

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
29 January 2009 (29.01.2009)

PCT

(10) International Publication Number  
**WO 2009/013575 A1**

- (51) **International Patent Classification:**  
A61M 1/16 (2006.01) A61M 1/34 (2006.01)
- (21) **International Application Number:**  
PCT/IB2008/001616
- (22) **International Filing Date:** 20 June 2008 (20.06.2008)
- (25) **Filing Language:** English
- (26) **Publication Language:** English
- (30) **Priority Data:**  
07012040.7 20 July 2007 (20.07.2007) EP
- (71) **Applicant (for all designated States except US):** B. BRAUN AVITUM AG [DE/DE]; Schwarzenberger Weg 73-79, 34212 Melsungen (DE).
- (72) **Inventor; and**
- (75) **Inventor/Applicant (for US only):** CASTELLARNAU, Alex [ES/DE]; Thueringer Str. 30, 34212 Melsungen (DE).
- (74) **Agent:** SPRENGER, Gerrit; B. Braun Melsungen AG, PL-LA-DE08Pgs, Carl-Braun-Str. 1, 34212 Melsungen (DE).
- (81) **Designated States (unless otherwise indicated, for every kind of national protection available):** AE, AG, AL, AM, AO, AT, AU, AZ, BA, BB, BG, BH, BR, BW, BY, BZ, CA,

CH, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SV, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

- (84) **Designated States (unless otherwise indicated, for every kind of regional protection available):** ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MT, NL, NO, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Declarations under Rule 4.17:**

- as to the identity of the inventor (Rule 4.17(i))
- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

**Published:**

- with international search report

[Continued on next page]

(54) **Title:** METHOD FOR DETERMINING THE REDUCTION RATIO OR THE KT/V VALUE OF A KIDNEY SUBSTITUTION TREATMENT AND APPARATUS FOR THE REALISATION OF THE METHOD

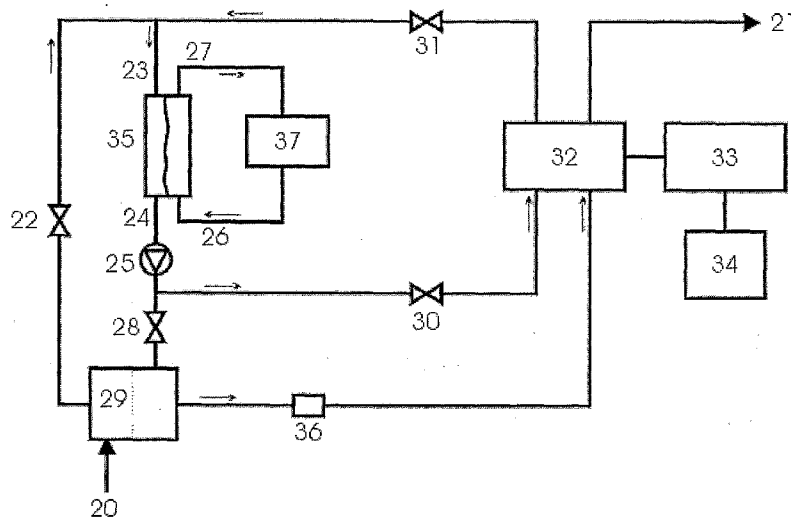


Figure 1

(57) **Abstract:** A method for determining online adequacy parameters for any hemodialysis, hemofiltration and hemodiafiltration treatment modality is provided. Blood equilibrated dialysate samples at the begin and/or at the end of the treatment; and a continuous measurements of waste compounds in the effluent dialysate by means of spectroscopic techniques, are required. With the data coming from the measurements and a simple mathematic approach  $Kt/V$  and reduction ratios for different compounds, which are important from the medical point of view, are obtained.

WO 2009/013575 A1



- 
- *before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments*

**Title:** Method for determining the reduction ratio or the Kt/V value of a kidney substitution treatment and apparatus for the realisation of the method

### **Description**

The present invention relates generally to kidney substitution treatment and more particularly is directed to a method and an apparatus for on line real time monitoring the adequacy and the effectiveness of the kidney substitution treatment. Even more particular the invention relates to a method for determining the reduction ratio or the Kt/V value of a kidney substitution treatment with the features of claim 1 and to an apparatus for the realisation of the method with the features of claim 10.

Patients who have reduced kidney functions or no kidney function at all have to get rid of waste products, including toxic substances, by kidney substitution treatments. During such a kidney substitution treatment the patient is connected to an extracorporeal blood circuit. In that extracorporeal blood circuit the blood of the patient is contacted with a kidney substitution treatment liquid via the kidney substitution which is in general a membrane. The kidney substitution treatment liquid containing different salts in such a concentration that the waste products in the blood by diffusion and convection pass through the membrane into the kidney substitution treatment liquid. The kidney substitution treatment liquid is flowing from a reservoir via the kidney substitution to a drain.

During the kidney substitution treatment the adequacy and the effectiveness, respectively, of the treatment is very important. In other words, it is necessary to be able to control the adequacy and the effectiveness, respectively, of the kidney substitution treatment on line, i.e. while the treatment is in progress. In order to secure an adequate and effective kidney substitution treatment the Kt/V (urea) model has been developed, where K [ml/min] is the effective clearance for urea, t [min] is the treatment time and V [ml] is the urea distribution volume which matches the total body water. Furthermore the reduction ratio (RR) of a waste product out of the blood is another method to estimate the adequacy and the effectiveness, respectively, of the kidney substitution treatment

The NCDS (National Cooperative Dialysis Study) and the HEMO (Hemodialysis) study found, after analyzing a large patient group, that morbidity and mortality in end stage renal disease (ESRD) was strongly correlated with the Kt/V value or dialysis dose. Data obtained from these studies resulted in guidelines regarding hemodialysis treatments, which demand a minimum dose of Kt/V=1.2 generally and 1.4 for diabetics respectively (Dialysis Outcomes Quality Initiative guidelines). It is worthy to point out that a morbidity decrease not only improves the patient well-being, but also reduces significantly the medical costs as the patient requires less care. The need of a reliable and cost effective method to monitor the Kt/V or the RR and by extension control kidney substitution treatment adequacy and morbidity, is therefore easily understood.

In the Kt/V calculation, the main problems are K and V estimation along with the multi-compartment urea kinetics. V can be estimated by bioimpedance, anthropometric measurements or applying the urea kinetic model (UKM). All these methods have a certain degree of error. K can be estimated so far by measuring the urea blood concentration before and after the treatment or by monitoring conductivity changes of the kidney substitution liquid on the inlet and outlet of the kidney substitution device.

Blood samples method is the reference one. After taking the blood samples and applying either UKM or Daugirdas formula (Daugirdas JT. The post:pre-dialysis plasma urea nitrogen ratio to estimate Kt/V and nPCR: mathematical modeling. *Int J Artif Organs*. 1989;12:411-19) a single pool Kt/V (spKt/V) is estimated. Furthermore Daugirdas second generation formulas (Daugirdas JT. Second generation logarithmic estimates of single-pool variable volume Kt/V: an analysis of error. *J Am Soc Nephrol*. 1993;4:1205-13) should be used to get an equilibrated Kt/V (eKt/V) which accounts for the urea rebound caused by the fact that urea kinetics does not follow a single pool model but a multi-compartment one. This method has two main problems: it is not possible to know whether the treatment is adequate or not before it finished. Therefore it is not possible to perform any action to improve the situation; insofar it is not an easy to apply method: sampling time is very important to get an accurate value, and the medical staff must send the samples to the lab, wait for the results and calculate Kt/V values

with the help of a computer. These facts result on a monthly basis  $Kt/V$  measurements in the best cases, which means that in worst case scenario a patient might be under-dialyzed for one whole month.

Conductivity methods are based on the discovery that sodium clearance is almost equal to urea clearance and that the relationship between conductivity and the sodium concentration of the kidney substitution treatment liquid can be considered linear on the temperature range of interest. Therefore it is possible to get urea clearance by measuring the sodium diffusion transport through the membrane in the kidney substitution device.

It is important to introduce the concept of Dialysance as it slightly differs from Clearance. Clearance is defined as the ratio between transport rate and concentration multiplied by flow, and it is applicable when the diffusing substance is on the blood side but not on the side of the kidney substitution treatment liquid. Dialysance is defined as the ratio between transport rate and concentration gradient multiplied by flow, and it is applicable when the diffusing substance is on both sides of the membrane of the kidney substitution device. When one applies conductivity methods to measure urea Clearance, one actually measures sodium Dialysance (Depner T., Garred L. Solute transport mechanisms in dialysis. Hörl W., Koch K., Lindsay R., Ronco C., Winchester JF., editors. Replacement of renal function by dialysis, 5<sup>th</sup> ed. Kluwer academic publishers, 2004:73-91).

During conductivity based clearance measurements, a kidney substitution treatment liquid inlet conductivity different to the blood one is produced, which results in a net transfer of sodium either from blood to kidney substitution treatment liquid or from kidney substitution treatment liquid to blood due to the generated gradient. There are currently three patented methods which are applied in the industry: step conductivity profile, step conductivity profile and integration of conductivity peaks (Polaschegg HD, Levin NW. Hemodialysis machines and monitoris. Hörl W, Koch K, Lindsay R, Ronco C, Winchester JF, editors. Replacement of renal function by dialysis, 5<sup>th</sup> ed. Kluwer academic publishers, 2004:414-418).

The main advantages of such approaches are: they are relatively easy to implement and cost effective as they only need an extra conductivity/temperature sensor downstream the dialyzer; they offer Kt/V measurements during the treatment allowing the medical staff to react and perform some actions in case the treatment is not going as it should.

However, conductivity based methods have also some limitations:

they can induce some sodium load in the patient during the measurement;

they are not useful to obtain other interesting parameters like nPCR (normalized Protein Catabolic Rate ) or TRU (Total Removed Urea);

the maximum measurement frequency offered so far by the industry is about 20 minutes; that means that in a worst case scenario the patient could be under-dialyzed for 20 minutes; and, although there are some publications and patents regarding it, so far, conductivity methods have not been applied with enough reliability to kidney substitution treatments.

Another method to estimate the adequacy of kidney substitution treatments is by direct measurement of the waste products (i.e. urea) concentration in the effluent kidney substitution treatment liquid. With such approach two options are available and both avoid the need of K or V estimation as expressed above.

One option assumes that the evolution of urea concentration over the time in the side of the effluent kidney substitution treatment liquid is proportional to the one in the blood. Therefore the slope of the line obtained after applying the natural logarithm to the registered concentration values over the time will be the same on both sides: effluent kidney substitution treatment liquid and blood, and by definition such slope is K/V. The problem of this approach can be described as “What is in the blood is not in the effluent kidney substitution treatment liquid”. If one has a clearance impairment during the treatment, less urea diffuses to the side of the kidney substitution treatment liquid resulting on a higher slope and a higher Kt/V, which suggests a better dialysis when in fact it is worse as you can see in FIG 5.

The second option is described in EP 0986410 and consists on the so called “Whole body clearance”  $wbKt/V$ . Sternby has found a good correlation between  $wbKt/V$  and Daugirdas

$eKt/V$  (Sternby J. Whole body  $Kt/V$  from dialysate urea measurements during hemodialysis. *J Am Soc Nephrol.* 1998 Nov;9(11):2118-23.). This approach considers that in a normal treatment  $K$  can be assumed constant during some time intervals, and uses such data to calculate backwards the adequacy parameters. Even though it is more secure than the previous approach it still relies on the assumption of constant conditions during such time intervals, and it cannot offer  $wbKt/V$  values from the beginning of the treatment.

The methods available so far to measure online the concentration of waste products in effluent kidney substitution treatment liquid are urea sensors and UV spectrophotometry. The limitations of the urea sensors are well known. Recent works carried out by Fridolin et al (Uhlin F. Haemodialysis treatment monitored online by ultra violet absorbance. Linköping University Medical Dissertations n° 962. Department of Medicine and Care Division of Nursing Science & Department of Biomedical Engineering. 2006.) have shown UV spectrophotometry as a reliable and cost affordable method to monitor waste products in effluent kidney substitution treatment liquid. Such an apparatus has been already described in EP 1083948.

It has been shown that a very good correlation exists between the UV absorbance of the effluent kidney substitution treatment liquid and the concentrations of the waste products, ie. urea, creatinine, uric acid, phosphates,  $\beta_2$ -microglobulin and other compounds, in the effluent kidney substitution treatment liquid. Since it is possible to know the concentration of the waste products urea which are removed during every treatment, it is not only possible to calculate  $Kt/V$  by means of natural logarithm slope as described above, but also obtain other important parameters like TRU and nPCR. Besides, a graph of the absorbance evolution over the time can be presented on a display of a kidney substitution device, it will give reliable and online feedback information to the medical staff just a few seconds after any performed action. This method, however, has so far two major shortcomings: it is not able to detect a clearance impairment, on the contrary it will offer a better  $Kt/V$  in such a case as described above and the good correlation between absorbance and the different waste products in the effluent kidney substitution treatment liquid falls dramatically when data from different patients are

analyzed together. It requires therefore a regression line in an individual basis, which is impracticable from the clinical point of view.

Subject of the present invention is to provide a method with the features of the generic part of claim to overcome the problems described above and determining online adequacy parameters for any kidney substitution treatment. Another goal of the invention is to provide an apparatus with the features of the generic part of claim 10 for the realisation of the method.

For the method this problem is solved by the features of claim 1. For the apparatus this problem is solved by the features of claim 10.

With the present invention is it possible to

- provide a method and an apparatus to obtain online  $Kt/V$  or RR without the needs of a patient based regression line,
- provide a method and an apparatus to obtain online  $Kt/V$  or RR without the need of getting the slope value after applying the natural logarithm to the concentration over the time profile, and therefore avoid the inherent overestimation risks,
- provide a method and an apparatus not only to obtain online  $Kt/V$ , but also online RR (Reduction Ratio),
- provide a method and an apparatus, fulfilling the previous requirements, able to get adequacy parameters for any available kidney substitution treatment, i.e. single and double needle hemodialysis, pre-dilution, post-dilution and pre-post-dilution hemofiltration,
- provide a method and an apparatus, fulfilling the previous requirements, to not only measure urea clearance but clearances of other important compounds from the clinical point of view like  $\beta_2$ -microglobulin, phosphates, creatinine or uric acid,

Our invention requires an equilibrated sample of kidney substitution treatment liquid at the beginning of the treatment as described in patent WO 94/08641, however the method described in that patent consists in stationary kidney substitution treatment liquid within the kidney substitution which equilibrates with blood, being the time to equilibration an arbitrary value of around 5 minutes which can be guessed empirically considering the blood flow and the used kidney substitution. The present invention propose a new approach consisting in a



recirculation of the kidney substitution treatment liquid with the blood, during this stage we can monitor the equilibration procedure by means of UV absorbance, and therefore know the exact time when the kidney substitution treatment liquid concentration is equilibrated with the blood one, either because the UV monitor displays a plateau, or because we estimate when the steady state will be reached by means of the first values of the exponential curve. Our approach delivers a more accurate value and eventually improves the equilibration time because of the recirculation.

In one embodiment of the invention the effluent kidney substitution treatment liquid is recirculated against the blood flowing through the kidney substitution after a predetermined treatment time or after the RR or the  $Kt/V$ , respectively, has reached a predetermined value. Thus it is possible to start the kidney substitution treatment at the time the concentration of the waste product in the blood is known, so that no error occurs while determining the  $Kt/V$ -Values or the reduction rate of the waste product.

In another advantage embodiment of the present invention the absorbance or the transmission of electromagnetic radiation is measured to determine the spectrophotometrical values of the effluent kidney substitution treatment liquid. The absorbance or the transmission of electromagnetic radiation are easily determinable especially if light, i.e, ultraviolet light is used as electromagnetic radiation.

Furthermore it is advantageously if the wavelength of the ultraviolet light is in the rang 180 nm to 380 nm. Even more advantageously it is if the wavelength of the ultraviolet light is in the rang 200 nm to 320 nm. The most intensive absorbance lines of almost every waste product in the blood are located in that ranges. For the determining of a special waste product, i.e at least one of urea, uric acid, creatinine, phosphates, B2 microglobuline, B12 vitamin or any other compound which has to be cleared from the blood of the patient, it is possible to select the most intensive absorbance line of that product. So the concentration of every waste product can be monitored on line in real time.

Furthermore every possible kidney substitution treatment, i.e hemodialysis, hemofiltration, pre-dilution hemofiltration, post-dilution hemofiltration, hemodiafiltration, pre-dilution hemodiafiltration or post-dilution hemodiafiltration is used with the present invention.

Another very advantageously feature of the present invention is that the determination of  $A_{B(t)}$  is performed continuously. Thus the  $Kt/V$ -value or the reduction rate can be monitored continuously without any error, so that the adequacy and the effectiveness can be controlled at high quality.

### **Brief description of the drawings**

- FIG 1.: Depicts a portion of a modified kidney substitution treatment liquid circuit to allow recirculation of the kidney substitution treatment with the kidney substitution and a coupling with an UV spectrophotometer.
- FIG 2.: Graph with the theoretical evolution of the UV absorbance over the time in the kidney substitution treatment liquid side.
- FIG 3.: Graph with the theoretical relationship between kidney substitution treatment liquid urea concentration and blood urea concentration.
- FIG 4.: Graph with the theoretical evolution of the UV absorbance over the time in the kidney substitution treatment liquid side during the recirculation stage.
- FIG 5.: Graph depicting the  $Kt/V$  overestimation risk, when it is calculated by means of the slope of the line, which results after applying the natural logarithm to the evolution of the urea concentration in the kidney substitution treatment liquid side over the time.
- FIG 6.: Graph depicting the absorbance spike caused by stationary kidney substitution treatment liquid within the kidney substitution during bypass mode.

### **Description of preferred embodiments**

The invention is now described with the help of a mathematical derivation.

FIG. 1 shows a section of the kidney substitution treatment liquid circuit of a conventional kidney substitution treatment machine plus some modifications to host the kidney substitution treatment liquid recirculation functionality explained above. The conduit 20 carries the kidney substitution treatment liquid from a kidney substitution treatment liquid source (not shown). At the beginning of the treatment, after the kidney substitution treatment liquid composition has achieved the set requirements and all the tubes are rinsed, valves 22 and 28 are closed while valves 30 and 31 are open, pump 25 recirculates the rinsed kidney substitution treatment liquid with the kidney substitution, and the UV measuring system registers the offset (see below), then the patient is connected and the kidney substitution treatment liquid keeps on recirculating with the patient blood until equilibration is achieved. It is detected by an algorithm in the computer 33, then a feed back signal is sent and valves 22 and 28 are open while valves 30 and 31 are closed, setting the system in normal treatment mode. The flow sensor 36 gives an accurate kidney substitution treatment liquid flow measurement necessary for obtaining the “quantity of UV absorbance” value in each analyzed time interval. All the calculations described below are carried out by the computer 33.

Assuming that urea is distributed in a single pool volume in the body, that urea generation rate and ultrafiltration are negligible during the session  $Kt/V$  can be calculated as:

$$Kt/V = -\ln \frac{C_{Bt}}{C_{Bo}} \quad (1)$$

In equation 1  $C_{Bt}$  is the blood urea concentration at the end of the treatment, and  $C_{Bo}$  is the blood urea concentration at the beginning of the treatment. According to equation 1 in order to calculate a  $Kt/V$  value the values of  $C_{Bt}$  and  $C_{Bo}$  are needed. The present invention allows to obtain such values in an indirect way.

On the kidney substitution treatment liquid side an UV absorbance  $A$  measurement is located which is linearly correlated with the urea concentration  $C$  in the blood of an individual patient. Therefore  $A_D$  and  $C_D$  functions over the time can be described as follows:

$$\begin{aligned}
 A_D(t) &= A_{D0} \cdot e^{\frac{-Kt}{V}} \\
 C_D(t) &= A_D(t) \cdot a + b
 \end{aligned}
 \tag{2}$$

In equation 2  $A_D$  is the UV absorbance in the kidney substitution treatment liquid,  $K$  is the clearance,  $t$ : treatment time,  $V$  is distribution volume of the waste product,  $C_D$  is the concentration in the kidney substitution treatment liquid,  $a$  is a linear factor and  $b$  the offset.

FIG 2 shows a graph of the theoretical absorbance evolution over the time in the kidney substitution treatment liquid side.

In the  $C_D(t)$  function the linear factor “ $a$ ” is unknown but factor “ $b$ ” represents the offset or the absorbance due to kidney substitution treatment liquid without waste product compounds. Therefore the factor “ $b$ ” can be measured before starting the treatment, as shown in the description of FIG 1 above and can be considered in any absorbance reading. Thus  $C_D(t)$  function can be written as:

$$C_D(t) = A_D(t) \cdot a \tag{3}$$

During the treatment not all of the relevant waste product from the blood side moves into the kidney substitution treatment liquid side. If we assume the arbitrary hypothesis that 90% of the relevant blood waste product goes to the kidney substitution treatment liquid side and we plot some hypothetic concentrations over the time, we would get something like FIG 3. In order to obtain  $A_{B0}$ , which is the UV absorbance that would correspond to the initial blood waste product concentration, we need a waste product concentration in the kidney substitution treatment liquid equilibrated with the blood waste product concentration. Thus we need to recirculate the kidney substitution treatment liquid with the blood as described above. If we plot the UV absorbance over the time during the recirculation stage we get something like FIG 4.

UV absorbance monitoring over the time allows us to determine and record  $A_{B_0}$  either waiting until the absorbance  $A$  arrives to a steady state, or estimating when such steady state is reached by means of the first values of the exponential function.

With an estimation of the waste product distribution volume it is possible to calculate the quantity of the waste product  $U_{B_0}$  within the body before starting the treatment:

$$U_{B_0} = C_{B_0} \cdot V \quad (4)$$

If we multiply  $A_{B_0}$  and  $V$ , we can get a new parameter analog to the waste product mass, and we can name it “quantity of UV absorbance” and represent it as  $D$ , therefore we can write:

$$D_{B_0} = A_{B_0} \cdot V \quad (5)$$

If we know  $A_{B_0}$ , and  $V$  by means of bioimpedance, UKM or anthropometric estimation we can obtain  $D_{B_0}$ , which is the key value to later calculate  $Kt/V$ .

If we compute the area under  $f(A_D)$  multiplied by the kidney substitution treatment liquid flow, we get the quantity of UV absorbance  $D_D$  extracted from the patient in each desired interval of time, therefore:

$$Q_D \cdot \int_0^t f(A_D) dt = \Delta D_D \quad (6)$$

Applying simple mass balance we can obtain the “quantity of absorbance”  $D_{B_t}$  remaining on the patient:

$$D_{B_0} + \Delta D_D = D_{B_t} \quad (7)$$

Applying the same principle used in equation 4, we can compute  $A_{Bt}$ , which is the UV absorbance that we would get if we were able to directly measure the blood waste product absorbance at the time  $t$ :

$$D_{Bt} = A_{Bt} \cdot (V - UF_t) \quad (8)$$

Combining equations 1 and 2 it is possible to write:

$$Kt/V = -\ln \frac{C_{Bt}}{C_{Bo}} = -\ln \frac{f(A_{Bt})}{f(A_{Bo})} = -\ln \frac{A_{Bt} \cdot a}{A_{Bo} \cdot a} = -\ln \frac{A_{Bt}}{A_{Bo}} \quad (9)$$

The final step would be apply Daugirda's single pool formula to account for waste product generation during the treatment, but not for volume contraction as it is already considered in equation 8.

Applying this method it is also possible to online calculate the Reduction Ratio (RR) of the waste product:

$$RR = 1 - \frac{C_{Bt}}{C_{Bo}} = 1 - \frac{A_{Bt} \cdot a}{A_{Bo} \cdot a} = 1 - \frac{A_{Bt}}{A_{Bo}} \quad (10)$$

Since during the equilibration stage at the beginning of the treatment, the waste product inbound effect has not been yet established, and considering that the final waste product concentration is not measured but estimated by means of the extracted "quantity of UV absorbance", the obtained  $Kt/V$  value considers waste product rebound, and therefore should be an equilibrated  $Kt/V$  value.

It is also possible recirculate the kidney substitution treatment liquid with the blood at the end of the treatment, and calculate by these means a single pool  $Kt/V$ . In that way it is possible to determine the final waste product concentration by recirculating the kidney substitution treatment liquid at the end of the kidney substitution treatment in the same way as at the

beginning of the kidney substitution treatment. Therefore the values 22 and 28 of the kidney substitution treatment liquid conduit are closed while the values 30 and 31 of the kidney substitution treatment liquid conduit are opened. Then the kidney substitution treatment liquid is recirculating through the kidney substitution 35 as long as the absorbance A measured with the UV spectrophotometer 32 is not constant. If the absorbance A reaches a constant value the concentration of the waste product in the recirculated kidney substitution treatment liquid is the same as in the blood of the patient. The concentration is now equilibrated.

Adjusting the spectrophotometer wavelength and applying the described method it is possible to estimate  $Kt/V$  and reduction ratios for many important compounds like urea,  $\beta_2$ -microglobulin, uric acid, creatinine, phosphates or the like.

Another possible embodiment is to apply the method described in the already mentioned patent WO 94/08641, but using the UV approach instead of an urea sensor, since UV reliability and stability is better. Fridolin et al have observed absorbance spikes due to stationary kidney substitution treatment liquid in the kidney substitution when working with real kidney substitution treatments. During a normal treatment, when the machine turns into bypass mode due to some alarm, the kidney substitution treatment liquid flow through the kidney substitution is stopped, as a result a sudden decrease in the UV absorbance is noticed. Nevertheless compounds diffusion from blood to kidney substitution treatment liquid carries on increasing the concentration on the kidney substitution treatment liquid until it reaches the equilibration level. When the treatment mode is restarted, the compounds concentration in the kidney substitution treatment liquid volume within the kidney substitution is higher, and therefore a transitory increased UV absorbance is noticed as a positive spike over the baseline level existing before starting the bypass mode. FIG 6. This stationary flow based spike could be used to determine pre-dialysis waste product blood concentration and if required, post-dialysis blood waste product concentration. In such approach the recirculation design proposed in FIG 1 wouldn't be necessary.

Since the proposed invention is based on total solute extraction from the patient and not in creating a diffusive gradient between blood and kidney substitution treatment liquid like the

conductivity based methods, it is applicable not only to diffusion based treatments but also to convection based treatments, therefore it can monitor the adequacy of any of the available kidney substitution treatments.



## List of reference signs

- 20 - kidney substitution liquid source
- 21 - kidney substitution liquid drain
- 22 - valve
- 23 - kidney substitution liquid inlet
- 24 - kidney substitution liquid outlet
- 25 - pump
- 26 - blood inlet
- 27 - blood outlet
- 28 - valve
- 29 - balance chamber
- 30 - valve
- 31 - valve
- 32 - measuring device, spectrophotometer, UV spectrophotometer
- 33 - computer
- 34 - display
- 35 - kidney substitution device
- 36 - flow sensor
- 37 - patient

## Claims

1. Method for determining the reduction ratio or the Kt/V value of a kidney substitution treatment wherein the measurement of the concentration of at least one waste product is effected spectrophotometrically and directly on the effluent kidney substitution treatment liquid, characterized

in that the effluent kidney substitution treatment liquid is recirculated against the blood flowing through the kidney substitution until the spectrophotometrical value of the effluent kidney substitution treatment liquid has reached a (nearly) constant value

$A_{B_0}$  and

in that the reduction ratio (RR) of the at least one waste product is determined with the equation

$$RR = 1 - \frac{A_{B(t)}}{A_{B_0}}$$

or Kt/V is determined with the equation

$$Kt/V = -\ln \frac{A_{B(t)}}{A_{B_0}}$$

wherein  $A_{B(t)}$  is the spectrophotometrical value on the effluent kidney substitution treatment liquid at the treatment time t during the kidney substitution treatment which is commenced after the recirculation of the effluent kidney substitution treatment liquid and wherein K is the effective clearance of the waste product and V is the distribution volume of the waste product.

2. Method according to claim 1, characterised in that the kidney substitution treatment is commenced on cessation of the recirculation of the effluent kidney substitution treatment liquid
3. Method according to claim 1 or 2, characterised in that the effluent kidney substitution treatment liquid is recirculated against the blood flowing through the kidney substitution

after a predetermined treatment time or after the RR or the  $Kt/V$ , respectively, has reached a predetermined value.

4. Method according to claim 1, 2 or 3, characterized in that the absorbance or the transmission of electromagnetic radiation is measured to determine the spectrophotometrical values of the effluent kidney substitution treatment liquid.
5. Method according to claim 4, characterized in that ultraviolet light is used as electromagnetic radiation.
6. Method according to claim 5, characterized in that the wavelength of the ultraviolet light is in the rang 180 nm to 380 nm.
7. Method according to claim 6, characterized in that the wavelength of the ultraviolet light is in the rang 200 nm to 320 nm.
8. Method according to any of the claims 1 to 7, characterized in that as waste product at least one of urea, uric acid, creatinine, phosphates, B2 microglobuline, B12 vitamin (or any other compound which has to be cleared from the blood of the patient) is used.
9. Method according to any of the claims 1 to 8, characterized in that hemodialysis, hemofiltration, pre-dilution hemofiltration, post-dilution hemofiltration, pre-post-dilution hemofiltration, hemodiafiltration, pre-dilution hemodiafiltration, post-dilution hemodiafiltration or pre-post-dilution hemodiafiltration is used as kidney substitution treatment.
10. Method according to any of the claims 1 to 9, characterized in that the determination of  $A_{B(t)}$  is performed continuously.
11. Apparatus for the realisation of the method according to any of the claims 1 to 10, which comprises:

a kidney substitution device (35)

an external blood circuit (26, 27) which is connected to the kidney substitution device (35)

a kidney substitution liquid conduit system which is connected to the kidney substitution device (35), a kidney substitution liquid source (20) and a kidney substitution liquid drain (21) wherein the kidney substitution liquid conduit system comprises a valve system (22, 28, 30, 31) to recirculate the effluent kidney substitution treatment liquid of the kidney substitution device (35) to the kidney substitution device (35),

characterized in that a measuring device (32) for determining spectrophotometric values of the effluent kidney substitution treatment liquid is located at the outflow of the kidney substitution treatment liquid conduit between the kidney substitution device (35) and the kidney substitution liquid drain (21) such that the effluent kidney substitution liquid either has to pass the measuring device (32) during recirculation or has to pass the measuring device (32) as it flows into the kidney substitution liquid drain (21).

12. Apparatus according to claim 11, characterized in that the measuring device (32) is a spectrophotometer (32).
13. Apparatus according to claim 11 or 12, characterized in that the measuring device (32) is an UV spectrophotometer (32).
14. Apparatus according to one of the claims 11 to 13, characterized in that the valve system (22, 28, 30, 31) comprises of  
a valve (22) between the kidney substitution liquid source (20) kidney substitution device (35),  
a valve (28) between the kidney substitution liquid drain (21) and the kidney substitution device (35),  
and two valve (30, 31) in a kidney substitution liquid circuit.

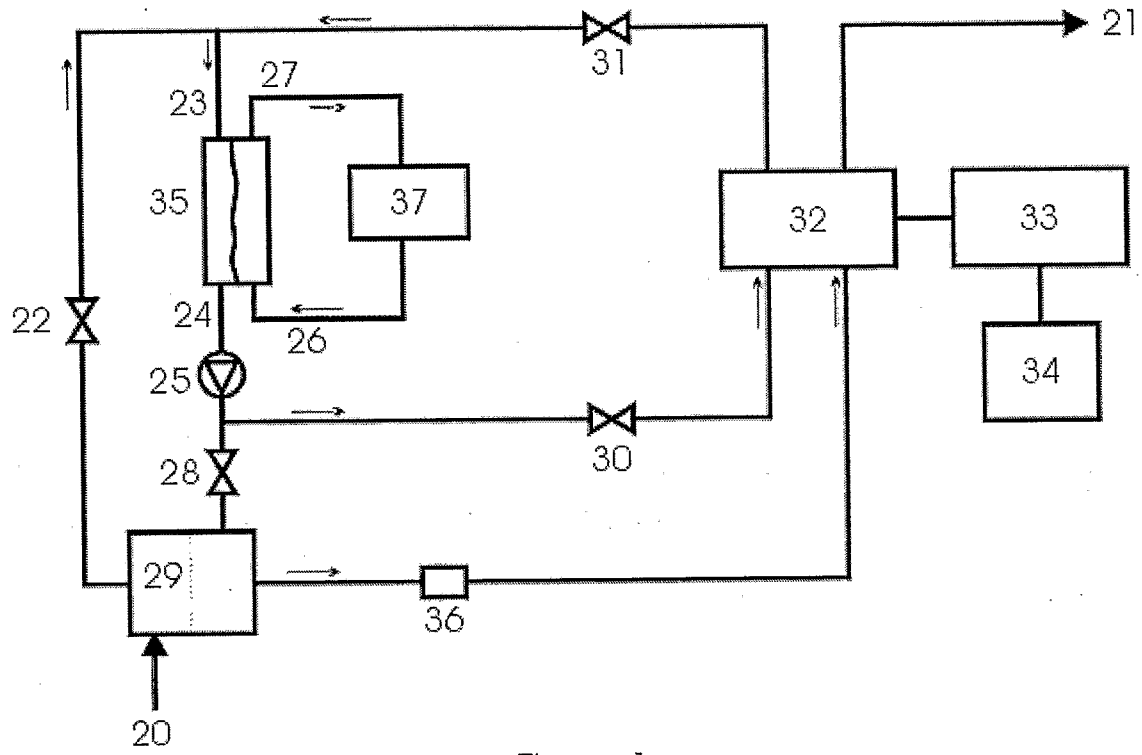


Figure 1

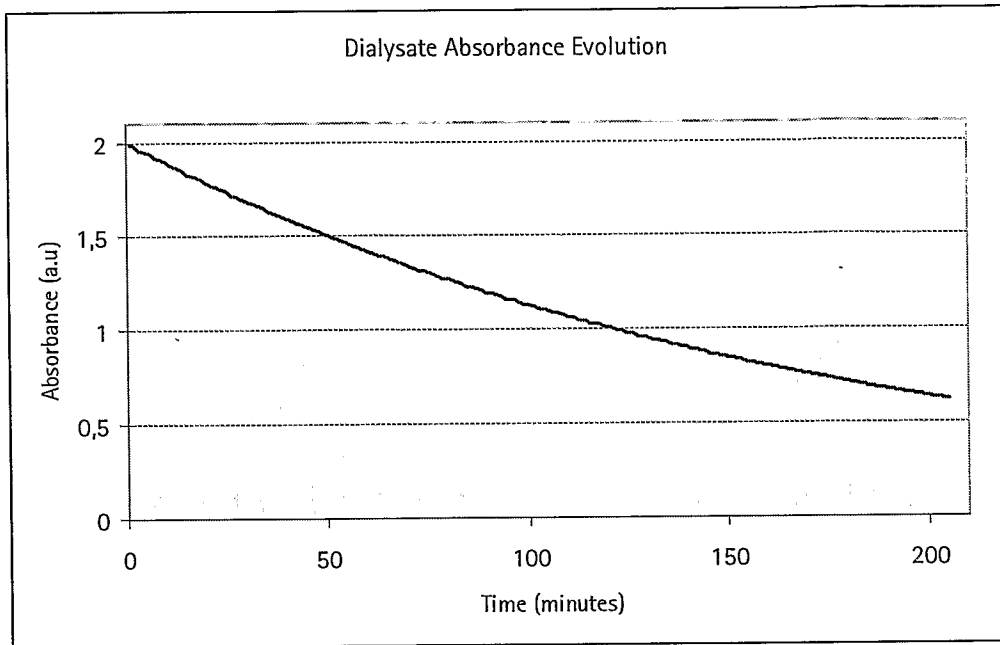


Figure 2

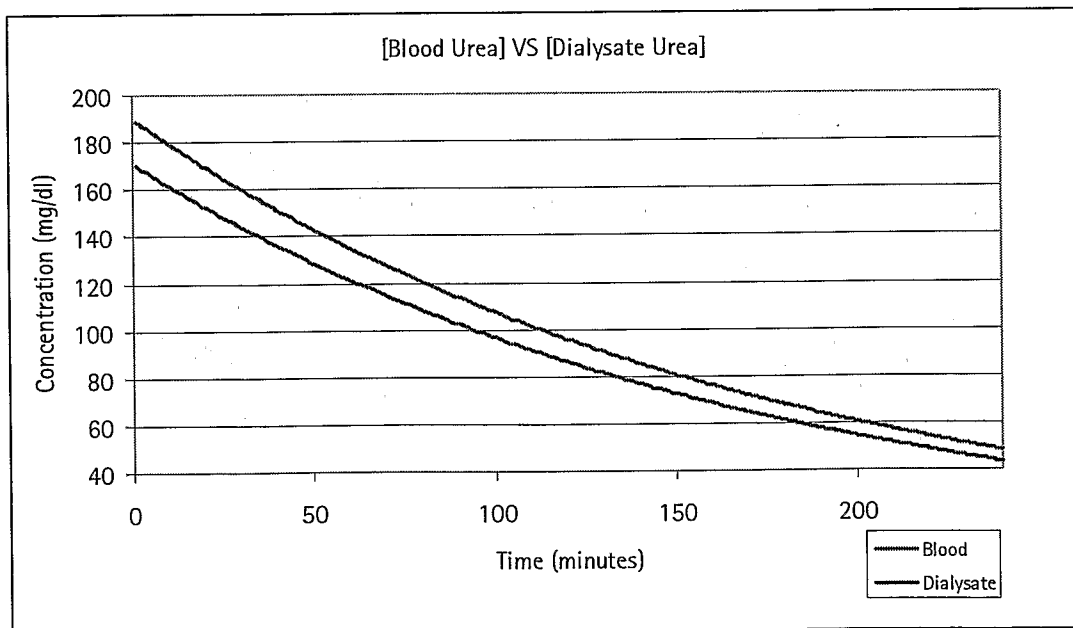


Figure 3

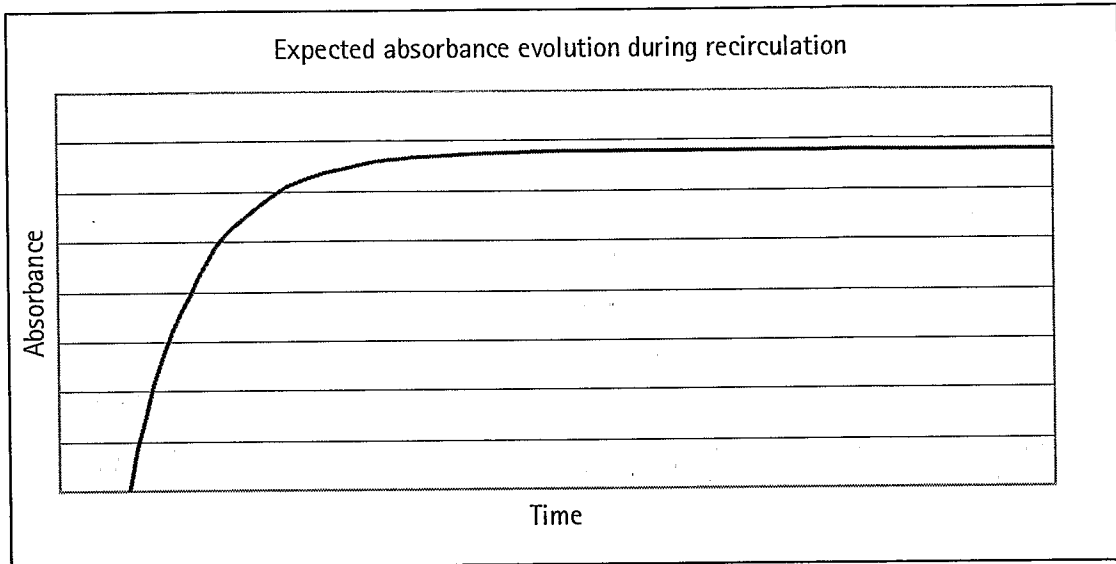


Figure 4

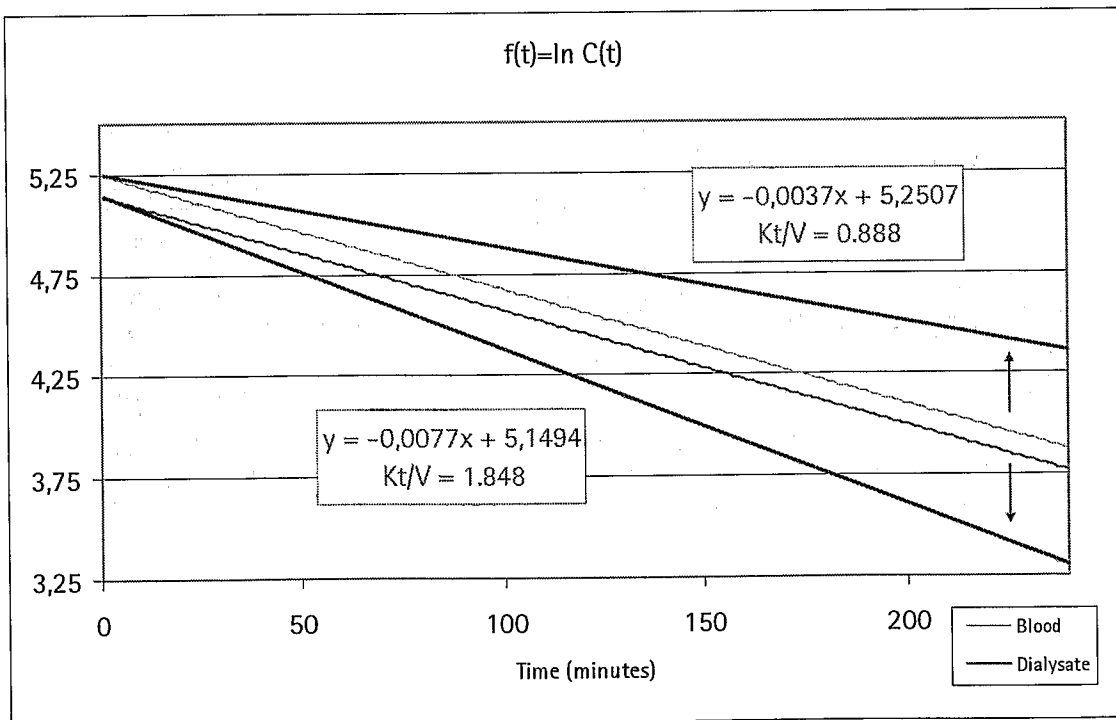


Figure 5

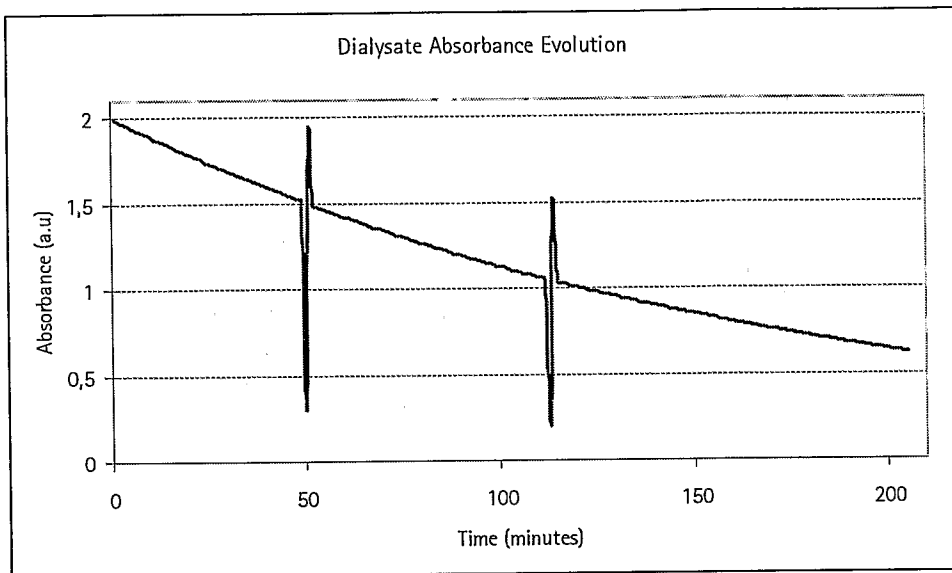


Figure 6



**INTERNATIONAL SEARCH REPORT**

International application No  
PCT/IB2008/001616

**A. CLASSIFICATION OF SUBJECT MATTER**  
INV. A61M1/16 A61M1/34

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
A61M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	WO 98/55166 A (GAMBRO AB [SE]; STERNBY JAN [SE]) 10 December 1998 (1998-12-10) cited in the application figures 2,4,5,7,10 page 4, line 31 - page 7, line 34 page 6, line 8 - page 20, line 15	11-14
Y	US 5 685 988 A (MALCHESKY PAUL [US]) 11 November 1997 (1997-11-11) column 2, line 45 - column 4, line 4 figures 1-5	11-14
Y	WO 99/62574 A (ALTHIN MEDICAL AB [SE]; FALKVALL THORE [SE]; SANDBERG LARS OLOF [SE];) 9 December 1999 (1999-12-09) cited in the application figures 1-4 page 4, line 31 - page 7, line 34	11-14

Further documents are listed in the continuation of Box C.

See patent family annex.

\* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*Z\* document member of the same patent family

Date of the actual completion of the international search

12 November 2008

Date of mailing of the international search report

21/11/2008

Name and mailing address of the ISA/

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040,  
Fax: (+31-70) 340-3016

Authorized officer

Hochrein, Marion

## INTERNATIONAL SEARCH REPORT

International application No

PCT/IB2008/001616

C(Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 94/08641 A (BAXTER INT [US]) 28 April 1994 (1994-04-28) cited in the application figures 1,3-6 page 11, lines 7-17 page 12, lines 7-20 page 15, line 18 - page 23, line 19	11-14
A	CANAUD B ET AL: "ON-LINE DIALYSIS QUANTIFICATION IN ACUTELY III PATIENTS. PRELIMINARY CLINICAL EXPERIENCE WITH A MULTIPURPOSE UREA SENSOR MONITORING DEVICE" ASAIO JOURNAL, LIPPINCOTT WILLIAMS & WILKINS / ASAIO, HAGERSTOWN, MD, US, vol. 44, no. 3, 1 May 1998 (1998-05-01), pages 184-190, XP000752086 ISSN: 1058-2916 abstract figures 1,4-6 page 185, right-hand column, lines 4,5 page 186, left-hand column, line 6FF page 186, right-hand column, lines 26-29 page 187, left-hand column, line 15 - page 188, right-hand column, line 10	11-14
A	WO 98/19592 A (RIO GRANDE MEDICAL TECH INC [US]) 14 May 1998 (1998-05-14) figures 1-3,6,7,11 page 5, line 18 - page 7, line 6 page 8, lines 3-8 page 9, lines 3-19	11-14

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/IB2008/001616

## Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: 1-10  
because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by therapy
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3.  Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this international search report covers allsearchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fees, this Authority did not invite payment of additional fees.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

### Remark on Protest

- The additional search fees were accompanied by the applicant's protest and, where applicable, the payment of a protest fee.
- The additional search fees were accompanied by the applicant's protest but the applicable protest fee was not paid within the time limit specified in the invitation.
- No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

Information on patent family members

International application No

PCT/IB2008/001616

Patent document cited in search report		Publication date	Patent family member(s)	Publication date			
WO 9855166	A	10-12-1998	AT 321580 T	15-04-2006			
			AU 732784 B2	26-04-2001			
			AU 8046698 A	21-12-1998			
			BR 9809718 A	11-07-2000			
			CA 2292717 A1	10-12-1998			
			DE 69834034 T2	17-08-2006			
			EP 0986410 A1	22-03-2000			
			ES 2260838 T3	01-11-2006			
			JP 4148536 B2	10-09-2008			
			JP 2002514120 T	14-05-2002			
			US 6258027 B1	10-07-2001			
			<hr/>				
			US 5685988	A	11-11-1997	NONE	
<hr/>							
WO 9962574	A	09-12-1999	AT 262932 T	15-04-2004			
			DE 69916053 D1	06-05-2004			
			DE 69916053 T2	03-03-2005			
			EP 1083948 A1	21-03-2001			
			JP 2002516722 T	11-06-2002			
			SE 525639 C2	22-03-2005			
			SE 9801983 A	05-12-1999			
			US 6666840 B1	23-12-2003			
			<hr/>				
WO 9408641	A	28-04-1994	AU 674177 B2	12-12-1996			
			AU 5328394 A	09-05-1994			
			BR 9305667 A	26-11-1996			
			CA 2124809 A1	28-04-1994			
			CN 1090511 A	10-08-1994			
			DE 69320619 D1	01-10-1998			
			DE 69320619 T2	06-05-1999			
			DE 69330804 D1	25-10-2001			
			DE 69330804 T2	18-07-2002			
			EP 0616540 A1	28-09-1994			
			JP 7502190 T	09-03-1995			
			JP 3547436 B2	28-07-2004			
			MX 9306336 A1	31-01-1995			
			US 5518623 A	21-05-1996			
<hr/>							
WO 9819592	A	14-05-1998	NONE				