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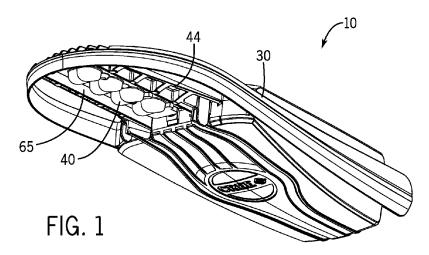
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(54) Title: LED LIGHT FIXTURE WITH INTEGRATED LIGHT SHIELDING



(57) Abstract: An LED light fixture (10) including a housing (17), a heat sink (42) secured with respect to the housing and an LED illuminator (41) secured with respect to the heat sink. The heat sink includes cental and peripheral portions (45, 46). The central portion has an LED-supporting surface and forward, rearward and lateral sides, the LED illuminator being at the LED-supporting surface. The peripheral portion (46) extends laterally from the lateral sides (50). The central portion (45) of the heat sink has downwardly-extending shield members (65) at the lateral sides thereof configured and dimensioned to block illumination in a direction opposite the LED illuminator. In embodiments where the optical member is configured for directing emitter light predominantly toward the forward side, the central heat-sink portion has a downwardly-extending shield member at the rearward side thereof configured and dimensioned to block rearward illumination.



## LED LIGHT FIXTURE WITH INTEGRATED LIGHT SHIELDING

## FIELD OF THE INVENTION

This invention relates to light fixtures and, more particularly, to light fixtures using light-emitting diodes (LEDs).

## BACKGROUND OF THE INVENTION

In recent years, the use of light-emitting diodes (LEDs) in development of light fixtures for various common lighting purposes has increased, and this trend has accelerated as advances have been made in the field. Indeed, lighting applications which previously had typically been served by fixtures using what are known as high-intensity discharge (HID) lamps are now being served by LED light fixtures. Such lighting applications include, among a good many others, roadway lighting, factory lighting, parking lot lighting, and commercial building lighting.

In LED light fixtures, particularly lights used for roadway, parking lot and similar outdoor lighting purposes, there is a need to direct light in chosen downward directions, to avoid or minimize so-called trespass light in non-intended downward directions, and avoid or minimize so-called light pollution in order to satisfy "dark-sky" specifications and/or requirements.

Various prior LED light fixtures deal with these issues by adding shields and reflectors of various kinds, and this tends to result in complex structures. There is a need for an improved LED light fixture which satisfies the above-mentioned light-direction requirements which is relatively simple and inexpensive to manufacture, and which satisfies other important requirements for LED light fixtures.

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## SUMMARY OF THE INVENTION

The present invention relates to improved LED light fixtures. In certain embodiments, the inventive LED light fixture includes a housing, a heat sink secured with respect thereto and an LED illuminator secured with respect to the heat sink. The heat sink has an LED-supporting surface and forward, rearward and lateral sides, the LED illuminator being at the LED-supporting surface. The LED illuminator includes a circuit board with at least one LED emitter on the circuit board and an

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optical member over the at least one LED emitter for illumination therebelow. The heat sink has downwardly-extending shield members at the lateral sides thereof configured and dimensioned to block illumination which in street-light installation of the fixture minimize upward illumination, thereby addressing "dark-sky" requirements.

In certain embodiments, the optical member is configured for directing emitter light predominantly toward the forward side. In some of such embodiments, a downwardly-extending shield member is disposed at the rearward side of the LED illuminator and configured and dimensioned to block rearward illumination, the rearward shield member extending to a position lower than a lowermost outer-surface portion of the optical member. The rearward shield member may include a reflective coating redirecting rearward light away from unintended areas, thereby serving to minimize so-called "light-trespass."

The above-described inventive structure serves to address both "dark-sky" and "light-trespass" issues with shielding which is integrated in other essential structure of the LED light fixture. For example, heat-sink portions which are beneficial for heat-dissipation purposes are also serving to satisfy "dark-sky" specification and/or requirements, and portions of the housing which serve important component-protection purposes are also particularly beneficial in minimizing "light trespass." In other words, structural simplicity and functional efficiency are achieved by integrating important light-related shielding in the configuration of the LED light fixture rather than adding purpose-specific elements and components.

In certain embodiments, the housing has a main portion including a forward wall-portion with the heat sink extending forwardly therefrom. In some of such embodiments, the forward wall-portion of the housing at least partially defines the rearward shield member. The forward wall-portion of the housing may include a reflective coating redirecting rearward light toward the forward side.

In some embodiments, the main portion of the housing includes a housing body and a cover member movably secured with respect to a housing body. The housing body includes a forward wall-portion. The housing body and the cover member form a substantially closed chamber. In certain embodiments, the cover member has a forward end which is secured to the forward wall-portion of the housing

body and at least partially defines the rearward shield member. The forward end of the cover member may include a reflective coating redirecting rearward light toward the forward side.

The cover member also has a rearward end opposite the forward end and a cover wall extending therebetween, the cover wall including a lowermost portion which is at a position lower than the lowermost position of the rearward shield member to further block rearward illumination. The cover wall may include a reflective coating.

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In some embodiments, the fixture includes a frame which has an open forward region and a rearward region. The rearward region includes a rearmost portion adapted for securement to a support member. The heat sink is positioned within the open forward region and is secured with respect to the frame with open spaces remaining therebetween.

In certain embodiments, the frame and the main portion of the housing are formed as a single piece. In some embodiments, the heat sink and the frame are formed as a single-piece metal casting.

In some embodiments, the housing body has a main wall and a surrounding wall extending therefrom to a housing-body edge. The surrounding wall has two lateral wall-portions extending between the forward wall-portion and a rearward wall-portion. In some embodiments, the rearward end of the cover member is hingedly secured with respect to the rearward wall-portion of the housing body.

The cover member may be a one-piece polymeric structure. In some of such embodiments, the chamber encloses electronic LED power circuitry including an antenna and circuitry for wireless control of the fixture.

The forward end of the cover member may include an integrated latching member detachably securing the forward end of the cover member with respect to the forward wall-portion of the housing body. The rearward end of the cover member may be hingedly secured with respect to the rearward wall-portion of the housing body.

In certain embodiments, the housing further includes a forward portion extending from the main portion of the housing and defining the forward region. In some versions, the main portion has a greater lateral cross-dimension than the lateral

cross-dimension of the heat sink. The forward portion of the housing may have lateral cross-dimension(s) which are greater than the lateral cross-dimension of the heat sink such that the forward portion spans the heat sink.

In some of such embodiments, the forward portion has outer lateral edges.

The fixture may have open spaces which are defined between the lateral sides of the heat sink and the outer lateral edges of the forward portion of the housing, whereby the lateral shield members block lateral light from upward reflection by the forward portion of the housing.

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The LED illuminator may include an LED emitter on a mounting board and an LED optical member over the emitter. The LED emitter may have an array of LED light sources spaced along the mounting board. The LED optical member may have a plurality of lenses each over a corresponding one of the LED light sources. Each LED light source may include an array of LEDs.

In accordance with certain aspects of the present invention, alternative embodiments of the LED lighting system can comprise one or more of the following aspects. In some embodiments, the frame comprises a central portion (which may also be referred to as a core or spine) which has an integral heat sink, at least a portion of the housing that comprises at least one compartment for wiring and/or driver circuitry separate from the LED illuminator, and a mount. The frame further comprises a peripheral portion spaced from the central portion to provide a desired form factor, e.g., such as a cobrahead or other form factor, and/or additional heat sinking.

In some embodiments, the core has a plurality of compartments, where in some embodiments, at least one of the compartments provides isolation from the LED illuminator. In some embodiments, the heat sink is integrated with a compartment, for example, a heat sink surface can form a compartment wall. In some embodiments, the heat sink can form an integral backlight shield. In other embodiments, the heat sink can comprise a reflective backlight shield. In some embodiments, the core is formed from a single piece of die-cast metal. In some embodiments, the core comprises the top portion of the housing, and a compartment door of metal or a polymeric material provides access, such as 180 degree access, to the compartment(s)

in the housing. In some embodiments the heat sink can comprise an extruded part with lateral fins.

In some embodiment, the central portion is integrated with the heatsink, supports the housing and provides mounting to a support member. A top and/or bottom enclosure(s), which can be in the form of a clamshell, engages the core to house electronic components of LED power circuitry.

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In some embodiments, the top and/or bottom enclosure can form the peripheral portion of the frame and provide a desired form factor. The top and/or bottom enclosures can be made of metal and/or a polymeric material. In certain embodiments, by using a polymeric material, such as a plastic, nylon or polycarbonate, for the enclosure(s) or doors, the fixture may be able to integrate a fully-enclosed antenna for wireless control of the fixture and be able to provide electrical isolation that allows the use of a removable LED driver. One example of such removable driver is a caseless driver board which is fully encapsulated in a protective polymeric material providing electrostatic discharge (ESD) protection to the driver board which conducting heat away from the driver board during operation.

In some embodiments, the heat sink includes fins in the space between the heat sink and peripheral portions of the frame. In some embodiments, at least one thermal connection is provided between the heatsink and the peripheral portion of the frame in a space between the heat sink and the peripheral portion of the frame. In some embodiments, open through-spaces are provided on multiple axes, e.g., at least one on a side and at least one on the front or back.

In some embodiments, the core can be made at least in part of a polymeric material. In some embodiments, a polymeric mounting arrangement can be used to mount the lighting fixture to a pole. In some embodiments, the entire core is made of a polymeric material.

In some embodiments, a mounting arrangement is provided with an outside fulcrum which allows for a smaller aperture off the back and better clearance for the pole. In some embodiments, the fixture includes a fulcrum outside a fixture interior which provides advantages such as allowing a smaller aperture for a support-member entry into the fixture interior as well as easier access to the interior by providing more room for clearance of a compartment door has more clearance.

The smaller entry aperture may eliminate the need for a splash guard which is typically required for UL listed outdoor light fixtures, while still providing for the possibility of a splash-guard arrangements.

The term "ambient fluid" as used herein means air and/or water around and coming into contact with the light fixture.

The term "projected," as used with respect to various portion and areas of the fixture, refers to such portions and areas of the fixture in plan views.

As used herein in referring to portions of the devices of this invention, the terms "upward," "upwardly," "upper," "downward," "downwardly," "lower," "upper," "top," "bottom" and other like terms assume that the light fixture is in its usual position of use.

In descriptions of this invention, including in the claims below, the terms "comprising," "including" and "having" (each in their various forms) and the term "with" are each to be understood as being open-ended, rather than limiting, terms.

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## BRIEF DESCRIPTION OF THE DRAWINGS

FIGURE 1 is a perspective view from below of one embodiment of an LED light fixture in accordance with this invention.

FIGURE 2 is a perspective view from above of the LED light fixture of 20 FIGURE 1.

FIGURE 3 is a top plan view of the LED light fixture of FIGURE 1.

FIGURE 4 is a bottom plan view of the LED light fixture of FIGURE 1.

FIGURE 5 is an exploded perspective view of the LED lighting of FIGURE 1.

FIGURE 6 is another perspective view showing a front of the LED light fixture from below with open cover member and secured to a support member.

FIGURE 7 is a fragmentary perspective view showing the disengaged forward end of the cover member with an integrated latching member.

FIGURE 8 is another fragmentary perspective view showing the rearward end of the cover member with an integrated hinging member.

FIGURE 9 is a side rear perspective view showing the LED light fixture secured with respect to a support member and having its cover member hanging open.

FIGURE 10 is a top rear perspective view showing the LED light fixture secured with respect to the support.

FIGURE 11 is a fragmentary front perspective view from below illustrating the forward region of the fixture with its LED assembly therein, including its LED illuminator.

FIGURE 12 is a fragmentary side perspective view from below showing the same portions of the fixtures as shown in FIGURE 11 from a somewhat different angle.

FIGURE 13 is a side-to-side cross-sectional view of the LED light fixture taken along section 13-13 as indicated in FIGURE 4.

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FIGURE 14 is a front elevation of the LED light fixture of FIGURE 1.

FIGURE 15 is a rear elevation of the LED light fixture of FIGURE 1.

FIGURE 16 is a side cross-sectional view of the LED light fixture taken along section 16-16 as indicated in FIGURE 4.

FIGURE 17 is a bottom plan view of one embodiment of the LED light fixture secured to a support member and with its cover member open.

FIGURE 18 is a bottom plan view similar to FIGURE 17 but with the cover in its closed position.

FIGURE 19 is a top plan view of the LED light fixture secured to a support member.

FIGURE 20 is a top perspective view of an alternative embodiment of this invention.

FIGURE 21 is a front top perspective view of another alternative embodiment of this invention.

FIGURE 22 is an exploded perspective view of the LED light fixture of FIGURE 21.

FIGURE 23 is a bottom perspective view of yet another alternative embodiment of this invention.

FIGURE 24 is a bottom perspective view of still another embodiment of this invention.

FIGURE 25 is a bottom plan view showing the LED light fixture of FIGURE 24 without its LED illuminator in place.

FIGURE 26 is a bottom perspective partially-exploded view of the LED light fixture of FIGURE 24.

FIGURES 27 and 28 are enlarged perspective views of two examples of LED packages usable in LED light fixtures of this invention, the LED packages including different arrays of LEDs on a submount with an asymmetric primary lens overmolded on the LED arrays.

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FIGURE 29 is an enlarged perspective of yet another example of an LED package which has a single LED on a submount with an overmolded hemispheric primary lens.

FIGURE 30 is an enlarged side view of the LED package of FIGURE 31.

FIGURE 31 is an enlarged top plan view of the LED package of FIGURE 31.

FIGURE 32 is a fragmentary side-to-side cross-sectional view taken along section 32-32 as indicated in FIGURE 3, illustrating the heat sink having a surface opposite the LED illuminator which slopes toward both lateral sides of the heat sink.

FIGURE 33 is a fragmentary front-to-back cross-sectional view taken along section 33-33 as indicated in FIGURE 3, illustrating the heat sink having a surface opposite the LED illuminator which slopes toward both the front and back sides of the heat sink.

FIGURE 34 is a bottom plan view of still another embodiment of the invention.

FIGURES 35-37 are schematic top plan views of the LED light fixture of FIGURE 1, such figures serving to indicate particular projected areas of the fixture for purposes of facilitating description of certain aspects of the invention.

FIGURES 38-40 are bottom plan views of still alternative embodiments of the invention.

FIGURES 38A-40A are bottom plan views of yet other alternative embodiments of the invention.

## DETAILED DESCRIPTION OF EXEMPLARY EMBODIMENTS

The figures illustrate exemplary embodiments of LED light fixtures in accordance with this invention. Common or similar parts in different embodiments are given the same numbers in the drawings; the light fixtures themselves are often

referred to by the numeral 10 followed by different letters with respect to alternative embodiments.

FIGURES 1-19, 32-33 and 35-37 illustrate a light fixture 10 which is a first embodiment in accordance with this invention. Light fixture 10 includes a frame 30 and an LED assembly 40 secured with respect to frame 30. Frame 30 surrounds and defines a forward open region 31 and a rearward region 32. Rearward region has a rearmost portion 33 adapted for securement to a support member 11. LED assembly 40 is positioned within open forward region 31 with open spaces 12 remaining therebetween -e.g., between either side of frame 30 and LED assembly 40. Other embodiments are possible where there are additional open spaces or one single open space.

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LED assembly 40 includes a heat sink 42 and an LED illuminator 41 secured with respect to heat sink 42. Heat sink 42 includes an LED-supporting region 43 with heat-dissipating surfaces 44 extending from LED-supporting region 43. LED illuminator 41 is secured with respect to LED-supporting region 43. As shown in FIGURE 5, LED illuminator 41 includes a circuit board 27 with LED emitters 20 thereon and an optical member 29 over LED emitters 20 for illumination of areas below light fixture 10 (when fixture 10 is mounted in its usual use orientation).

FIGURES 27-31 show LED emitters in different forms among those usable in the present invention. Each LED emitter includes one or more light-emitting diodes (LED) 22 with a primary lens 24 thereover, forming what is referred to as LED package.

FIGURES 27 and 28 illustrate exemplary LED packages 23A and 23B each including an array of LEDs 22 on an LED-populated area 25 which has an aspect ratio greater than 1, and primary lenses 24 being overmolded on a submount 26 over LED-populated area 25. It is seen in FIGURE 28 that the array may include LEDs 22 emitting different-wavelength light of different colors such as including red LEDs along with light green or other colors to achieve natural white light. Light emitters of the type as LED packages 23A and 23B are described in detail in Patent Application Serial No. 13/441,558, filed on April 6, 2012, and in Patent Application Serial No. 13/441,620, filed on April 6, 2012. Contents of both applications are incorporated herein by reference in their entirety.

FIGURES 27 and 28 also illustrate versions of LED light emitters configured to refract LED-emitted light toward a preferential direction 2. In each LED package 23A and 23B, each LED array defines emitter axis. FIGURES 27 and 28 illustrate primary lens 24A configured to refract LED-emitted light toward preferential side 2.

It should be understood that for higher efficiency LED emitter may have a primary lens having its centerline offset from the emitter axis and also being shaped for refraction of LED-emitted light toward preferential side 2. In FIGURES 27 and 28, primary lens 24A is asymmetric.

FIGURES 29-31 show LED package 23D with a single LED 22 on a submount 26 and a hemispheric primary lens 24D coaxially overmolded on submount 26 over LED 22.

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In fixtures utilizing a plurality of emitters, a plurality of LEDs or LED arrays may be disposed directly on a common submount in spaced relationship between the LEDs or LED arrays each of which is overmolded with a respective primary lens.

These types of LED emitters are sometimes referred to as chip-on-board LEDs.

LED optical member 29 is a secondary lens placed over the primary lens. In embodiments with a plurality of LED emitters (packages), optical member 29 includes a plurality of lenses 28 each positioned over a respective one of the primary lenses.

The plurality of secondary lenses 28 are shown molded as a single piece 29 with a single flange surrounding each of the plurality of lenses 28.

FIGURE 5 also illustrates LED illuminator 41 including a securement structure which includes rigid peripheral structure 411 which applies force along the circuit-board peripheral area toward heat sink 42. This structure serves to increase thermal contact across the facing area of the thermal-engagement surface of circuit board 27 and the surface of heat sink 42 which receives circuit board 27. This arrangement facilitates removal of heat from LED emitters 20 during operation by increasing surface-to-surface contact between the thermal-engagement surface of the circuit board and the heat sink by facilitating excellent, substantially uniform thermal communication from the circuit board to the heat sink, thereby increasing heat transfer from the LEDs to the heat sink during operation. Rigid peripheral structure 411may be a drawn sheet-metal single-piece structure. As shown in FIGURE 5, a gasket 412 is sandwiched between optical member 29 and heat sink 42, thereby facilitating fluid-

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tight sealing of the circuit board 27. The securement structure is described in detail in Patent Application Serial No. 61/746,862, filed December 28, 2012, the entire contents of which are incorporated herein by reference.

LED light fixture 10 has a housing 17 and LED assembly 40 is secured with respect to housing 17. Housing 17 has an enclosure 13 which is within rearward region 32 and defines a chamber 14 enclosing electronic LED power circuitry 15. As shown in FIGURES 5-7, 9 and 17, enclosure 13 has an upper shell 34 and a lower shell 35. Lower shell 35, which is a one-piece polymeric structure, is movably secured with respect to upper shell 34, which is a metal structure. In various embodiments of the invention, including the first embodiment (which is shown in FIGURES 1-19, 32-33 and 35-37), a second embodiment which is shown in FIGURE 20, and a third embodiment which is shown in FIGURES 21 and 22, the heat sink and the frame are formed as a single piece by metal casting. In the first and second of these embodiments, the frame, the heat sink and the upper shell are all formed as a single piece by metal casting.

FIGURES 6 and 7 illustrate electronic LED power circuitry 15 within chamber 14. Such LED power circuitry includes a caseless LED driver 150 which is removably secured to the inner surface of upper shell 34. Driver components of caseless LED driver 150 are encapsulated (potted) in a protective polymeric material prior to installation in the fixture such that Driver 150 is readily replaceable and does not have any potting applied during or after installation in the fixture. Suitable examples of such protective polymeric encapsulating material include thermoplastic materials such as low-pressure injection-molded nylon, which amply protect driver 150 from electrostatic discharge while conducting heat to upper shell 34 to facilitate cooling of the driver during operation.

With lower shell 35 being of polymeric material, a wireless signal can be received by the antenna which is fully enclosed within chamber 14 along with circuitry for wireless control of the fixture. Such circuitry with the antenna may be included as part of LED driver 150. The advantage of the fully enclosed antenna is also available on other embodiments of this invention having enclosures all or portions of which are non-metallic material.

Housing 17 includes a main portion 171 which includes upper shell 34 and lower shell 35 and also includes a forward portion 172 extending forwardly from main portion 171. (Forward portion 172 of housing 17 is the forward portion of frame 30.) In main portion 171, upper shell 34 forms a housing body 176 and lower shell 35 serves as a cover member 350 movably secured with respect to housing body 176.

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As shown in FIGURES 6-10 and 17, housing body 176 of the first embodiment has a main wall 170 (the upper portion of upper shell 34) and a surrounding wall 18 extending downwardly therefrom to a housing-body edge 178. Surrounding wall 18 has two opposed lateral wall-portions 180 extending between a forward heat-sink-adjacent wall-portion 181 and a rearward wall-portion 182. Cover member 350 has a forward end 351 and a rearward end 352. FIGURES 6, 8, 9 and 17 show rearward end 352 hingedly secured with respect to rearward wall-portion 182 of housing body 176.

The nature of the hinging securement is seen in FIGURES 3-6, 8, 9, 15, 18 and 19. In particular, polymeric lower shell 35 has an integral hinging member 87 in snap engagement with rearmost portion 33 of frame 30. Hinging member 87 has a pair of engaging portions 88, and the flexibility of the polymeric material of lower shell 35 permits snap engagement of each engaging portion 88 with rearmost portion 33 of frame 30 for secure pivoting thereabout. This provides secure connection of lower shell 35 portion with upper shell 34, allowing lower shell 35 to hang safely in open position during servicing of light fixture 10. In other words, the snap engagement of hinging member 87 with rearmost portion 33 allows controlled disengagement of lower shell 35 from upper shell 34.

As shown in FIGURES 5-7 and 9, forward end 351 of cover member 350 has an integrated latching member 80 detachably securing forward end 351 of cover member 350 with respect to forward wall-portion 181 of housing body 176, thereby closing chamber 14. As seen in FIGURES 6-8, cover member 350 has a cover edge 353 which is configured to engage housing-body edge 178.

FIGURES 5-7, 9 and 17 show that integrated latching member 80 includes a spring tab 81 with a hook 82 at one end 80A and a release actuator 83 at opposite end 80B. FIGURE 7 shows hook 82 positioned and configured for locking engagement

with respect to housing body 176. Release actuator 83 is configured such that force applied thereto in the direction of arrow 83A pivots hook 82 in opposite direction 82A sufficiently to release hook 82 from the locking engagement. This serves to detach forward end 351 of cover member 350 from housing body 176 to allow access to chamber 14. In should be understood that other suitable locking engagement between cover member 350 and housing body 176 may be possible.

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As seen in FIGURES 1-4, 8, 11, 12, 18 and 19, hook 82 is positioned and configured for locking engagement with the one-piece casting. Integrated latching member 80 also includes a cover-member forward extension 84 extending beyond forward wall-portion 181 of housing-body surrounding wall 18. Spring tab 81 is supported by forward extension 84 such that hook 82 is positioned for locking engagement with heat sink 42. As seen in FIGURES 3, 11, 17 and 19, heat sink 42 has a protrusion 85 configured and positioned for locking engagement by hook 82.

Light fixture 10B of the third embodiment, shown in FIGURES 21 and 22 and which as indicated above includes frame 30B and heat sink 42B formed as a one-piece metal casting, has upper shell 34B and lower shell 35B both formed of polymeric material. The enclosure 13B which is formed by such polymeric shells is secured with respect to the metal casting of this embodiment.

A fourth embodiment of this invention is illustrated in FIGURES 23. In such embodiment, LED light fixture 10C has a non-metallic (polymeric) frame 30C. Frame 30C defines a forward open region 31C and has a rearward region 32C with a rearmost portion 33C adapted for securement to support member 11. FIGURES 24-26 illustrate a fifth embodiment of this invention. Light fixture 10D has an LED assembly 40D secured with respect to a non-metallic (polymeric) frame 30D. In the fourth and fifth embodiments, the frame itself serves to for the enclosure for the LED power circuitry, and such circuitry may include a fully-enclosed antenna.

The embodiments of FIGURES 23-26 each include extruded heat sinks which are characterized by having fins extending laterally on either side and forwardly on the front side. In each embodiment, the extruded heat sink has been extruded in a direction orthogonal to both the forward and the lateral directions. The extruded dimension, which is illustrated by numeral 72 in FIGURE 26, is less than the forward-

rearward and side-to-side dimensions 73 and 74 of such heat sink, as illustrated in FIGURE 25. In some embodiments, the fins may be on at least three sides of the heat sink, as seen in FIGURES 34, 40, 38A and 39A. As seen in FIGURES 34, 38-39A, through-spaces 12 may be located along at least two of transverse sides of the heat sink, *e.g.*, at least on one lateral side and on the front and rear sides of the heat sink.

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The "short" extrusions of the heat sinks of the fourth and fifth embodiments are facilitated by structure shown best in FIGURES 25 and 26. More specifically, the heat sinks are each formed by an extrusion having a middle portion void, *i.e.*, having walls 76 defining a central opening 77. As seen in FIGURE 26, these heat sinks include, in addition to such extrusion, a mounting plate 78 in thermal contact with the extrusion. Mounting plate 78 may be thermally engaged to the extrusion by screws or in other ways. As shown in FIGURE 26, LED illuminator 41 is secured to mounting plate 78.

The laterally- and forwardly-extending fins are open to free flow of ambient fluid (air and water), and their position and orientation serve to promote rapid heat exchange with the atmosphere and therefore rapid cooling of the LED illuminator during operation. Upwardly-flowing air and downwardly-flowing water (in the presence of precipitation) facilitate effective cooling, and reduce the need for upwardly-extending fins on top of the heat sinks.

Certain aspects are illustrated best by reference to the first embodiment, particularly as shown in FIGURES 1-7, 9-13, 17-26 and 34. Heat sink 42 of such embodiment has a front side 48, a rear side 49 and lateral sides 50 and is open to ambient-fluid flow to and from the various heat-dissipating surfaces 44. Heat sink 42 includes a central portion 45 and peripheral portions 46 along opposite lateral sides 50. Peripheral portions 46 have peripheral heat-dissipating surfaces 47 along lateral sides 50 of heat sink 42. Central portion 45 includes LED-supporting region 43 and has central heat-dissipating surfaces 51 opposite LED illuminator 41 from which a plurality of elongate fins 53 protrude in a direction opposite LED illuminator 41. Fins 53 extend from front fin-ends 54 adjacent to front side 48 of heat sink 42 to rear finends 55 adjacent to rear side 49 of heat sink 42. As shown in FIGURES 3, 10, 16 and 19-22, some of rear fin-ends 55 are integral with housing 17.

FIGURES 3, 17, 19, 25 and 34 show central-portion openings 52 facilitating ambient-fluid flow to and from heat-dissipating surfaces 51of central portion 45. Central-portion openings 52 are adjacent to enclosure 13 and are partially defined by housing 17. Fins 53 of central portion 45 define between-fin channels 56 (shown in FIGURE 13), which in a mounted position extend along a plane which is close to, but not, horizontal. Between-fin channels 56 are open at front fin-ends 54; *i.e.*, there is no structural barrier to flow of liquid from between-fin channels 56 at front fin-ends 54.

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In the second embodiment illustrated in FIGURE 20, fins 53A are configured such that between-fin channels 56A are open along the front and lateral sides of the heat sink.

Referring again to the first embodiment, FIGURES 3 and 19 show rear finends 55 configured to permit ambient-fluid flow from between-fin channels 56 to central-portion openings 52, thereby to facilitate liquid drainage therefrom. Liquid drainage from the top of heat sink 42 is facilitated by inclination of the top surface of heat sink 42, as explained more specifically below.

FIGURES 32 and 33 show between-fin surfaces 57 inclined off-horizontal when light fixture 10 is in its usual use orientation. More specifically, FIGURE 32 shows surfaces 57 sloping toward lateral sides 50 of heat sink 42, FIGURE 33 shows surfaces 57 sloping toward front and rear sides 48 and 49 of heat sink 42. In other words, portions of surfaces 57 are slightly but sufficiently downwardly inclined toward at least two dimensions and in this embodiment on each of the four sides of heat sink 42.

FIGURES 32 and 33 show LED assembly 40 on a bottom surface of heat sink 42. Heat sink 42, when the fixture is in its mounted orientation, includes a top surface which in plan view has a surrounding edge. FIGURES 32 shows the top surface sloping downwardly toward the surrounding edge in opposite lateral plan-view directions, thereby to facilitate liquid drainage from the heat sink. FIGURES 33 shows the top surface sloping downwardly toward the surrounding edge in the forward and rearward directions. FIGURE 32 further shows plurality of elongate fins 53 protruding from the top surface in a direction opposite LED illuminator 41. Sloping top surface includes between-fin surfaces 57.

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FIGURE 2 and 16 show housing 17 including a housing top surface sloping downwardly in the forward direction. These figures also show the top housing surface sloping toward the top surface of heat sink 42, whereby liquid drainage from the housing facilitates cooling of heat sink 42. FIGURES 14 and 15 show the housing top surface sloping downwardly in opposite lateral plan-view directions, thereby to facilitate liquid drainage therefrom.

Housing upper shell 34 and heat sink 42 are formed as a single piece, whereby the housing upper shell facilitates heat dissipation. The heat sink, the frame and the housing upper shell are formed as a single piece.

In addition to the above-described sloping, LED light fixture 10 has various advantageous structural taperings. As seen best in FIGURES 3 and 4, heat sink 42, in plan view is tapered such that it is wider at its rearward end than at its forward end. Additionally, as seen in FIGURES 2 and 16, each of central-portion fins 53 has a tapered configuration such that its vertical dimension at the rearward end of heat sink 42 is greater than its vertical dimension at the forward end of heat sink 42. Furthermore, as seen in FIGURES 13 and 14, fins 53 have progressively lesser vertical dimensions toward each of opposite lateral sides 50 of heat sink 42.

As shown in FIGURES 1, 5, 6 and 11-13 and 32, peripheral portions 46 of heat sink 42 extend along opposite lateral sides 50. Peripheral heat-dissipating surfaces 47 include a plurality of fins 59 extending laterally from central portion 45 of heat sink 42, with open spaces 60 formed between adjacent pairs of fins 59. As seen in FIGURES 3, 4, 11-13 and 17-19, peripheral portion 46 also has a peripheral fin 59A along each lateral side 50 of heat sink 42. Peripheral fins 59A extend in length from front fin-ends 54A adjacent to front side 48 of heat sink 42 to rear fin-ends 55A adjacent to rear side 49 of heat sink 42. Rear fin-ends 55A of peripheral fins 59A are integral with housing 17. The configuration of peripheral portions 46 of heat sink 42 serve to facilitate cooling by providing additional heat-exchange surfaces in particular effective locations.

The various embodiments disclosed herein each illustrate one aspect of the present invention particularly related to the frame and open character of the fixtures. This is discussed in particular with respect to the first embodiment, and in particular

with reference to FIGURES 35-37 which schematically illustrate "projected" areas of structure and through-spaces of the fixture in plan view.

More specifically, the first embodiment includes the following projected areas:

- total area 36 of light-fixture forward region  $31 \approx 67.0$  sq.in.;
- total area 37 of LED assembly  $40 \approx 40.4$  sq.in.;
- total through-space area of the two lateral side voids  $12 \approx 26.5$  sq.in.;
- total area of the entire fixture  $\approx 160$  sq. in.

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FIGURES 35-37 show projected LED-assembly area 37 of about 60% of the projected forward-region area 36. The total through-space area of the two lateral side voids 12 is about two-thirds of projected LED-assembly area 37.

When describing the openness aspect of this invention using reference to the illuminator plane P indicated in FIGURES 13 and 16, plane P is defined by LED illuminator 41 directly facing the area to be illuminated. The intersections referred to above with such plane P are illustrated in FIGURES 35 and 37.

Using such parameters, the total through-space area in the illuminator plane is slightly over 15% of the fixture area. And, if the light fixture is configured such that the enclosure with its LED power circuitry, rather than being beside the LED assembly, is offset above or otherwise away from the LED assembly (such as being in the support member), then the total through-space area in the illuminator plane may be at least about 40% of the fixture area. Described differently, the total through-space area in illuminator plane P is about two-thirds of the projected LED-assembly area.

While openness is discussed above with particular reference to the first embodiment, it should be noted that FIGURE 20 illustrates an embodiment in which light fixture 10A has openness along the majority of its length. More specifically, the openness extends well to the rear of the forward portion of fixture 10A, *i.e.*, well to the rear of the LED assembly of such fixture, including on either side of the enclosure.

Such openness in an LED light fixture offers great flexibility from the standpoint of form-factor design, *e.g.*, allowing overall shape of the fixtures to better accommodate replacement of existing non-LED fixtures of various shapes. Several of the embodiments disclosed herein have frames which at least in their forward portions provide a footprint substantially similar to the footprint of so-called "cobrahead" light

fixtures. This is achieved despite the fact that the LED assemblies used in fixtures according to the resent invention have substantially straight opposite lateral sides, as seen in the figures.

The advantages of the openness disclosed herein extend beyond form-factor concerns. Just one example includes avoiding or minimizing accumulation of snow, leaves or other materials on the fixtures.

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Another aspect of the present inventive light fixtures is illustrated in FIGURES 1, 6, 7 and 11-13. Referring in particular to the first embodiment, central portion 45 of heat sink 42 has downwardly-extending shield members 65 at lateral sides 50 of heat sink 42. Shield members 65 are configured and dimensioned to block illumination which, when fixture 10 is installed as street-light, minimize upward illumination. This facilitates compliance with "dark-sky" requirements for limiting light pollution.

FIGURE 16 shows that optical member 29 is configured for directing emitter light in preferential direction 2 toward the forward side. FIGURES 1, 6, 7, 11-14 and 16 show a downwardly-extending shield member 66 at rearward side 49 of central heat-sink portion 45. Shield member 66 is configured and dimensioned to block rearward illumination. Rearward shield member 66 extends to a position lower than the lowermost outer-surface portion 290 of optical member 29. Rearward shield member 66 may include a reflective coating redirecting rearward light.

FIGURES 1, 6, 7, 11-14 and 16 show that forward wall-portion 181 of housing main portion 171 partially defines rearward shield member 66. These figures also show cover-member forward end 351, which is secured to forward wall-portion 181 of housing body 176, partially defining rearward shield member 66. Reflective or white coating of housing 17 may provide reflective characteristics for redirecting rearward light toward the preferential forward side 2.

As seen in FIGURES 1, 5, 14 and 16, cover member 350 has a cover wall 354 extending between rearward and forward ends 352 and 351. Cover wall 354 includes a lowermost portion 354A which is at a position lower than lowermost position 66A of rearward shield member 66 to further block rearward illumination. Reflective or

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white coating of cover wall 354 may provide reflective characteristics for redirecting rearward light in useful direction.

In some prior LED devices, back-light shielding has been in the form of individual shields disposed on a non-preferential side of each LED emitter. Some of such prior shielding was positioned over the exterior of a corresponding lens. In such prior cases, over time the back-light shielding often became covered with dist or other ambient particles and simply absorbed rearward light from the respective LED emitter. Such absorption translated in decreased efficiency of light output from such LED device. In other examples, prior back-light shielding was positioned inside each lens corresponding to each individual LED emitter. While protected from contamination, such shielding resulted in lenses which were both complex and expensive to manufacture. In either type of the back-light shielding disposed on the non-preferential side of each individual LED emitter, there was still some undesired light in the rearward direction. Such light, escaping the prior lens-shield configuration through unintended refraction or reflection by the lens.

In some other prior examples of back-light shielding used in light fixtures, such shields were in the form of a separate structure secured with respect to the fixture rearwardly to the illuminator. Such separate shielding structures often requires complicated securement arrangements as well as interfered with the overall shape of the light fixture.

The integrated back-light shielding of the present invention, provides effective blocking of rearward light and providing reflection of such light away from areas of undesired illumination. The reflection provides by the integrated back-light shield of this invention facilitates higher light-output efficiency of the LED illuminator used in the LED light fixture of the present invention. The integrated nature of the back-light shielding of the present invention provides all the benefits of a single back-light shield without disruption of the overall shape of the fixture. Furthermore, the back-light shielding of the present invention is defined by surfaces which are open to air and water flow, which facilitates self cleaning of the reflective surface and minimized absorption of light received by such shield surface.

Another aspect of this invention is illustrated best in FIGURES 3-6, 8-10, 15-19, 21 and 22. These figures show an exterior fulcrum 90 of fixture 10 affixed to rearward portion 33 of the fixture. Fulcrum 90 is configured to pivotably engage one side 11A of support member 11 when a fixture-adjacent end 110 of support member 11 is within fixture interior 19. FIGURES 5, 6, 9, 16, 17 and 22 show that fixture 10 also includes an engager 91 secured within fixture interior 19 in position to engage the opposite side 11B of support member 11 at a position offset from fulcrum 90. This arrangement holds fixture 10 in the desired orientation when support member 11 is held between fulcrum 90 and engager 91.

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FIGURES 8-10 show that fulcrum 90 is shaped to limit lateral movement of support member 11 thereagainst by its cradling shape and the fact that fulcrum 90 includes a row of teeth 92 configured to engage support member 11.

Fulcrum 90 is part of a fulcrum member 93 which also includes support structure 95 for fulcrum 90. FIGURES 3, 4, 8-10, 15, 18 and 19 show frame 30 having a pair of rearmost extensions 39 between which fulcrum 90 is secured. FIGURE 10 also shows heat sink 42, frame 30, upper shell 34 and fulcrum 90 formed as a single piece.

The exterior fulcrum provides advantages such as allowing a smaller aperture for a support-member entry into the fixture interior 13 as well as easier access to the interior by providing more room for clearance of a compartment door has more clearance. The smaller entry aperture may eliminate the need for a splash guard which is typically required for UL listed outdoor light fixtures, while still providing for the possibility of a splash-guard arrangements.

As shown in FIGURES 6, 9 and 17, engager 91 is adjustably secured with respect to upper shell 34 and includes a yoke 96 shaped to substantially conform to the shape of support member 11. Yoke 96 has a pair of pin-receiving apertures 97 with a shaft portion 98A of a corresponding pin 98 extend therethrough into threaded engagement with upper shell 34.

FIGURES 16 and 17 show that fixture interior 19 has an angle-referencing region 340 shaped to engage fixture-adjacent end 110 of support member 11 in order to facilitate positioning of fixture 10 (with respect to support member 11) within one

of plural predetermined angle ranges 342. FIGURE 16 shows angle-referencing region 340 as a step-like configuration extending downwardly from upper shell 34. Steps 341 each correspond to one of the plural predetermined angle ranges such that, depending on which of steps 341 is selected for engagement by fixture-adjacent end 110 of support member 11, adjustment of engager 91 locks fixture 10 at a particular angle with respect to support member 11 within the range of the selected step 341. Such predetermined angle ranges are range 342A (which includes the range of about -5° to about -2.5°), range 342B (which includes the range of about +2.5°), range 342D (which includes the range of about +2.5°), and range 342E (which includes the range of about +5°).

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FIGURES 3 and 4 show light fixture 10 which in plan view has central and outward portions. The central portion includes housing 17 enclosing LED power circuitry, heat sink 42 secured with respect to housing 17 and supporting LED illuminator 40. The central portion also includes a mount adapted for securement to support member 11. As seen in FIGURES 3 and 4, outward portion defines an outer plan-view shape of fixture 10 and is secured to the central portion with through-space(s) 12 between the central and outward portions.

As further seen in FIGURES 3, 4, 18 and 19, through-spaces 12 are along heat sink 42 on opposite sides thereof. Through-spaces are shown along opposite sides of the central portion. FIGURE 20 shows through-spaces 12 beings along housing 17.

The outward portion has an outer perimeter which in plan view may be substantially similar to the footprint of a cobrahead non-LED light fixture.

This invention gives great flexibility in providing LED light fixtures for a variety of particular roadway lighting and other similar outdoor lighting purposes. The desired light-output level determined by the particular application and/or determined by dimensional constrains (e.g., pole height, area to be illuminated, and desired foot-candles of illumination in the target area) can be varied substantially by selection of the particular appropriate LED illuminator and chosen power level, with or without modification of heat-sink size, without departing from a particular desired form factor, such as the above-mentioned "cobrahead" form. The open "footprint" of

the fixture of this invention allows such flexibility in a light fixture with advantageous performance characteristics, both in light output and in heat dissipation.

One example of such light fixture is the fixture referred to as the first embodiment. Such particular fixture with a chosen four LED emitters and a heat sink as shown at power level of twenty-four watt gives an output of about 2411 - 2574 lumens depending on LED correlated color temperature (CCT). The same fixture with applied power of 42 watt gives an output of about 3631 - 3884 lumens again depending on LED CCT. Higher lumen outputs can be achieved by corresponding adjustments in the number and nature of LED emitters with or without corresponding adjustment of the heat sink. These changes can be made with or without change in the "footprint" of the fixture.

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While the principles of the invention have been shown and described in connection with specific embodiments, it is to be understood that such embodiments are by way of example and are not limiting.

## **CLAIMS**

1. An LED light fixture comprising (a) a housing, (b) a heat sink secured with respect to the housing, the heat sink comprising forward, rearward and lateral sides, and (c) an LED illuminator on the heat sink, the LED illuminator comprising a circuit board with at least one LED emitter thereon and an optical member thereover for illumination therebelow, the heat sink comprising downwardly-extending shield members at the lateral sides thereof configured and dimensioned to block illumination.

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- 2. The LED light fixture of claim 1 wherein:
- the optical member is configured for directing emitter light predominantly toward the forward side; and
- the central heat-sink portion has a downwardly-extending shield member at the rearward side thereof configured and dimensioned to block rearward illumination, the rearward shield member extending to a position lower than a lowermost outer-surface portion of the optical member.
- 3. The LED light fixture of claim 2 wherein the rearward shield member includes a reflective coating redirecting rearward light toward the forward side.
  - 4. The LED light fixture of claim 2 wherein the housing comprises a main portion including a forward wall-portion with the heat sink extending forwardly therefrom, the forward wall-portion of the housing at least partially defining the rearward shield member.
  - 5. The LED light fixture of claim 4 wherein the forward wall-portion of the housing includes a reflective coating redirecting rearward light.

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6. The LED light fixture of claim 4 wherein the main portion of the housing comprises (a) a housing body including the forward wall-portion and (b) a cover member movably secured with respect to a housing body, the housing body and the cover member forming a substantially closed chamber.

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- 7. The LED light fixture of claim 6 wherein the cover member has a forward end secured to the forward wall-portion of the housing body and at least partially defining the rearward shield member.
- 8. The LED light fixture of claim 7 wherein the forward end of the cover member includes a reflective coating redirecting rearward light toward the forward side.
- 9. The LED light fixture of claim 7 wherein the cover member also has a rearward end opposite the forward end and a cover wall extending therebetween, the cover wall including a lowermost portion which is at a position lower than the lowermost position of the rearward shield member to further block rearward illumination.
- 20 10. The LED light fixture of claim 9 wherein the cover wall includes a reflective coating.
  - 11. The LED light fixture of claim 6 wherein:
  - the fixture comprises a frame including the an open forward region and a rearward region which has a rearmost portion adapted for securement to a support member; and
  - the heat sink is positioned within the open forward region and secured with respect to the frame with open spaces remaining therebetween.
- 30 12. The light fixture of claim 11 wherein the frame and the main portion of the housing are formed as a single piece.

13. The light fixture of claim 12 wherein the heat sink and the frame are formed as a single piece.

- 14. The LED light fixture of claim 13 wherein the cover member is a one-piece polymeric structure.
  - 15. The LED light fixture of claim 14 wherein:

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- the housing body has a main wall and a surrounding wall extending therefrom to a housing-body edge, the surrounding wall having two lateral wall-portions extending between the forward wall-portion and a rearward wall-portion; and
- the rearward end of the cover member is hingedly secured with respect to
  the rearward wall-portion of the housing body, the forward end of the
  cover member having an integrated latching member detachably securing
  the forward end of the cover member with respect to the forward wallportion of the housing body.
- 16. The LED light fixture of claim 15 wherein the housing further comprises a forward portion extending from the main portion of the housing and defining the forward region, the main portion having a greater lateral cross-dimension than the lateral cross-dimension of the heat sink, the forward portion of the housing having lateral cross-dimension(s) greater than the lateral cross-dimension of the heat sink and spanning the heat sink.
- 17. The LED light fixture of claim 16 wherein:
  - the forward portion has outer lateral edges; and
  - open spaces are defined between the lateral sides of the heat sink and the
     outer lateral edges of the forward portion of the housing,

whereby the lateral shield members block lateral light from reflection by the forward portion of the housing.

18. The LED light fixture of claim 14 wherein the chamber encloses electronic LED power circuitry including an antenna and circuitry for wireless control of the fixture.

- 5 19. The LED light fixture of claim 1 wherein the at least one LED emitter comprises an array of LED light sources spaced along the circuit board.
  - 20. The LED light fixture of claim 19 wherein the optical member comprises a plurality of lenses each over a corresponding one of the LED light sources.

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- 21. The LED light fixture of claim 20 wherein each LED light source comprises an array of LEDs.
  - 22. An LED light fixture comprising:

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- a housing:
- an LED assembly secured with respect to the housing and comprising a
  heat sink and an LED illuminator on the heat sink, the LED illuminator
  comprising an optical member positioned over at least one LED emitter
  and configured for directing emitter light predominantly forward; and

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- a rearward shield member at a rearward side of the LED illuminator and
  extending to a position lower than a lowermost outer-surface portion of the
  optical member for redirecting rearward light, the shield member being at
  least partially defined by one of the housing and the heat sink.
- 23. The light fixture of claim 22 wherein the heat sink and the housing are formed as a single piece.
  - 24. The LED light fixture of claim 22 wherein the rearward shield is at least partially defined by the housing.

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25. The LED light fixture of claim 24 wherein the housing comprises a main portion including a forward wall-portion with the heat sink extending forwardly therefrom, the forward wall-portion of the housing at least partially defining the rearward shield member.

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- 26. The LED light fixture of claim 25 wherein the forward wall-portion of the housing includes a reflective coating redirecting rearward light.
- 27. The LED light fixture of claim 25 wherein the main portion of the housing comprises (a) a housing body including the forward wall-portion and (b) a cover member movably secured with respect to the housing body, the housing body and the cover member forming a substantially closed chamber.
- 28. The LED light fixture of claim 27 wherein the cover member has a forward end secured to the forward wall-portion of the housing body and at least partially defining the rearward shield member.
  - 29. The LED light fixture of claim 28 wherein the forward end of the cover member includes a reflective coating redirecting rearward light.

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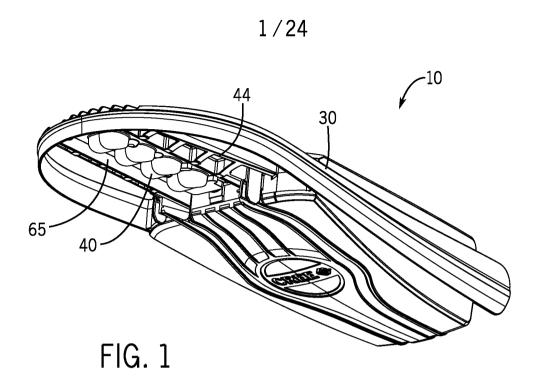
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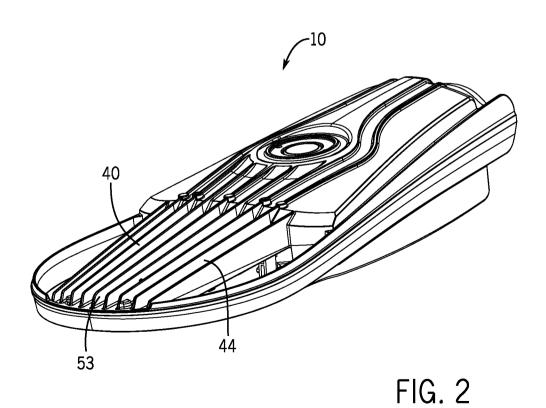
- 30. The LED light fixture of claim 28 wherein the cover member also has a rearward end opposite the forward end and a cover wall extending therebetween, the cover wall including a lowermost portion which is at a position lower than the lowermost position of the rearward shield member to further block rearward illumination.
- 31. The LED light fixture of claim 30 wherein the cover wall includes a reflective coating.
- 32. The LED light fixture of claim 30 wherein the cover member is a one-piece polymeric structure.

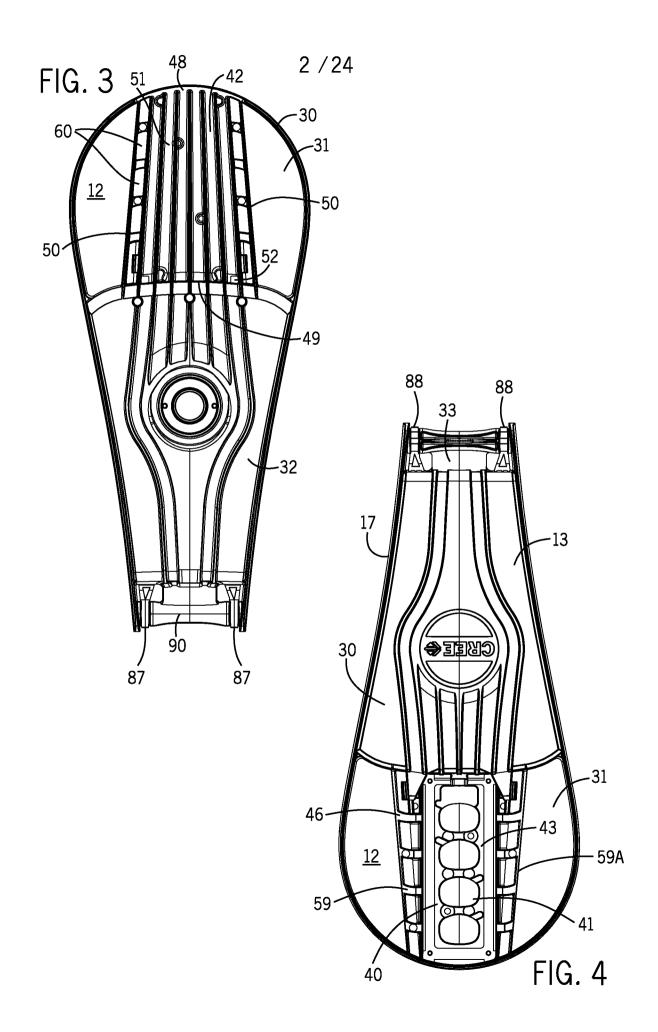
33. The light fixture of claim 32 wherein the heat sink and the housing body are formed as a single piece.

34. The light fixture of claim 27 wherein the heat sink and the housing body

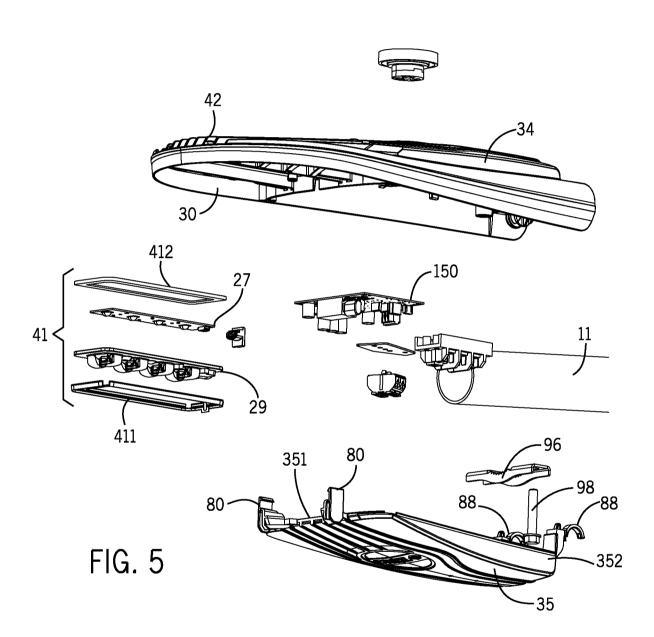
5 are formed as a single piece.







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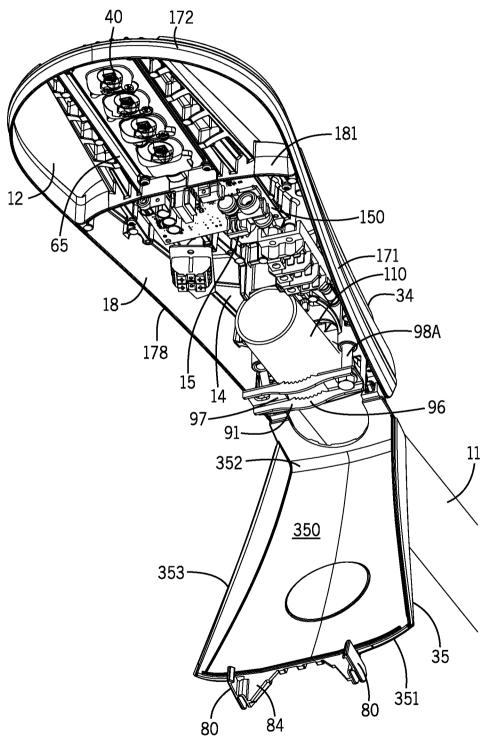
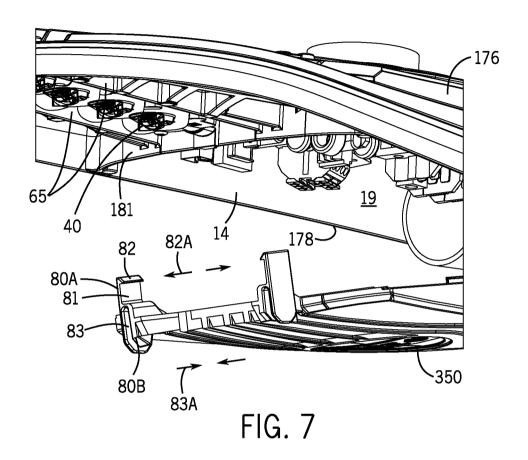
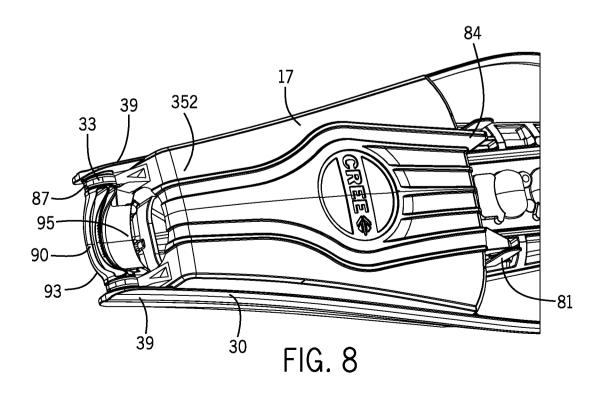


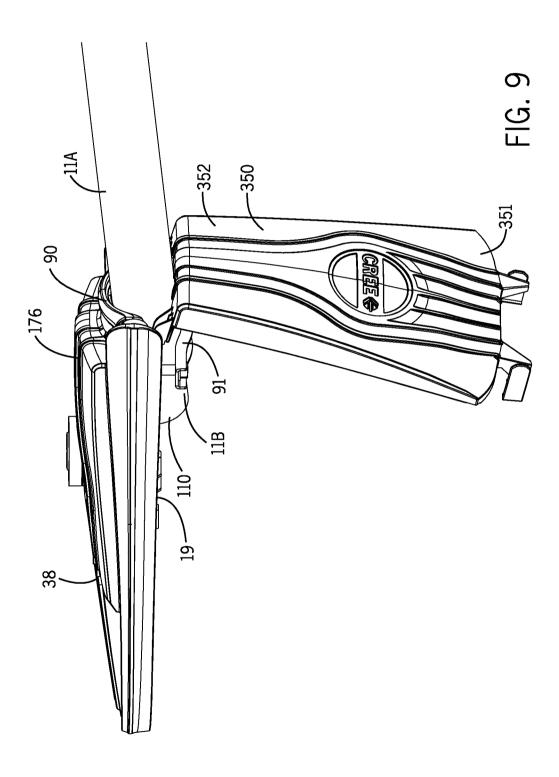
FIG. 6

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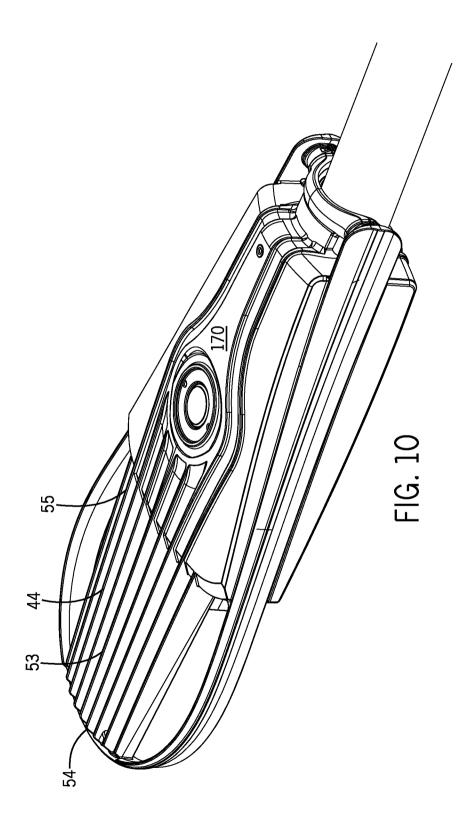




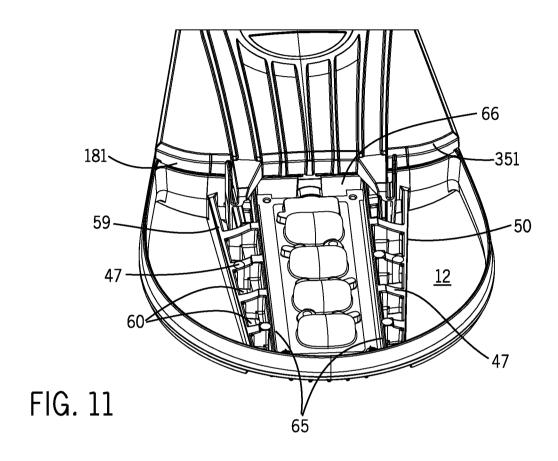
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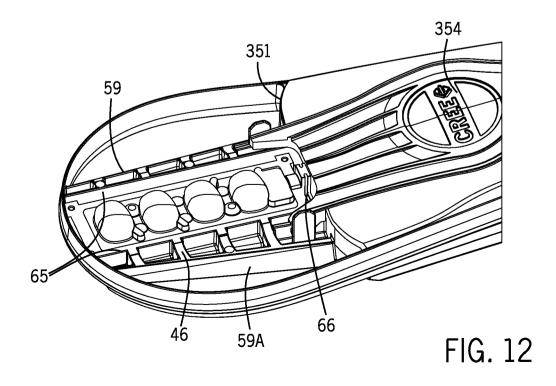


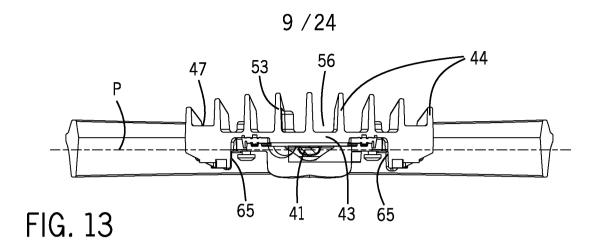
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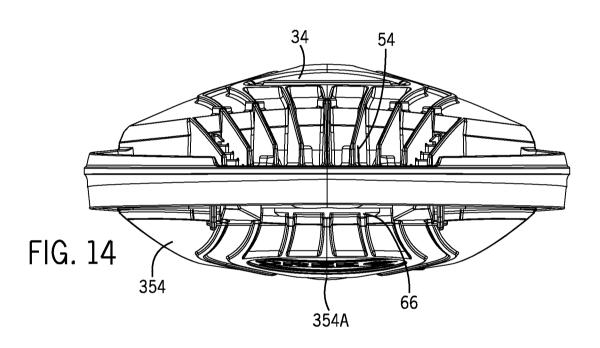


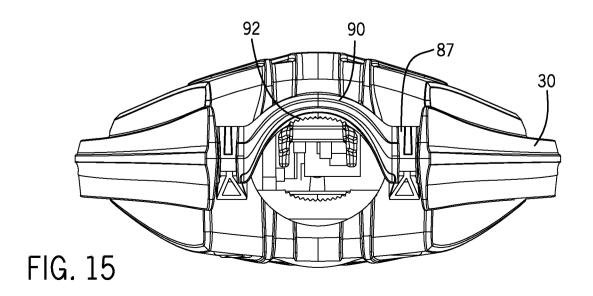
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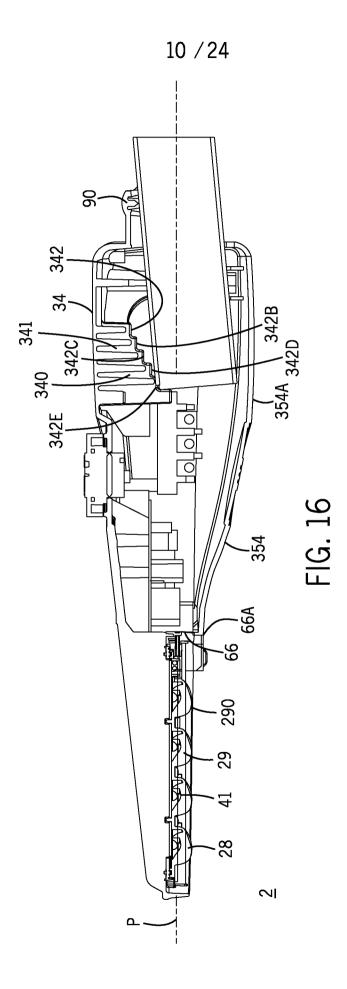


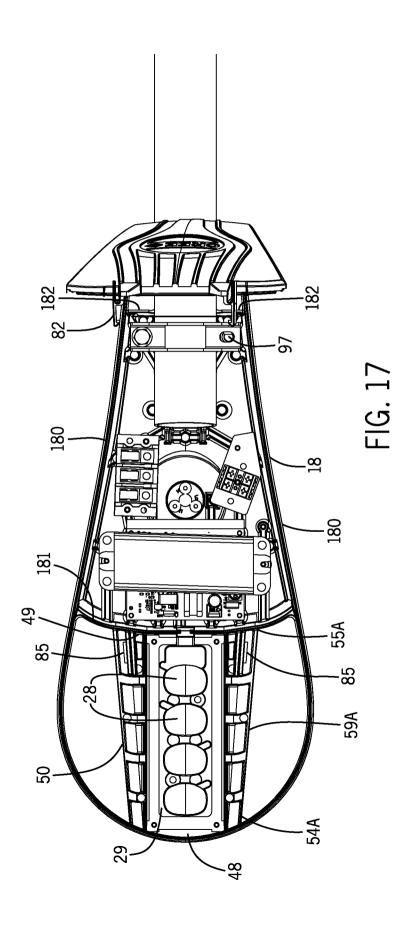




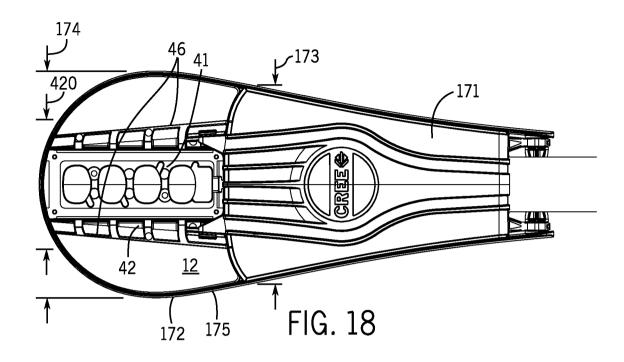


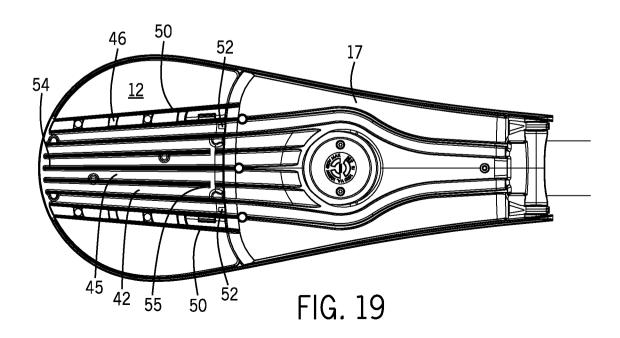


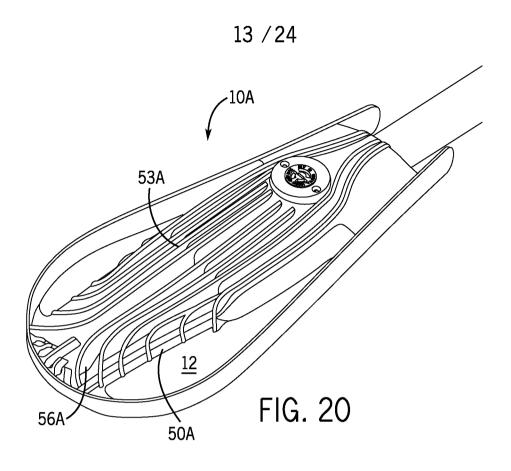


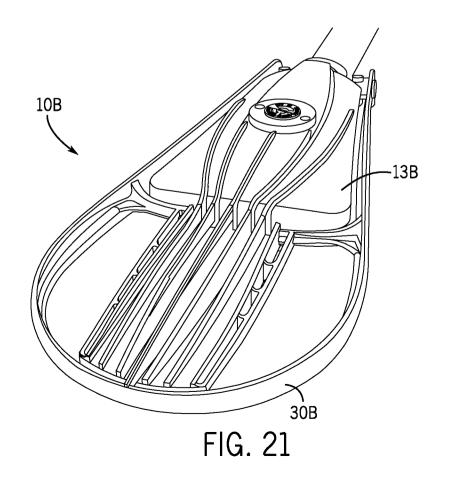


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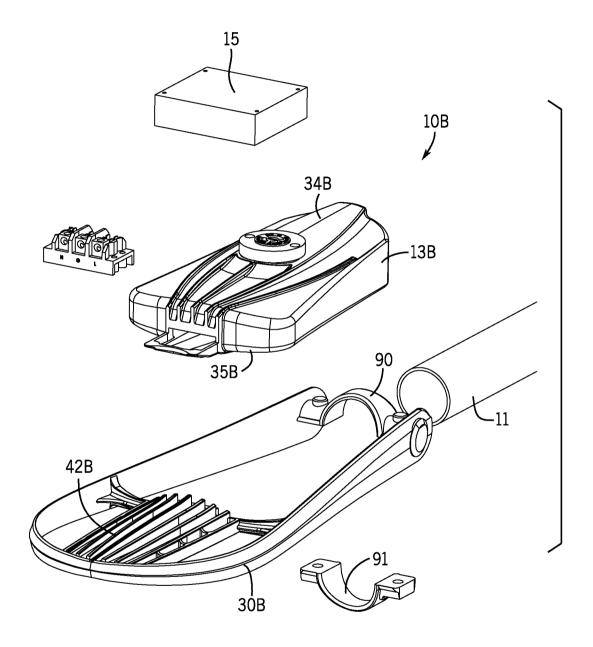
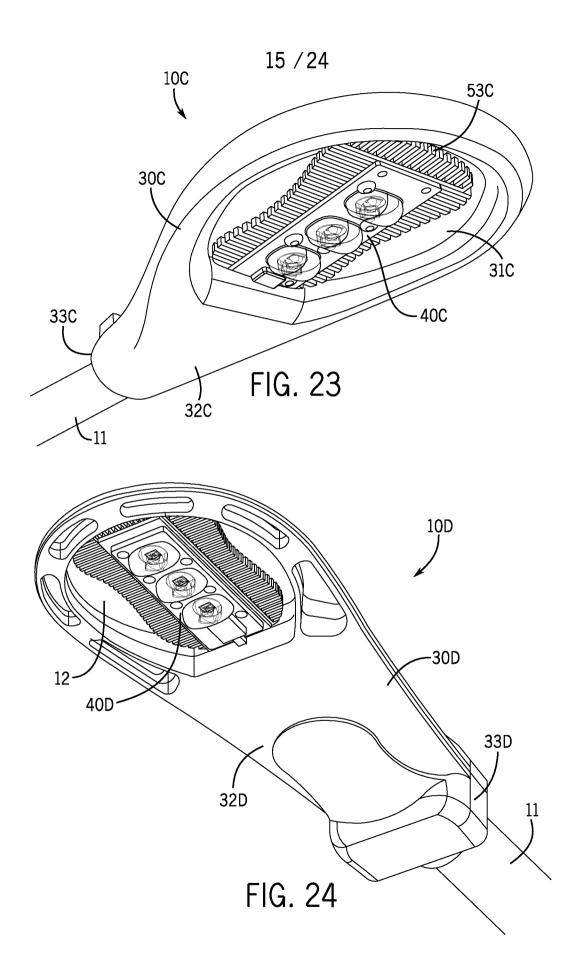
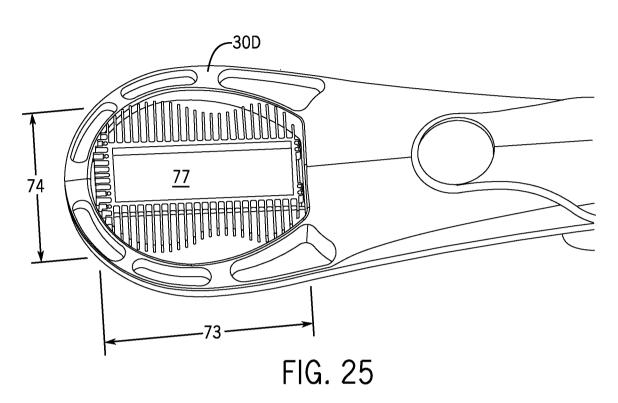
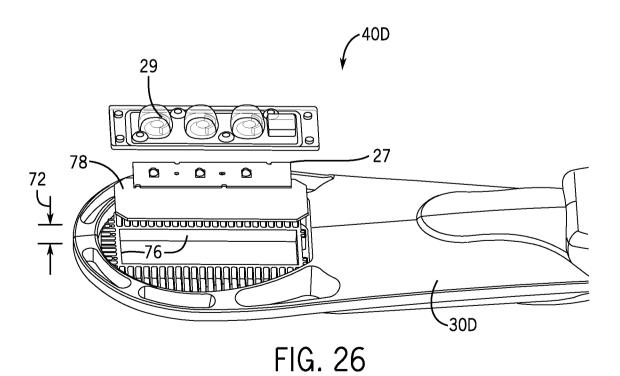


FIG. 22

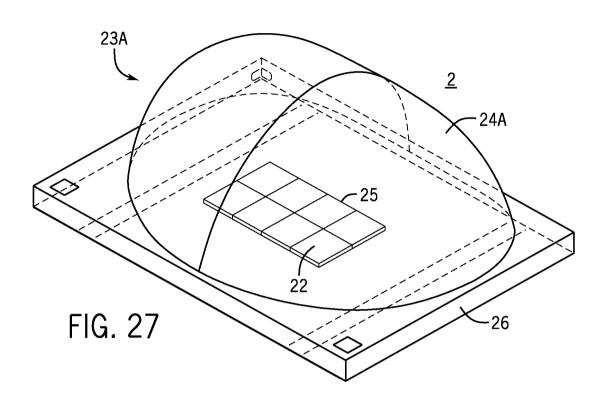


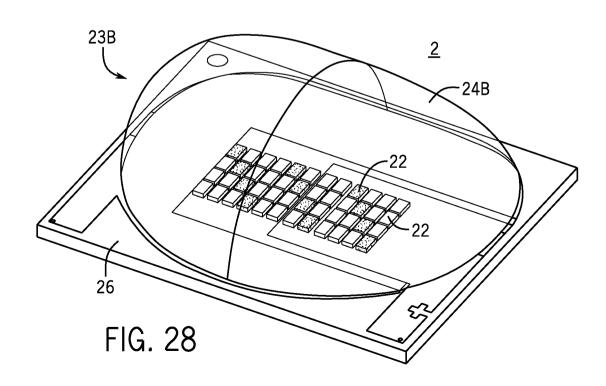


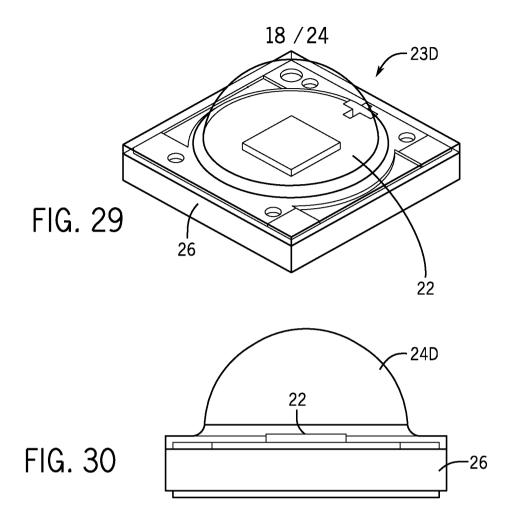


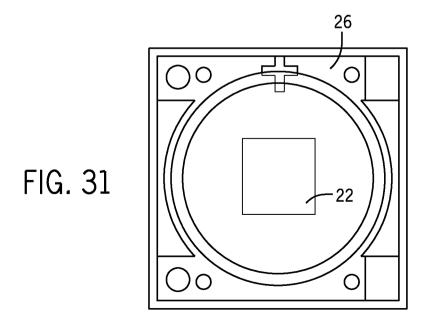


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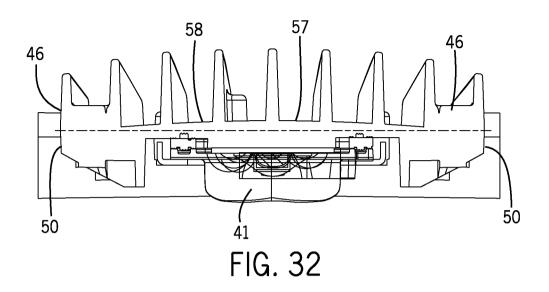


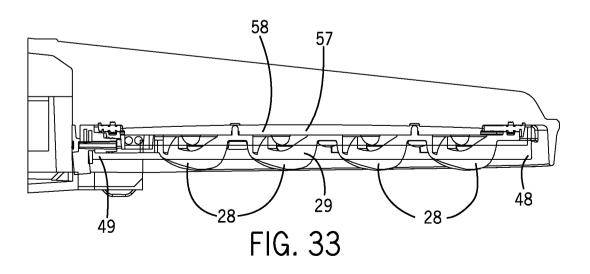






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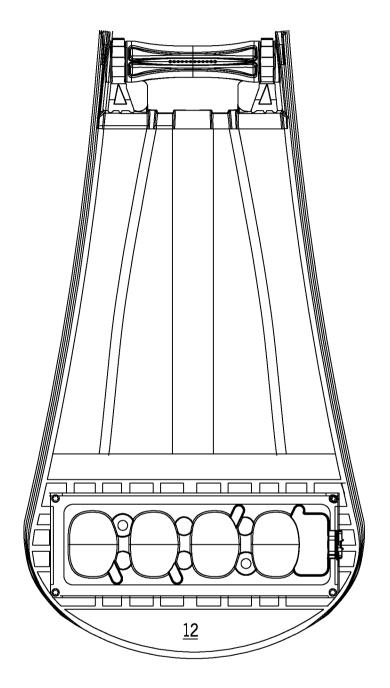


FIG. 34

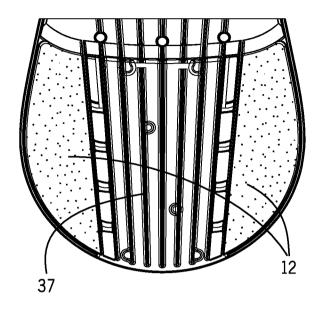
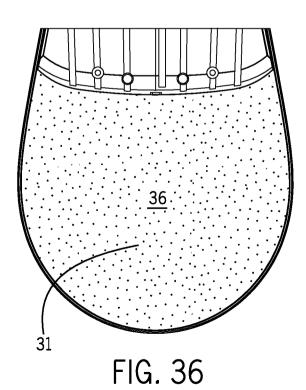


FIG. 35



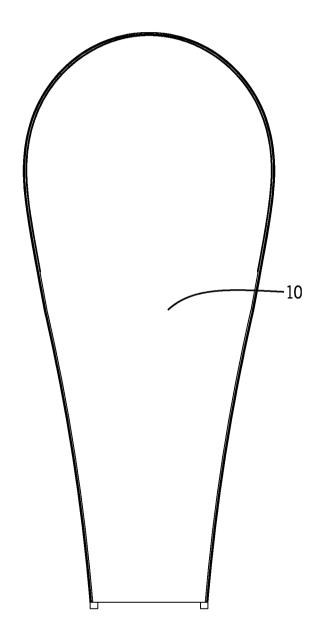
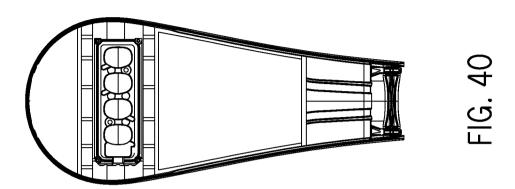
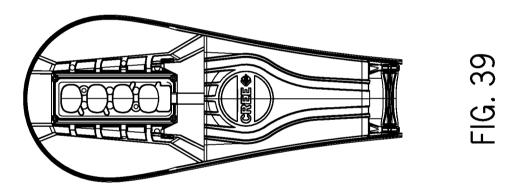
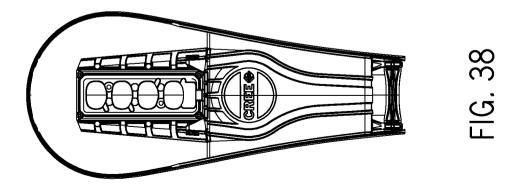


FIG. 37







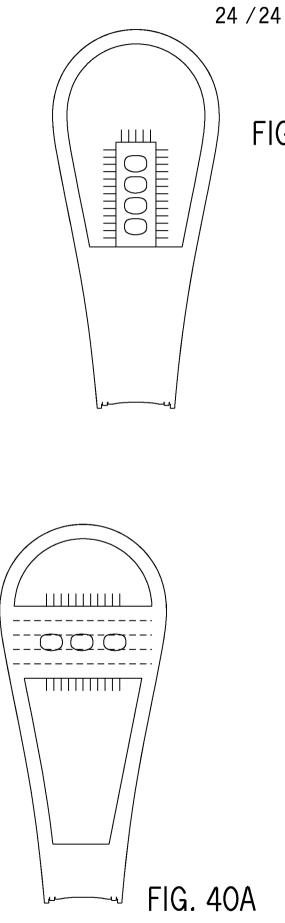


FIG. 38A

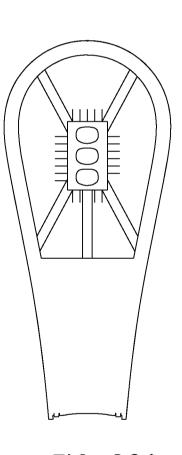


FIG. 39A

#### INTERNATIONAL SEARCH REPORT

International application No. PCT/US14/14108

later document published after the international filing date or priority

	OF SUBJECT MATTE	SUB	OF	CLASSIFICATION	Α
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IPC(8) - H01L 23/34, 23/36, 23/367 (2014.01)

USPC - 362/218, 294, 373

According to International Patent Classification (IPC) or to both national classification and IPC

#### B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC(8): H01L 23/34, 23/36, 23/367; F21S 13/02, 13/10, 8/02; F21W 131/103; H05K 5/00; H01R 9/24, 31/08 (2014.01)

USPC: 362/294, 373, 218, 370, 371, 431, 263, 396, 223; 315/291, 307, 224, 82, 78

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

MicroPatent (US-G, US-A, EP-A, EP-B, WO, JP-bib, DE-C,B, DE-A, DE-T, DE-U, GB-A, FR-A);

Search terms used: LED, light, luminaire, lamp, bulb, frame, mast, heat sink, dissipation, chamber, cavity, driver, housing, circuitry, electronics, cobrahead, light emitting diode, shield, block

#### C. DOCUMENTS CONSIDERED TO BE RELEVANT

Further documents are listed in the continuation of Box C.

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
х	US 2012/0307496 A1 (PHILLIPS, III, W. et al.) December 6, 2012; abstract, figures 1-7, 9 paragraphs [0021]-[0023], [0057]-[0066], [0068], [0073], [0074]	1, 2, 4, 6, 7, 11-15, 19, 22-25, 27, 28, 34
Y	·	3, 5, 8-10, 16-18, 20, 21, 26, 29-33
Y	US 7,976,199 B2 (BERNS, J. et al.) July 12, 2011; figures 11E, 16-18, column 9, lines 8-23, column 11, lines 1-10, column 13, lines 13-62	3, 5, 8-10, 16, 17, 26, 29-33
Υ .	US 2012/0057351 A1 (WILCOX, K. et al.) March 8, 2012; abstract, figure 1, paragraph [0008]	20, 21
Υ	US 2012/0025711 A1 (BEST, S. et al.) February 2, 2012; figures 1-5, paragraphs [0028], [0030]	18
E, X	US 2014/0049961 A1 (WILCOX, K. et al.) February 20, 2014; abstract, figures 1-40	1
	·	
		L

	to be of particular relevance	is state of the art which is not considered		the principle or theory underlying the invention
	"E" earlier application or patent but published on or after the international filing date		"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive
	"L" document which may throw	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other		step when the document is taken alone
	cited to establish the publication date of another citation or other special reason (as specified)		"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is
	"O" document referring to an or means	al disclosure, use, exhibition or other		combined with one or more other such documents, such combination being obvious to a person skilled in the art
	"P" document published prior to the priority date claimed	he international filing date but later than	"&"	document member of the same patent family
	Date of the actual completion of	f the international search	Date	of mailing of the international search report
6 May 2014 (06.05.2014)			2 1 MAY 2014	
Name and mailing address of the ISA/US		A	uthorized officer:	
Mail Stop PCT, Attn: ISA/US, Commissioner for Patents P.O. Box 1450, Alexandria, Virginia 22313-1450 Facsimile No. 571-273-3201			Shane Thomas elpdesk: 571-272-4300 SP: 571-272-7774	

Special categories of cited documents: