



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<p>(54) Title: ORAL VEHICLE COMPOSITIONS</p> <p>(57) Abstract</p> <p>Disclosed are oral pharmaceutical vehicle compositions comprising from about 0.05 to about 20 % of a water-soluble mucoadhesive.</p>		

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## ORAL VEHICLE COMPOSITIONS

TECHNICAL FIELD

10           The present invention relates to oral pharmaceutical vehicle compositions.

BACKGROUND OF THE INVENTION

          The cough reflex is an important mechanism whereby secretions from the lungs and airways are removed. Generally, such secretions are removed by the mucociliary escalator. However, when this mechanism is defective, or becomes  
15           overwhelmed by, for example, excessive secretions, cough then becomes a principal means of secretion removal.

          The cough reflex is initiated by stimulation of mechanical receptors and is controlled by afferent pathways within the vagus (X), glossopharyngeal (IX), and superior laryngeal nerves to the cough center in the brainstem. Cough can be caused  
20           by, for example, foreign bodies, dust, mucus, debris, gases and smoke in the lower respiratory tract. Irritation of various sensory nerves in the nose, sinuses, pharynx, ears, stomach, pericardium or diaphragm can also produce coughing. In many of these conditions, chronic or paroxysmal cough, however, can be exhausting and debilitating, particularly when it interferes with sleep.

25           Oral cough preparations, such as tablets, lozenges, syrups, solutions, suspensions and the like, containing an effective antitussive agent have long been used for the symptomatic relief of coughs. The most popular of such preparations contain either dextromethorphan (or its hydrobromide salt) or codeine (or its sulfate salt) as the active antitussive agent. These treatments, among many others, are fully described in Drug Evaluations, 6th Ed., Chapter 21 (The American Medical  
30           Association, 1986).

          Generally, cough syrups and sore throat medications have been available as pourable liquids or thixotropic gels. Exemplary prior art gel formulations for treatment of cough including those disclosed in U.S. Patent 4,427,681, incorporated  
35           herein by reference which use a suspending agent (Avicel/R R-591 from FMC Corporation) that give a thixotropic character to the formulation that is very viscous

and needs a special device or an appropriate amount of shear forces through a dispensing nozzle to pour.

However, due to the nature of the action of the various active ingredients present in such syrups and medications, Applicants have found that it is highly desirable to have compositions which contain a mucoadhesive such as a poly(ethylene oxide) with specific physical characteristics which coat and adhere to the throat and mucous membrane and can thereby maintain an active ingredient in more intimate contact with the irritated area. Without being limited to theory, Applicants believe such compositions provide protection to the mucosal surfaces and thereby can treat or reduce the irritation, pain and discomfort associated with laryngopharyngitis ("sore throat") as well as mucosal irritation associated with esophagitis.

Prior art compositions containing these adhesive materials for pharmaceutical and medical applications include osmotic dosage forms as disclosed in U.S. 4,816,263, U.S. 4,837,111, WO 91-07173 and Brit. Pat. Appl. GB 2,189,995; buccal drug dosage forms, as disclosed in U.S. 4,764,368; topical compositions for treating Acne Vulgaris, as disclosed in U.S. 4,335,028; a dosage form for administering nilvadipine for treating cardiovascular symptoms as disclosed in U.S. 4,902,514; pharmaceuticals for oral cavities, as disclosed in U.S. 4,649,043 and Japan, Kokei: 86-69338; chorhexidine gel for preventing infection in patients with radiation therapy; multi-unit delivery systems as disclosed in U.S. 5,023,088; sustained release tablets, as disclosed in U.S. 4,404,183 and EP 277092; oral capsule containing aqueous and oil to control gastrointestinal transit time, as disclosed in U.S. 4,690,82; and low melting moldable pharmaceutical excipient, Canadian patent 2000697.

It is, therefore, an object of the present invention to provide such vehicle compositions which coat and adhere to mucous membranes such as the throat. It is still a further object of the present invention to provide such compositions which can treat the irritation, pain and discomfort associated with laryngopharyngitis and esophagitis. A further object of the present invention is to provide such vehicle compositions which, when used with a pharmaceutical active maintain the active ingredient in more intimate contact with the oral mucosa. These and other objects of this invention will become apparent in light of the following.

#### SUMMARY OF THE INVENTION

The present invention relates to oral pharmaceutical vehicle compositions. Specifically, the present invention relates to aqueous oral vehicle compositions comprising from about 0.05 to about 20% of a water-soluble mucoadhesive wherein

said composition has an adhesive strength (measured as force of detachment) of from about 0.5 to about 10 Newton-sec, a tackiness of from about 1.0 to about 10 N, a co-efficient of viscoelasticity from about 0.20 to about 30 dynes/cm<sup>2</sup> and mechanical impedance of from about 0.15 to 0.6 measured between the frequency range of 1 to 10 Hz.

These compositions can have either a Newtonian or non-Newtonian flow. Newtonian compositions have a viscosity of from about 3 to about 100 cPs and non-Newtonian compositions have a viscosity of from about 100 to about 3000 cPs between the shear rate between 1 to 200 per second.

The present invention also relates to solid dissolvable oral pharmaceutical mucoadhesive vehicle compositions which comprise from about 0.05 to about 20% of a water-soluble mucoadhesive polymer selected from the group consisting of poly(ethylene oxide), poly(ethylene glycol), poly(vinyl alcohol), poly(vinyl pyrrolidone), poly(acrylic acid), poly(hydroxy ethyl methacrylate), hydroxyethyl ethyl cellulose, hydroxy ethyl cellulose and chitosan and mixtures thereof.

These compositions preferably comprise from about 0.02% w/v to about 5.00% w/v of a dispersant, preferably sodium carboxymethyl cellulose and also preferably further comprise one or more pharmaceutical actives, preferably a cough/cold active, at a level of from about .01% to about 50%.

All percentages and ratios used herein are by weight and all measurements are at 37°C, unless otherwise indicated.

#### DETAILED DESCRIPTION OF THE INVENTION

The term "mucoadhesive" as used herein refers to the phenomenon where a natural or synthetic bioadhesive applied to a mucosal epithelium adheres, usually creating a new interface, to the mucus layer. (CRC Critical Reviews in Ther. Drug Carrier Vol. 5 issue 1 (1988) pp. 21) Generally, mucoadhesion can be achieved via physical or chemical processes or both. This mechanism is described in J. Controlled Release Vol. 2 (1982) pp. 257 and in J. Controlled Release Vol. 18 (1992) pp. 249, both of which are incorporated by reference herein.

The term "viscoelasticity" as used herein is defined as the phenomenon whereby a material exhibits both a liquid-like and solid-like property under stress. Depending on the impact of shear-stress, a viscoelastic material can simultaneously behave both as a fluid and a solid. The fluid behavior is controlled by viscosity modulus, and the solid behavior is controlled by the elastic modulus. Once the shear-stress is removed, the viscoelastic materials tend to recover their original form. The extent to which it recovers its original form is dependent on the elastic component. The mechanical impedance is defined as a ratio of elastic modulus to

complex modulus. The polymers described herein have a co-efficient of viscoelasticity from about 0.01 to 100 dynes/cm<sup>2</sup>, more preferably from 0.1 to 50 dynes/cm<sup>2</sup> and most preferably from 0.20 to about 30 dynes/cm<sup>2</sup>, co-efficient of elasticity from about 0.01 to 50 dynes/cm<sup>2</sup>, more preferably from 0.03 to 30 dynes/cm<sup>2</sup> and most preferably from 0.07 to 18 dynes/cm<sup>2</sup> and mechanical impedance from about 0.15 to 0.6, more preferably from 0.3 to 0.6 and most preferably from 0.4 to 0.6 measured between the frequency range of 1 to 10 Hz.

The term "viscosity" as used herein is defined as the ratio of shear stress ( $\tau$ , dynes/cm<sup>2</sup>) to shear rate ( $\gamma$ , sec<sup>-1</sup>). The compositions of the present invention can have either Newtonian or, preferably, a non-Newtonian flow. A material has a Newtonian flow if the shear stress increases linearly with the shear rate. The optimal viscosity range for the Newtonian compositions ranges from 3 to 200 cPs and most preferably from 50 to 100 cPs. The compositions have a non-Newtonian flow if the shear stress does not change linearly with shear rate. Therefore, a material with a non-Newtonian flow will have different viscosity values at different shear rates. Viscosity range for the non-Newtonian formulation ranges from 100 to 3000 cPs<sup>n-1</sup>, most preferably from 400 to 1000cPs<sup>n-1</sup> between the shear rates of 1 to 200 per second.

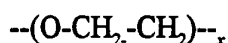
Flow and oscillatory tests for rheological measurements described herein are based on a Carri-Med CSL 100 Controlled Stress Rheometer with a 4 cm, 2" cone/plate and double concentric measuring system. Detailed information and definitions on rheology can be found in "Remingtons Pharmaceutical Sciences (Alfonso G. Gennaro editor) pp. 331; Physical Pharmacy (Alfred Martin, editor) pp. 453 and The Theory and Practice of Industrial Pharmacy (Leon Lachmann et al, editor) pp. 123; all of which are incorporated herein by reference.

The adhesive strength (measured as work of adhesion) of the mucoadhesives used herein generally ranges from 0.5 to 10 Newton-sec, more preferable from 1 to 8 Newton-sec, and most preferable from 3 to 7 N s and tackiness of from about 1 to about 10 Newton as measured using the TA.XT2 Texture Analyzer (Scarsdale NY) instrument using a TA-25 2" diameter probe at 25°C. The instrument probe is set to operate at a withdrawal and penetration rates of 0.1 mm/sec., and a withdrawal point of 5 mm. The test material (0.1) is placed on an aluminum block and compressed by the probe at an applied force of 0.5 Newton for 5 sec and data is collected on an IBM compatible computer equipped with an analog to digital serial card and running the XTRA Dimenion software package (Stable Micro Systems). The work of adhesion is calculated using the force/time curve. Also recorded is the peak resistance curve.

Preferred polymers for use in both the aqueous and solid dissolvable mucoadhesive compositions of the present invention include the following hydrogels: poly(ethylene oxide), poly(ethylene glycol), poly(vinyl alcohol), poly(vinyl pyrrolidine), poly(acrylic acid), poly(hydroxy ethyl methacrylate), hydroxypropyl cellulose, hydroxypropyl methyl cellulose, hydroxyethyl methyl cellulose, hydroxyethyl cellulose, and chitosan and mixtures thereof. The techniques, compositions for making hydrogels, and other fundamentals are discussed in "Hydrogels in Modern Medicine & Pharmacy Volume 1 (N. A. Peppas ed.) PP 1 to 171 (CRC Press, 1988) incorporated herein by reference.

These polymers are generally commercially available as follows: the polymers of poly(ethylene oxide) are available as Polyox® from Union Carbide Corporation; poly(ethylene glycol), also known as PEG is available as Macrogol® from Ashland Corp.; poly(vinyl alcohol) is available from E. I. du Pont de Nemours & Co.; poly(vinyl pyrrolidine) is available from BASF Wyandotte Corp., and GAF Corp.; hydroxypropyl cellulose is available as Klucel from Shin-Etsu Chem. Co.; hydroxypropyl methyl cellulose or methyl hydroxypropylcellulose, and hydroxyethyl methyl cellulose are all available from Dow Chemicals. Sodium carboxy methyl cellulose is available from FMC Corp. The polymers are described in "Handbook of Pharmaceutical Excipients" jointly published by American Pharmaceutical Association (Washington DC 20037, USA) and The Pharmaceutical Society of Greater Britain (London SE1 7JN, England), and is incorporated by reference herein.

The most preferred for use herein is poly(ethylene oxide) and has the following chemical structure:



These polymers are commercially available under the trade name "Polyox Water Soluble Resins" from Union Carbide Corporation. Generally they are available with average molecular weights from as low as 200 up to 10,000,000. However, most of the products below 25,000 are viscous liquids or waxy solids commonly referred to as poly(ethylene glycols). Most preferred poly(ethylene oxide) polymers used herein have an average molecular weight from about 300,000 to 10,000,000. They are dry free-flowing white powders, completely soluble in water at temperatures up to 98°C.

The compositions of the present invention comprise from about 0.05% to 20% w/v and most preferable from 0.2% to 0.5% w/v of the mucoadhesive.

### 35 Optional Components

The vehicle compositions of the present invention preferably employ a dispersing agent. The most preferred dispersing agent for use herein is sodium carboxymethyl cellulose.

The amount of dispersing agent employed in the compositions of this invention is from about 0.5% to about 5%, more preferably from 1% to 5%, based on the total composition.

The compositions of the present invention also preferably include at least one oral pharmacological active preferably selected from the following classes: (a) analgesic agents, (b) decongestants, (c) expectorants, (d) antitussives, (e) antihistamines and (f) gastrointestinal agents. The analgesics useful for this invention include acetaminophen, acetyl salicylic acid, indomethacin and optically active isomers or racemates of ibuprofen, naproxen, flurbiprofen, carprofen, tiaprofenic acid, cicloprofen, ketoprofen, ketorolac, etodolac, indomethacin, sulindac, fenoprofen, diclofenac, piroxicam, benzydome, nabumetone, their pharmaceutically acceptable salts and mixtures thereof. The decongestants prepared for use in the compositions of the present invention include pseudoephedrine, phenylpropanolamine, phenylephrine and ephedrine, their pharmaceutically acceptable salts, and mixtures thereof. The antitussives preferred for use in the present invention include those such as dextromethorphan, chlorpheniramine, carbapentane, caramiphen, noscapine, diphenhydramine, codeine, hydrocodone, hydromorphone, fominoben, benzonatate, their pharmaceutically-acceptable salts, and mixtures thereof. The expectorants (also known as mucolytic agents) preferred for use in the present invention include glyceryl guaiacolate, terpin hydrate, ammonium chloride, N acetylcysteine and bromhexine, ambroxol, their pharmaceutically acceptable salts, and mixtures thereof. All of these components, as well as their acceptable dosage ranges are described in the following: U.S. Patent 4,783,465 to Sunshine et al., issued November 8, 1988, U.S. Patent 4,619,934 to Sunshine et al., issued October 28, 1986, which are incorporated by reference herein. Also useful herein are topical anesthetics such as phenol, lidocaine, dyclonine, benzocaine, menthol, benzyl alcohol, salicyl alcohol, and hexylresorcinol, their pharmaceutically-acceptable salts, and mixtures thereof.

Examples of gastrointestinal agents preferred for use in the present invention include anticholinergics, including: atropine, clidinium and dicyclomine; antacids, including aluminum hydroxide, bismuth subsalicylate, bismuth subcitrate, simethicone, calcium carbonate and magaldrate; H<sub>2</sub>-receptor antagonists, including: cimetidine, famotidine, nizatidine and ranitidine; laxatives, including: phenolphthalein and casanthrol; gastroprotectants including sucralfate and sucralfate humid gel;



gastrokinetic agents including metoclopramide and eisaprode; proton pump inhibitors including omeprazole and antidiarrheals including: diphenoxylate and loperamide.

Also useful are bronchodilators such as terbutaline, aminophylline, epinephrine, isoprenaline, metaproterenol, bitoterol, theophylline and albuterol. A highly preferred optional component is caffeine.

The term "pharmaceutically acceptable salts" refers to salts prepared from pharmaceutically acceptable non-toxic bases including inorganic bases and organic bases. Salts derived from nonorganic bases include sodium, potassium, lithium, ammonia, calcium, magnesium, ferrous, zinc, manganous, aluminum, ferric, manganic salts and the like. Salts derived from pharmaceutically acceptable organic non-toxic bases include salts of primary, secondary, tertiary and quaternary amines, substituted amines including naturally occurring substituted amines, cyclic amines and basic ion exchange resins, such as triethylamine, tripropylamine, 2-dimethylaminoethanol, 2-diethylaminoethanol, lysine, arginine, histidine, caffeine, procaine, N-ethylpiperidine, hydrabamine, choline, betaine, ethylenediamine, glucosamine, methylglycamine, theobromine, purines, piperazine, piperidine, polyamine resins and the like.

The mucoadhesive polymers can be incorporated into various solid or chewable oral compositions such as tablets, lozenges, troches and granules. These solid forms dissolve in the mouth and thereby coat and adhere to the mucous membranes. Tablets can be compressed, tablet triturates, enteric-coated, sugar-coated, film-coated or multiple compressed, containing suitable binders, lubricants, diluents, disintegrating agents, coloring agents, flavoring agents, preservatives and flow-inducing agents. Suitable solid dosage forms can incorporate effervescent or other water-dispersible substances and dried into dosage forms that rapidly disintegrate upon coming into contact with an aqueous liquid. Suitable effervescent technology is described in Chapter 6 of Pharmaceutical Dosage Forms: Tablets, Vol. I, 2<sup>nd</sup> ed., A Lieberman ed., 1989, Marcel Dekker, Inc. herein incorporated by reference. Methods of solid dosage formulation are well known in the art and any appropriate method may be utilized. Further information regarding solid dosage formulation can be found in Remington's Pharmaceutical Sciences, pp. 1633-1664, (Alfonso R. Gennaro, editor) (18th ed. 1990).

Also useful are freeze dried dosage forms. A preferable method of freezing and drying is to fast freeze the composition and then dry the composition to a final moisture content of about 2% to about 5%. Suitable methods of freeze-drying and production are taught by U.S. Patent 4,642,903, February 17, 1987, to Davies, U.S.

Patent 4,946,684, August 7, 1990, to Blank et al., U.S. Patents 4,305,502 and 4,371,516, issued December 15, 1981 and February 1, 1983 respectively, to Gregory et al., and U.S. Patent 5,188,825, February 23, 1993, to Iles et al.; which are all incorporated herein by reference.

5 Similarly, the compositions of the present invention may be vacuum dried. Vacuum drying involves at least the partial drying of compositions at temperatures above compositions' collapse temperature. Freeze drying, on the other hand, involves the drying of compositions at temperatures below the compositions collapse temperature. Any suitable method of vacuum drying may be used. Suitable vacuum  
10 drying processes are described in U.S. Patent 5,298,261, to Pebley et al., issued March 29, 1994, herein incorporated by reference.

One other form of fast dissolving technology that may be applicable to the present invention is a liquid/liquid extract developed by Janssen Pharmaceutica Inc. and is identified by the trade name Quicksolv™. This technology is fully described in  
15 U.S. Patent 5,215,756 herein incorporated by reference.

The mucoadhesive polymers can be incorporated into various liquid oral compositions such as syrups, gels, emulsions, pseudo-emulsions, micro-emulsions and suspensions. These compositions comprise effective amounts of the mucoadhesive, usually at least about 0.1% to about 0.5% and therapeutically active components from about .01% to 50% and more preferably from .5% to 25% of the formulation. The mucoadhesive hydrogel vehicle or the compositions also include solutions or suspensions reconstituted from powders or granules. The aqueous vehicles and compositions also contain suitable amounts of preservatives, emulsifying agents, suspending agents, diluents, sweeteners, taste-masking agents, coloring agents, and  
20 flavoring agents.  
25

Specific examples of pharmaceutically acceptable carriers and excipients that may be used to formulate oral dosage forms, are described in U.S. Patent 3,903,297, Robert, issued September 2, 1975, incorporated by reference herein.

In preparing the liquid oral dosage forms, the active component is incorporated into an aqueous-based orally acceptable pharmaceutical carrier consistent  
30 with conventional pharmaceutical practices. An "aqueous-based orally acceptable pharmaceutical carrier" is one wherein the entire or predominant solvent content is water. Typical carriers include simple aqueous solutions, syrups, dispersions and suspensions, and aqueous based emulsions such as the oil-in-water type. The most  
35 preferred carrier is a suspension of the pharmaceutical composition in an aqueous vehicle. Such suspending agents are well known to those skilled in the art. While the amount of water in the compositions of this invention can vary over quite a wide

range depending upon the total weight and volume of the active component and other optional non-active ingredients, the total water content, based on the weight of the final composition, will generally range from about 10 to about 75%, and, preferably, from about 20 to about 40%, by weight/volume. Methods for preparations and manufacture of solutions, suspensions, and emulsions are discussed in Remington's Pharmaceutical Sciences (Alfonso R. Gennaro, editor), pp. 1519, incorporated herein by reference.

Although water itself may make up the entire carrier, typical liquid formulations preferably contain a co-solvent, for example, propylene glycol, corn syrup, glycerin, sorbitol solution and the like, to assist solubilization and incorporation of water-insoluble ingredients, such as flavoring oils and the like into the composition. In general, therefore, the compositions of this invention preferably contain from about 1 to about 70%v/v and, most preferably, from about 5 to about 50% v/v, of the co-solvent.

In addition, the present invention may optionally incorporate a cooling agent or a combination of cooling agents. Suitable cooling agents include, for example, menthol as well as those described in U.S. Patent 4,136,163, January 23, 1979, to Watson et al., U.S. Patent 4,230,668, October 28, 1980, to Rowsell et al. and U.S. Patent 4,032,661, to Rowsell et al., all of which are herein incorporated by reference. A particularly preferred cooling agent is N-ethyl-p-menthane-3-carboxamide (WS-3 supplied by Sterling Organics), taught by the above incorporated U.S. Patent 4,136,163. Another particularly preferred cooling agent is 3-1-menthoxypropane 1,2-diol (TK-10 supplied by Takasago Perfumery Co., Ltd., Tokyo, Japan). This material is described in detail in U.S. Patent 4,459,425, July 10, 1984 to Amano et al. and incorporated herein by reference.

Other optional ingredients well known to the pharmacist's art may also be included in amounts generally known for these ingredients, for example, natural or artificial sweeteners, flavoring agents, colorants and the like to provide a palatable and pleasant looking final product, antioxidants, for example, butylated hydroxy anisole or butylated hydroxy toluene, and preservatives, for example, methyl or propyl paraben or sodium benzoate, to prolong and enhance shelf life.

#### Methods

The amount of the pharmaceutical composition administered depends upon the percent of active ingredients within its formula, such as an analgesic, decongestant, cough suppressant, expectorant, antihistamine and/or gastrointestinal active required per dose, stability, release characteristics and other pharmaceutical parameters.

Usually from about 1 mg/kg to about 500 mg/kg per day, preferably from about 5 mg/kg to about 300 mg/kg per day and most preferably from about 5 mg/kg per day to about 200 mg/kg per day of the pharmaceutical composition is administered as described herein. This amount can be given in a single dose, or, preferably, in multiple (two to six) doses repeatedly or sustained release dosages over the course of treatment. Generally, each individual dosage of the pharmaceutical compositions of the present invention range from about 1 mg/kg to about 25 mg/kg, preferably from about 2 mg/kg to about 15 mg/kg and most preferably from about 3 mg/kg to about 10 mg/kg. While dosages higher than the foregoing may be effective, care must be taken, as with any drug, in some individuals to prevent adverse side effects.

The following examples illustrate embodiments of the subject invention wherein both essential and optional ingredients are combined.

#### EXAMPLE I

A liquid composition for oral administration is prepared by combining the following ingredients:

<u>Ingredient</u>	<u>% W/V</u>
Poly (ethylene oxide) (molecular wt = 5,000,000) <sup>1</sup>	0.450
Sodium carboxymethyl cellulose	0.450
Sodium citrate	0.522
Citric Acid	0.338
Corn Syrup	40.000
Colorants	0.008
Flavor	0.500
Alcohol 95%	5.000
Water, Purified QS	100.000

<sup>1</sup>Polyox WSR Coag from Union Carbide

The purified water (approximately 10% of the final batch volume) is poured into a batch container equipped with a disperser. The sodium citrate, and citric acid is added sequentially and dissolved with agitation. In a separate container the flavors are dissolved in alcohol and added to the first mixture while stirring. In a separate container the colorants are added to purified water (approximately 0.5% of the final batch volume). This colorant solution is then added to the first batch container. In a separate container, sodium carboxymethyl cellulose, and polyox WSR Coag is sequentially dispersed in propylene glycol using medium shear. The propylene glycol mixtures, and corn syrup are added to the first container and stirred

until homogeneous. The remaining QS purified water is added to the resulting mixture and stirred.

The composition will have a non-Newtonian viscosity of 700 cPs<sup>n-1</sup> measured between the shear-rates of 0.5 to 40 per sec., an adhesive strength of 1.30 Newton-sec, and tackiness of 4.5 Newton. The mechanical impedance of the product is 0.4, coefficient viscosity 1.10, and the coefficient of viscoelasticity is 20.0.

Administration of 10 ml to 20 ml (2 to 4 teaspoonsful) to a person in need of treatment provides improved relief from the irritation, pain and discomfort associated with laryngopharyngitis ("sore throat") as well as mucosal irritation associated with esophagitis.

**EXAMPLE II**

A liquid composition for oral administration for relief from symptoms, aches and pain associated with cough, cold, and flu is prepared by combining the following ingredients:

15	<u>Ingredient</u>	<u>% W/V</u>
	Acetaminophen	5.000
	Alcohol (95%)	5.000
	Poly (ethylene oxide) (molecular wt =5,000,000)	0.450
	Sodium carboxymethyl cellulose	0.450
20	Pseudoephedrine HC	10.300
	Propylene Glycol	15.000
	Sodium Citrate	0.522
	Citric Acid	0.338
	Liquid Sugar (Simple Syrup)	40.000
25	Colorants	0.008
	Flavor	0.500
	Water, Purified QS	100.000
	<sup>1</sup> Polyox WSR Coag from Union Carbide	

The purified water (approximately 10% of the final batch volume) is poured into a batch container equipped with a disperser. The sodium citrate, citric acid, and actives other than acetaminophen are added sequentially and dissolved with agitation. In a separate mixture the flavors are dissolved in alcohol and added to the first mixture while stirring. In a separate container the colorants are added to purified water (approximately 0.5% of the final batch volume). This colorant solution is then added to the first batch container. In a separate container, sodium carboxymethyl cellulose, acetaminophen and polyox WSR COAG is sequentially added to propylene glycol while stirring. The propylene glycol mixtures, liquid sugar

(simple syrup) are added to the first container and stirred until homogeneous. The remaining purified QS water is added to the resulting mixture and stirred.

The composition will have a non-Newtonian viscosity of 770 cPs<sup>n-1</sup> measured between the shear-rates of 0.5 to 40 per sec., an adhesive strength of 1.68  
5 Newton-sec, and tackiness of 5.0 Newton. The mechanical impedance of the product is 0.4, coefficient of elasticity 1.05, and the coefficient of viscoelasticity is 27.7.

Administration of 10 ml to 20 ml (2 to 4 teaspoonsful) to a person in need of treatment provides improved relief from cough, cold-like, flu and flu-like symptoms.

### EXAMPLE III

10 A liquid composition for oral administration for treatment of cough is prepared by combining the following ingredients:

	<u>Ingredients</u>	<u>Amount (W/V)</u>
	Dextromethorphan HBr	0.133
	High fructose corn syrup	45.000
15	Tween 60	0.500
	Tween 80	0.500
	Propylene glycol	9.000
	Sodium carboxymethyl cellulose	0.450
	Poly (ethylene oxide) (molecular wt =5,000,000)	0.300
20	Potassium sorbate	0.100
	Alcohol 95% v/v	5.000
	Natural menthol	0.050
	Sodium citrate	0.522
	Citric acid, anhydrous	0.338
25	Saccharin, sodium	0.025
	Aspartame	0.200
	Flavor	0.844
	TK-10	0.010
	WS-3	0.012
30	FD&C Red # 40	0.030
	Water, purified	qs 100

<sup>1</sup>Polyox WSR Coag from Union Carbide

The corn syrup is poured into a batch container equipped with a Lightnin' mixer. The tween 60, tween 80, sodium citrate, citric acid, are added sequentially  
35 and dissolved with agitation. In a separate container the colorants are added to purified water (approximately 0.5% of the final batch volume). This colorant solution is then added to the first batch container. In a separate container, the flavors

including natural menthol are added to alcohol. The resulting mixture is stirred until homogeneous and then added to the first container. In a separate container the dextromethorphan HBr, sodium carboxymethyl cellulose and polyox WSR COAG are added to the propylene glycol while stirring. The propylene glycol mixture is then added to the first batch and stirred until homogeneous. The remaining purified QS water is added to the resulting mixture and stirred.

The composition will have a non-Newtonian viscosity of  $650 \text{ cPs}^{n-1}$  measured between the shear-rates of 0.5 to 40 per sec., an adhesive strength of 1.07 Newton-sec, and tackiness of 4.8 Newton. The mechanical impedance of the product is 0.4, coefficient of elasticity is 1.20, and the coefficient of viscoelasticity is 23.

Administration of 10 ml to 20 ml (2 to 4 Teaspoonsful) to a person in need of treatment from cough.

#### EXAMPLE IV

A liquid composition for oral administration for relief from symptoms and pain, associated with cough, cold, and flu is prepared by combining the following ingredients:

	<u>Ingredients</u>	<u>% W/V</u>
	Dextromethorphan HBr	0.133
	Guafenesin	1.333
20	Pseudoephedrine HCl	0.300
	(R,S) Ibuprofen	1.000
	High fructose corn syrup	45.000
	Tween 60	0.500
	Tween 80	0.500
25	Propylene glycol	9.000
	Sodium Carboxymethyl cellulose	0.450
	Poly (ethylene oxide) (molecular wt =5,000,000)	0.300
	Potassium sorbate	0.100
	Alcohol 95% v/v	5.000
30	Natural menthol	0.050
	Sodium citrate	0.522
	Citric acid, anhydrous	0.338
	Saccharin, sodium	0.025
	Aspartame	0.200
35	Flavor	0.844
	TK-10	0.010
	WS-3	0.012

FD&C Red # 40	0.030
Water, purified	qs 100

<sup>1</sup>Polyox WSR Coag from Union Carbide

The corn syrup is poured into a batch container equipped with a Lightnin' mixer. The tween 60, tween 80, sodium citrate, and citric acid are added sequentially and dissolved with agitation. In a separate container the colorants are added to purified water (approximately 0.5% of the final batch volume). This colorant solution is then added to the first batch container. In a separate container, the flavors including natural menthol are added to alcohol. The resulting mixture is stirred until homogeneous and then added to the first container. In a separate container the dextromethorphan HBr, guaifenesin, (S,R) ibuprofen and polyox WSR 301 are added to the propylene glycol while stirring. The propylene glycol mixture is then added to the first batch and stirred until homogeneous. The remaining purified QS water is added to the resulting mixture and stirred.

The composition will have a non-Newtonian viscosity of  $360 \text{ cPs}^{\text{n-1}}$  measured between the shear-rates of 0.5 to 40 per sec., an adhesive strength of 1.80 Newton-sec, and tackiness of 5.3 Newton. The mechanical impedance of the product is 0.4, coefficient of elasticity is 1.45, and the coefficient of viscoelasticity is 14.

Administration of 10 ml to 20 ml (2 to 4 Teaspoonsful) to a person in need of relief from symptoms of cough, cold and flu.

EXAMPLE V

A liquid composition for oral administration for relief from symptoms and pain, associated with cough, cold, and flu is prepared by combining the following ingredients:

<u>Ingredients</u>	<u>% W/V</u>
Dextromethorphan HBr	0.133
Guaifenesin	1.333
S(+)- Ibuprofen	1.000
High fructose corn syrup	45.000
Tween 60	0.500
Tween 80	0.500
Propylene glycol	9.000
Sodium Carboxymethyl cellulose	0.450
Poly(acrylic acid) polymer <sup>1</sup>	0.300
Potassium sorbate	0.100
Alcohol 95% v/v	5.000
Natural menthol	0.050



	Sodium citrate	0.522
	Citric acid, anhydrous	0.338
	Saccharin, sodium	0.025
	Aspartame	0.200
5	Prosweet Liquid	0.200
	Flavor, Watermelon	0.020
	Flavor, Cherry	0.520
	Flavor Cherry	0.104
	TK-10	0.010
10	WS-3	0.012
	FD&C Red # 40	0.030
	Water, purified	qs 100

<sup>1</sup> Available as Carbopol 934P from BF Goodrich Corp.

The corn syrup is poured into a batch container equipped with a Lightnin' mixer. The tween 60, tween 80, sodium citrate, and citric acid are added sequentially and dissolved with agitation. In a separate container the colorants are added to purified water (approximately 0.5% of the final batch volume). This colorant solution is then added to the first batch container. In a separate container, the flavors including natural menthol are added to alcohol. The resulting mixture is stirred until homogeneous and then added to the first container. In a separate container the dextromethorphan HBr, guaifenesin, (S)-ibuprofen, sodium carboxymethyl cellulose and carbopol 934P are added to the propylene glycol while stirring. The propylene glycol mixture is then added to the first batch and stirred until homogeneous. The pH of the mixture is adjusted to 6.0 and then the remaining purified QS water is added to the resulting mixture and stirred.

The composition will have a non-Newtonian viscosity of  $250 \text{ cPs}^{n-1}$  measured between the shear-rates of 0.5 to 150 per sec., an adhesive strength of 1.15 Newton-sec, and tackiness of 5.0 Newton. The mechanical impedance of the product is 0.4, coefficient of elasticity is 1.25, and the coefficient of viscoelasticity is 6.50.

Administration of 10 ml to 20 ml (2 to 4 Teaspoonsful) to a person in need of relief from symptoms of cough, cold and flu.

#### EXAMPLE VI

A liquid composition for oral administration for relief from symptoms and distress of heartburn, diarrhea, indigestion, upset stomach, and nausea.

	<u>Ingredients</u>	<u>%w/v</u>
35	Bismuth subsalicylate slurry	18.140
	Methyl cellulose	1.090

	Magnesium aluminum silicate	0.990
	Poly(ethylene oxide) (mol. wt =4,000,000)	0.300
	Sodium carboxymethyl cellulose	0.450
	Methyl salicylate	0.080
5	Salicylic acid	0.070
	Sodium saccharin	0.060
	Benzoic acid	0.020
	Sorbic acid	0.012
	D&C red no. 22	0.007
10	D&C red no. 28	0.005
	Purified water	qs 100

<sup>1</sup>Available as Polyox WSR 301 from Union Carbide

The bismuth subsalicylate slurry, methyl cellulose and magnesium subsalicylate are suspended in purified water in a batch container equipped with a Lightnin' mixer. In a separate batch container, Polyox 301, sodium carboxymethyl cellulose, methyl salicylate, sodium saccharin, salicylic acid, benzoic acid, and sorbic acid is dissolved in propylene glycol along with the dye solutions. The mixture from the second container is then added to the slurry in the first batch container. The mixture is made to volume and stirred.

The composition has apparent viscosity of  $500 \text{ cPs}^{n-1}$ , between the shear rate of 0.5 to 150 per sec. The adhesive strength of the composition is 1.30 Newton-sec, and tackiness of 5.00 Newtons. The mechanical impedance of the composition is 0.40, coefficient of elasticity is 1.09 and coefficient of viscoelasticity is 10.

Administration of 10 ml to 20 ml (2 to 4 Teaspoonsful) to a person in need of relief from distress due to gastrointestinal symptoms.

#### EXAMPLE VII

A chewable tablet for oral administration for treating cough is produced by combining the following ingredients:

30	<u>Ingredient</u>	<u>%w/w</u>
	Dextromethorphan HBr adsorbate (10%)	200 mg
	Polyox WSR 1105	20 mg
	Maltodextrin	50 mg
	Crystalline sorbitol	1000 mg
35	Magnesium stearate	1 mg
	Color & Flavor	qs

The dextromethorphan HBr adsorbate and Polyox WSR 1105 are granulated with a 10% w/w solution of maltodextrin. The resulting granule is dried at a temperature of about 45°C overnight. The dry granule is milled and blended with the remaining components. The resulting powder blend is compressed into a 1.20 g tablet as is conventional in the art. One tablet is administered to a human in need of treatment, thereby reducing cough.

Upon dissolution in the mouth, the composition has apparent viscosity of 500 cPs<sup>n-1</sup>, between the shear rate of 0.5 to 150 per sec. The adhesive strength of the composition is 1.30 Newton-sec, and tackiness of 5.00 Newtons. The mechanical impedance of the composition is 0.40, coefficient of elasticity is 1.09 and coefficient of viscoelasticity is 10.

Substantially similar results are obtained when the dextromethorphan is replaced with therapeutically equivalent level of chlophedianol, carbetapentane, caramipen, noscapine, diphenhydramine, codeine, hydrocodone, hydromorphone, fominoben, their pharmaceutically acceptable salts, and their mixtures thereof.

#### EXAMPLE VIII

An effervescent tablet for treating cough and soothing sore throat is produced by combining the following ingredients:

<u>Ingredients</u>	<u>% w/v</u>
20 Dextromethorphan HBr adsorbate	200 mg
Polyox WSR 301	20 mg
Citric Acid, anhydrous (granular)	1180 mg
Sodium bicarbonate (granular)	1700 mg
Sodium bicarbonate (powder)	175 mg
25 Flavor qs	
Water	30 mg

Thoroughly blend dextromethorphan adsorbate, polyox WSR 301, citric acid and sodium bicarbonate (powder) in a planetary mixture. Quickly add all of the water and mix until a workable mass is formed. Granulate through a 10 mesh screen using an oscillating granulator. Spread evenly on a paper-lined drying tray and dry in a forced-draft oven at 70°C for 2 hr. Remove from oven, cool, and regranulate through a 16 mesh screen. Place granulation in a tumble blender and add sodium bicarbonate (granular). Mix well. Compress in a 1-in., flat-faced beveled-edge tablets (about 3.00 g each). Administer 1 tablet to human for treating cough.

Upon dissolution in the mouth, the composition has apparent viscosity of 500 cPs<sup>n-1</sup>, between the shear rate of 0.5 to 150 per sec. The adhesive strength of the composition is 1.30 Newton-sec, and tackiness of 5.00 Newtons. The mechanical

impedance of the composition is 0.40, coefficient of elasticity is 1.09 and coefficient of viscoelasticity is 10.

Substantially similar results are obtained when the dextromethorphan is replaced with therapeutically equivalent level of chlorphedianol, carbetapentane, 5 caramipen, noscapine, diphenhydramine, codeine, hydrocodone, hydromorphone, fominoben, their pharmaceutically acceptable salts, and their mixtures thereof.

**WHAT IS CLAIMED IS:**

1. An aqueous oral pharmaceutical mucoadhesive vehicle composition comprising from 0.05 to 20% of a water-soluble mucoadhesive polymer wherein said composition has an adhesive strength (measured as force of detachment) of from 0.5 to 10 Newtons-sec, a tackiness of from 1.0 to 10 N, a co-efficient of viscoelasticity from 0.20 to 30 dynes/cm<sup>2</sup> and mechanical impedance of from 0.15 to 0.6 measured between the frequency range of 1 to 10 Hz.
2. A pharmaceutical vehicle composition according to Claim 1 which further comprises from 0.02% w/v to 5% w/v of sodium carboxymethyl cellulose and wherein said mucoadhesive polymer is selected from the group consisting of poly(ethylene oxide), poly(ethylene glycol), poly(vinyl alcohol), poly(vinyl pyrrolidone), poly(acrylic acid), poly(hydroxy ethyl methacrylate), hydroxypropyl cellulose, hydroxyethyl ethyl cellulose, hydroxyethyl ethyl cellulose and chitosan, preferably said mucoadhesive is poly(oxyethylene oxide) having a molecular weight of from 2000 to 10,000,000 and is present at a level of 0.01 to 20%.
3. A pharmaceutical vehicle composition according to any of Claims 1-2 which has a Newtonian viscosity of from 3 to 100 cPs.
4. A pharmaceutical vehicle composition according to any of Claims 1-2 wherein said composition has a non-Newtonian viscosity of from 100 to 3000 cPs<sup>n-1</sup> at a the shear rate between 1 to 200 per sec.
5. A solid oral pharmaceutical mucoadhesive vehicle composition comprising from 0.05 to 20% of a water-soluble mucoadhesive polymer selected from the group consisting of poly(ethylene oxide), poly(ethylene glycol), poly(vinyl alcohol), poly(vinyl pyrrolidone), poly(acrylic acid), poly(hydroxy ethyl methacrylate), hydroxypropyl cellulose, hydroxyethyl ethyl cellulose, hydroxyethyl ethyl cellulose, hydroxyethyl ethyl cellulose and chitosan and mixtures thereof, preferably wherein said mucoadhesive is poly(oxyethylene oxide) having a molecular weight of from 2000 to 10,000,000.

6. A pharmaceutical vehicle composition according to any of the preceding Claims which further comprises one or more pharmaceutical actives, preferably wherein said pharmaceutical active is selected from the group consisting of: analgesics, preferably selected from the group consisting of aspirin, acetaminophen, acetylsalicylic acid, ibuprofen, naproxen, flurbiprofen, carprofen, tiaprofenic acid, cicloprofen, ketoprofen, ketorolac, etodolac, indomethacin, sulindac, fenoprofen, diclofenac, piroxicam, nabumetone, pharmaceutically acceptable salts thereof, optically active isomers thereof and mixtures thereof; decongestants, preferably selected from the group consisting of pseudoephedrine, phenylpropanolamine, phenylephrine and ephedrine, pharmaceutically acceptable salts thereof and mixtures thereof; expectorants selected from the group consisting of glyceryl guaicolate, terpin hydrate, ammonium chloride, N-acetylcysteine, bromhexine, vasicine, ambroxol, carbocistein, sobrerol, pharmaceutically acceptable salts thereof and mixtures thereof; antitussives, preferably selected from the group consisting of dextromethorphan, chlorphedianol, carbetapentane, caramiphen, noscapine, diphenhydramine, codeine, hydrocodone, hydromorphone, fominoben, and phenol, pharmaceutically acceptable salts thereof and mixtures thereof; antihistamines, preferably selected from the group consisting of chlorpheniramine, brompheniramine, dexchlorpheniramine, dexbrompheniramine, triprolidine, doxylamine, tripelennamine, cuproheptadine, carbinoxime, doxylamine, bromdiphenhydramine, pyrilamine, acrivastine, AHR-11325, phenindamine, astemizole, azatadine, azelastine, cetirizine, ebastine, ketotifen, lodoxine, loratidine, levocabastine, mequitazine, oxatomide, setastine, tazifyline, temelastine, terfenadine, and terfenadine carboxylate, pharmaceutically acceptable salts thereof and mixtures thereof; and gastrointestinal actives, preferably selected from the group consisting of atropine, clidinium and dicyclomine; antacids, including aluminum hydroxide, bismuth subsalicylate, bismuth subcitrate, simethicone, calcium carbonate, magaldrate, cimetidine, famotidine, nizatidine and ranitidine, phenolphthalein and casanthrol, diphenoxylate and loperamide and mixtures thereof.
7. A pharmaceutical vehicle composition according to any of the preceding Claims which further comprises from 0.02% w/v to 5% w/v of sodium carboxymethyl cellulose.

8. A method for the treatment of cough in humans or animals comprising the administration of a safe and effective amount of the composition of any of the preceding Claims.
9. A method for the treatment of or reducing the irritation, pain and discomfort associated with laryngopharyngitis in humans or animals comprising the administration of a safe and effective amount of the composition of any of the preceding Claims.

# INTERNATIONAL SEARCH REPORT

Internat. Application No  
PCT/US 95/02207

**A. CLASSIFICATION OF SUBJECT MATTER**  
IPC 6 A61K9/10 A61K9/20

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO,A,92 00725 (FARCON AG) 23 January 1992 see page 3, line 27 - page 4, line 16 see page 6 - page 7; examples 3,4 ---	1-4,6
X	EP,A,0 468 232 (SS PHARMACEUTICAL CO., LTD.) 29 January 1992 see page 6 - page 7; example 6 see page 8 - page 9; example 10 ---	1-4,6-8
X	WO,A,92 09307 (KABI PHARMACIA AB) 11 June 1992 see page 2, line 9 - page 3, line 5 see page 16; example 1 ---	1,2,6
X	US,A,5 079 001 (AFFOLTER) 7 January 1992 see the whole document see claim 18 ---	1-4
	-/--	

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

\*A\* document defining the general state of the art which is not considered to be of particular relevance

\*E\* earlier document but published on or after the international filing date

\*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

\*O\* document referring to an oral disclosure, use, exhibition or other means

\*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

11 July 1995

Date of mailing of the international search report

21.07.95

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**INTERNATIONAL SEARCH REPORT**

Internat \ Application No

PCT/US 95/02207

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	PATENT ABSTRACTS OF JAPAN vol. 9, no. 36 (C-266) 15 February 1985 & JP,A,59 181 281 (DAIICHI SEIYAKU KK) 15 October 1984 see abstract ---	5,6,9
X	FR,A,2 179 044 (R.P. SCHERER CORPORATION) 16 November 1973 see page 9, line 38 - page 10, line 8 see page 15; example 12 ---	5,6
X	EP,A,0 232 877 (ZETACHRON, INC.) 19 August 1987 see page 3, line 29 - line 31 see page 7; example 13 see page 8 - page 9; examples 16-19 ---	5,6
X	EP,A,0 443 381 (TAKEDA CHEMICAL INDUSTRIES, LTD.) 28 August 1991 see the whole document see page 3, line 48 - line 49 ---	5,6
A	GB,A,1 248 190 (BRISTOL-MYERS COMPANY) 29 September 1971 see the whole document ---	5,6
A	US,A,3 511 914 (WOLKOFF ET AL.) 12 May 1970 see the whole document -----	5,6

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US 95/02207

**Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)**

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Remark: Although claims 8,9 are directed to a method of treatment of the human/animal body the search has been carried out and based on the alleged effects of the composition.
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

**Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)**

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

- The additional search fees were accompanied by the applicant's protest.
- No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Internat	Application No
PCT/US 95/02207	

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO-A-9200725	23-01-92	IT-B- 1243342	10-06-94
		AU-A- 8093591	04-02-92
		EP-A- 0491897	01-07-92
EP-A-0468232	29-01-92	JP-A- 4082823	16-03-92
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		AU-B- 660157	15-06-95
		AU-A- 8954391	25-06-92
		CA-A- 2095728	23-05-92
		EP-A- 0558586	08-09-93
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		JP-T- 6505705	30-06-94
		SE-A- 9003712	07-01-92
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		DE-A- 2316242	06-12-73
		GB-A- 1388786	26-03-75
		JP-C- 843854	15-02-77
		JP-A- 49009480	28-01-74
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		DE-A- 3774144	05-12-91
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		JP-A- 4210926	03-08-92
		US-A- 5223246	29-06-93
GB-A-1248190	29-09-71	NONE	
US-A-3511914	12-05-70	NONE	