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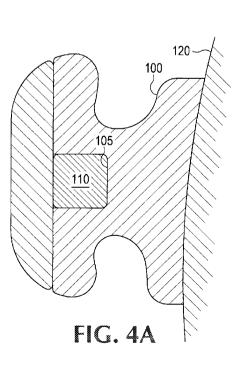
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(54) Title: ORTHODONTIC SYSTEM WITH VARIABLY-SIZED ARCHWIRE SLOT

(57) Abstract: An orthodontic system having brackets with variably sized slots.



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ORTHODONTIC SYSTEM WITH VARIABLY-SIZED ARCHWIRE SLOT

CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application is related to and claims priority from U.S. Provisional Application Serial no. 62/441,839 filed January 3, 2017, and U.S. Patent Application Serial No. 15/601,646 filed May 22, 2017.

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BACKGROUND

[0002] This disclosure relates to orthodontic appliances, and more particularly to orthodontic appliances used to correct misalignment of a patient's teeth. Such appliances, typically referred to as braces, are used to align and straighten teeth so as to both position them

10 with regard to a person's bite, as well as improve the appearance of a patient's mouth. Such appliances may be used to correct underbites, overbites, malocclusions, and various other misalignments of the teeth.

[0003] Braces usually include three types of structures assembled over a patient's teeth. First, to each tooth undergoing treatment is attached a bracket having a slot. Attachment is

- 15 usually accomplished using some form of adhesive. An archwire is then inserted and tightened into the slots of adjacent brackets of the applicable upper/lower row of teeth. The archwire is held in place in the respective slots by some form of ligating structure. Historically, these ligating structures were elastic bands, but a more recent alternative employs a self-ligating structure of the bracket that uses sliding or hinged doors that alternatively open the slots in the
- 20 brackets to insert an archwire, and close the slot to retain the archwire in place within the slot. Once this assembly is in place, the tension of the archwire will, over time, align the patient's teeth toward a desired position.

[0004] Treatment of a patient's teeth using braces requires several repeated appointments to monitor progress and make adjustments to the braces as treatment progresses. The length of the

25 treatment, and the repeated adjustments is often an annoyance to the patient. What is desired, therefore, is an improved system for treating a patient with braces that reduces the length of treatment by more efficiently aligning a patient's teeth.

[0005] The present disclosure generally pertains to an improved system for correcting a misalignment of teeth in a patient's mouth. A first aspect of the disclosure may comprise an arrangement having a first dental bracket, a second dental bracket and an archwire. The first dental bracket has a first archwire slot for receiving the archwire. The second dental bracket has

5 a second archwire slot for receiving the archwire, the second archwire slot having a different size than that of the first archwire slot. The archwire is positioned through both the first archwire slot and the second archwire slot.

[0006] In some preferred embodiments of the foregoing arrangement, the first archwire slot preferably has a different cross-sectional shape than that of the first archwire slot. In some such
 embodiments, the first archwire slot may have a square shape sized to closely encapsulate a square archwire. In some such embodiments, the second archwire slot may have a rectangular shape sized to provide more play for the archwire than the square archwire slot of the first dental bracket.

[0007] In some preferred embodiments of the foregoing arrangement, the first dental
bracket is affixed to an anterior tooth and the second dental bracket is affixed to a posterior tooth.

[0008] Some preferred embodiments of the foregoing arrangement may include a third dental bracket between the first dental bracket and the second dental bracket, the third dental bracket having a third archwire slot with a different size than that of the first archwire slot, and

20 a different size than that of the second archwire slot. In some such embodiments, the respective cross sectional areas of the first, second, and third archwire slots relative to each other increase in the distal direction of the arch relative to the dental midline.

[0009] In some preferred embodiments of the foregoing arrangement, the occlusal-gingival dimension of the first archwire slot and the second archwire slot is the same.

- 25 [0010] A second aspect of the present disclosure is a method where a first dental bracket may be affixed to a first tooth, the first dental bracket having a first archwire slot. A second dental bracket may be affixed to a second tooth, the second dental bracket having a second archwire slot. The first dental bracket may be connected to the second dental bracket using an archwire positioned in both the first archwire slot and the second archwire slot, such that the
- 30 archwire has more freedom of movement in the second archwire slot than the first archwire slot.

[0011] In some preferred embodiments of the foregoing method, the archwire has a round cross section.

[0012] In some preferred embodiments of the foregoing method, the first archwire slot has a square cross section and the second archwire slot has a rectangular cross section.

5 **[0013]** Some preferred embodiments of the foregoing method may including the step of replacing a first archwire of a round cross section with a second archwire of a square cross section.

[0014] In some preferred embodiments of the foregoing method, the second archwire is sized to be closely encapsulated by the first archwire slot but not closely encapsulated by the second archwire slot.

[0015] In some preferred embodiments of the foregoing method, the first dental bracket is affixed to an anterior tooth and the second dental bracket is affixed to a posterior tooth.

[0016] In some preferred embodiments of the foregoing method, the occlusal-gingival dimension of the first archwire slot and the second archwire slot is the same.

15 BRIEF DESCRIPTION OF THE DRAWINGS

[0017] For a better understanding of the invention, and to show how the same may be carried into effect, reference will now be made, by way of example, to the accompanying drawings, in which:

[0018] FIG. 1 shows a row of teeth with dental appliances attached thereto using an archwire.

[0019] FIG. 2 shows a sectional view of a dental appliance of FIG. 1,

[0020] FIGS. 3A-3C illustrate the temporal progression of a treatment program that progressively applies differently-shaped archwires to the dental appliances of FIG. 1 so as to incrementally move a patient's teeth towards a desired positon.

25 [0021] FIG. 4A shows a cross section along line A-A of FIG. 1 using a novel orthodontic system disclosed in the present specification.

[0022] FIG. 4B shows a cross section along line B-B of FIG. 1 using a novel orthodontic system disclosed in the present specification.

[0023] FIGS. 5A-5C show accelerated torque control in the orthodontic system of FIGS. 4A-4B relative to the system of FIGS. 3A-3C.

5 [0024] FIGS. 6A-6B show accelerated tip control in the orthodontic system of FIGS. 4A-4B relative to the system of FIGS. 3A-3C.

[0025] FIGS. 7A-7C show accelerated rotation control in the orthodontic system of FIGS. 4A-4B relative to the system of FIGS. 3A-3C.

DETAILED DESCRIPTION

10 **[0026]** Preliminarily, the following terms will be accorded the meanings that respectively follow them, which should be understood by those familiar with the art. These meanings are provided to facilitate understanding of the specification by those unskilled in the art, as well.

[0027] Anterior – the direction towards the front of the head, or the lips; opposite of "posterior."

15 [0028] Anterior teeth -- the teeth on either one of the mandibular or maxillary jaws extending from one canine tooth to the other canine tooth.

[0029] Buccal – the direction towards the cheek, typically used in connection with posterior teeth; opposite of "lingual."

[0030] Buccal-lingual direction -- a direction through or along any particular posterior tooth
 extending between a patient's cheek and the patient's tongue.

[0031] Distal – the direction on the side of a particular tooth away from the dental midline; opposite of mesial.

[0032] Dental arch – a row of teeth in either of the mandibular or maxillary jaws.

[0033] Dental midline – an imaginary line dividing a patient's mouth into two halves,

25 extending through the patients two middle anterior teeth and towards the back of the mouth.

[0034] Gingival --- a direction towards the gums beneath a particular tooth.

[0035] Incisal – a direction towards the biting surface of a particular anterior tooth.

[0036] Incisal-gingival direction – a direction through or along any particular anterior tooth extending from the biting surface to the gums beneath that tooth.

5 [0037] Labial – the direction towards the lips, typically used in connection with anterior teeth; opposite of lingual.

[0038] Labial-lingual direction --- a direction through or along any particular anterior tooth extending between the patient's lips to the patient's tongue.

[0039] Mandibular – related to the lower jaw.

10 **[0040]** Maxillary – related to the upper jaw.

[0041] Mesial – the direction on the side of a particular tooth toward the dental midline; opposite of distal.

[0042] Mesial-distal direction – the direction through any particular tooth extending from the mesial side of the tooth to the distal side of the tooth. The mesial-distal direction, relative to

15 a tooth, is essentially along the curved line through the relevant upper or lower row of teeth.

[0043] Occlusal – a direction towards the biting surface of a particular posterior tooth.

[0044] Occlusal-gingival direction – a direction through or along any particular posterior tooth extending from the biting surface to the gums beneath that tooth

[0045] Posterior --- the direction towards the back of the head; opposite of anterior.

20 **[0046]** Posterior teeth – the teeth on either one of the mandibular or maxillary jaws posterior of a canine tooth.

[0047] Rotation – angular rotation of a tooth during the course of treatment around a vertical axis oriented in the incisal-gingival direction.

[0048] Tip --- angular rotation of a tooth during the course of treatment around a horizontal axis oriented in the buccal-lingual direction.

[0049] Torque – angular rotation of a tooth during the course of treatment around a horizontal axis oriented in the mesial-distal direction.

5 [0050] Referring to FIGS. 1 and 2, a treatment system 1 comprising braces may be applied to a row of patient's teeth 3 using a plurality of brackets 2 connected together by an archwire 4 through appropriate means such as an archwire slot 6, and a ligating structure such as a cover 5 for the archwire slot 6. As noted earlier, treatment of a patient using braces typically requires repeated adjustment of the archwire 4 so that, over time, the tension in the archwire 4, applied

10 to the teeth 3 through the brackets 2, causes the teeth 3 to migrate to a desired final position.

[0051] FIGS. 3A -3C illustrate a common prior art adjustment procedure. Referring to FIG. 3A, an archwire slot 6 in the respective brackets 2 adhered to each of a patent's teeth 3 along a dental arch may be formed as an elongated rectangular aperture closed at one end by a ligating structure 5. The ligating structure 5 may be a sliding or hinged door over the archwire slot, or

15 may be an elastic band, or any other appropriate structure. When a set of braces is first applied to each of a patient's teeth, an archwire 4 of a circular cross section may be used. Usually the circular archwire 4 is made of a nickel-titanium material that is relatively flexible so that, in combination with the circular cross-sectioned archwire fitted in a rectangular slot 6, the archwire 4 applies relatively low forces to a patient's teeth 3, and the brackets 2 have

20 significant play to move relative to each other as the teeth 3 move towards a more aligned state. Furthermore, when a circular archwire 4 is used, there is no torque control of the teeth 3 since twisting the archwire 4 will not apply any rotational forces to the bracket 2.

[0052] Eventually, in another stage of treatment shown in FIG. 3B, the archwire of a circular cross section is replaced by an archwire of a rectangular square cross section that is smaller than the archwire slot, which can also be made of nickel titanium, but is sometimes

made of a beta-titanium alloy, stiffer than the nickel-titanium circular archwire it replaces. This second stage of treatment steps up the force and control applied to the teeth 3 through the archwire 4 and brackets 2, and the brackets 2 have less play relative to the archwire 4 than existed in the first stage of treatment.

[0053] Finally, in a third, final stage of treatment shown in FIG. 3C, the archwire of a small rectangular cross section is replaced by an archwire of a larger rectangular cross section, which is typically made of stainless steel, stiffer than the nickel-titanium square archwire it replaces. This third stage of treatment again steps up the force and control applied to the teeth 3 through

5 the archwire 4 and brackets 2, and the brackets 2 have very little play relative to each other than existed in the first and second stages of treatment given the material of the archwire 4 and the fact that the cross section of the archwire 4 is shaped to closely fit within the archwire slot 6.

[0054] The present inventors discovered that a more efficient treatment system could be applied than that shown in FIGS. 3A-3C, based on the realization that not all teeth need to be

simultaneously subjected to the same amount of play during treatment. Specifically, the present inventors realized that one of the primary objects of dental treatment with braces is the precise positioning of the anterior teeth so as to achieve a desired aesthetic look, and that positioning of the posterior teeth need not be as precise. Accordingly, an improved system preferably uses a set of brackets 2 for placement along a dental arch, either mandibular or maxillary, where the

- 15 cross section of the archwire slot varies in the distal direction of the arch from the dental midline, i.e. from the anterior brackets to the posterior brackets. This system provides for the following benefits compared to current systems:
 - Improved quality of treatment due to increase control of the teeth in the anterior segment of the dental arch.
 - Earlier control of tooth movement in treatment, resulting in a reduced treatment time when compared to current systems while providing appropriate freedom of movement such that the teeth can efficiently move to the desired position.
 - An improved patient experience due to low and efficient forces due to appropriate sizing of the archwire dimensions.
- 25 **[0055]** In the improved system, the archwire slots of respective brackets are sized proportionally to both the size of the archwire to be inserted in the final stage of treatment, as well as the position of the tooth to which the bracket forming the archwire slot is to be affixed along the mesial-distal direction of the dental arch. FIG. 4A, for example, shows an improved dental bracket 100 having a square archwire slot 105 sized to closely fit around an archwire 110
- 30 to be used in the final stage of treatment. The dental bracket having archwire slot 100 is preferably attached to an anterior tooth 120 positioned along the line A-A of FIG. 1. Preferably,

the brackets used on the central, lateral, and cuspid teeth in both the mandibular and maxillary dental arch have a square archwire slot 105. The square archwire slot 105 in these anterior brackets 100 provides for the most accuracy in positioning these anterior teeth 120.

[0056] FIG. 4B, conversely, shows a dental bracket 102 having a rectangular archwire slot 5 115 sized to loosely fit around the archwire 110 to be used in the final stage of treatment. The dental bracket 102 having archwire slot 115 is preferably attached to an anterior tooth 150 positioned along the line B-B of FIG. 1. Preferably, brackets 102 having archwire slots 115 of a rectangular cross section are used on bicuspids and molars. Preferably, in some embodiments, the size of the archwire slots 115 of the brackets 102 successively placed on teeth between tooth

10 120 and tooth 150 varies in some predefined manner from the size and shape depicted in FIG. 4A to the size and shape depicted in FIG. 4B. In this manner, during all stages of treatment, anterior teeth have less freedom of movement than posterior teeth, while at the same time, the teeth in the dental arch as a whole has sufficient freedom of movement.

[0057] Those of ordinary skill in the art will appreciate that, in the improved system shown 15 in FIGS. 4A and 4B, the archwire cross section may progress from round at the start of treatment, then through various round and square cross sections over the course of treatment to a final archwire with a square cross section as shown in these two figures. The final square cross section archwire creates forces that are patient friendly and effective for tooth movement. In addition the square cross section of the final archwire provides excellent control of the tooth 20 position.

[0058] Those of ordinary skill in the art will also appreciate that other embodiments of the disclosed systems and methods may use other cross sectional shapes than those just described. For example, in some embodiments the dental bracket 100 may have an archwire slot of a rectangular cross section, but smaller than that of the dental bracket 102. Similarly, in some

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embodiments the dental bracket 102 may have an archwire slot of a square cross section larger than that of the dental bracket 100.

[0059] In one preferred embodiment, the final archwire in this system has a labial-lingual dimension of 0.020" and an occlusal-gingival dimension of 0.020" - i.e. a .020" x .020" archwire. In other embodiments, the labial-lingual and occlusal-gingival dimensions of the final

archwire can range from 0.017" x 0.017" square to 0.021" x 0.021" square. 30

[0060] The occlusal-gingival dimension of the archwire slot is preferably held constant for all brackets to enhance the accuracy of the torque and tip positioning of all of the teeth in the maxillary and mandibular dental arches. The occlusal-gingival dimension of the archwire slot in all brackets as a percentage of the occlusal-gingival dimension of the archwire in some

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embodiments is 105% with a range of 102% to 110%. The occlusal-gingival dimension of the archwire slots in the brackets of a system using a final archwire that is .020" x .020" square is 0.021" in such an embodiment, with a range of 0.0204" to 0.022".

[0061] Increased freedom of movement is achieved on the bicuspids and the molars by proportionally and progressively increasing the labial-lingual dimension of the archwire slot in

10 the brackets used on these teeth. The labial-lingual dimension of the archwire slot in the brackets is progressively increased as a percentage of the labial-lingual dimension of the archwire from the centrals to the molars in both the maxillary and mandibular dental arches as noted in the following table:

Tooth Position	Preferred	Range		
	Embodiment (%)	Minimum (%)	Maximum (%)	
Central	105	102	110	
Lateral	105	102	110	
Cuspid	105	102	110	
1 st Bicuspid	115	110	125	
2 nd Bicuspid	115	110	125	
1 st Molar 130 2 nd Molar 130		120	140	
		120	140	

LABIAL-LINGUAL DIMENSION OF SLOT

Table 1

[0062] The labial-lingual dimension of the archwire slots in the brackets of a system using a final archwire that is .020" x .020" square are as follows:

Tooth Position	Preferred	Ra	nge
	Embodiment	Minimum	Maximum
	(inches)	(inches)	(inches)
Central	0.021	0.0204	0.022
Lateral	0.021	0.0204	0.022
Cuspid	0.021	0.0204	0.022
1 st Bicuspid	0.023	0.022	0.025
2 nd Bicuspid	0.023	0.022	0.025
1 st Molar	0.026	0.024	0.028
2 nd Molar	0.026	0.024	0.028

Table 2

[0063] This combination of archwire slot dimensions coupled with a square final archwire, according to the dimensions shown in Tables 1 and 2, provide a system that results in accurate positioning of the anterior teeth while maintaining efficient control of tooth movement for

- 5 reduced treatment time. Specifically, referring to FIGS. 5A-5C, the archwire slots 105 and 110 provide for much greater control of tooth positioning, earlier in treatment, than do existing systems. FIGS. 5A and 5B illustrate the control over torque provided in the anterior teeth (FIG. 5A) and the posterior teeth (FIG. 5B) using the brackets as shown in FIGS. 4A and 4B during the finishing stages of treatment. As the archwire 110 connecting the brackets 100 and 102 is
- 10 twisted in the slots 110 and 115, respectively, the corners of the square archwire 110 catch on the sides of the archwire slots at angles of rotation 160 (FIG. 5A) and 165 (FIG. 5B), thereby transmitting forces on the teeth to which the brackets are attached, and consequently inducing the teeth to rotate about an axis oriented in the mesial-distal direction. Notably both the angles 160 and 165 are smaller than the angle 170 shown in FIG. 5C, which shows the result of a
- 15 rectangular archwire in a rectangular slot. This means that the system of FIGS. 5A and 5B has better control over positioning than does the system of FIG. 5C, at earlier stages of treatment,.

[0064] FIGS. 6A and 6B illustrate the same phenomenon with respect to control over "tip." FIG. 6A shows a bracket 100 having an archwire slot 105 through which an archwire is used to position a tooth attached to the bracket. Those of ordinary skill in the art will appreciate that the

diagram of FIG. 6A also represents the control over tooth movement provided by bracket 105.
 Again, the angle 175 is noticeably less than the angle 180 achieved by the prior art system of FIG. 6B.

[0065] FIGS. 7A-7C illustrate the same phenomenon with respect to control over "rotation." FIG. 7A shows a bracket 100 having an archwire slot 105 through which an archwire is used to position a tooth attached to the bracket. FIG. 7B shows a bracket 105 having an archwire slot 115 through which an archwire is used to position a tooth attached to the bracket. Again, the angles 185 and 190 are each noticeably less than the angle 195 achieved by the prior art system of FIG. 7C.

- 5 [0066] Using an initial phase of treatment as an illustrative example, where the improved system herein described uses an archwire of 0.014 diameter in archwire slot having measurements shown in Table 2, Table 3, and Table 4 together delineate the improvement in control over torque, tip, and rotation over prior art systems illustrated by FIGS. 5A-7C. In this initial stage of treatment, a round archwire is preferably used, which provides no torque control
- since the round archwire has no edges to catch on the archwire slot when twisted, but achieves 4.46 degrees of rotation control and 3.83 degrees of tip control. The prior art system in the initial treatment phase, however, though similarly providing no control over torque, can only provide 8.47 degrees of rotation control and 4.29 degrees of tip control. As with control over torque, Table 3 shows that the disclosed system provides greater control over tooth movement
- 15 at every stage of treatment.

	Phase	Phase Initial Workir		king	Finishing & Detailing
	X-Section	.014 Rd.	.018 x .018	.020 x .020	.020 x .020
	Material	NITI	NiTi	NiTi	TMA or SS
Control	Torque Lock-up	No Control	14.20 Deg.	4.18 Deg.	4.18 Deg.
	Rotation Lock-up	4.46 Deg.	1.92 Deg.	0.64 Deg.	0.64 Deg.
	Tip Lock-up	3.83 Deg.	1.92 Deg.	0.55 Deg.	0.55 Deg.

Table 3 – Disclosed System

	Phase	Initial		Working		Finishing & Detailing
	X-Section	.014 Rd.	.018 Rd	.014 x .025	.018 x .025	.019 x .025
	Material	NiTI	NiTi	NiTi	NiTi	SS
Control	Torque Lock-up	No Control	No Control	28.77 Deg.	13.78 Deg.	10.88 Deg.
	Rotation Lock-up	8.47 Deg.	6.09 Deg.	1.85 Deg.	1.85 Deg.	1.85 Deg.
	Tip Lock-up	4.29 Deg.	2.15 Deg.	4.29 Deg.	2.15 Deg.	1.62 Deg.

Table 4 – Prior Art System

[0067] Although, in theory, the prior art system could be modified to have the degree of 20 control as the presently disclosed system, this would entail narrowing the width of the

rectangular archwire slot 6. But in doing so, the force on the patient's teeth caused by the archwire would become excessive and highly uncomfortable to the patient due to the higher moment of inertia of the rectangular archwire as compared to a square archwire. Thus, the system and methods herein disclosed represent a significant improvement over the prior art.

- 5 [0068] It will be appreciated that the invention is not restricted to the particular embodiment that has been described, and that variations may be made therein without departing from the scope of the invention as defined in the appended claims, as interpreted in accordance with principles of prevailing law, including the doctrine of equivalents or any other principle that enlarges the enforceable scope of a claim beyond its literal scope. Unless the context indicates
- 10 otherwise, a reference in a claim to the number of instances of an element, be it a reference to one instance or more than one instance, requires at least the stated number of instances of the element but is not intended to exclude from the scope of the claim a structure or method having more instances of that element than stated. The word "comprise" or a derivative thereof, when used in a claim, is used in a nonexclusive sense that is not intended to exclude the presence of
- 15 other elements or steps in a claimed structure or method.

CLAIMS

1. An arrangement comprising:

(a) a first dental bracket having a first archwire slot for receiving an archwire;

(b) a second dental bracket having a second archwire slot for receiving said archwire, the second archwire slot having a different size than that of the first archwire slot; and

(c) an archwire positioned through both the first archwire slot and the second archwire slot.

2. The arrangement of claim 1 where the first archwire slot has a different cross-sectional shape than that of the second archwire slot.

3. The arrangement of claims or claim 2 where the first archwire slot has a square shape sized to closely encapsulate a square archwire.

4. The arrangement of any of the preceding claims where the first dental bracket is affixed to an anterior tooth and the second dental bracket is affixed to a posterior tooth.

5. The arrangement of any of the preceding claims including a third dental bracket between the first dental bracket and the second dental bracket, the third dental bracket having a third archwire slot having a different size than that of the first archwire slot and a different size than that of the second archwire slot.

6. The arrangement of claim 5 where the respective cross sectional areas of the first, second, and third archwire slots relative to each other increase in the distal direction of the arch relative to the dental midline.

7. The arrangement of any of the preceding claims where the occlusal-gingival dimension of the first archwire slot and the second archwire slot is the same.

8. A method comprising:

affixing a first dental bracket to a first tooth, the first dental bracket having a first archwire slot;

affixing a second dental bracket to a second tooth, the second dental bracket having a second archwire slot; and

connecting the first dental bracket to the second dental bracket using an archwire positioned in both the first archwire slot and the second archwire slot, such that the archwire has more freedom of movement in the second archwire slot than the first archwire slot.

9. The method of claim 8 where the archwire has a round cross section.

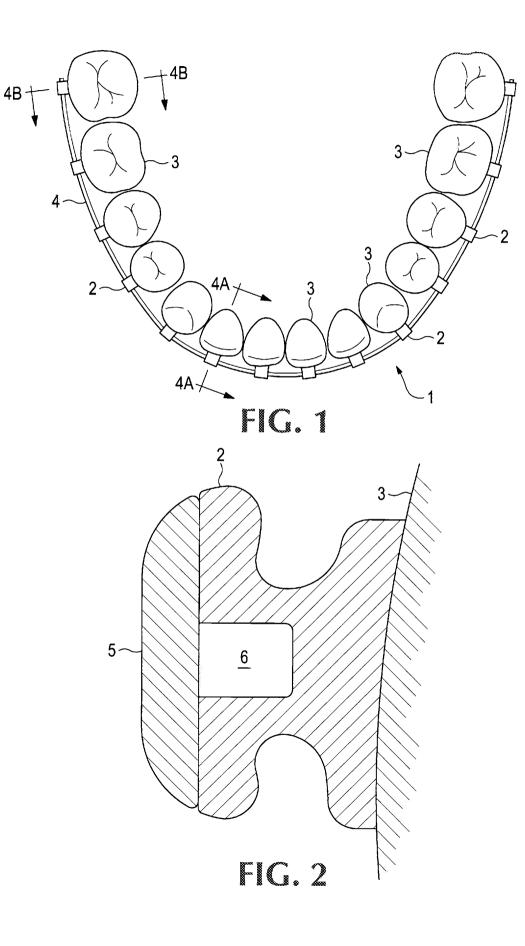
10. The method of claim 1 or claim 9 where the first archwire slot has a square cross section and the second archwire slot has a rectangular cross section.

11. The method of claim 10 including the step of replacing a first archwire of a round cross section with a second archwire of a square cross section.

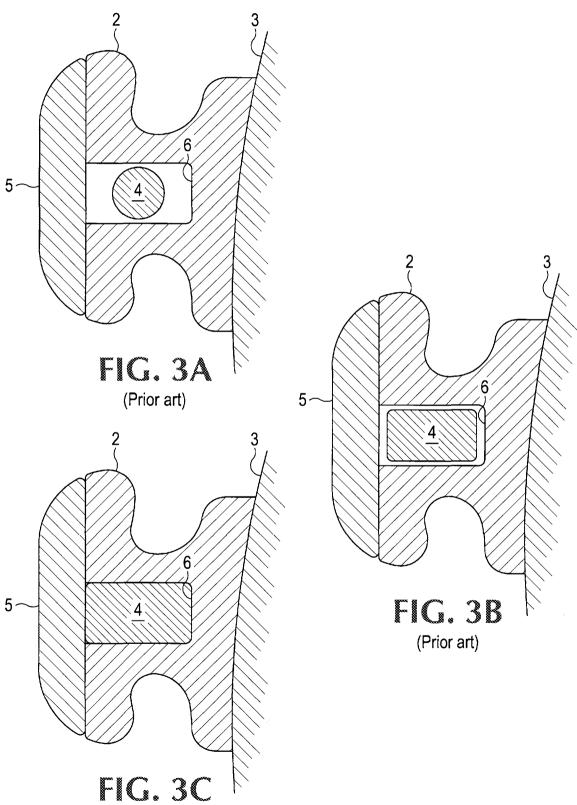
12. The method of claim 11 where the second archwire is sized to be closely encapsulated by the first archwire slot but not closely encapsulated by the second archwire slot.

13. The method of any of the preceding claims where the first dental bracket is affixed to an anterior tooth and the second dental bracket is affixed to a posterior tooth.

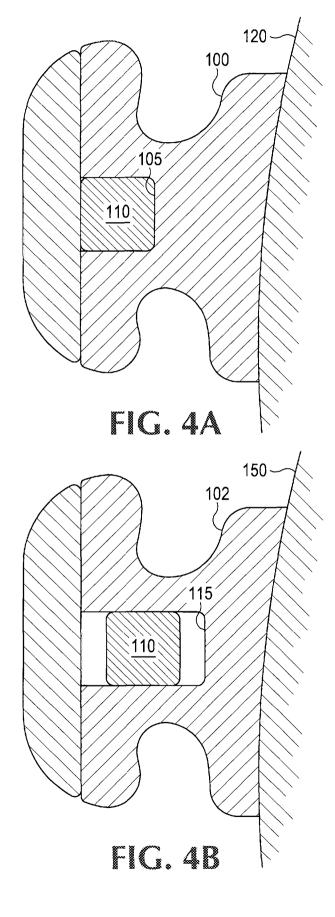
14. The method of any of the preceding claims where the occlusal-gingival dimension of the first archwire slot and the second archwire slot is the same.



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(Prior art)

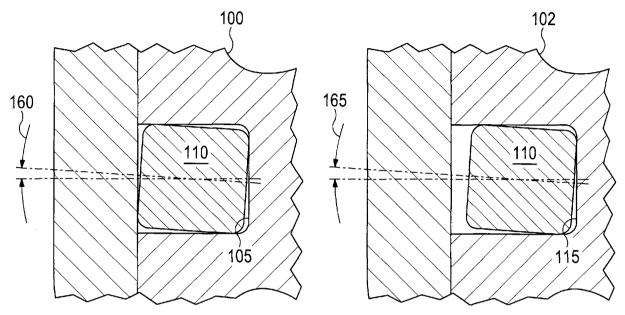


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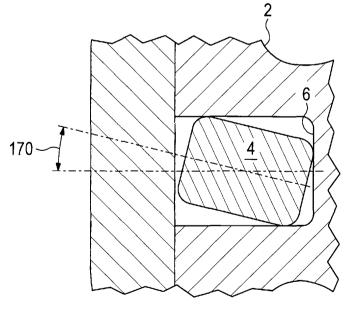
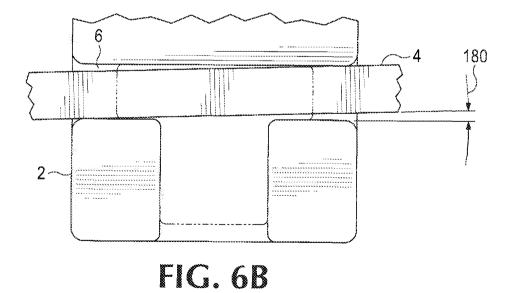
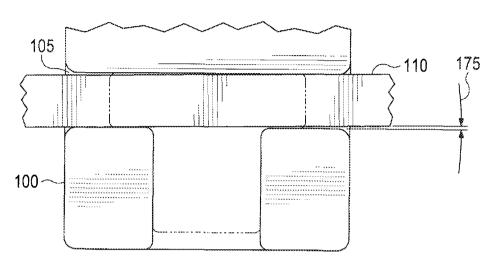
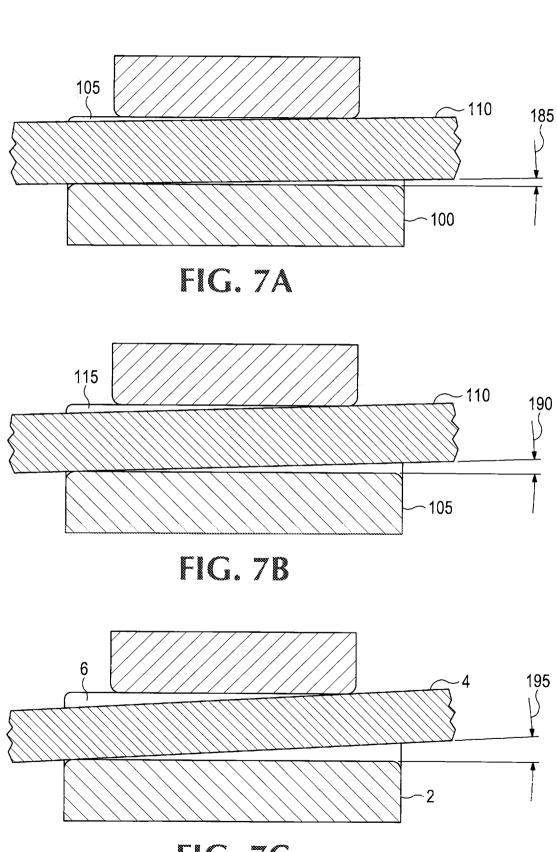


FIG. 5C









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