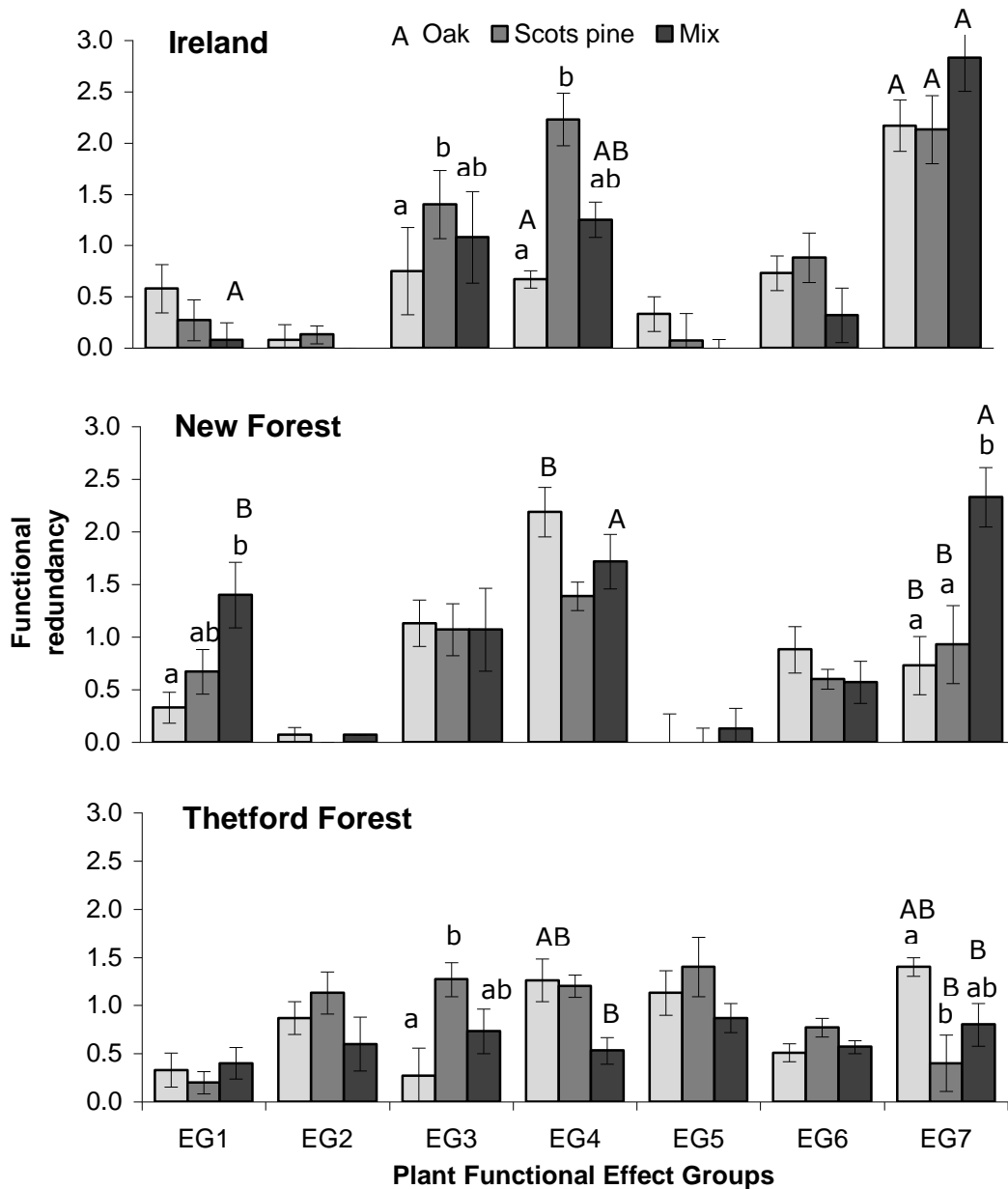
Fig. 3. Functional redundancy of plant functional RGs in each stand type: RG1 - Tree saplings; RG2 – Tall zoochorous perennials; RG3 - Woody shrubs/ climbers; RG4 – Wind-pollinated, zoochorous graminoids; RG5 – Short barochorous, creeping/ clump-forming herbs and graminoids; RG6 – Tufted graminoids and upright, clump-forming herbs; RG7 - Tall anemochorous perennials; RG8 –Spring-flowering, shade tolerant herbs; RG9 – Annuals. y axis shows the mean functional redundancy of each RG. Different lower case letters indicate a significant difference ($p < 0.05-0.001$) between stand types within a region and different upper case letters indicate significant differences between regions within stand type



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114 **Fig. 4.** Functional redundancy of plant functional EGs in each stand type: EG1 - Tree
 115 saplings; EG2 - Medium to tall non-woody ruderals and competitors; EG3 - Ferns and
 116 rushes; EG4 - Grasses and sedges; EG5 - Annual herbs; EG6 - Short to medium height
 117 perennial herbs; EG7 - Shrubs/ climbers. y axis shows the mean functional redundancy of
 118 each EG. Different lower case letters indicate a significant difference ($p < 0.05-0.001$) between
 119 stand types within a region and different upper case letters indicate significant differences
 120 between regions within stand type

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