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ABSTRACT

A radially expandable surgical stent and a method for forming a radially expandable

5 surgical stent from a shape memory and/or superelastic nickel-titanium alloy. The stent has a radially expanded configuration when said stent is unstressed and the stent is in an austenite phase and when it is at or near body temperature. The formed stent is expanded to its desired radially expanded diameter on a mandrel and heated to a temperature of at least 350°C and held at that temperature until a shape memory for the stent has changed to a diameter corresponding to the diameter of the mandrel and then removed from the mandrel. The stent is then cooled to below a transformation temperature such that the stent enters a martensite phase and then a radially contracting force is applied to the stent until the stent is radially contracted to the desired radially contracted diameter.

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COMPLETE SPECIFICATION

FOR A STANDARD PATENT

ORIGINAL

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Invention title:	RADIALLY EXPANDABLE NICKEL-TITANIUM SURGICAL STENT
The following statement is a full description of this invention, inc method of performing it known to us.	
	Name of Applicant: Actual Inventor: Address for Service: Invention title: The following statement i method of performing it b

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FIELD OF THE INVENTION

The following invention relates to surgical stents of a generally cylindrical configuration which can be surgically implanted into a body lumen, such as an artery, and radially expanded. More specifically, this invention relates to radially

5 expandable surgical stents having a high radial strength for implantation in body lumens which experience radial loads.

BACKGROUND OF THE INVENTION

- Surgical stents have long been known which can be surgically implanted into a body lumen, such as an artery, to reinforce, support, repair or otherwise enhance the performance of the lumen. For instance, in cardiovascular surgery it is often desirable to place a stent in the coronary artery at a location where the artery is damaged or is susceptible to collapse. The stent, once in place, reinforces that portion of the artery allowing normal blood flow to occur through the artery. One form of stent which is
- 15 particularly desirable for implantation in arteries and other body lumens is a cylindrical stent which can be radially expanded from a first smaller diameter to a second larger diameter. Such radially expandable stents can be inserted into the artery by being located on a catheter and fed internally through the arterial pathways of the patient until the unexpanded stent is located where desired. The catheter is fitted with a balloon or other expansion mechanism which exerts a radial pressure outward on the stent causing the stent to expand radially to a larger diameter. Such expandable stents exhibit sufficient rigidity after being expanded that they will remain expanded after the catheter has been removed.
- Radially expandable stents come in a variety of different configurations to provide optimal performance to various different particular circumstances. For instance, the patents to Lau (US Patent Nos 5514154, 5421955 and 5242399), Baracci (US Patent No 5531741), Gaterud (US Patent No 5522882), Gianturco (US Patent Nos 5507771 and 5314444), Termin (US Patent No 5496277), Lane (US Patent No 5494029), Maeda (US
 Patent No 5507767), Marin (US Patent No 5443477), Khosravi (US Patent No 5441515), Jessen (US Patent No 5425739), Hickle (US Patent No 5139480), Schatz (US Patent No 5195984), Fordenbacher (US Patent No 5549662) and Wiktor (US Patent No

5133732), each include some form of radially expandable stent for implantation into a body lumen.

Each of these prior art stents suffer from a variety of drawbacks which make them
less than ideal. For instance, many of these stents are formed from stainless steel or other materials which have a relatively low yield strength. Hence, if the body lumen is subjected to radial loads and related radial stresses, the stents are susceptible to collapse or other permanent deformation in an undesirable manner. If such stents are provided with segments of greater thickness to enhance their strength, they become too thick to be effectively collapsed for insertion and later expansion within the body

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One material for forming higher strength radially expandable surgical stents is a shape memory Nickel-Titanium alloy. Shape memory Nickel-Titanium alloys and other shape memory alloys are unique in that they have two distinct solid phases. A high yield strength austenite phase (195-690 MPa) and a lower yield strength martensite phase (70-140 MPa). The material can be selectively transformed between the austenite phase and the martensite phase by altering a temperature of the shape memory Nickel-Titanium alloy. For instance, it is known to form the Nickel-Titanium alloy so that the stent is in the martensite phase when chilled to a temperature below body temperature and to be in the austenite phase when the stent is at body temperature.

Additionally, when such shape memory alloys are stressed beyond their yield strength while in the martensite phase, not to exceed certain maximum amounts of strain, the alloy has a "memory" of its shape before its yield strength in the martensite phase was exceeded so that when the alloy is heated and transformed into its austenite phase it returns to the shape it exhibited before it was plastically deformed in the martensite phase. In radially expandable surgical stents, this shape memory has been used to collapse the stent to a small diameter when in its martensite phase and then heat the stent up to body temperature and transform the stent into its austenite phase where it radially expands back to its original expanded diameter and

exhibits a desired strength and size for supporting walls of the body lumen in which it is implanted. Hence, the relatively high yield strength of the shape memory alloy stent in its austenite phase provides beneficial characteristics for supporting the body lumen while the martensite phase for the shape memory alloy stent is utilized to

5 allow the stent to be easily radially contracted and deformed during implantation of the stent.

While such shape memory Nickel-Titanium alloy stents are generally effective, known shape memory Nickel-Titanium stents have exhibited certain deficiencies. For instance, when such prior art shape memory Nickel-Titanium stents are radially expanded they tend to contract axially, enhancing the difficulty experienced by a surgeon in precisely implanting the stent where desired. Additionally, the limited degree of collapsibility of known prior art shape memory Nickel-Titanium stents has enhanced the difficulty of their implantation in many body lumens. Accordingly, a need exists for shape memory Nickel-Titanium alloy stents which have a

configuration which beneficially overcomes the drawbacks of known prior art shape memory Nickel-Titanium alloy stents.

OBJECT OF THE INVENTION

An object of the present invention is to provide a shape memory surgical stent which can be radially contracted when in a martensite phase for ease in implantation within a body lumen and later radially expanded to a "memorized" shape when the stent transitions into an austenite phase and a method of forming such a stent.

SUMMARY OF THE INVENTION

In one form the invention may be said to reside in a method for forming a radially expandable surgical stent from a shape memory and/or superelastic nickel-titanium alloy such that said stent has a radially expanded configuration when said stent is unstressed and said stent is in an austenite phase and when said stent is at or near body temperature, the method including the steps of:

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cutting a cylindrical tube of nickel-titanium alloy material, the cylindrical tube of material having a diameter intermediate between a desired radially expanded diameter and a desired radially contracted diameter,

removing material from the cylindrical tube such that only a plurality of wavelike circumferential segments circumscribing a cylindrical contour of the stent and a
plurality of axial segments spanning gaps between adjacent circumferential segments
remain on the stent;

forcing the stent onto a tapering mandrel, the tapering mandrel having a lesser diameter end with a diameter similar to a diameter of the stent and a greater diameter end with a diameter similar to the desired radially expanded diameter for the stent until the stent is expanded to the desired radially expanded diameter and is located upon the mandrel;

heating the stent while the stent is on the mandrel to a temperature of at least 300°C and preferably at least 350°C;

holding the stent at a temperature of at least 300°C until a shape memory for the stent has changed to a diameter corresponding to the diameter of the mandrel;

removing the stent from the mandrel;

cooling the stent to below a transformation temperature such that the stent enters a martensite phase; and

applying a radially contracting force to the stent until the stent is radially contracted to the desired radially contracted diameter.

The invention may also include a method of treating a patient using the stent provided above, the method of treating including the steps of;

placing a flexible tubular sheath over an outer surface of the stent when the stent is in the martensite phase and is at the desired radially contracted diameter, the sleeve being flexible but resisting radial expansion;

locating an expandable balloon catheter within an interior of the stent;

locating the sleeve, stent and balloon catheter within a body lumen at a site where implantation of the stent is desired;

removing the sheath from the outer surface of the stent;

inflating the balloon catheter until the stent has expanded to the radially expanded diameter,

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removing the balloon catheter from the interior of the stent and out of the body lumen; and

allowing the stent to transition to the austenite phase while the stent is in the desired radially expanded diameter.

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In an alternative form the invention may be said to reside in a radially expandable surgical stent formed of a shape memory and/or superelastic nickel-titanium alloy by the abovementioned method and, the stent comprising in combination;

a substantially cylindrical radially collapsed configuration when said stent isat a first temperature corresponding to a martensite phase for the alloy;

a substantially cylindrical radially expanded configuration when said stent is at a second temperature corresponding to an austenite phase for the alloy; said second temperature higher than said first temperature,

said stent having a larger diameter when said stent is in said radially

15 expanded configuration then when said stent is in said radially collapsed configuration; and

said collapsed configuration having a diameter less than one-third an amount of said larger diameter when said stent is in said radially expanded configuration.

The collapsed configuration may have a diameter less than one-fourth of an amount of said larger diameter when said stent is in said radially expanded configuration.

The stent may be formed from a plurality of wave-like circumferential segments with a plurality of substantially linear legs extending between bends in said circumferential segments, said legs having ends adjacent said bends and a middle between said ends, at least one of said legs having a middle with a thickness less than a thickness of said at least one leg at said ends of said at least one leg, such that said at least one leg exhibits greater strength adjacent said ends.

30 The stent may include means to flex when in said collapsed configuration, said flexing means including said stent formed from a plurality of wave-like circumferential segments with gaps between adjacent said circumferential segments,



at least one of said gaps having at least one axial segment spanning said at least one gap, said at least one axial element including means to bend and allow a width of said at least one gap to be modified, allowing said stent to flex.

- 5 The stent may include a plurality of wave-like circumferential segments including a series of substantially linear legs joined together at bends in each said circumferential segment, each said bend defining either a trough or a crest in each said circumferential segment, said trough defining a portion of said circumferential segment where said circumferential segment is more distant from adjacent
- 10 circumferential segments than other portions of said circumferential segment, said crest defining a portion of said circumferential segment where said circumferential segment is closer to adjacent said circumferential segments than other portions of said circumferential segment.
- 15 The collapsed configuration of the stent may result in said legs of said circumferential segments being oriented substantially parallel to each other in a substantially axial direction.

The stent may have gaps between adjacent said circumferential segments, at least one of said gaps spanned by axial segments joined to adjacent said circumferential segments at said troughs of each adjacent said circumferential segment.

BRIEF DESCRIPTION OF THE DRAWINGS

This generally describes the invention but to assist with understanding reference will now be made to preferred embodiments as shown in the accompanying drawings in which:

FIG 1 is a perspective view of a Nickel-Titanium stent of a basic configuration in a radially expanded configuration;

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FIG 2 is a perspective view of the basic stent of FIG 1 in a radially collapsed configuration;

FIG 3 is a cylindrical projection of the basic stent of FIGS 1 and 2;

FIG 4 is an end view of that which is shown in FIG 1;

FIG 5 is an end view of that which is shown in FIG 2;

FIG 6 is a detail of a portion of that which is shown in FIG 3 with some of the struts shown therein featuring legs with variable thicknesses to enhance a strength of the struts;

FIG 7 is a cylindrical projection of a portion of a radially collapsed stent similar to that which is shown in FIG 1 but without width enhancement adjacent bends in the struts;

FIG 8 is a cylindrical projection of a portion of that which is shown in FIG 3 with said stent partially radially expanded;

FIG 9 is a cylindrical projection of that which is shown in FIG 8 after full radial expansion of the stent;

FIG 10 is a detail of a portion of that which is shown in FIG 3 except that certain axial segments are configured as straight links;

FIG 11 is a top plan view of a series of steps involved in the process of forcing a stent, such as that which is shown in FIGS 1-5, onto a mandrel for enhancing a radial diameter of the stent;

FIG 12 is a top plan view of a heat applying step involved in altering a shape memory for the stent shown therein;

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FIG 13 is a front elevation view of a series of steps involved in radially collapsing the stent from the radially expanded configuration to the radially collapsed configuration while the stent is cooled below a transformation temperature and in a martensite phase;

FIG 14 is a top plan view of the stent of FIGS 1-5 within a surgical implantation apparatus with portions of the apparatus cut away to reveal interior details thereof;

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FIG 15 is a sectional view of a body lumen with the stent of FIGS 1-5 in the process of being implanted with the implantation apparatus of FIG 14;

FIG 16 is a sectional view similar to that which is shown in FIG 15 revealing an intermediate step in the implantation of the stent of FIGS 1-5; and

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FIG 17 is a sectional view of a body lumen after the stent of FIGS 1-5 has been successfully implanted and radially expanded within the body lumen.

DESCRIPTION OF THE PREFERRED EMBODIMENT

Referring to the drawings wherein like reference numerals represent like parts throughout, reference numeral 10 is directed to a radially expandable and contractible surgical stent formed from a shape memory material such as a Nickel-Titanium alloy. The stent 10 is cylindrical in contour with a series of struts 20 (FIG 3) forming circumferential segments of the stent 10 which exhibit a wave-like contour. The struts 20 can hence be collapsed to reduce a radius of the stent 10 and expanded to increase a radius of the stent 10 (along Arrow R of FIG 1). An axial length, along arrow A, remains constant when the stent 10 is radially collapsed and expanded along arrow R.

30 In essence, and with particular reference to FIGS 1-6, the stent 10 has the following basic configuration. A series of struts 20 having a wave-like contour with a series of troughs and crests extend circumferentially, along arrow C, at a location of

substantially constant radial distance away from a central axis 2. Gaps 60 are located between each pair of adjacent struts 20. The struts 20 provide circumferential segments for the stent 10. Axial segments in the form of tie bars 70 and angled links 80 span the gaps 60 and join adjacent struts 20 together. The tie bars 70 attach to adjacent struts 20 at attachment bends 50 in the struts 20 and on a trough side 52 of the attachment bends 50. The angled links 80, or optionally the straight links 90 (FIG 10) attach to adjacent struts 20 at attachment bends 50 on a crest side 54 of the attachment bends 50. In this way, radial expansion, along arrow R, does not cause contraction of an axial length, along arrow A, of the stent 10. Each gap 60 is either

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Preferably, the gaps 60 with tie bars 70 alternative with the gaps 60 spanned by the angled links 80 or straight links 90.

spanned only by tie bars 70 or spanned only by angled links 80 or straight links 90.

The wave-like contour of each strut 20 preferably is formed from a series of substantially straight legs 30 extending between free bends 40 and attachment bends 50. The free bends 40 do not have any tie bars 70, angled links 80 or straight links 90 coupled thereto. The attachment bends 50 have either a tie bar 70, angled link 80 or straight link 90 attached thereto. A thickness 36 of the legs 30 can be enhanced adjacent ends 32 of the legs 30 and a width 46 of the free bends 40 and a width 56 of the attachment bends 50 can be enhanced with respect to a thickness of the middle 34 of the legs 30, such that regions of potential fracture of the struts 20 are strengthened.

Because the stent 10 is formed from a shape memory Nickel-Titanium material the stent 10' has a radially expanded configuration (FIG 1) when the stent 10' is in a position corresponding to its shape memory and when the stent 10' is in an austenite phase. The stent 10 can be cooled below a transition temperature to undergo a phase change into a martensite phase and then be radially collapsed to its radially collapsed configuration (FIG 2) without losing its shape memory. Hence, when the stent 10' is heated above its transition temperature and converted back into its austenite phase it tends to return back to its radially expanded configuration (FIG 1) and exhibits a higher yield strength corresponding to its austenite phase.

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More specifically, and with particular reference to FIGS 3 and 6, details of the configuration of the struts 20 of the stent 10 are provided. Each strut 20 is preferably similar in size and shape to all of the other struts 20 of the stent 10. The struts 20 are integrally formed with other portions of the stent 10 from a shape memory material,

- 5 such as a Nickel-Titanium alloy, having the desired characteristics for surgical implantation into a body lumen. Specifically, known Nickel-Titanium alloys have an austenite phase with a high yield strength (195-690 MPa) and a martensite phase with a lower yield strength (70-140 MPa) with the material having a shape memory which causes the stent to return to its memorized austenite phase shape when the
- 10 temperature of the stent is above a transition temperature between the martensite phase and the austenite phase. The transition temperature is selected to be below typical body temperature so that the stent 10 can be easily contracted when cooled below the transition temperature but will be in its austenite phase once implanted and at body temperature.

Each strut 20 extends as a circumferential segment, along arrow C (FIGS 1 and 3-5), and has a wave-like pattern as the strut 20 circumscribes the cylindrical contour of the stent 10. The wave-like contour of the stent 20 is preferably uniform such that the strut 20 has a uniform amplitude 22 (FIG 6) and a uniform wavelength 24. This amplitude 22 and wavelength 24 are altered when a diameter of the stent 10 is modified.

Each strut 20 is preferably formed from a series of substantially linear legs 30. While the legs 30 are substantially linear, they may in fact curve slightly to match the cylindrical contour of the stent 10. The legs 30 have ends 32 where each leg 30 is attached to adjacent free bends 40 or attachment bends 50. Each leg 30 also has a middle 34 halfway between each of the ends 32. Each leg 30 has a thickness 36 which is measured in a direction substantially perpendicular to a direction that the leg 30 extends between the ends 32.

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In one form of this invention the struts 20 are formed from legs 30 which have a uniform thickness 36 (FIG 3). In a preferred form of this invention, however, the

struts 20 feature legs 30' (FIG 6) which have a thickness 36' which is variable. Specifically, the middle 34' of the legs 30' has a lesser thickness 36' than do the ends 32' of the legs 30'. When the struts 20 are radially expanded (along Arrow R of FIGS 1, 4 and 5) little stress is experienced by the legs 30 of the struts 20 at the middle 34.

5 In contrast, the ends 32 have a greater amount of stress because of the location of the adjacent free bends 40 and attachment bends 50. By enhancing the thickness 36' of the legs 30' so that the ends 32' have a greater thickness 36' than the middle 34' of the legs 30', the legs 30' are less susceptible to fracture resulting from the stress concentrations near the ends 32'.

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Each leg 30 preferably has a similar length to other legs 30 throughout the strut 20 and throughout the stent 10. The greater the length of the legs 30, the greater the amount of radial expansion possible by the stent 10. However, if the legs 30 are too long than they will cause struts 20 to abut adjacent struts 20 when radially collapsed (as shown in FIGS 1 and 7) and prevent complete radial contraction of the stent 10. Hence, the legs 30 preferably have a length substantially equal to one-half of the distance between adjacent struts 20 (measured trough to trough) to prevent abutting of the legs 30 of adjacent struts 20.

The free bends 40 are distinct from the attachment bends 50 in that the free bends 40 join two adjacent legs 30 together only, without any axial segments adjacent thereto connecting to adjacent struts 20. The attachment bends 50, in contrast, join two adjacent legs 30 together and also attach to either a tie bar 70, an angled link 80 or a straight link 90 (FIG 10) which spans an adjacent gap 60 and attaches to an adjacent strut 20. The free bends 40 include an inner radius 42 on an inside of the bend 40 and defining a trough and an outer radius 44 on an outside of the bend 40 and defining a crest.

The width 46 of the free bend 40 is defined as a distance between the inner radius 42 30 and the outer radius 44. The inner radius 42 and outer radius 44 are rounded sufficiently to minimize stress concentrations adjacent the free bends 40 so that the free bends 40 do not provide a preferred location for fracture of the struts 20 of the

stent 10. Additionally, an as shown in FIG 6, some free bends 40' can be provided with an enhanced width 46, especially when such free bends 40' are joining adjacent legs 30' having ends 32' of enhanced thickness 36'. With the width 46 provided with an enhanced size, the ability of the free bends 40' of the strut 20 to resist fracture is

5 enhanced. Alternatively, the free bends 40 can be provided with a width 46 substantially matching the thickness 36 of the legs 30.

The attachment bends 50 include a trough side 42 on an inside of each attachment bend 50 and a crest side 54 on an outer side of each attachment bend 50. A width 56 is defined as a distance between the trough side 52 and the crest side 54. As with the free bends 40, the attachment bends 50 can be provided with an enhanced width 56 which is greater than the width 46 of the legs 30 to enhance a strength of the struts 20 at the attachment bends 50. Alternatively, the attachment bends 50 can have a width 56 which matches a thickness 36 of the legs 30 (as shown in FIG 2).

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In FIG 6, some of the struts 20 (at a right side of FIG 6) exhibit attachment bends 50' which do not exhibit an enhanced width 56 but rather which narrow to a width less than the thickness 36 of the legs 30 adjacent to the attachment bend 50'. Hence, a width 56 between a trough side 52' and a crest side 54' is reduced. Such an arrangement for the attachment bend 50' provides another alternative arrangement for configuration of the attachment bends 50 within the struts 20.

As shown in FIG 7, the struts 20 can be radially collapsed to an extent where the legs 30 of the struts 20 are substantially parallel to each other and oriented axially, along arrow A. If the legs 30 are provided with enhanced thickness 36' adjacent the ends 32' (as shown in FIG 6), clearance exists for such enhanced thickness 36' within the leg 30 pattern displayed in FIG 7. This is partially because such thickness 36' enhancement adjacent the end 32' only occurs on a side of the legs 30' which transition into the outer radius 44 or crest side 54 and not the inner radius 42 or trough side 52. Hence, enhancing a thickness of the legs 30, at least a limited amount, does not restrict an ability of the struts 20 to radially collapse along with radial collapse of the stent 10.

Preferably, the stent 10 is chilled below a transition temperature such that the stent 10 is in a martensite phase when radially collapsed as shown in FIG 7. An austenite phase for the stent 10 can either be provided as shown in FIG 8 by reference numeral 10' or with a greater amount of radial expansion as shown in FIG 9 by reference

- 5 numeral 10". Even though the stent 10 shown in FIG 7 is radially collapsed and in a martensite phase, it has a shape memory corresponding to the stent 10' of FIG 8 or the stent 10" of FIG 9, depending on the configuration and shape memory provided to the stent 10.
- 10 Radial expansion of the stent 10 by a factor of over four times can be achieved in certain circumstances. For instance, stents with a circumference of 0.1256 inches can be radial expanded to have a circumferential length of 0.6189 inches and stents having a circumferential length of 0.1995 inches can be radially expanded to up to 0.8645 inches in circumferential length.

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With continuing reference to FIGS 3 and 6-10, details of the gaps 60 and axial segments such as tie bars 70, angled links 80 and straight links 90 are described. Preferably, each strut 20 is spaced from adjacent struts 20 by a gap 60. Each gap 60 does not have a constant width but rather has a width which oscillates between 20 minimums 62 and maximums 64. Each minimum 62 is defined as an axial distance between aligned crest sides 54 of attachment bends 50 or aligned outer radii 44 of free bends 40 of adjacent struts 20. Each maximum 64 is defined as an axial distance between axially aligned trough sides 52 of attachment bends 50 or inner radii 42 of free bends 40 of adjacent struts 20. Each gap 60 either has axial segments in the form of tie bars 70 attaching struts 20 adjacent the gap 60 together or has angled links 80 or straight links 90 spanning the gap 60 and joining adjacent struts 20 together. In gaps 60 which feature tie bars 70, the tie bars 70 join to attachment bends 50 of adjacent struts 20 at trough sides 52 of each attachment bend 50. Each tie bar 70 includes a first end 72 attached to the trough side 52 of one of the attachment bends 50 of one of the stents 20 and a second end 74 attached to a trough side 52 of an attachment bend 30 50 of the other strut 20.

Gaps 60 which feature angled links 80 or straight links 90 spanning said gaps 60 and attach to adjacent struts 20 at crest sides 54 of attachment bends 50 of adjacent struts 20. Each angled link 80 includes a first arm 82 and a second arm 84 attached together by an elbow 86. The first arm 82 attaches to the crest side 54 of the attachment bend 50 of one of the struts 20 and the second arm 84 of the angled link 80 attaches to the crest side 54 of the a

gap 60.

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Similarly, the straight link 90 (FIG 10) includes a first tip 92 attached to a crest side 54 10 of an attachment bend 50 of one of the struts 20 adjacent the gap 60 and a second tip 94 attached to the crest side 54 of the attachment bend 50 of the other strut 20 on an opposite side of the gap 60. The angled links 80 and straight links 90 essentially span the gap 60 at a minimum 62 in the gap 60. In contrast, the tie bars 70 span the gap 60 at maximums 64 of the gap 60. Hence, when the struts 20 are radially expanded and 15 their amplitude 22 is reduced and their wavelength 24 is increased, an average width of gaps 60 spanned by the tie bars 70 is increased slightly and an average width of the gap 60 spanned by the angled links 80 or straight links 90 is decreased slightly. A net result is that an overall axial length of the stent 10 between a front end 12 and a rear end 14 (FIG 3) remains constant regardless of the radial configuration of the 20 stent 10. The angled links 80 are configured to allow the first arm 82 and second arm 84 to flex somewhat about the elbow 86 to provide a degree of flexibility to the stent 10. When the stent 10 is collapsed and being inserted into a body lumen (FIG 7) angled links 80 are desirable to provide enhanced flexibility to the stent 10.

- 25 While FIGS 1-10 show the angled links 80 and straight links 90 offset circumferentially with respect to locations of the tie bar 70, an acceptable alternative arrangement is to have the angled links 80 or straight links 90 axially aligned along a common line with the tie bars 70.
- 30 With particular reference to FIGS 11 and 12, details of the formation of the stent 10 are disclosed. Initially, the stent 10 is in the form of a solid cylindrical tube of the appropriate shape memory material, such as a Nickel-Titanium alloy. Preferably, a

diameter of this original tube of material is intermediate between a desired collapsed configuration diameter and a desired expanded configuration diameter. The tube is then processed, such as by laser cutting, to remove material where it is not needed such that the tube is reduced to the stent 10 having the series of wave-like struts 20 circumferentially surrounding the cylindrical contour of the stent 10 and a series of axial segments such as tie bars 70, angled links 80 or straight links 90 (FIGS 1-10) joining the adjacent struts 20 together.

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The stent 10 is preferably then treated to modify its shape memory. Specifically, the
stent 10 is placed onto a mandrel M (FIG 11) by moving the stent 10 axially over a
taper T on the mandrel M with sufficient force that the stent 10' in radially expanded
configuration is entirely upon the mandrel M. The mandrel M is provided with a
diameter which matches a desired diameter for the radially expanded configuration
of the stent 10'. Once upon the mandrel M, the stent 10' still has a shape memory
corresponding to its diameter before it was placed upon the mandrel M. If the stent
10 were removed from the mandrel M, this shape memory would cause the stent 10 to return to its original diameter. To enhance the ease with which the stent 10 is
placed upon the mandrel M, the stent 10 can be cooled to below a transition
temperature so that the stent 10 is in a martensite phase with a lower yield strength.

To alter the shape memory of the stent 10', the stent 10' with mandrel M preferably still adjacent the stent 10' is subjected to heat treatment from a heat source H until the stent 10' has its temperature elevated up to at least 300°C. Preferably, the stent 10' has its temperature elevated up to a range of between 500°C and 800°C. The stent 10' is beneficially held at this elevated temperature for an amount of time necessary for the stent 10' to have its shape memory "erased" and to take on a new shape memory corresponding to the diameter of the mandrel M. The stent 10' can then be cooled and removed from the mandrel M. Once removed from the mandrel M, the stent 10' now has its shape memory corresponding to the diameter of the mandrel M and hence will not contract back to its original shape. The shape memory for the stent 10' is now configured as desired.

With respect to FIGS 13-17, details in the steps involved in radially collapsing the stent 10' down to a collapsed stent 10 for implantation within a body lumen is described. Initially, the stent 10' is cooled such as by placing the stent 10' within a liquid coolant L (FIG 13). The stent 10' transitions into a martensite phase where it can be easily manipulated such as by radially collapsing the stent 10' by forcing the stent 10' through a reduction die R while at the reduced temperature and in the martensite phase. The liquid L within the enclosure E must have a temperature below a transition temperature which causes the stent 10 to change from its austenite phase to its martensite phase. Once the stent 10 has been radially collapsed to its

10 reduced diameter configuration (see also FIG 7) the stent 10 now has a diameter which facilitates more convenient location within a body lumen. The stent 10 maintains its shape memory corresponding to the diameter of the mandrel M when the stent 10' was radially expanded onto the mandrel M and experienced its heat treatment.

The stent 10 is then placed upon a balloon catheter B with a catheter probe P extending from one end thereof and with a sleeve S overlying the stent 10 (FIG 14). Preferably, the balloon catheter B is coupled to a compressed gas source G through a valve B and the sleeve S is attached to a sleeve retraction device D. Preferably, the stent 10 remains at a temperature below its transition temperature and in a martensite phase for as long as possible before implantation into the body lumen. The assembly of stent 10, balloon catheter B and sleeve S are then passed together through the desired body lumen pathways until the assembly is located at the position where implantation of the stent 10 is desired.

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(FIG 15). Once the sleeve S has been removed, gas from the compressed gas source G is passed through the valve V and into the balloon catheter B, causing the balloon catheter B to expand and causing the stent 10 to radially expand into the radially expanded stent 10'. The stent 10' will then be in physical contact with an inner surface I of the lumen wall W. The shape memory of the stent 10' may cause the stent 10' to expand radially without requiring inflation of the balloon catheter B. However,

The sleeve retraction device D is utilized to remove the sleeve S from the stent 10

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expansion of the balloon catheter B assists the stent 10' in effectively converting to its shape memory diameter adjacent the lumen wall W. The balloon catheter B can then be removed and the stent 10' remains within the lumen adjacent the inner surface I of the lumen wall W.

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Because the stent 10 has its transition temperature below normal body temperature, the stent 10 will be in its austenite phase and have enhanced yield strength. Hence, radial forces such as blows to the patient adjacent where the stent 10' has been implanted can be resiliently received by the stent 10' without permanent deformation of the stent 10'. A stronger and more elastic support results with the shape memory

10 of the stent 10'. A stronger and more elastic support results with the shape memory Nickel-Titanium stent 10' than is provided by stents of other non-shape memory materials.

Moreover, having thus described the invention is should be apparent that various modifications to this invention could be resorted to without departing from the scope of this invention. This detailed description of the preferred embodiments of this invention is provided to enable one skilled in the art to practice this invention and to disclose a best mode for practicing this invention but is not intended to limit in any way the scope of the claims to this invention.

THE CLAIMS DEFINING THE INVENTION ARE AS FOLLOWS

A method for forming a radially expandable surgical stent from a shape
 memory and/or superelastic nickel-titanium alloy such that said stent has a radially
 expanded configuration when said stent is unstressed and said stent is in an
 austenite phase and when said stent is at or near body temperature, the method
 including the steps of:

cutting a cylindrical tube of nickel-titanium alloy material, the cylindrical tube
 of material having a diameter intermediate between a desired radially expanded
 diameter and a desired radially contracted diameter,

removing material from the cylindrical tube such that only a plurality of wave-like circumferential segments circumscribing a cylindrical contour of the stent and a plurality of axial segments spanning gaps between adjacent circumferential

15 segments remain on the stent;

forcing the stent onto a tapering mandrel, the tapering mandrel having a lesser diameter end with a diameter similar to a diameter of the stent and a greater diameter end with a diameter similar to the desired radially expanded diameter for the stent until the stent is expanded to the desired radially expanded diameter and is located upon the mandrel;

heating the stent while the stent is on the mandrel to a temperature of at least 300°C;

holding the stent at a temperature of at least 300°C until a shape memory for the stent has changed to a diameter corresponding to the diameter of the mandrel;

removing the stent from the mandrel;

cooling the stent to below a transformation temperature such that the stent enters a martensite phase; and

applying a radially contracting force to the stent until the stent is radially contracted to the desired radially contracted diameter.

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2.

A method as in claim 1 wherein the temperature is at least 350°C.

3. A method of treating a patient when using a stent produced by the method of claim 1 the treatment method including the steps of placing a flexible tubular sheath over an outer surface of the stent when the stent is in the martensite phase and is at the desired radially contracted diameter, the sleeve being flexible but resisting radial

5 expansion;

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locating an expandable balloon catheter within an interior of the stent;

locating the sleeve, stent and balloon catheter within a body lumen at a site where implantation of the stent is desired;

removing the sheath from the outer surface of the stent;

inflating the balloon catheter until the stent has expanded to the radially expanded diameter,

removing the balloon catheter from the interior of the stent and out of the body lumen; and

allowing the stent to transition to the austenite phase while the stent is in the desired radially expanded diameter.

4. A radially expandable surgical stent formed of a shape memory and/or superelastic nickel-titanium alloy, by the method of claim 1, the stent comprising in combination:

a substantially cylindrical radially collapsed configuration when said stent is at a first temperature corresponding to a martensite phase for the alloy;

a substantially cylindrical radially expanded configuration when said stent is at a second temperature corresponding to an austenite phase for the alloy;

said second temperature higher than said first temperature,

said stent having a larger diameter when said stent is in said radially expanded configuration than when said stent is in said radially collapsed configuration; and

said collapsed configuration having a diameter less than one-third an amount of said larger diameter when said stent is in said radially expanded configuration.

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5. The shape memory stent of claim 4, wherein said collapsed configuration has a diameter less than one-fourth of an amount of said larger diameter when said stent is in said radially expanded configuration.

5 6. The shape memory stent of claim 4 or claim 5, wherein said stent is formed from a plurality of wave-like circumferential segments with a plurality of substantially linear legs extending between bends in said circumferential segments, said legs having ends adjacent said bends and a middle between said ends, at least one of said legs having a middle with a thickness less than a thickness of said at least 10 one leg at said ends of said at least one leg, such that said at least one leg exhibits greater strength adjacent said ends.

7. The shape memory stent of any one of claims 4 to 6, wherein said stent includes means to flex when in said collapsed configuration, said flexing means including said stent formed from a plurality of wave-like circumferential segments with gaps between adjacent said circumferential segments, at least one of said gaps having at least one axial segment spanning said at least one gap, said at least one axial element including means to bend and allow a width of said at least one gap to be modified, allowing said stent to flex.

8. The shape memory stent of claim 4, wherein said stent includes a plurality of wave-like circumferential segments including a series of substantially linear legs joined together at bends in each said circumferential segment, each said bend defining either a trough or a crest in each said circumferential segment, said trough defining a portion of said circumferential segment where said circumferential segment is more distant from adjacent circumferential segments than other portions of said circumferential segment, said circumferential segment where said circumferential segment where said circumferential segment segment where said circumferential segment is not portions of said circumferential segment is closer to adjacent said circumferential segments than other portions of said circumferential segments than other portions of said circumferential segment.

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- 9. The shape memory stent of claim 8, wherein said collapsed configuration of said stent results in said legs of said circumferential segments being oriented substantially parallel to each other in a substantially axial direction.
- 5 10. The shape memory stent of claim 8 or claim 9, wherein said stent has gaps between adjacent said circumferential segments, at least one of said gaps spanned by axial segments joined to adjacent said circumferential segments at said troughs of each adjacent said circumferential segment.
- 10 11. A radially expandable surgical stent produced by the method of claim 1 or claim 2.

Dated this 29th day of July, 2003.

15 COOK INCORPORATED By its Patent Attorneys <u>MADDERNS</u>

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