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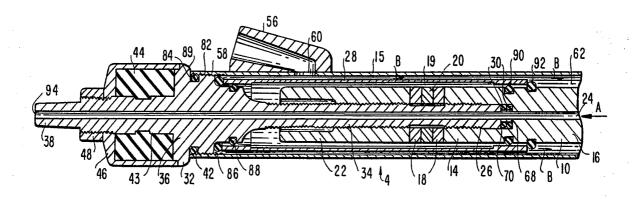
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(54) Title: IMPROVED PHACOEMULSIFICATION HANDPIECE



(57) Abstract

A surgical device is provided which emulsifies body tissue, irrigates the surgical site with a saline solution, and removes fluids and tissue, via aspiration from the surgical site. More specifically, a surgical handpiece (4) is provided which is particularly suited to be utilized for the removal of cataractous tissue from the eye. In order to prevent cross-contamination of tissue, the surgical device herein incorporates an easily detachable and disposable outer housing (15) to facilitate thorough cleansing of the irrigation solution path and reduce the time and effort required for such cleaning. Moreover, a secure sealing system (42) is provided in the area of the interface between the inner body portion of the handpiece and a stainless steel shell to prevent leakage and contamination of the irrigating solution.

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IMPROVED PHACOEMULSIFICATION HANDPIECE Field of the Invention

The present invention pertains to cutting instruments used in surgery and, more specifically, to cutting instruments containing irrigation and aspiration features for the purpose of removal of cataractous and/or other tissue from the eye during surgery.

Background of the Invention

Handpieces which incorporate cutting,

10 aspirating and irrigating features are commonly used by ophthalmic surgeons during surgical operations on the eye. Because ocular surgery often involves cutting away or emulsifying unwanted tissues, as is the case in cataract surgery, the need often arises to remove tissues or fluids from the eye. To prevent damage to the eye tissue, there is also a need to irrigate the surgical site.

A number of attempts have been made to produce handpieces capable of effectively cutting, irrigating and 20 aspirating the ocular surgical site. These instruments typically have an elongated cylindrical probe defining a cutter at their distal end, which may be inserted into the eye through an incision in the sclera. The delicate nature of ocular surgery requires that these probes be 25 very narrow. The cutting tip of the probe is made to oscillate by means of a piezoelectric or magnarestrictive motor situated near the proximal end of the handpiece. The proximal portion of the handpiece, which must house a motor and drive mechanism, and which must be designed so as to be easily manipulated by the surgeon, is 30 necessarily much thicker than the probe section. difference in sizes causes the girth of the handpiece to severely narrow at a point approximately three fourths of the distance from the proximal end to the distal end of the instrument. 35

In order to transmit an irrigating saline solution to the probe, handpieces found in the prior art

were equipped with a narrow groove cut through the solid metal outer body of the instrument. A similar groove was cut for the aspiration of fluids away from the surgical site. Due to the significant narrowing of the handpiece structure, these grooves followed a severely angular pathway through the handpiece.

A number of significant problems have been experienced with prior art handpieces. For example, the oscillating nature of the cutting tip causes cavitation of the saline solution, forming bubbles which are flushed into the eye by the flow of saline solution through the irrigation pathway. Because such bubbles impede a surgeon's view of the surgical site, they interfere with and slow down surgical procedures. Second, pulsating action, caused by the pump which flushes saline solution into the eye on the aspiration side of the handpiece, causes the eyeball to flutter during surgery and also interferes with surgical procedures.

Most importantly, the angular nature of both
the irrigation pathway and the aspiration pathway makes
thorough cleaning of the handpiece extremely difficult if
not impossible. Thorough cleaning has now become a
critical issue in light of contemplated government
regulations which deal strictly with the prevention of
cross tissue contamination.

In the first phase of a project by the present applicant to enhance the efficacy of prior art handpieces ("Phase I"), two significant improvements were achieved. First, the aspiration pathway was run straight through the center of the handpiece eliminating the cleaning problem caused by this formerly angular pathway. The new straight pathway can be simply cleaned by means of a straight brush or wire.

Second, rather than cutting a groove in the

35 solid metal body or adding a tube on the outside of the
piezoelectric motor or of the handpiece so as to create a
pathway for irrigating saline solution, the present

applicant created an outer body housing, or shell, fitting around the motor, drive mechanism, aspiration pathway and horn, such that irrigating solution could be introduced through a Luer fitting into an annular cavity between the housing and the titanium horn. This system creates a reservoir of significant volume near the site of cavitation, and thus, bubbles created by cavitation tend to migrate towards the top of the handpiece rather than being flushed out into the eye.

Though significant, the Phase I improvements did not solve the problem of how to thoroughly cleanse the irrigation pathway which, though altered from the prior art, remained angular and thus difficult to clean.

Further, in the Phase I improvement, cable

15 connections were provided between the irrigating solution source and the irrigation pathway and the vacuum (aspiration source) and the aspiration pathway. As the handpiece is moved during surgical procedures, these cable connections may impede the surgeon's line of sight to the surgical site.

Objects Of The Invention

It is thus a primary object of the present invention to provide an improved phacoemulsification handpiece device which overcomes the disadvantages of the prior art.

It is another object of the present invention to improve applicant's Phase I invention by incorporating an easily detachable outer body housing to facilitate thorough cleansing of the irrigation solution path.

It is a further object of the present invention to provide a secure sealing system in the area of the interface between the outer body housing and the stainless steel shell to prevent leakage and contamination of the irrigating solution.

Yet another object of the present invention is to provide a phacoemulsification device which includes an economically disposable outer body housing unit to reduce

the time and effort required for thorough cleansing of the handpiece.

It is yet a further object of the patent invention to provide a phacoemulsification handpiece device which includes a reservoir for the irrigating solution which effectively eliminates bubbles and pulsations in the eye.

It is a still further object of the present invention to provide a phacoemulsification handpiece

10 which does not impede the surgeon's line of sight to the surgical site.

Various other objects and advantages of the present invention will become apparent to those persons of ordinary skill in the art from the foregoing description taken in conjunction with the accompanying drawings.

Summary of the Invention

The current invention is a surgical device which emulsifies body tissue, irrigates the surgical site with a saline solution, and removes fluids and tissue, via aspiration, from the surgical site. This instrument is particularly suited to be utilized as a phacoemulsification device for the removal of cataracts (cataractous tissue) from the eye.

The device is generally cylindrical, tapering to a narrow width at the bottom of the instrument. The device consists of two primary structural components: the outer body housing, or shell, and the inner body. Like the shell, the inner body is cylindrical and tapers to the bottom.

The inner body is generally solid and is primarily comprised of a horn, a heel, a core, and a piezoelectric motor. At the top of the handpiece is the core, a cylindrical object, flared near its top, which serves, in part, to form a seal with the shell and also holds the horn, heel, piezoelectric crystals and electrodes together. The core is made from a rigid

material such as stainless steel or other metal.

Surrounding the core's narrow bottom is the heel, also made of rigid material such as titanium. The heel serves to add structural support to the device and to partially house the piezoelectric motor. Below the heel is the piezoelectric motor, consisting of two rings of piezo crystals sandwiching a ring-shaped electrode. The crystal rings and the electrode encircle a portion of the core directly below the heel. Below the piezoelectric motor is the horn, also made from titanium or other rigid metal. The horn surrounds the bottom-most portion of the core and continues downward, narrowing near the bottom of the device.

A disposable "phaco needle," the cutting and
emulsifying tip of the instrument, is attached at the
bottom of the horn. The phaco needle is made to vibrate
by the action of the piezoelectric motor. A narrow shaft
of generally constant width extends along the radial axis
of the instrument and through the needle to provide an
aspiration pathway.

The outer-body housing, or shell, is a thin-walled hollow cylinder which surrounds the inner body. The shell follows the same general contour as the outside of the inner-body, and is made from a rigid material such as metal or plastic. The inside top portion of the shell is threaded and can be screwed onto corresponding threads located on the outside of the flared portion of the core. A series of rubber O-rings act as seals at this connecting point.

A Luer fitting is mounted near the top of the shell. An aperture in the side of the shell allows saline solution to be pulled through the Luer fitting into the chamber created by the inner walls of the shell and the outer walls of the inner body. Water can flow down between the shell and the inner body of the instrument, through holes cut in a support ring, and into a disposable, silicone sleeve located at the bottom of

the instrument. This irrigation pathway also provides for a self-cooling of the core, especially the crystal rings and the electrode of the piezoelectric motor. The sleeve funnels saline solution through small apertures near the vibrating tip of the phaco-needle, causing the surgical site to be irrigated. A peristaltic pump is attached at the top of the instrument, drawing a partial vacuum through the aspiration pathway to evacuate fluid and tissue from the surgical site.

The present phacoemulsification handpiece device also provides the surgeon with an unimpeded line of sight to the surgical site. By simply rotating the outer shell with respect to the core by virtue of corresponding interior and exterior threads, the surgeon can orient the handpiece such that the cable connections to the irrigations and aspirations pathway do not impede the surgeon's line of sight.

During surgery, body tissue sometimes migrates into the irrigation reservoir of the handpiece, thereby contaminating it. However, the present invention allows the outer body housing of the handpiece to be easily detached. This provides the ability to quickly and thoroughly clean the handpiece.

Another advantage of the present invention is
that the outer body housing can be made from an
economically disposable plastic or similar material,
completely obviating the need to even clean the housing.

Brief Description Of The Drawings

The foregoing features and advantages of the invention are explained in greater detail in the preferred embodiment and in the description of the accompanying drawings, in which:

Figure 1 is a front elevational view of a phacoemulsification handpiece device in accordance with a preferred embodiment of the present invention.

Figure 2 is a longitudinal cross-sectional view of the rear section of the phacoemulsification handpiece device taken along line 2-2 of Figure 1.

Figure 3 is a longitudinal cross-sectional view of the front section of the phacoemulsification handpiece device taken along line 3-3 of Figure 1.

Figure 4 is a front perspective view of the phacoemulsification handpiece device of Figure 1 specifically illustrating the shell separated from the body of the device.

Detailed Description Of The Preferred Embodiment

Referring now to the Figures 1 through 4, wherein like reference numerals designate like parts in the several views, a phacoemulsification handpiece device 4 for the removal of cataractous and/or other tissue from the eye in accordance with the present invention is provided. As is shown in Figures 2 through 4, the central portion of the handpiece 4 of the present invention is an elongated, generally cylindrical shaft 20 otherwise known as the horn 10. The horn extends from a point near the center of the handpiece 4 to the bottom (distal) end 11 of the handpiece 4. At a point near its bottom, the outer radius of the horn 10 rapidly decreases to a narrow, constant width. This region is defined by the horn stem 12. The inner radii of the horn stem 12 25 and horn body 16 (the region between the upper horn 14 and the horn stem 12), defines the lower portion of an aspiration pathway 24 and has a constant diameter throughout. Since the horn decreases in diameter to the 30 horn stem 12, the surgeon's line of sight into the surgical site is enhanced.

Integral with the horn 10 are two narrow rings containing piezo crystals 18. An electrode 20 is sandwiched between the two piezo crystal rings 18 such that it achieves physical contact with the rings 18. In combination, the crystal rings 18 and electrode 20 form a piezoelectric motor 19. Current for the piezoelectric

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motor is provided by an external source (not shown). Extending above the top of the piezoelectric motor 19 is an elongated ring, or tube, preferably made from titanium, forming the heel 22.

Surrounding the upper horn 14, the two sets of piezo crystals 18, the electrode 20 and the heel 22 is a horn cover 28. The horn cover 28 is preferably made of stainless steel or a rigid polymer, such as delrin, and functions to encase and insulate the electrical

10 components contained therein. As will be explained in more detail below, the horn cover 28 also serves an important role in the sealing of the handpiece 4.

The inner radii of the horn stem 12, the horn body 16 and a core 32 define a straight and unobstructed pathway 24 for aspiration flow. A vacuum is drawn in the direction of arrows "A" in Figure 1 through this pathway 24 to remove fluids and tissues from the body.

The core 32, is a generally cylindrical member, which, at its bottom half, matingly fits and screwed

20 inside a sheath 26 created by the open central portion of the upper horn 14. Thus, the core 32 holds the upper horn 14, heel 22, crystal rings 18 and electrode 20 together. Two relatively small 0-rings 30 fit over a small extension 68 of the core 32. These rings 30 are

25 radially centered about the longitudinal axis of the handpiece and abut a shoulder section 70 which constitutes a stepped surface down to the lower diameter of the extension 68. The 0-rings 30 limit direct metalto-metal contact between the core 32 and the horn 10 and serve to absorb vibrational shock and prevent damage to the metallic parts.

The upper end of the core 32 consists of two functional regions, a middle core 36 and an upper core stem 38. The outer radius of the middle core 36 increases in a stepped fashion to accommodate fittings with a shell 15, the horn cover 28 and 0-rings 42. A notch 43 (see Fig. 2) is cut into the upper core stem 38

to accommodate a ring of electrical insulation 44 which acts to insulate the user from any possible electric shock emanating from the crystal rings 18 and electrode 20. The electrical insulation 44 is also used for electrical cable connection. A cap 46 is fit over the electrical insulation 44 and mates with the middle core 36. A cap lock 48 retains the cap in it preferred position, as shown in Figure 2.

The shell 15, which is a tubular outer body 10 housing, encases the horn stem 12, horn body 16, crystal rings 19 and electrode 22. A Luer fitting 56 is mounted on the shell 15 towards the top of the handpiece 4. fitting 56 provides communication between the outside of the handpiece 4 and an irrigation reservoir or pathway 62 15 through an aperture 60 cut in the shell 15. The shell 15 and Luer fitting 56 are made from a rigid material, preferably a disposable material, such as plastic. The aperture 60 allows an irrigating solution to pass into the irrigation reservoir 62 which is defined by a cavity located between the shell 15 and the horn cover 28, the sheath 26, the horn body 16 and the horn stem 12. irrigating fluid passes through the irrigating reservoir 62 in the direction of arrows "B" in Figure 2 and 3. Since the irrigating solution passes over the horn, including the crystal rings 18 and the electrode 20 of 25 the piezoelectric motor, the irrigation pathway assembly provides for a self-cooling of the phacoemulsification handpiece.

A centering ring 66, preferably made of teflon if the body 16 is formed of a metal, is attached around the horn body 16 and provides an offset from the horn body 16 to maintain the shell in a centered, concentric position in relation to the aspiration pathway 94. The outer periphery of the centering ring is ribbed (not shown) to provide a standoff from the horn body, yet still allowing the flow of liquid through the irrigation reservoir 62.

A disposable sleeve 50, which is preferably formed of silicone and thus biologically inert, fits over the bottom, narrowed portion of the shell 15. The sleeve 50 has an upper lip 72 and a lower shoulder 74 which 5 respectively fit into a circumferential notch 76 and around a ridge 78. The sleeve 50 surrounds a disposable surgical ("phaco") needle 52 which acts as the cutting implement of the handpiece 4. The disposable phaco needle 52 widens at its base to fit snugly in a receptive axial bore 80 at the bottom end of the horn stem 12. sleeve 50 projects downwardly from the bottom of the shell 15 surrounding the majority of the phaco needle 52. Ultimately, the irrigating fluid exits the irrigation reservoir 62 from one or more ports (not shown) in the 15 sleeve 50 to irrigate the tissue.

The shell 15 also contains interior threads 58 which mate with corresponding threads 82 located at the exterior upper end of the middle core. A first 0-ring 42 located in a groove 84 in the core 32, acts between the 20 shell 15 and the core 32 to form a first seal. A cushion 86 and a second O-ring 88 act to form a seal between the cover 28 and core 32 to prevent ingress of the irrigation solution to the piezoelectric motor area. A third O-ring 90 and a lock ring 92 are provided for the same purpose 25 and act in the same manner as the cushion 86 and second O-ring 88, but at the opposite end of the sheath 26.

In accordance with one of the general objects of the present invention, the present phacoemulsification handpiece device also provides the surgeon with an 30 unimpeded sightline to the surgical site. As aforementioned, during use, the interior threads 58 of the shell 15 are engaged with corresponding threads 82 on the exterior of the middle core 36 of the horn 10 until the shell is retarded from further lateral movement by 35 the shoulder section 89 of the middle core 36. however, the surgeon's sightline is impeded by the cable connections to the aspiration or irrigation pathways or

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the electrical connection to the piezoelectric motor, the threads are of a sufficient length such that the surgeon can rotate the outer shell relative to the core section such that the shell is rotated in any angular position up to a 180° orientation to provide a clear unimpeded sightline for the surgeon to the surgical site.

In accordance with another object of the present invention, the shell 15 may be detached from the horn 10 for cleaning (see Fig. 4). In order to separate the shell 15 from the horn 10, the interior threads 58 of the shell 15 are disengaged from the threads 82 of the middle core section of the horn. The various O-ring seals permit this cleaning without running the risk of damage to the crystal rings 18 and electrode 20. The ability to remove the shell 15 and clean the irrigating solution reservoir 62 allows the complete decontamination of the reservoir 62 on both its inner and outer surfaces. In fact, if desired, the shell 15 can be made of a disposable plastic or other similar material to obviate the need for its cleaning.

In use, the handpiece 4 is initially connected to an external source of an irrigating (usually saline) solution. The source of irrigating solution is connected to the irrigation reservoir 62 via the Luer fitting 56.

The solution then travels through the handpiece 4 in the manner set forth by arrows "B" in Figures 2 and 3, essentially between the shell 15 and the cover 28 for the horn 10. The solution flows out through the terminal end of the sleeve 50 to irrigate the surgical work surface

30 and flush away extraneous matter.

Similarly, the handpiece 4 is connected to an external vacuum (aspiration) source at the upper core stem 38. The vacuum acts by way of a longitudinal pathway 94 through the central axis of the handpiece 4, including the needle 52. As the needle cuts tissue and the like, at least some of the matter is drawn through

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the longitudinal pathway 94 to keep the surgeon's work area clear.

The application of current from a source (not shown) to the electrode ring 20 causes the piezo crystals 18 to vibrate. This vibration, in turn, causes the horn 10, heel 22 and correspondingly the attached needle 52, to vibrate. The vibration is isolated from the shell 15 by the construction of the core 32 as a separate piece from the horn 10. It is further isolated by the damping effect of 0-rings 30.

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In accordance with FDA regulations to prevent cross contamination, the handpiece 4 must be cleaned prior to re-use. The present invention permits the removal of the shell 15 to provide direct access to the irrigation reservoir 62 for cleaning. The shell 15 is simply unscrewed from threads 58 of the core 32. The shell 15 is then either thrown away or cleaned and screwed back into place. The longitudinal (aspiration) pathway 94 of the handpiece can be advantageously cleaned by using a straight brush (not shown) since the pathway 94 is itself straight. The needle 52 and the sleeve 15 are simply discarded and replaced with identical pieces.

While the present invention has been particularly shown and described with reference to certain preferred embodiments, it will be readily apparent to those of ordinary skill in the art that various changes and modifications may be made therein without departing from the spirit and scope of the invention. It is intended that the appended claims be interpreted as including the foregoing as well as various other such changes and modifications.

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WHAT IS CLAIMED IS:

- 1. A surgical apparatus providing for aspiration and irrigation during surgery of body tissue comprising: cutting means for cutting body tissue; drive means, connected to said cutting means, for providing power to said cutting means; an irrigation pathway for facilitating the flow of fluid to irrigate the area to be cut by said cutting means;
- an aspiration pathway for facilitating the application of vacuum to an area to be cut by said cutting means; and
 - a removable outer shell, defining a portion of said irrigation pathway and facilitating the cleaning of said pathway.
 - 2. An apparatus according to claim 1, wherein said removable outer shell is disposable.
- 3. An apparatus according to claim 2, wherein said removable outer shell is formed of a plastic or plastic20 like material.
 - 4. An apparatus according to claim 1, wherein said removable outer shell is formed of stainless steel.
- 5. An apparatus according to claim 1, wherein said outer shell is threaded to mate with a horn member,25 wherein said core member defines a portion of said aspiration pathway.
 - 6. An apparatus according to claim 5, wherein said outer shell abuts an 0-ring when it is threaded onto said horn member, such that a liquid tight seal is formed.
- 30 7. An apparatus according to claim 6, wherein said outer shell is disposable.
 - 8. An apparatus according to claim 1, wherein fluid flows into said irrigation pathway through a Luer fitting which is associated with said outer shell.
- 9. An apparatus according to claim 8, wherein said outer shell is disposable.

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- 10. An apparatus according to claim 9, wherein said outer shell is plastic.
- 11. A phacoemulsification handpiece device for cutting body tissue from a surgical site and providing for aspiration and irrigation during surgery, said phacoemulsification device comprising:

cutting means for cutting body tissue;
a horn member including drive means, connected
to said cutting means, for providing power
to said cutting means, and an aspiration
pathway for facilitating the application
of vacuum to the surgical site; and
an outer shell covering said horn member with
the space between said outer shell and
said horn member defining an irrigating
pathway which allows irrigating fluid to
flow to said surgical site, said outer
shell being removable from said horn
member to facilitate the cleaning of said
irrigation pathway.

- 12. The phacoemulsification handpiece device of claim 11 wherein said drive means includes an electrode being sandwiched between a pair of piezo crystal rings thereby forming a piezoelectric motor.
- 25 13. The phacoemulsification handpiece device of claim 12 wherein said irrigation pathway surrounds said horn members and said piezo crystal rings to provide for self-cooling of the horn member.
- 14. The phacoemulsification handpiece device of 30 claim 11 wherein said outer shell is disposable.
 - 15. The phacoemulsification handpiece device of claim 14 wherein said outer shell is formed of a plastic or plastic-like material.
- 16. The phacoemulsification handpiece device of 35 claim 11 wherein said cutter means is a disposable phaco needle extending outwardly from said horn member and

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supported by a disposable silicone sleeve member fitting over said horn member.

- 17. The phacoemulsification handpiece device of claim 16 wherein said horn member narrows in diameter toward an end thereof from which said needle extends to thereby enhance the sightline of the user to the surgical site.
- 18. The phacoemulsification handpiece device of claim 11 wherein said aspiration pathway is provided
 10 straight through said horn member to facilitate cleaning thereof.
 - 19. A phacoemulsification handpiece device for cutting body tissue from a surgical site and providing for aspiration and irrigation during surgery, said phacoemulsification device comprising:

cutting means for cutting body tissue;
a horn member including drive means, connected
to said cutting means, for providing power
to said cutting means, and an aspiration
pathway for facilitating the application
of vacuum to the surgical site;

an outer shell covering said horn member with
the space between said outer shell and
said horn member defining an irrigation
pathway which allows irrigating fluid to
flow to said surgical site; and

said horn member and said outer shell including means for rotating said outer shell relative to said horn member in any angular position in a 180° orientation such that the sight lines of the surgeon to the surgical site are unimpeded.

20. The phacoemulsification handpiece device of claim 19 wherein said rotating means includes interior threads on said outer shell matingly engagable with exterior threads on said horn member which are of a sufficient length such that when engaged the user can

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rotate said outer shell relative to said horn member such that said outer shell can be rotated in any angular position in a 180° orientation.

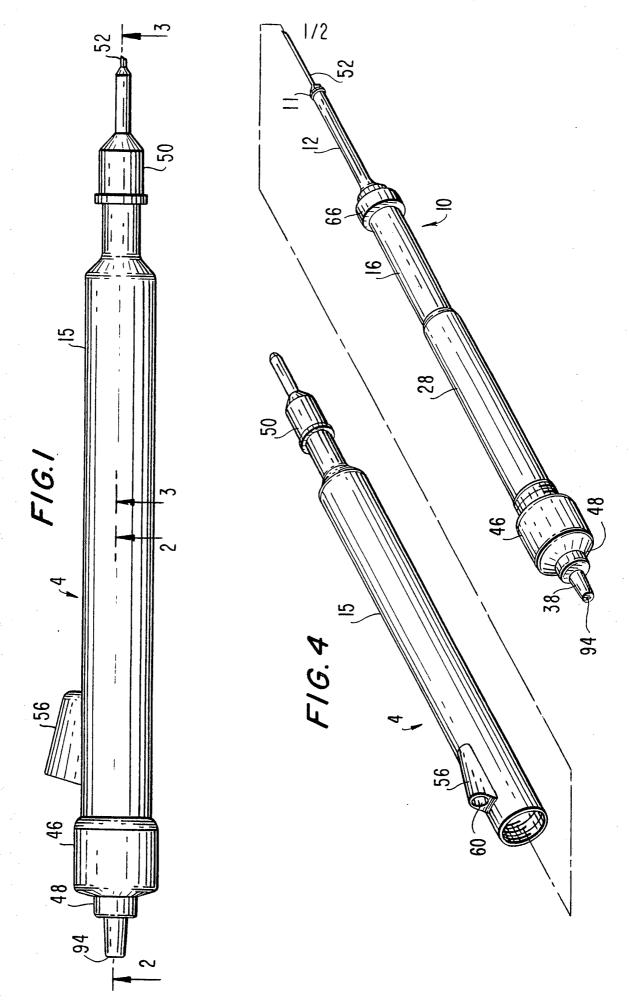
21. A phacoemulsification handpiece device for cutting body tissue from a surgical site and providing for aspiration and irrigation during surgery, said phacoemulsification device comprising:

cutting means for cutting the body tissue;
a horn member including drive means, connected
to said cutting means, for providing power
to said cutting means, and an aspiration
pathway for facilitating the application
of vacuum to the surgical site;

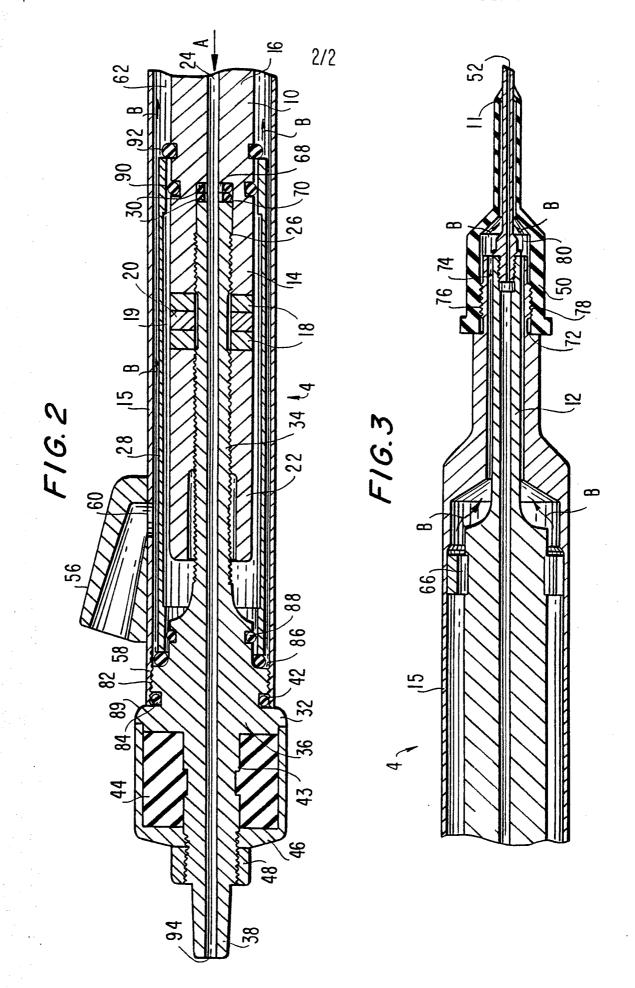
a removable outer shell covering said horn
member with the space between said outer
shell and said horn member defining an
irrigation pathway which allows irrigating
fluid to flow to said surgical site; and

means for maintaining said outer shell in a centered, concentric position in relation to said aspiration pathway, said maintaining means being attached around said horn member.

- 22. The phacoemulsification handpiece device of claim 21 wherein said maintaining means is a centering ring extending into the irrigation pathway thereby providing an offset of said horn body with respect to said outer shell.
- 23. The phacoemulsification handpiece device of 30 claim 22 wherein the outer periphery of said centering ring is ribbed to allow the flow of irrigating fluid through said irrigation pathway.



SUBSTITUTE SHEET



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C. DOC	CUMENTS CONSIDERED TO BE RELEVANT					
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