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(54) **AUTOMATIC DETERMINATION OF
CORRECT IP ADDRESS FOR
NETWORK-CONNECTED DEVICES**

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(57) **ABSTRACT**

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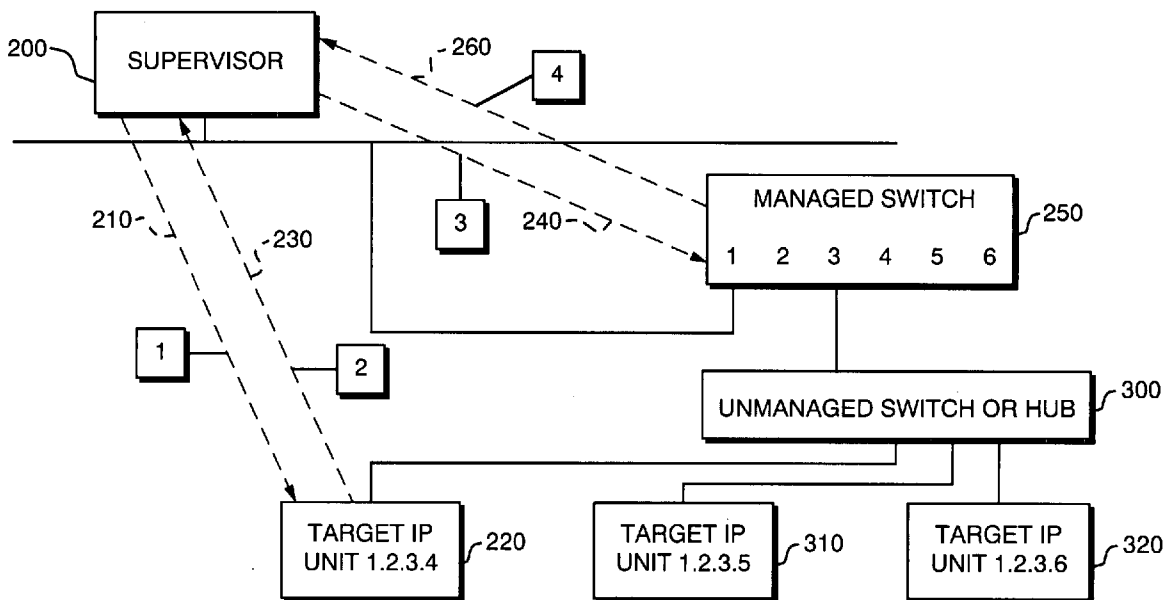
An automatic reconfiguration system for industrial networked devices. The system facilitates use of TCP/IP networks, such as Ethernet, as an alternative for industrial fieldbus or device buses by removing the need to perform significant reconfiguration of devices such as I/O modules, sensors, or transducers under field replacement situations. In one embodiment the invention uses a monitor agent to track the IP and MAC addresses of networked devices as well as port information. If a device fails, maintenance personnel make an in-field replacement of the failed device and the monitor agent automatically reassigns the correct IP address to the replacement device.

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Related U.S. Application Data

(63) Continuation of application No. 09/614,489, filed on Jul. 11, 2000.



1. ARP REQUEST - INQUIRE MAC ADDRESS OF SELECTED IP 1.2.3.4 (BROADCAST)
 2. ARP RESPONSE - MAC ADDRESS OF REQUESTED IP ADDRESS IS xxx
 3. SNMP FINDPORT REQUEST - REQUEST PORT NUMBER OF MAC xxx
 4. SNMP FINDPORT RESPONSE - PORT NUMBER OF MAC xxx WAS 3
 TARGETS ARE AUTOMATICALLY DETERMINED TO BE SHARING PORT 3 OF THE SWITCH.

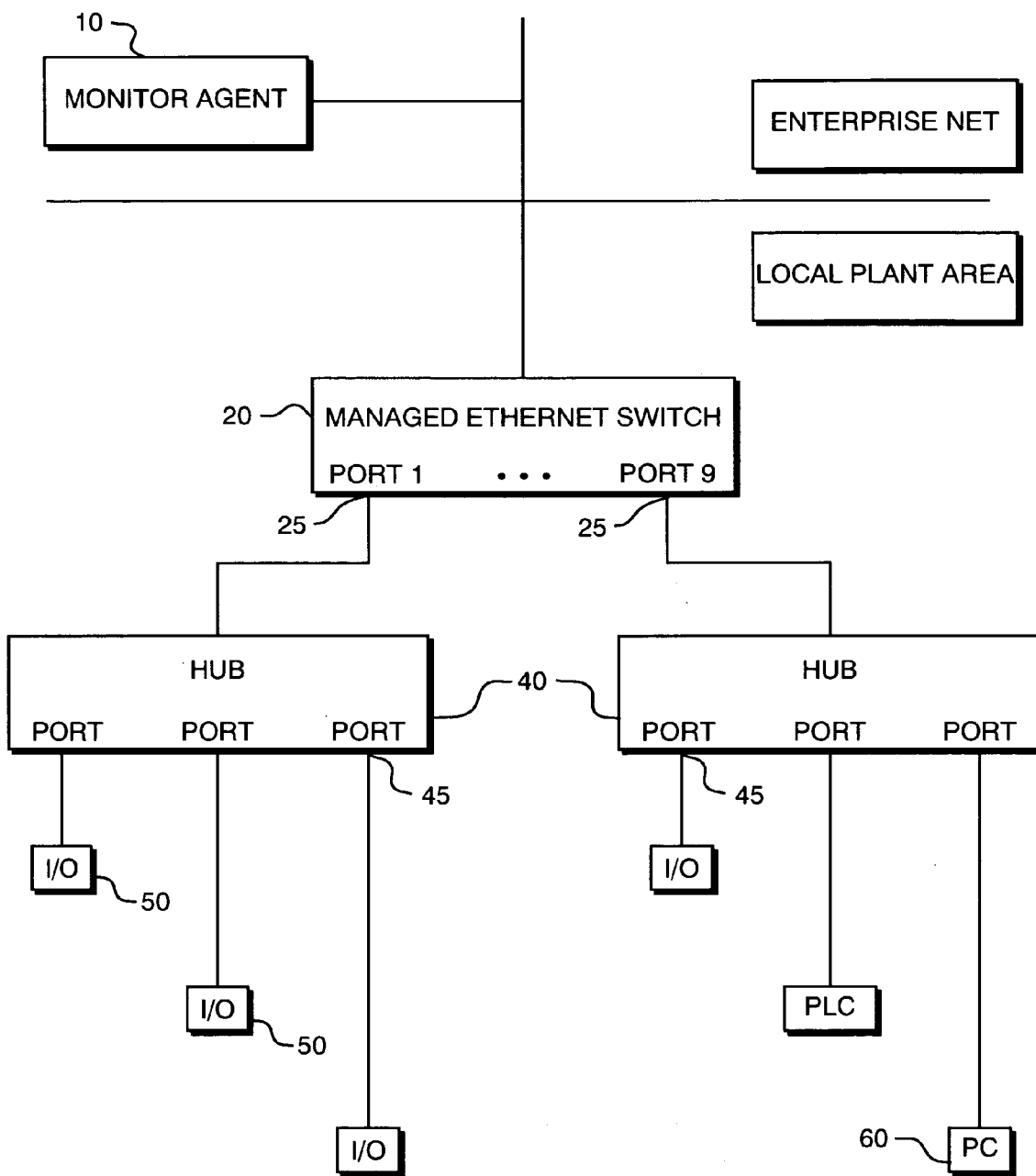


FIG. 1

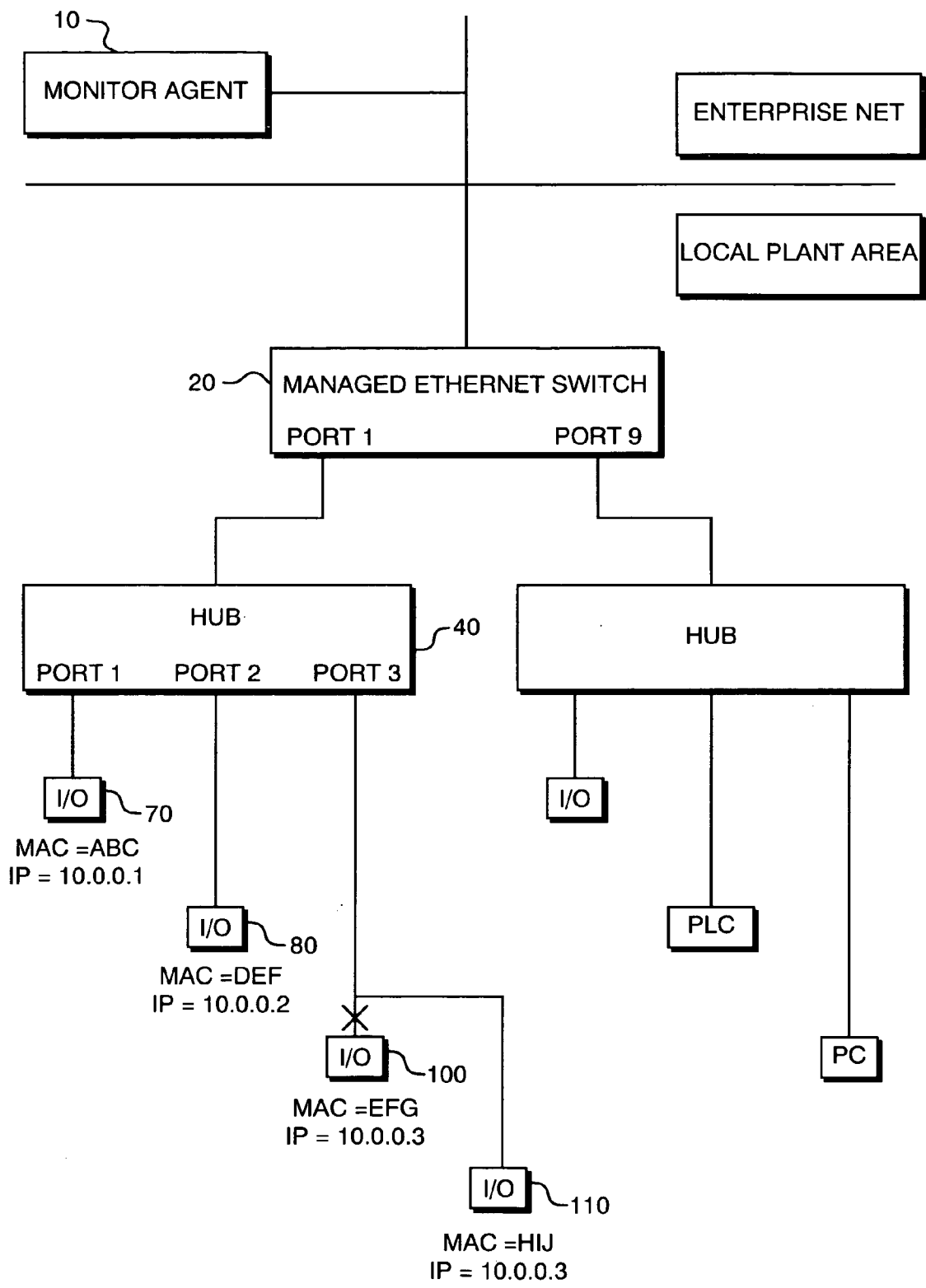


FIG. 2

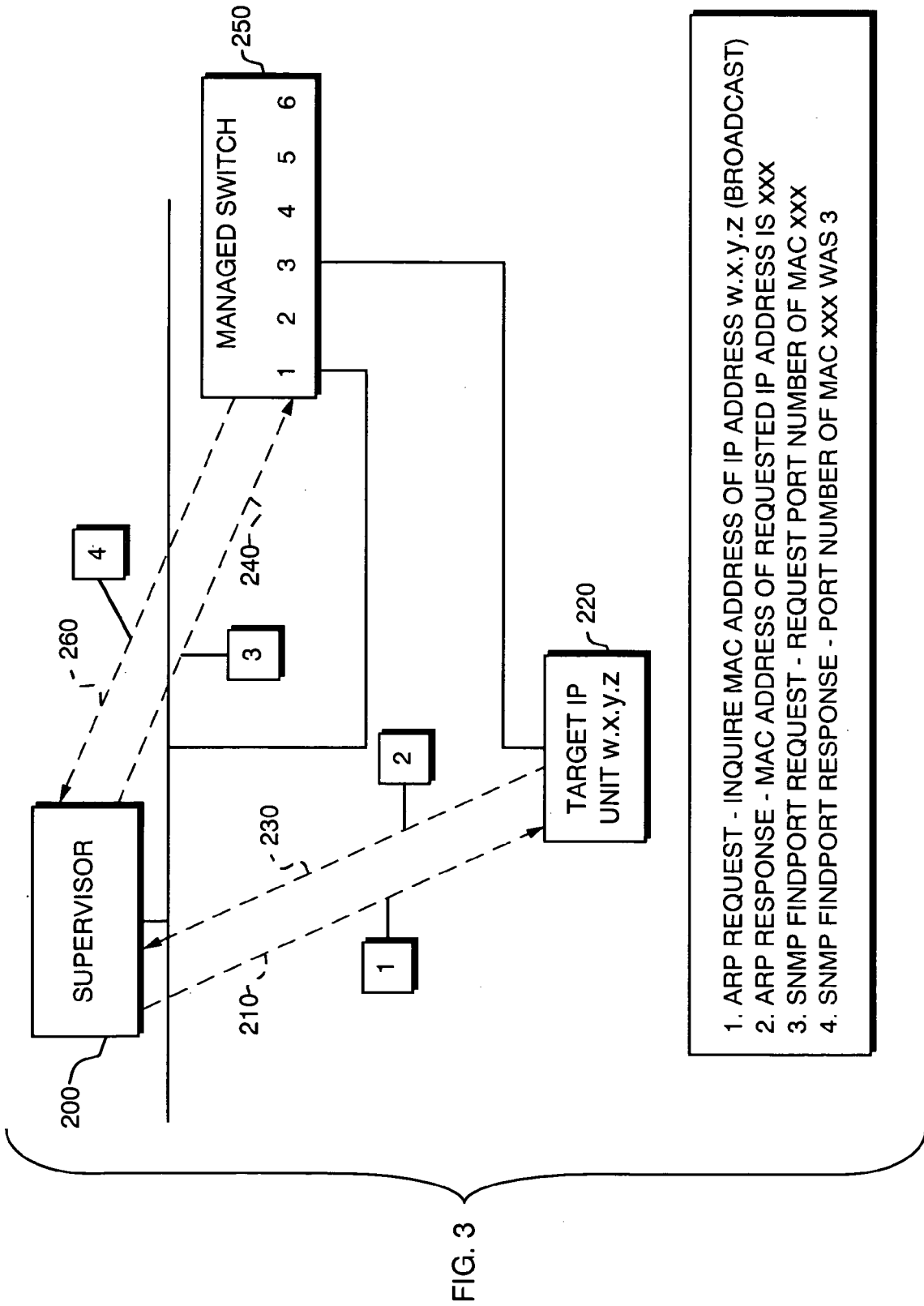


FIG. 3

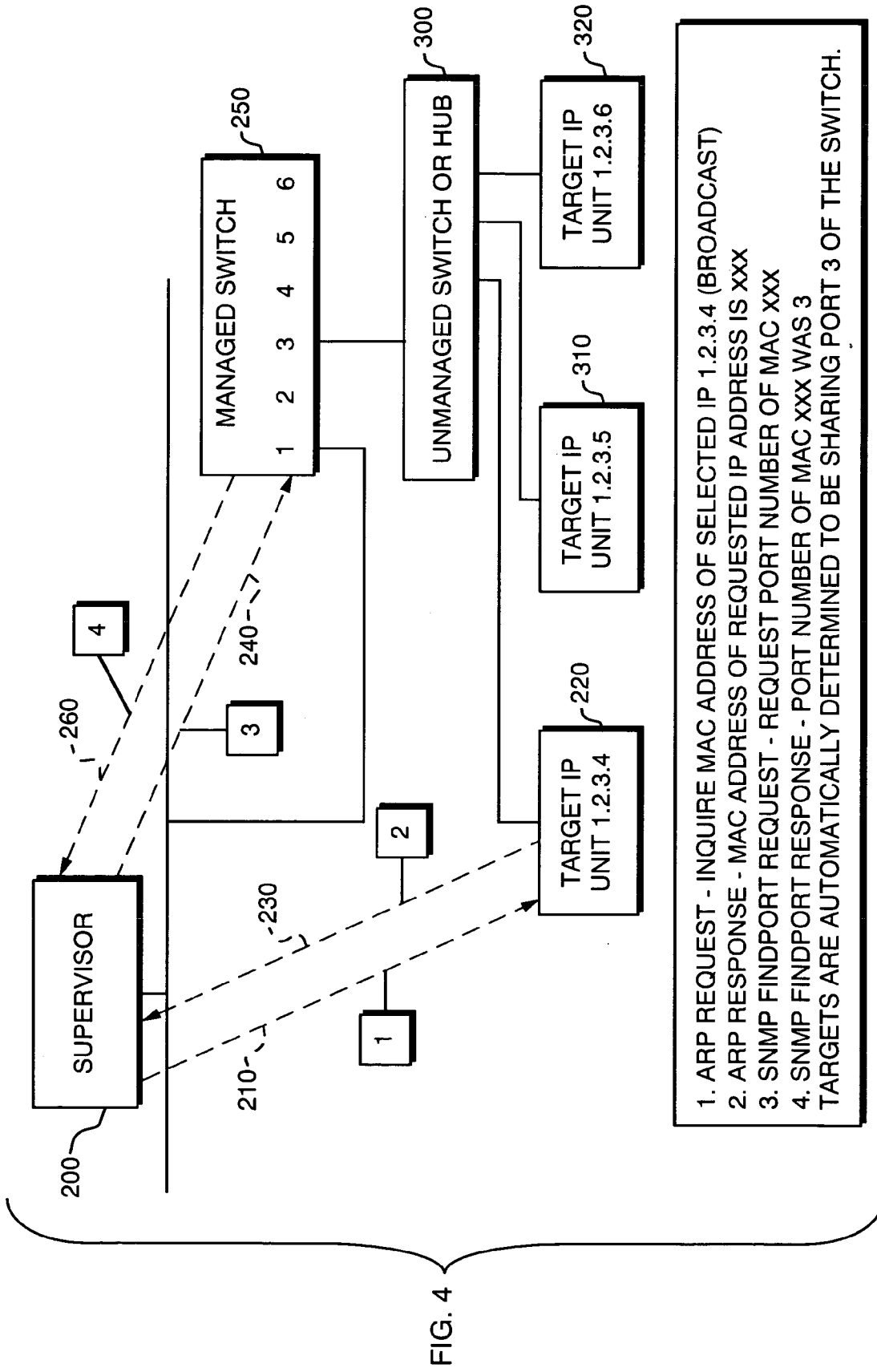


FIG. 4

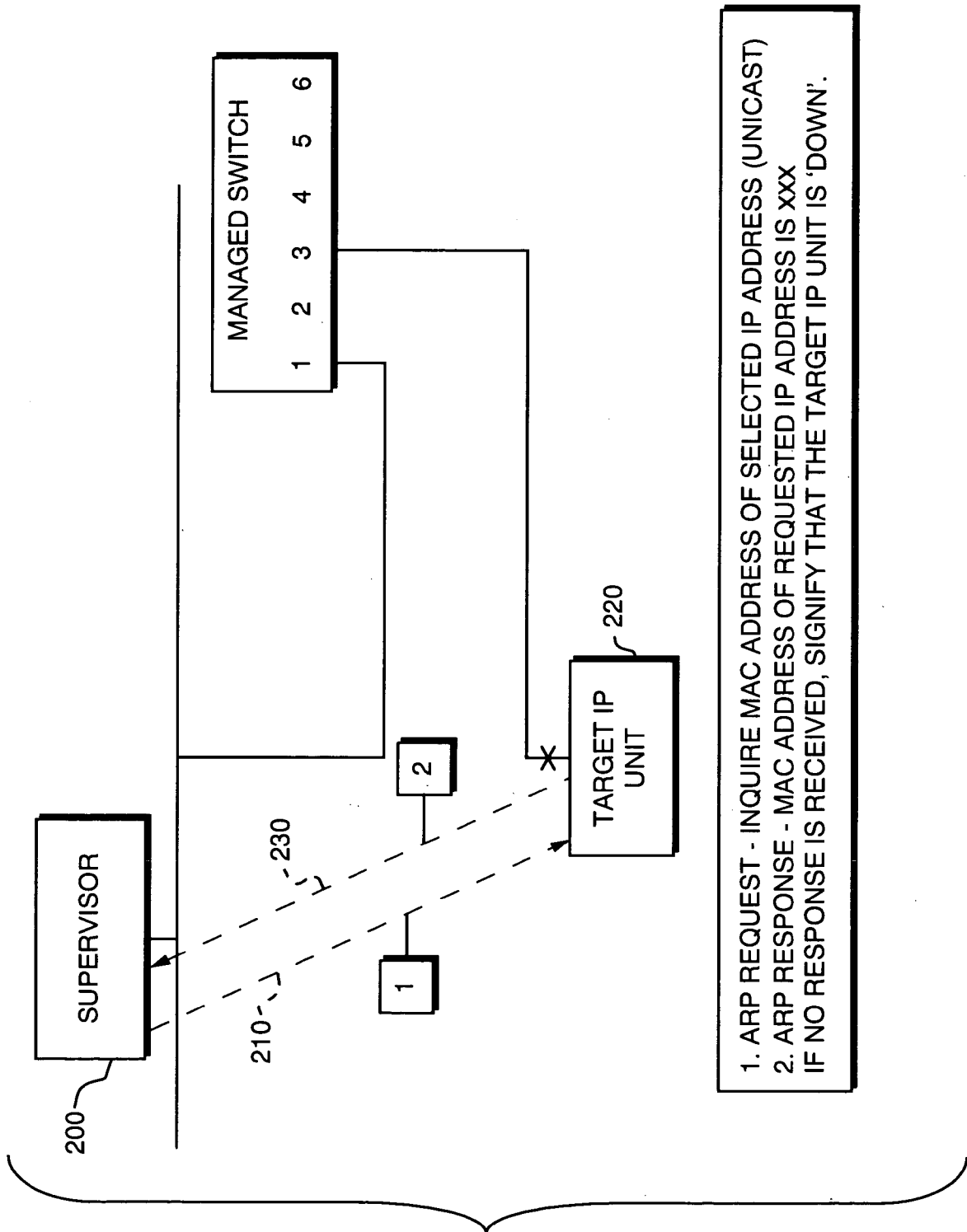


FIG. 5

- 1. ARP REQUEST - INQUIRE MAC ADDRESS OF SELECTED IP ADDRESS (UNICAST)
- 2. ARP RESPONSE - MAC ADDRESS OF REQUESTED IP ADDRESS IS XXX
IF NO RESPONSE IS RECEIVED, SIGNIFY THAT THE TARGET IP UNIT IS 'DOWN'.

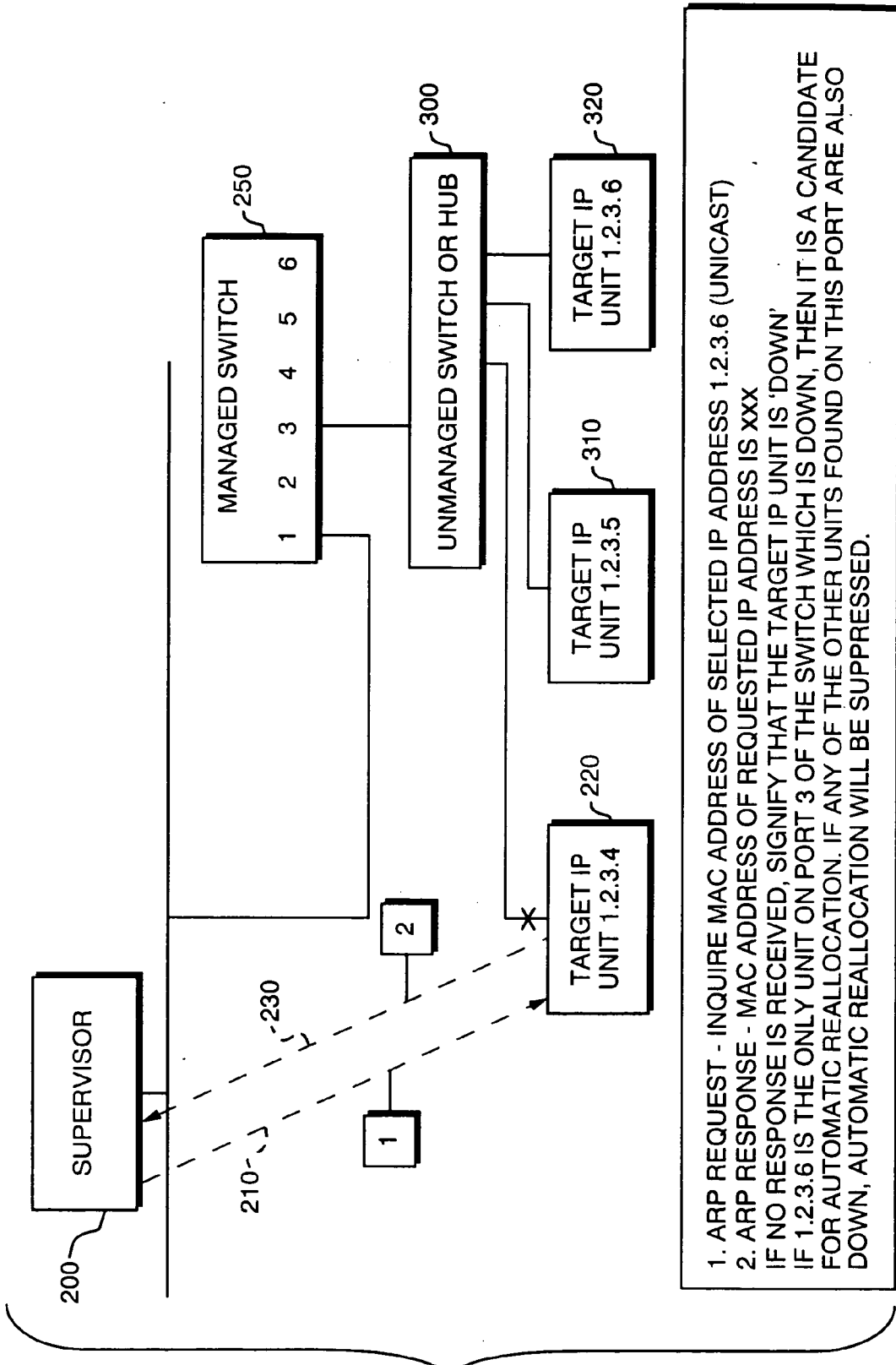


FIG. 6

1. ARP REQUEST - INQUIRE MAC ADDRESS OF SELECTED IP ADDRESS 1.2.3.6 (UNICAST)
 2. ARP RESPONSE - MAC ADDRESS OF REQUESTED IP ADDRESS IS XXX
- IF NO RESPONSE IS RECEIVED, SIGNIFY THAT THE TARGET IP UNIT IS 'DOWN'
IF 1.2.3.6 IS THE ONLY UNIT ON PORT 3 OF THE SWITCH WHICH IS DOWN, THEN IT IS A CANDIDATE FOR AUTOMATIC REALLOCATION. IF ANY OF THE OTHER UNITS FOUND ON THIS PORT ARE ALSO DOWN, AUTOMATIC REALLOCATION WILL BE SUPPRESSED.

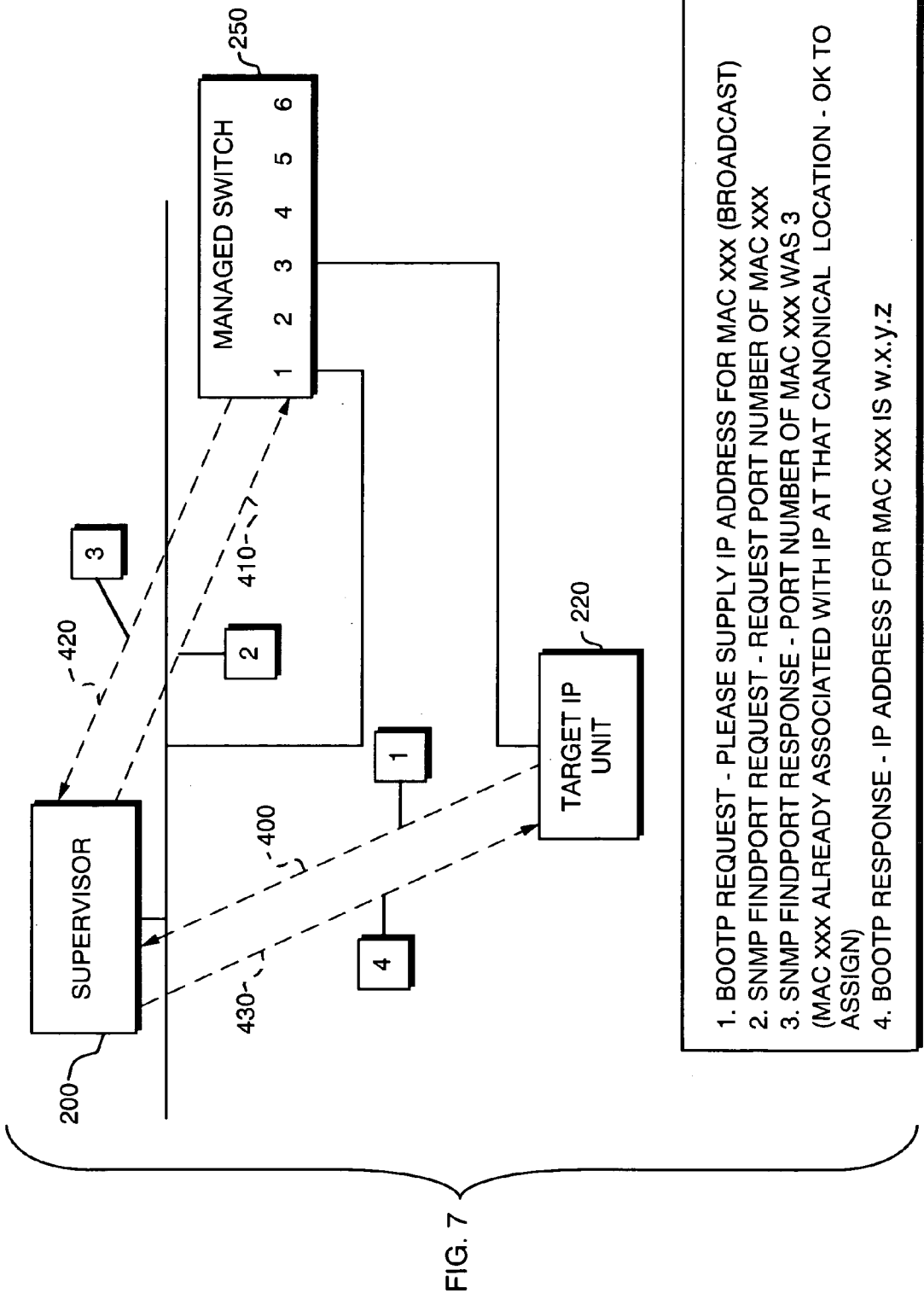


FIG. 7

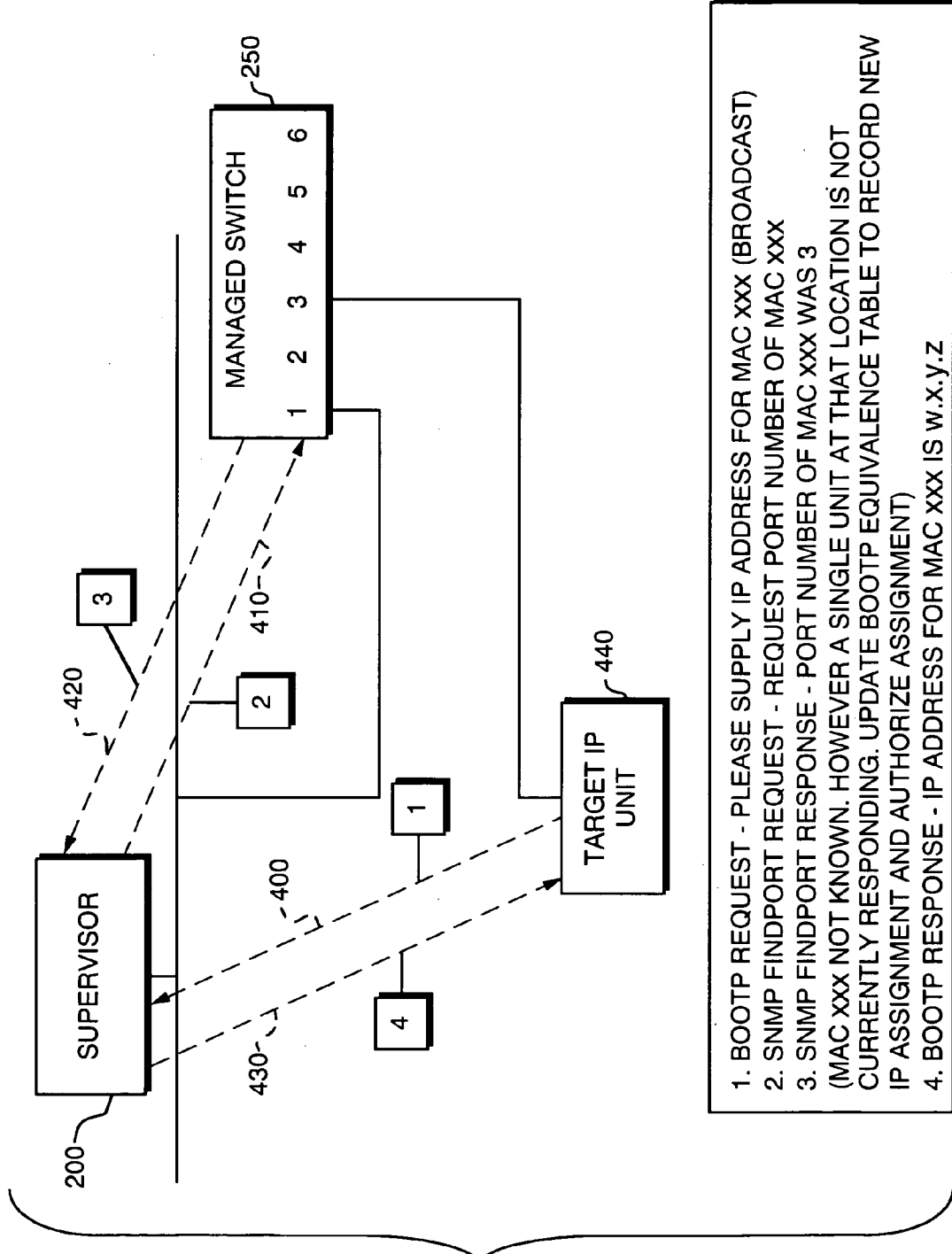


FIG. 8

AUTOMATIC DETERMINATION OF CORRECT IP ADDRESS FOR NETWORK-CONNECTED DEVICES

RELATED APPLICATIONS

[0001] This application is a continuation of U.S. application Ser. No. 09/614,489, filed Jul. 11, 2000 which is herein incorporated in its entirety by reference.

FIELD OF THE INVENTION

[0002] The present invention relates generally to networked devices. More specifically, the present invention relates to a system of assigning addresses to network devices, and more specifically, to a system encompassing automatic assignment of a network address after in-field replacement.

BACKGROUND

[0003] Industrial devices such as temperature or pressure sensors are accessed by a client using an Internet Protocol (IP) Address or domain name system (DNS) symbolic name (machine.company.com). If a unit needs to be replaced, the replacement must appear to have the same IP Address as the predecessor to allow operations to proceed automatically. Typical maintenance personnel are not qualified to manipulate IP Addresses and must defer to Information Systems (IS) department or other network specialists. This causes a significant delay in connecting devices, which results in factory down-time. Alternatively, expensive specialists must be maintained around the clock to handle such problems.

[0004] The state of the art encompasses several networking techniques. The Bootstrap Protocol (BOOTP) is an established method for assigning IP address and other key networking parameters to a device where the only information known about the device is its Ethernet Media Access Control (MAC) address. The protocol was invented by Sun Microsystems in 1985 to support diskless UNIX workstations. It is available as an option on most software products intended for use in embedded (no operator terminal) applications.

[0005] The Dynamic Host Configuration Protocol (DHCP) is a standard for networking communications. DHCP is a compatible extension to BOOTP and queries in DHCP form can be generated by devices using modern operating systems such as Windows CE or LINUX.

[0006] DHCP is primarily used for laptop computers or office systems in large companies where the addresses are 'leased' for a period of time rather than being assigned indefinitely. Likewise, the Simple Network Management Protocol (SNMP) is intended to allow Network Administrators to find and adjust key networking parameters on devices already installed on a network, particularly the routers, bridges, and hubs which form the infrastructure of the network. The JetAdmin Network Printer tool is a Hewlett-Packard system for reporting printer errors and administering usage.

[0007] Reverse Address Resolution Protocol (RARP) is an older protocol than BOOTP and intended for devices that did not require any configuration other than the IP address assignment. RARP is not as widely used as BOOTP because the tools to implement RARP are not as commonplace. RARP is implemented on some embedded system protocol stacks, wherein the supervisory server may respond with a RARP response if interrogated using a RARP request for a given MAC address.

[0008] The MAC address is a key identification parameter of all devices on a network such as Ethernet. It is a 48-bit number that combines information about the vendor and a unique unit sequence number, and is permanently allocated by the manufacturer of the network interface itself. It is not normally related in any way to the serial number or similar representation that a device might require for other reasons. The MAC address is conventionally expressed as a hexadecimal representation that is hard for non-specialists to handle. The Ethernet hardware uses the MAC address to determine which network messages are intended for specific delivery (unicast) to this station.

[0009] Internet Control and Management Protocol (ICMP) Echo Request, commonly referred to as PING is another Internet protocol used for periodic interrogation of an IP device as an alternative to repeated use of the Address Resolution Protocol (ARP) request. There is little practical benefit for using PING, as the ARP messages are faster and less intrusive. All modern IP devices will respond to an ARP request because it is the only way to determine the MAC address.

[0010] An 'Ethernet Switch' or 'Layer 2 switch' is a device that transmits message packets unchanged from one of its ports to another, using rules that are dependent only upon the destination MAC address of the message. Such devices are becoming the preferred interconnection devices for large Ethernet networks, since they do not require significant configuration. This is as opposed to 'routers', otherwise known as 'Layer 3 switches'.

[0011] A 'Managed Ethernet Switch' is an Ethernet switch which includes a management entity conforming to the reporting requirements of RFC 1493, and which therefore specifically may be interrogated to determine which port of the device was used recently to receive a message from a particular MAC address.

[0012] The protocol exchanges between the system components, namely the device, the managed switch, and the target IP unit are structurally defined in various standards documents. Software designers refer to these specifications when trying to implement software that encodes or decodes various messages. The Internet Request for Comment (RFC) documents are the standard form of documents for all communications using the Internet or TCP/IP.

[0013] The following table defines the primary applicable Internet protocol messages:

TABLE 1

ARP Request See RFC 826	ARP Response See RFC 826	SNMP Findport Request See RFC 1493/1157	SNMP Findport Response	BOOTP Request See RFC 951	BOOTP Response
Message type = address	Message type = address resolution	Message type = SNMP get object	Message type = SNMP get object	Message type = BOOT protocol	Message type = BOOT protocol

TABLE 1-continued

ARP Request See RFC 826	ARP Response See RFC 826	SNMP Findport Request See RFC 1493/1157	SNMP Findport Response	BOOTP Request See RFC 951	BOOTP Response
resolution request	response requested IP Address	request	response	request	response
Desired IP address = 32 bit IP address (e.g.: 1.2.3.4)	Resolved MAC address = 48 bit MAC address (e.g.: 01:23:45:67:89:ab)	Object ID = .1.3.6.1.2.17.4.3.1.2. (MAC as 6 decimal number 0-255)	Object ID = .1.3.6.1.2.17.4.3.1.2. (MAC as 6 decimal number 0-255) Object value = port number, or 0 if not found	Requesting MAC = 48 bit MAC address (e.g.: 01:23:45:67:89:ab)	Requesting MAC = 48 bit MAC address (e.g.: 01:23:45:67:89:ab) Assigned IP = 32 bit IP Address

[0014] Alternatively, other Internet protocols messages are:

port prior to attachment on the network. This is normally accomplished through the operator panel or user interface.

TABLE 2

PING Request See RFC 792	PING Response	DHCP Request See RFC 2131/2132	DHCP Response	RARP Request See RFC 903	RARP Response
Message type = ICMP ECHO request Message data unimportant	Message type = ICMP ECHO response	Message contents = same as BOOTP	Message contents = same as BOOTP	Message type = reverse address resolution request MAC = 48 bit MAC address (e.g.: 01:23:45:67:89:ab)	Message type = reverse address resolution response MAC = 48 bit MAC address (e.g.: 01:23:45:67:89:ab) Assigned IP = 32 bit IP Address (e.g.: 1.2.3.4)

[0015] There have been numerous attempts to provide an automatic addressing system. Many of the existing systems employ non-IP means to set the address in advance, such as manually alterable switches, special connectors, front panel interface for manually entering addresses, and separate serial port interface for issuing an address. Although these existing means are satisfactory in some instances, they do not adequately address the industrial or factory market for devices such as sensors and I/O devices. And, it is not feasible or cost-effective to employ the existing addressing techniques into certain devices or certain environments.

[0016] Historically, almost all devices which have been attached to a TCP/IP network have been computer systems of some type, either of a 'conventional' (with keyboard and display) or 'embedded' (such as a network printer) type.

[0017] In order to make a TCP/IP device functional on a network, it is necessary to assign certain address parameters, most importantly the 32-bit IP address. In many cases additional parameters such as netmask, gateway, and Domain Name Server settings also need to be established. These settings are important for proper performance otherwise the network becomes unstable and exhibits erratic behavior affecting the performance not just of the device being configured, but also other devices on the network.

[0018] The typical sequence for manual assignment of the IP address and other networking parameters begins with the direct assignment of the IP Address using a local data entry

The operator assigns the IP address by keystroke and confirms the settings before allowing communication on the network.

[0019] One method of automatic assignment of IP addresses uses BOOTP or DHCP. The BOOTP or DHCP techniques require that a database be maintained separately that associates the 'MAC address' of the device to be attached with the required IP address and other parameters. This database is created and maintained by the network specialist and requires considerable skills that would not be held by the typical field replacement technician. In addition, DHCP cannot be used conventionally, to assign an 'unpredictable' address within a 'pool' of available addresses, because the primary network protocols between industrial devices, such as Modbus/TCP, use explicit knowledge of the IP addresses of the designated targets. For example, when DHCP is used on systems using Windows NT Server, the option known as 'IP address reservation' is typically used. This actually makes DHCP equivalent to BOOTP in this embodiment.

[0020] This BOOTP or DHCP technique uses a central BOOTP or DHCP server to maintain a list of MAC addresses and IP addresses in a central location and allows access by the experienced network or system administrator to manage the lists. Although this protocol is implemented by many devices, the assignment must be done by the IS department or system administrator. In a factory environment with automated devices running 24 hrs a day×7 days a week, employing a system administrator to assign IP

addresses on devices around the clock is not cost-effective. The technician or engineer replacing the device does not possess the adequate skill or knowledge to also assign the IP address, and having a device failure may cripple the plant operation. Businesses must minimize the downtime associated with field replacement of devices in order to make the production numbers. Delaying a factory line until a system administrator can issue an IP address to the device is not a satisfactory option in the highly competitive marketplace.

[0021] Another system of assigning IP addresses is done via indirect assignment using static address resolution protocol (ARP) override. The device is designed to 'assume' that any IP message arriving at the device that includes a MAC address that matches that of the device implies the registration of the IP address in the target. This forces the IP address sent to the device to be adopted by the device even if it is already in use. It also requires matching of the MAC address to the particular device. As noted herein, forcing the wrong IP address to a device on the network can result in unexpected catastrophe.

[0022] Typically the ARP override method involves an operator sequence at a management station such as:

[0023] arp-s 10.0.0.1 00:00:54:ab:cd:ef

[0024] ping 10.0.0.1

This forces the local station to build a directed unicast message to the Ethernet address 00:00:54:ab:cd:ef and designate the IP address as 10.0.0.1. This is interpreted by the device with address 00:00:54:ab:cd:ef as authority to assign the IP address 10.0.0.1. Any internet protocol can be used during the second phase. Instead of PING, it is common to use TELNET on obscure port numbers in an attempt to avoid 'accidental' reconfiguration.

[0025] There are also alternative network protocols for devices, such as HP JetDirect cards. The HP JetDirect cards use the IPX protocol to advertise their presence to any management station on the local network. A management program running on some station on the local network picks up the advertisement and displays the device as requiring configuration to the operator. Since typically only one station at a time on a network will be in an unconfigured state, this allows the operator to recognize and select that unconfigured device without recording the MAC address. All of these mechanisms require either knowledge of the MAC address of the device being attached, or at least specialized knowledge of the desired network function of the device by an operator. Use of an alternative protocol such as IPX will cause problems in use of the devices in environments where these protocols are not supported.

[0026] All the referenced techniques of IP Address assignment require either knowledge of the MAC address of the device being attached, specialized skills and training, or preferably both. IPX protocol implementations have some further inherent difficulty with devices not supporting IPX protocols on the network.

[0027] Industrial control devices pose particular problems because of the importance of operation, continuous operation, and location of the devices. These devices may fail in service and must be replaced rapidly from a spares stock with minimum Mean Time To Repair (MTTR). For

example, the devices may fail because they are exposed to electrical or mechanical stresses that exceed their specifications. An example of a mechanical stress is being crushed by impact with a fork lift truck. A common example of an electrical stress is 110/220 V line power being shorted to low voltage input circuits. In such situations, the devices are usually designed to 'go safe', but they need to be replaced as rapidly as possible in order to allow the process to continue.

[0028] Most industrial users maintain a stock of spare devices of each type that need to be replaced. These users provide instructions to maintenance personnel for replacement of faulty devices. However, the need to assign IP addresses accurately under such critical replacement conditions is usually not practical. This is particularly true in industrial environments with strict responsibility partitioning between an electrician who can rewire a module, but requires the service of an IT technician to alter network parameters.

[0029] Previously, Ethernet was not considered a viable option to the business community. One problem with the implementation of Ethernet as a replacement for the device level networks such as ASi or DeviceNet was that you could not require anything more elaborate than the setting of a rotary switch to match the predecessor device. Such problems diminished as the protocols changed and expanded the Ethernet options.

[0030] One such protocol the industrial protocol MODBUS/TCP. MODBUS/TCP is a communication protocol designed to allow industrial equipment such as Programmable Logic Controllers, computers, operator panels, motors, sensors, and other types of physical input/output devices to communicate over a network. It was introduced by Schneider Automation in the early 1990's as a variant of the widely used MODBUS protocol, which had been implemented in turn by almost all vendors and users of automation equipment. The specification of the MODBUS/TCP variant was published in order to encourage all vendors to implement the protocol consistently, and thus avoid interoperability problems that typically result when implementors must 'deduce' or 'reverse-engineer' an interface specification.

[0031] There have been several attempts to resolve the aforementioned problems. One system automates the initial assignment of a process device address by allowing a number of devices to be attached to the network, issuing queries to which all devices will respond, and then using unique parameters or serialization included in those devices before installation to assist an operator in assigning the network address.

[0032] The mechanism of this system requires foreknowledge of the unique characteristics of the device in order to provide address assignment, and cannot be used to perform automated assignment when replacing one of potentially many identical devices on a network segment. It is also not designed to work with TCP/IP local area networks. The mechanism of assigning a temporary address first, and then using that to complete the configuration process, is only necessary when using networks which have no native bootstrap address assignment process. In the case of a TCP/IP local area network, all of this functionality can be done using the Internet standard BOOTP protocol (RFC 951). With BOOTP, the information needed to perform the match is the

serial number or 'MAC address' that is uniquely associated with the network interface hardware and readily available upon request.

[0033] Another system uses a technique which would most likely be banned in any practical Internet TCP/IP local area network because it assigns an address for a device by using speculation. Specifically, it deduces the range of addresses in use on the network to which the device is attached, and then issues a series of queries to determine whether a given address within that range has already been assigned to another device. The novelty of this system is that in addition to the standard ARP technique ordinarily used to query the existence of a given IP address, this system extends this by issuing a series of 'application level' queries. The reason for doing this is to overcome problems relating to the 'caching' of ARP records.

[0034] A flaw in the this system is that it fails to address the case where the address being speculatively assigned has in fact already been assigned to another device, but that device is temporarily inaccessible, such as by being reset or through a temporary network disruption. The system would complete its assignment of the duplicate address in a finite time period, after which, if the original device were to come back on line, there would be a duplicate address situation that would impede operation of the original device. This flaw supports the conclusion that it would likely never be permitted on a network used for automation purposes, as multiple devices with the same IP address would result in grave networking problems. A more appropriate solution to the assignment of an arbitrary address on a network is to use the Dynamic Host Configuration Protocol (DHCP) described in RFC 1531.

[0035] There is a further system that allows for the assignment of the network address for a replaced device, automatically, by recognizing a unique 'logical identifier', or an 'arbitrary word, number, or combination thereof'. One application of this system is the replacement of one of many identical devices on a network. Maintenance personnel set a plurality of switches or jumpers that are accessible on the device so that they have an identical setting to that on the device being replaced. Once completed, the application technique completes the replacement.

[0036] There are several limitations of technique of this system. Firstly, it requires that the devices being replaced incorporate the capability of reading some sort of 'logical identifier' before attempting address assignment. Secondly, the devices being replaced must incorporate a non-standard protocol capability to transmit that information to the management device for the purpose of address assignment. These two requirements severely limit the usefulness of the technique, since network administrators would be unwilling to deploy an automated configuration technique unless it applied to a high proportion of devices likely to require such assignment. Any attempt to make the requirements into a standard would require agreement among multiple vendors of equipment to adopt this additional feature voluntarily. Such cooperation would likely not succeed. The appropriate way of achieving such agreement is to propose the technique and get it adopted by a standards body such as the Internet Engineering Task Force (IETF). However, the IETF would be skeptical about the widespread adoption of such a technique because of its similarity to the BOOTP and DHCP

protocols already available. In fact, the system describes a technique identical to the BOOTP, where the logical identifier is the MAC address.

[0037] A system for allowing decentralization of a directory previously maintained on a single file server is also known in the art. Decentralization and resilience is achieved by arranging the 'list servers' to follow a defined protocol for determining the existence of list servers on a network. And, updating their contents from one of the devices whose contents are authoritative in order that any of the devices can serve the information in the case of unavailability of the original.

[0038] This technique has much in common with the distributed 'Yellow Pages' database implemented on Sun Microsystems workstations dating back to the mid 1980's. The primary difference is that the identity of a device being available to take on directory service duties need not be configured in advance. Instead, the devices negotiate for authority based upon their assigned network addresses. This in turn is similar to the procedure used by Microsoft in implementing the 'automatic browse master assignment' for Windows 95 peer to peer file service. Indeed, almost all of the described capabilities have an equivalent in the 'browse list' feature maintained automatically by Windows 95 machines, and which is updated by notification messages sent out on a timed basis by network devices such as printers, computers, and other file server devices.

[0039] Similar to this system, there is another system that discloses a mechanism that is concerned with assignment of an arbitrary network address that allows the device to become functional on the network. This is accomplished by attempting to contact the existing devices that have been assigned the proposed addresses, in turn, until one is found that is not currently assigned.

[0040] This latter mechanism is not appropriate for use on a TCP/IP local area network because of the problems caused if the address in question actually had been assigned to another device, but that device was temporarily inaccessible. Such a situation would likely cause network disruption and possibly a failure of control in an automation system. Therefore, the methodology would not be acceptable on a network used for automation purposes. Instead, the appropriate protocol to use if an arbitrary address were desired on a TCP/IP local area network is the standard DHCP protocol described in RFC 1531.

[0041] Yet a further system describes a mechanism for more convenient allocation of addresses in a network environment that is not bound by the address assignment conventions of TCP/IP. The techniques of this system are not appropriate for TCP/IP local area networks. Assigning appropriate address ranges for network segments which are subsequently linked together is cumbersome, and cannot generally be overcome by defining an address assignment protocol that would be binding upon the existing devices on those networks. The existing TCP/IP devices expect stability in address assignment, and the act of interconnecting two networks cannot by itself, cause reassignment of network addresses without knowledge of the devices themselves.

[0042] Other systems describe what is commonly called a network firewall technique to overcome an intended intrusion attack using 'address spoofing'. The firewall is pre-

configured with an association between the physical address of each subscriber device and the IP address assigned to that device. The firewall recognizes the case where an incorrect source network address is being presented by an intruding system, and prevents the messages from being propagated to their intended target device.

[0043] Another system allows a general-purpose network to be used as part of a bootstrapping mechanism to enter the initial configuration data for a device after it has been physically installed on a network, but before it has been made operational. This mechanism is specifically unsuitable for use with arbitrary target devices on a TCP/IP local area network since it relies on assignment of a temporary network address, and a non-operational state known as 'standby', in order to allow the device configuration to be completed with manual assistance.

[0044] There is yet a further system that is similar to that used in many commercially available network monitoring and system management tools, including ones which have been available on local area networks for more than a decade. Passive monitoring of network traffic to determine the identity and detail configuration of devices is a standard network management and troubleshooting procedure taught to network engineers. Building up a list of discovered devices in a database and displaying the contents of such database on demand is a standard feature of products such as 3Com Corp's 'Transcend' management package.

[0045] The technique of this system is not appropriate to the problem of automatic reassignment of network IP addresses when a target device is replaced in service, because under those conditions there would be no broadcast traffic to be monitored. In particular, use of Ethernet switching devices on modern networks severely impedes the value of passive monitoring, since only messages designated as 'broadcast' or 'multicast' are made available by the switches for monitoring by parties other than the direct participants of the communication.

[0046] There is also a system concerned with gateway devices that must allow access to information using 'foreign' networks. Specifically, by detecting the existence of one or more authoritative devices on the foreign network (the 'target nodes'), and making queries upon them, the target nodes will divulge information which can be assembled by the gateway in order to ease the configuration of such gateway. This includes pre-assignment of network address equivalence tables or similar data.

[0047] A method of allocating addresses on devices without using manual adjustment of switches is also known. This system does not use switches, relying instead on a known rearrangement of the wiring of an extension cable or connector when connecting such devices in series.

[0048] Actually, this mechanism is akin to that used by Modicon Corp (now part of Groupe Schneider) on a product line known as '800 series I/O' introduced with the 884 model Programmable Controller in 1984. In that product, the address of one of many modules in a modular I/O rack was determined by a combination of its rack number and slot number within the rack. To allow the racks to be physically identical parts and yet distinguishable in service, the interconnect cable performed a 'rotation' of the assignment of 5 signals. The effect of this was that the signals being pre-

sent to modules in the individual racks were detectable by the device, and this supplanted the need for any address switches.

[0049] Another existing system describes a technique similar to BOOTP, in which a unique registration number known to the internet access device is presented to a known registration service, which can be accessed without requiring pre-configuration of the device, and obtaining any configuration data from that device. The system relies on the existence of a known network service access point on the Public Switched Telephone Network, so the initial contact with the registration service can be done using only a previously recorded telephone number and modem settings. From that point onwards, any complex configuration settings can be automated based upon details previously registered in the database or negotiated with the equipment.

[0050] Devices that make use of this technique must be specifically designed to do so, because the protocols used are non-standard. The non-standard mechanism is required to handle the case where the device being installed is not on the same local area network as the registration server. If it were on the same network, the same results could have been obtained using the standard protocol BOOTP.

[0051] In sum, the problem with known systems is that they require involvement of a specialized administrator to oversee the part replacement in order to properly configure the network address. The state of the art does not have a simple yet disciplined method to automatically designate proper IP addresses while maintaining the highest level of system integrity. What is needed is an automatic network address assignment system. Such a system would decrease the mean-time-to-repair (MTTR) and allow for field replacement of networked devices without incurring the expense of having a network professional administer the address configuration. Ideally, such a system would use management information gathered from Ethernet switches to deduce physical location information, using such information to deduce the appropriate network address.

SUMMARY OF THE INVENTION

[0052] The present invention is a system for the automatic reconfiguration of Industrial Networked Devices. More particularly, the present invention facilitates the use of TCP/IP networks, such as Ethernet, as an alternative for industrial fieldbus or device buses.

[0053] Accordingly, one aspect of the invention is to automate the reconfiguration of devices such as I/O modules, sensors, or transducers under field replacement situations. In one embodiment this is achieved by combining available Internet standard techniques along with a system management software component. The latter is referred to as the 'management entity'.

[0054] The present invention encompasses various algorithms and software running on a standard computer, such as a file server or administrative workstation that periodically polls the status of network devices. One aspect of the invention is to detect a failed network device that has been replaced, wherein the system automatically assigns the network address of the previously failed device. In one embodiment, the computing means is a dedicated monitor system in the form of a networked device that is installed in the same

plant area and by the same personnel as the automation equipment it is supporting. Alternatively, the computing means encompasses functionality extensions to the managed network switches themselves.

[0055] Another feature of the invention is the prompt identification of networked devices that have failed in service, so that maintenance personnel can be dispatched rapidly to effect the replacement. This is a consequence of the constant monitoring of the devices over the network.

[0056] A further aspect of the invention is a simplified initial configuration and set-up of the replaced equipment by using physical port numbers on a managed Ethernet switch rather than the less convenient MAC address numbers to identify the networked devices.

[0057] One of the distinctions between the present invention and many of the other systems is the use of management information from a Managed Ethernet switch to deduce physical location information or using that information to deduce a network address. There is also no mention in the existing systems of the use of information from a management entity on a Managed Ethernet switch or similar device to deduce the appropriate network address to use when replacing a device.

[0058] The present system in one embodiment requires no change to the network protocol capabilities of the target device, other than that it support the standard BOOTP address assignment protocol. There is no need to make accessible external switches or similar mechanical means which can be a source of additional cost, complexity, and unreliability. The determination that the device is an intended replacement, and thus should be reassigned a previously recorded address, is obtained by automated query to the management entity of an Ethernet switch or similar device to which the network cable is connected. The act of connecting the new device to the same cable or port as the original one provides the equivalent function to the 'logical identifier' in the previous patent.

[0059] The mechanism for obtaining the network address information for one embodiment of the present invention is via the standard ARP protocol described in RFC 826. The present invention interrogates the existing target devices themselves after having determined that the device is amenable to automated maintenance by querying a managed Ethernet switch or similar device and confirming that the device in question is in an area of the network that has been pre-configured for such automated maintenance.

[0060] One embodiment of the present invention allows unmodified TCP/IP target devices, implementing only the address assignment protocol BOOTP to be configured without human assistance, and it deduces the address assignment using information from a standard managed Ethernet switch.

[0061] One embodiment of the present invention uses location data obtained from a managed Ethernet switch or similar device to confirm the equivalence of a newly installed device to its failed predecessor, and allows the communication of the new IP address using the standard BOOTP protocol.

[0062] The present invention in one embodiment performs the IP address assignment of a replacement device, and uses

physical location data obtained from a standard managed Ethernet switch to provide guidance for such network IP address assignment.

[0063] One embodiment of the present invention is not concerned with operating gateways to foreign networks. The address information that needs to be maintained in order to perform IP address replacement is obtained without the assistance of designated target devices from which the address information can be obtained. Rather, address information is deduced using physical location information maintained by the standard managed Ethernet switch equipment for the benefit of human operators. There is no known system in which the use of physical location data obtained from a standard managed Ethernet switch to provide guidance for network IP address assignment when replacing devices in the field. Likewise, there is no relevance of the existing techniques to the reassignment of network IP addresses in a TCP/IP local area network.

[0064] One embodiment of the technique of the present invention allocates replacement IP addresses for standard TCP/IP target devices automatically, communicating them to the device using the standard BOOTP protocol. The address assignment is determined with the assistance of management information obtained from a standard managed Ethernet switch supervising the network.

[0065] The present invention benefits from the standards relating to RFC 951 Bootstrap Protocol (BOOTP) and RFC 1493 SNMP support for Ethernet switch devices, in addition to RFC 2108. By using the international standards, all devices under the standard respond in the same manner. The present invention combines these widely implemented standards with unique methods and along with a novel software management entity to supervise them. As DHCP is compatible with BOOTP, if the query is determined to be DHCP, the DHCP form of the BOOTP response can be used.

[0066] A further feature of the present invention is that the network may be deployed in such a way that every target IP unit has a dedicated line to a port on a managed switch. In this case, there are no issues of ambiguity of replacement unit identity. Alternatively, the managed switches may be deployed on a more selective basis and utilize less expensive unmanaged switches or repeating hubs as the interface for the target IP units. In this scenario, the managed switch encounters more than one MAC address associated with one managed port on a switch. It is therefore necessary to restrict auto-reassignment of addresses to the case where the number of failing target IP units in the given managed plant area is exactly one.

[0067] One of the benefits of the present invention is the ability to recognize failed networked devices and automatically assign correct IP addresses to diminish down-time. Additionally, the methodology locates the physical location or region of the networked devices allowing for ease of finding a failed device. The present invention, in some embodiments, also deals with the authority of the system to assign IP addresses only if a single unit is determined to be replaced, wherein if the system cannot isolate to a single failed network device, the automatic assignment can be suppressed.

[0068] An embodiment of the invention includes a system for field replacement of networked devices, comprising the

steps of detecting a failed networked device, replacing the failed networked device with a functioning networked device, locating a canonical location of the functioning networked device, issuing an IP address to the functioning networked device, wherein the IP address is identical to the IP address of the failed networked device.

[0069] A further aspect includes the step of detecting the failed networked device which is accomplished by a unicast ARP request. Additionally, the step of detecting the failed networked device can be accomplished by periodic ARP requests. A feature also includes a system, wherein the step of detecting a failed networked device comprises processing a plurality of ARP requests over a time period before indicating the failed networked device. In addition, notifying maintenance personnel of the failed networked device is a further aspect.

[0070] Another aspect of the system includes, wherein the step of locating a canonical location of the functioning networked device comprises requesting a MAC address for the functioning networked device and requesting a port number for the MAC address from a managed switching device, wherein the port number so returned, in combination with the address of the managed switching device, is the canonical location of the functioning networked device.

[0071] Another feature is locating a canonical location of the functioning networked device comprising identifying a plurality of target devices at the canonical location, comparing the canonical location of the functioning networked device with a database containing information of all the networked devices to isolate a single failed networked device at the canonical location. The present invention includes the step of suppressing the issuance of an IP address to the functioning networked device if unable to isolate to a single failed networked device.

[0072] Another embodiment of the invention is for a method for determining a canonical location for a plurality of networked devices, comprising maintaining a list of IP addresses for each of the plurality of networked devices on a monitor agent. Identifying the MAC address from the request message issued by a network device requesting an IP address. Maintaining a list of MAC addresses for each of the plurality of networked devices on the monitor agent. Requesting and retrieving a port number on a switching device for each MAC address. Maintaining a list of port numbers and switching device addresses for each of the plurality of networked devices on the monitor agent. Processing the canonical location for each of the plurality of networked devices.

[0073] Additionally, a feature includes, wherein the switching device is a managed switching device and the port number is dedicated to the networked device and is the canonical location. Alternatively, the switching device may be an unmanaged switching device and the port number is shared among a plurality of target devices.

[0074] An embodiment of the invention is a method for detecting a canonical location for a failed network device, comprising requesting a logical address or MAC address for each of a plurality of networked devices. Detecting the failed network device and processing the logical address for the canonical location of the failed network device. Finally, logging the logical address, the canonical location, and an IP

address for the failed network device. Another feature includes the step of detecting the failed network device based on no response from the requesting step.

[0075] An object includes a method, wherein the step of processing the MAC address for the canonical location comprises accessing a database containing a MAC address listing, an IP address listing, a switching device IP address listing, and a port listing for each of the plurality of networked devices, and wherein the combination of a switching device IP address and a port number represents the canonical location of the failed network device.

[0076] Yet another object is a method wherein the step of processing the MAC address for the canonical location comprises accessing a database containing a MAC address listing, an IP address listing, a switching device IP address listing, and a port listing for each of the plurality of networked devices, and wherein the combination of a switching device IP address and a port number represents the canonical location of a plurality of target devices, and the IP address of the failed network device is determined by locating a single failed target device at the canonical location.

[0077] An object of the invention is an apparatus for the automatic configuration of networked devices, comprising a network interface interconnecting the networked devices, a means of detecting the networked devices, a means of determining a canonical location of the networked devices, and a monitor agent connected to the network interface, wherein the monitor agent issues an IP address to each of the networked devices and records a MAC address for each of the networked devices and wherein the monitor agent maintains a list of each IP address and each MAC address.

[0078] One embodiment is a method for determining the correct logical address to assign to a target network device, comprising maintaining a list of assignments of logical addresses, possibly on a monitor agent, wherein the list associates the logical addresses with both a network physical address and a canonical location. The canonical location is generally a combination of both the logical address of a managed network switch and the port number of the switch used for communication with the target network device. Receiving a request from the target network device for the correct logical address, wherein the request generally provides a target network device physical address. Searching the list of assignments for a match of the target network device physical address and if there is a match, the correct logical address is provided to the target network device.

[0079] If there is no such match, the method further comprises interrogating at least one managed network switch on the network to request the port number associated with the target network device physical address and deriving a canonical location from the logical address of the switching device and port number. Searching the list of assignments for a canonical location match and providing the correct logical address upon the canonical location match.

[0080] The interrogation may include requesting each managed switch to report whether the switch observed messages originating from the device with the physical address, and if so, identifying the port of the switch on which the messages were last observed. Receiving responses from one or more of the managed switches, each such response

identifying a logical address of the managed switch and a port number of the switch on which the recently observed messages originated from a device having the physical address, such combination of the logical address of a managed switch and a port number being considered a canonical location. Consulting the list of assignments to see whether one or more of the entries in the list has a match for the canonical location, if there is a match on the canonical location, and if there is only logical address that has such a match, then the determination is complete, the result being the logical address in the referenced entry in the list.

[0081] Still other embodiments and advantages of the present invention will become readily apparent to those skilled in this art from the following detailed description, wherein only a few embodiments of the invention are described, simply by way of illustration of several modes contemplated for carrying out the invention. As will be realized, the invention is capable of other and different embodiments, and its several details are capable of modifications in various obvious respects, all without departing from the invention.

[0082] The features and advantages described herein are not all-inclusive and, in particular, many additional features and advantages will be apparent to one of ordinary skill in the art in view of the drawings, specification, and claims. Moreover, it should be noted that the language used in the specification has been principally selected for readability and instructional purposes, and not to limit the scope of the inventive subject matter.

BRIEF DESCRIPTION OF THE DRAWINGS

[0083] FIG. 1 is a basic block diagram showing interconnected elements configured in accordance with one embodiment of the present invention.

[0084] FIG. 2 is a block diagram illustrating replacement situation configured in accordance with one embodiment of the present invention.

[0085] FIG. 3 is a diagrammatic perspective of discovery/confirmation for a dedicated port configured in accordance with one embodiment of the present invention.

[0086] FIG. 4 is a diagrammatic perspective of discovery/confirmation for a shared port configured in accordance with one embodiment of the present invention.

[0087] FIG. 5 is a diagrammatic perspective of confirm presence for a dedicated port configured in accordance with one embodiment of the present invention.

[0088] FIG. 6 is a diagrammatic perspective to confirm presence of a shared port configured in accordance with one embodiment of the present invention.

[0089] FIG. 7 is a diagrammatic perspective of IP Address assignment configured in accordance with one embodiment of the present invention.

[0090] FIG. 8 is a diagrammatic perspective of IP Address reassignment configured in accordance with one embodiment of the present invention.

DETAILED DESCRIPTION

[0091] One embodiment of the present invention is referenced in FIG. 1. There is a monitor agent 10 that serves as

the BOOTP server and comprises computing means for managing and processing the network data and a memory means for storing information. The monitor agent 10 is connected to a network having one or more managed switches 20. The managed switches 20 are considered to be on the local plant area. There are multiple ports 25 on the managed switch 20, and it is capable of reporting MAC addresses and/or port assignments.

[0092] In one embodiment, the TCP/IP network is Ethernet and uses Ethernet managed switches 20. It should be understood that the term network refers to any communication exchange and not a specific type of connection.

[0093] Connected to the managed switch 20 are a number of inexpensive hubs 40 with a number of hub ports 45. Connected to these hub ports 45 are a plurality of devices, such as I/O devices 50 and other elements such as a computer 60.

[0094] Each device connected to the hub ports 45 has an associated MAC address and an associated IP address. The managed switch 20 reports all MAC addresses and port assignments associated with the hubs and devices connected to the monitor agent 10. The monitor agent 10 maintains a list of all port assignments and MAC addresses. Thus, not only does the monitor agent 10 know the MAC and IP addresses of an individual device, but it also knows the approximate location by knowing to which port of a Managed Ethernet Switch 20 the device is connected.

[0095] The local plant area refers to the system of devices located from a managed Ethernet switch 20 and downwards, including all hubs and I/O devices interconnected therein. The monitor agent 10 exists in an enterprise net, and records all IP and MAC combinations found in the local plant area.

[0096] Referring to FIG. 2, each of the working devices 70, 80 connected to the hub 40 has a MAC address and an IP address. The failed or malfunctioning unit 100 also had a MAC address and IP Address. Based on periodic device polling, the information of a failed unit has already been communicated to the monitor agent 10 through the managed switch 20. The monitor agent 10 also lists the failed device 100 as being located on managed switch Port 1.

[0097] From an overview perspective, as soon as the failed device 100 is replaced with a working device 110, the working device 110 requests a network assignment. The monitor agent 10 notes the request, and determines if the request originates from the location of the previously detected failed unit 100. If the request comes from Port 1, the monitor agent 10 issues the same IP address as the previous device and the new device 110 begins operating on the network.

[0098] More specifically, the monitor agent 10 maintains a database of all MAC addresses for each device on the network. This BOOTP database is built and maintained automatically, by the monitor agent 10 that takes advantage of the MAC address detection scheme built into modern Ethernet switch devices. Using this capability, which is referred to as the SNMP FindPort query and is defined by RFC 1493, it is possible by issuing SNMP requests from a management program to track down a particular MAC address and identify on which port of which switch it is found.

[0099] The IP addresses of the various devices are also maintained by the monitor agent **10**. Actually, the monitor agent **10** issues the IP address to each new device. In operation, all devices are set to request their network assignment, or IP Address, at power up using the standard BOOTP protocol. In one embodiment, the devices perform the request multiple times, such as 3 or more, over a 'reasonable period' such as 15 seconds. If a response is obtained from a BOOTP server entity on the network, then the IP address and other returned parameters will be used by the device. In addition, the IP address information may be recorded locally, so that in the event that the device subsequently powers up WITHOUT the BOOTP server being available, it will fall back to the last known good address.

[0100] If the device has an address recorded already, and the address returned using BOOTP is different, then the newly obtained address is used and recorded. The present invention thus handles the case where a unit is swapped out, tested, found to be operable, and returned to spares stock, but it has not been completely initialized in the process.

[0101] MAC addresses are conventionally expressed as a hexadecimal number with 12 digits, in the form 'ab:cd:ef:01:23:45'. The expressions 'ABC', 'DEF' etc in the figures are a simplification to avoid distracting the reader. Referring again to FIG. 2, as an example—a first I/O device **70** has a MAC address=ABC, a second I/O device **80** has a MAC address=DEF, and a third I/O device **100** has a MAC address=EFG. The MAC addresses were previously detected and recorded by the monitor agent **10**. The monitor agent **10** also records and issues the IP addresses for each device. Thus, first I/O device **70** has an IP address of 10.0.0.1, the second I/O device **80** has an IP address of 10.0.0.2, while the third I/O device **100** has an IP address of 10.0.0.3. The monitor agent **10** identifies each of these I/O devices **70**, **80**, and **100** as coming from Port **1** of the managed switch **20**.

[0102] The monitor agent continually polls the I/O devices **70**, **80**, **100**, and when a device malfunctions, the device either issues commands indicating a failure, sends back a malfunction or error code in response to the poll, or ceases to respond at all. The failure information can be forwarded to the appropriate maintenance department. In the present example, device **100** fails.

[0103] Once the maintenance personnel have successfully removed the faulty unit **100** and installed a replacement device **110**, the replacement device **110** issues a BOOTP request. The monitor agent **10** receives the BOOTP request and determines if the managed switch **20** port location of the replacement device **110** coincides with the location of the present BOOTP request. If the monitor agent **10** determines that the replacement device **110** is replacing the malfunctioning device **100**, it issues the same IP address to the replacement device **110**.

[0104] For example, the replacement device **110** with a MAC address of HIJ issues a BOOTP request which is transmitted through the hub **40** port **3** and through the managed switch **20** port **1** to the monitor agent **10**. The monitor agent determines which port of the managed switch the BOOTP request originated. Once it is determined that the failed unit and the BOOTP request came from the same port of the managed switch **20**, the replacement device **110** is designated with the same IP address as the failed unit **100**

and assumes the IP address 10.0.0.3. The replacement device **110** is thus quickly established on the network. The monitor agent **10** then continues to poll the units for status.

[0105] In one embodiment, a managed switch is used in lieu of the hub **40**, so that the monitor agent **10** can track the port designations from each layer of the network. In another embodiment, the monitor agent itself may be duplicated on the network, so that in the event of failure of the hardware or networking infrastructure leading to the monitor agent **10**, another monitor agent with visibility into the same local plant area would be able to take over its duties.

[0106] The discovery/confirmation sequence finds the MAC address of targets and records the canonical location (numbered port of supervised switch) for a dedicated port scenario as shown in FIG. 3. The discovery sequence detects initial or new devices connected to the network and confirms the target locations. The supervisor/monitor agent **200** issues an ARP request **210** as a broadcast message to inquire the MAC address of the IP address. The target IP unit **220** receives the request and issues an ARP response **230** containing the MAC address of the requested IP address.

[0107] The supervisor **200** issues an SNMP Findport request **240** to the managed switch **250** to request the port number of the reported MAC address. The managed switch **250** issues an SNMP Findport response **260** back to the supervisor **200** with the port number of the MAC address. In FIG. 3, port number **3** would be returned to the supervisor.

[0108] FIG. 4 shows the discovery/confirmation sequence for the shared port scenario. In this embodiment, the supervisor **200** issues a broadcast ARP request **210** to inquire the MAC address of the selected IP address. The target IP unit **220** responds with an ARP response **230** containing the MAC address of the requested IP Address. The supervisor **200** then issues an SNMP Findport request **240** requesting the port number of the MAC address. The managed switch **250** issues an SNMP Findport response **260** back to the supervisor **200** with the port number of the MAC address. In FIG. 4, port number **3** would be returned to the supervisor.

[0109] However, the managed switch **250** connects to one or more unmanaged switches or hubs **300**. Multiple target units **220**, **310**, **320** are connected to the unmanaged switch **300**. Thus indicating port **3** of the managed switch indicates the target units **220**, **310**, **320** as sharing the managed switch **250** port **3**. Automatic reallocation would be suppressed and further processing would be required to determine which of the target units **220**, **310**, **320** is down, if more than one of them were down at the time of attempted replacement.

[0110] The confirm presence sequence interrogates the target units at periodic intervals, whereby a non-responsive unit indicates the target is 'down' or failed. In a dedicated port scenario such as shown in FIG. 5, a single target down in a canonical location becomes a reassignment candidate.

[0111] During the confirm presence process, the supervisor **200** issues an ARP request **210** as a unicast message to inquire the MAC address of a selected IP address. If the target IP unit **220** receives the request and returns an ARP response **230** containing the MAC address of the requested IP address, the unit is determined to be functioning. If there is no response, this indicates that the target IP unit **220** is down or failed. Such a failure isolates the reassignment candidate to a single unit for the maintenance personnel.

Note that the supervisor can be programmed to perform the check a certain number of intervals over a certain period of time before determining the unit failed. Such repetition and time intervals are usually specific to the application, and it would be obvious to one skilled in the art to change the repetition or timing.

[0112] FIG. 6 shows the confirm presence sequence for the shared port scenario. In this embodiment, the supervisor 200 issues an ARP request (unicast) 210 to inquire the MAC address of the selected IP address. The target IP unit 220 responds with an ARP response 230 containing the MAC address of the requested IP Address. If no response is returned, the target unit 220 is down and selected for reassignment. If there is only a single target unit on the unmanaged switch or hub 300 for which a failure is indicated, then the single target unit is down and selected for reassignment. However, where there are multiple target units 220, 310, 320, and more than one of them are down, then the automatic reallocation is suppressed.

[0113] For example, the managed switch 250 connects to one or more unmanaged switches or hubs 300. Multiple target units 220, 310, 320 are connected to the unmanaged switch 300. Thus indicating port 3 of the managed switch 250 only indicates the target units 220, 310, 320 as sharing the managed switch 250 port. Additional processing is necessary to determine which target IP unit has failed.

[0114] The normal use of ARP request messages is to inquire the MAC address of a target whose MAC address is not known but whose IP address is known. In order to send a unicast message the sender must designate the MAC address of the target. The use of unicast ARP requests during the repetitive 'poll' of the device confirm whether the device is still alive. The choice of a unicast rather than a broadcast for this interrogation is important in large networks to avoid excessive use of broadcast traffic that will be perceived as needless interruption by all other stations.

[0115] FIG. 7 shows the IP address assignment sequence to automatically issue an IP address to a target unit that was reset or power cycled, but otherwise previously running at that location. The target IP unit 220 automatically broadcasts a BOOTP request 400 to supply an IP address for the MAC address. The supervisor 200 receives the BOOTP broadcast and sends out an SNMP Findport request 410 to the managed switch 250, requesting the port number for the MAC address. The managed switch 250 responds with an SNMP Findport response 420 with the port number for the MAC address. In this example, the port number was 3 for the MAC address. The supervisor 200 checks if the MAC address was already associated with the IP address at that canonical location. If the MAC address matches the number which the supervisor 200 expected, the supervisor 200 issues a BOOTP response 430 and sends the IP address for the MAC address.

[0116] The IP address reassignment sequence is shown in FIG. 8. The target unit 440 was not previously running at that location, and issues a BOOTP request 400 as a broadcast message. The supervisor 200 receives the BOOTP request 400 and issues an SNMP Findport request 410 to find the port number of the MAC address. The managed switch 250 receives the request 410 and replies with an SNMP Findport response 420 that contains the port number of the MAC address. The supervisor 200 determines that the MAC address is unknown.

[0117] In the illustrated embodiment, a single unit 440 at that location is not responding. The supervisor 200 updates the equivalence table that links the IP addresses, and records the new IP assignment and authorizes the assignment. The supervisor 200 issues a BOOTP response 430 that sends the IP address to the requesting device at the new MAC address. It is assumed that the target unit 440 is an equivalent unit 440 and connected with the same cabling.

[0118] In operation, the management program/supervisor, as part of a routine periodic 'scan', determines the existence of the networked devices. The management program/monitor agent interrogates the network switch and determines the location of the devices on the network. The device is either a single device attached to a network switch port (on a fully switched layer 2 network) or being one of a limited number of devices localized to a single port on a switch. The hubs that are not managed are less expensive, but do not provide an exact resolution as to which port the devices within the hub are connected. This latter method is a more economical hybrid of managed switches and unmanaged switches or hubs. In addition, the management program has authority to interrogate the devices in benign ways, such as PING or attempted Modbus/TCP connection, to confirm the identity of the device as far as the relationship between MAC address and IP address.

[0119] In practice, the interrogation is done by running a routine 'probe' of the address space domain. For example, the management entity may issue a Modbus/TCP connection attempt to each device. Any station or device that acknowledges the connection request is recorded as being a potential address management candidate, and the details are recorded as follows:

[0120] 1. The IP address is known and it was the one used in the probe.

[0121] 2. MAC address is obtained by checking the local ARP table or by recording the source MAC address of the acknowledgement response.

[0122] 3. Find switch and port assignment by comparing the MAC address with the most recent FindPort response record obtained from the switches on the network. Alternatively, the switch and port assignments are found by issuing an exploratory sequence of FindPort requests to the switches in the hierarchy. The values corresponding to the 'most local' switch to the device are recorded. This information is then used to prepare the BOOTP database as well as a MAC/location lookup table.

[0123] Once the device has been detected using the probe, it is added to a list of devices whose operability is to be continuously monitored. This may be done in a variety of ways such as checking on a frequent basis that the device is still responding, and confirming the MAC/location data. If a device is found to be unavailable, that physical switch/port combination will be monitored closely for reappearance of the same module or for a potential replacement operation. The unavailability can be logged according to a length of time or number of requests. An alerting signal can be issued to maintenance as part of the overall configuration.

[0124] Under most situations, such as a routine shutdown and restart of the plant area concerned, the original device will repeat its BOOTP request on powerup. The management entity will find a match of the requested MAC address

in the BOOTP table, and will send back a BOOTP response to the device authorizing it to use the IP address previously recorded. Thus there is minimal delay on normal plant reset operations.

[0125] If a device needs to be replaced under field maintenance conditions, the device is replaced quickly and by a low-level technician or maintenance person. The replacement device is connected to the same network cable as the former unit, or at least, to the same port on the switch. It is important to note that the replacement device must be an equivalent unit to the failed unit and operate with the same functionality and command set.

[0126] Once the replacement unit powers up, it issues a BOOTP request, as dictated by the industry standards. There will not be any 'conventional' BOOTP server with the MAC address of the device in its database, so there will not be any conventional BOOTP response. There will be no entry in the management entity's database. At this point the management entity will contact the switches which it is monitoring to find which one 'saw' the MAC address of the BOOTP request it just received. Of course, the switches it consults first are the ones that are known to have one or more 'missing' devices on the most recent update scan.

[0127] If a switch returns a match with the MAC address of the BOOTP request: AND; The management entity confirms only a single device was missing from the set of devices monitored at that switch port: AND; The device appears to be similar to the device that was missing: AND; The device has not apparently been assigned an IP address already (for example, it has made multiple BOOTP requests): THEN; The management entity will authorize the substitution of the single 'missing' IP address to the device now requesting. A BOOTP response is sent back (after the second or third BOOTP request, not the first) which the device will interpret in the normal way.

[0128] As a result of this automated field replacement, a single TCP/IP station is performed automatically, without manual configuration, and it is done in less than 15 seconds.

[0129] With respect to deliverable and management, the management entity running in one or more computers should be available 7 days a week x 24 hours a day. The most natural such devices are the managed Ethernet switches themselves. They ordinarily are supplied with uninterruptible power, and are designed to have a very low likelihood of failure. In addition, because the present invention does not rely on a unique database, such as DHCP, there is no issue with two or three devices sharing the responsibility for network supervision.

[0130] The devices in turn would be configured in some convenient way, such as via an embedded Web server, to be advised of their ranges of IP address to monitor and if there are any special distinguishing characteristics of particular parts of the network. In particular, information such as the IP addresses of the switches to be supervised are most conveniently entered in this way, rather than having to be 'discovered' through network probing techniques. Most importantly, the configuration information is entered by personnel who have familiarity and authority to manipulate network addresses.

[0131] An additional embodiment allows direct entry to the management entity of the desired network address of a

new module on a given switch port. This can be used as part of a controlled installation sequence, where the technician inputs the data one entry at a time, in step with powering on the modules. This avoids the need, common today, for the technician to record the MAC address from the module and enter it as part of a configuration sequence. Instead, the technician performs the following steps:

[0132] 1. Select the switch and port to which he wants to attach the module

[0133] 2. Confirm that there is no currently 'missing' module on that port

[0134] 3. Enter the desired IP address as if it were a 'missing' module

[0135] 4. Allow the newly attached module to power up.

[0136] This allows the single module to be assigned, and the technician can go on to the next maintenance task. This is much more convenient than any current technique in the industry.

[0137] The present invention works extremely well in environments where the location of a device can be determined accurately. For example, where a fully switched layer topology is used and there is an RFC 1493 management at the local switch allowing resolution to a single device on a port.

[0138] If, however, more than one device is 'down' on a network segment, and the address cannot be matched unambiguously, it is not safe to transform automatic address substitution in this manner. In such a situation, it is not known which of the multiple devices requires substitution.

[0139] This situation is improved at minimal increase in complexity by allowing the devices to alter one of the fields of the BOOTP request in such a way as to have a different 'signature' based upon device type. For example, all devices from a given manufacturer and family might share a code, but the code varies between, a 16-point discrete output module and a 4 channel analog input. By using this auxiliary information in its BOOTP equivalence table, the management entity is able to reduce the incidence of 'reassignment stall' situations. This technique would require cooperation and standardization in the industry to be effective.

[0140] One of the most obvious uses of the present invention is for devices without operator interfaces, such as industrial I/O modules. However, it can also be used to shorten the installation time for devices that do have such interfaces, but where the IP address that is to be assigned must be tightly controlled by a monitor agent.

[0141] For example, a 'thin client' computer to be used as an operator terminal can be configured to use BOOTP. In the event of failure, a unit could be disconnected and its replacement automatically assigned exactly the same IP address. This is important in two situations common to computers on industrial networks.

[0142] The first situation arises when the IP address is going to be validated by 'firewall' devices, which must be convinced of the legitimacy of the requester by its physical location. Most firewalls can be configured to allow connections to 'pass through' based upon rules involving the IP address or range of IP addresses of initiator and target.

[0143] A second situation arises when a thin client has an active role on the control network, and the act of replacing a device, and auto-assigning its address, allows the device to complete its 'application bootstrap' by being given its application program and operating parameters from some anonymous server. This is particularly valuable in a 'distributed control' environment where a component such as a PLC or gateway has failed, but could also apply to operator panels, robots, and similar devices.

[0144] Although BOOTP protocol is the most commonly used for automatic assignment of IP addresses, DHCP and RARP are obvious alternative protocols to respond to an address assignment request as described herein.

[0145] Similarly, the interrogation messages sent out by the device to confirm the continued presence of the target IP addresses can be either an 'ICMPECHO' (PING) request or simply a repeat of the ARP request used to determine the identity in the first place. For efficiency purposes the interrogation message is restricted to the ARP message, the message is sent out as a unicast message, and sent only to the MAC address which the recipient used.

[0146] As will be realized, the invention is capable of other and different embodiments and its several details are capable of modifications in various obvious respects, all without departing from the essence of the invention.

[0147] The foregoing description of the embodiments of the invention has been presented for the purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise form disclosed. Many modifications and variations are possible in light of this disclosure. It is intended that the scope of the invention be limited not by this detailed description, but rather by the claims appended hereto.

What is claimed is:

1. A method for determining a correct logical address to assign to a target network device on a network, comprising:

maintaining a list of assignments of logical addresses for a plurality of networked devices, said list associating said logical addresses with a network physical address and a canonical location;

receiving a request for said correct logical address from the target network device, wherein said request includes a target network device physical address;

searching the list of assignments for a target network device physical address match, and providing the correct logical address to the target network device upon finding said target network device physical address match;

if no said target network device physical address match is found from said searching, the method further comprising:

interrogating at least one managed network switch on the network for a logical address and a port number associated with said target network device physical address and deriving a canonical location from said logical address and port number; and

searching the list of assignments for a canonical location match and providing said correct logical address upon said canonical location match.

2. The method according to claim 1, wherein said interrogating comprises requesting said at least one managed network switch to provide said logical address and said port number based upon at least one message associated with the target network device physical address.

3. The method according to claim 1, wherein the logical address is an Internet protocol (IP) address and the target network device physical address is a media access control (MAC) address.

4. The method according to claim 1, wherein communications on said network are via a TCP/IP protocol.

5. The method according to claim 1, wherein the request from the target network device conforms to a protocol from the group consisting of: Bootstrap protocol (BOOTP) and Dynamic Host Configuration Protocol (DHCP).

6. The method according to claim 1, wherein the request to the managed switches conforms to the Simple Network Management Protocol (SNMP) protocol.

7. The method according to claim 1, wherein said list is stored on a monitor agent, and wherein said monitor agent comprises a computing device and memory.

8. The method according to claim 1, wherein the target network device is directly coupled to a port of the managed network switch identified by the canonical location.

9. The method according to claim 1, wherein at least one of said plurality of network devices is coupled to a port of one or more unmanaged switches or hubs, and a single logical address for the at least one of said network devices is maintained in the list.

10. The method according to claim 1, wherein at least one of said plurality of network devices is coupled to a port of one or more unmanaged switches or hubs, and at least one logical address for the at least one of said network devices is maintained in the list.

11. The method according to claim 1, wherein said searching the list of assignments for a canonical location match returns more than one logical address, the method further comprises:

determining that there is only one not in service network device among said more than one logical address, and said correct logical address is from said one not in service network device.

12. The method according to claim 11, wherein said determining is accomplished by transmitting a message to each said network device among said more than one logical address, and wherein a response indicates that the device is in service, and the absence of a response indicates that the device is not in service.

13. The method according to claim 1, wherein said interrogating is performed using a communications form selected from the group consisting of: Internet Control Message Protocol (ICMP), Packet Internet Groper (PING) message, multicast ARP REQUEST message, and unicast ARP REQUEST message.

14. The method according to claim 1, further comprising periodically polling said networked devices to determine whether each of the logical addresses is currently in service.

15. The method according to claim 14, wherein said periodic polling is selected from the group consisting of: ICMP PING, multicast ARP request, and unicast ARP request.

16. A method for determining a canonical location for a plurality of networked devices, comprising:

maintaining a list of logical addresses for each of said plurality of networked devices on a monitor agent;

processing a network device physical address for each of said plurality of networked devices;

maintaining a list of said network device physical address for each of said plurality of networked devices on said monitor agent;

receiving a port number on a switching device for each said network device physical address;

maintaining a list of port numbers for each of said plurality of networked devices on said monitor agent;
and

processing said canonical location for each of said plurality of networked devices.

17. A method according to claim 16, wherein said monitor agent comprises a computing means and a memory means.

18. A method according to claim 16, wherein said switching device is a managed switching device and said port number is dedicated to said networked device and is said canonical location.

19. A method according to claim 16, wherein said switching device is an unmanaged switching device and said port number is shared among a plurality of target devices.

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