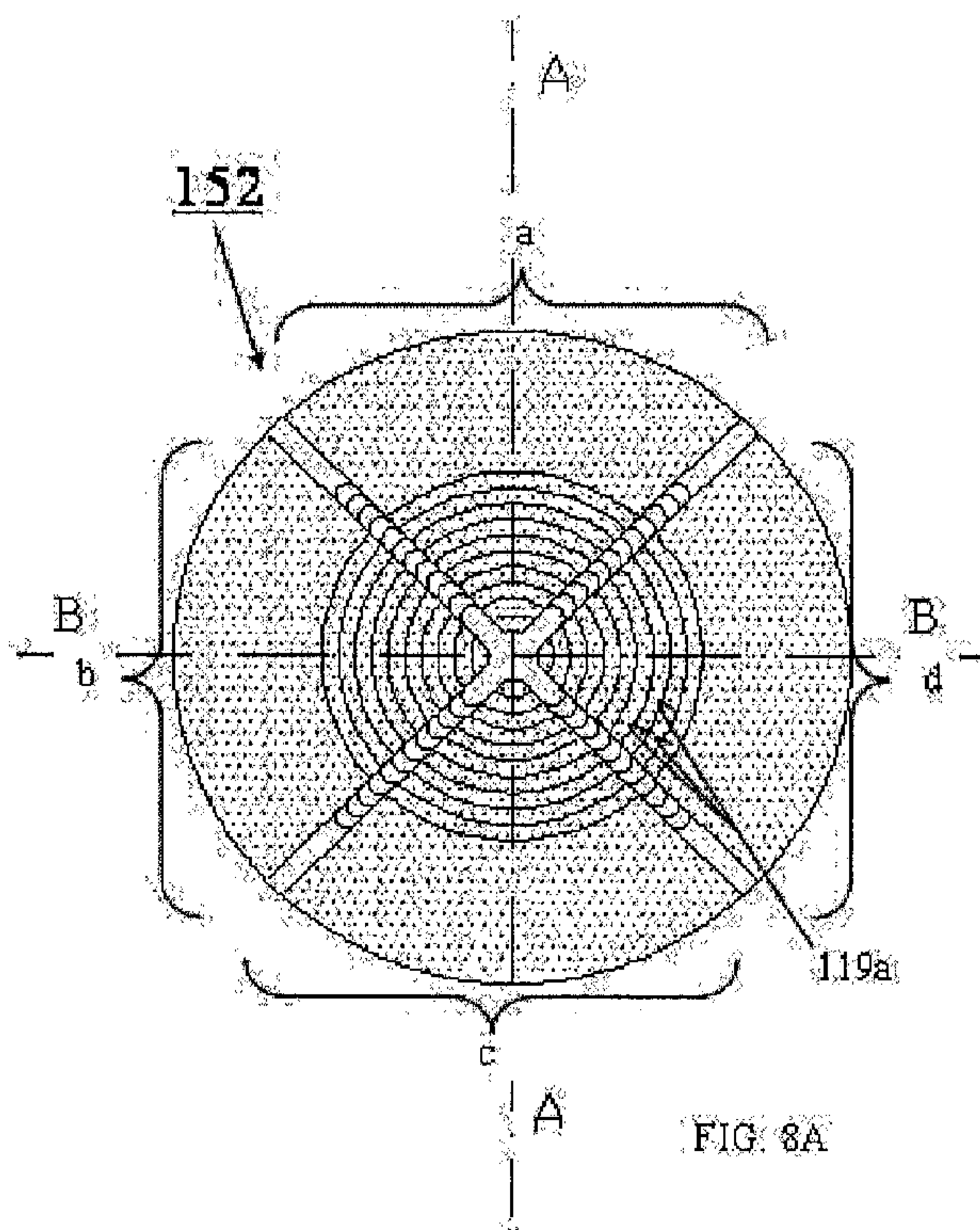




(22) Date de dépôt/Filing Date: 2004/04/02
 (41) Mise à la disp. pub./Open to Public Insp.: 2004/10/04
 (45) Date de délivrance/Issue Date: 2014/01/21
 (62) Demande originale/Original Application: 2 463 433
 (30) Priorité/Priority: 2003/04/04 (US10/407,860)

(51) Cl.Int./Int.Cl. *H01J 49/04* (2006.01)
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(54) Titre : DISPOSITIF DE GUIDAGE DE FAISCEAU D'IONS POUR SPECTROMETRES DE MASSE
 (54) Title: ION GUIDE FOR MASS SPECTROMETERS



(57) **Abrégé/Abstract:**

The present invention relates generally to mass spectrometry and the analysis of chemical samples, and more particularly to ion guides for use therein. The invention described herein comprises an improved method and apparatus for transporting ions from a first pressure region in a mass spectrometer to a second pressure region therein. More specifically, the present invention provides a segmented ion funnel for more efficient use in mass spectrometry (particularly with ionization sources) to transport ions from the first pressure region to the second pressure region.



ABSTRACT

The present invention relates generally to mass spectrometry and the analysis of chemical samples, and more particularly to ion guides for use therein. The invention described herein comprises an improved method and apparatus for transporting ions from a first pressure region in a mass spectrometer to a second pressure region therein. More specifically, the present invention provides a segmented ion funnel for more efficient use in mass spectrometry (particularly with ionization sources) to transport ions from the first pressure region to the second pressure region.

ION GUIDE FOR MASS SPECTROMETERS

This application is a divisional application of Canadian application Serial No. 2,463,433 filed April 2, 2004.

TECHNICAL FIELD OF THE INVENTION

The present invention generally relates to an improved method and apparatus for the injection of ions into a mass spectrometer for subsequent analysis. Specifically, the invention relates to an apparatus for use with an ion source that facilitate the transmission of ions from an elevated pressure ion production region to a reduced pressure ion and analysis region of a mass spectrometer. A preferred embodiment of the present invention allows for improved efficiency in the transmission of ions from a relatively high pressure region, through a multitude of differential pumping stages, to a mass analyzer.

BACKGROUND OF THE INVENTION

1 The present invention relates to ion guides for use in mass spectrometry. The
2 apparatus and methods for ionization described herein are enhancements of the
3 techniques referred to in the literature relating to mass spectrometry -- an important tool
4 in the analysis of a wide range of chemical compounds. Specifically, mass spectrometers
5 can be used to determine the molecular weight of sample compounds. The analysis of
6 samples by mass spectrometry consists of three main steps -- formation of gas phase ions
7 from sample material, mass analysis of the ions to separate the ions from one another
8 according to ion mass, and detection of the ions. A variety of means and methods exist in
9 the field of mass spectrometry to perform each of these three functions. The particular
10 combination of the means and methods used in a given mass spectrometer determine the
11 characteristics of that instrument.

12 To mass analyze ions, for example, one might use magnetic (B) or electrostatic
13 (E) analysis, wherein ions passing through a magnetic or electrostatic field will follow a
14 curved path. In a magnetic field, the curvature of the path will be indicative of the
15 momentum-to-charge ratio of the ion. In an electrostatic field, the curvature of the path
16 will be indicative of the energy-to-charge ratio of the ion. If magnetic and electrostatic
17 analyzers are used consecutively, then both the momentum-to-charge and energy-to-
18 charge ratios of the ions will be known and the mass of the ion will thereby be
19 determined. Other mass analyzers are the quadrupole (Q), the ion cyclotron resonance
20 (ICR), the time-of-flight (TOF), and the quadrupole ion trap analyzers. The analyzer
21 which accepts ions from the ion guide described here may be any of a variety of these.

1 Before mass analysis can begin, gas phase ions must be formed from a sample
2 material. If the sample material is sufficiently volatile, ions may be formed by electron
3 ionization (EI) or chemical ionization (CI) of the gas phase sample molecules.
4 Alternatively, for solid samples (e.g., semiconductors, or crystallized materials), ions can
5 be formed by desorption and ionization of sample molecules by bombardment with high
6 energy particles. Further, Secondary Ion Mass Spectrometry (SIMS), for example, uses
7 keV ions to desorb and ionize sample material. In the SIMS process a large amount of
8 energy is deposited in the analyte molecules, resulting in the fragmentation of fragile
9 molecules. This fragmentation is undesirable in that information regarding the original
10 composition of the sample (e.g., the molecular weight of sample molecules) will be lost.

11 For more labile, fragile molecules, other ionization methods now exist. The
12 plasma desorption (PD) technique was introduced by Macfarlane *et al.* (R.D. Macfarlane,
13 R.P. Skowronski, D.F. Torgerson, *Biochem. Biophys. Res Commoun.* **60** (1974)
14 616)(“McFarlane”). Macfarlane discovered that the impact of high energy (MeV) ions
15 on a surface, like SIMS would cause desorption and ionization of small analyte
16 molecules. However, unlike SIMS, the PD process also results in the desorption of
17 larger, more labile species (e.g., insulin and other protein molecules).

18 Additionally, lasers have been used in a similar manner to induce desorption of
19 biological or other labile molecules. See, for example, Cotter *et al.* (R.B. VanBreeman,
20 M. Snow, R.J. Cotter, *Int. J. Mass Spectrom. Ion Phys.* **49** (1983) 35; Tabet, J.C.; Cotter,
21 R.J., Tabet, J.C., *Anal. Chem.* **56** (1984) 1662; or R.J. Cotter, P. Demirev, I. Lys, J.K.
22 Olthoff, J.K.; Lys, I.; Demirev, P.: Cotter *et al.*, R. J., *Anal. Instrument.* **16** (1987) 93).
23 Cotter modified a CVC 2000 time-of-flight mass spectrometer for infrared laser

1 desorption of involatile biomolecules, using a Tachisto (Needham, Mass.) model 215G
2 pulsed carbon dioxide laser. The plasma or laser desorption and ionization of labile
3 molecules relies on the deposition of little or no energy in the analyte molecules of
4 interest. The use of lasers to desorb and ionize labile molecules intact was enhanced by
5 the introduction of matrix assisted laser desorption ionization (MALDI) (K. Tanaka, H.
6 Waki, Y. Ido, S. Akita, Y. Yoshida, T. Yoshica, *Rapid Commun. Mass Spectrom.* **2**
7 (1988) 151 and M. Karas, F. Hillenkamp, *Anal. Chem.* **60** (1988) 2299). In the MALDI
8 process, an analyte is dissolved in a solid, organic matrix. Laser light of a wavelength
9 that is absorbed by the solid matrix but not by the analyte is used to excite the sample.
10 Thus, the matrix is excited directly by the laser, and the excited matrix sublimates into the
11 gas phase carrying with it the analyte molecules. The analyte molecules are then ionized
12 by proton, electron, or cation transfer from the matrix molecules to the analyte molecules.
13 This process (i.e., MALDI) is typically used in conjunction with time-of-flight mass
14 spectrometry (TOFMS) and can be used to measure the molecular weights of proteins in
15 excess of 100,000 daltons.

16 Further, Atmospheric Pressure Ionization (API) includes a number of ion
17 production means and methods. Typically, analyte ions are produced from liquid
18 solution at atmospheric pressure. One of the more widely used methods, known as
19 electrospray ionization (ESI), was first suggested by Dole *et al.* (M. Dole, L.L. Mack,
20 R.L. Hines, R.C. Mobley, L.D. Ferguson, M.B. Alice, *J. Chem. Phys.* **49**, 2240, 1968). In
21 the electrospray technique, analyte is dissolved in a liquid solution and sprayed from a
22 needle. The spray is induced by the application of a potential difference between the
23 needle and a counter electrode. The spray results in the formation of fine, charged

1 droplets of solution containing analyte molecules. In the gas phase, the solvent
2 evaporates leaving behind charged, gas phase, analyte ions. This method allows for very
3 large ions to be formed. Ions as large as 1 MDa have been detected by ESI in
4 conjunction with mass spectrometry (ESMS).

5 In addition to ESI, many other ion production methods might be used at
6 atmospheric or elevated pressure. For example, MALDI has recently been adapted by
7 Laiko *et al.* to work at atmospheric pressure (Victor Laiko and Alma Burlingame,
8 “Atmospheric Pressure Matrix Assisted Laser Desorption”, U.S. Patent No. 5,965,884,
9 and Atmospheric Pressure Matrix Assisted Laser Desorption Ionization, poster #1121, 4th
10 International Symposium on Mass Spectrometry in the Health and Life Sciences, San
11 Francisco, Aug. 25 – 29, 1998) and by Standing *et al.* at elevated pressures (Time of
12 Flight Mass Spectrometry of Biomolecules with Orthogonal Injection + Collisional
13 Cooling, poster #1272, 4th International Symposium on Mass Spectrometry in the Health
14 and Life Sciences, San Francisco, Aug. 25 – 29, 1998; and Orthogonal Injection TOFMS
15 *Anal. Chem.* 71(13), 452A (1999)). The benefit of adapting ion sources in this manner is
16 that the ion optics (i.e., the electrode structure and operation) in the mass analyzer and
17 mass spectral results obtained are largely independent of the ion production method used.

18 The elevated pressure MALDI source disclosed by Standing differs from what is
19 disclosed by Laiko *et al.* Specifically, Laiko *et al.* disclose a source intended to operate
20 at substantially atmospheric pressure. In contrast, as depicted in FIG. 1, the source 1
21 disclosed by Standing *et al.* is intended to operate at a pressure of about 70 mtorr. In
22 addition, as shown in FIG. 1, the MALDI sample resides on the tip 6 of a MALDI probe
23 2 in the second pumping stage 3 immediately in front of the first of two quadrupole ion

1 guides 4. Using a laser 7, ions are desorbed from the MALDI sample directly into 70
2 mtorr of gas and are immediately drawn into the ion guides 4 by the application of an
3 electrostatic field. Even though this approach requires that one insert the sample into the
4 vacuum system, it has the advantage of improved ion transmission efficiency over that of
5 the Laiko source. That is, the possible loss of ions during transmission from the elevated
6 pressure source 1, operated at atmospheric pressure, to the third pumping region and the
7 ion guide therein is avoided because the ions are generated directly in the second
8 pumping stage.

9 Elevated pressure (i.e., elevated relative to the pressure of the mass analyzer) and
10 atmospheric pressure ion sources always have an ion production region, wherein ions are
11 produced, and an ion transfer region, wherein ions are transferred through differential
12 pumping stages and into the mass analyzer. Generally, mass analyzers operate in a
13 vacuum between 10^{-4} and 10^{-10} torr depending on the type of mass analyzer used. When
14 using, for example, an ESI or elevated pressure MALDI source, ions are formed and
15 initially reside in a high pressure region of "carrier" gas. In order for the gas phase ions to
16 enter the mass analyzer, the ions must be separated from the carrier gas and transported
17 through the single or multiple vacuum stages.

18 As a result, the use of multipole ion guides has been shown to be an effective
19 means of transporting ions through a vacuum system. Publications by Olivers *et al.*
20 (Anal. Chem, Vol. 59, p. 1230-1232, 1987), Smith *et al.* (Anal. Chem. Vol. 60, p. 436-
21 441, 1988) and Douglas *et al.* (U.S. Pat. No. 4,963,736) have reported the use of AC-
22 only quadrupole ion guides to transport ions from an API source to a mass analyzer.

1 In the prior art, according to Douglas *et al.*, as depicted in FIG. 2, ionization
2 chamber 17 is connected to curtain gas chamber 24 via opening 18 in curtain gas plate
3 23. Curtain gas chamber 24 is connected by orifice 25 of orifice plate 29 to first vacuum
4 chamber 44 that is pumped by vacuum pump 31. Vacuum chamber 44 contains a set of
5 four AC-only quadrupole mass spectrometer rods 33. Also, the vacuum chamber 44 is
6 connected by interchamber orifice 35 in separator plate 37 to a second vacuum chamber
7 51 pumped by vacuum pump 39. Chamber 51 contains a set of four standard quadrupole
8 mass spectrometer rods 41.

9 An inert curtain gas, such as nitrogen, argon or carbon dioxide, is supplied via a
10 curtain gas source 43 and duct 45 to the curtain gas chamber 24. (Dry air may also be
11 used in some cases.) The curtain gas flows through orifice 25 into the first vacuum
12 chamber 44 and also flows into the ionization chamber 17 to prevent air and
13 contaminants in chamber 17 from entering the vacuum system. Excess sample, and
14 curtain gas, leave the ionization chamber 17 via outlet 47.

15 Ions produced in the ionization chamber 17 are drifted by appropriate DC
16 potentials on plates 23 and 29 and on the AC-only rod set 33 through opening 18 and
17 orifice 25, and then are guided through the AC-only rod set 33 and interchamber orifice
18 35 into the rod set 41. An AC RF voltage (typically at a frequency of about 1 Megahertz)
19 is applied between the rods of rod set 33, as is well known, to permit rod set 33 to
20 perform its guiding and focusing function. Both DC and AC RF voltages are applied
21 between the rods of rod set 41, so that rod set 41 performs its normal function as a mass
22 filter, allowing only ions of selected mass to charge ratio to pass therethrough for
23 detection by ion detector 49.

1 Douglas *et al.* found that under appropriate operating conditions, an increase in
2 the gas pressure in the first vacuum chamber 44 not only failed to cause a decrease in the
3 ion signal transmitted through orifice 35, but in fact most unexpectedly caused a
4 considerable increase in the transmitted ion signal. In addition, under appropriate
5 operating conditions, it was found that the energy spread of the transmitted ions was
6 substantially reduced, thereby greatly improving the ease of analysis of the transmitted
7 ion signal. The particular “appropriate operating conditions” disclosed by Douglas *et al.*
8 maintain the second vacuum chamber 51 at low pressure (e.g. 0.02 millitorr or less) but
9 the product of the pressure in the first chamber 44 and the length of the AC-only rods 33
10 is held above 2.25×10^{-2} torr-cm, preferably between 6×10^{-2} and 15×10^{-2} torr-cm, and
11 the DC voltage between the inlet plate 29 and the AC-only rods 33 is kept low (e.g.,
12 between 1 and 30 volts) preferably between 1 and 10 volts.

13 As shown in FIG. 3, mass spectrometers similar to that of Whitehouse *et al.*
14 (“Multipole Ion Guide for Mass Spectrometry”, U.S. Patent No. 5,652,427) use multipole
15 RF ion guides 42 to transfer ions from one pressure region 30 to another 34 in a
16 differentially pumped system. In this ion source, ions are produced by ESI or APCI at
17 substantially atmospheric pressure. These ions are transferred from atmospheric pressure
18 to a first differential pumping region by the gas flow through a glass capillary 60.
19 Further, ions are transferred from this first pumping region 30 to a second pumping
20 region 32 through a “skimmer” 56 by gas flow as well as an electric field present between
21 these regions. Multipole ion guide 42 in the second differentially pumped region 32
22 accepts ions of a selected mass/charge (m/z) ratio and guides them through a restriction

1 and into a third differentially pumped region 34 by applying AC and DC voltages to the
2 individual poles of the ion guide 42.

3 Further, as depicted in FIG. 3, a four vacuum stage ESI-reflectron-TOF mass
4 spectrometer, according to Whitehouse *et al.*, incorporates a multipole ion guide 42
5 beginning in one vacuum pumping stage 32 and extending contiguously into an adjacent
6 pumping stage 34. As shown here, ions are formed from sample solution by an
7 electrospray process. Sample bearing liquid is introduced through the electrospray needle
8 26 and is electrosprayed or nebulization-assisted electrosprayed into chamber 28 as it
9 exits the needle tip 27 producing charged droplets. The charged droplets evaporate and
10 desorb gas phase ions both in chamber 28 and as they are swept into the vacuum system
11 through the annulus 38 in capillary 60. According to the prior art system shown in FIG. 3,
12 capillary 60 is used to transport ions from chamber 28, where the ions are formed, to first
13 pumping region 30. A portion of the ions that enter the first vacuum stage 30 through the
14 capillary exit 40 are focused through the orifice 58 in skimmer 56 with the help of lens 62
15 and the potential set on the capillary exit 40. Ions passing through orifice 58 enter the
16 multipole ion guide 42, which begins in vacuum pumping stage 32 and extends unbroken
17 into vacuum stage 34. According to Whitehouse *et al.* the RF only ion guide 42 is a
18 hexapole. The electrode rods of such prior art multipole ion guides are positioned parallel
19 and are equally spaced at a common radius from the centerline of the ion guide. A high
20 voltage RF potential is applied to the electrode rods of the ion guide so as to push the ions
21 toward the centerline of the ion guide. Ions with a m/z ratio that fall within the ion guide
22 stability window established by the applied voltages have stable trajectories within the
23 ion guide's internal volume bounded by the evenly-spaced, parallel rods. This is true for

1 quadrupoles, hexapoles, octapoles, or any other multipole used to guide ions. As
2 previously disclosed by Douglas *et al.*, operating the ion guide in an appropriate pressure
3 range results in improved ion transmission efficiency.

4 Whitehouse *et al.* further disclose that collisions with the gas reduces the ion
5 kinetic energy to that of the gas (i.e., room temperature). This hexapole ion guide 42 is
6 intended to provide for the efficient transport of ions from one location (i.e., the entrance
7 58 of skimmer 56) to a second location (i.e., orifice 50). Of particular note is that a single
8 contiguous multipole 42 resides in more than one differential pumping stage and guides
9 ions through the pumping restriction between them. Compared to other prior art designs,
10 this offers improved ion transmission through pumping restrictions.

11 If the multipole ion guide AC and DC voltages are set to pass ions falling within a
12 range of m/z then ions within that range that enter the multipole ion guide 42 will exit at
13 46 and be focused with exit lens 48 through the TOF analyzer entrance orifice 50. The
14 primary ion beam 82 passes between electrostatic lenses 64 and 68 that are located in the
15 fourth pumping stage 36. The relative voltages on lenses 64, 68 and 70 are pulsed so that
16 a portion of the ion beam 82 falling in between lenses 64 and 68 is ejected as a packet
17 through grid lens 70 and accelerated down flight tube 80. The ions are steered by x and y
18 lens sets diagrammatically illustrated by 72 as they continue moving down flight tube 80.
19 As shown in this illustrative configuration, the ion packet is reflected through a reflectron
20 or ion mirror 78, steered again by x and y lens sets illustrated by 76 and detected at
21 detector 74. As a pulsed ion packet proceeds down flight tube 80, ions with different m/z
22 separate in space due to their velocity differences and arrive at the detector at different
23 times. Moreover, the use of orthogonal pulsing in an API/TOF system helps to reduce the

1 ion energy spread of the initial ion packet allowing for the achievement of higher
2 resolution and sensitivity.

3 In U.S. Patent Number 6,011,259 Whitehouse *et al.* also disclose trapping ions in
4 a multipole ion guide and subsequently releasing them to a TOF mass analyzer. In
5 addition, Whitehouse *et al.* disclose ion selection in such a multipole ion guide, collision
6 induced dissociation of selected ions, and release of the fragment ions thus produced to
7 the TOF mass analyzer. Further, the use of two or more ion guides in consecutive
8 vacuum pumping stages allowing for different DC and RF values is also disclosed by
9 Whitehouse *et al.* However, losses in ion transmission efficiency may occur in the region
10 of static voltage lenses between ion guides. For example, a commercially available
11 API/MS instrument manufactured by Hewlett Packard incorporates two skimmers and an
12 ion guide. An interstage port (also called a drag stage port) is used to pump the region
13 between the skimmers. That is, an additional pumping stage/region is added without the
14 addition of an extra turbo pump, thereby improving pumping efficiency. In this dual
15 skimmer design, there is no ion focusing device between skimmers, therefore ion losses
16 may occur as the gases are pumped away. A second example is demonstrated by a
17 commercially available API/MS instrument manufactured by Finnigan which applies an
18 electrostatic lens between capillary and skimmer to focus the ion beam. Due to a narrow
19 mass range of the static lens, the instrument may need to scan the voltage to optimize the
20 ion transmission.

21 According to Thomson *et al.* (entitled "Quadrupole with Axial DC Field", U.S.
22 Patent No. 6,111,250), a quadrupole mass spectrometer contains four rod sets, referred to
23 as Q0, Q1, Q2 and Q3. A rod set is constructed to create an axial field (e.g., a DC axial

1 field) thereon. The axial field can be created by tapering the rods, or arranging the rods at
2 angles with respect to each other, or segmenting the rods as depicted in FIG. 4. When the
3 axial field is applied to Q0 in a tandem quadrupole set, it speeds passage of ions through
4 Q0 and reduces delay caused by the need to refill Q0 with ions when jumping from low
5 to high mass in Q1. When used as collision cell Q2, the axial field reduces the delay
6 needed for daughter ions to drain out of Q2. The axial field can also be used to help
7 dissociate ions in Q2, either by driving the ions forwardly against the collision gas, or by
8 oscillating the ions axially within the collision cell.

9 One such prior art device disclosed by Thomson *et al.* is depicted in FIG. 4, which
10 shows a quadrupole rod set 96 consisting of two pair of parallel cylindrical rod sets 96A
11 and 96B arranged in the usual fashion but divided longitudinally into six segments 96A-1
12 to 96A-6 and 96B-1 to 96B-6. The gap 98 between adjacent segments or sections is very
13 small (e.g., about 0.5 mm). Each A section and each B section is supplied with the same
14 RF voltage from RF generator 74, via isolating capacitors C3, but each is supplied with a
15 different DC voltage V1 to V6 via resistors R1 to R6. Thus, sections 96A-1, 96B-1
16 receive voltage V1, sections 96A-2, 96B-2 receive voltage V2, and so on. This produces
17 a stepped voltage along the central longitudinal axis 100 of the rod set 96. Connection of
18 the R-C network and thus the voltage applied to sections 96B-1 to 96B-6 are not
19 separately shown. The separate potentials can be generated by separate DC power
20 supplies for each section or by one power supply with a resistive divider network to
21 supply each section. The step wise potential produces an approximately constant axial
22 field. While more sections over the same length will produce a finer step size and a closer

1 approximation to a linear axial field, it is found that using six sections as shown produces
2 good results.

3 For example, such a segmented quadrupole was used to transmit ions from an
4 atmospheric pressure ion source into a downstream mass analyzer. The pressure in the
5 quadrupole was 8.0 millitorr. Thomson *et al.* found that at high pressure without an axial
6 field the ions of a normal RF quadrupole at high pressure without an axial field can
7 require several tens of milliseconds to reach a steady state signal. However, with the use
8 of an axial field that keeps the ions moving through the segmented quadrupole, the
9 recovery or fill-up time of segmented quadrupoles, after a large change in RF voltage, is
10 much shorter.

11 In a similar manner Wilcox *et al.* (B.E. Wilcox, J.P. Quinn, M.R. Emmett, C.L.
12 Hendrickson, and A. Marshall, Proceedings of the 50th ASMS Conference on Mass
13 Spectrometry and Allied Topics, Orlando, Florida, June 2-6, 2002) demonstrated the use
14 of a pulsed electric field to eject ions from an octapole ion guide. Wilcox *et al.* found that
15 the axial electric field caused ions in the octapole to be ejected more quickly. This
16 resulted in an increase in the effective efficiency of transfer of ions from the octapole to
17 their mass analyzer by as much as a factor of 14.

18 Another type of prior art ion guide, depicted in FIG. 5, is disclosed by Franzen *et*
19 *al.* in U.S. Patent No. 5,572,035, entitled "Method and Device for the Reflection of
20 Charged Particles on Surfaces". According to Franzen *et al.*, the ion guide 13 comprises a
21 series of parallel rings 12, each ring having a phase opposite that of its two neighboring
22 rings. Thus, along the axis there exists a slightly undulating structure of the pseudo
23 potential, slightly obstructive for a good and smooth guidance of ions. On the other hand,

1 the diffuse reflection of particles at the cylinder wall is favorable for a fast thermalization
2 of the ion's kinetic energy if the ions are shot about axially into the cylinder. This
3 arrangement generates, in each of the ring centers, the well-known potential distribution
4 of ion traps with their characteristic equipotential surfaces crossing in the center with
5 angles of $\alpha=2\arctan(1/2^{0.5})$. The quadropole fields, however, are restricted to very small
6 areas around each center. In the direction of the cylinder axis, the pseudo potential wells
7 of the centers are shallow because the traps follow each other in narrow sequence. In
8 general, the pseudo potential wells are less deep the closer the rings are together.
9 Emptying this type of ion guide by simply letting the ions flow out leaves some ions
10 behind in the shallow wells.

11 In this prior art ion guide according to Franzen, an axial DC field is used to drive
12 the ions out, ensuring that the ion guide is completely emptied. The electric circuits
13 needed to generate this DC field are shown in FIG. 5. As shown, the RF voltage is
14 supplied to the ring electrodes 12 via condensers, and the rings are connected by a series
15 of resistance chokes 14 forming a resistive voltage divider for the DC voltage, and
16 hindering the RF from flowing through the voltage divider. The DC current is switchable,
17 and the DC field helps to empty the device of any stored ions. With rings 12 being
18 approximately five millimeters in diameter, resistance chokes 14 of 10 microhenries and
19 100 Ohms, and capacitors 16 of 100 picofarads build up the desired DC fields. Fields of a
20 few volts per centimeter are sufficient.

21 A similar means for guiding ions at "near atmospheric" pressures (i.e., pressures
22 between 10^{-1} millibar and 1 bar) is disclosed by Smith *et al.* in U.S. Patent No. 6,107,628,
23 entitled "Method and Apparatus for Directing Ions and Other Charged Particles

1 Generated at Near Atmospheric Pressures into a Region Under Vacuum". One
2 embodiment, illustrated in FIG. 6, consists of a plurality of elements, or rings 13, each
3 element having an aperture, defined by the ring inner surface 20. At some location in the
4 series of elements, each adjacent aperture has a smaller diameter than the previous
5 aperture, the aggregate of the apertures thus forming a "funnel" shape, otherwise known
6 as an ion funnel. The ion funnel thus has an entry, corresponding with the largest aperture
7 21, and an exit, corresponding with the smallest aperture 22. According to Smith *et al.*,
8 the rings 13 containing apertures 20 may be formed of any sufficiently conducting
9 material. Preferably, the apertures are formed as a series of conducting rings, each ring
10 having an aperture smaller than the aperture of the previous ring. Further, an RF voltage
11 is applied to each of the successive elements so that the RF voltages of each successive
12 element is 180 degrees out of phase with the adjacent element(s), although other
13 relationships for the applied RF field would likely be appropriate. Under this
14 embodiment, a DC electrical field is created using a power supply and a resistor chain to
15 supply the desired and sufficient voltage to each element to create the desired net motion
16 of ions through the funnel.

17 Each of the ion guide devices mentioned above in the prior art have their own
18 particular advantages and disadvantages. For example, the "ion funnel" disclosed by
19 Smith *et al.* has the advantage that it can efficiently transmit ions through a relatively
20 high pressure region (i.e., > 0.1 mbar) of a vacuum system, whereas multipole ion guides
21 perform poorly at such pressures. However, the ion funnel disclosed by Smith *et al.*
22 performs poorly at lower pressures where multipole ion guides transmit ions efficiently.
23 In addition, this ion funnel has a narrow range of effective geometries. That is, the

1 thickness of the plates and the gap between the plates must be relatively small compared
2 to the size of the aperture in the plate. Otherwise, ions may get trapped in electrodynamic
3 “wells” in the funnel and therefore not be efficiently transmitted.

4 Similarly, the ion guide disclosed by Franzen *et al.* and shown in FIG. 5 must
5 have apertures which are large relative to plate thickness and gap. Also while Franzen *et*
6 *al.*'s ion guide can have an “axial” DC electric field to push the ions towards the exit, the
7 DC field cannot be changed rapidly or switched on or off quickly. That is, the speed with
8 which the DC field is switched must be much slower than that represented by the
9 frequency of the RF potential applied to confine the ions. Similarly, the segmented
10 quadrupole of Thomson *et al.* allows for an axial DC electric field. However, in
11 Thomson *et al.*, the field cannot be rapidly switched.

12 As discussed below, the ion guide according to the present invention overcomes
13 many of the limitations of prior art ion guides. The ion guide disclosed herein provides a
14 unique combination of attributes making it more suitable for use in the transport of ions
15 from high pressure ion production regions to low pressure mass analyzers.

16

17 SUMMARY OF THE INVENTION

18 The present invention relates generally to mass spectrometry and the analysis of
19 chemical samples, and more particularly to ion guides for use therein. The invention
20 described herein comprises an improved method and apparatus for transporting ions from
21 a first pressure region in a mass spectrometer to a second pressure region therein. More
22 specifically, the present invention provides a segmented ion funnel for more efficient use

1 in mass spectrometry, particularly with ionization sources, to transport ions from the first
2 pressure region to a second pressure region.

3 In light of the above described inadequacies in the prior art, a primary aspect of
4 the present invention is to provide a means and method for efficiently guiding ions in and
5 through high (i.e., ≥ 0.1 mbar) and low (i.e., ≤ 0.1 mbar) pressure regions of a mass
6 spectrometer. Whereas, some prior art devices function well at high pressures and other
7 devices function well at low pressures, the ion guide according to the present invention
8 functions efficiently at both high and low pressures. It is therefore also considered
9 another aspect of the present invention to provide an ion funnel device which begins in
10 one pumping region and ends in another pumping region and guides ions through a
11 pumping restriction between the two regions. The first of said pumping regions may be a
12 relatively high pressure (i.e., > 0.1 mbar) region whereas subsequent pumping regions are
13 lower pressure.

14 It is another aspect of the present invention to provide a means and method for
15 rapidly ejecting ions from an ion guide. Ions may initially be trapped, for example in a
16 stacked ring ion guide, and then ejected from the guide as a pulse of ions. Ejection is
17 effected by applying a pulsed electric potential to "DC electrodes" so as to force ions
18 towards the exit end of the ion guide. Ions might be ejected into a mass analyzer or into
19 some other device – e.g. a collision cell.

20 It is yet a further aspect of the present invention to provide a means and method
21 for performing tandem mass spectrometry experiments. Particularly, a device according
22 to the present invention might be used as a "collision cell" as well as an ion guide. When
23 used in combination with an upstream mass analyzer, selected ions can be caused to form

fragment ions. Further, a "downstream" mass analyzer may be used to analyze fragment ions thus formed. Therefore in combination with appropriate mass analyzers a fragment ion (or MS/MS) spectrum can be obtained. Alternatively, as discussed by Hofstadler *et al.* ("Methods and Apparatus for External Accumulation and Photodissociation of Ions Prior to Mass Spectrometric Analysis", U.S. Patent No. 6,342,393) the ion guide might operate at a predetermined pressure such that ions in the guide can be irradiated with light and thereby caused to form fragment ions for subsequent mass analysis.

It is just a further aspect of the present invention to provide a means and method for accepting and guiding ions from a multitude of ion production means. As described above, a number of means and methods for producing ion are known in the prior art. An ion guide according to the present invention may accept ions simultaneously from more than one such ion production means. For example, an elevated pressure MALDI ion production means may be used in combination with an ESI or other API ion production means to accept ions either simultaneously or consecutively. Importantly, the ion production means need not be physically exchanged in order to switch between them. That is, for example, one need not dismount the MALDI means and mount an ESI means in its place to switch from MALDI to ESI.

According to another aspect of the present invention, there is provided a segmented electrode comprising:

a plurality of alternating electrically insulating and electrically conducting regions such that each said electrically conducting region is electrically insulated from every other said electrically conducting region,

wherein each electrically conducting region of said plurality of electrically conducting regions is connected to an RF potential, and wherein each of said electrically conducting regions is 180° out of phase from an adjacent said electrically conducting region; and

5 an aperture therethrough.

According to another aspect of the present invention, there is provided a segmented electrode comprising:

an electrically insulating support having an aperture therethrough for passage of sample ions; and

10 a plurality of electrically conducting elements formed on said electrically insulating support such that each said electrically conducting element is electrically insulated from each adjacent electrically conducting element by said electrically insulating support, wherein each electrically conducting element of said plurality of electrically conducting elements is connected to an RF potential, and wherein each of
15 said electrically conducting elements is 180° out of phase from an adjacent said electrically conducting element.

According to another aspect of the present invention, there is provided an apparatus that facilitates the transmission of ions in a mass spectrometer, said apparatus comprising a plurality of segmented electrodes, each said electrode comprising
20 alternating electrically insulating and electrically conducting regions,

wherein each said electrode includes an aperture for passage of sample ions therethrough,

wherein each electrically conducting region of said plurality of electrically conducting regions in each segmented electrode is connected to an RF potential,

wherein said each electrically conducting region of said each segmented electrode is 180° out of phase from an adjacent electrically conducting region of said each segmented electrode; wherein said electrodes are aligned along a common axis such that said electrically conducting regions of each said electrode are aligned with said electrically conducting regions of adjacent said electrodes,

wherein said each electrically conducting region that is aligned along an axis of said each segmented electrode is 180° out of phase from an adjacent electrically conducting region that is aligned along said axis on an adjacent segmented electrode.

According to another aspect of the present invention, there is provided an ion guide for the transmission of ions in a mass spectrometer, said ion guide comprising:

a plurality of segmented and apertured electrodes, each said electrode comprising alternating electrically insulating and electrically conducting segments such that each said electrically conducting segment is adjacent to at least two said electrically insulating segments; and

means for applying a first potential and a second potential to said electrodes, wherein said first potential and said second potential are RF potentials;

wherein each of said segmented electrodes is composed of at least two of said electrically conducting segments,

wherein each electrically conducting segment of said each of said segmented electrodes is 180° out of phase from an adjacent electrically conducting segment of said

each of said segmented electrodes,

wherein said each electrically conducting segment that is aligned along an axis of said each of said segmented electrodes is 180° out of phase from an adjacent electrically conducting segment that is aligned along said axis of an adjacent segmented electrode,

5 wherein said electrodes are aligned along a common axis such that said electrically conducting segments of each said electrode are aligned with said electrically conducting segments of adjacent said electrodes, and

wherein the apertures of said segmented electrodes have diameters which are a function of the position of the electrode along said axis such that the apertured electrode
10 having the largest aperture diameter is at the entrance of said guide and the aperture electrode having the smallest aperture diameter is at the exit of said ion guide.

According to another aspect of the present invention, there is provided a method for improving the transmission of ions in a mass spectrometer, said method comprising the steps of generating ions from a sample from an ion producing means;

15 directing said ions into a segmented ion guide having potentials applied to individual segments of each electrode of a plurality of apertured electrodes of said ion guide, wherein said potential is an RF potential;

utilizing said ion guide to guide said ions from a first region of said mass spectrometer into a second region; and

20 transferring said ions from said ion guide into a mass analyzer of said mass spectrometer,

wherein each individual segment is 180° out of phase from an adjacent individual segment of said each electrode,

wherein said each individual segment aligned on an axis of said each electrode is 180° out of phase from an adjacent individual segment that is aligned along said axis of
5 an adjacent electrode.

According to another aspect of the present invention, there is provided a system for analyzing chemical species, said system comprising:

an ion production means;

an ion guide comprising a plurality of segmented apertured electrodes; and

10 a mass analyzer;

wherein each said segmented electrode is configured to have a plurality of alternating electrically insulating and electrically conducting regions such that each said electrically conducting region is electrically insulated from every other said electrically conducting region, wherein each individual electrically conducting region is 180° out
15 of phase from an adjacent individual electrically conducting region of said each said segmented electrode,

wherein said each individual electrically conducting region of said each said segmented electrode that is aligned along an axis is 180° out of phase from an adjacent individually electrically conducting region of an adjacent electrode that is aligned along
20 said axis.

According to another aspect of the present invention, there is provided a multi-stage ion guide for use in mass spectrometry, said multi-stage ion guide comprising:

a set of first electrodes forming a first stage, said first electrodes having apertures with diameters which are a function of the position of each said electrode along an axis of said ion guide such that said first electrode having a largest aperture diameter is at an entrance end of a first stage of said ion guide and the apertured electrode having a
5 smallest aperture diameter is at an exit end of said first stage of said ion guide;

a set of second electrodes forming a second stage, said second electrodes having apertures with diameters which are a function of the position of each said second electrode along an axis of said ion guide such that said second electrode having a largest aperture diameter is at an entrance end of a second stage of said ion guide and the second
10 apertured electrode having a smallest aperture diameter is at an exit end of said second stage of said ion guide;

means for applying potentials to said first and second electrodes;

wherein said first and second electrodes are aligned along a common axis such that said exit end of said first stage is adjacent to said entrance end of said second stage.

15 According to another aspect of the present invention, there is provided a system for analyzing chemical species, said system comprising:

an ion production means;

a multi-stage ion guide having at least first and second stages, said multi-stage ion guide comprising a plurality of segmented apertured electrodes; and

20 a mass analyzer;

wherein each said segmented electrode is configured to have a plurality of alternating electrically insulating and electrically conducting regions such that each said electrically conducting region is electrically insulated from every other said electrically conducting region, and

5 wherein said electrodes of said first stage of said multi-stage ion guide comprise apertures having increasingly larger diameters such that said apertures form an ion funnel with said electrode having a largest diameter aperture at a first end of said first stage and said electrode having a smallest diameter aperture at a second end of said first stage.

According to another aspect of the present invention, there is provided a multi-
10 stage ion guide for use in mass spectrometry, said multi-stage ion guide comprising:

a set of first electrodes forming a first stage, said first electrodes having apertures with diameters which are a function of the position of each said electrode along an axis of said ion guide such that said first electrode having a largest aperture diameter is at an entrance end of a first stage of said ion guide and the apertured electrode having a
15 smallest aperture diameter is at an exit end of said first stage of said ion guide;

a set of second electrodes forming a second stage, said second electrodes having apertures with diameters which are a function of the position of each said second electrode along an axis of said ion guide such that said second electrode having a largest aperture diameter is at an entrance end of a second stage of said ion guide and the second
20 apertured electrode having a smallest aperture diameter is at an exit end of said second stage of said ion guide;

means for applying potentials to said first and second electrodes;

wherein said first and second electrodes are aligned along a common axis such that said exit end of said first stage is adjacent to said entrance end of said second stage;

wherein each said first electrode comprises a plurality of alternating electrically
5 insulating and electrically conducting segments configured such that each said electrically conducting segment is adjacent to at least two said electrically insulating segments on a same said first electrode.

Other objects, features, and characteristics of the present invention, as well as the methods of operation and functions of the related elements of the structure, and the
10 combination of parts and economics of manufacture, will become more apparent upon consideration of the following detailed description with reference to the accompany drawings, all of which form a part of this specification.

15

20

1 **BRIEF DESCRIPTION OF THE DRAWINGS**

2 A further understanding of the present invention can be obtained by reference to a
3 preferred embodiment set forth in the illustrations of the accompanying drawings.
4 Although the illustrated embodiment is merely exemplary of systems for carrying out the
5 present invention, both the organization and method of operation of the invention, in
6 general, together with further objectives and advantages thereof, may be more easily
7 understood by reference to the drawings and the following description. The drawings are
8 not intended to limit the scope of this invention, which is set forth with particularity in
9 the claims as appended or as subsequently amended, but merely to clarify and exemplify
10 the invention.

11 For a more complete understanding of the present invention, reference is now
12 made to the following drawings in which:

13 FIG. 1 shows an elevated pressure MALDI source according to Standing *et al.*;

14 FIG. 2 depicts a prior art ion guide according to Douglas *et al.*;

15 FIG. 3 depicts a prior art mass spectrometer according to Whitehouse *et al.*,
16 including an ion guide for transmitting ions across differential pumping stages;

17 FIG. 4 is a diagram of a prior art segmented multipole according to Thomson *et*
18 *al.*;

19 FIG. 5 shows a prior art “stacked ring” ion guide according to Franzen *et al.*;

20 FIG. 6 depicts a prior art “ion funnel” guide according to Smith *et al.*;

21 FIG. 7A depicts a “segmented” electrode ring according to the present invention
22 which, in this example, includes four electrically conducting segments;

1 FIG. 7B is a cross-sectional view of the segmented electrode of FIG. 7A formed
2 at line A-A;

3 FIG. 7C is a cross-sectional view of the segmented electrode of FIG. 7A formed
4 at line B-B;

5 FIG. 7D depicts a “segmented” electrode ring according to the present invention
6 which, in this example, includes six electrically conducting segments;

7 FIG. 7E is a cross-sectional view of the segmented electrode of FIG. 7D formed at
8 line A-A;

9 FIG. 7F is a cross-sectional view of the segmented electrode of FIG. 7D formed at
10 line B-B;

11 FIG. 8A depicts an end view of a “segmented” funnel according to the present
12 invention constructed from segmented electrodes of the type shown in FIG. 7A;

13 FIG. 8B is a cross-sectional view of the segmented funnel of FIG. 8A formed at
14 line A-A;

15 FIG. 9A shows a cross-sectional view of the segmented funnel of FIG. 8A formed
16 at line A-A with the preferred corresponding electrical connections;

17 FIG. 9B shows a cross-sectional view of the segmented funnel of FIG. 8A formed
18 at line B-B with the preferred corresponding electrical connections;

19 FIG. 10A shows an end view of a segmented funnel according to the present
20 invention, including a DC lens element at its outlet end;

21 FIG. 10B shows a cross-sectional view of the segmented funnel of FIG. 10A
22 formed at line A-A;

1 FIG. 11 depicts the segmented ion funnel of FIG. 10 in a vacuum system of a
2 mass spectrometer, including “downstream” multipole ion guides;

3 FIG. 12 is a cross-sectional view of a two-stage segmented ion funnel;

4 FIG. 13 depicts the two-stage segmented ion funnel of FIG. 12 in a vacuum
5 system of a mass spectrometer, including a “downstream” multipole ion guide;

6 FIG. 14 shows a cross-sectional view of a “stacked ring” ion guide according to
7 an alternative embodiment of the present invention, including “DC electrodes”
8 interleaved with RF guide rings;

9 FIG. 15 is a plot of electric potential vs. position within the “stacked ring” ion
10 guide shown in FIG. 14;

11 FIG. 16 depicts a cross-sectional view of an alternative embodiment of the ion
12 guide according to the present invention comprising features of both the funnel and the
13 stacked ring ion guides shown in FIGS. 8A-B and 14, respectively;

14 FIG. 17 is a plot of electric potential vs. position within the “funnel/stacked ring”
15 ion guide shown in FIG. 16;

16 FIG. 18 depicts a cross-sectional view of a two-stage ion funnel and
17 “funnel/stacked ring” ion guide in a vacuum system of a mass spectrometer;

18 FIG. 19A shows a first cross-sectional view of the electrical connections to the
19 “funnel/stacked ring” ion guide shown in FIG. 18;

20 FIG. 19B is a second cross-sectional view, orthogonal to that of FIG. 19A, of the
21 electrical connection to the “funnel/stacked ring” ion guide shown in FIG. 18;

1 FIG. 20 depicts a cross-sectional view of an alternate configuration of the
2 “funnel/stacked ring” ion guide of the present invention comprising multipoles placed
3 between a two-stage segmented funnel ion guide and a funnel/stacked ring ion guides;

4 FIG. 21 is a plot of electric potential vs. position within the “funnel/stacked ring”
5 ion guide according to the present invention with forward and reverse biasing;

6 FIG. 22 depicts a cross-sectional view of a two-stage ion funnel and
7 “funnel/stacked ring” ion guide in a system according to the present invention wherein
8 the inlet orifice is oriented so as to introduce ions orthogonally into an ion guide; and

9 FIG. 23 shows the system according to the present invention as depicted in FIG.
10 22 wherein the deflection plate is used as a sample carrier for a MALDI ion production
11 means.

12 13 **DETAILED DESCRIPTION OF A PREFERRED EMBODIMENT**

14 As required, a detailed illustrative embodiment of the present invention is
15 disclosed herein. However, techniques, systems and operating structures in accordance
16 with the present invention may be embodied in a wide variety of sizes, shapes, forms and
17 modes, some of which may be quite different from those in the disclosed embodiment.
18 Consequently, the specific structural and functional details disclosed herein are merely
19 representative, yet in that regard, they are deemed to afford the best embodiment for
20 purposes of disclosure and to provide a basis for the claims herein which define the scope
21 of the present invention.

22 The following presents a detailed description of a preferred embodiment of the
23 present invention, as well as some alternate embodiments of the invention. As discussed

1 above, the present invention relates generally to the mass spectroscopic analysis of
2 chemical samples and more particularly to mass spectrometry. Specifically, an apparatus
3 and method are described for the transport of ions within and between pressure regions
4 within a mass spectrometer. Reference is herein made to the figures, wherein the
5 numerals representing particular parts are consistently used throughout the figures and
6 accompanying discussion.

7 With reference first to FIGS. 7A-C, shown is a plain view of "segmented"
8 electrode 101 according to the present invention. More particularly, FIG. 7B shows a
9 cross-sectional view formed at line A-A in FIG. 7A. FIG. 7C shows a cross-sectional
10 view formed at line B-B in FIG. 7A. In the preferred embodiment, segmented electrode
11 101 includes ring-shaped electrically insulating support 115 having aperture 119 through
12 which ions may pass. Four separate electrically conducting elements 101a-101d are
13 formed on support 115 by, for example, bonding metal foils to support 115. Importantly,
14 elements 101a-101d cover the inner rim 119a of aperture 119 as well as the front and
15 back surfaces of support 115 such that ions passing through aperture 119, will in no event
16 encounter an electrically insulating surface. Notice also slots 151a-151d formed in
17 support 115 between elements 101a-101d. Slots 151a-151d serve not only to separate
18 elements 101a-101d but also removes insulating material of support 115 from the vicinity
19 of ions passing through aperture 119. The diameter of aperture 119, the thickness of
20 segmented electrode 101, and the width and depth of slots 151a-151d may all be varied
21 for optimal performance. However, in this example, the diameter of aperture 119 is 26
22 mm, the thickness of electrode 101 is 1.6 mm, and the width and depth of slots 151 are
23 1.6 mm and 3.8 mm, respectively.

1 Further, while the segmented electrode 101 shown in FIGS. 7A-C depicts the
2 preferred embodiment of segmented electrode 101 as comprising four conducting
3 elements 101a-101d, alternate embodiments may be configured with any number of
4 electrically conducting elements more than one, such as two, six, or eight elements. For
5 example, as shown in FIGS. 7D-F, segmented electrode 101' includes ring-shaped
6 electrically insulating support 115' having aperture 119' through which ions may pass.
7 Here, though, six separate electrically conducting elements 101a'-101f' are formed on
8 support 115'. Importantly, elements 101a'-101f' cover the inner rim of aperture 119' as
9 well as the front and back surfaces of support 115' such that ions passing through aperture
10 119', will in no event encounter an electrically insulating surface. Here too, slots are
11 provided in support 115' between each of elements 101a'-101f' to both separate elements
12 101a'-101f' from each other, and remove insulating material of support 115' from the
13 vicinity of ions passing through aperture 119'. The diameter of aperture 119', the
14 thickness of segmented electrode 101', and the width and depth of the slots may all be
15 varied as discussed above.

16 Turning next to FIGS. 8A-B, shown is an end view of a set of segmented
17 electrodes 101-111 assembled into ion guide 152 according to the preferred embodiment
18 of the present invention. FIG. 8B shows a cross-sectional view formed at line A-A in
19 FIG. 8A, which depicts segmented electrodes 101 through 111 assembled about a
20 common axis 153. In the preferred embodiment of ion guide 152, the distance between
21 adjacent electrodes 101-111 is approximately equal to the thickness of the electrodes —
22 in this case 1.6 mm. Also, the diameter of the apertures in the electrodes 101 – 111 is a
23 function of the position of the electrode in ion guide assembly 152. For example, as

1 depicted in FIG. 8B, the segmented electrode having the largest aperture (in this example
2 segmented electrode 101) is at the entrance end 165 of the ion guide assembly 152 and
3 the segmented electrode having the smallest aperture (in this example segmented
4 electrode 111) is at the exit end 167 of the ion guide assembly 152. The aperture diameter
5 in the preferred embodiment is a linear function of the segmented electrode's position in
6 ion guide assembly 152. However, in alternate embodiments this function may be non-
7 linear. Further, in the preferred embodiment, the angle α formed between common axis
8 153 and the inner boundary (i.e., formed by the inner rims 119a of the segmented
9 electrodes 101-111) of the ion guide assembly 152 is approximately 19° . However,
10 alternatively, any angle between 0° and 90° may be used.

11 Further, each segmented electrode 101-111 in ion guide assembly 152 consists of
12 four conducting elements $a - d$. Within any given segmented electrode 101-111, element
13 a is in electrical contact with element c and element b is in electrical contact with element
14 d . That is, element 101a is electrically connected to element 101c, element 101b is
15 electrically connected to element 101d, element 102a is electrically connected to element
16 102c, and so forth.

17 As shown in FIGS. 9A-B, the preferred embodiment of ion guide 152 comprises
18 resistor and capacitor networks (R-C networks) to provide the electrical connection of all
19 the elements of segmented electrodes 101-111 to power sources. FIG. 9A depicts a cross-
20 sectional view of assembly 152 as formed at line A-A in FIG. 8A. Similarly, FIG. 9B
21 depicts a cross-sectional view of assembly 152 as formed at line B-B in FIG. 8A. In the
22 preferred embodiment, potentials which vary in a sinusoidal manner with time are
23 applied to the electrodes. A first such sinusoidally varying potential is applied at +RF and

1 a second sinusoidally varying potential of the same amplitude and frequency, but 180°
2 out of phase, is applied at $-RF$.

3 FIG. 9A, the electrical connections for the application of the $+RF$ 250 and $-RF$
4 251 potentials to electrodes 101a-111a and 101c-111c through capacitors 154 is shown.
5 Similarly, electrostatic potentials $+DC$ 254 and $-DC$ 255 are applied to electrodes 101a-
6 111a and 101c-111c via resistor divider 157. Similarly, FIG. 9B depicts the electrical
7 connections for the application of the $+RF$ 252 and $-RF$ 253 potentials to electrodes
8 101b-111b and 101d-111d through capacitors 155, and the electrical connections for the
9 application of electrostatic potentials $+DC$ 256 and $-DC$ 257 to electrodes 101b-111b and
10 101d-111d via resistor divider 159. In the preferred embodiment, capacitors 154 and 155
11 have the same values such that the amplitude of the RF potentials 250, 251, 252 and 253
12 applied to each of the electrodes 101a-111a, 101b-111b, 101c-111c, and 101d-111d of
13 the segmented electrodes 101-111 in the ion guide assembly 152 is the same. Also, the
14 resistor dividers 157 and 159 preferably have the same values such that the DC potential
15 is the same on each element *a-d* of a given segmented electrode 101-111.

16 As an example, the amplitude of the RF potential applied to $+RF$ and $-RF$ may be
17 500 Vpp with a frequency of about 1 MHz. The DC potential applied between $+DC$ and $-$
18 DC may be 100 V. The capacitance of capacitors 154 and 155 may be 1 nF. And the
19 resistance of the resistors in dividers 157 and 159 may be 10 Mohm each. Notice that for
20 the ions being transmitted the DC potential most repulsive to the ions is applied to
21 segmented electrode 101 (i.e., at the entrance end 165 of ion guide 152) while the most
22 attractive DC potential is applied to segmented electrode 111 (i.e., at the exit end 167 of
23 ion guide 152). Notice also that each electrically conducting element 101a-111a, 101b-

1 111b, 101c-111c, and 101d-111d of the segmented electrodes 101-111 has an RF
2 potential applied to it which is 180° out of phase with the RF potential applied to its
3 immediately adjacent elements. For example, the RF potential applied to element 102a is
4 180° out of phase with elements 101a and 103a on the adjacent segmented electrodes 101
5 and 103. Similarly, the same RF potential applied to element 102a is 180° out of phase
6 with elements 102b and 102d as adjacent electrically conducting elements on the same
7 segmented electrode 102. Application of the RF potentials in this way prevents the
8 creation of pseudopotential wells which thereby prevents or at least minimizes the
9 trapping of ions. Pseudopotential wells, as discussed in the prior art designs of Smith *et*
10 *al.* and of Franzen *et al.*, can result in the loss of ion transmission efficiency or the m/z
11 range within which ions are transmitted.

12 Turning next to FIGS. 10A-B depicted is two separate views of ion guide
13 assembly 169, according to an alternate embodiment of the invention, in which DC lens
14 element 161 is provided at outlet end 171 of ion guide assembly 169. FIG. 10B shows a
15 cross-sectional view formed at line A-A in FIG. 10A. In the preferred embodiment, lens
16 element 161 is composed of electrically conducting material. Alternatively, lens element
17 161 may comprise an insulator having an electrically conductive coating. Preferably, lens
18 element 161 includes aperture 163 aligned with axis 153 of ion guide 152. It is also
19 preferred that aperture 163 be round with a diameter of approximately 2 mm. However,
20 in alternate embodiments, the aperture may take any desired shape or size. In practice the
21 DC potential applied to lens element 161 should be more attractive to the transmitted ions
22 than segmented electrode 111. As an ion guide, the present invention has
23 applicability in a variety of ways in a mass spectrometer system. FIG. 11 depicts the ion

1 guide assembly 161 of FIG. 10 in the vacuum system of a mass spectrometer. The
2 vacuum system of the mass spectrometer shown consists, for example, of four chambers
3 173, 175, 177 and 179. Although gas pressures in the chambers may vary widely,
4 examples of gas pressures in a system such as this are ~ 1 mbar in chamber 173, $\sim 5 \times 10^{-2}$
5 mbar in chamber 175, $\sim 5 \times 10^{-3}$ mbar in chamber 177, and $\sim 5 \times 10^{-7}$ in chamber 179. To
6 achieve and maintain the desired pressure levels in these chambers, each of chambers
7 173, 175, 177, and 179 include pumping ports 181, 183, 184, and 185, respectively,
8 through which gas may be pumped away.

9 In the embodiment shown, capillary 186 transmits ions and gas from an
10 atmospheric pressure ion production means 258 into chamber 173. As indicated
11 previously, such ion production means may include any known API means including but
12 not limited to ESI, atmospheric pressure chemical ionization, atmospheric pressure
13 MALDI, and atmospheric pressure photoionization. Also, other known prior art devices
14 might be used instead of capillary 186 to transmit ions from ion production means 258
15 into first chamber 173. Once the transmitted ions exit capillary 186 into first chamber
16 173, ion guide assembly 169, residing in first chamber 173, accepts the transmitted ions,
17 while gas introduced via capillary 186 is pumped away via pumping port 181 to maintain
18 the desired pressure therein. Through the appropriate application of electric potentials as
19 discussed above with respect to FIGS. 9A-B and 10A-B, ion guide assembly 169 focuses
20 the transmitted ions from the exit end of the capillary 186 toward and through aperture
21 163 of lens element 161 positioned at outlet end 171 of ion guide 152. In addition, lens
22 element 161 preferably acts as a pumping restriction between first chamber 173 and
23 second chamber 175.

1 Preferably, multipole ion guide 187 resides in second chamber 175 and multipole
 2 ion guide 188 resides in third chamber 177. Ion guide 187 serves to guide ions through
 3 chamber 175 toward and through lens 189, while ion guide 188 similarly serves to guide
 4 ions from lens 189 through chamber 177 toward and through lens 190. Lenses 189 and
 5 190 may also serve as pumping restrictions between chambers 175 and 177 and between
 6 chambers 177 and 179, respectively. In addition, lenses 189 and 190 are shown as
 7 electrode plates having an aperture therethrough, but other known lenses such as
 8 skimmers, etc., may be used. Ions passing through lens 190 into fourth chamber 179 may
 9 subsequently be analyzed by any known type of mass analyzer (not shown) residing in
 10 chamber 179.

11 Although the potentials applied to the components of the system shown in FIG. 11
 12 may be varied widely, an example of the DC electric potentials which may be applied to
 13 each component in operating such a system are:

| | | |
|----|-------------------------|-------|
| 14 | capillary 186 | 125 V |
| 15 | segmented electrode 1 | 120 V |
| 16 | segmented electrode 111 | 20 V |
| 17 | lens element 161 | 19 V |
| 18 | multipole 187 | 18 V |
| 19 | lens element 189 | 17 V |
| 20 | multipole 188 | 15 V |
| 21 | lens element 190 | 0 V. |

22 In an alternate embodiment, lens element 161 might be replaced with a segmented
 23 electrode of essentially the same structure as segmented electrodes 101-111. In such an

1 embodiment, lens element 161 would preferably be electrically driven in substantially the
2 same manner as the electrodes 101-111 – i.e. RF and DC potentials - but would
3 additionally act as a pumping restriction.

4 In the preferred embodiment of FIG. 11, the multipoles 187 and 188 are
5 hexapoles, however in alternate embodiments they might be any type of multipole ion
6 guide – e.g quadrupole, octapole, etc. The RF potential applied to the rods of multipoles
7 187 and 188 may also vary widely, however one might apply a sinusoidally varying
8 potential having an amplitude of 600 Vpp and frequency of 5 MHz.

9 In an alternate embodiment, multipole 188 might be a quadrupole. Further, as is
10 known in the prior art, one might use multipole 188 to select and fragment ions of interest
11 before transmitting them to chamber 179.

12 Turning next to FIG. 12, a two-stage ion guide 199 according to yet another
13 alternate embodiment of the invention is depicted. As shown, two-stage ion guide 199
14 incorporates ion guide assembly 169 of FIGS. 10A-B with a second ion guide 201
15 comprising additional segmented electrodes 191 - 195 and DC lens 197. In this
16 embodiment, ion guide assembly 169 acts as the first stage of two-stage ion guide 199,
17 with the additional segmented electrodes 191 - 195 and lens 197 forming second stage
18 201 of the two-stage ion guide 199. As depicted, all of the segmented electrodes 101-111
19 and 191-195 and lenses 161 and 197 are aligned on common axis 153. While the angle β
20 formed between the common axis 153 and the inner boundary (i.e., formed by the inner
21 rims of the segmented electrodes 191-195) of the second stage 201 of two-stage ion guide
22 199 is independent from angle α of first stage ion guide assembly 169 (the angle α is
23 discussed above in regard to FIGS. 8A-B), these angles α and β are preferably the same.

1 Similarly, the thickness and spacing between segmented electrodes 191-195 are
2 preferably the same as the thickness of and spacing between segmented electrodes 101–
3 111, as discussed above. Also, it is preferred that lens 197 is electrically conducting with
4 a 2 mm diameter aperture aligned on axis 153. The RF potentials applied to the
5 electrically conducting elements of segmented electrodes 191-195 are preferably of the
6 same amplitude and frequency as that applied in first stage ion guide assembly 169. The
7 DC potentials applied to segmented electrodes 191-195 are such that ions are repelled
8 from lens 161 and attracted toward lens 197.

9 Like FIG. 11, FIG. 13 depicts an ion guide according to the invention as it may be
10 used in a mass spectrometer. Specifically, FIG. 13 depicts the two-stage ion guide 199 of
11 FIG. 12 positioned in the vacuum system of a mass spectrometer. The system depicted in
12 FIG. 13 is the same as that of FIG. 11 with the exception that ion guide 187 and lens 189
13 shown in FIG. 11 are replaced with second stage ion guide 201 in FIG. 13 which includes
14 ion lens 197. As depicted in FIG. 13, two stage ion guide 199 is capable of accepting and
15 focusing ions even at a relatively high pressure (i.e., ~ 1 mbar in first pumping chamber
16 173) and can efficiently transmit them through a second, relatively low pressure
17 differential pumping stage (i.e., $\sim 5 \times 10^{-2}$ mbar in second pumping chamber 175) and into
18 a third pumping chamber 177. Notice that although lenses 161 and 197 are shown to be
19 integrated into two-stage ion guide 199, they also act as pumping restrictions between
20 chambers 173 and 175, and between 175 and 177, respectively. The ability of two-stage
21 ion guide 199, as a single device, to efficiently guide and transmit ions over a wide range
22 of pressure regions and through a plurality of pumping stages is one of the principle
23 advantages of the present invention over prior art ion guides.

1 In an alternate embodiment, lens element 161 might be replaced with a segmented
2 electrode of essentially the same structure as segmented electrodes 101-111. In such an
3 embodiment, lens element 161 would preferably be electrically driven in substantially the
4 same manner as the electrodes 101-111 – i.e. RF and DC potentials, but would
5 additionally act as a pumping restriction.

6 In a further alternate embodiment, lens element 197 might also be replaced with a
7 segmented electrode of essentially the same structure as segmented electrodes 101-111
8 and 191-195. In such an embodiment, lens element 197 would preferably be electrically
9 driven in substantially the same manner as the electrodes 101-111 and 191-195 – i.e. RF
10 and DC potentials - but would additionally act as a pumping restriction.

11 Referring now to FIG. 14, depicted is a “stacked ring” ion guide 202 according to
12 yet another alternate embodiment of the present invention. As shown, stacked ring ion
13 guide 202 includes “DC electrodes” 203 interleaved with RF guide rings 204a and 204b.
14 Preferably, RF guide rings 204 are apertured plates preferably composed of electrically
15 conducting material (e.g., metal). The dimensions and placement of RF guide rings 204
16 may vary widely. However, it is preferred that RF guide rings 204a and 204b be
17 approximately 1.6 mm thick, have apertures 208 which are approximately 6 mm in
18 diameter, and be positioned with spacing between adjacent RF guide rings 204a and 204b
19 of 1.6 mm. Also, rings 204a and 204b are preferably aligned along common axis 205. As
20 shown, this embodiment includes apertured lens elements 206 and 207 positioned at
21 either end of stacked ring ion guide 202 and are also aligned along axis 205. Lenses 206
22 and 207 are preferably electrically conducting plates with approximately 2 mm diameter
23 apertures.

1 Stacked ring ion guide 202 also comprises DC electrodes 203 which are thin (e.g.,
2 ~0.1 mm) electrically conducting plates positioned midway between adjacent RF guide
3 rings 204a and 204b and have apertures 209 with preferably the same diameter as
4 apertures 208 in RF guide rings 204a and 204b.

5 During operation, sinusoidally time-varying potentials RF_3 are applied to RF
6 guide rings 204. Preferably a first time-varying potential $+RF_3$ is applied to ring 204a,
7 and a second time-varying potential $-RF_3$ is applied to rings RF guide 204b. Potentials
8 $+RF_3$ and $-RF_3$ are preferably of the same amplitude and frequency but are 180° out of
9 phase with one another. Also, the potentials $+RF_3$ and $-RF_3$ may have a non-zero
10 reference potential such that the entire stacked ring ion guide 202 has a "DC offset" of,
11 for example, $\sim 15V$. Potentials are applied to DC electrodes 203 via RC network 210. In
12 the preferred method of operation, the inputs TNL1 and TNL2 to RC network 210 are
13 maintained at the same electrostatic potential as the DC offset of stacked ring ion guide
14 202 as a whole. Alternatively, to trap ions in the ion guide, one can set the DC potentials
15 on lenses 206 and 207 to some potential above the DC offset of the remainder of stacked
16 ring ion guide 202.

17 FIG. 15 shows a plot of electric potential vs. position within stacked ring ion
18 guide 202. In particular, trace 211 of FIG. 15 is a plot of the electrostatic potential on
19 axis 205 of ion guide 202 when operated in the manner described above to trap ions. One
20 may operate stacked ring ion guide 202 in this manner to accumulate ions within stacked
21 ring ion guide 202. Ions may be introduced into stacked ring ion guide 202 from an ion
22 production means via aperture 213 in lens 206 (see FIG. 14). Ions may then undergo
23 collisions with a gas in stacked ring ion guide 202 thus losing kinetic energy and

1 becoming trapped. The efficiency of trapping ions in this manner is dependent on the gas
2 pressure and composition within stacked ring ion guide 202.

3 Once ions are trapped in stacked ring ion guide 202, the electrostatic potential
4 along axis 205 may be changed so as to eject ions from stacked ring ion guide 202. Trace
5 212 of FIG. 15 shows the electrostatic potential as a function of position along axis 205
6 when the potential at TNL2 (see FIG. 14) is lowered to only a few volts and potential L2
7 (see FIG. 14) applied to lens 207 is lowered to 0V. The gradient in the electrostatic
8 potential along axis 205 will tend to eject ions from guide 202 through aperture 214 in
9 lens 207.

10 When operated in the preferred manner, the potential on the elements 203 of
11 stacked ring ion guide 202 are maintained for a predetermined time so as to accumulate
12 and trap ions from an ion production means in stacked ring ion guide 202. After this
13 predetermined time, however, the potentials TNL2 and L2 are rapidly pulsed to lower
14 potentials so as to quickly eject ions from stacked ring ion guide 202. In the preferred
15 method, the transition of the potentials TNL2 and L2 is on the same order of or faster
16 than the frequency of the RF potential applied at RF₃. Notice that, unlike the prior art ion
17 guide of Franzen *et al.* discussed above, the formation of an electrostatic field along the
18 axis of stacked ring ion guide 202 does not require the application of a DC potential
19 gradient to RF guide rings 204a and 204b. Rather, the electrostatic field is formed via DC
20 electrodes 203 independent of RF guide rings 204a and 204b. As a result, the electrostatic
21 gradient represented by trace 212 can be generated as rapidly as necessary without
22 considering the frequency at which RF guide rings 204a and 204b are being driven. As an
23 example, potentials +RF₃ and -RF₃ may be 500 V_{pp} at 1 MHz, ions may be accumulated

1 for 10 msec from an ESI source. Thereafter, the potentials TNL2 and L2 can be lowered
2 to 4 V and 0 V respectively in a pulsed manner with a fall time of 100 ns and a duration
3 of 100 μ sec. After the duration of 100 μ sec, the potentials TNL2 and L2 can be raised to
4 their trapping potentials of 15 V and 25 V, respectively, and the process may be repeated.
5 The pulses of ions thus produced are injected into a mass analyzer residing “downstream”
6 from stacked ring ion guide 202.

7 Turning next to FIG. 16, shown is yet another alternative embodiment of an ion
8 guide according to the present invention. As shown, this embodiment comprises features
9 of both ion funnel 152 (FIGS. 8A-B) and stacked ring ion guide 202(FIG. 14).
10 Specifically, ion guide 220 of FIG. 16 is the same as ion guide 202 with the addition of
11 guide rings 216 – 219, capacitors 215, and resistor divider 221. In this embodiment, guide
12 rings 216-219 act as a funnel-like ion guide as describe above. The thickness and spacing
13 between guide rings 216 – 219 may vary widely. However, the thickness of electrodes
14 216-219 is preferably the same as that of rings 204a and 204b (e.g., 1.6 mm) and the
15 spacing between electrodes 216 – 219 is preferably the same as that between electrodes
16 204a and 204b (e.g. 1.6 mm). Also, the angle γ formed between common axis 205 of ion
17 guide 220 and the inner boundary ring electrodes 216 – 219 may vary widely. However,
18 it is shown here to be 19° . The RF potential on guide rings 216 – 219 is set by $+RF_3$ and –
19 RF_3 through capacitors 215 as described above. In the preferred method of operation, the
20 RF potential applied to guide rings 216 – 219 is the same as that applied to RF rings 204a
21 and 204b. However, in alternate embodiments, the RF potential applied to rings 216 -
22 219 might be of a different amplitude or frequency than that applied to rings 204a and
23 204b. The DC potentials on rings 216 – 219 are applied via resistor divider 221. Also in

1 the preferred method of operation, the potentials FNL1 and FNL2 applied to resistor
2 divider 221 are such that ions are accelerated along axis 205 toward the exit end of the
3 ion guide 220 at lens 207. Also, in the preferred method of operation, the DC potential on
4 ring 219 should be approximately the same or slightly higher than that on electrodes 204a
5 and 204b, as represented in traces 222 and 223 in FIG. 17.

6 Similar to FIG. 15, FIG. 17 plots the electrostatic potential as a function of
7 position in ion guide 220 on axis 205. First, trace 222 of FIG. 17 is a plot of the
8 electrostatic potential on axis 205 of ion guide 220 when operated to trap ions. One may
9 operate in this manner to accumulate ions in ion guide 220. Ions may be introduced into
10 guide 220 from an ion production means via aperture 213 in lens 206 (see FIG. 16). Ions
11 may then undergo collisions with a gas in guide 220 thus losing kinetic energy and
12 becoming trapped. The efficiency of trapping ions in this manner is dependent on the gas
13 pressure and composition in ion guide 220.

14 Once ions are trapped in ion guide 220, the electrostatic potential along axis 205
15 may be changed so as to eject ions from ion guide 220. Trace 223 of FIG. 17 shows the
16 electrostatic potential as a function of position along axis 205 when the potential at TNL2
17 (see FIG. 16) is lowered to only a few volts and potential L2 (see FIG. 16) applied to lens
18 207 is lowered to 0V. The gradient in the electrostatic potential along axis 205 will tend
19 to eject ions from guide 220 through aperture 214 in lens 207.

20 When operated in the preferred manner, the potential on the elements 203 of ion
21 guide 220 are maintained for a predetermined time so as to accumulate and trap ions from
22 an ion production means in ion guide 220. After this predetermined time, however, the
23 potentials TNL2 and L2 are rapidly pulsed to lower potentials so as to quickly eject ions

1 from ion guide 220. In the preferred method, the transition of the potentials TNL2 and L2
2 is on the same order of or faster than the frequency of the RF potential applied at RF₃.
3 Notice that, unlike the prior art ion guide of Franzen *et al.* discussed above, the formation
4 of an electrostatic field along the axis of ion guide 220 does not require the application of
5 a DC potential gradient to RF guide rings 204a and 204b. Rather, the electrostatic field is
6 formed via DC electrodes 203 independent of RF guide rings 204a and 204b. As a result,
7 the electrostatic gradient represented by trace 223 can be generated as rapidly as
8 necessary without considering the frequency at which RF guide rings 204a and 204b are
9 being driven. As an example, potentials +RF₃ and -RF₃ may be 500 V_{pp} at 1 MHz, and
10 ions may be accumulated for 10 msec from an ESI source. Thereafter, the potentials
11 TNL2 and L2 can be lowered to 4 V and 0 V respectively in a pulsed manner with a fall
12 time of 100 ns and a duration of 100 μsec. After the duration of 100 μsec, the potentials
13 TNL2 and L2 may be raised to their trapping potentials of 15 V and 25 V, respectively,
14 and the process may be repeated. The pulses of ions thus produced are injected into a
15 mass analyzer residing “downstream” from ion guide 220.

16 While electrodes 204a and 204b of ion guides 202 and 220 have been described as
17 ring electrodes, in an alternative embodiment of those ion guides according to the
18 invention, electrodes 204a and 204b may further be segmented electrodes as described
19 with reference to FIG. 7. Such a stacked ring ion guide with segmented electrodes is
20 depicted in FIG. 18.

21 FIG. 18 further depicts two-stage ion guide 199 used in conjunction with stacked
22 ring ion guide 224, assembled together in the vacuum system of a mass spectrometer. The
23 system depicted in FIG. 18 is identical to that of FIG. 13 with the exception of the

1 replacement of ion guide 188 in FIG. 13 with stacked ring ion guide 224 in FIG. 18. As
2 depicted in FIG. 18, two stage ion guide 199 can accept ions and focus them even at a
3 relatively high pressure (i.e., in first pumping stage 173) and can efficiently transmit them
4 through a second, relatively low pressure, differential pumping stage (i.e., chamber 175)
5 to third chamber 177. With the addition of ion guide 224, the assembly has the advantage
6 over prior art that ions can be trapped and rapidly ejected into chamber 179 and the mass
7 analyzer residing therein. In alternate embodiments, ion guide 224 might extend through
8 multiple pumping stages. In such a system, one or more of the electrodes 204 might also
9 serve as pumping restrictions.

10 Referring to FIGS. 19A-B shown are the electrical connections for ion guide 225
11 of FIG. 18. Specifically, FIG. 19A shows a first cross-sectional depiction of the electrical
12 connections to ion guide 225 according to the present invention as depicted in FIG. 18.
13 Next, FIG. 19B shows a second cross-sectional depiction, orthogonal to that of FIG. 19A,
14 of the electrical connection to ion guide 225. As shown, ion guide 225 is electrically
15 connected in a manner similar to that described above with respect to FIGS. 9, 14, and
16 16. In this embodiment, capacitors 154, 155, 215, 226, 228, and 230 all preferably have
17 the same capacitance. Alternatively, the capacitance of capacitors 154 and 155 may differ
18 from the capacitance of capacitors 226 and 228, as well as from that of capacitors 215
19 and 230. Similarly, resistors 157, 159, 221, 227, 229, and 231 are all preferably identical.
20 However, in alternate embodiments, the resistance of these resistors may differ from one
21 another. Also, in this embodiment, it is preferred that the RF potentials applied at RF₁,
22 RF₂, and RF₃ be identical to one another. However, in alternate embodiments, the RF
23 frequencies and/or amplitudes applied at inputs RF₁, RF₂, and RF₃ may differ from one

1 another. Finally, it is preferred that the various DC potentials applied to the electrodes are
2 such that the ions being transmitted are attracted toward the exit end of ion guide 225 and
3 analyzer chamber 179. As discussed above, however, the inputs TNL1 and TNL2 of RC
4 network 210 may be biased such that ions are either trapped in or ejected from that
5 portion of ion guide 225.

6 Yet another alternative embodiment of the present invention is shown in FIG. 20.
7 In particular, shown are ion guides 199 and 224 positioned in the vacuum system of a
8 mass spectrometer with two multipole ion guides 188 and 232 positioned there between.
9 In the embodiment depicted in FIG. 20, the pressures in vacuum chambers 173, 175, and
10 177 and the operation of elements 186, 199, and 188 are substantially similar to that
11 described with reference to FIG. 13. According to this embodiment, multipole ion guide
12 188 is a hexapole and multipole ion guide 232 is a quadrupole. As described above, an
13 RF-only potential is applied to hexapole ion guide 188 so as to guide ions through
14 chamber 177 and into chamber 179.

15 Preferably, chamber 179 is operated at a pressure of 10^{-5} mbar or less such that
16 quadrupole 232 may be used to select ions of interest. It is also preferable that quadrupole
17 232 be used either to transmit substantially all ions or only selected ions through chamber
18 179 into chamber 233 and ion guide 224 positioned therein. As is well known from the
19 prior art, substantially all ions will be transmitted through quadrupole 232 when an RF-
20 only potential is applied to it. To select ions of interest, both RF and DC potentials must
21 be applied.

22 Similar to that described above, selected ions are accelerated into chamber 233
23 and ion guide 224 via an electric field. The gas pressure of chamber 233 is preferably 10^{-3}

1 mbar or greater. Typically the gas used is inert (e.g., Nitrogen or Argon) however,
2 reactive species might also be introduced into the chamber. When the potential difference
3 between ion guides 232 and 224 is low, for example 5 V, the ions are simply transmitted
4 therethrough. That is, the ions will collide with the gas in ion guide 224, but the energy of
5 the collisions will be low enough that the ions will not fragment. However, if the
6 potential difference between ion guides 232 and 224 is high, for example 100 V, the
7 collisions between the ions and gas may cause the ions to fragment.

8 In this manner ion guide 224 may act as a “collision cell”. However, unlike prior
9 art collision cells, the funnel-like entrance of ion guide 224 allow for the more efficient
10 capture of the selected “precursor” and “fragment” ions. Precursor and fragment ions
11 may be trapped in the manner described above with reference to FIGS. 16 and 17.
12 Through collisions with the gas, the ions may be cooled to the temperature of the
13 collision gas, typically room temperature. These ions will eventually be ejected from ion
14 guide 224 into chamber 234 where an additional mass analyzer (not shown) may be used
15 to analyze both the precursor and fragment ions and produce precursor and fragment ion
16 spectra. In alternate embodiments, any of the other ion guides disclosed herein, for
17 example ion guide 169 shown in FIG. 10B, may be substituted for ion guide 224.

18 The mass analyzer in chamber 234 may be any type of mass analyzer including
19 but not limited to a time-of-flight, ion cyclotron resonance, linear quadrupole or
20 quadrupole ion trap mass analyzer. Further, any type of mass analyzer might be
21 substituted for quadrupole 232. For example, a quadrupole ion trap (i.e., a Paul trap), a
22 magnetic or electric sector, or a time-of-flight mass analyzer might be substituted for
23 quadrupole 232.

1 Still referring to FIG. 20, while trapped in ion guide 224 the ions may be further
2 manipulated. For example, as discussed by Hofstadler *et al.*, an ion guide may operate at
3 a predetermined pressure such that ions within such ion guide may be irradiated with
4 light and thereby caused to form fragment ions for subsequent mass analysis. Selected
5 ions are preferably collected in the ion guide 224 in a generally mass-inselective manner.
6 This permits dissociation over a broad mass range, with efficient retention of fragment
7 ions. In the embodiments of the present invention disclosed herein, it is preferred that the
8 pressure in chamber 233 be relatively high (e.g., on the order of $10^3 - 10^6$ mbar).
9 Irradiating ions in such a high pressure region results in two distinct advantages over
10 traditional Infrared Multiphoton Dissociation (IRMPD) as exemplified in Fourier
11 Transform Ion Resonance (FTICR) and Quadrupole Ion Trap (QIT) mass spectrometry.
12 Under high pressures, collisions with neutrals will dampen the ion cloud to the center of
13 ion guide 224 and stabilize fragment ions, resulting in significantly improved fragment
14 ion retention. In addition, the fragment ion coverage is significantly improved, providing
15 more sequence information.

16 Alternatively, ions might be activated toward fragmentation by oscillating the
17 potentials on TNL1 and TNL2 (see RC network shown and described in reference to FIG.
18 16). As depicted in FIG. 21, ions may be accelerated back and forth within ion guide 224.
19 When the potential applied at TNL1 (i.e., at lens 206) is held high relative to the potential
20 applied at TNL2 (i.e., at lens 207) ions will be accelerated toward the exit end of ion
21 guide 224 (i.e., toward chamber 234). As indicated by trace 237, the ions are prevented
22 from escaping ion 224 by the RF on electrodes 204a and 204b and the repelling DC
23 potential on lens electrode 207. Reversing the potentials applied at TNL1 and TNL2

1 results in a potential along the common axis of ion guide 224 represented by trace 238.
2 The ions are then accelerated away from the exit end of ion guide 224 (i.e., at lens 207).
3 In this situation, the ions are prevented from escaping ion guide 224 again by the RF
4 potential on electrodes 204a and 204b and the repelling DC potentials on lens electrode
5 206 and ring electrodes 216-219. By rapidly alternating the forward and reverse
6 acceleration of ions in guide 224 (i.e., by reversing the potentials applied at TNL1 and
7 TNL2), the ions are caused to repeatedly undergo collisions with gas within ion guide
8 224. This tends to activate the ions toward fragmentation. At some predetermined time,
9 the potentials on guide 224 will be brought back to that represented by trace 222 (seen in
10 FIG. 17). At that time the ions will be cooled via collisions with the gas to the
11 temperature of the gas. Then the ions will be ejected from ion guide 224 by applying
12 potentials represented by trace 223 (seen in FIG. 17).

13 Turning now to FIG. 22, depicted is a system according to another embodiment of
14 the present invention wherein an ion guide according to one or more of the embodiments
15 disclosed herein (e.g., ion guide 225 seen in FIG. 18) may be used with an orthogonal ion
16 production means. That is, axis 240 of inlet orifice or capillary 186 is oriented so as to
17 introduce ions orthogonal to axis 153 of ion guide 225. As discussed above, gas and ions
18 are introduced from, for example, an elevated pressure ion production means (not shown)
19 into chamber 173 via an inlet orifice or capillary 186. After exiting orifice or capillary
20 186 the directional flow of the ions and gas will tend to follow axis 240. Preferably,
21 pumping port 181 is coaxial with inlet orifice or capillary 186 so that the gas, entrained
22 particulates and droplets will tend to pass directly to port 181 and the corresponding

1 pump. This is a significant advantage in that electrode 239 and ion guide 225 will not
2 readily become contaminated with these particulates and droplets.

3 In this embodiment, electrode 239 is preferably a planar, electrically conducting
4 electrode oriented perpendicular to axis 153. A repulsive potential is applied to electrode
5 239 so that ions exiting orifice or capillary 186 are directed toward and into the inlet of
6 ion guide 225. The distances between potentials applied to elements 186, 239, and 225
7 may vary widely, however, as an example, the distance between axis 153 and orifice 186
8 in is preferably 13 mm, the lateral distance between axis 240 and the entrance of ion
9 guide 225 is preferably 6 mm, and the distance between electrode 239 and the entrance of
10 ion guide 225 is preferably 12 mm. The DC potentials on electrodes 101, 186, and 239
11 may be 100 V, 200 V, and 200 V respectively, when analyzing positive ions. As shown,
12 angle α is 90° (i.e., orthogonal), but in alternate embodiments the angle α need not be 90°
13 but may be any angle.

14 Referring finally to FIG. 23, shown is the system depicted in FIG. 22 wherein
15 electrode 239 is used as a sample carrier for a Matrix-Assisted Laser
16 Desorption/Ionization (MALDI) ion production means. In this embodiment, electrode
17 239 may be removable or partly removable from the system via, for example, a vacuum
18 interlock (not shown) to allow replacement of the sample carrier without shutting down
19 the entire vacuum system. At atmospheric pressure, separate from the rest of the system,
20 MALDI samples are applied to the surface of electrode 239 according to well known
21 prior art methods. Electrode 239 now with samples deposited thereon (not shown) is
22 introduced into the system via the above-mentioned vacuum interlock so that it comes to

1 rest in a predetermined position as depicted in FIG. 23. Electrode 239 may reside on a
2 “stage” which moves electrode 239 in the plane perpendicular to axis 153.

3 In this embodiment, window 242 is incorporated into the wall of chamber 173
4 such that laser beam 241 from a laser positioned outside the vacuum system may be
5 focused onto the surface of electrode 239 such that the sample thereon is desorbed and
6 ionized. On the sample carrier electrode 239, the sample being analyzed will reside
7 approximately at axis 153. However, a multitude of samples may be deposited on the
8 electrode 239, and as each sample is analyzed, the position of electrode 239 is change via
9 the above-mentioned stage such that the next sample to be analyzed is moved onto axis
10 153. For this embodiment, any prior art laser, MALDI sample preparation method, and
11 MALDI sample analysis method might be used.

12 During the MALDI analysis as described above, inlet orifice or capillary 186 may
13 be plugged so that no gas, or alternatively a reduced flow of gas, enters chamber 173.
14 Alternatively, one may produce ions simultaneously via a multitude of ion production
15 means. For example, one might introduce ions from an electrospray ion production means
16 via orifice 186 while simultaneously producing MALDI ions from samples on electrode
17 239. Though not shown, more than two ion production means might be used in this
18 manner either consecutively or simultaneously to introduce ions into ion guide 225.

THE EMBODIMENTS OF THE INVENTION IN WHICH AN EXCLUSIVE PROPERTY OR PRIVILEGE IS CLAIMED ARE DEFINED AS FOLLOWS:

1. A multi-stage ion guide for use in mass spectrometry, said multi-stage ion guide
5 comprising:
- a set of first electrodes forming a first stage, said first electrodes having apertures with diameters which are a function of the position of each said electrode along an axis of said ion guide such that said first electrode having a largest aperture diameter is at an entrance end of a first stage of said ion guide and the apertured electrode having a smallest
10 aperture diameter is at an exit end of said first stage of said ion guide;
- a set of second electrodes forming a second stage, said second electrodes having apertures with diameters which are a function of the position of each said second electrode along an axis of said ion guide such that said second electrode having a largest aperture diameter is at an entrance end of a second stage of said ion guide and the second apertured
15 electrode having a smallest aperture diameter is at an exit end of said second stage of said ion guide;
- means for applying potentials to said first and second electrodes;
- wherein said first and second electrodes are aligned along a common axis such that said exit end of said first stage is adjacent to said entrance end of said second stage;
- 20 wherein each said first electrode comprises a plurality of alternating electrically insulating and electrically conducting segments configured such that each said electrically conducting segment is adjacent to at least two said electrically insulating segments on a same said first electrode.

2. A multi-stage ion guide according to claim 1, wherein said first electrodes are aligned such that said electrically conducting segments of adjacent said first electrodes are aligned.

5 3. A system for analyzing chemical species, said system comprising:
an ion production means;
a multi-stage ion guide having at least first and second stages, said multi-stage ion
guide comprising a plurality of segmented apertured electrodes; and
a mass analyzer;

10 wherein each said segmented electrode is configured to have a plurality of
alternating electrically insulating and electrically conducting regions such that each said
electrically conducting region is electrically insulated from every other said electrically
conducting region, and

15 wherein said electrodes of said first stage of said multi-stage ion guide comprise
apertures having increasingly larger diameters such that said apertures form an ion funnel
with said electrode having a largest diameter aperture at a first end of said first stage and
said electrode having a smallest diameter at a second end of said first stage.

4. A system according to claim 3, wherein said ion production means is selected from
20 the group consisting of an Electrospray ionization source, a Matrix-Assisted Laser
Desorption/Ionization source, an Atmospheric Pressure Chemical Ionization source, an
Atmospheric Pressure Photoionization source, an Inductively Coupled Plasma ionization

source, a nebulizer assisted Electrospray ionization source, and a plasma desorption ionization source.

5. A system according to claim 3, wherein said mass analyzer is selected from the group consisting of a quadrupole (Q) mass analyzer, an ion cyclotron resonance (ICR), mass analyzer, a time-of-flight (TOF) mass analyzer, and a quadrupole ion trap mass analyzer.
6. A system according to claim 3, wherein said ions are introduced from said ion production means into an entrance end of said multi-stage ion guide.
7. A system according to claim 6, wherein said ions are introduced via an orifice.
8. A system according to claim 6, wherein said ions are introduced via a capillary.
9. A system according to claim 8, wherein said capillary is positioned coaxial with said multi-stage ion guide.
10. A system according to claim 8, wherein said capillary is positioned orthogonal to said multi-stage ion guide.
11. A system according to claim 8, wherein said capillary is positioned at an angle with respect to said multi-stage ion guide.

12. A system according to claim 11, wherein said angle is in the range of 0° to 180°.

13. A system according to claim 3, said system further comprising a plurality of differential pumping stages between said ion production means and said mass analyzer.

5

14. A system according to claim 13, wherein said multi-stage ion guide is positioned entirely within one of said pumping stages.

15. A system according to claim 13, wherein said multi-stage ion guide is positioned
10 such that it begins in a first of said pumping stages and ends in a second of said pumping stages.

16. A system according to claim 13, wherein said multi-stage ion guide is positioned
such that it begins in a first of said pumping stages, passes through a second of said pumping
15 stages, and ends in a third of said pumping stages.

17. A system according to claim 3, said system further comprising a second ion guide positioned such that ions exiting said multi-stage ion guide enter said second ion guide.

20 18. A system according to claim 17, wherein said second ion guide is a multipole ion guide.

19. A system according to claim 17, wherein said second ion guide comprises a plurality of electrodes having apertures with increasingly larger diameters such that said apertures form an ion funnel with said electrode having the largest diameter aperture at a first end of said second ion guide and said electrode having the smallest diameter aperture at a second
5 end of said second ion guide.

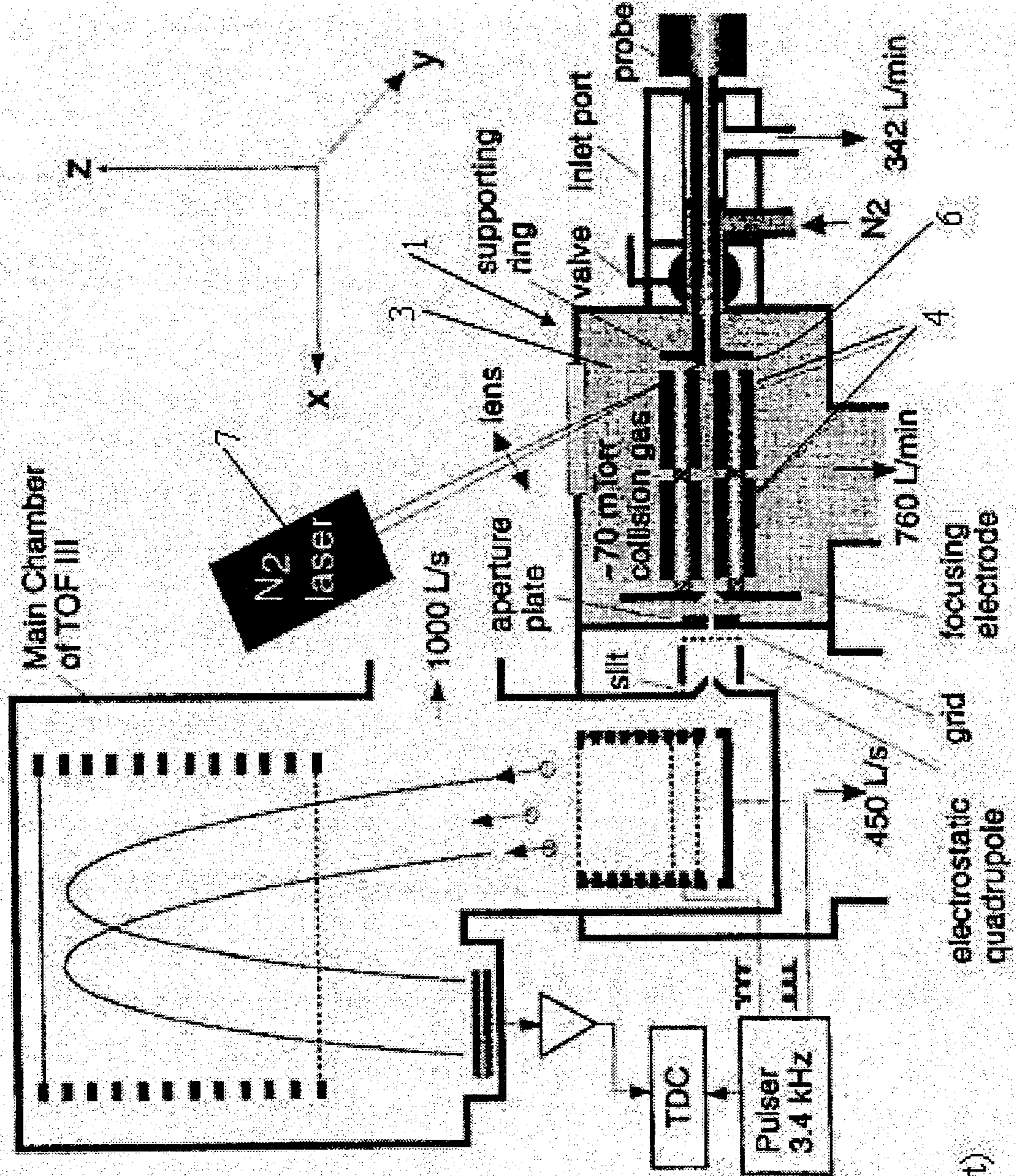


FIG. 1
(Prior Art)

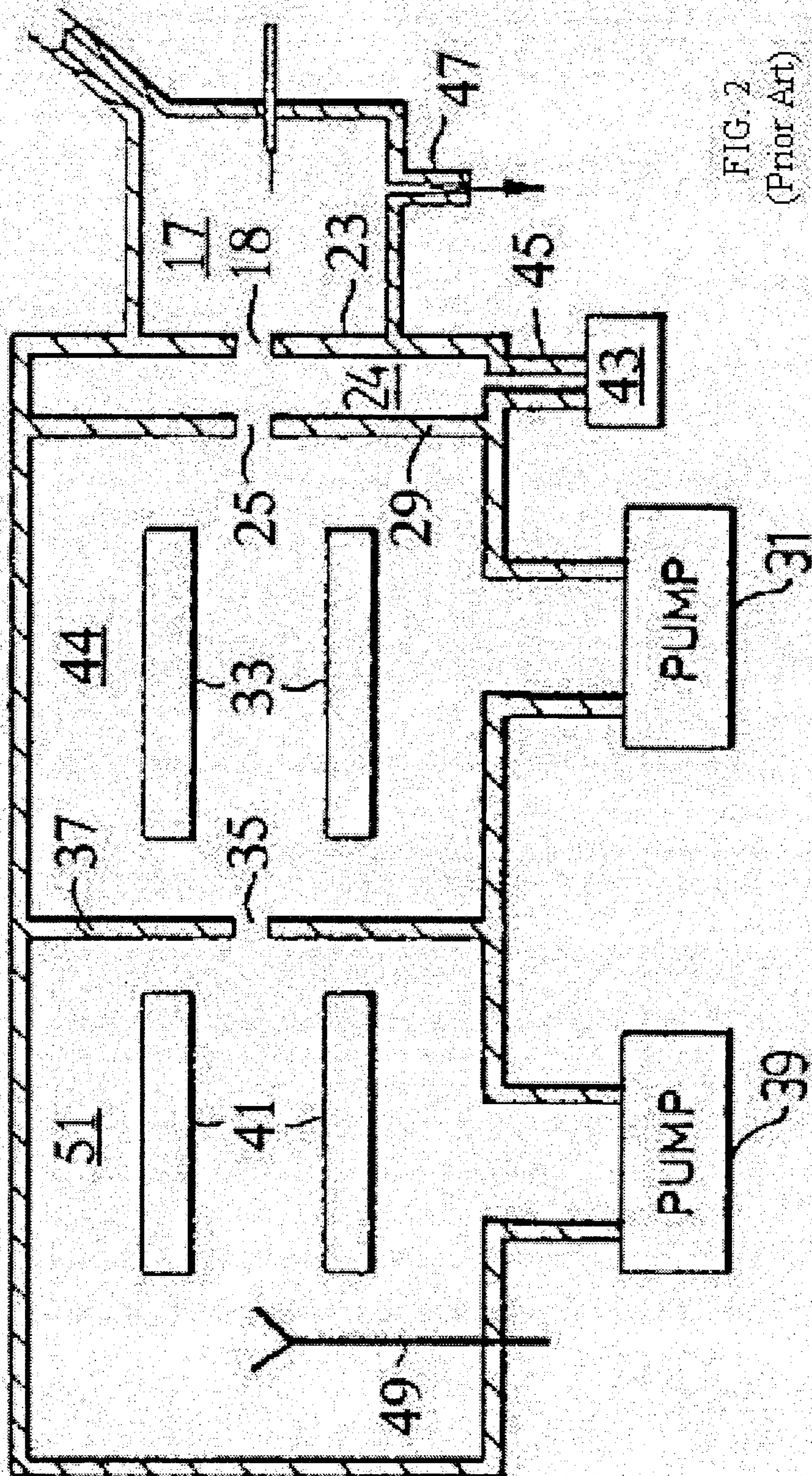


FIG. 2
(Prior Art)

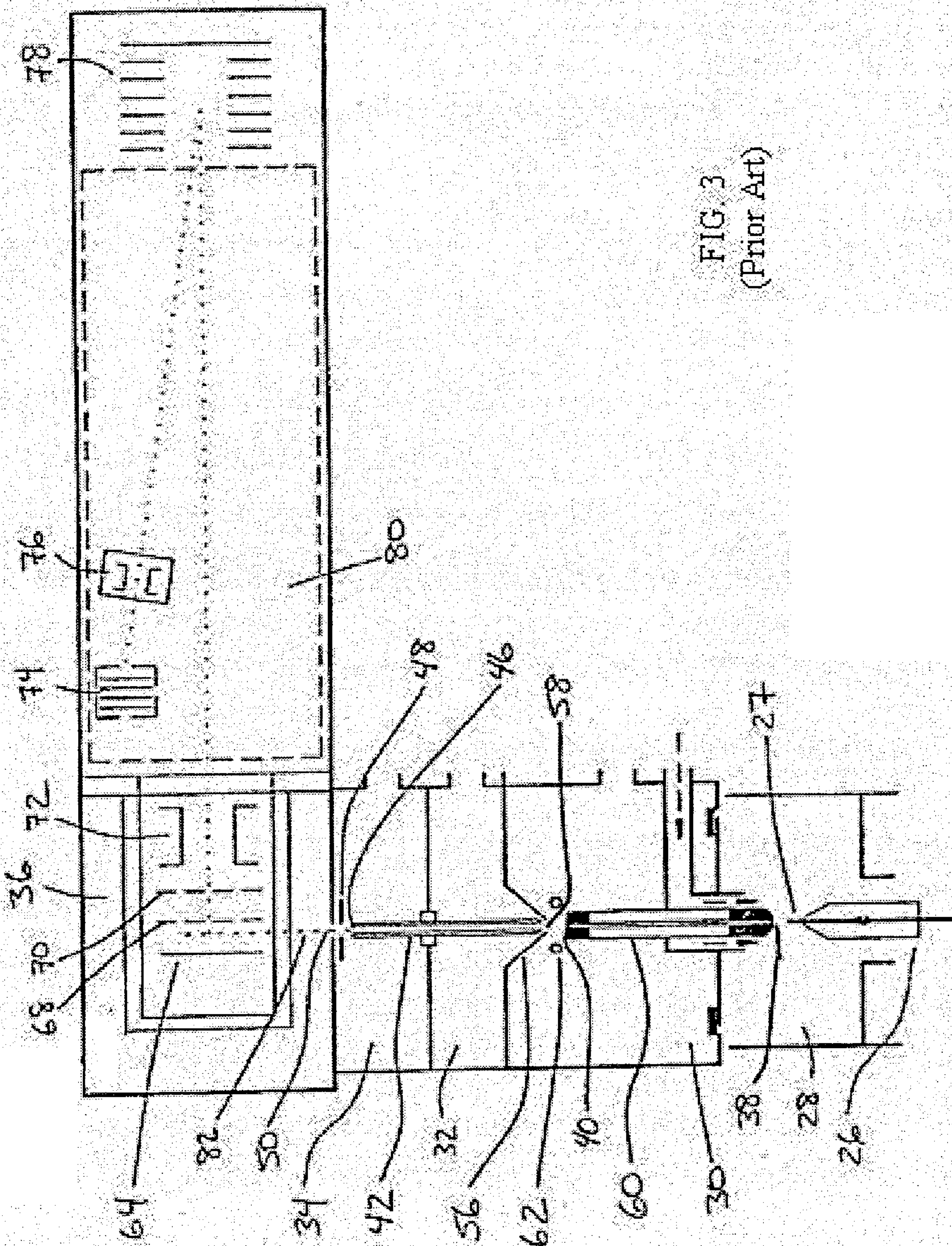


FIG. 3
(Prior Art)

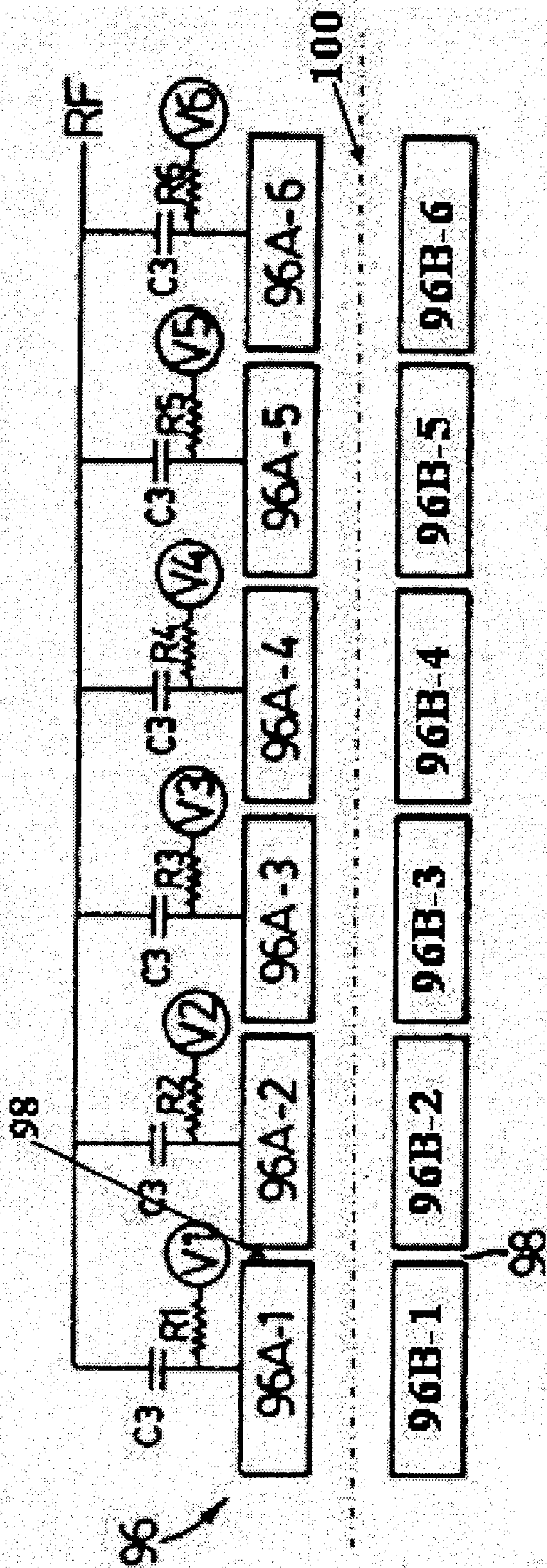


FIG. 4
(Prior Art)

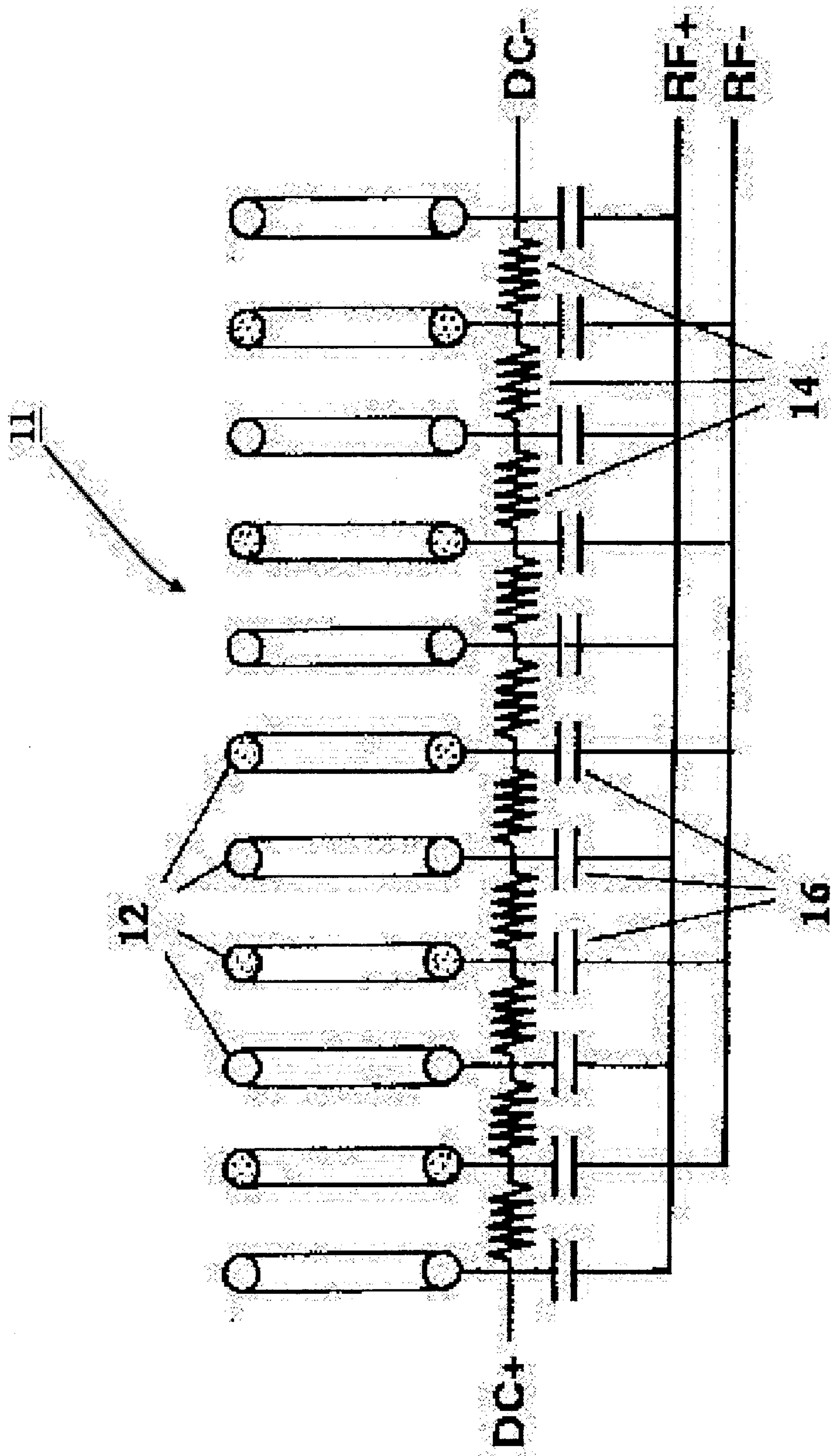


FIG. 5
(Prior Art)

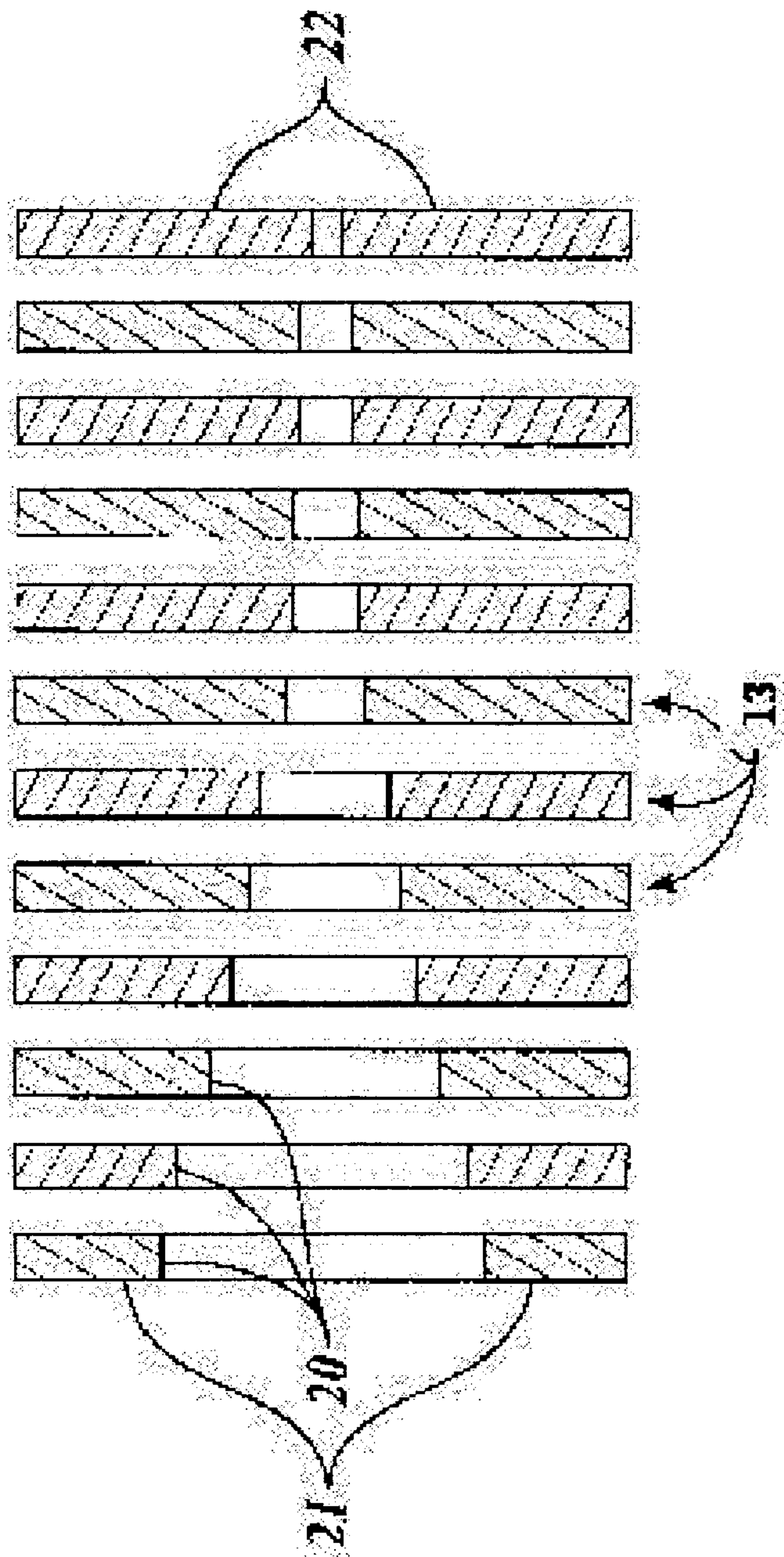


FIG. 6
(Prior Art)

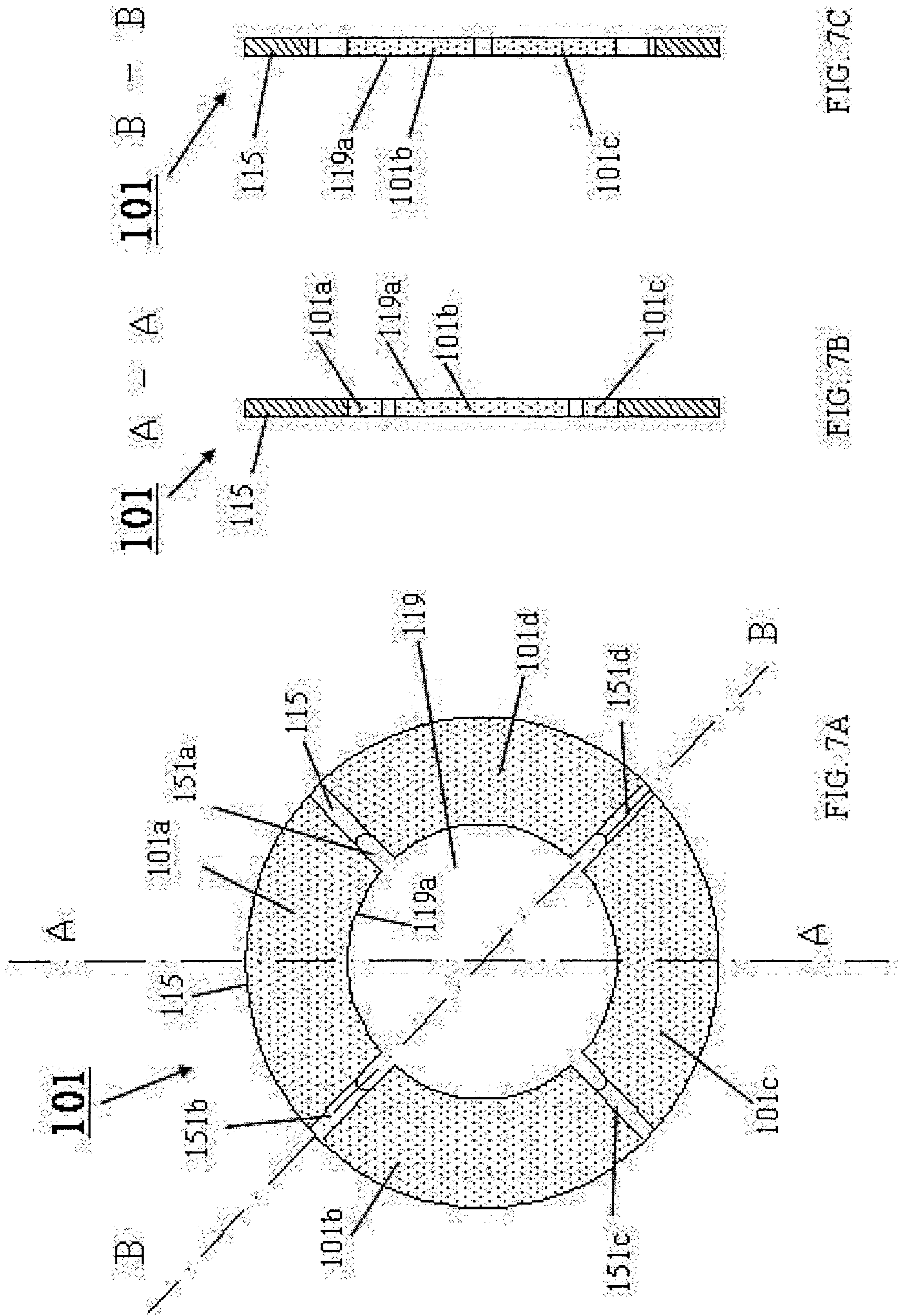


FIG. 7C

FIG. 7B

FIG. 7A

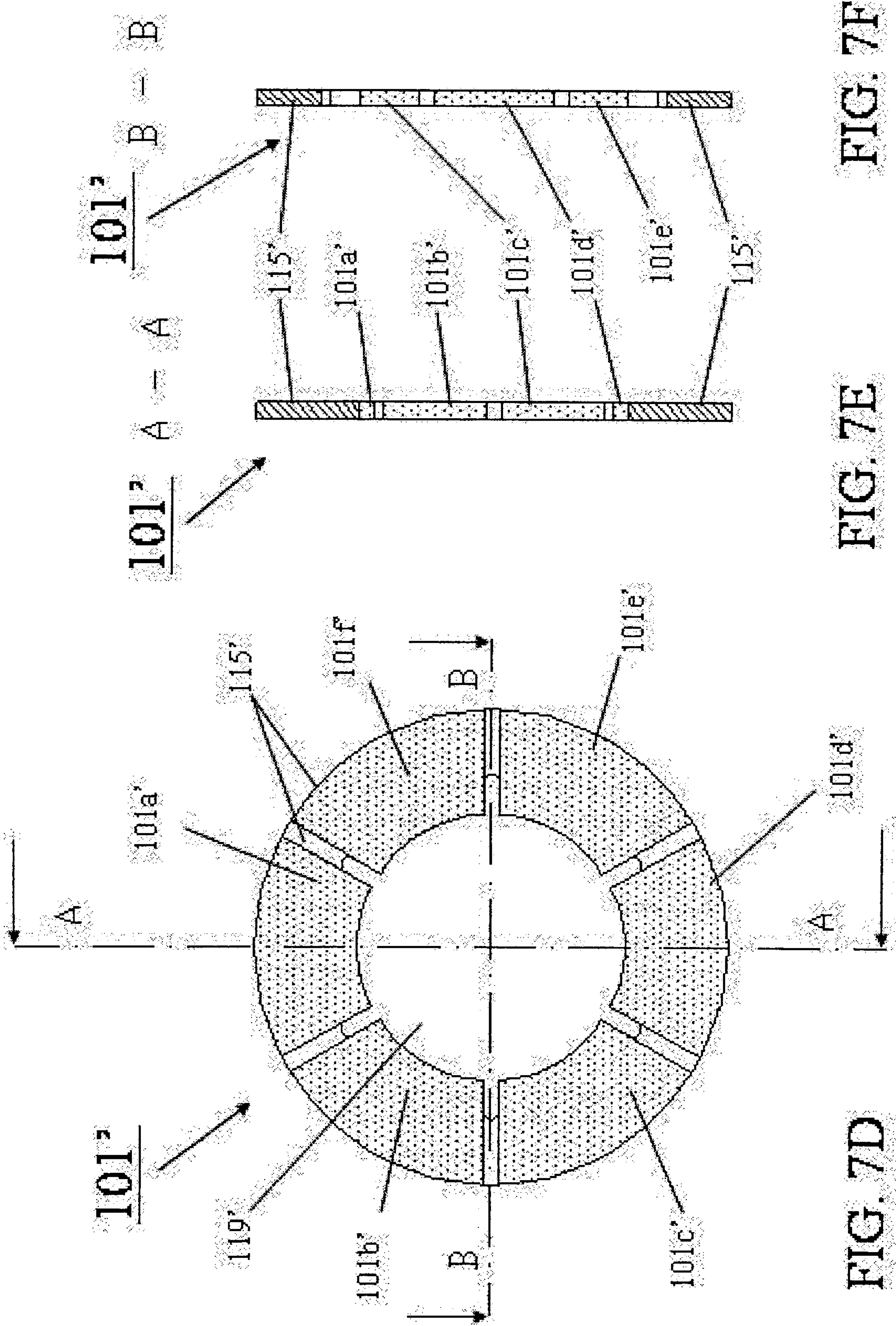


FIG. 7E

FIG. 7D

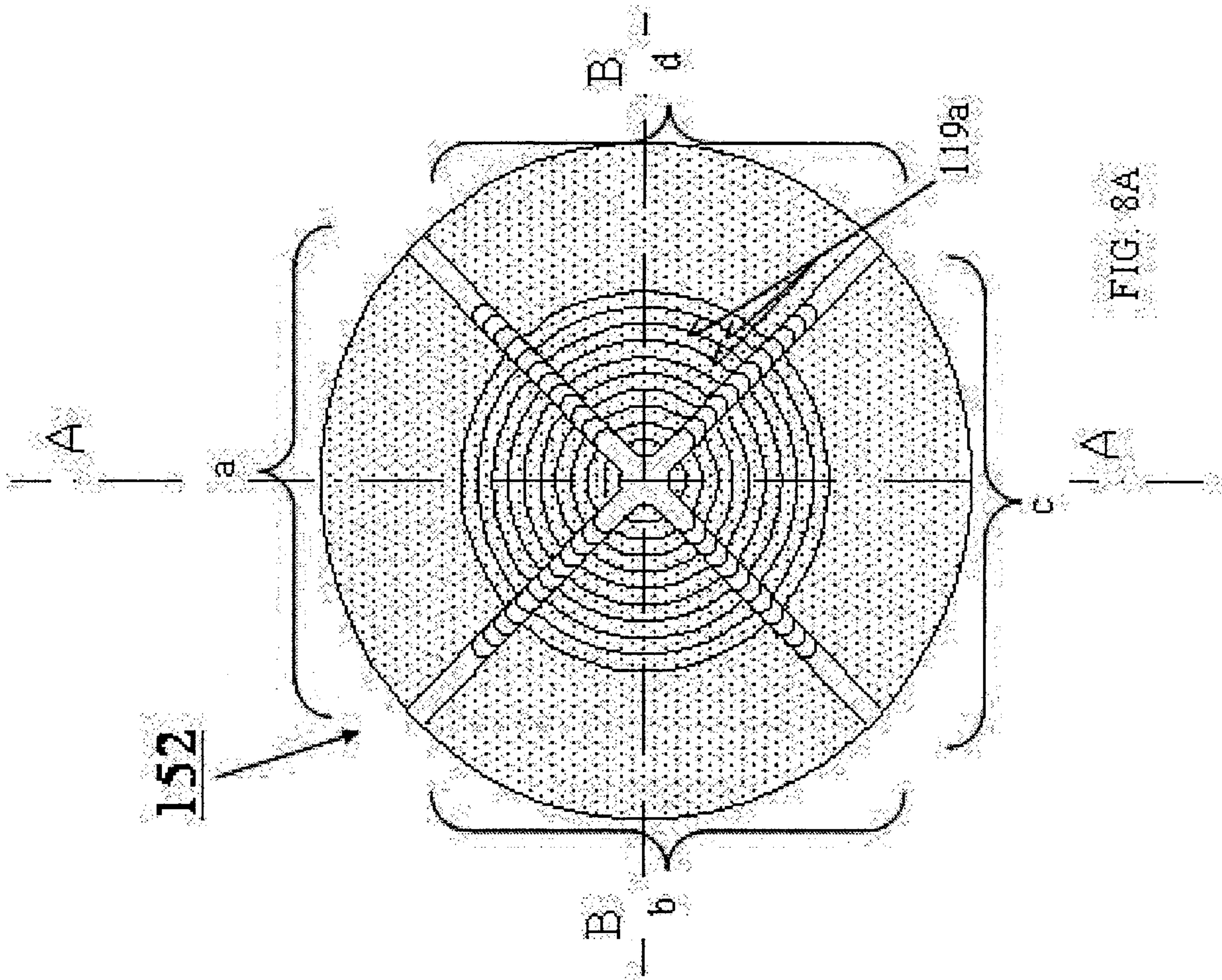


FIG. 8A

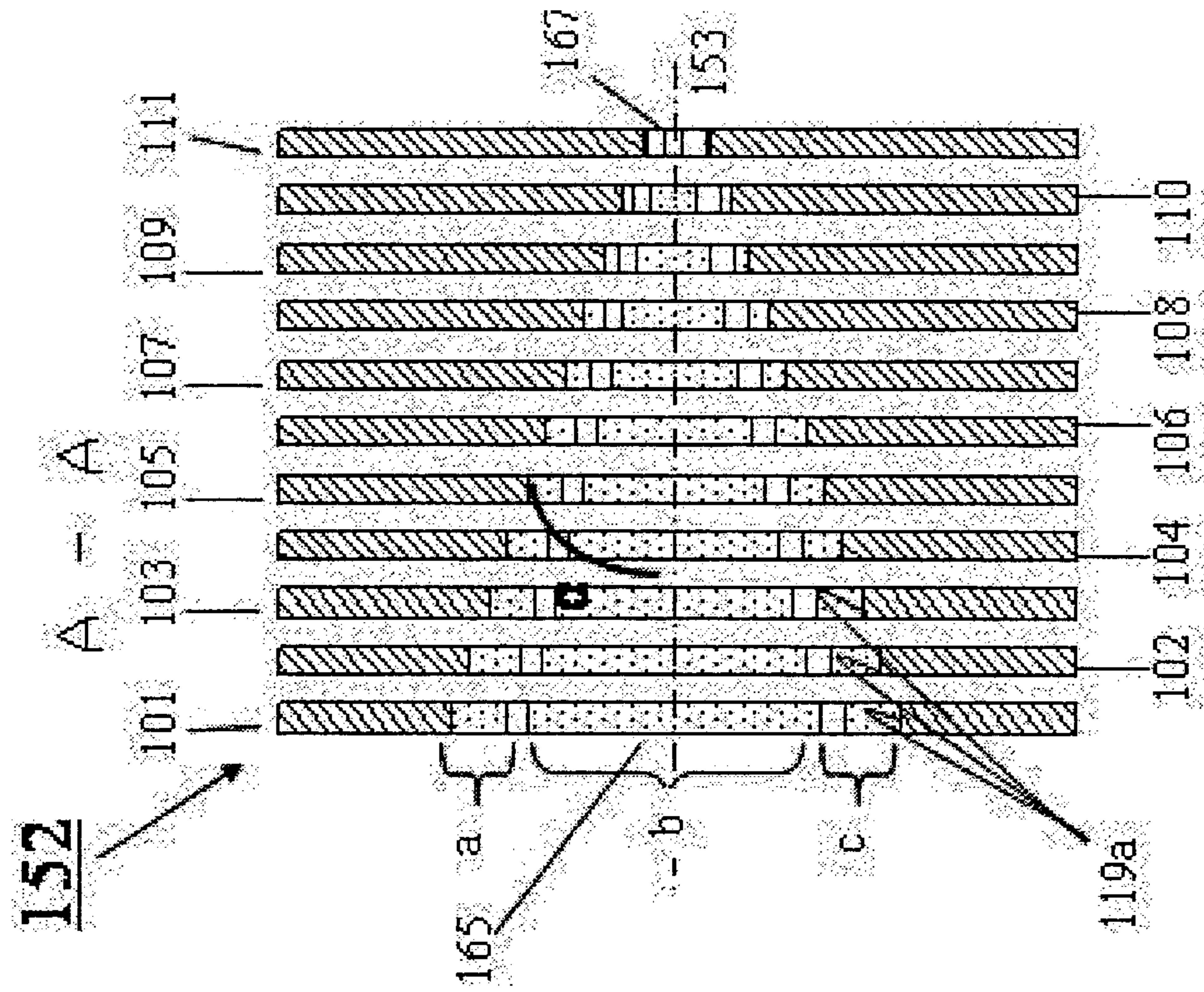


FIG. 8B

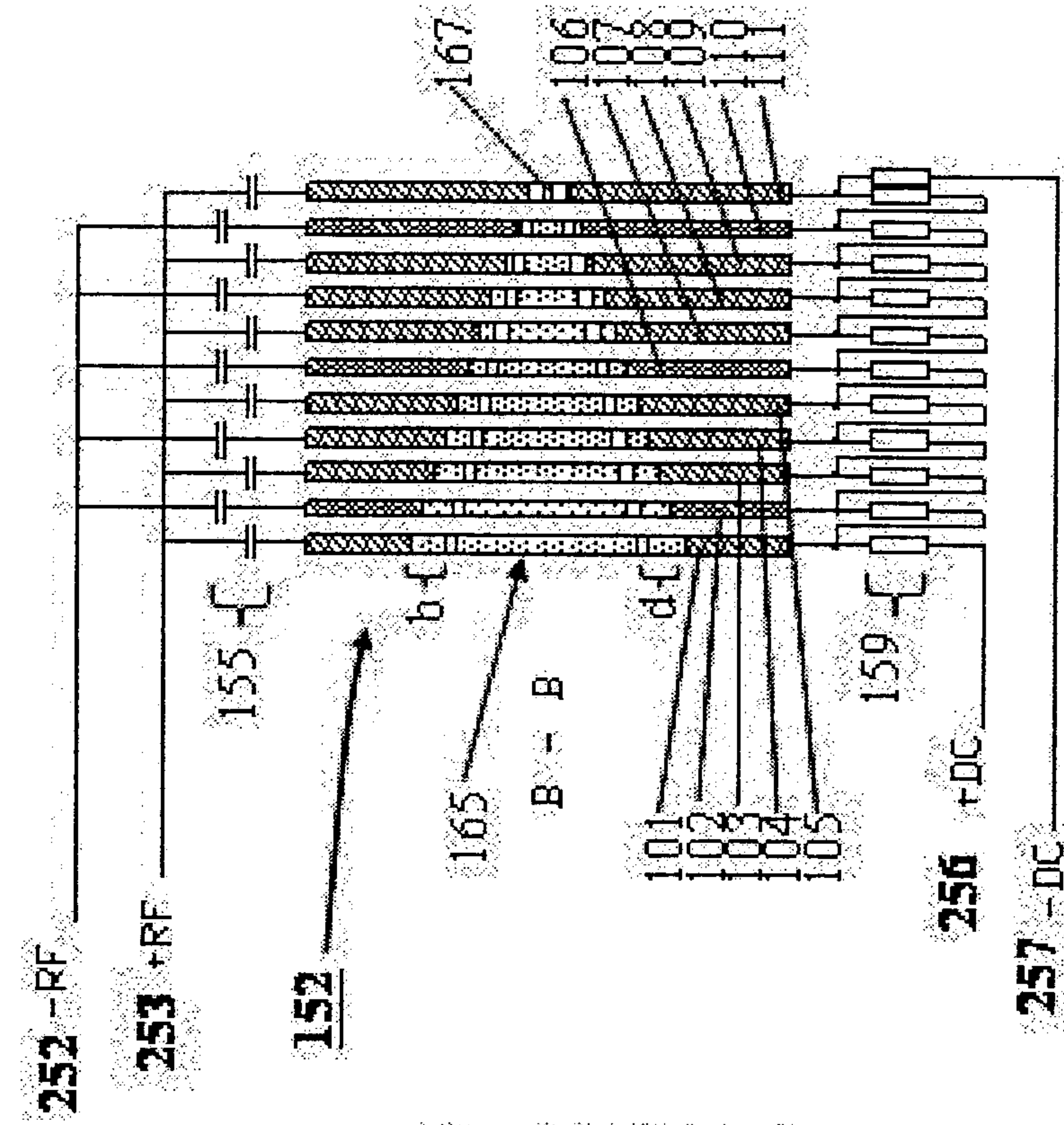


FIG. 9A

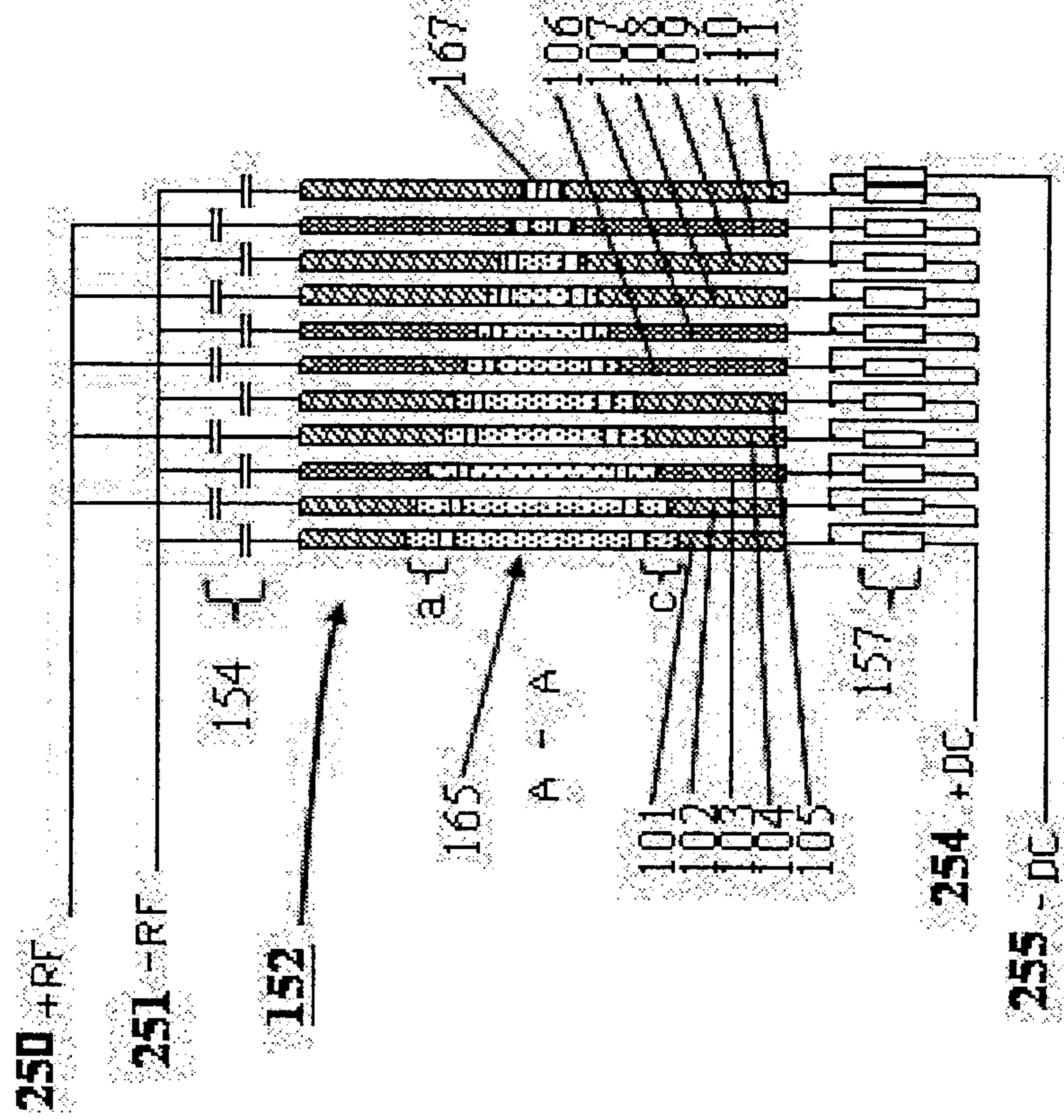


FIG. 9B

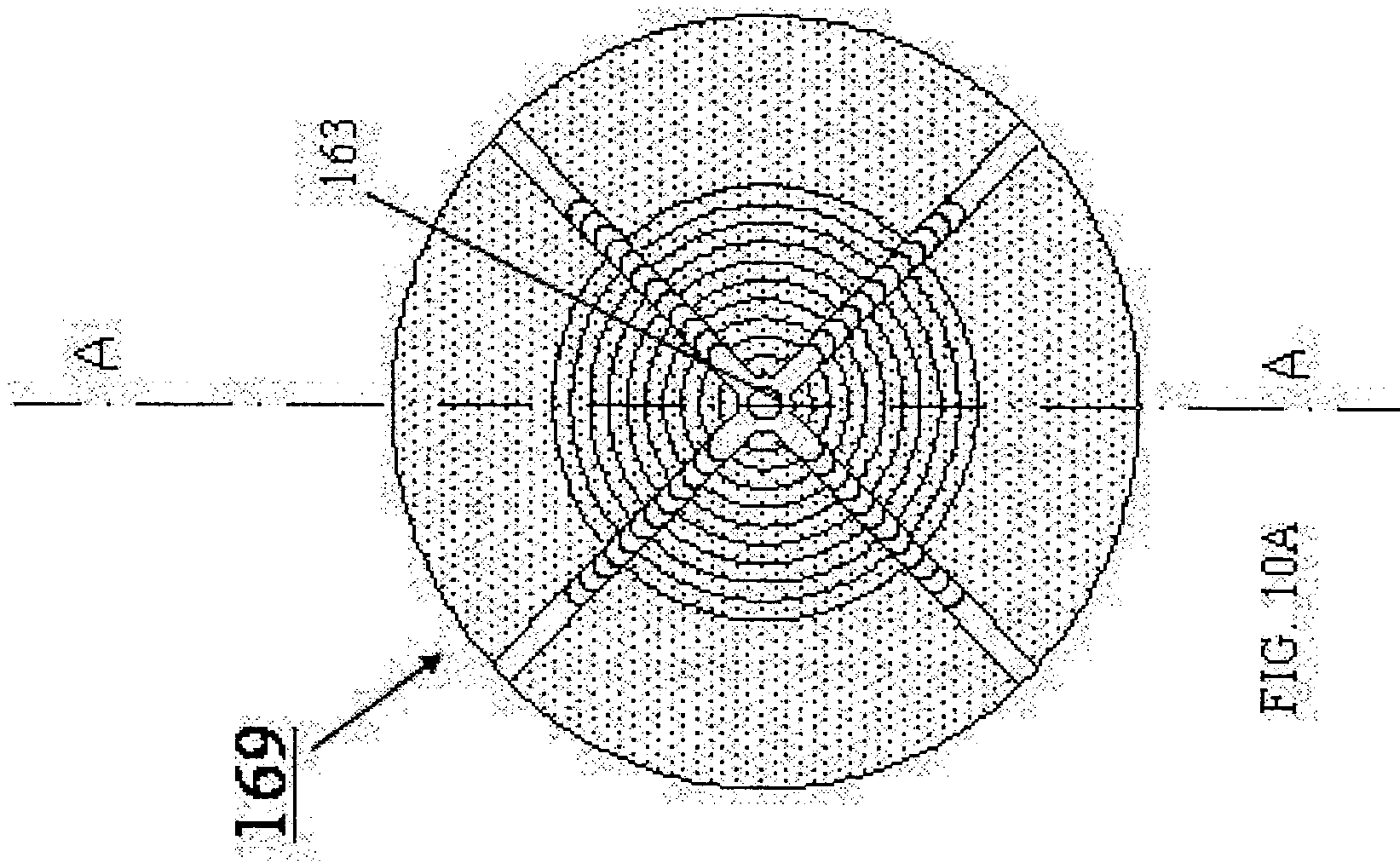


FIG. 10A

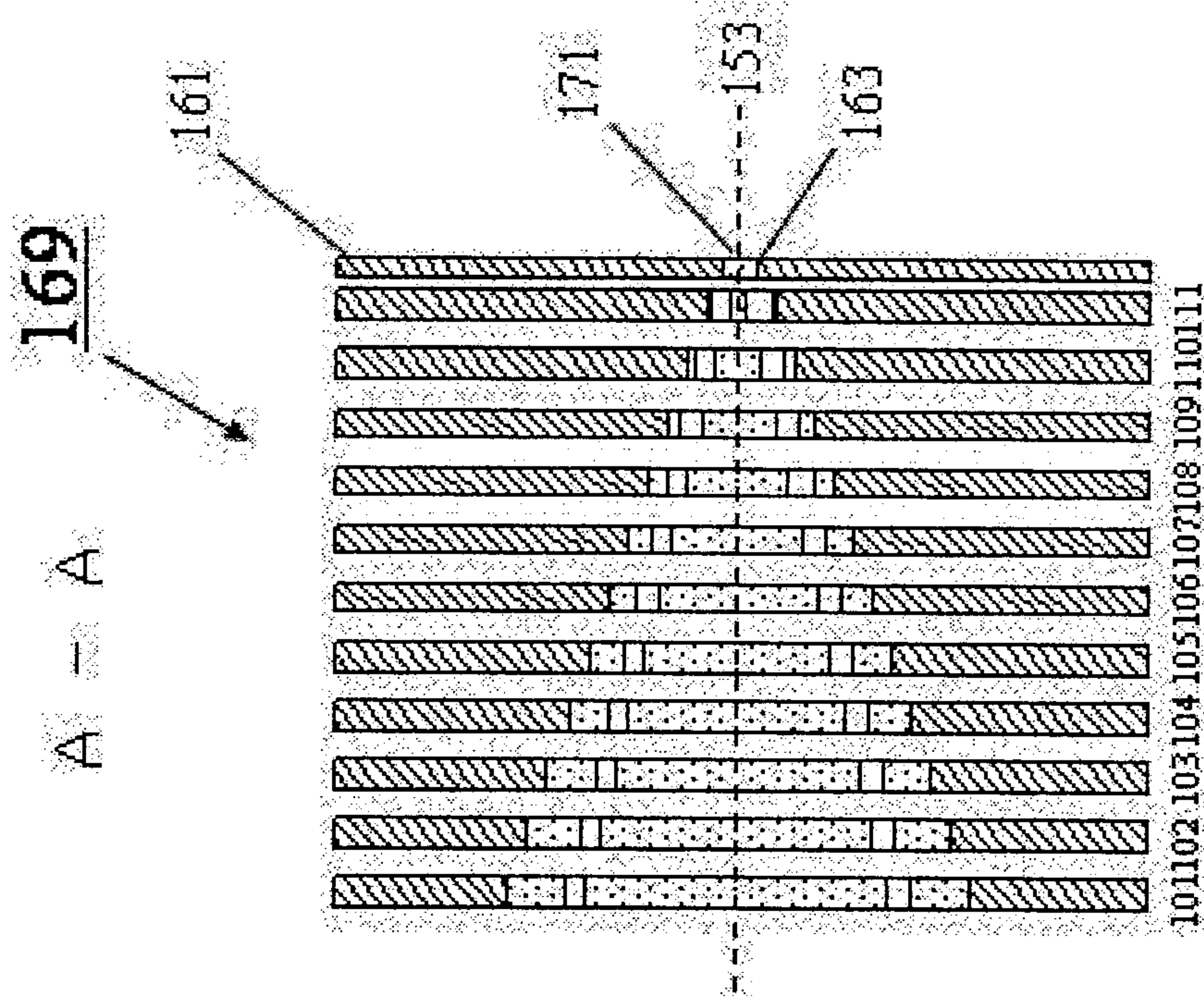


FIG. 10B

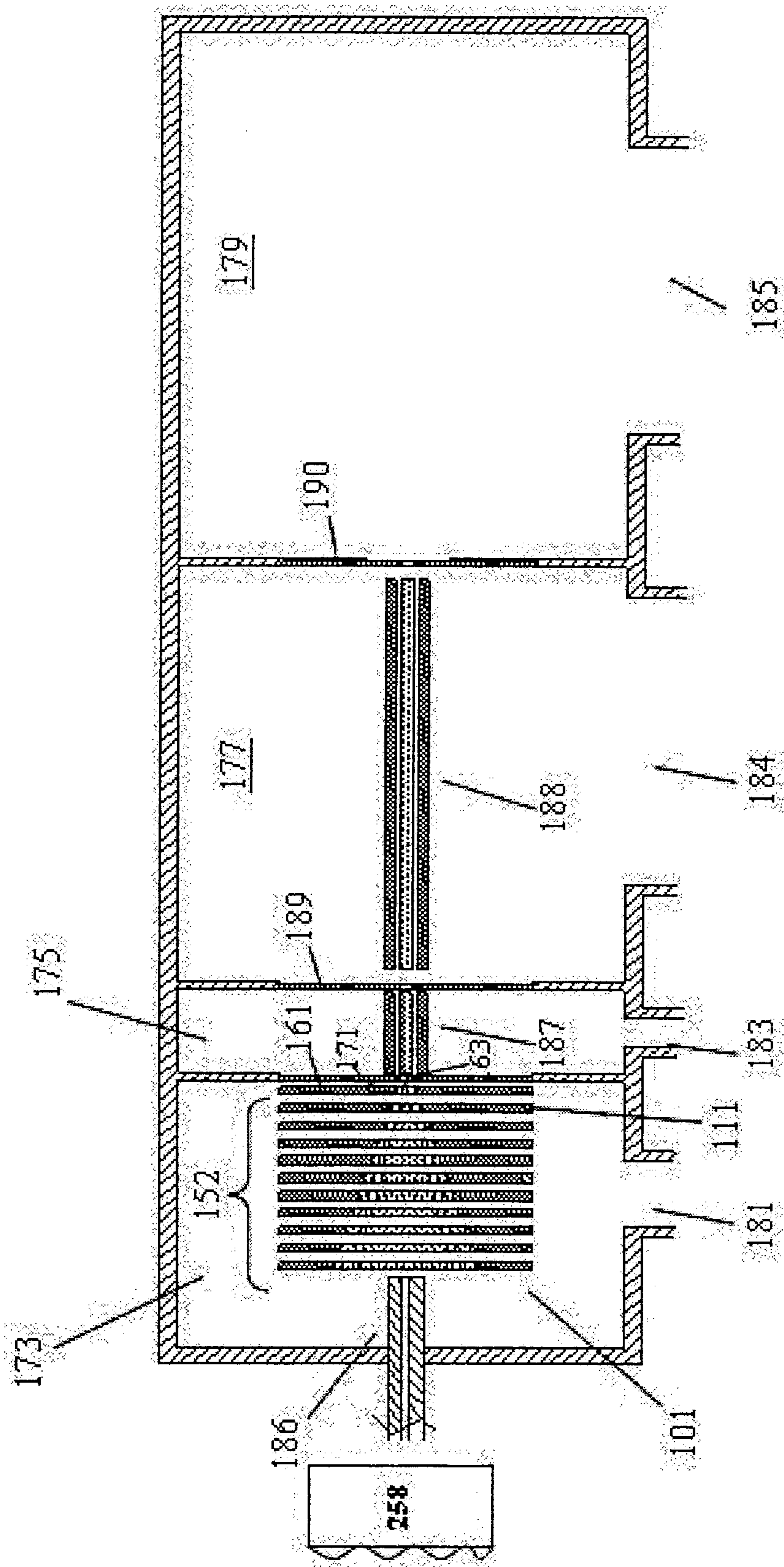


FIG. 11

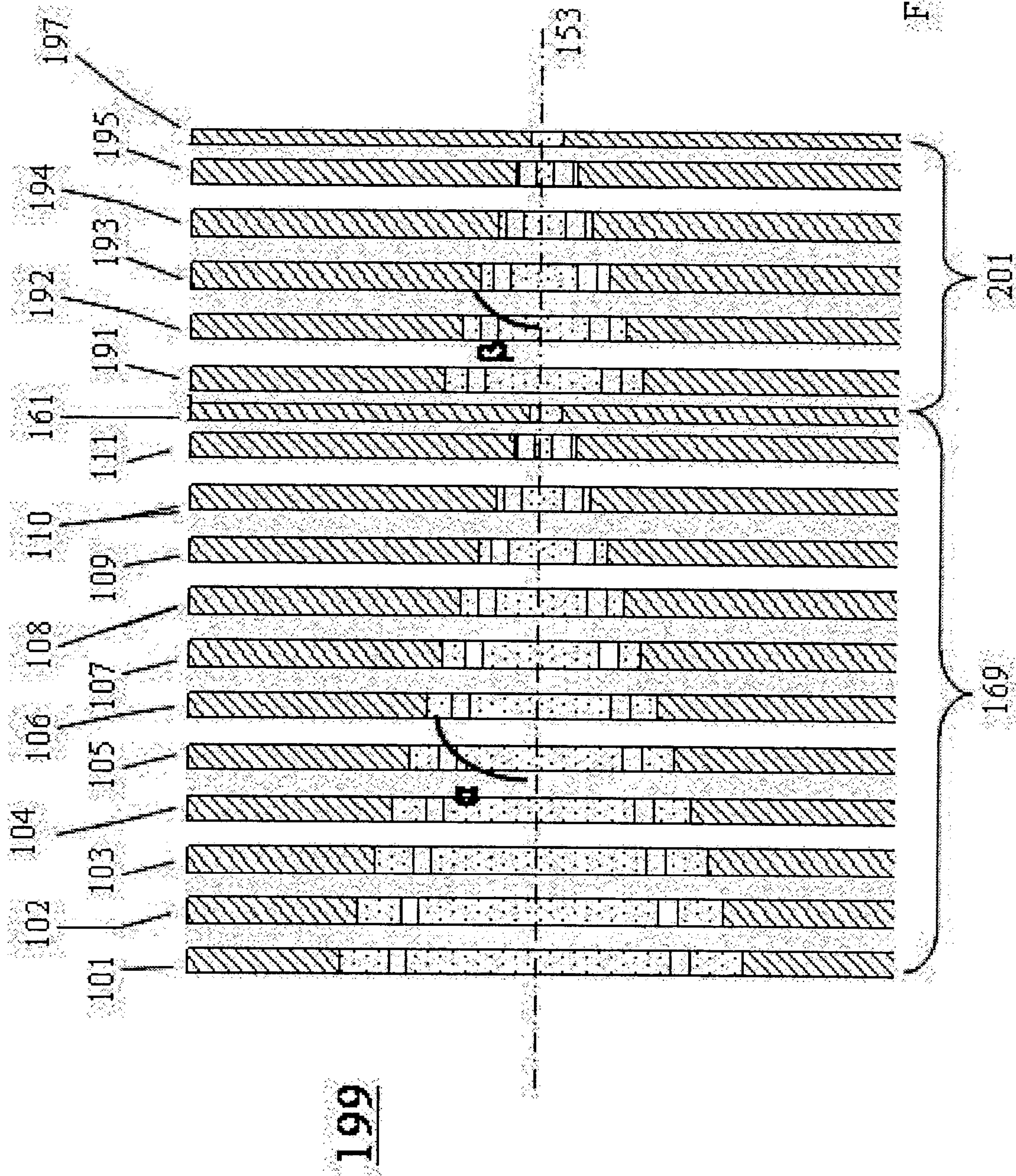


FIG. 12

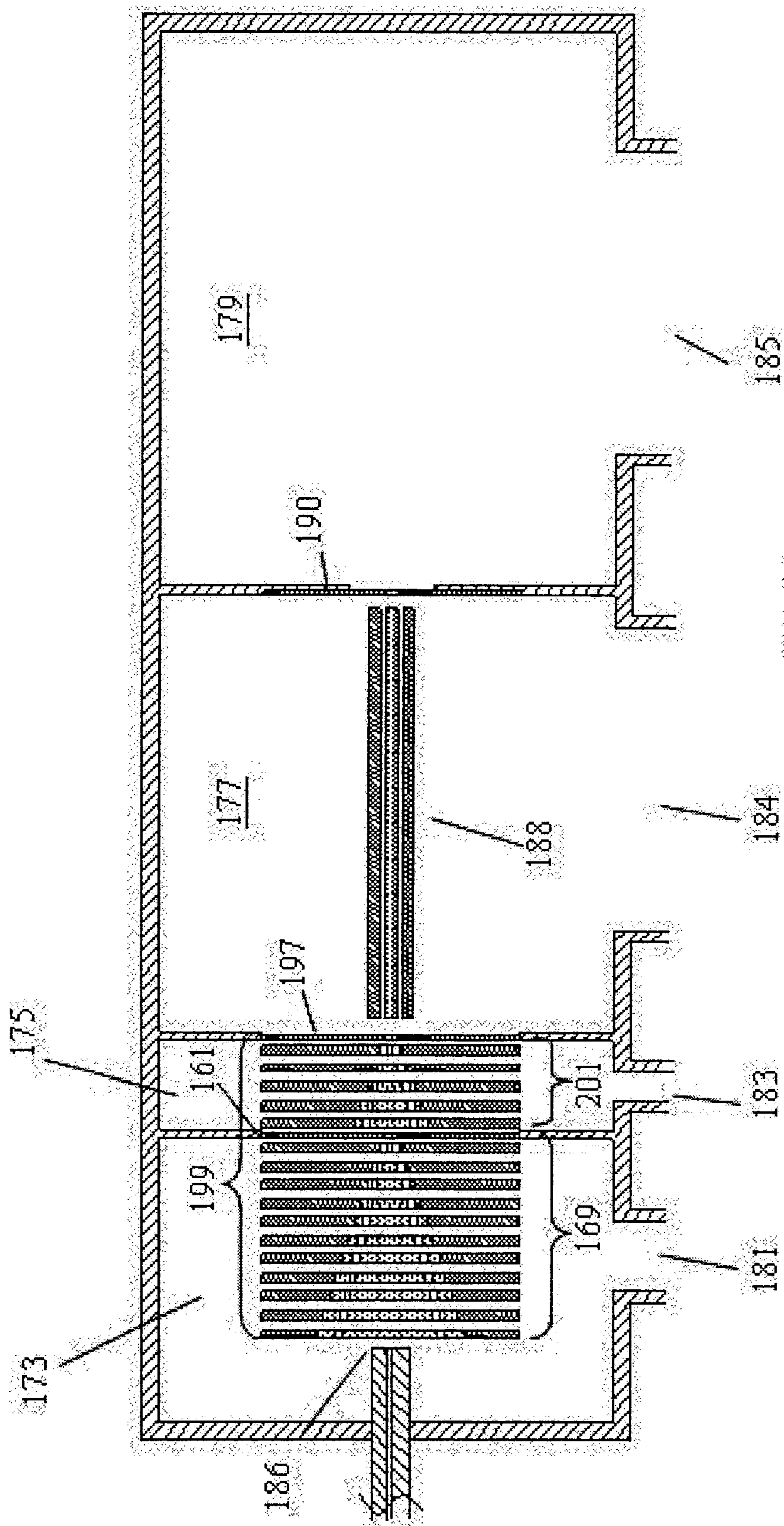


FIG. 13

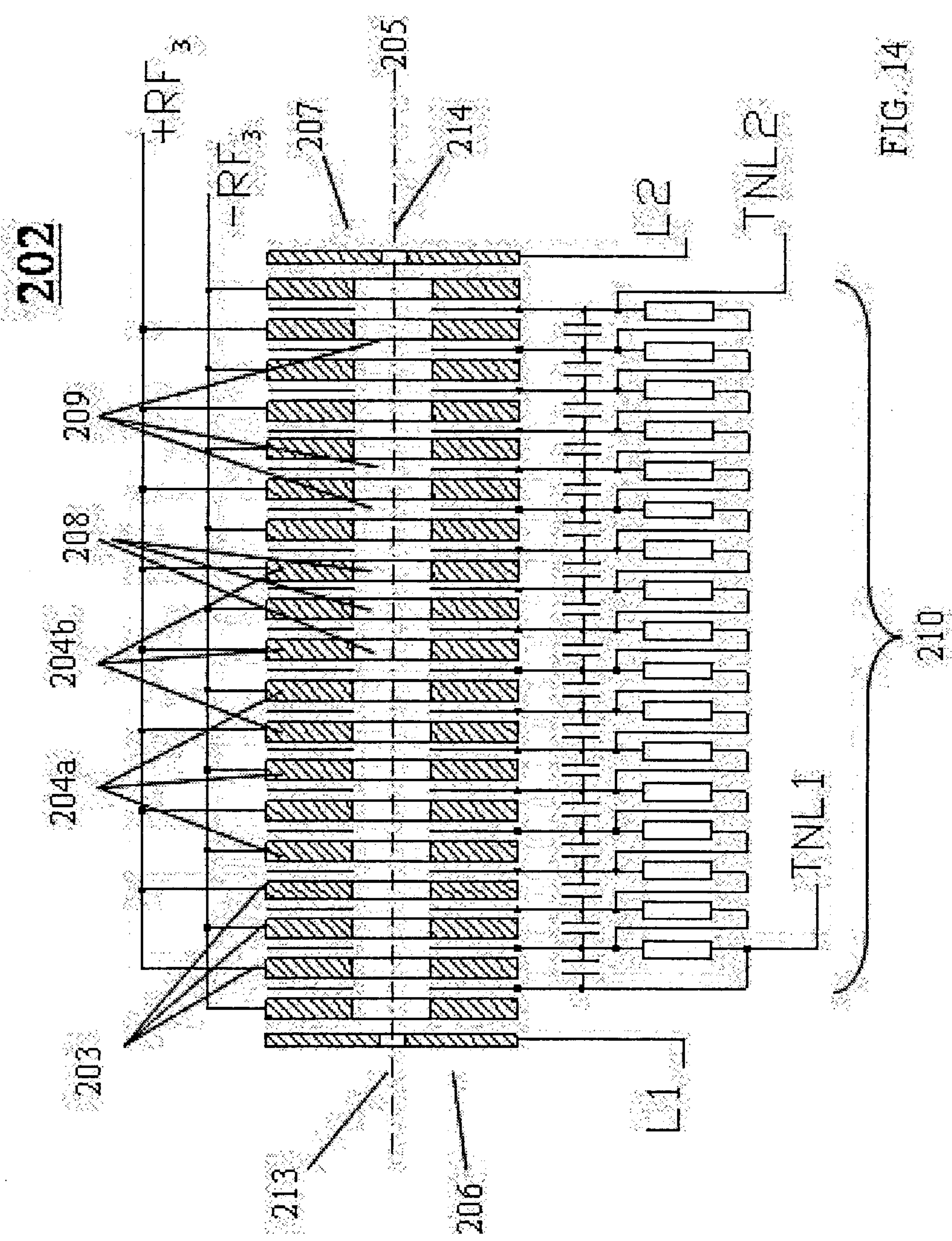


FIG. 14

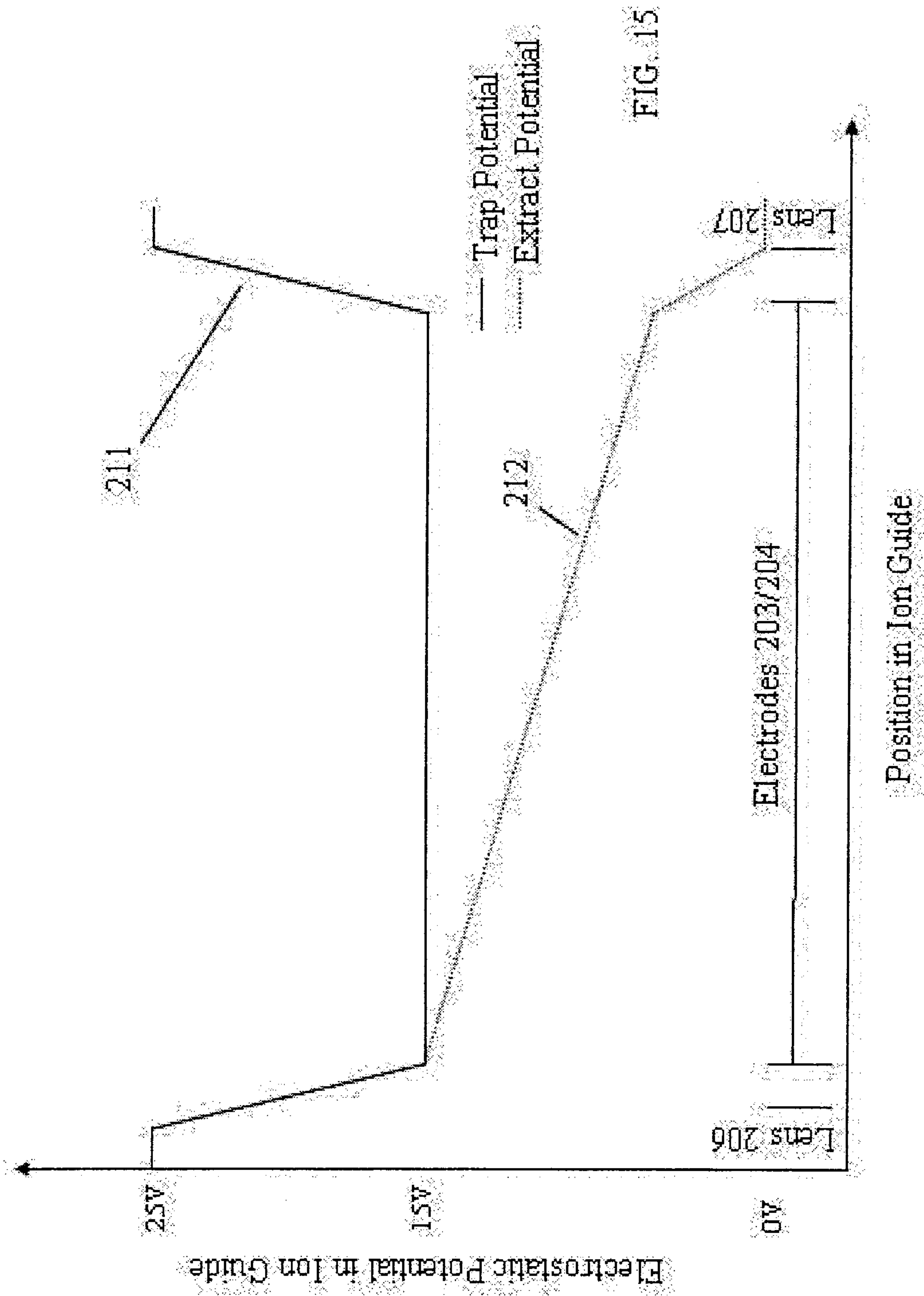


FIG. 15

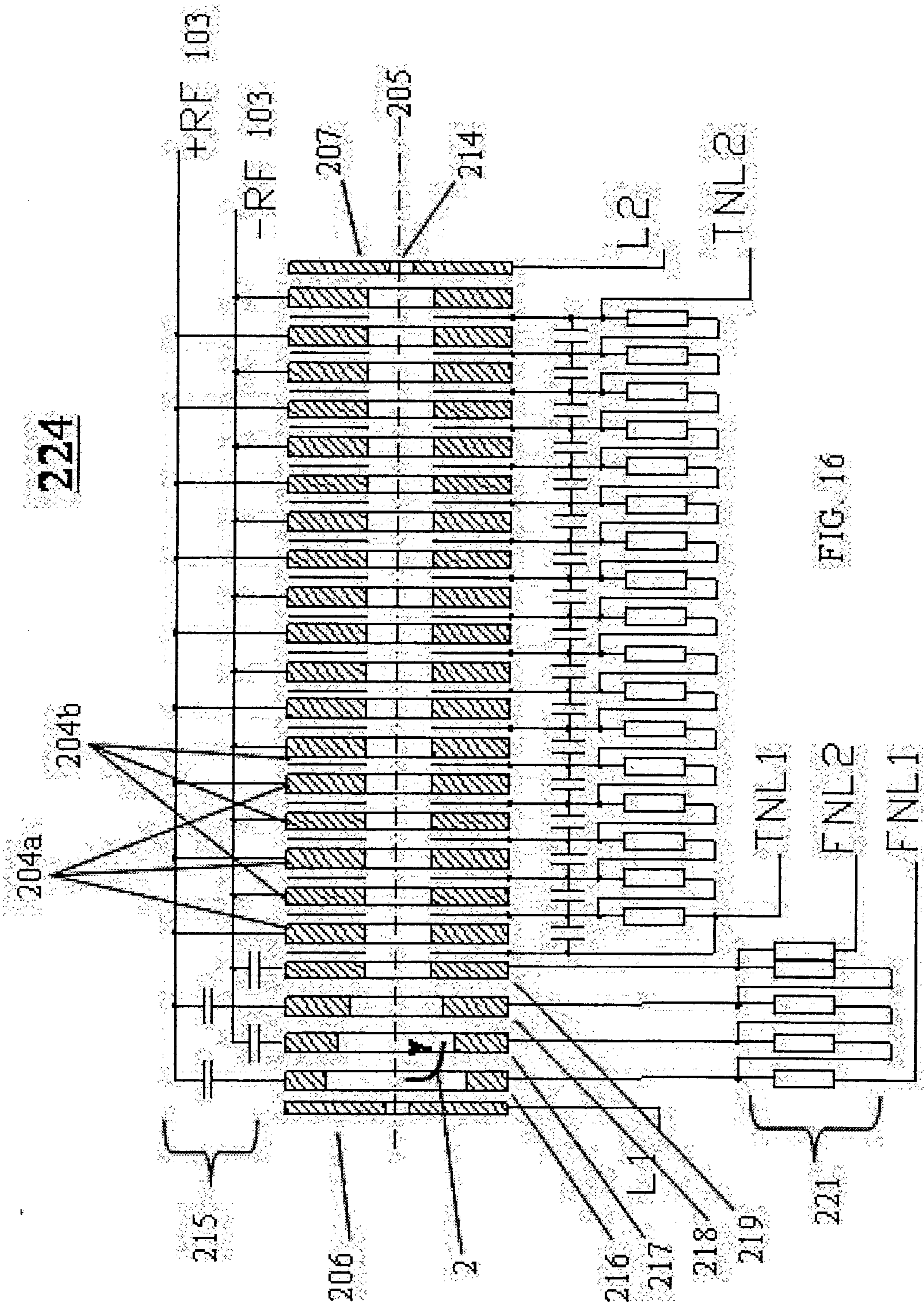
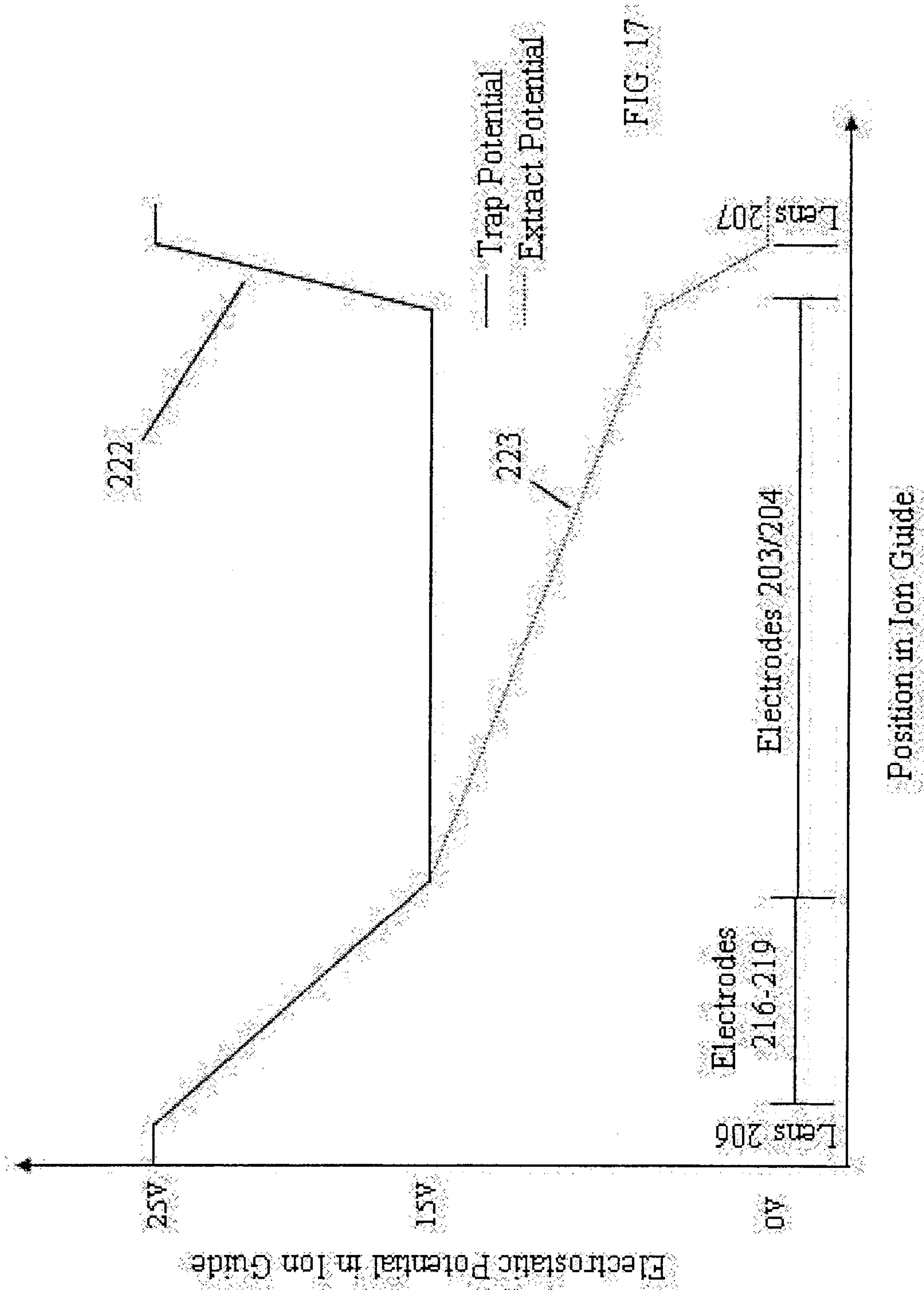


FIG. 16



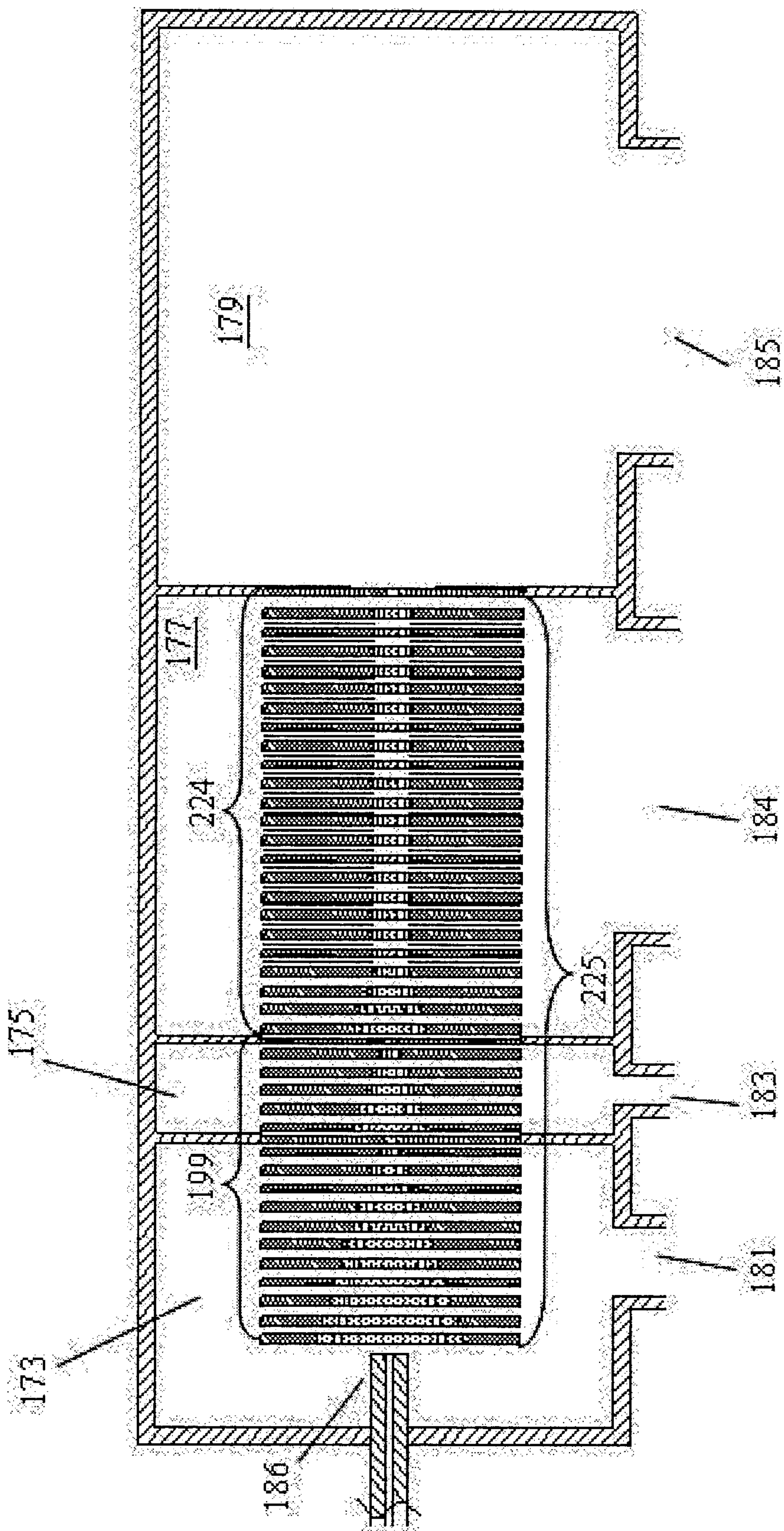
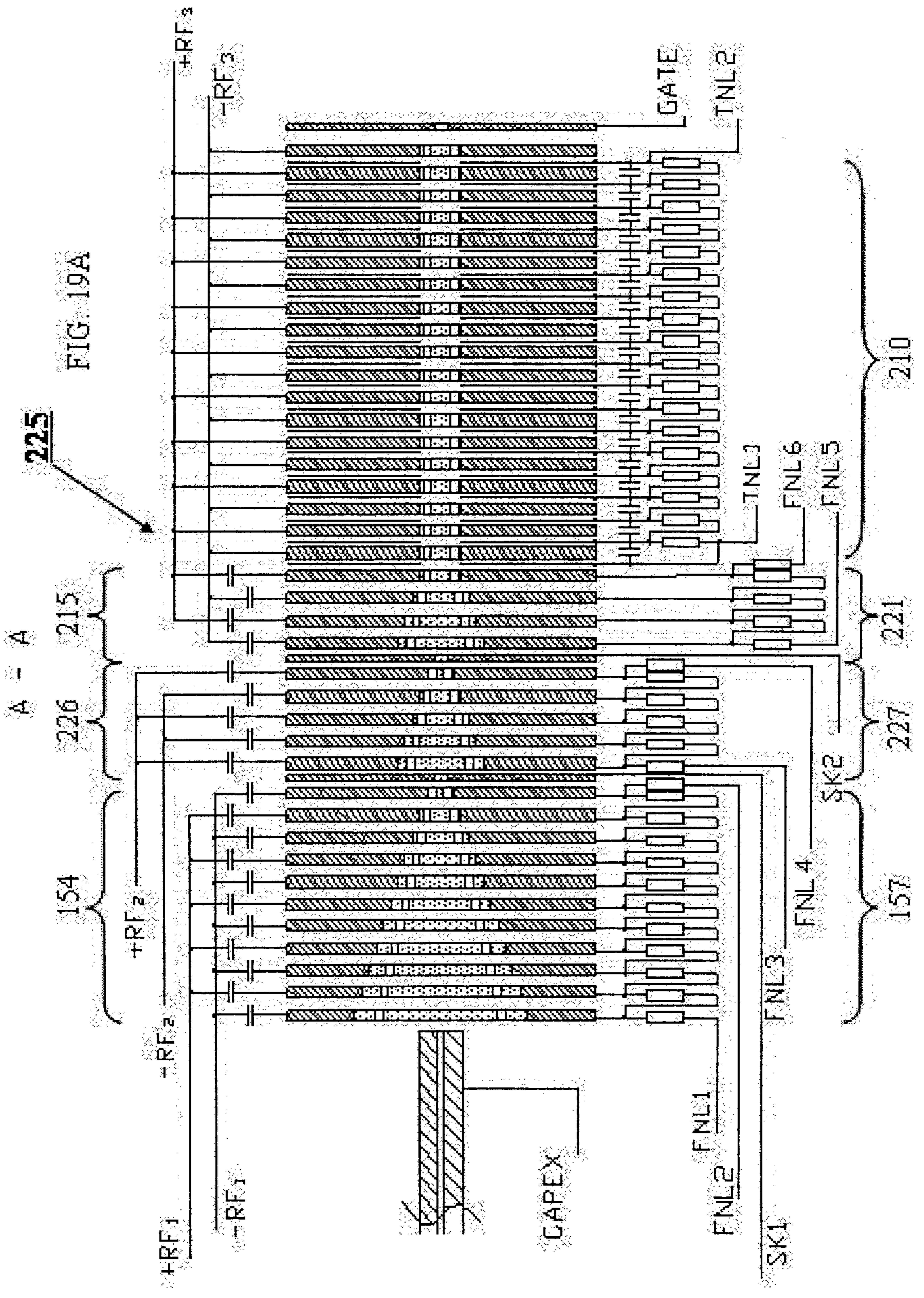
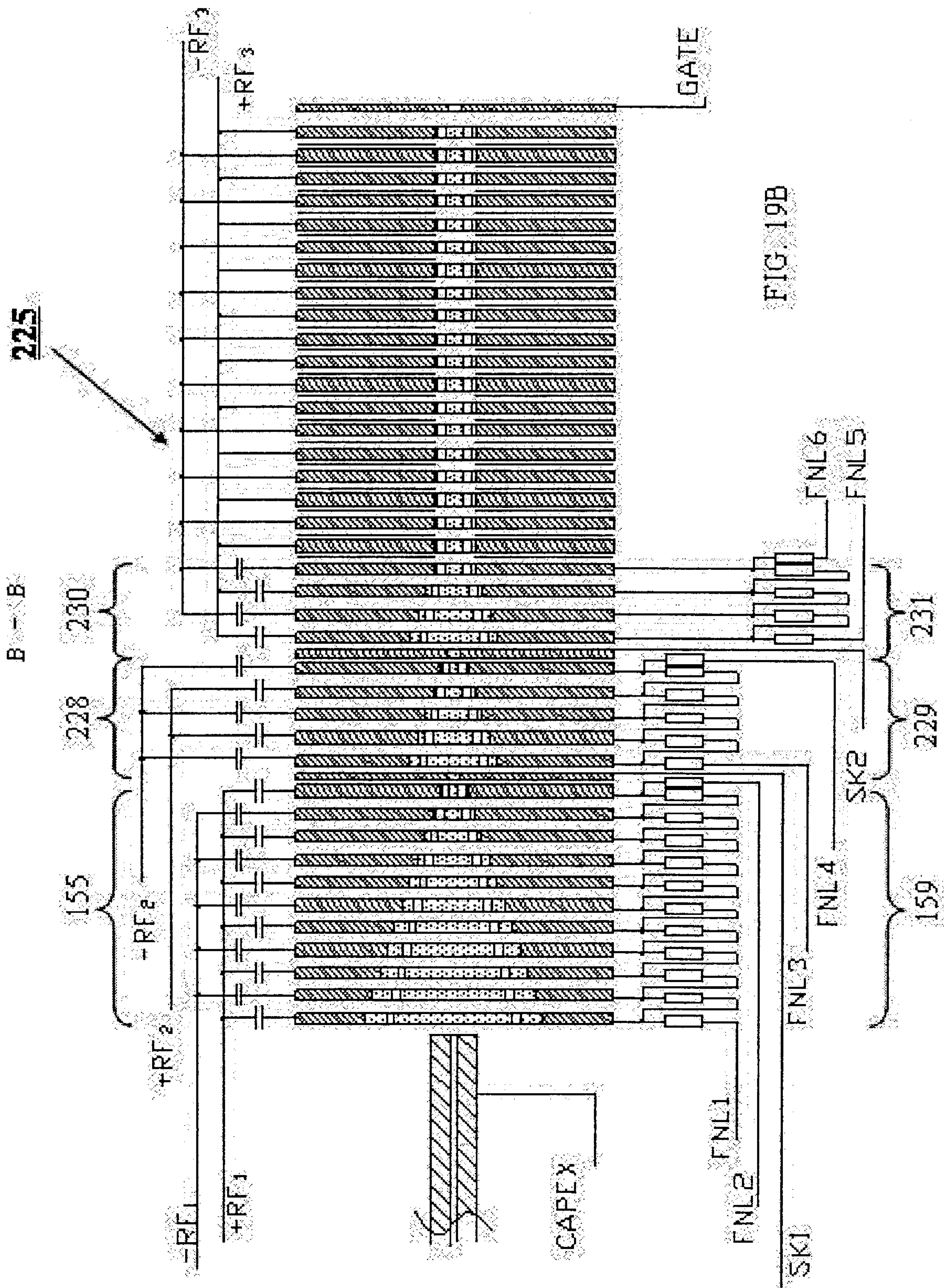


FIG. 18





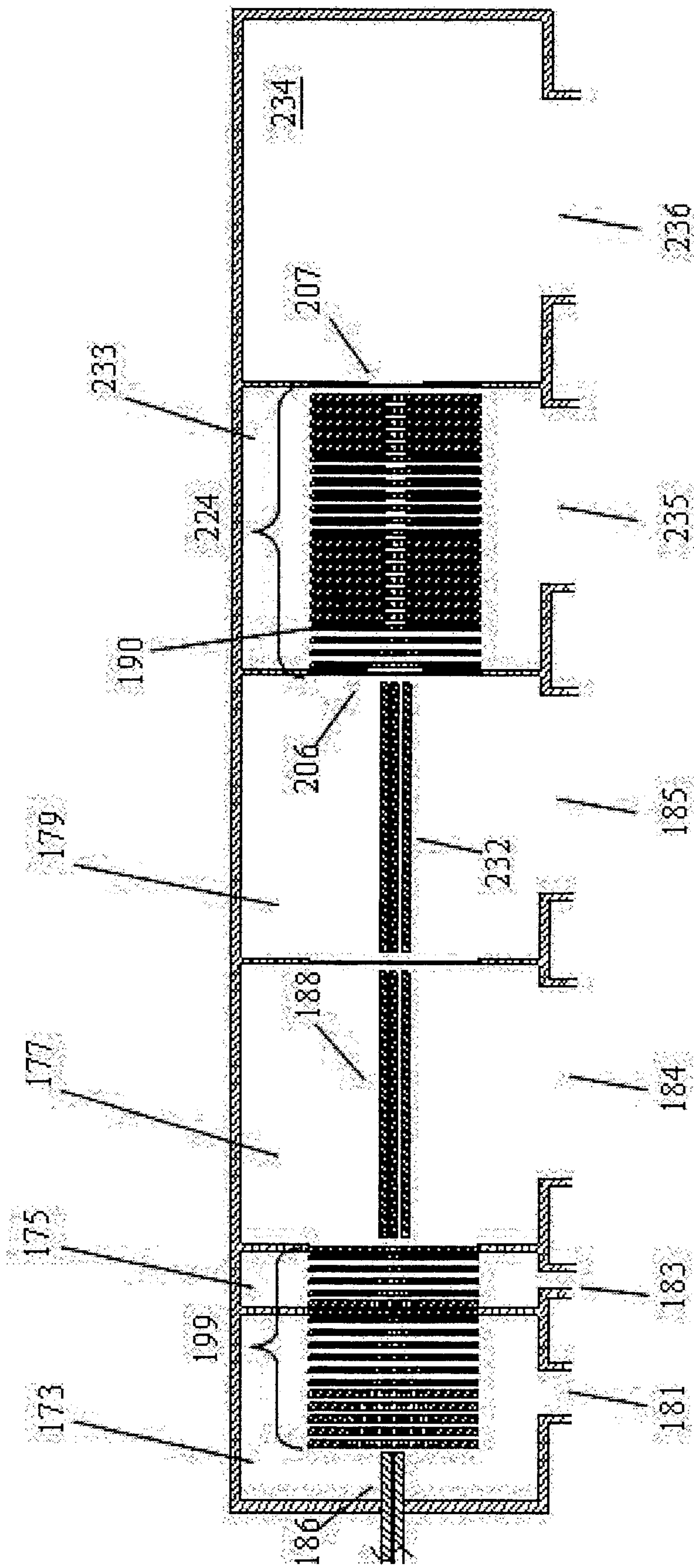
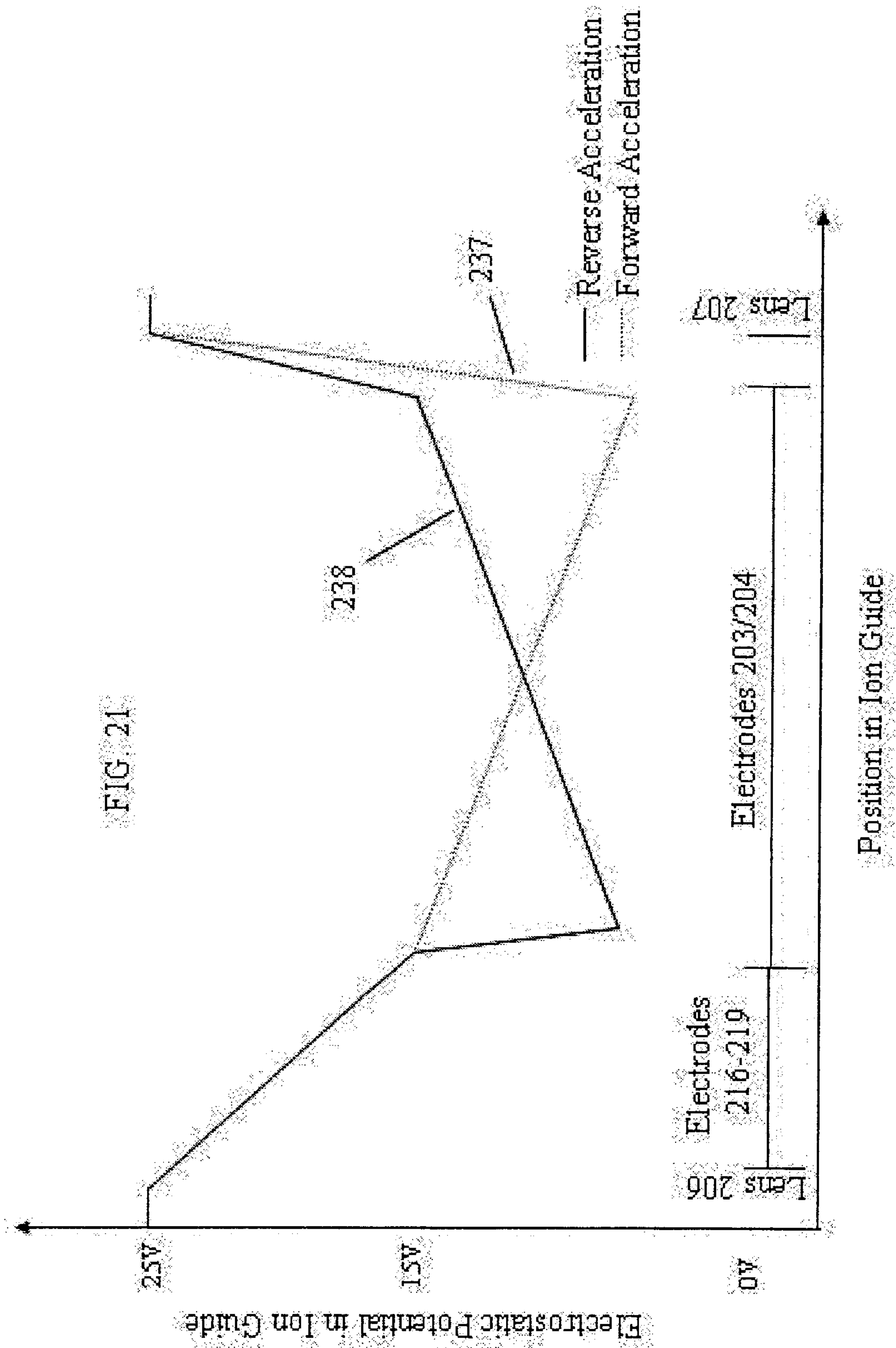


FIG. 20



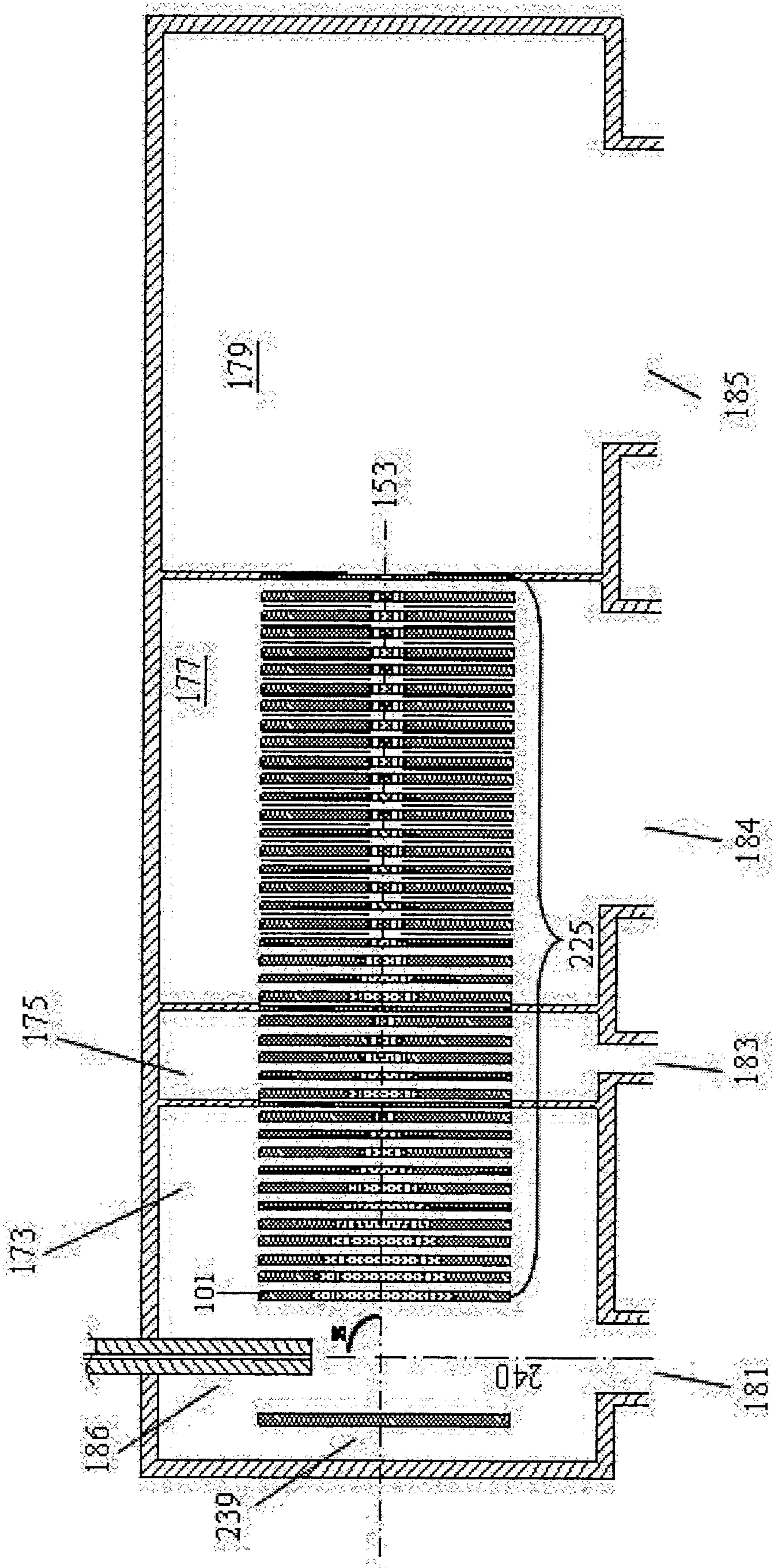


FIG. 22

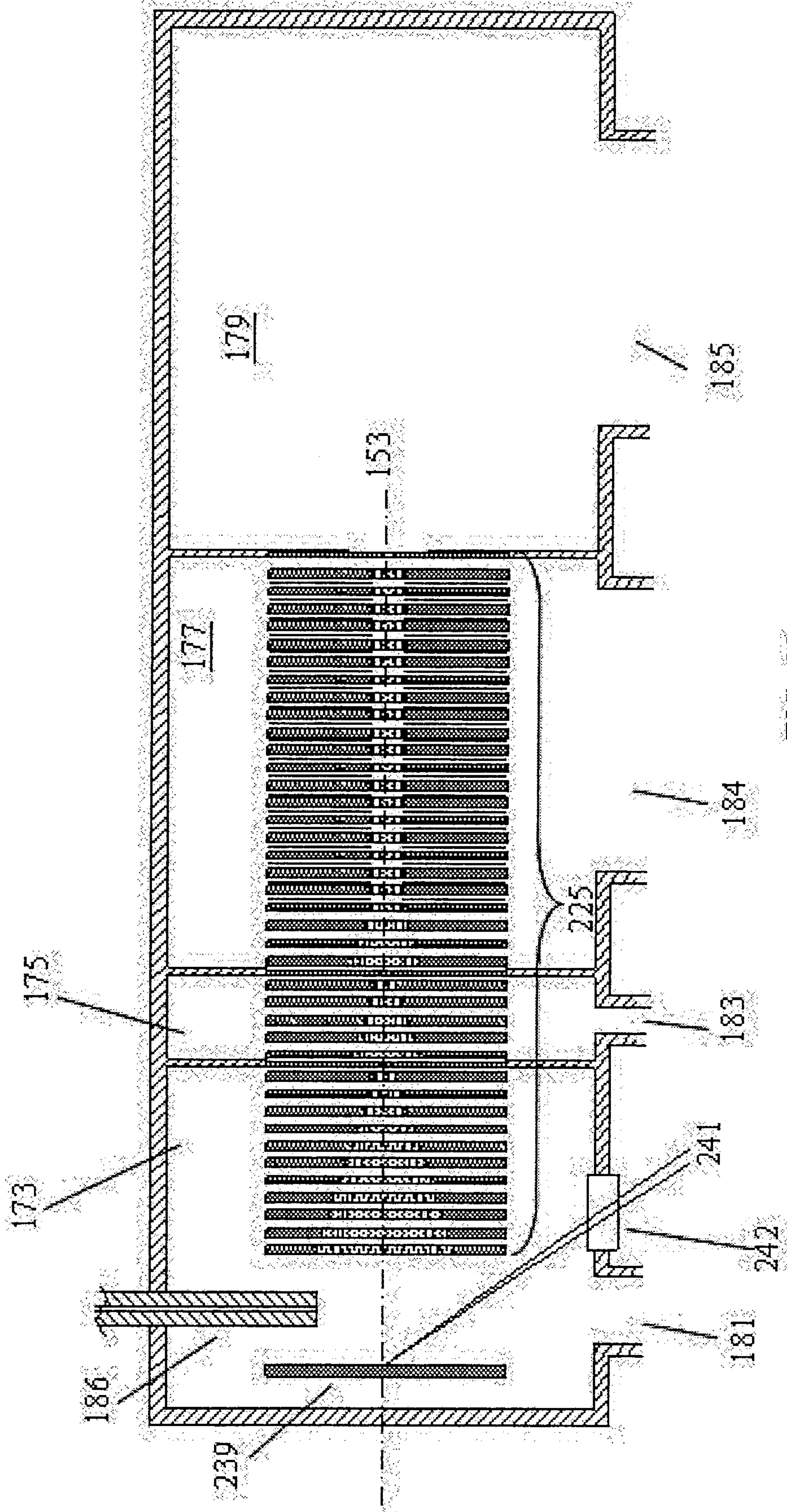


FIG. 23

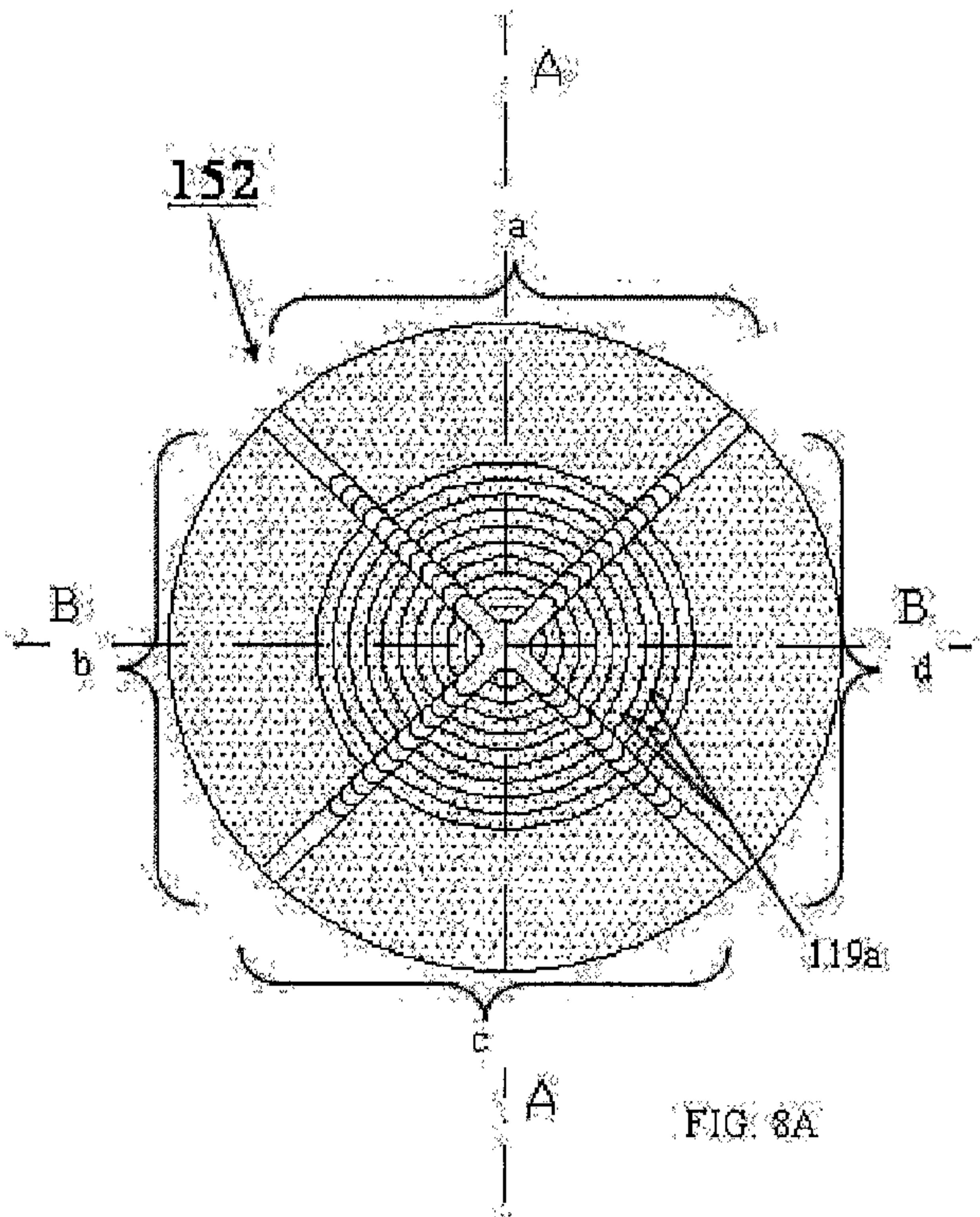


FIG. 8A