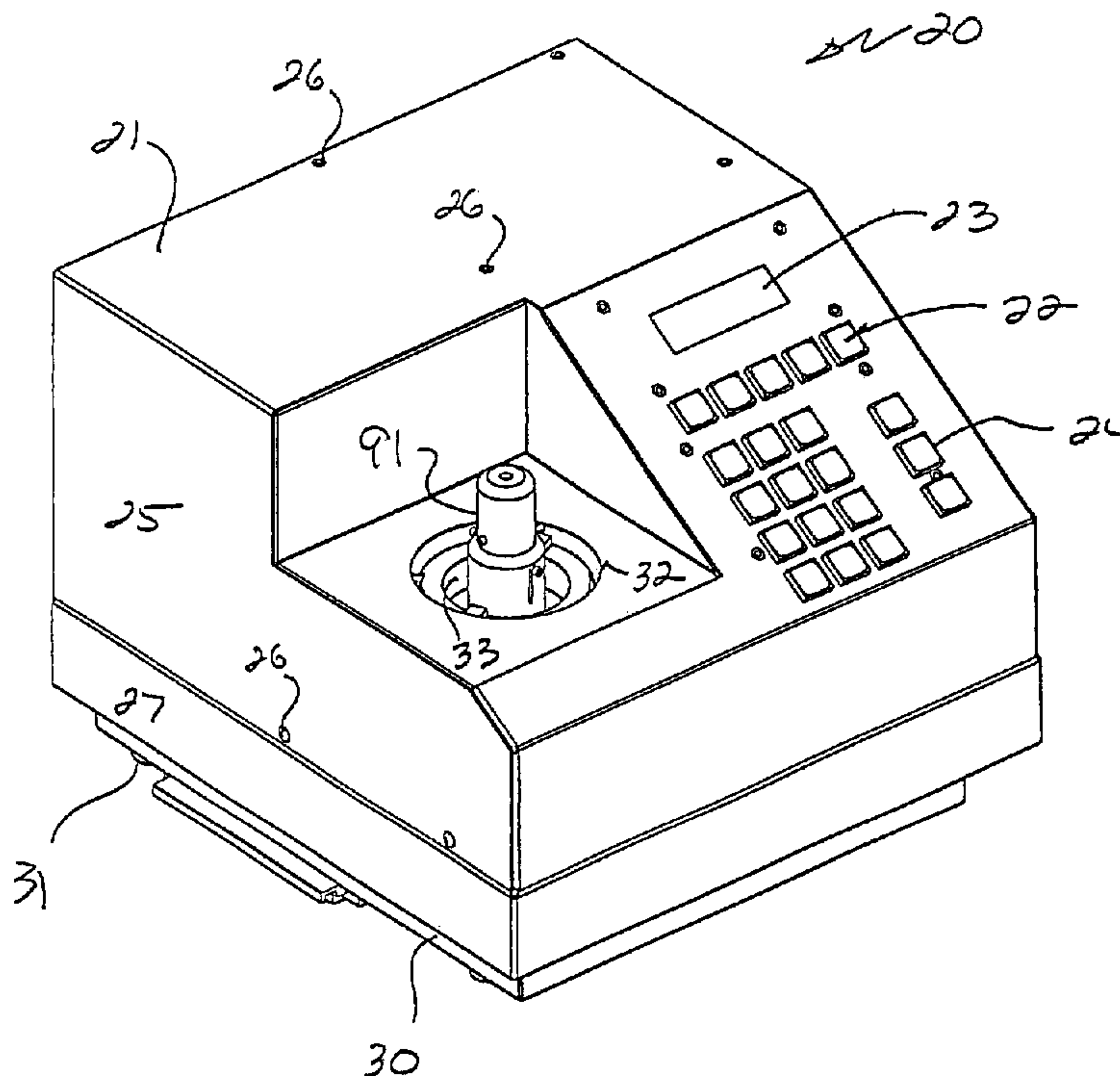




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 (54) Title: MICROWAVE-ASSISTED CHEMICAL SYNTHESIS INSTRUMENT WITH FIXED TUNING



(57) Abrégé/Abstract:

An instrument is disclosed for microwave-assisted chemical processes that avoids tuning discrepancies that otherwise result based upon the materials being heated. The instrument includes a source of microwave radiation, a waveguide in communication with the source, with at least a portion of the waveguide forming a cylindrical arc, a cylindrical cavity immediately surrounded by the cylindrical arc portions of the waveguide, and at least three slotted openings in the circumference of the circular waveguide that provide microwave communication between the waveguide and the cavity.

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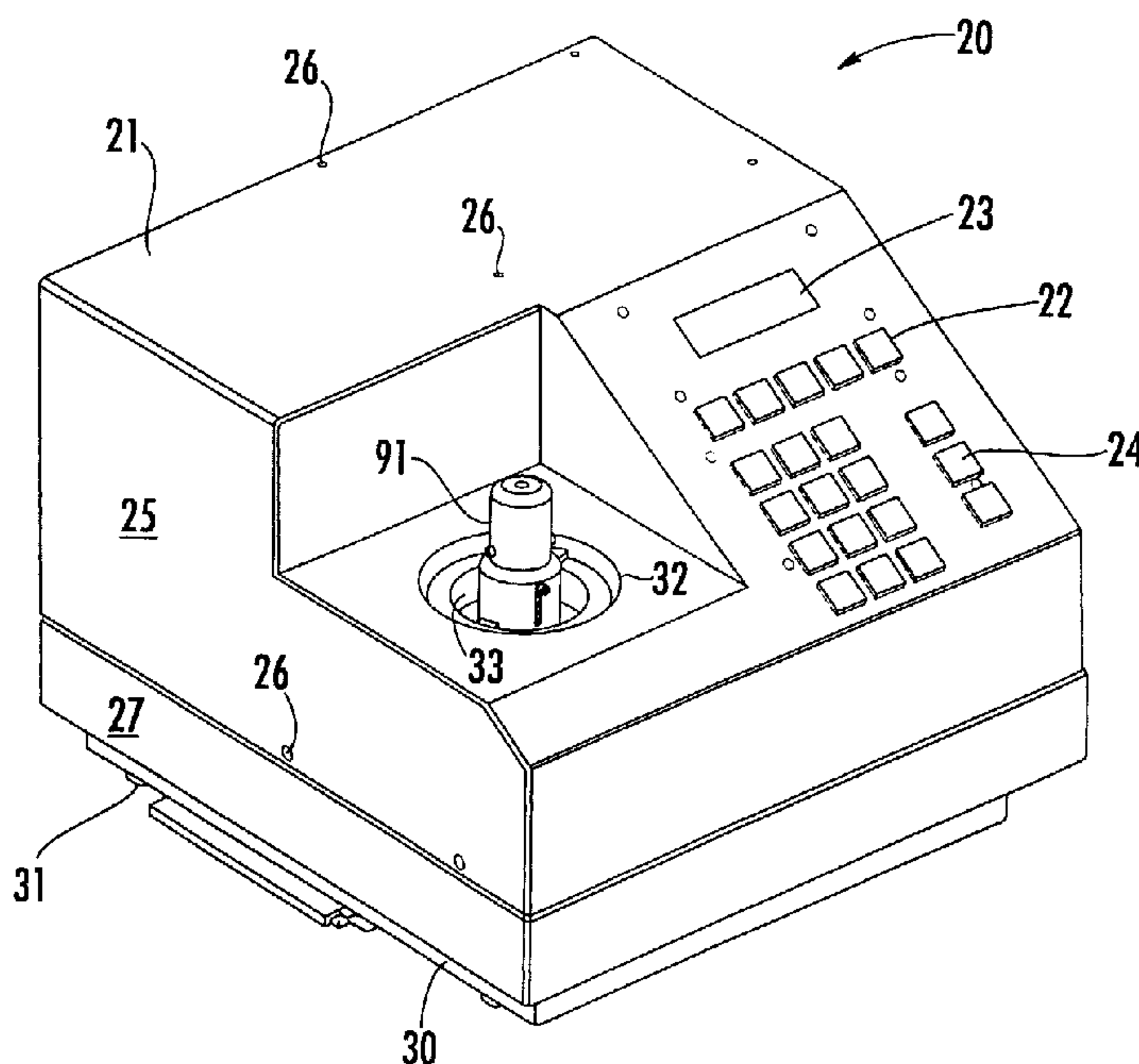
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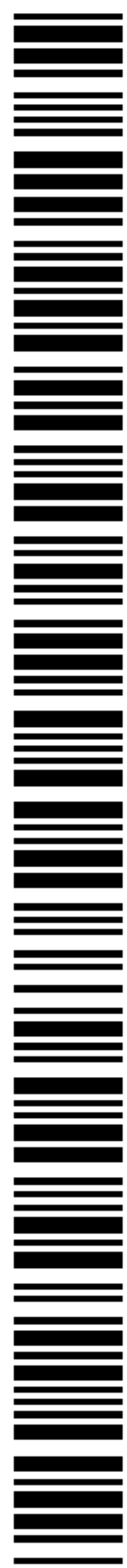
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(54) Title: MICROWAVE-ASSISTED CHEMICAL SYNTHESIS INSTRUMENT WITH FIXED TUNING



(57) Abstract: An instrument is disclosed for microwave-assisted chemical processes that avoids tuning discrepancies that otherwise result based upon the materials being heated. The instrument includes a source of microwave radiation, a waveguide in communication with the source, with at least a portion of the waveguide forming a cylindrical arc, a cylindrical cavity immediately surrounded by the cylindrical arc portions of the waveguide, and at least three slotted openings in the circumference of the circular waveguide that provide microwave communication between the waveguide and the cavity.



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## MICROWAVE-ASSISTED CHEMICAL SYNTHESIS INSTRUMENT WITH FIXED TUNING.

Field of the Invention

The present invention relates to microwave-assisted chemistry, and in particular relates to a microwave instrument that offers particular advantages useful  
5 for chemical synthesis reactions.

Background of the Invention

The present invention relates to devices and methods for microwave-assisted chemistry. As generally recognized in the chemical arts, many chemical reactions can be initiated or accelerated by increasing the temperature--*i.e.* heating--the reactants.  
10 Accordingly, carrying out chemical reactions at elevated (*i.e.*, above ambient) temperatures is a normal part of many chemical processes.

For many types of chemical compositions, microwave energy provides an advantageous method of heating the composition. As is well recognized in the art, microwaves are generally categorized as having frequencies within the  
15 electromagnetic spectrum of between about 1 gigahertz and 1 terahertz, and corresponding wavelengths of between about 1 millimeter and 1 meter. Microwaves tend to react well with polar molecules and cause them to rotate. This in turn tends to heat the material under the influence of the microwaves. In many circumstances, microwave heating is quite advantageous because microwave radiation tends to  
20 interact immediately with substances that are microwave-responsive, thus raising the temperature very quickly. Other heating methods, including conduction or convection heating, are advantageous in certain circumstances, but generally require longer lead times to heat any given material.

In a similar manner, the cessation of application of microwaves causes an  
25 immediate corresponding cessation of the molecular movement that they cause. Thus, using microwave radiation to heat chemicals and compositions can offer significant advantages for initiating, controlling, and accelerating certain chemical and physical processes.

In recent years, much interest in the fields of chemical synthesis and analysis  
30 has focused upon the use, synthesis or analysis of relatively small samples. For example, in those techniques that are generally referred to as "combinatorial" chemistry, large numbers of small samples are handled (e.g., synthesized, reacted,

analyzed, etc.) concurrently for the purpose of gathering large amounts of information about related compounds and compositions. Those compounds or compositions meeting certain threshold criteria can then be studied in more detail using more conventional techniques.

5 Handling small samples, however, tends to present difficulties in conventional microwave-assisted instruments. In particular, small masses of material are generally harder to successfully affect with microwaves than are larger masses. As known to those of ordinary skill in this art, the interaction of microwaves with responsive materials is referred to as "coupling." Thus, stated differently, coupling is more  
10 difficult with smaller samples than with larger samples.

Furthermore, because of the nature of microwaves, specifically including their particular wavelengths and frequencies, their interaction with particular samples depends upon the cavity into which they are transmitted, as well as the size and type of the sample being heated.

15 Accordingly, in order to moderate or eliminate coupling problems, conventional microwave techniques tend to incorporate a given cavity size, a given frequency, and similarly sized samples. Such techniques are useful in many circumstances and have achieved wide acceptance and use. Nevertheless, in other circumstances when one of these parameters--sample size, material, microwave  
20 frequency--is desirably or necessarily changed, the cavity typically has to be re-tuned in order to provide the appropriate coupling with the differing loads. Stated somewhat differently, and by way of illustration rather than limitation, in a conventional device a one gram load would require tuning different from a ten gram load, and both of which would require different tuning from a hundred gram load, and  
25 all of which would differ if the microwave frequency or type of material is changed.

As another issue, differently-sized samples are generally most conveniently handled in reaction vessels that are proportionally sized based on the size of the sample. Many instruments for microwave-assisted chemistry, however, are--for logical reasons in most cases--made to handle vessels of a single size; *e.g.* instruments  
30 such as described in U.S. Patent No. 5,320,804 or open vessels as described in U.S. Patent No. 5,796,080. Thus although such instruments are valuable for certain

purposes, they are generally less convenient, and in some cases quite ineffective for samples, vessels, and reaction other than a certain size (volume) or type.

As yet another issue, many reactions proceed more favorably under increased (*i.e.*, above atmospheric) pressure. Controlling and using increased pressures for small samples in microwave-assisted chemistry can, for the reasons stated above and others, be somewhat difficult.

Accordingly, the need exists for new and improved instruments for microwave assisted chemistry that can handle small samples, can conveniently handle a variety of sample sizes and vessel sizes and that can incorporate and handle higher pressure reactions when desired or necessary.

#### Object and Summary of the Invention

Therefore, it is an object of the invention to provide a microwave instrument suitable for chemical synthesis and related reaction and that can handle small samples, can conveniently handle a variety of sample sizes and vessel sizes and that can incorporate and handle higher pressure reactions when desired or necessary.

The invention meets this object with an instrument for microwave-assisted chemical processes that avoids tuning discrepancies that otherwise result based upon the materials being heated. The instrument comprises a source of microwave radiation a waveguide in communication with the source, with at least a portion of the waveguide forming a cylindrical arc, a cylindrical cavity immediately surrounded by the cylindrical arc portions of the waveguide, and at least 3 slotted openings in the circumference of the circular waveguide that provide microwave communication between the waveguide and the cavity.

In another aspect the invention is a method of conducting organic synthesis reactions comprising applying microwave radiation to a sample using a frequency to which the sample (solvent, etc) will thermally respond, and optimizing the coupling between the applied microwaves and the (load) sample without adjusting the physical dimensions of the cavity, without physical movement of the cavity (*i.e.*, no tuning screws), without physical movement of the position of the sample and without adjusting the frequency of the applied microwaves as the sample heats and as the reaction proceeds.



In another aspect, the invention is a pressure-measuring vessel system for microwave assisted chemical processes. In this aspect, the invention comprises a pressure resistant vessel (*i.e.*, it resists the expected pressure to which it is expected to be exposed) that is otherwise transparent to microwave radiation, a pressure-resistant closure for the mouth of the vessel, with portions of the closure including a pressure resistant synthetic membrane, a pressure transducer external to the vessel, and a tube extending from the transducer, through the membrane and into the vessel for permitting the pressure inside the vessel to be applied against the transducer while the closure and membrane otherwise maintain the pressure resistant characteristics of the vessel.

In another aspect, the invention is an instrument for microwave-assisted chemical processes that provides greater flexibility in carrying out microwave-assisted chemistry under varying conditions. In this aspect, the instrument comprises a source of microwave radiation, a cavity in communication with the source, with the cavity including at least one wall formed of two engaged portions that form a barrier to the transmission of microwaves when so engaged, with the engaged portions being disengagable from one another; and with one of the portions further including a microwave-attenuating opening for receiving a reaction vessel therethrough and into the cavity when the portions are engaged.

In yet another aspect, the invention is a method of increasing the efficiency of microwave-assisted chemical reactions. The method comprises carrying out a first chemical reaction in a reaction vessel in an attenuated cavity of a microwave instrument, removing the reaction vessel and the attenuator from the instrument, placing a different reaction vessel and a differently-sized attenuator in the same cavity of the instrument, and carrying out a second chemical reaction in the different vessel in the cavity of the instrument.

The foregoing and other objects and advantages of the invention and the manner in which the same are accomplished will become clearer based on the followed detailed description taken in conjunction with the accompanying drawings in which:

### Brief Description of the Drawings

Figure 1 is a front perspective view of an instrument according to the present invention;

Figure 2 is a rear perspective view of the instrument illustrated in Figure 1;

5 Figure 3 is a partially exploded interior view of the instrument illustrated in Figures 1 and 2;

Figure 4 is a perspective view of a cavity and wave-guide according to the present invention;

Figure 5 is an interior view of the waveguide and cavity illustrated in Figure 4.

10 Figure 6 is a perspective exterior view of the wage guide, cavity and magnetron of the present invention;

Figure 7 is a perspective view of the pressure-measuring assembly according to the present invention;

Figure 8 is another perspective view of the pressure-measuring assembly;

15 Figure 9 is a detailed exploded view of the pressure measuring assembly;

Figure 10 is an exploded view of the cavity assembly of an instrument according to the present invention;

Figure 11 is a cross-sectional view of a reaction vessel, pressure-measuring means and collet assembly of an instrument according to the present invention;

20 Figure 12 is a cross sectional view of the cavity portion of the instrument according to the invention and including an exemplary reaction vessel;

Figure 13 is a cross-sectional view almost identical to Figure 12, but illustrating the features of the invention in relation to a differently-sized reaction vessel;

25 Figure 14 is a perspective view of a reaction vessel according to the present invention; and

Figure 15 is a cross section view of the reaction vessel of Figure 14.

### Detailed Description

30 An embodiment of the present invention is illustrated in perspective view in Figure 1 with the instrument broadly designated at **20**. Most of the other details of the invention will be shown in other drawings, but Figure 1 illustrates that the instrument



**20** includes a housing **21**, a control panel **22**, and a display **23**. As will be discussed later herein, the control panel **22** can be used to provide the instrument with a variety of information that may relate to the chemical processes being carried out, or to set or define certain parameters, such as maximum pressure or temperature during the  
5 application of microwave energy to a particular reaction. The control panel **22** can be formed of any type of appropriate input devices, with buttons **24** being illustrated. It will be understood, however, that other types of input devices, including touch screens, keyboards, a computer "mouse" or other input connections from computers or personal digital assistants can also be used in any appropriate fashion known to  
10 those of skill in this art that does not otherwise interfere with the operation of the instrument. Similarly, the display **23** is most commonly formed of a controlled or addressable set of liquid crystal displays (LCDs) but can also comprise a cathode ray tube (CRT), light emitting diodes (LEDs), or any other appropriate display medium.

The housing **21** includes a removable upper portion **25**, attached by  
15 appropriate fasteners **26** (screws or Allen nuts are exemplary) to a lower housing portion **27** and a pedestal portion **30**, which in turn are supported by the pedestal feet **31**.

Figure 1 also illustrates that the housing **21** includes an opening **32**, which provides access to the microwave cavity in a manner that will be described with  
20 respect to other drawings. As Figure 1 illustrates, the opening **32** provides much easier access for placing samples into the cavity than in many other types of microwave instruments.

Figure 1 also illustrates the sample holder and microwave attenuator assembly **33**, and a collet assembly **91** which will likewise be described in more detail with  
25 respect to other of the drawings.

Figure 2 is a rear perspective view of an instrument according to the present invention that illustrates some additional items. As in Figure 1, Figure 2 illustrates the upper housing portion **25**, the lower housing portion **27**, the fasteners **26**, the pedestal portion **30**, the feet **31**, the sample holder and attenuator assembly **33** and the  
30 opening **32** in the housing **25** that provides access to the cavity.

Additionally, Figure 2 illustrates that the device includes at least one cooling fan **34** with a second being shown at **35**. The fans **34** and **35** serve to cool the

electronics and the magnetron portions of the device, as well as helping to keep the cavity from becoming overheated in the presence of ongoing chemical reactions.

Other than having the capacity to appropriately cool the instrument and the cavity, the nature or selection of the fans can be left to the individual discretion of those with  
5 skill in this art.

Figure 2 also shows the power switch **36** and the power cord inlet **37**. In order to take advantage of the full capacity of the instrument, in preferred embodiments, the instrument includes the parallel port **41** and the serial port **40** for receiving input from or providing output to other electronic devices, particularly microprocessor based  
10 devices, such as personal computers, personal digital assistants or other appropriate devices. Similarly, Figure 2 illustrates a connector **42** for the pressure transducer to be described later herein.

Figure 3 is a partially exploded view of the interior of an instrument **20** according to the present invention. In common with Figures 1 and 2, the lower  
15 portion **27** of the housing and the pedestal portion **30** of the housing are both illustrated along with the pedestal feet **31**. Figure 3 also illustrates several of the fasteners **26**, as well as the fan **34** along with its housing **42**.

Figure 3 shows the display **23** in exploded fashion along with a first electronics board **43** and a second electronics board **44**. Basically, the electronics carried by the  
20 boards **43** and **44** are generally well understood in their nature and operation. With respect to the instrument of the present device, the electronics first control the power from a given source, usually a wall outlet carrying standard current. The electronics also control the operation of the device in terms of turning the magnetron on or off, and in processing information received from the ongoing chemical reaction, in  
25 particular temperature and pressure. In turn, the appropriate processor is used to control the application of microwaves, including starting them, stopping them, or moderating them, in response to the pressure and temperature information received from the sensors described later herein. The use of processors and related electronic circuits to control instruments based on selected measured parameters (e.g.  
30 temperature and pressure) is generally well understood in this and related arts. Exemplary (but not limiting) discussions include Dorf, The Electrical Engineering Handbook, Second Ed. (1997) CRC Press LLC.

In the embodiment illustrated in Figure 3, the outer housing of the cavity is visible at **45**, along with the housing portions of the microwave source, illustrated as the magnetron **46**. Figure 3 also illustrates the sample holder and attenuator assembly **33**, and a motor **47** for stirring reactants in a manner described later herein. Figure 3  
5 also illustrates the housing **50** for the second fan **35** present in the illustrated embodiment. Because the sample vessel (not shown) and the sample holder and attenuator assembly **33** are generally quite different in size than the cavity itself, Figure 3 illustrates that the attenuator **33** according to the present invention further includes an upper rim **51** into which lower portions of the sample holder and  
10 attenuator assembly **33** can rest in a changeable receiving fashion. The features, advantages and details of the attenuator **33** are discussed in more detail with respect to Figures 11, 12, and 13. The attenuator **33** is in turn held in place by a pair of retaining rings **52** and **53** into which the attenuator **33** is received and which is also held in place by the interlock assembly broadly designated at **54**.

15 Figures 4 and 5 illustrate aspects of the waveguide and cavity portions of the instrument according to the present invention. In these illustrations, the waveguide is broadly designated at **55**, and includes both a parallelepiped rectangular portion **56**, and a cylindrical portion **57** that in preferred embodiments has a rectangular cross section. In the illustrated embodiment, the waveguide **55** is supported on a series of legs **60**  
20 which serve to position the cavity **61** and waveguide **55** in communication with the magnetron **46** and the other elements within the particular housing **21**. One of the legs, designated at **96**, has a slightly different structure to support the motor **47** (not shown). It will be understood, of course, that such features as the leg **60** which merely positions the waveguide within a particular embodiment are not limiting of the  
25 present invention. In preferred embodiments the rectangular or parallelepiped portion **56** of the waveguide joins the cylindrical portion **57** perpendicularly to a tangent defined by the circumference of the cylindrical waveguide portion **57**.

Figures 4 and 5 also illustrate the cavity as broadly designated at **61**. In particular, the cavity is formed by an inner cylindrical wall **62** that forms a concentric  
30 cylinder inwardly of the cylindrical cavity housing **45**. An upper waveguide plate **63** and a lower waveguide plate **64** define the limits of the waveguide **55** in both its rectangular portion **56** and its cylindrical portion **57**. The waveguide **55** is constructed



of a material that reflects microwaves inwardly and prevents them from escaping in any undesired manner. Typically, such material is an appropriate metal which, other than its function for confining microwaves, can be selected on the basis of its cost, strength, formability, corrosion resistance, or any other desired or appropriate criteria.

5 In preferred embodiments of the invention, the metal portions of the waveguide and cavity are formed of stainless steel.

The top plate **63** (as well as the bottom plate **64**) is also held in place by a series of connectors **65** which can be rivets, screws or nuts, provided that their size and shape avoids undesired interference with the microwaves in the cylindrical or  
10 other portions of the waveguide **55**.

Perhaps most importantly, Figure 4 illustrates that a plurality of slotted openings **66** are present in the inner cavity wall **62** for facilitating the transmission of microwaves from the waveguide **55** into the cavity **61**. It will be understood that because the inner wall **62** defines the border of the waveguide **55** and the cavity **61**,  
15 the slotted openings **66** can also be described as being in the inner circumference of the cylindrical portion **57** of the waveguide.

In particular, it has been discovered in accordance with the present invention that a plurality of such slots in a circular orientation in a static structure in the cavity **61** provides an appropriate amount of coupling with a wide variety of sample sizes or  
20 types that may be present in the cavity. Although the inventors do not wish to be bound by any particular theory, it appears that the plurality of slots **66**, permit a variety of microwave patterns (modes) to be established in the cavity **61**, depending upon the load to which the microwaves are coupled. The cavity includes at least three slots, preferably at least five, and in the presently most preferred embodiment includes  
25 seven slots spaced at least about 40 degrees from each other. Preferably, the slots **66** are oriented parallel to the axis of the cavity **61**.

As other details, Figure 4 illustrates a connector plate **67** and connecting pins **70** are at one end of the waveguide **55** for connecting the waveguide **55** to the magnetron **46** or other microwave source, which can, depending upon choice and  
30 circumstances, also comprise klystron, a solid state device, or any other appropriate device that produces the desired or necessary frequencies of electromagnetic radiation within the microwave range. Figure 4 also shows a gas inlet fitting **58** that is part of a

system for cooling the cavity that is discussed in more detail with respect to Figures 10, 12 and 13.

As some additional details, in the preferred embodiments, the cylindrical waveguide completes an arc of more than 180°, and preferably between 270° and 5 360°, and the cylindrical cavity 61 completes a full 360°.

Figure 5 shows the same details as Figure 4, but in a broken line interior view. Accordingly, Figure 5 likewise illustrates the overall structure of the waveguide 55, its rectangular and cylindrical portions 56 and 57 respectively, the cavity 61, the slots 66 in the inner wall 62, and the supporting legs 60. Figure 5 also illustrates that the 10 fasteners 65 have a relatively low profile within the waveguide 55 to avoid interfering with microwave propagation therethrough.

In particular detail, Figure 5 shows that the waveguide 55 is connected to the magnetron 46 (not shown) through the launching opening 71 in the plate 67. The microwaves can then propagate through the rectangular portion of the waveguide 56 15 into the circular portion 57 of the waveguide 55. The structure also includes two walls 72 and 73 that are positioned in the cylindrical portion 57 of the waveguide just adjacent one of the places where it intersects with the rectangular portion 56. Accordingly, to the extent that standing waves or modes are in the waveguide 55 and cavity 61, they will be confined to the illustrated geometry by the reflecting wall 73. 20 In the absence of the walls 72 or 73, the modes in the waveguide and the cavity 61 would be quite different because they would interact through a full 360° of the waveguide housing rather than in the somewhat lesser portion than they do in the illustrated embodiment.

Figure 5 also shows that in the preferred embodiment of the present invention 25 there are seven slots 66 in the inner cavity wall 62, with each of the slots being at least about 40 degrees apart from each of the next adjacent slots. Furthermore, none of the slots 66 are directly at the end of the rectangular portion 56 of the waveguide 55 so that the modes that set themselves up in the waveguide 55 and cavity 61 must enter the cavity 61 after having entered at least a portion of the cylindrical portion 57 of the 30 waveguide 55.

Figure 5 also illustrates that in preferred embodiments, the cavity floor 74 includes a plurality of small openings 75 for ventilation and fluid drainage purposes,

with ventilation being expected and liquid drainage being less frequent, typically in the case of spills. Figure 5 also illustrates a circular shaft 76 that depends from the floor 74 of the cavity 61 for permitting optical access to the cavity in a manner that will be described later herein.

5           Alternatively, Figure 5 also illustrates the optional use of a cavity liner 59 for containing spills, splashes or other incidents in the cavity 61. The cavity liner 59 optionally includes a small opening 68 to facilitate optical temperature measurement through the opening 76 in the cavity floor 74 and the window 69. If the cavity liner 59 is formed of a material that is transparent to the optical measurement (typically IR-  
10 transparent for IR temperature measurements), the window 69 may be unnecessary. The liner 59 is preferably formed of a chemically-resistant polymer, and can (depending on the user's cost and benefits) provide a disposable alternative to physically cleaning reagents or by-products from the cavity 61.

15           Figure 5 also illustrates the dielectric insert 95 that is described in more detail with respect to Figure 10.

20           Figure 6 is a complementary view of a number of the elements of the invention and illustrates the cavity 61 from the perspective of its housing 45 in conjunction with the rectangular portion 56 of the waveguide 55 and the magnetron 46. In particular, Figure 6 offers a larger view of the retaining rings 52 and 53 along with the  
removable attenuator 33. The attenuator 33 includes an axial opening that will be described in more detail with respect to Figures 12 and 13. As described with respect to Figure 3, the retaining rings and the attenuator 33 are held in place by the interlock assemblies 54. One of the particular advantages of the invention is that with the use of the retaining rings 52 and 53, along with the interlock assembly 54 to retain the  
25 attenuator 33 in place, the interlock assembly 54 can be relatively easily released, and the attenuator 33 replaced with one that contains a different sized opening that in turn supports a different size reaction vessel while still preventing microwaves from propagating past the attenuator 33.

30           Thus, the retaining rings 52 and 53, along with the engaged attenuator 33 form the upper horizontal wall of the cavity and a barrier to the transmission of microwaves when so engaged. The retaining rings 52 and 53 are fixed to the cavity (*i.e.*, removable only by disassembling the instrument with tools), while the attenuator 33 is



easily removable from the rings **52** and **53** with a simple turning and lifting movement. The removable attenuator **33** includes the microwave attenuating opening **118** (Figures 12 and 13) for receiving a reaction vessel therethrough, and into the cavity **61**. It will thus be understood that in preferred embodiments, the instrument comprises two or more of the removable and engagable attenuators **33** that have differently-sized (from one another) microwave-attenuating openings for receiving differently-sized reaction vessels.

Figures 7, 8, and 9 illustrate detailed aspects of the pressure measuring means of the instrument including the transducer assembly **38**. Figure 7 shows the assembly **38** in assembled fashion with a series of retaining screws **82**, a collet adjustment slot **83**, and a collet tension screw **84** all of which are perhaps best understood with respect to Figure 9.

Figure 8 shows the backshell of the assembly **38**, apart from the collet housing **86** which includes the retaining screws **82** that are also illustrated in Figure 7. A pressure transducer **116** is positioned inside a transducer holder **123** which in turn is surrounded by the adjustable collet assembly **91**, the details of which are best illustrated in Figure 9.

Figure 9 is an exploded view of the transducer assembly **38**. As in Figures 7 and 8, the collet backshell is illustrated at **85**, and the collet housing at **86**. The setscrews **82** illustrated in Figures 7 and 8 are also illustrated in Figure 9.

Figure 9 is perhaps best understood with respect to its relation to a vessel (not shown in Figure 9) that is in the cavity **61** undergoing a microwave-assisted chemical reaction. Such a vessel, and its cap, are schematically illustrated in somewhat more detail in Figure 11, but for the purposes of Figure 9, it will be understood that a vessel would be positioned under and in engagement with a vessel receptor **106** that is illustrated in Figure 9. In order to engage the entire transducer assembly **38**, and in turn the pressure measuring transducer, with a vessel, the transducer assembly **38** forms an adjustable device that can move in linear relationship to its own housing **86**, and with respect to a vessel in the cavity. Accordingly, and in order to accomplish this, Figure 9 shows that the transducer assembly **38** includes a plurality (four are preferred) of collet leaves **107**. The leaves **107** are held in flexible relationship to the collet trunk **110** by the garter spring **111**. Among other features, the collet trunk **110**

includes a plurality of pins **112**. As a result, when the leaves **107** are attached to the collet trunk **110** by the garter spring **111**, the leaves **107** can flex inwardly and outwardly with respect to the overall axis of the assembly **38**. Each leaf **107** further includes a gripping edge **113** that engages a cap on a vessel in a manner that is

5 illustrated in Figure 11. Figure 9 also shows that the retaining screws **84** are received into the threaded bolts **114**. In use, the threaded bolts **114** are received into the openings **119** in the collet trunk **110** and the screws **84** are received into the threaded bolts **114**. The screws **84** can move parallel to the axis of the assembly **38** in the collet adjustment slots **83** that are also illustrated in Figures 7 and 8. The two-part

10 nature of the screws **84** and **114** permit the collet **86** housing and the collet leaves **107** to be tightened in place in an appropriate relationship to a vessel as may be desired or necessary in given circumstances.

The present invention measures the pressure inside of a vessel by transmitting the pressure through a needle that extends through a septum and into the vessel to the

15 transducer **116** that converts the pressure into an appropriate electrical signal for the processor or the display. Figure 9 also illustrates these features in more detail as does Figure 11. First, the needle **115** extends into the reaction vessel **105** (Figure 11). In turn, the needle **115** transmits the pressure, in the well-understood fashion of fluid mechanics, to the transducer **116**. In turn, the transducer **116** transmits its signals

20 through the wires **117**. In a typical arrangement (and although not specifically illustrated in Figure 9), the transducer **116** includes four wires: power and its ground, and signal and its ground.

The other elements in the left-hand portion of Figure 9 help maintain the transducer **116** and the needle **115** in proper relationship with each other and with the

25 vessel. Thus, Figure 9 shows a needle holder **120**, which is fixed on the collet adjustment housing **86** using the screws **121** which are respectively received in the screw holes **122** in the housing **86**. The transducer **116** is received in a transducer holder **123** that also encloses a needle receptor **124** that receives the upper (cap) portion **125** of the needle **115**. The transducer **116** includes a small bushing **126** that

30 receives the needle receptor **124**, with the O-ring **127** providing an additional pressure seal. The A clip ring **130** helps hold these elements together in the transducer holder **123**. Figure 9 thus illustrates that when the collet assembly and transducer assembly

are properly assembled, the needle **115** passes axially through the needle holder **120**, the housing **86**, the collet trunk **110**, and the vessel receptor **106**, and into the vessel itself, thus permitting the transducer to read the pressure in the vessel as desired.

Figure 10 illustrates additional features of the instrument of the present invention in exploded fashion. A number of the elements illustrated in Figure 10 have already been described with respect to the other figures. These include the magnetron **46**, the rectangular portion **56** of the waveguide **55**, the circular portion **57**, the retaining rings **52** and **53**, and the interlock assembly **54**. Figure 10 illustrates the attenuator in a resting, but not fully engaged position with respect to the retaining ring **52**. A polymer bushing **51** is positioned between the retaining rings **52** and **53** and helps provide a better physical and microwave seal for the cavity **45**.

Figure 10 also illustrates a dielectric insert **95** that fits in the cavity **61** immediately adjacent the inner wall **62** of the cavity **61**. The dielectric insert **95** serves at least two purposes: first, the dielectric insert **95** is preferably formed from a chemically inert material to help protect the interior of the cavity **61** from reagents. Preferred materials include polymeric fluorinated hydrocarbons such as polytetrafluoroethylene (PTFE).

Second, the insert **95** forms a portion of a preferred system for cooling the interior of the cavity **61** during or after chemical reactions have been carried out therein and in response to the elevated temperatures generated by the reactions. In particular, in preferred embodiments, the waveguide **55** includes a gas inlet fitting (**58** in Figures 4 and 6) through which a cooling gas can be circulated into and throughout the waveguide. In order to take advantage of this, the insert **95** includes the circumferential channel **98** through which the cooling gas can flow. A series of small, radially-oriented openings (too small to be illustrated in the scale of Figure 10) permit the gas to flow into the center of the cavity **61** and cool it and any vessels and reagents inside. Although the insert **95** changes the tuning characteristics of the cavity, the tuning can be adjusted as desired to compensate for the insert **95**. Such tuning is familiar to those of ordinary skill in this art and can be carried out without undue experimentation.

Figure 10 also illustrates the stirring mechanics of the instrument of the present invention. As illustrated therein, the stirrer motor **47** is positioned on a motor



platform leg **96** from which it drives a pulley **97**. In turn, the drive pulley **97** drives a belt **100** to thereby drive the driven pulley **101**. The driven pulley **101** contains one or two magnets **102**, which, because of their position on the driven pulley **101**, orbit the center of the bottom floor **64** of the cavity **61**. When a magnetic stirrer bar is placed  
5 in a vessel in the cavity **61** and the motor **47** drives the pulleys **97** and **101**, the motion of the magnets **102** will in turn drive the stirrer bar in the reaction vessel.

Figure 10 also illustrates a liquid drain **103**. The liquid (fluid) drain **103** works in conjunction with the floor openings **75** that are best illustrated in Figure 5 to allow any fluids that may collect in the cavity **61** to drain through the openings **75** and then  
10 through the drain **103** to a collection point (not shown) which in a presently preferred embodiment comprises a small removable trough located at the floor of the instrument **20**.

Figure 10 further illustrates means for measuring the temperature of items (vessels and reagents) in the cavity, shown as the temperature measuring device **104**,  
15 which is positioned immediately below and coaxially with the depending shaft **76** (Figure 5) to thus have an optically clear view of the interior of the cavity **61**. Accordingly, when the temperature measuring device is an optical device, with an infrared sensor being preferred, it can accurately measure the temperature of vessels or contents of vessels within the cavity and provide the appropriate feedback to the  
20 processor of the instrument. As known to those familiar with such measurements, the infrared sensor **104** must be appropriately positioned and focused to record the proper temperature of the intended objects, but doing so is generally well understood by those of skill in this art and will not be otherwise described in detail. Indeed, particular and appropriate adjustments can be made on an instrument-by-instrument  
25 basis without undue experimentation.

In preferred embodiments, the temperature measuring device **104** is an infrared sensor, of which appropriate types and sources are well known by those of skill in this art. Additionally, and although not illustrated in detail in Figure 10, the driver pulley **101** also carries an infra-red transparent window through which the sensor **104** can  
30 read the infrared transmissions from the cavity **61**. In preferred embodiments, the window is formed of an amorphous composition of germanium (Ge), arsenic (As) and selenium (Se), which provides the greatest accuracy, but at a relatively high cost.

Thus, in other embodiments the window can be formed of infrared-transparent polymers such as polytetrafluoroethylene (PTFE) or polypropylene which provide accurate transmission at a generally lower cost.

With respect to both pressure and temperature measurement, and the  
5 processors referred to earlier, the instrument includes the capability for moderating the application of microwave power in response to the measured temperature or pressure. The method of moderating can be selected from among several methods or apparatus. A simple well-understood technique is to carry out a simple “on-off” cycle or series of cycles (*i.e.*, a duty cycle). Another technique can incorporate a variable or  
10 “switching” power supply such as disclosed in commonly assigned U.S. Patent No. 6,084,226; or techniques and devices that physically adjust the transmission of microwaves, such as disclosed in commonly assigned U.S. Patents Nos. 5,796,080 and 5,840,583.

Figure 11 is a cross-sectional view of the relationship between the removable  
15 attenuator **33**, a reaction vessel **105**, and the collet assembly **91**. In a broad sense, Figure 11 illustrates the relationship between the pressure transducer **116**, the needle **115**, and the closure for the vessel, which is formed of the deformable metal portion **133** and the septum **134**. The relationship is such that the collet assembly **91** urges the transducer **116** and needle **115** towards the vessel **105** while concurrently bearing  
20 against the septum **134** and while urging the vessel and collet towards one another to provide the appropriate pressure seal.

By urging the various elements together in such fashion, the invention prevents the puncturable septum from becoming a weak point in the pressure integrity of the vessel **105** and the transducer **116**. As well recognized in this art, many chemical  
25 reactions will generate gases and in a closed system these generated gases will cause a corresponding increase in gas pressure.

Many of the items illustrated in Figure 11 are also illustrated in Figure 9 and, thus, corresponding numerals will be used in each case. In more detail, the vessel **105** rests in the central opening **118** defined by the removable attenuator **33**. As illustrated  
30 in Figure 11, the vessel **105** includes an annular lip portion **109** that rests upon the inner opening **118**. In order to maintain the vessel in place while measuring the temperature, the leaves **107** of the collet assembly are brought to bear against the



removable attenuator **33** and, because of the threaded relationships between the vessel receptor **106**, the collet trunk **110**, and the collet housing **86**, the collet can be brought to an appropriate position and tightened there to maintain the leaves **107** in forced contact against the removable attenuator, while at the same time urging the vessel  
5 receptor **106** downwardly against the vessel **105**. In turn, the position of the collet trunk **110** with respect to the collet housing **86** can be adjusted using the collet adjustment slot **83** and the threaded nut and bolt portions **84** and **114**.

Accordingly, Figure 11 shows that when the vessel is in place in the removable attenuator **33**, the collet assembly **91** can clamp it in place and at the same time  
10 maintain an appropriate pressure against the septum **134**, while at the same time seating the needle **115** and its upper needle portion (cap) against the transducer in a manner which permits the pressure to be accurately measured, while at the same time maintaining the integrity of the vessel and preventing it from becoming dislodged when gases generated by the reaction increase the pressure in the vessel **105**.

Figure 11 illustrates that the reaction vessel **105** includes a closure shown as the cap assembly **132**. The cap assembly **132** is, in preferred embodiments, formed of a deformable metal ring **133** and a penetrable septum **134**. The septum **134** is made of a material, preferably an appropriate polymer or silicone related material, that can be penetrated by the needle **115**, but which will surround and seal against the needle  
20 **115** even after penetration, thus maintaining the pressure integrity of the vessel **105**. The ring **132** is formed of a metal thick enough to have appropriate pressure resistant properties, but which can be deformed relatively easily, preferably with an ordinary clamping tool, to engage the lip portions **135** of the reaction vessel **105** and thereby seal the vessel. With the vessel so sealed by the cap assembly **132**, the leaves **107** of  
25 the collet assembly **91**, are brought into engagement with the attenuator **33** and the vessel **105**, with the ledges or gripping edges **113** engaging the attenuator **33** in a horizontal fashion and the cap assembly **132** in a vertical fashion to help maintain the sealed integrity of the entire assembly when in use.

In this fashion, the needle **115** extends from the transducer, through the cap  
30 **132** and into the vessel **105** to provide pressure communication between the interior of the vessel **105** and the transducer **116**. The collet assembly **91** engages the transducer, the needle **115**, the cap **132** and the vessel **105** in linear relationship so



that the pressure in the vessel **105** is transmitted to the transducer **116** while the vessel is in use (*i.e.*, a reaction taking place while microwaves are being applied).

Figures 12 and 13 illustrate some of the additional advantages of the removable attenuator system of the present invention. Many of the items illustrated in  
5 Figures 12 and 13 have also been previously described with respect to the other Figures, and in such cases the same reference numerals will again refer to the same items. Both Figure 12 and Figure 13 are cross-sectional views with Figure 12 being taken directly through the center of the cavity **45** and Figure 13 being taken from a point at which an entire vessel is illustrated.

10 Figure 12 shows the cavity housing **45**, the inner cavity wall **62**, the dielectric insert **95**, and the removable attenuator **33**. As illustrated in Figures 12 and 13, in the preferred embodiments of the invention the removable attenuator **33**, which comprises the second portion of the two engaged portions that together form the upper horizontal wall of the cavity (the other being retaining ring **52**), the attenuator **33** comprises an  
15 outer cylindrical wall **39** and an inner cylindrical wall **49**, the inner and outer walls being separated by and perpendicular to an annular floor **48**. The inner wall **49** thus provides a receptacle for receiving the vessel **105** therein, and likewise provides the attenuating function required to prevent microwaves generated by the source and propagated into the cavity from propagating outside the cavity when the vessel **105** is  
20 in place.

Figure 13 is almost identical to Figure 12 with the exception that the first attenuator **33** has been replaced a second attenuator **33'** and the vessel **105** has been replaced with the round bottom flask **105'** illustrated in Figure 13. It will be immediately seen that the removable attenuators **33** and **33'** provide a quick and easy  
25 method of exchanging reaction vessels without otherwise changing the size, capability, function or operation of the overall instrument **20**. Thus, for a larger vessel such as **105'** illustrated in Figure 13, the outer wall **39** of the attenuator **33'** is essentially the same as the outer wall **39** of the attenuator **33** in Figure 12. The inner cylindrical wall **49'**, however, is somewhat taller (in the orientation of Figure 13),  
30 defines a larger diameter opening and provides for an attenuating function even though the flask **105'** is larger than flask **105**. By way of brief comparison, prior devices (e.g., No. 5,796,080) have attempted to customize the attenuator in a

permanent sense for one particular sized vessel. Accordingly, an instrument that was capable of handling a somewhat smaller vessel such as **105** illustrated in Figure 12 could not handle the larger vessel **105'** illustrated in Figure 13. Furthermore, because the attenuator had to be sized to accommodate the largest possible reaction vessels being used, the attenuator had to be permanently large, rather than just large enough for the particular vessel being used.

As one further advantage of the removable attenuators **33** and **33'**, in prior devices the diameter of the attenuator opening was kept large enough to receive the largest portion of the vessel. With respect to Figure 13, this required the opening to be large enough to receive the bulb portion of the round bottom flask **105'**. In turn, a larger diameter opening requires a taller (longer) attenuator to prevent microwaves from propagating beyond the attenuator.

In contrast, and as Figure 13 illustrates, in the present invention, the attenuator need only be large enough to accommodate the nearby portions of the vessel **105'** rather than the largest portions thereof. It will thus be understood as a further advantage that in some circumstances (e.g., Figure 12) the attenuator **33** is put in place first, after which the vessel **105** is placed in the attenuator **33** and the cavity **61**. In other circumstances (e.g., Figure 13), the vessel **105'** is placed in the cavity **61** first, after which the attenuator **33'** is put into position.

Accordingly, in another aspect the invention comprises a method of carrying out chemical reactions using microwave assisted chemistry by carrying out a first reaction in a first vessel of a particular size; removing the vessel and the attenuator **33** from the cavity; replacing the vessel with a new, differently sized vessel, and then replacing the attenuator with a new differently sized attenuator that nevertheless fits into the same opening.

Figures 14 and 15 illustrate some details of the reaction vessel **105**. Figure 14 is a perspective view of the reaction vessel **105** alone, and illustrates that in certain (but not all) embodiments, it superficially represents a test tube in its cylindrical shape. As illustrated by the vessel **105'** in Figure 13, the reaction vessel can be one of any number of shapes and types while still incorporating the pressure-resistant aspects of the invention. Figure 14 also illustrates the deformable metal portion **133** of the cap, along with an opening for the septum **134** (not shown) through which the needle

**115** (not shown) can penetrate in a manner described with respect to the other drawings.

As stated previously, the vessel **105** is preferably pressure resistant; *i.e.*, it can withstand pressures above atmospheric. This capability enables reactions to be carried out at elevated pressures, which can offer certain advantages in some circumstances. For example, particular reaction mechanisms can change in a favorable manner at above-ambient pressures, and in other circumstances, more efficient or even different (and better) mechanisms will take place at above ambient pressures. Additionally, under most circumstances, an increased pressure will produce or maintain an increased temperature, in accordance with the ideal gas law and its several related expressions. In turn, higher temperatures generally favorably initiate or accelerate most chemical reactions.

Figure 15 illustrates some additional details of the vessel **105**. As shown therein, the vessel **105** has at least a cylindrical portion, and as illustrated in Figure 15, may be entirely cylindrical, with the cylindrical portion being defined by the concentric inner and outer walls **136** and **137** that terminate in a cylindrical opening **135**. As illustrated in Figure 15, the cylinder includes an annular rim **140** that extends outwardly from the circumference of the cylindrical opening **135** and defines a rim circumference **141** that is concentric with the cylindrical portion of the vessel **105** and the cylindrical opening **135**.

The vessel **105** further includes a curved outer wall portion **142** between the concentric outer wall **137** and the rim circumference **141**. In this regard, it has been discovered that under higher pressures, a perpendicular relationship between the outer wall **137** and the rim **140** tends to be the weakest point under stress applied from the interior of the vessel **105**. It has been discovered according to the present invention, however, that by providing the curved outer wall portion **142**, the pressure resistance of the vessel can be significantly increased. Specifically, in current embodiments, a reaction vessel with a 90-degree relationship at the portion described will withstand pressures up to about 200 pounds per square inch (psi) before failing. The curved outer wall portion **142** of the present invention, however, can withstand pressures of up to about 1000 psi.



The invention has been described in detail, with reference to certain preferred embodiments, in order to enable the reader to practice the invention without undue experimentation. A person having ordinary skill in the art will readily recognize that many of the components and parameters may be varied or modified to a certain extent  
5 without departing from the scope and spirit of the invention. Furthermore, titles, headings, or the like are provided to enhance the reader's comprehension of this document and should not be read as limiting the scope of the present invention.

CLAIMS:

1. An instrument for microwave-assisted chemical processes that avoids tuning discrepancies that otherwise result based upon the materials being heated, said instrument comprising:
- 5 a source (46) of microwave radiation;  
a waveguide (55) in communication with said source, with at least a portion of said waveguide forming a cylindrical arc (57);  
a cylindrical cavity (61) immediately surrounded by said cylindrical arc portion of said waveguide;
- 10 at least 3 slotted openings (66) in the circumference of said circular waveguide that provide microwave communication between said waveguide and said cavity;  
an attenuator (33) that forms an upper wall of said cavity; and  
a vessel-receiving receptacle (49) in said attenuator for positioning a vessel in
- 15 said cavity while preventing microwaves from propagating outside of said cavity.
2. An instrument according to Claim 1 wherein said cylindrical cavity completes a full 360°.
- 20 3. An instrument according to Claim 1 wherein said waveguide arc completes more than 180°.
4. An instrument according to Claim 1 and further comprising means for measuring the temperature of items in said cavity.
- 25 5. An instrument according to Claim 4 wherein said temperature measurement means comprises an infrared photodetector positioned to receive infrared radiation from items in said cavity.
- 30 6. An instrument according to Claim 4 and further comprising means for moderating the application of microwaves in response to the measured temperature.

7. An instrument according to Claim 6 wherein said moderating means comprises a processor in communication with said source and said temperature measurement means for moderating the output of said source in response to the measured temperature.

5

8. An instrument according to Claim 1 and further comprising means for measuring the pressure in said cavity.

9. An instrument according to Claim 8 comprising a pressure transducer.

10

10. An instrument according to Claim 8 and further comprising means for moderating the application of microwaves in response to the measured pressure.

11. An instrument according to Claim 10 wherein said moderating means comprises a processor in communication with said source and said pressure measurement means for moderating the output of said source in response to the measured pressure.

12. An instrument according to Claim 1 wherein:  
a first portion of said waveguide forms a cylindrical annulus;  
a second portion of said waveguide forms a three-dimensional rectangle, with said second portion joining said first portion perpendicularly to a tangent defined by the circumference of said cylindrical annular waveguide; and  
said cylindrical cavity includes at least 5 slotted openings in the inner circumference of said annular portion of said waveguide that provide microwave communication between said waveguide and said cavity.

20

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13. An instrument according to Claim 1 or Claim 12 wherein said waveguide includes seven slots equally spaced from one another by at least 40°.

30

14. An instrument according to Claim 1 or Claim 12 wherein said slotted openings are oriented parallel to the axis of said circular cavity.



15. An instrument according to Claim 1 or Claim 12 wherein the circular portions of said waveguide are rectangular in cross-section.

5           16. An instrument according to Claim 1 or Claim 12 wherein said microwave source is selected from the group consisting of magnetrons, klystrons, and solid state sources.

10           17. An instrument according to Claim 12 wherein said cylindrical cavity completes a full 360° and said waveguide arc completes more than 180°.

18. An instrument according to Claim 1 or Claim 12 wherein said waveguide arc completes between 270° and 360°.

15           19. An instrument according to Claim 12 wherein said cylindrical portions of said waveguide are rectangular in cross-section and said slotted openings are oriented parallel to the axis of said cylindrical cavity.

20           20. An instrument according to Claim 1 or Claim 12 wherein said waveguide is formed of metal.

25           21. An instrument according to Claim 1 or Claim 12 and further comprising means for cooling said cavity in response to elevated temperatures resulting from chemical reactions being carried out in said cavity.

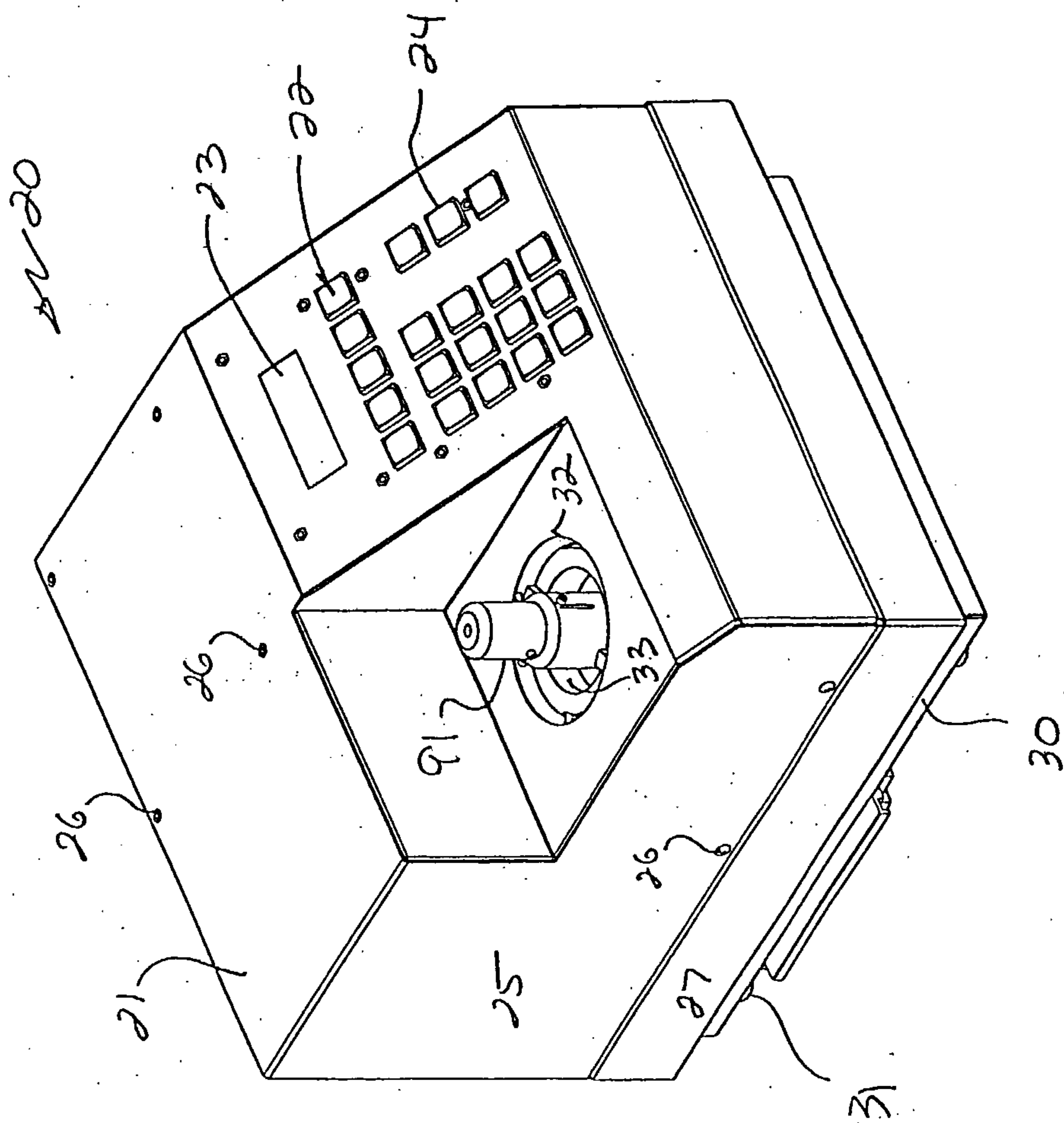
            22. An instrument according to Claim 1 or Claim 12 and further comprising a cylindrical dielectric insert inside said cavity and adjacent the inner circumference of said cavity.

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**Replacement Sheet**

AMENDED SHEET

Fig. 1



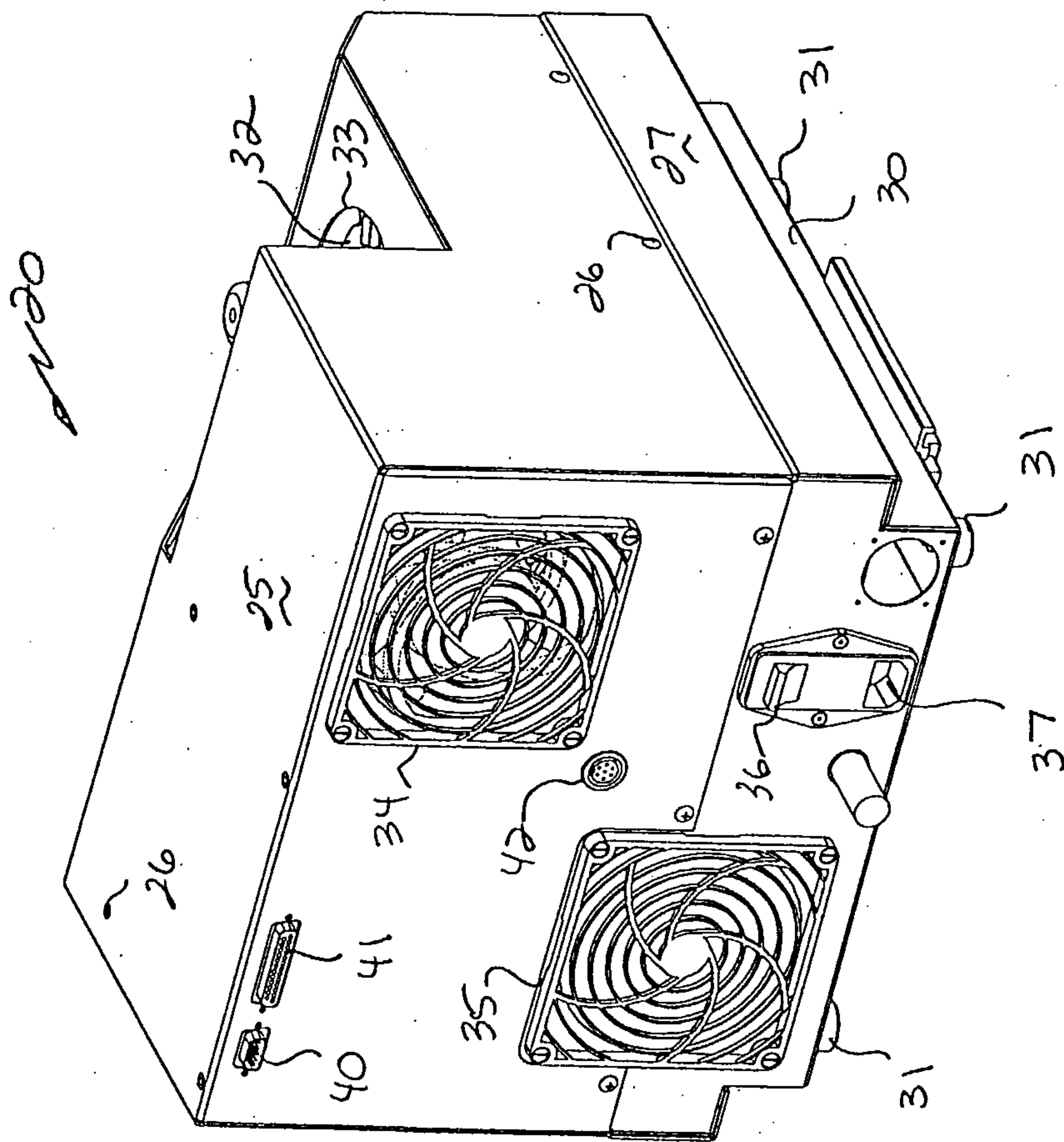


Fig. 2



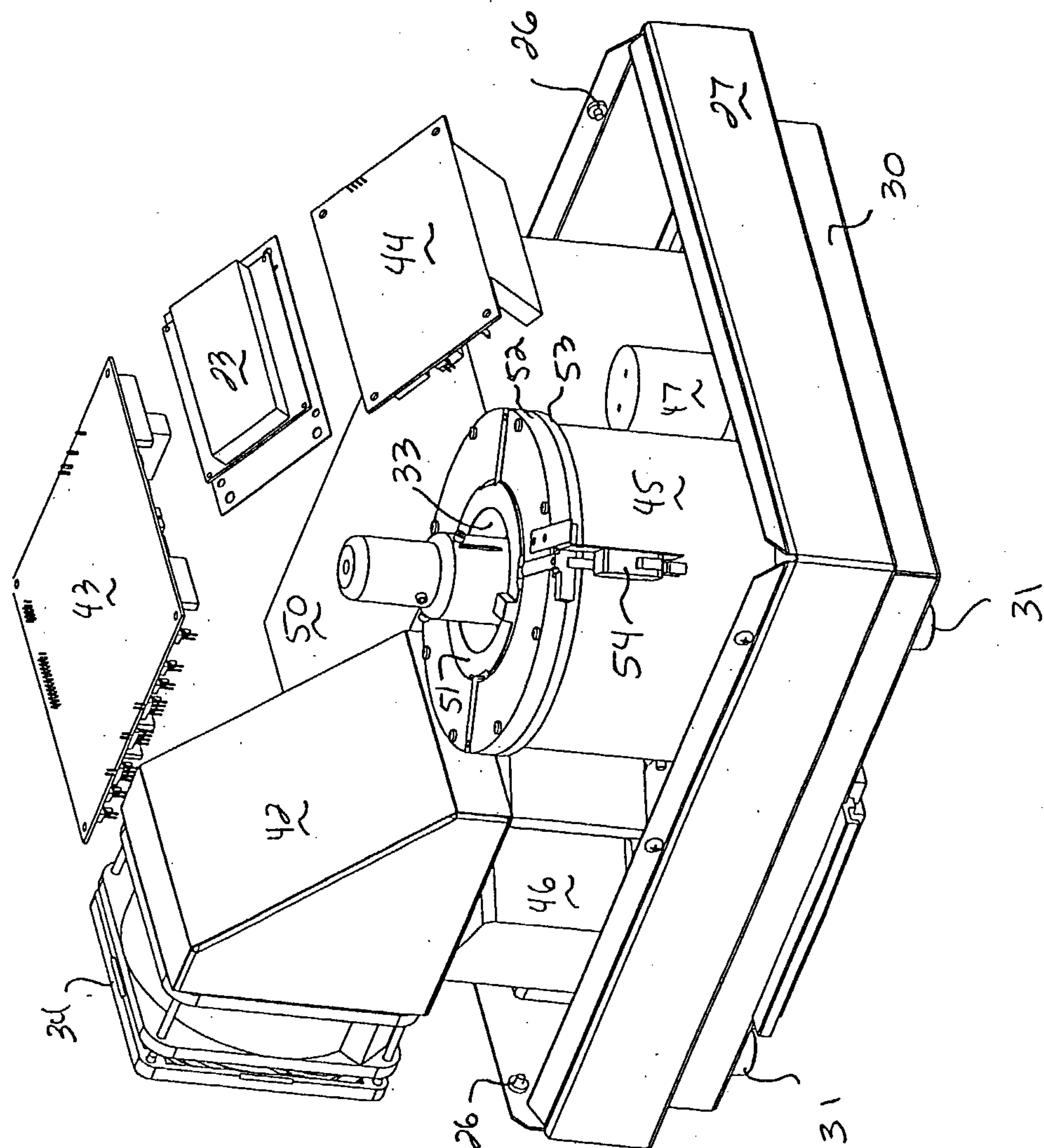
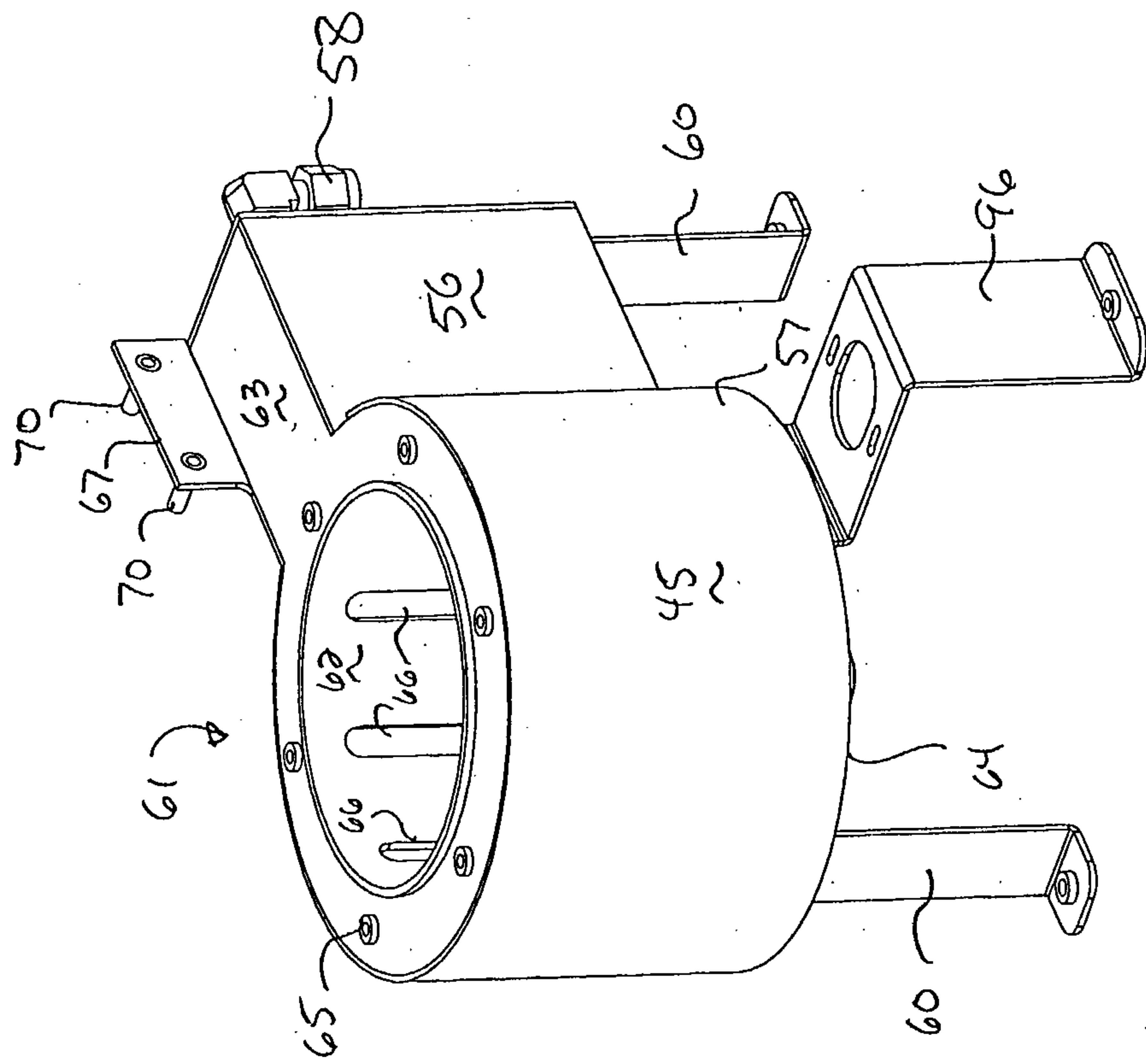


Fig. 3

Fig. 4



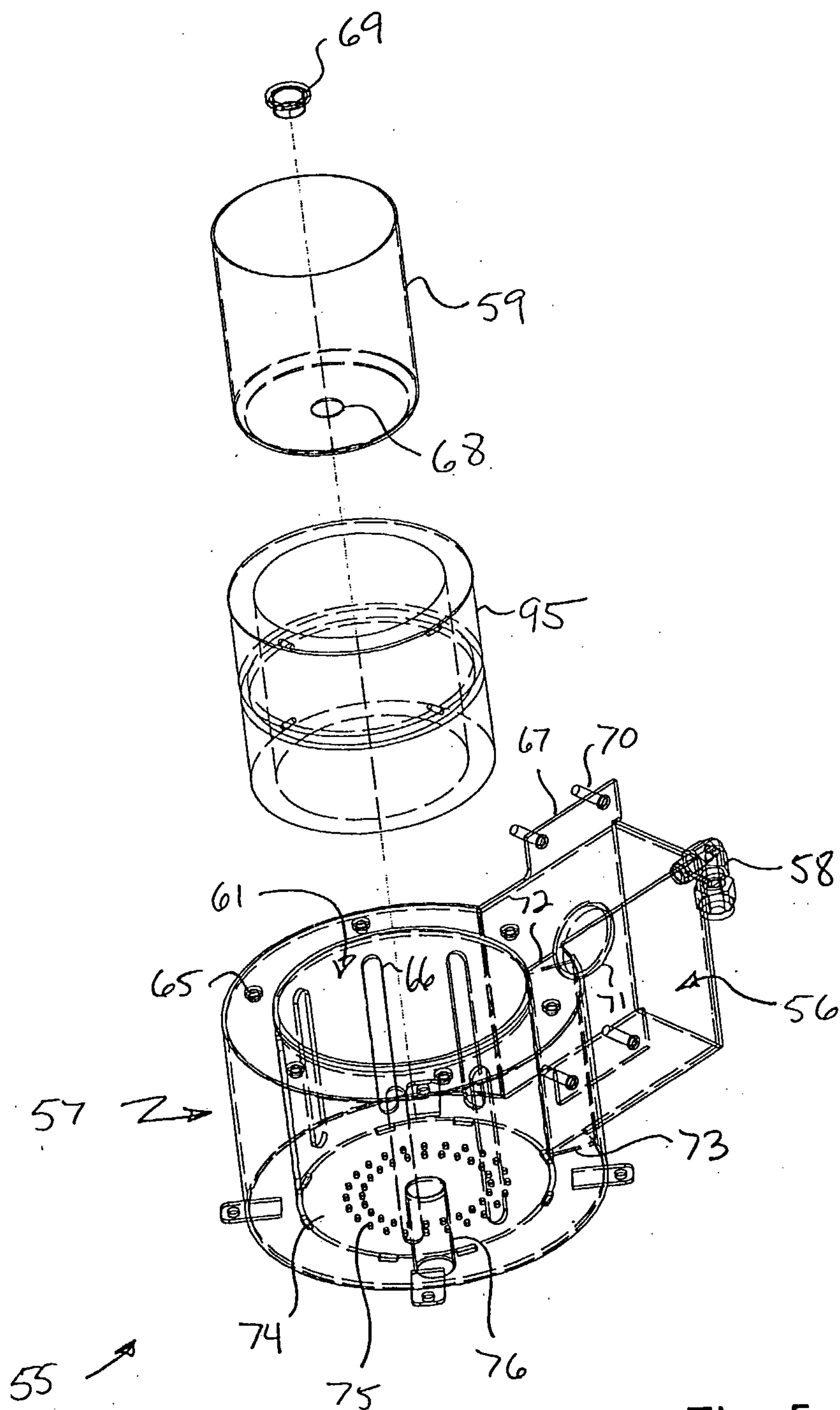


Fig. 5



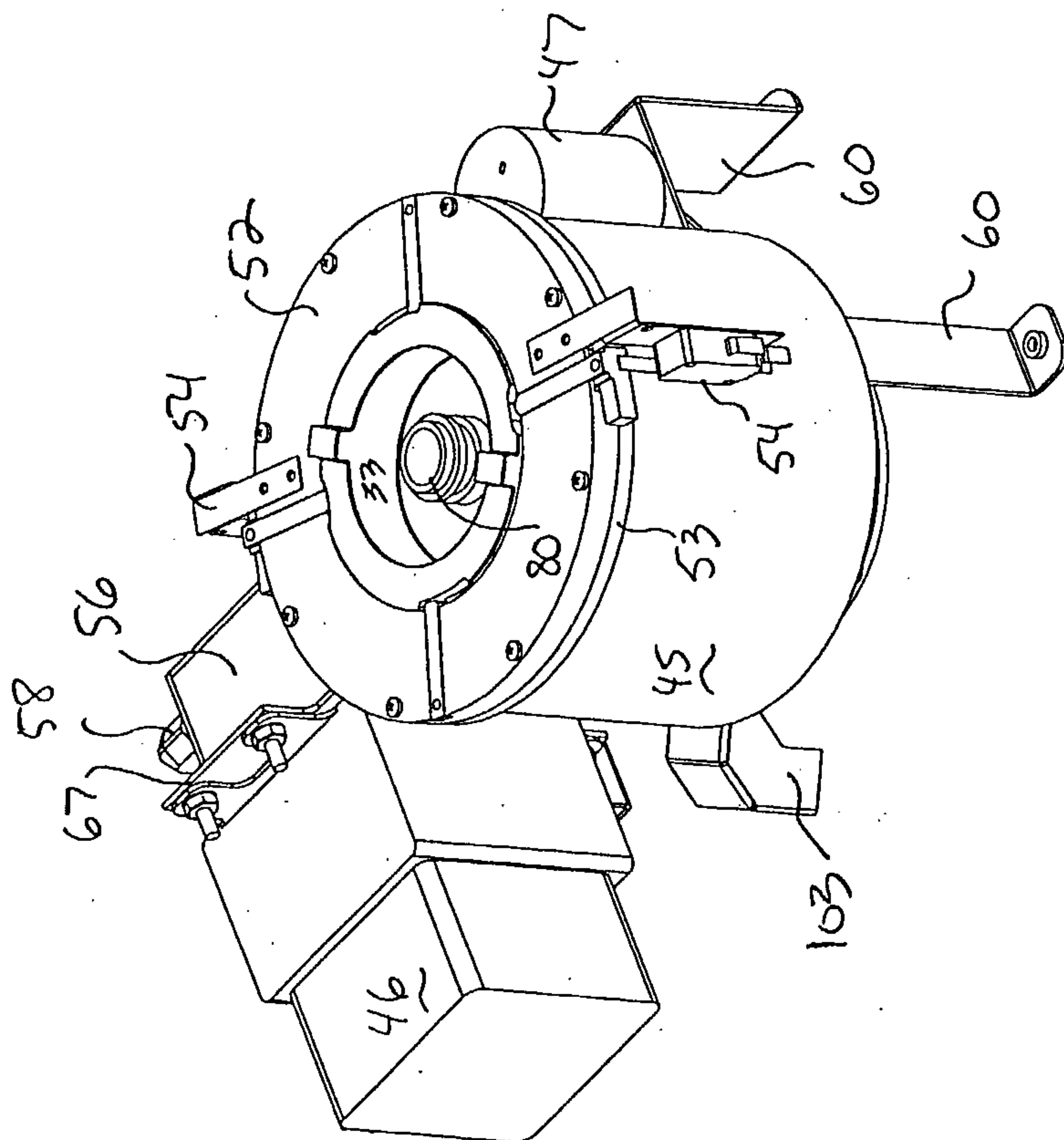


Fig. 6

Fig. 7

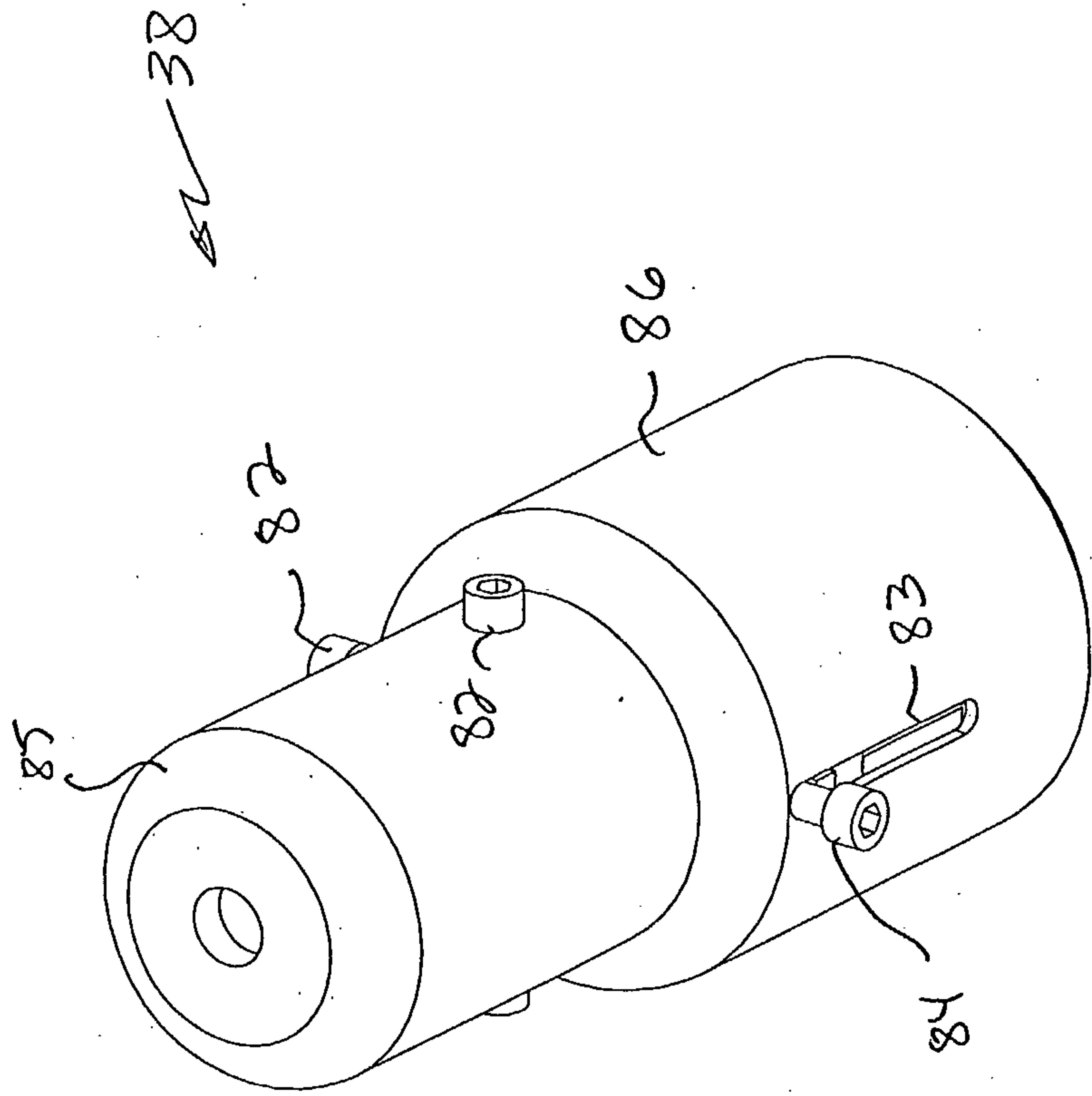
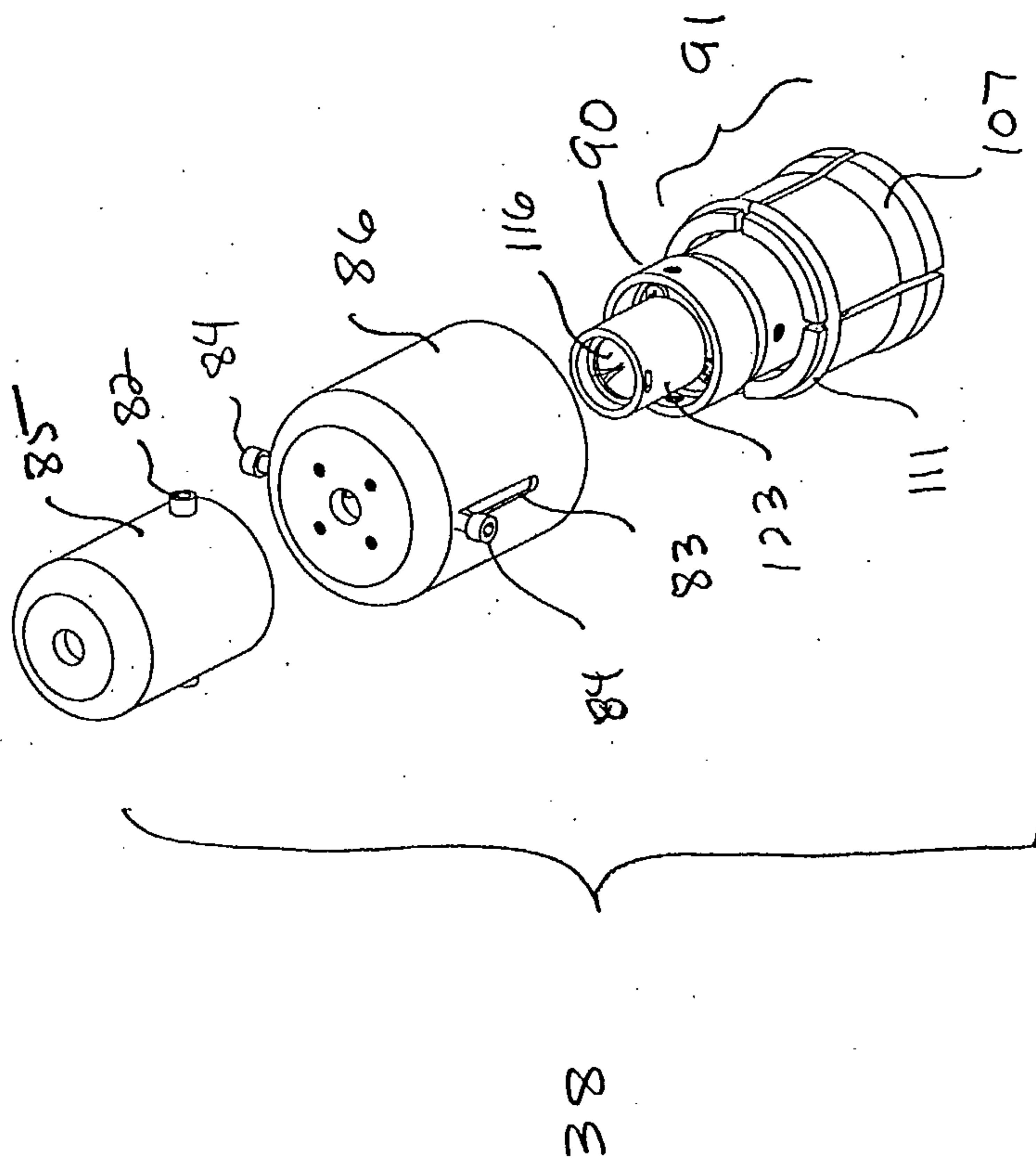


Fig. 8





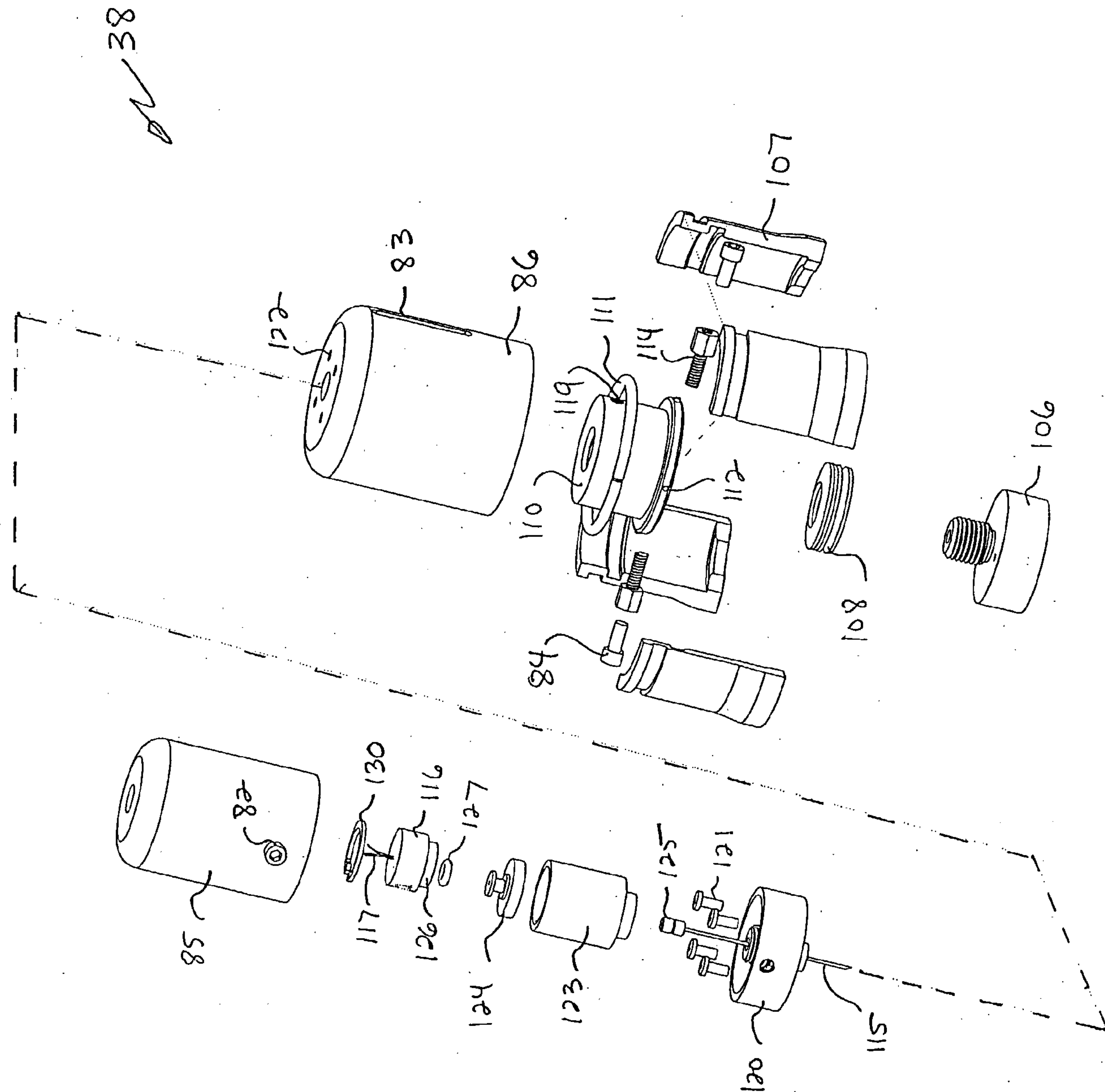


Fig. 9

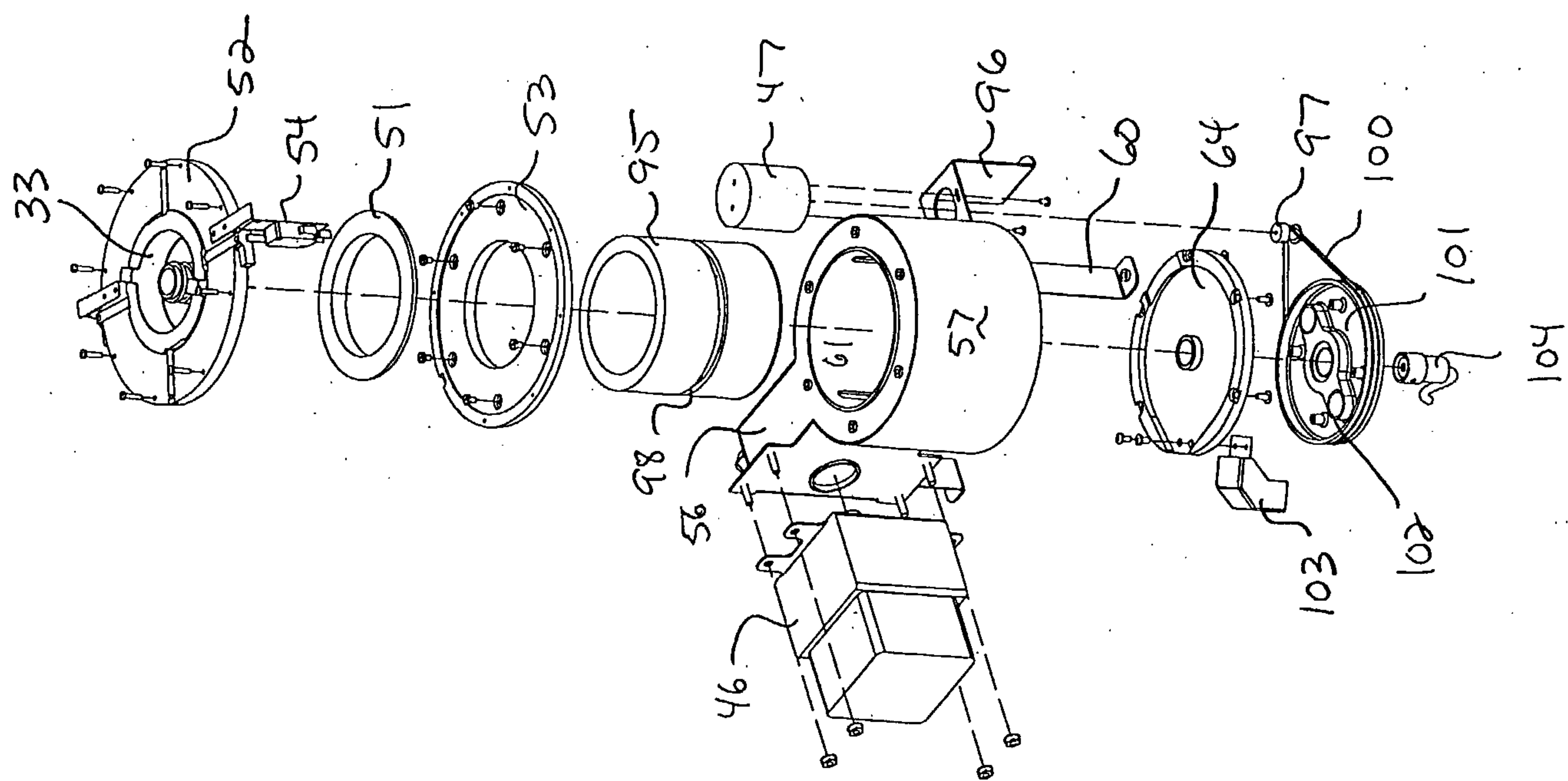
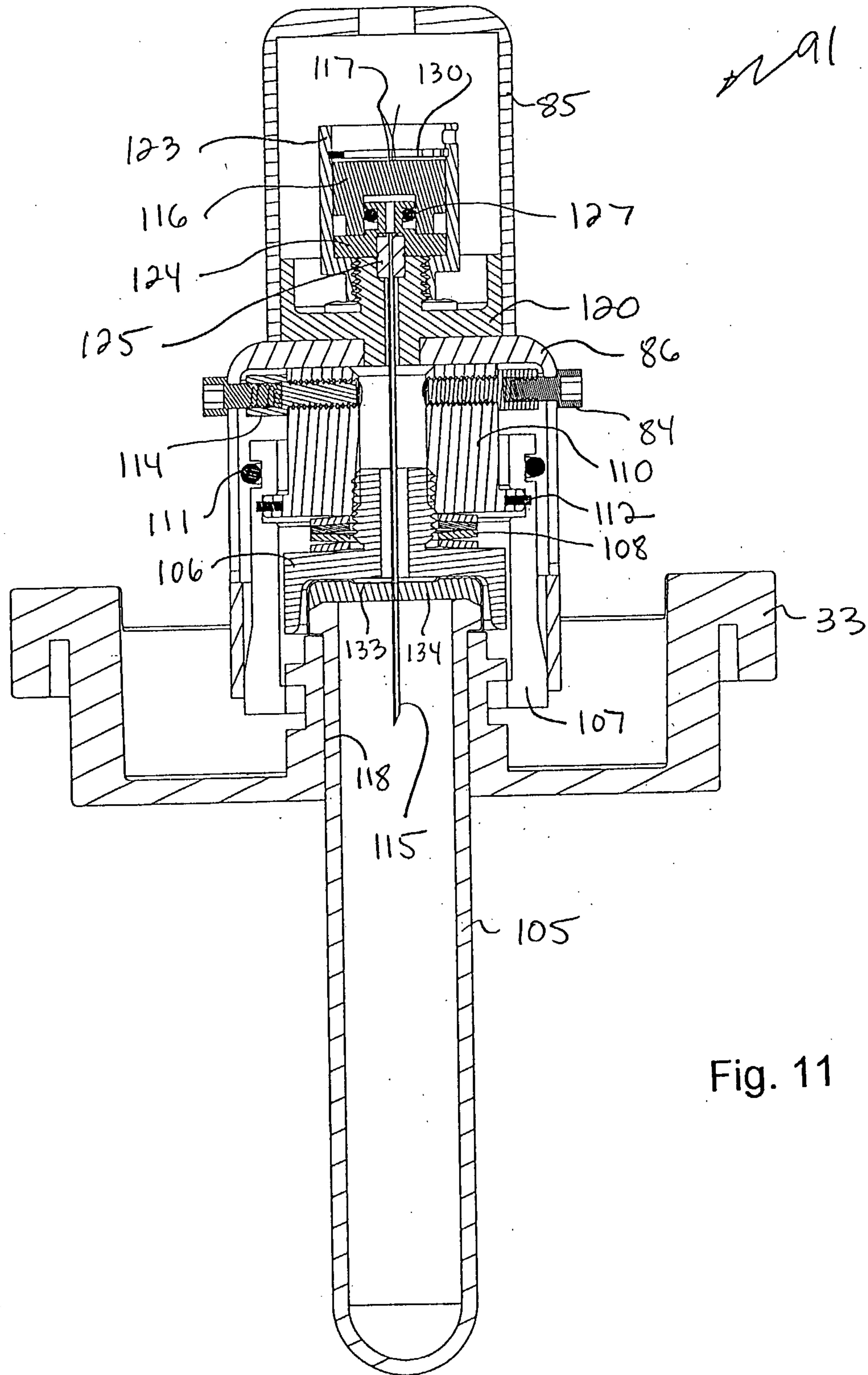


Fig. 10





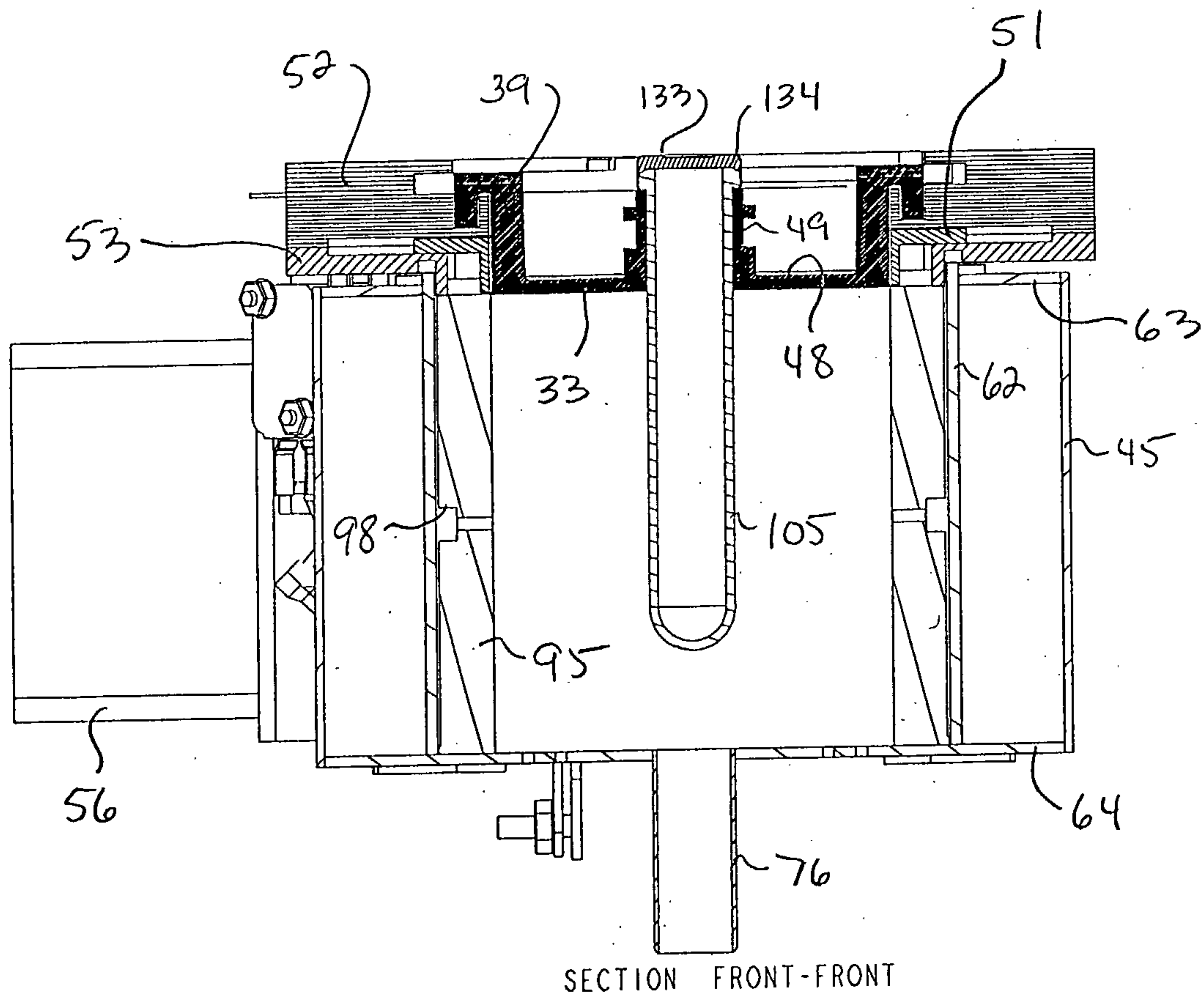


Fig. 12

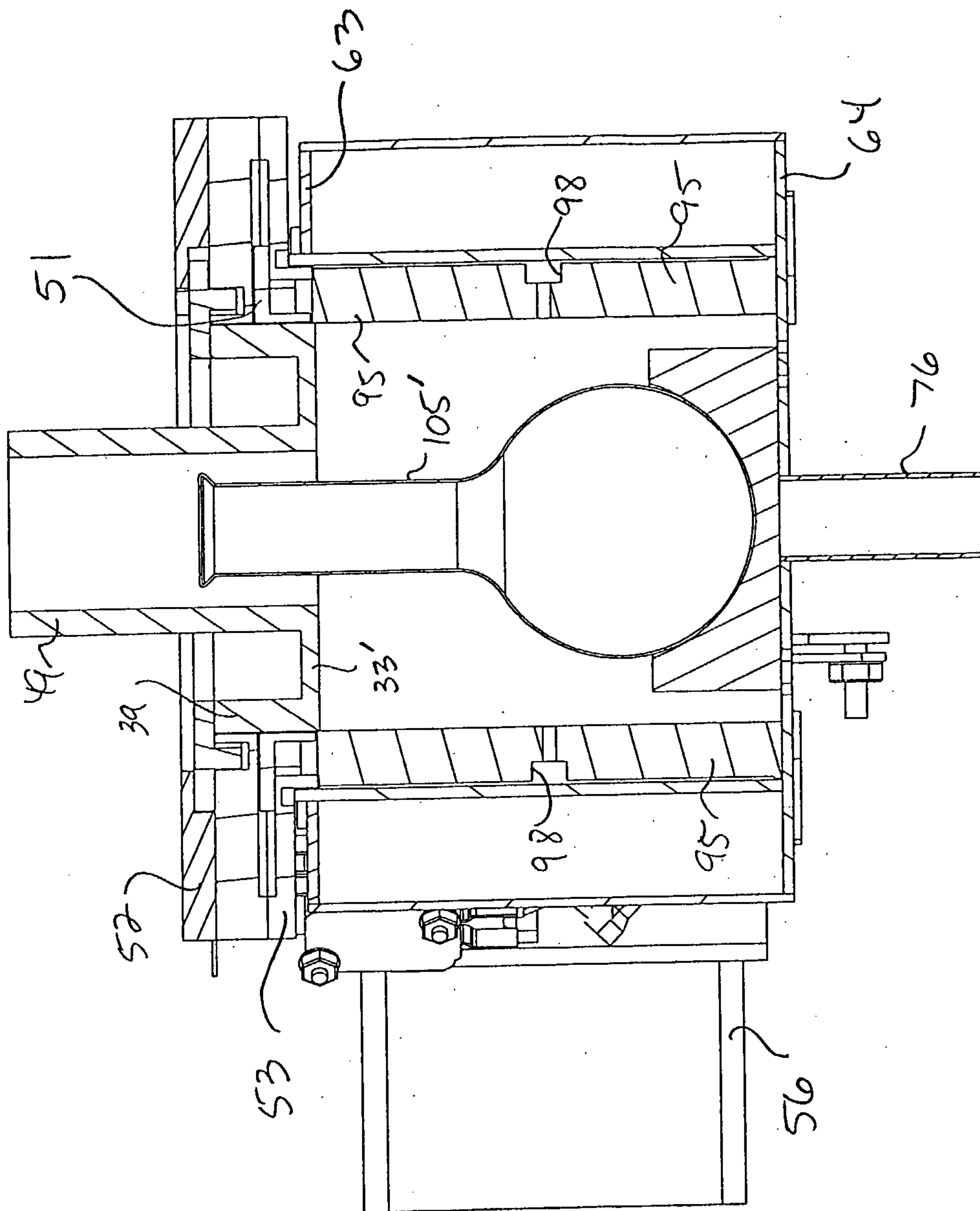


Fig. 13

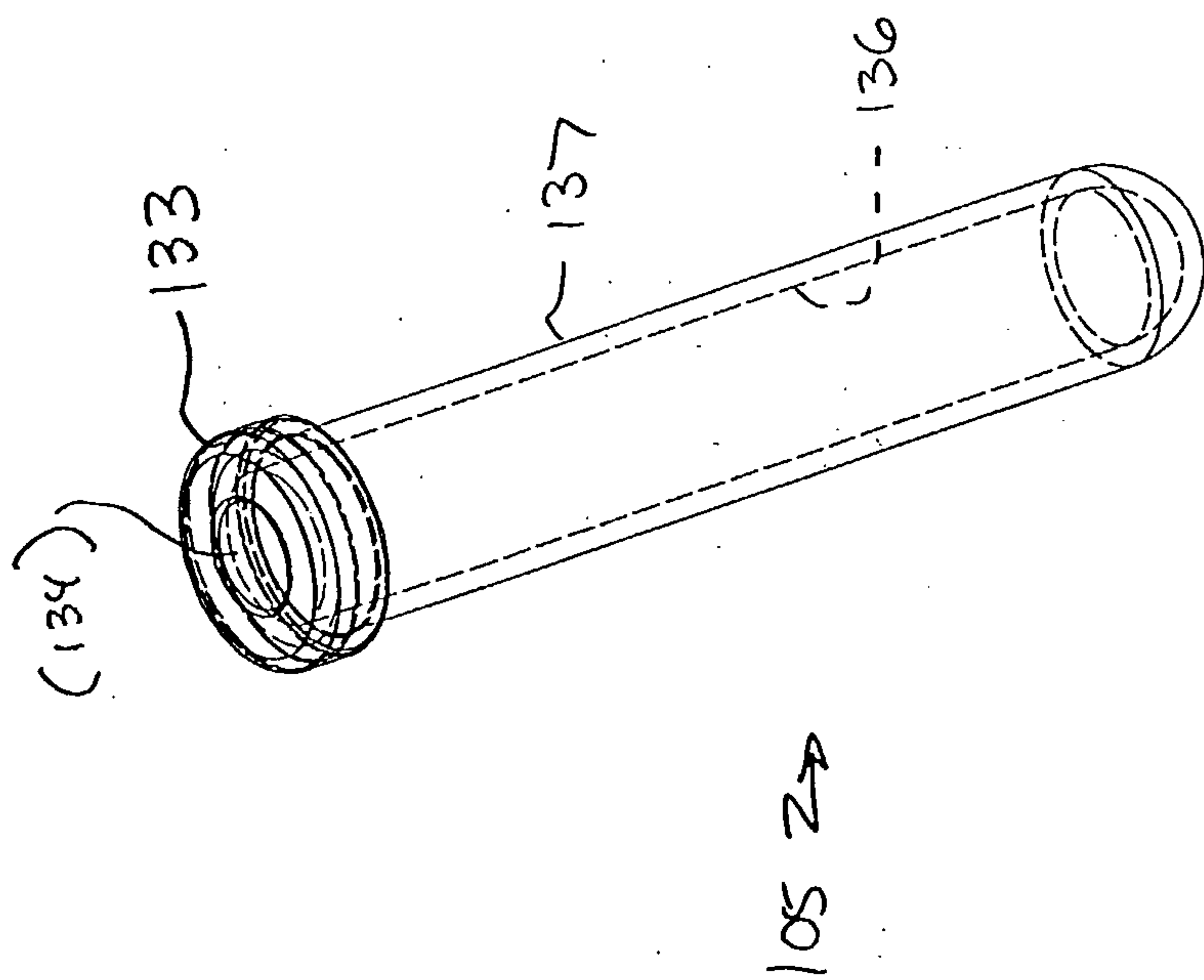


Fig. 14



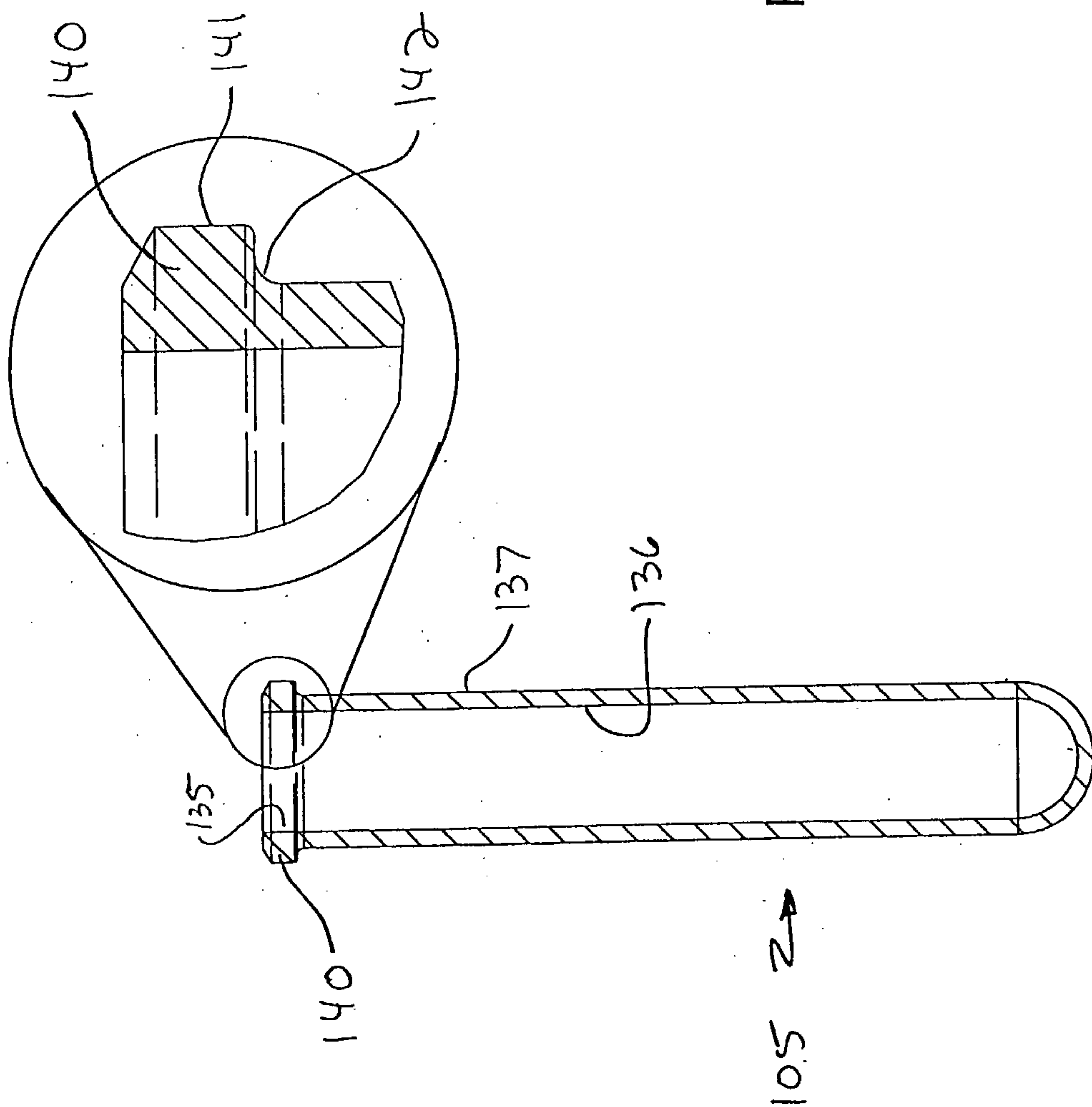


Fig. 15

