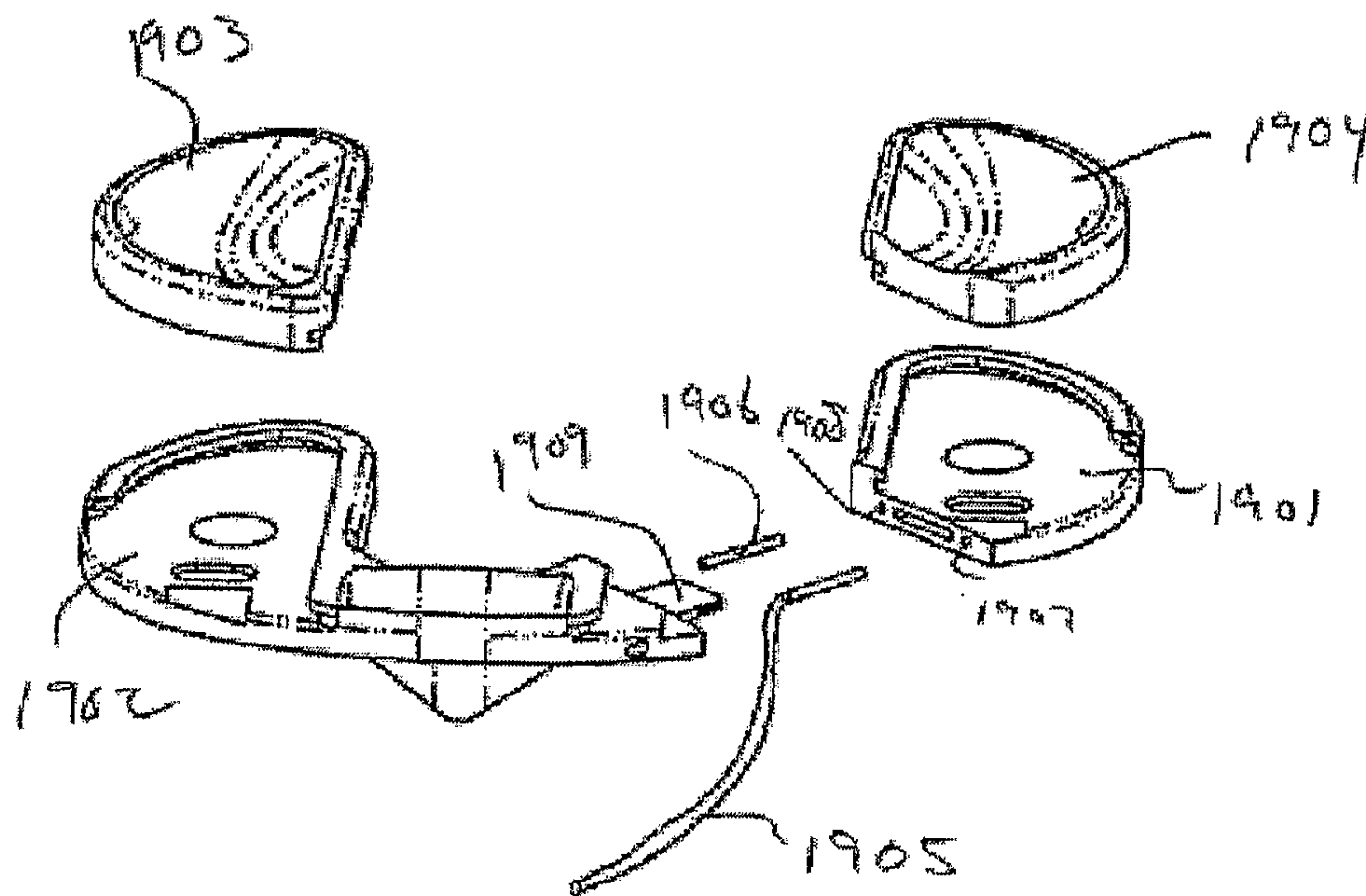




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(54) Titre : DISPOSITIF MODULAIRE ET PROCÉDE POUR SCULPTER LA SURFACE D'UNE ARTICULATION
 (54) Title: MODULAR APPARATUS AND METHOD FOR SCULPTING THE SURFACE OF A JOINT



(57) Abrégé/Abstract:

The present invention provides a modular device for restoring individual joint kinematics using minimally invasive surgical procedures. The modular implants include distinct components that include interconnection means and tethering means. The modular implants provide intraoperative surgical options for articular constraint and facilitate proper alignment and orientation of the joint to restore kinematics as defined by the individual patient anatomy.

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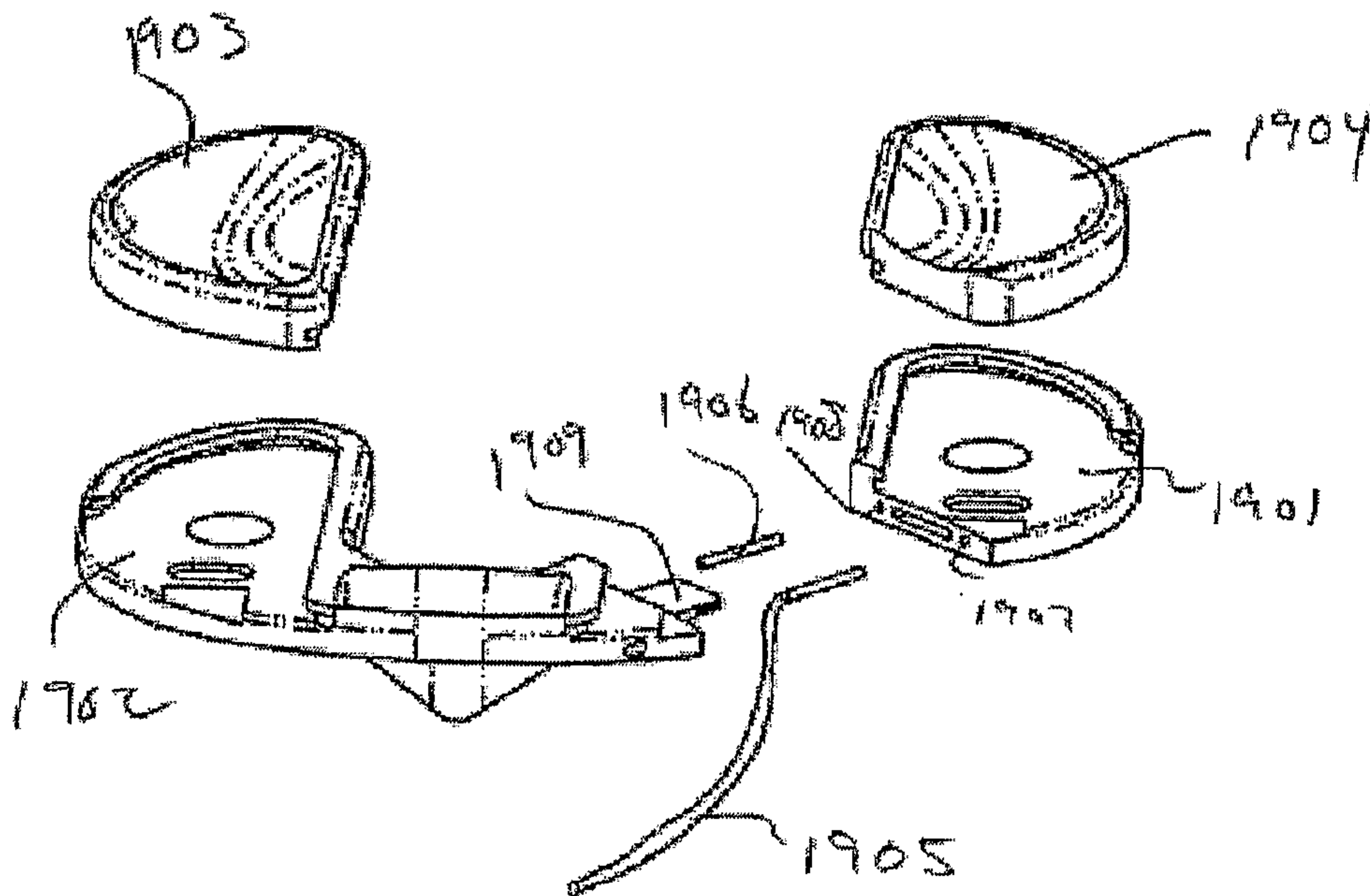
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5 **MODULAR APPARATUS AND METHOD FOR SCULPTING THE**
SURFACE OF A JOINT

Background of the Invention

1. Field of the Invention

10 This invention relates to implants for use in minimally invasive total
knee replacement surgery. More particularly, this invention relates to
modular bearing surfaces and mobile-bearing and fixed-bearing modular
components in arthroplasty of human joints.

2. Description of the Related Art

15 A joint, such as the ankle, knee, hip or shoulder, generally consists
of two or more relatively rigid bony structures that maintain a relationship
with each other. Soft tissue structures spanning the bony structures hold
the bony structures together and aid in defining the motion of one bony
structure to the other. In the knee, for example, the bony structures are
the femur, tibia and patella. Soft tissue structures spanning the knee joint,
20 such as muscles, ligaments, tendons, menisci, and capsule, provide force,
support and stability to facilitate motion of the knee. Muscle and tendon
structures spanning the knee joint, as in other joints of the body provide
dynamics to move the joint in a controlled manner while stabilizing the joint
to function in an orderly fashion. Dynamic stabilization is the result of
25 primary muscle contraction to move the joint in a desired direction
combined with antagonistic muscle contraction to direct resultant joint
loads within favorable orientation limits relative to the bony structures of
the joint. It is believed that proprioceptive feedback provides some of the
control or balance between primary and antagonistic muscle contraction.

30 A smooth and resilient surface consisting of articular cartilage
covers the bony structures. The articular surfaces of the bony structures
work in concert with the soft tissue structures to form a mechanism that

5 defines the envelop of motion between the structures. Within a typical
envelop of motion, the bony structures move in a predetermined pattern
with respect to one another. When fully articulated, the motion defines a
total envelop of motion between the bony structures. In the knee, the soft
tissue structures spanning the joint tend to stabilize from excessive
10 translation in the joint plane defined by the tibiofemoral joint. Such
tibiofemoral stability enables the femur and tibia to slide and rotate on one
another in an orderly fashion.

Current methods of preparing the intra-articular rigid elements of a
joint to receive components as in joint replacement surgery involve an
15 extensive surgical exposure. The surgical exposure, ligament release and
sacrifice of the anterior cruciate ligament must be sufficient to permit the
introduction of guides that are placed on, in, or attach to the joint, along
with cutting blocks to guide the use of saws, burrs and other milling
devices, and other instruments for cutting or removing cartilage and bone
20 that subsequently is replaced with artificial surfaces. For knee joint
replacement, the distal end of the femur may be sculpted to have flat
anterior and posterior surfaces generally parallel to the length of the femur,
a flat end surface normal to the anterior and posterior surfaces, and
angled flat surfaces joining the above mentioned surfaces, all for the
25 purpose of receiving a prosthetic device. In general these are referred to
as the anterior, posterior, and distal and chamfer cuts, respectively. In
current total knee arthroplasty proper knee alignment is attained by
preoperative planning and x-ray templating. Anterior-posterior (A/P) and
lateral x-ray views are taken of the knee in full extension. The mechanical
30 axis of the tibia and of the femur is marked on the A/P x-ray. The angle
between these lines is the angle of varus/valgus deformity to be corrected.
In the A/P view, the angle of the distal femoral resection relative to the
femoral mechanical axis, hence the angle of the femoral implant, is
predetermined per the surgical technique for a given implant system.

5 Similarly, the angle of the tibial resection relative to the tibial mechanical axis, hence the angle of the tibial implant, is predetermined per the surgical technique for a given implant system. The femoral resection guides are aligned on the femur to position the distal femoral resection relative to the femoral mechanical axis and the tibial resection guides are
10 aligned on the tibia to position the proximal tibial resection relative to the tibial mechanical axis. If the cuts are made accurately, the femoral mechanical axis and the tibial mechanical axis will align in the A/P view. This approach addresses knee alignment at full extension only. Knee alignment at 90° of flexion is generally left to surgeon judgment and knee
15 alignment throughout the range of motion has not been addressed in the past. In aligning the knee at 90° the surgeon rotates the femoral component about the femoral mechanical axis to a position believed to provide proper tensioning of the ligaments spanning the knee.

Knee joint prosthesis of the type referred to above are well known,
20 and are described, for example, in Caspari et. al., U.S. patents 5,171,244, 5,171,276 and 5,336,266, Brown, U.S. patent 4,892,547, Burstein et al., U.S. patent 4,298,992, and Insall et. al., U.S. patent 6,068,658.

Substantial effort has been made to provide appropriate degrees of curvature to the condyles in knee joint replacement. For example, the
25 earlier mentioned U.S. patents 5,171,276, 4,298,992 and 6,068,658 show that the radius of curvature in the anterior-posterior direction of the condyle of a femoral prosthesis may be somewhat greater near the anterior portion of the condyle than near the posterior portion. Kester et al., U.S. Patent 5,824,100 teaches that a portion of this curvature of the condyle may be
30 formed about a constant radius having its origin along a line between the lateral and medial collateral ligament attachment points on the femur.

Historically, a variety of modular prosthetic joint implants have been developed. The following descriptions of modular implants relate

5 specifically to the knee. Early designs for knee implants, called polycentric
knee implants, were developed with separate components for the femoral
and tibial surfaces of the medial and lateral tibiofemoral compartments. In
this implant the patellofemoral compartment was not resurfaced.
Orientating the separate components one to another, for example aligning
10 the medial and lateral femoral components to one another, or the medial
and lateral tibial components to one another, was not addressed in these
designs and often left for the surgeon to make free hand resections
resulting in a surgically challenging procedure. Designs emerged, such as
the UCI and Gustilo knees in which the femoral condylar components were
15 connected into an integral, unitary component as were the tibial
components. The next advancement in total knee implant design was to
include the patellofemoral joint by making an integral, unitary femoral
component to resurface the medial and lateral femoral condyles and the
patellar groove. Implants to resurface the patella were developed in
20 conjunction with the tri-compartmental femoral components. Additionally,
modular fixed-bearing knee implants, generally referred to as semi-
constrained, having a polyethylene insert that is held relatively rigidly in
place have been developed. Translation and axial rotation between the
tibia and femur that occurs naturally with knee motion is accommodated in
25 these designs by non-conforming tibiofemoral contact for the medial and
lateral condyles. Such designs tend to have higher contact pressure
which may accelerate wear and degradation of the polyethylene bearing
surface. Alternately, there are mobile bearing knee implants wherein the
polyethylene bearing is designed to slide or move with minimal or no
30 constraint on a tibial baseplate. These mobile bearing designs have high
conformity between the polyethylene insert and femoral condyle and the
polyethylene insert and tibial baseplate resulting in lower contact stresses
and a more durable design. Furthermore, both meniscal bearing and fixed
bearing knee implants have been developed including either separate

5 polyethylene bearings or a single polyethylene bearing that resides on a
metallic tibial baseplate. While implant systems have been developed with
fixed bearing elements or mobile bearing elements on the medial and
lateral sides of the tibiofemoral joint, systems have not been developed
having a combination of a fixed bearing on one side and a mobile bearing
10 on the other side of the tibiofemoral joint.

Two primary difficulties exist with current joint replacement
surgeries. These relate to the invasiveness of the procedure and
achieving proper alignment and kinematics of the bony structures and the
prostheses thereupon. Such difficulties are present in all total joint
15 replacements, including ankle, knee, hip, shoulder and spine.

Alignment. A difficulty with implanting both modular and non-
modular knee implants having either separate femoral and/or tibial
components has been achieving a correct relationship between the
components. Surgical instruments available to date have not provided
20 trouble free use in implanting multi-part implants wherein the distal femur,
proximal tibia and posterior patella are prepared for precise component-to-
component orientation. While alignment guides aid in accurate orientation
of opposing components relative to the axis of the long bones to achieve a
restoration of a correct tibiofemoral varus/valgus alignment (usually 4-7
25 degrees valgus), they provide limited positioning or guidance relevant to
correct subcomponent-to-subcomponent alignment in placing a plurality of
components to form the articular surface of a femoral component or a tibial
component and/or ligament tension to restore alignment and soft tissue
balance. For the patellofemoral joint, proper tibiofemoral alignment is
30 required to re-establish proper tracking of the patella as created by the
lateral pull of the quadriceps mechanism, the articular surface of the
femoral patellar groove and maintaining the tibiofemoral joint line.

5 While surgical instruments available to date aid in accurate
varus/valgus alignment, they provide limited positioning or guidance
relevant to correct flexion/extension orientation of the femoral, posterior
slope of tibial components, nor of external rotation of the femoral
component. For optimum knee kinematics, femoral component
10 flexion/extension and external rotation orientation, tibial component
posterior slope and ligaments spanning the joint work in concert
maintaining soft tissue balance throughout the knee's range of motion.

In a properly aligned knee, the mechanical axis of the leg (a straight
line drawn from the center of the hip joint to the center of the ankle)
15 passes slightly medial to the center of the knee. This alignment is
generally called the gross alignment of the leg. The alignment of the
implants impacts the gross alignment of the leg. If the implants are
malaligned, the resulting mechanical axis may be shifted medially or
laterally, resulting in an imbalance in the loads carried by the medial or
20 lateral condyles. This imbalance, if severe, may lead to early failure of the
arthroplasty.

In the case of a plurality of sub-components resurfacing the distal
femur or proximal tibia, the orientation of the sub-components to each
other, for example the orientation of the medial femoral condylar sub-
25 component to the femoral trochlear sub-component and or the lateral
femoral condylar sub-component; orientation of the medial tibial
component to a separate lateral tibial component; and orientation of the
femoral component to its corresponding tibial component, with free
standing uni-compartmental, bi-compartmental and tri-compartmental
30 implants has largely not been addressed. This may account for the high
failure rates in the surgical application of free standing compartmental
replacements, used individually or in combination, and as well as for the
higher failure rate of uni-compartmental implants relative to total knee
implants as demonstrated in some clinical studies. When considering uni-

5 compartmental and bi-compartmental designs, alignment of each part relative to the other parts is critical to avoid accelerated wear with a mal-articulation of the components.

Although various prosthetic devices have been successfully used with patients, the configuration and position of the articulating surfaces of
10 the prosthesis, for example the condyles in a knee joint, are predetermined based upon the prosthesis that is selected. With a give knee implant system the implants are available in discrete sizes and the relationship, for example the ratio between medial-lateral width and anterior-posterior depth, vary between implant systems. While efforts are made to tailor the
15 prosthesis to the needs of each patient by suitable prosthesis choice and size, this in fact is problematical inasmuch as the joint physiology of patients can vary substantially from one patient to another.

Invasiveness. In order to appropriately sculpt the articulating surface of a bone, it is often necessary to surgically expose the joint. In
20 the case of the femur in traditional knee joint replacement, the patellar tendon of the knee joint is surgically exposed and is moved to one side of the joint and the patella everted to enable a substantially full anterior access to the joint. In general, the anterior cruciate ligament is excised to increase access to the joint space. Surgical exposure is necessary to
25 accommodate the bulk and geometry of the components as well as the instruments for bone preparation. Such surgical exposure and ligament release or excision increases bleeding, pain, muscle inhibition and adverse kinematics; all of which contribute to a longer hospitalization before the patient can be safely discharged to home or an intermediate
30 care facility.

Desirably, in the case of knee replacement surgery, neither the collateral ligaments nor the cruciate ligaments are disturbed, although it is often necessary to remove or release cruciate ligaments in the event a

5 substantial joint replacement is to be performed. Collateral ligaments can
be partially taken down or released to provide appropriate tension
adjustment to the patient's knee in concert with joint replacement surgery.
In most instances, such releases can be accomplished through smaller
incisions than the standard midline or medial parapatellar incisions
10 historically used for knee arthroplasty.

For patients who require articular surface replacement, including
patients whose joints are not so damaged or diseased as to require whole
joint replacement, the implant systems available for the knee have unitary
tri-compartmental femoral components, unitary tibial components, unitary
15 patellar components and instrumentation that require extensive surgical
exposure to perform the procedure. It would be desirable to provide
surgical methods and apparatuses that may be employed to gain surgical
access to articulating joint surfaces, to appropriately prepare the bony
structures, to provide artificial, e.g., metal, plastic, ceramic, or other
20 suitable material for an articular bearing surface, and to close the surgical
site, all without substantial damage or trauma to associated muscles,
ligaments or tendons, and without extensive distraction of the joint. To
attain this goal, implants and instruments are required to provide a system
and method to enable articulating surfaces of the joints to be appropriately
25 sculpted using minimally invasive apparatuses and procedures and to
replace the articular surfaces with implants suitable for insertion through
small incisions, assembly within the confines of the joint cavity and
conforming to prepared bone support surfaces.

Summary of the Invention

30 The present invention provides a system and method for total joint
replacement that is to resurface each bony surface of the joint or motion
segment that involves minimally invasive surgical procedures including an

5 implant system that restores individual patient's joint kinematics. As used herein, the following terms have the following definitions.

Minimally invasive or less invasive – for the purposes of the present invention an incision for conventional total knee arthroplasty is defined as being generally greater than 6 inches in length. An incision for minimally
10 and less invasive knee arthroplasty is defined as being generally less than 6 inches in length.

Engage – For the purposes of the present invention engage pertains to 1) engagement of sub-components of an implant to form the implant, and 2) engagement of implant components of a joint arthroplasty.
15 In both cases engage means to cause mechanical parts (i.e. sub-components of a femoral component for example, or a set of components to include femoral, tibial and patellar components for example) to come together, to mesh to one another, to interlock with one another, or to come into working contact with one another. Such contact between adjoining
20 parts limiting at least one degree of freedom between the parts.

Joining – For the purposes of the present invention joining pertains to joining of sub-components of an implant to form the implant and means to cause mechanical parts (i.e. sub-components of a femoral component for example) to be interlocked together so as to form a unit.

25 Orienting – For the purposes of the present invention orientating pertains to 1) orientating sub-components of an implant to one another, and 2) orientating implant components of a joint arthroplasty to one another. In both cases orientating means to bring the parts into working relationship to one another so that the assembly of parts functions as
30 intended.

Aligning – For the purposes of the present invention aligning pertains to 1) alignment of sub-components of an implant to supporting bone, and 2) alignment of implant components of a joint arthroplasty to

5 supporting bone. In both cases aligning means to bring the parts into correct relative position with respect to the supporting bone so that the arthroplasty functions as intended.

Implant component and sub-component – For the purposes of the present invention an implant component refers to the parts that make up
10 the arthroplasty, for example femoral, tibial and patellar components make up a total knee arthroplasty. Sub-component refers to the parts that make up the implant component. Each component may be unitary in construction, or may include a plurality of sub-components.

For the purposes of describing the invention, arthroplasty includes
15 total and partial joint replacement (i.e. hip, knee, shoulder, ankle, finger joints, etc.) and total and partial spinal disc and facet replacement. Such arthroplasty systems include components such as femoral, tibial and bearing insert components for a knee arthroplasty; stem, head, bearing insert and shell components for a hip arthroplasty; and vertebral endplate
20 and bearing insert for spinal arthroplasty.

The instruments and implants disclosed accomplish accurate bone and soft tissue preparation, restoration of anatomical alignment, soft tissue balance, kinematics, component to component orientation and alignment, sub-component to sub-component orientation and alignment, and implant
25 fixation through limited surgical exposure. For knee joint replacement, the implant system is comprised of implants that provide intraoperative surgical options for articular constraint and facilitate proper alignment and orientation of the knee to restore anatomical alignment, soft tissue balance and kinematics as defined by individual patient anatomy. To do so, the
30 implants provide the surgeon intraoperative options to reconstruct various degrees of joint stability via selection of fixed or mobile bearing components for each compartment of the knee (medial tibiofemoral, lateral tibiofemoral and patellofemoral compartments). The range of implants

5 may be applied to one, two or three of the knee joint compartments in a given procedure and may include combinations of fixed and mobile bearing configurations.

In conventional total knee replacements, the femoral component is typically a unitary piece and the tibial component is a unitary piece. A bearing is placed between the femoral and tibial components, typically a unitary piece that may be fastened to the tibial component or sliding on the tibial component. In the present invention, the femoral side may be resurfaced by two, three or more distinct sub-components and the tibial side may be resurfaced by two distinct sub-components or a unitary piece. The modular femoral component comprised of two or three distinct sub-components is sized to be placed through a minimally invasive incision into the joint space one piece at a time and assembled therein during the surgical procedure. Likewise, the modular tibial component comprised of one or two polyethylene bearings and a baseplate component comprised of two or three distinct sub-components each of which is sized to be placed through a minimally invasive incision into the joint space one piece at a time.

In an alternate embodiment distinct femoral sub-components and tibial sub-components may be interconnected with flexible interconnection means such as one or more spring elements, wires, flanges or hinges to enable bending the construct to facilitate passage through a small incision when the sub-components are joined outside the joint space (i.e. when preassembled). Alternatively, the sub-components can be inserted through the incision individually and the flexible interconnection means used to join the subcomponents within the joint space. After the sub-components have been joined with the flexible interconnection means and after placement in the joint cavity, the flexible interconnection means also assist in repositioning the components onto the kinematically prepared bone surfaces. Flexible interconnection means may be made from a

5 suitable alloy to include, but are not limited to, NP35N or Nitinol, or polymers to include, but limited to, polyethylene or Gore-Tex.

Alternatively, the multi-piece tibial component may have a stem that can be placed individually into the joint space and designed to pass down the tibial medullary canal and attach to the baseplate or baseplate sub-
10 components within the confines of the joint space. Likewise, the modular femoral component may have a stem that can be placed individually into the joint space and designed to pass down the femoral medullary canal and attach to the femoral sub-components.

The femoral sub-components are accurately orientated to one
15 another after placement in the joint cavity before or after interconnecting the individual sub-components with the flexible interconnecting means. Likewise, the tibial sub-components are accurately orientated to one another in the same manner. In both cases, the size of each component or sub-component passed into the joint is significantly reduced compared
20 to conventional components enabling completion of the procedure through a smaller and less traumatic exposure. The sub-components may be aligned and or joined one to another within the confines of the joint, such pieces being properly orientated, but not joined within the joint cavity. Alternatively, the independent femoral sub-components may be properly
25 orientated and joined within the joint cavity. Likewise, the tibial component may be distinct pieces to cover the medial and lateral tibial plateaus, such pieces being properly orientated, but not joined within the joint cavity. Alternatively, the distinct tibial pieces may be properly orientated and joined within the joint cavity. The patellar component is generally of a size,
30 i.e. from X to Y, that can be placed through minimally invasive incisions as a unitary bearing, fixed bearing or mobile bearing component. In one aspect of the present invention, the articular surface of the patellar component may comprise independent, distinct pieces for the lateral facet and medial facets which are properly orientated, but not joined within the

5 joint cavity. In yet another aspect of the present invention, the independent patellar pieces may be properly orientated and joined within the joint cavity. In still another aspect of the present invention, the femoral component may be flexible or include flexible sub-components.

10 Proper alignment and positioning of the implant components and sub-components are enabled by instruments guided by the soft tissue structures of the knee to guide bone resections for patient-specific anatomical alignment and component orientation. The medial and lateral tibial articular surfaces and the patellar articular surface are generally prepared with planar resections. The medial and lateral femoral condyles
15 and trochlea are kinematically prepared. Such instrumentation is referred to as Tissue Guided Surgery (TGS) and is described in U.S. Patent No. 6,723,102.

Femoral, tibial and patellar bone resections attained with TGS instrumentation are properly positioned and orientated for anatomic knee
20 alignment, soft tissue balance and kinematic function throughout knee range of motion. Using these bone support surfaces to position and orientate the femoral, tibial and patellar components, respectively, will maintain anatomic knee alignment, soft tissue balance and kinematic function. In general, the tibial and patellar resections are planar making
25 placement of the corresponding implant components, which have planar support surfaces, straight forward. The femoral resection is not planar and the relative position of the lateral condyle, the medial condyle and the trochlear resections to one another is a function the kinematics of a given patient. Therefore, the femoral implant must accommodate this variability,
30 as described herein.

Given that the soft tissue structures spanning the knee are used to guide the TGS instrumentation it is beneficial for such tissues to be minimally disrupted by the surgical technique and to avoid dislocation or

5 eversion of the patella. The minimally invasive surgical incision or
incisions used to access the knee joint must be of a size and orientation
relative to the soft tissue structures that minimizes alteration of knee
kinematics. The femoral, tibial and patellar implants must be designed to
pass through such minimally invasive incisions. Conventional femoral and
10 tibial implants for total knee arthroplasty are sized so large that insertion
through a minimally invasive incision is not feasible. In addition, the shape
of conventional femoral components does not permit placement of the
component over the resected distal femur with the majority of soft tissues
intact or without dislocation or eversion of the patella. Further, the confines
15 of the joint cavity do not provide sufficient space to align conventional
femoral components distal to the anterior and posterior femoral resections
and then slide the component over those resections. Therefore, the
femoral, tibial and patellar components must be sized to be passed
through a small incision and to be placed onto or over the respective bone
20 support surfaces. For the femoral component, one embodiment is a
component made up of a plurality of sub-components to resurface the
medial condyle, the lateral condyle and the trochlea of distal femur. Such
sub-components are of a size that can be passed through a small incision
and be assembled, that is joined or engaged, within the confines of the
25 joint cavity.

Femoral sub-components conform to the shape of the kinematically
prepare condyles and trochlea. The interfaces between femoral sub-
components are partially constrained. These interfaces are unconstrained
in angulation generally in a sagittal plane to allow the sub-components to
30 conform to the trochlear and condylar resections. These interfaces are
constrained in angulation generally in a transverse plane, in orthogonal
and axial translation and in axial rotation to provide a smooth transition
from one sub-component to an adjacent sub-component. A smooth
transition provides uniform support for the mating tibial or patellar

5 component. Alternatively, the interfaces between the femoral sub-
components are unconstrained in angulation and constrained in other
degrees of freedom to allow the femoral component to conform to the
resected femoral condyles and to vary the anteroposterior divergence of
the condyle sub-components with a similar divergence in tibial sub-
10 components. Alternatively, the interfaces between the femoral sub-
components are fully constrained. Likewise, tibial sub-components are
properly aligned with one another to ensure proper tracking of the femoral,
tibial and patellar components.

In addition to preparing the bone for patient-specific alignment and
15 orientation of the implant components, the present invention provides
further component orientation by joining the femoral sub-components
together and joining the tibial sub-components together. The femoral sub-
components may be temporarily or permanently joined after being placed
into the joint space. Likewise, the tibial sub-components may be
20 temporarily or permanently joined after being placed into the joint space.
When the sub-components are to be temporarily joined within the joint
space one or more brackets are interposed between the sub-components
and secured to each sub-component. The brackets hold the sub-
components in proper alignment to each other while the component is
25 secured to bone by mechanical means such as bone screws, spikes,
hooks, etc., or bone cement, or other bonding material. The bracket or
brackets are removed after the components are secured to the supporting
bone.

In the case of knee replacement surgery, the implants include a
30 second bone baseplate, a bearing insert and a first bone implant. The
second bone baseplate may be either one piece to cover most of the
prepared surface of the second bone as relates to the joint, or separate
baseplates as have been used with mobile or fixed bearing prosthetic
components. In both one piece and two piece baseplates the bearing

5 insert may be one piece supported by a one piece baseplate or by each
component of a two piece baseplate. Alternately, the bearing insert may
be two piece separate inserts supported by a one piece baseplate or
individually by each sub-component of a two piece baseplate. In addition,
10 the second bone baseplate may accommodate separate fixed and mobile
bearing inserts used in medial and lateral combinations of fixed-fixed,
mobile-fixed, fixed-mobile and mobile-mobile bearing inserts, respectively.
In the case of separate baseplates that are joined together, such joining is
through a partially constrained interface. In one aspect of the present
15 invention, the sub-components are joined together through a fully
constrained interface. Such joined sub-components being assembled
within the confines of the joint cavity then secured to supporting bone. In
another aspect of the present invention, such joined sub-components
being secured to supporting bone then assembled within the confines of
the joint cavity. In yet another aspect of the present invention, such joined
20 sub-components are assembled and passed through a small incision into
the joint cavity, then positioned and secured on the second bone. In all
cases, the tibial implants are designed to be passed through a small or
minimally invasive incision or through multiple minimally invasive incisions.

As with the second bone implant, the first bone implant is
25 comprised of a plurality of sub-components to replace the bearing surface
of the first bone, such sub-components being joined together within the
confines of the joint cavity. In the case where the first bone is the distal
femur the sub-components include medial and lateral condylar sub-
components and a trochlear sub-component. The interfaces between the
30 sub-components can be partially constrained, fully constrained, or a
combination thereof. Each sub-component is individually passed through
the small incision into the joint cavity. The sub-components are
assembled within the confines of the joint cavity and then secured to the

5 femur. Alternatively, the sub-components are individually secured to the femur and then assembled.

It may be advantageous to partially assemble the femoral implant outside the joint cavity, for example passing the medial condylar sub-component into the joint cavity then assembling the lateral condylar sub-
10 component to the trochlear sub-component and passing the assembly into the joint cavity to engage the medial condylar sub-component. The trochlear to medial condylar sub-component interface is then assembled and the femoral component secured to the femur. Alternatively, the medial condylar sub-component is placed into the joint cavity and secured to the
15 femur, and then the trochlear and lateral condylar sub-components are assembled and passed into the joint cavity to engage the medial condylar sub-component and secured to the femur. Alternatively, the medial and lateral condylar sub-components are individually passed into the joint cavity and held in position with a bracket connected to both sub-
20 components. The medial and lateral condylar sub-components are secured to the femur, the bracket is removed and the trochlear sub-component is passed into the joint cavity to engage the medial and lateral sub-components, assembled and secured to the femur.

In the case of separate baseplates that are not joined together, it is
25 beneficial to have a bracket that attaches to the pieces to hold the pieces properly orientated one to another while they are secured to the supporting bone. Means to attach the bracket to the pieces include threaded fasteners, clamping devices, tether cable or wire attachments, or a combination of these, or other fastening means used to connect two or
30 more parts.

The first bone implant is comprised of a plurality of sub-components to replace the bearing surface of the first bone. In the case where the sub-components the femoral component are properly orientated and joined

5 within the joint, fastening means used to join the distinct pieces together
include threaded fasteners, cylindrical pins, conical taper locks, square or
rectangular taper locks, tether cable or wire locks, a combination of the
foregoing, or any such other fastening means that can be used to connect
two or more parts. In the case where the pieces are not joined together, it
10 is beneficial to have a bracket that attaches to the pieces to hold the
pieces properly orientated one to another while they are secured to the
supporting bone. Means to attach the bracket to the pieces includes
threaded fasteners, clamping devices, tether cable or wire attachments, or
a combination of these, or other fastening means used to connect two or
15 more parts. Optionally, a portion of the first bone implant may be
configured of a plurality of flexible segments bonded in place. Such a
configuration permits the articulation of the second bone to the first bone
to mould the flexible segments in appropriate position. Alternatively, the
femoral sub-components as previously described may be configured as
20 flexible sub-components to be joined within the confines of the joint cavity
and secured to the femur. Alternatively, the femoral component may be of
unitary construction in which the component is flexible. In all cases, the
femoral implant is designed to be passed through a small or minimally
invasive incision into the joint cavity.

25 The use of tethering means is advantageous for guiding
subsequent sub-components into the joint cavity and onto a mating sub-
component. Tethering means include wires, cables, lines, filaments,
sutures, braids, tape, threads, strands, cords and other such devices so
long as it provides sufficient strength and flexibility to support the
30 components and the passage of the components into the joint space, and
aid in aligning and positioning the sub-components into the joint space. In
one embodiment of the present invention an implant or surgical instrument
includes tethering means to facilitate the passage of the implants and or
instruments through a surgical incision to a desired location and position

5 within the body. The tethering means includes an attachment end and a receiving end and includes a body portion running along the longitudinal axis of the tethering means between the respective ends. The attachment end may be attached to soft tissue and or bony structures within the body. Preferably, the attachment end is attached to an implant or instrument and
10 said implant or instrument attached to soft tissue and or bony structures within the body. Alternatively, the implant or instrument may be placed within the body. Optionally, the tethering means may be temporally or permanently attached to said implant or instrument. The attachment end of the tethering means is passed through the minimally invasive incision
15 with the longitudinal body portion and the receiving end remaining outside the body. The receiving end is designed to receive subsequent implants or instruments that are placed over the tethering means and advanced through the incision. In operation, a first implant component or sub-component is placed within the confines of the joint cavity as disclosed
20 herein and the attachment end of the tethering means is attached thereto. A second implant component or sub-component is threaded over the receiving end of the tethering means and is guided along the body portion through the minimally invasive incision and into the joint space in proximity to the first implant component or sub-component. The tethering means
25 may have a circular, oval, square, or rectangular cross section or any other suitable cross section over which a second implant may be passed. Tethering means with a circular cross section is desirable if the second implant is not required to be rotationally orientated to the first implant. Tethering means with a circular cross section or a second implant with a
30 clearance hole large enough to allow rotation around the tether allows rotation of the second implant to ease or facilitate passage over the tethering means and or through openings through which the tethering means has been passed. Tethering means with a non-circular cross section in combination with a second implant with a matching through hole

5 is desirable to control rotational orientation of said second implant to said first implant allowing for joining of unique engagement features at the interface between second and first implants without visualization or other means to guide said second implant towards said first implant and to engage, join and or assemble said second implant with said first implant.

10 In addition, the tethering means may be part of a locking means to secure said second implant to said first implant, or the tethering means may be removed after the second implant has been guided into position. The tethering means may be made of metal, polymer, plastic or other suitable material and may be pre-attached to the first implant during manufacture,

15 or it may be attached to the first implant at the time of surgery. One or more tethering means may be used to guide said second implant towards said first implant allowing for a plurality of implants to be guided towards the preceding implant. Likewise, two or more implants may be guided towards one implant over two or more tethering means. Conversely, one

20 implant may be guided towards two or more implants over two or more tethering means. The first, second or multiple implants described above may be sub-components of an orthopaedic or spinal implant for joint arthroplasty, spinal surgery or trauma fixation.

The placing, guiding and securing of three sub-components of a

25 modular femoral component with tethering means in accordance with the present invention will now be described. A tethering means is attached to the medial condylar sub-component and a second tethering means is attached to the lateral condylar sub-compartment. Each condylar sub-component is individually passed through the small or minimally invasive

30 incision, positioned and secured to the femur; leaving its respective tethering means extending out of the incision. Each tethering means is passed through its corresponding through hole in the trochlear sub-component and the trochlear sub-component is advanced over the tethering means which guide the trochlear sub-component through the

5 minimally invasive incision and into the joint cavity. The tethering means
further guide the trochlear sub-component to join with the medial and
lateral condylar sub-components. A tensioner is attached to each
tethering means and applies a compressive force to the trochlear sub-
component thereby joining or engaging the femoral sub-components.
10 Each tethering means is then secured to the trochlear sub-component and
excess tether trimmed. This aspect of the present invention enables the
placement of implant sub-components into the joint cavity through a small
or minimally invasive incision, joining of such sub-components within the
confines of the joint cavity, assembly of such sub-components within the
15 confines of the joint cavity and securing such sub-components to one
another.

The implant and tethering means combination for placing sub-
components is applicable to the femoral, tibial, patellar and bearing insert
components of a knee implant. In addition, this embodiment of the present
20 invention is applicable to other joint implants, including but not limited to
hip, shoulder, fingers and ankle; to spinal implants including but not limited
to spinal disc replacement, facet replacement and spinal fusion; and to
orthopaedic trauma products to include but not limited to fracture fixation
systems.

25 Optionally, the tibial component is designed for use with a tibial
stem for anchorage in the tibial canal. Current modular tibial components
that include a baseplate and stem are designed for assembly outside the
joint cavity. The available space within the knee joint cavity when
accessed through a small or minimally invasive incision is not adequate to
30 place an assembled baseplate and stem. In addition the interface
between the baseplate and stem generally used in current modular tibial
components requires more room than is available in the joint cavity when
accessed through a small or minimally invasive incision. In the present
invention the interface between tibial baseplate and tibial stem allows

5 placement of the stem through a small or minimally invasive incision and
into the tibial canal followed by placement of the baseplate. The stem and
baseplate are joined within the confines of the joint cavity. The interface
between the baseplate and stem allows the baseplate to be placed over
the proximal aspect of the stem, slide into engagement and lock to the
10 stem. Optionally, the interface between the baseplate and stem allows the
baseplate to be passed through a small or minimally invasive incision and
onto the resected tibial followed by placement of the stem into the joint
cavity and through a receiving feature on the baseplate then into the tibial
canal. The stem and baseplate are joined within the confines of the joint
15 cavity. The interface between the baseplate and stem allows the stem to
pass through the baseplate, slide into engagement and lock to the
baseplate. Alternatively, the various embodiments of the tibial component
described herein can be adapted for use with the modular tibial stem in
both the stem first and baseplate first embodiments of the baseplate and
20 stem configuration. In addition, the femoral component embodiments
described herein can be configured for use with a femoral stem in a
manner similar to that of the tibial baseplate and tibial stem construct.
Likewise, the femoral components described herein can be adapted for
use with a modular femoral stem in both stem first and femoral component
25 first embodiments.

Specifically, for example in knee joint replacement, the invention
may be used for replacing the surfaces of a femur, a tibia, a patella, or a
combination of these. Thus, a femoral implant having a plurality of sub-
components, a tibial baseplate having a plurality of sub-components and a
30 patellar component having a plurality of sub-components are provided.
The tibial baseplate components and the patellar components may have
fixed bearing attachments as well as mobile bearing attachments.
Optionally, each component of the tibial baseplate or patellar may have a
fixed bearing attachment as well as a mobile bearing attachment.

5 Alternatively, the tibial component and the bearing attachment may be of unitary construction and the patellar component and bearing attachment may be of unitary construction. Optionally, the femoral and tibial components of the invention may be used with modular femoral and tibial stems, respectively.

10 Brief Description of the Drawings

Figure 1 is a plane view of a knee joint.

Figure 2 illustrates a traditional midline incision for accessing the knee joint during total knee replacement surgery.

15 Figure 3 depicts an incision for accessing the knee joint during total knee replacement surgery that may be used with the method and apparatus of the present invention.

Figure 4 illustrates alternate incisions for accessing the knee joint during total knee replacement surgery that may be used with the method and apparatus of the present invention.

20 Figure 5 is a plane view of femoral resections made in accordance with an embodiment of the present invention.

Figure 6 is a plane view of femoral resections made in accordance with an alternate embodiment of the present invention containing femoral implants.

25 Figure 7 is a plane view of femoral resections made in accordance with a yet another embodiment of the present invention containing femoral implants.

Figure 8 are plane views of alternate embodiments of tibial baseplates in accordance with an embodiment of the present invention.

5 Figure 9 is a plane view of femoral implants for resurfacing the femoral resections of Figure 6 according to an embodiment of the present invention.

 Figure 10 is a plane view of femoral implants for resurfacing the femoral resections of Figure 7 according to an embodiment of the present
10 invention.

 Figure 11 is a plane view of a femoral implant in accordance with an embodiment of the present invention.

 Figure 12 is a plane view of a tibial implant with unitary baseplate according to an embodiment of the present invention.

15 Figure 13 is an orthogonal view of a tibial implant with a two piece joined baseplate according to an embodiment of the present invention.

 Figure 14 is a plane view of a tibial component with sub-components connected with a flexible element according to an embodiment of the present invention.

20 Figure 15 is an exploded view of a tibial component with sub-components connected with a flexible element according to an embodiment of the present invention.

 Figure 16 is an orthogonal view of a femoral component with a condylar sub-component guided and attached with a tether according to
25 an embodiment of the present invention.

 Figure 17 is an orthogonal view of a femoral component with two sub-components connected with a flexible elements according to an embodiment of the present invention.

5 Figure 18 is an exploded view of Figure 17 according to an embodiment of the present invention.

 Figure 19 is an exploded view of a tibial component with a two piece baseplate attached with a tether according to an embodiment of the present invention.

10 Figure 20 illustrates an instrument for placing and aligning a two piece tibial baseplate that is not joined according to an embodiment of the present invention.

 Figure 21 illustrates femoral, tibial and patellar implants according to an embodiment of the present invention.

15 Figure 22 illustrates femoral, tibial and patellar implants according to another embodiment of the present invention.

Detailed Description

Knee Joint Anatomy and Surgical Approaches. Figure 1 illustrates the general anatomy of the knee joint. The femur 10 has the lateral femoral condyle 12 and the medial femoral condyle 14 on its knee-joint articulating surface. The tibia 16 has the lateral meniscus 22 (generally opposite the lateral femoral condyle 12) and the medial meniscus 20 (generally opposite the medial femoral condyle 14) on its knee-joint articulating surface. The ligaments include the anterior cruciate ligament 24, the posterior cruciate ligament 28, the medial collateral ligament 26 and the lateral collateral ligament 27. The medial tibial condyle 30 and the lateral tibial condyle 32 support the menisci 20 and 22, which in turn support the femur 10. Additionally, the fibula 34 engages the tibia 16.

30 Typically, a total knee joint replacement involves replacing the articular surfaces of the lateral femoral condyle 12, the medial femoral

5 condyle 14, the medial tibial condyle 30 and the lateral tibial condyle 32.
The lateral meniscus 22 and the medial meniscus 20 are removed.
Desirably, neither the collateral ligaments 26 and 27 nor the cruciate
ligaments 24 and 28 are disturbed. However, the collateral ligaments 26
and 27 may be partially taken down to provide appropriate tension
10 adjustments to the patient's knee after joint replacement has been
completed. Such structures are contained within the intact knee joint
cavity which is formed by the knee synovial bursa (not shown).

Referring to Figure 2, the conventional midline incision 40 for a total
knee replacement surgery is shown. The incision 40 extends vertically
15 substantially above and below the articulating surface between the femur
and the tibia. Typically, the incision is roughly 8 to 15 centimeters in
length. The incision 40 must be large enough to expose the entire knee
joint articular surfaces with the patella subluxed or dislocated.
Additionally, the incision must accommodate insertion of components that
20 fully cover the end of the femur, the top of the tibia and the undersurface of
the patella. The maximum number of components implanted would
include femoral and tibial components for the lateral tibiofemoral
compartment, femoral and tibial components for the medial tibiofemoral
compartment and femoral and patellar components for the patellofemoral
25 joint. Alternatively, the lateral femoral condyle and the patellar groove may
be covered by a common implant. The knee joint cavity is substantially
opened by the incision 40 and the exposed articular surfaces of the knee
protrude out of the joint cavity to accommodate current bone resection
instruments and insertion of components that fully cover the end of the
30 femur, the top of the tibia and the undersurface of the patella.

As best seen in Figure 3, a transverse incision 42 extending
horizontally along the knee joint is one option for the procedure of the
present invention. The incision 42 may be vertically opened to expose the
joint surfaces of the medial tibiofemoral compartment and the lateral

5 tibiofemoral compartment without dislocating the patella. This maintains
the patella in contact with the femur during the procedure. The
components of the instrumentation as well as the implant are sized for
minimal invasiveness and, therefore, may be accommodated by the small
10 incision. The reduced trauma resulting from a smaller incision generally
results in faster and better rehabilitation, which in turn generally increases
the efficacy of the knee implant.

Referring to Figure 4, an alternate incision format for use with the
present invention is shown. Two parallel vertically extending incisions 44
and 46 may be formed on either side of the patella. These incisions 44
15 and 46 are relatively short and the invasiveness is similar to that of the
horizontal incision in figure 3. Each incision 44 and 46 is separately
extended through the joint capsule to expose the medial and lateral
tibiofemoral compartments without dislocating the patella.

Figure 5 shows the bone resections 130 and 132 in the femoral
20 condyles. Figures 6 and 7 depict alternate embodiments of the bone
resections in the femoral condyle as may be desired.

Implants. The surgical procedure is preferably performed through
minimally invasive incisions that do not necessitate subluxation or
dislocation of the patella. Therefore, implants such as the femoral, tibial or
25 patellar implants are designed that may be fit through minimally invasive
incisions, conformed to the kinematically prepared bone support surfaces,
and either oriented or joined within the joint. The femoral and tibial
implants may be attached to bone with conventional bonding methods
such as, but not limited to, polymethylmethacrylate, or by direct
30 attachment to bone as with, but not limited to, a porous ingrowth surface.

It is preferable to place all of the implants through small incisions.
As seen in Figure 9, the femoral implants include a first sub-component
131 to resurface the articulating surface of the medial condyle and a

5 second sub-component 133 to resurface the articulating surface of the lateral condyle and a third sub-component 134 to resurface the femoral trochlea. Optionally, as seen in Figure 10, the femoral implants may include a first sub-component 133 to resurface the articulating surface of the lateral condyle and a second sub-component 136 to resurface the articulating surface of the lateral condyle and the femoral trochlea. Figure 11 is an illustration of an optional femoral condyle sub-component configured as a flexible implant. The outer surface of the condylar implant is a thin sheet of material and the inner surface may be ridged 170.

Alternatively, as depicted in Figure 8, the tibial implants may be configured as separate plateau baseplates for the medial and lateral compartments. In one embodiment of the present invention these sub-components are oriented one to the other by an alignment instrument or bridge that dictates their orientation in relationship to each other and/or to the femoral components. As can be seen in Figure 20, the medial baseplate sub-component 153 and the lateral baseplate sub-component 151 have threaded receiving holes 13901 and 10912 anteriorly. The bridge 13911 contains two threaded fasteners 13903 and 13904 to attach the bridge to the each of the baseplate sub-components 153 and 151. Preferably, the bridge 13911 is assembled to the handle 13906 and the medial baseplate 153 outside the joint cavity. In the case of cement being used to secure the baseplates 151 and 153 to the tibia, cement is applied to the medial and lateral baseplates 153 and 151. Trial femoral sub-components (not shown) are placed on the lateral and medial femoral condyles and trial insert bearings (not shown) are placed on the lateral and medial baseplates 151 and 153. The lateral baseplate 151 is placed into the lateral compartment of the knee. The medial baseplate 153 is placed into the medial compartment with the aid of the handle 13906 until the lateral threaded fastener 13904 can be threaded into the receiving hole in the lateral baseplate 151. The contact surfaces between the bridge 13911

5 and the medial 153 and lateral 151 baseplates is contoured for a fully
constrained lock between the bridge 13911 and baseplate sub-
components 153 and 151. Optionally, the handle 13906 is designed with
an alignment guide (not shown) to reference the mechanical axis of the
knee to aid in aligning the tibial components. The knee is extended to load
10 the implants and excess cement is removed. The handle 13906 may be
removed and the bridge 13911 left in place to improve access to the joint
cavity for cement cleanup. The handle 13906 is removed by releasing the
lock switch 13909 which releases the dovetail interlock 13910 connecting
the handle 13906 to the bridge 13911. Once the cement has set the
15 bridge 13911 is removed.

As shown in Figure 12, the tibial baseplate is optionally configured
as a unitary component to cover most of the prepared surface of the tibial
plateau as relates to the knee. The medial baseplate 1108 and lateral
baseplate 1106 may be symmetrical to allow use of one design for right or
20 left knees. Alternatively, the medial baseplate 1108 and lateral base 1106
may be asymmetric requiring left and right designs. The bridge 1104
between the medial 1108 and lateral 1106 baseplates is shown with a
narrow anterior to posterior dimension to enable placement of the bridge
1104 anterior to the insertion of the anterior cruciate ligament to preserve
25 supporting bone in an anterior cruciate sparing total knee design.
Optionally, the posterior surface of the bridge 1110 may be moved
posteriorly (not shown) for an anterior cruciate sacrificing total knee
design. Optionally, the posterior surface of the bridge may be moved
further posteriorly (not shown) for a cruciate sacrificing (anterior and
30 posterior cruciate ligaments) total knee design, commonly known as a
posterior stabilized total knee. The proximal surfaces of the medial 1108
and lateral 1106 baseplates are recessed with a shoulder 1102 around the
circumference of the recess providing one form of capture mechanism or
restraint for a tibial bearing insert (not shown). Other tibial bearing insert

5 to baseplate locking means are known in the art and include dovetail mechanism, locking tabs, locking keys and pins and other fasteners to secure a tibial bearing insert onto a baseplate.

If configured as a unitary component, the tibial baseplate provides a capture mechanism for a fixed bearing or a mobile bearing insert for either
10 the medial or lateral tibiofemoral compartment. As an option, a single platform is designed that provides a fixed bearing capture mechanism for the medial tibiofemoral compartment and a mobile bearing capture mechanism or a simple platform to receive a mobile bearing insert for the lateral tibiofemoral compartment. Since right and left tibial baseplates are
15 required, the same baseplate may be used for a mobile bearing medial insert and a fixed bearing lateral insert.

As shown in Figure 13, the tibial baseplate is optionally configured as a two piece component wherein the sub-components are joined within the confines of the joint cavity. The split 1202 between the medial
20 baseplate 1108 and lateral baseplate 1106 is preferably medial of the bridge 1104; however the split 1202 may be located anywhere along the bridge and angle medially or laterally with respect to the sagittal plane, or be parallel to it. The benefit of placing the split 1202 medially and angled is three fold, first this provides additional cross sectional area for an
25 interconnect mechanism, second it provides easy access perpendicular to the split 1202 via the medial parapatellar incision for fastener placement, and third it provides an extension onto which an inserter can be attached to facilitate placement of the lateral tibial baseplate sub-component 1106 through a medial parapatellar incision. Preferably, the interconnection
30 between the medial baseplate sub-component 1108 and the lateral baseplate sub-component 1106 at split 1202 is fully constrained to hold the medial 1108 and lateral 1106 sub-components in a common plane and to hold the divergence of the sub-components at a fixed angle. Optionally, the interconnection at split 1202 is partially constrained. In an anterior

5 cruciate ligament sparing knee the medial and lateral tibial resections are generally made independently which may induce regional variations in the contour of the supporting bone surface. For the tibial component such variations are minimal. None the less, it is advantageous to accommodate such variations to provide uniform implant to bone contact on both medial
10 and lateral tibial condyles. It is critical to maintain anteroposterior divergence of the sub-components. Hence, the partially constrained interface between the sub-components is designed to constrain relative angulation generally in a transverse plane, axial translation and orthogonal translation generally in an anteroposterior direction. The remaining DOF,
15 which are axial rotation, angulation generally in a sagittal plane and orthogonal translation generally in a superior-inferior direction, are unconstrained.

Referring to Figures 14 and 15, a tibial component is shown with a flexible component 1401 interconnecting the medial 1402 and lateral 1403
20 tibial baseplate sub-components. The flexible component may be made from CoCr alloy or Titanium alloy. Alternatively, the wire (or cable) can be made from Nitinol or spring steel (NP35N) to enable flexing the assembled tibial component to place the component through a minimally invasive incision and allow the component to return to its original shape
25 once in the joint cavity. The flexible component 1401 may have a circular cross section to allow equal resistance in bending under various bending moments. Optionally, the flexible element may have a rectangular or square or oval cross section to stiffen bending resistance in select planes. As shown in Figure 15, an exploded view of Figure 14, the flexible
30 component 1401 is attached to the tibial baseplate sub-components 1402 and 1403 by threads 1407 and 1406 at both ends of the flexible component 1401 which are threaded into receiving holes 1411 (not shown) and 1408, respectively. Flexible component threaded section 1407 may be a right hand thread and the other end 1406 threaded with a left hand

5 thread. The corresponding receiving holes are threaded to match. This allows threading the flexible element 1401 into the baseplate sub-components 1402 and 1403 at the same time. lateral tibial sub-components.

Referring to Figures 16, the femoral component is divided into three
10 sub-components. The medial condylar 1601, lateral condylar 1602 and trochlear flange 1603. The sub-components are assembled by a rectangular tapered boss 1608 extending from the trochlear sub-component 1603 and engaging receiving feature 1609 configured to snugly receive boss 1608. A tether 1605 is used to guide and assemble
15 the sub-components. Collet 1607 is used to secure the tether 1608 in the trochlear sub-component 1603. Insertion instrument 1606 is passed over the tether and pushes against the collet 1607 while the surgeon pulls on the tether 1605 to secure the sub-components together.

As shown in Figures 17 and 18, a femoral component shown with
20 three sub-components. Trochlear 1701, lateral condyle 1702 and medial condyle 1703 sub-components. The sub-components are held together with flexible components 1704 and 1705 that are threaded into each sub-component. Optionally, the flexible components 1704 and 1705 can be pressed into place, or other suitable fastening means. The sub-
25 components may be assembled outside the joint cavity. Optionally, the sub-components are assembled within the confines of the joint cavity.

Similar to the femoral component shown in figure 18, a tibial component is shown in Figure 19. The medial baseplate sub-component 1901 is assembled to the lateral sub-component 1902 by tether 1905 and
30 aligned and secured by guide pin 1906 and boss 1909.

Figures 21 and 22 illustrate total knee arthroplasty components per the invention. In Figure 21, the femoral condyles are resurfaced with condylar sub-components medially 2101 and laterally 2102, the tibial

5 articular surfaces are resurfaced with tibial sub-components medially 2103
and laterally 2104. The patella is resurfaced with patellar component
2105. The femoral trochlea is not resurfaced. In Figure 22, the femoral
condyles are resurfaced with condylar sub-components medially 2201.
The lateral condylar sub-component 2202 and trochlear component are
10 integral, the tibial articular surfaces are resurfaced with tibial sub-
components medially 2203 and laterally 2204. The patella is resurfaced
with patellar component 2205.

Additional components or steps as known to those skilled in the art
may be performed within the scope of the invention. Further, one or more
15 of the listed steps or components need not be performed in a procedure
within the scope of the present invention. While a preferred embodiment
of the present invention has been described, it should be understood that
various changes, adaptations and modifications may be made therein
without departing from the spirit of the invention and the scope of the
20 appended claims.

5

CLAIMS

What is claimed is:

1. An apparatus comprising:
 - a. a first bone implant including a plurality of sub-components for mimicking and replacing the bearing surfaces of a first bone, each of said plurality of sub-components having an inner surface adapted to be secured to the first bone, an outer surface, and wherein at least one of said plurality of sub-components includes a tethering hole; and
 - b. tethering means having an attachment end and a receiving end, said attachment end attached to the tethering hole of said at least one of said plurality of first bone sub-components.
2. The apparatus of claim 1 wherein said tethering means is secured to the tethering hole of said at least one of said plurality of first bone sub-components after said at least one of said plurality of first bone sub-components is secured to the first bone.
3. The apparatus of claim 1 wherein said tethering means is secured to the tethering hole of said at least one of said plurality of first bone sub-components outside of a joint cavity.
4. The apparatus of claim 2 wherein each remaining first bone subcomponent is received onto the receiving end of said tethering means in succession and passed into the joint cavity.
5. The apparatus of claim 3 wherein each remaining first bone subcomponent is received onto the receiving end of said tethering means in succession and passed into the joint cavity

- 5 6. The apparatus of claims 4 or 5 wherein the sub-components of the first bone implant are aligned and oriented to each other within the confines of the joint cavity by said tethering means.
7. The apparatus of claim 1 further comprising a second bone implant including a plurality of sub-components for mimicking and replacing
10 the bearing surfaces of a second bone that articulates in a predetermined manner with the first bone, at least one of said plurality of said second bone implant having a tethering hole.
8. The apparatus of claim 4 further comprising a second bone implant including a plurality of sub-components for mimicking and replacing
15 the bearing surfaces of a second bone that articulates in a predetermined manner with the first bone, at least one of said plurality of said second bone implant having a tethering hole
9. The apparatus of claim 7 or 8 wherein the sub-components of the second bone implant are received onto the receiving end of said
20 tethering means in succession and are passed into the joint cavity.
10. The apparatus of claim 9 wherein the sub-components of the second bone implant are aligned and oriented to each other within the confines of the joint cavity by said tethering means.
11. The apparatus of claim 10 wherein the outer surface of said second
25 bone implant contacts the outer surface of said first bone implant in the joint cavity.
12. The apparatus of claim 7 wherein the first bone and second bone implants are selected from the group consisting of an ankle
30 implant, a shoulder implant, a knee implant, a hip implant, a spinal implant, and a finger implant.

- 5 13. An apparatus for partially replacing the surfaces of a femur and a tibia, where the tibia normally articulates in a predetermined manner with the femur, comprising:
- 10 a. a femoral implant including a plurality of bearing-surface sub-components sized for insertion through a minimally invasive incision, each of said plurality of bearing-surface sub-components having an inner surface and an outer surface, the inner surface adapted to be secured to a femur, wherein at least one of said plurality of sub-components includes a tethering hole;
- 15 b. a tibial implant including a plurality of sub-components sized for insertion through a minimally invasive incision wherein at least one of said plurality of sub-components includes a tethering hole; and
- 20 c. tethering means having an attachment end and a receiving end, said attachment end attached to the tethering hole of at least one of said plurality of femoral or tibial sub-components.
14. The apparatus of claim 13 wherein said tethering means is secured to the tethering hole of said at least one of said plurality of said femoral sub-components after said at least one of said plurality of said femoral sub-components is secured to the femur.
- 25 15. The apparatus of claim 13 wherein said tethering means is secured to the tethering hole of at least one of said plurality of said femoral sub-components outside of a joint cavity.
- 30 16. The apparatus of claim 14 wherein each remaining femoral sub-component is received onto the receiving end of said tethering means in succession and passed into the joint cavity.

- 5 17. The apparatus of claim 16 wherein each tibial sub-component is received onto the receiving end of said tethering means in succession and passed into the joint cavity.
18. The apparatus of claim 17 wherein each femoral and tibial sub-component is aligned and oriented one to the other within the
10 confines of the joint cavity by said tethering means.
19. An apparatus for partially replacing the surfaces of a femur and tethering means combination comprising:
- 15 a. a plurality of bearing-surface femoral sub-components sized for insertion through a minimally invasive incision, said plurality of bearing-surface components having an inner surface adapted to be secured to the femur and an outer surface adapted to contact a second bone implant;
- b. tethering means having first and second ends removably attached to said sub-components
- 20 wherein the plurality of bearing-surface femoral sub-components are aligned to each other and joined within the confines of the joint cavity by said removably attached tethering means.
20. The apparatus of claim 19 wherein said tethering means are removed from a knee cavity after alignment of said femoral sub-
25 components.
21. The apparatus of claim 19 wherein said tethering means are secured to each femoral sub-component and left in a knee cavity after alignment of said femoral sub-components.

- 5 22. The apparatus of claim 19 wherein the plurality of bearing-surface femoral sub-components are medial and lateral femoral condyle sub-components.
23. The apparatus of claim 19 wherein the plurality of bearing-surface femoral sub-components are trochlear and lateral femoral condyle sub-components.
- 10 24. The apparatus of claim 19 wherein the plurality of bearing-surface femoral components are trochlear and medial femoral condyle sub-components.
25. A femoral implant for implanting in a knee cavity and tethering means combination comprising:
- 15 a. a plurality of bearing-surface femoral sub-components sized for insertion through a minimally invasive incision wherein the plurality of bearing-surface femoral components have an inner surface adapted to be secured to the femur and an outer surface adapted to contact a second bone implant; and
- 20 b. tethering means having first and second ends attached to said femoral sub-components
- wherein the plurality of bearing-surface femoral sub-components are aligned to each other and engaged within the confines of the knee cavity by said tethering means.
- 25 26. The combination of claim 25 wherein said tethering means are removed from the knee cavity after said femoral sub-components are aligned and engaged within the confines of the knee cavity.
27. The combination of claim 25 wherein said tethering means are fixedly secured to said plurality of femoral sub-components and left
- 30

- 5 in the knee cavity after said femoral sub-components are aligned and engaged within the confines of the knee cavity.
28. The combination of claim 25 wherein the plurality of bearing-surface femoral sub-components comprise medial and lateral femoral condyle sub-components.
- 10 29. The combination of claim 25 wherein the plurality of bearing-surface femoral sub-components comprise trochlear and lateral femoral condyle sub-components.
30. The combination of claim 25 wherein the plurality of bearing-surface sub-components comprise trochlear and medial femoral condyle femoral sub-components.
- 15
31. An apparatus for replacing the bearing-surface of a first bone comprising a plurality of bearing-surface first bone components sized for insertion through a minimally invasive incision and including an inner surface adapted to be secured to said first bone and an outer surface adapted to contact a second bone implant, wherein the bearing-surface first bone components are aligned within the joint cavity with tethering means.
- 20
32. The apparatus of claim 31 wherein the first bone components are femoral components.
- 25 33. The apparatus of claim 32 wherein the femoral components are joined within the confines of the joint cavity with the tethering means.
34. The apparatus of claim 32 wherein the femoral components are engaged within the confines of the joint cavity with the tethering means.
- 30

- 5 35. An apparatus for replacing the surfaces of a joint between a first
bone and a second bone, the first bone articulating in a
predetermined manner with a second bone, the apparatus
comprising:
- 10 a. a first bone implant including a plurality of sub-components for
mimicking and replacing the bearing surfaces of the first bone,
each of said plurality of components having an inner surface
adapted to be secured to the first bone and an outer surface,
said plurality of sub-components;
- 15 b. flexible interconnection means for interconnecting the plurality of
first bone implant sub-components; and
- 20 c. a second bone implant including a plurality of sub-components
for mimicking and replacing the bearing surfaces of the second
bone; wherein the outer surface of said plurality of sub-
components of the first bone implant contact said plurality of
sub-components of said second bone implant.
36. The apparatus of claim 35 wherein the sub-components of the first
bone implant are assembled and interconnected with said
interconnection means within the confines of the joint cavity.
- 25 37. The apparatus of claim 35 wherein said plurality of sub-components
of the second bone implant further include flexible interconnection
means for interconnecting said plurality of sub-components.
38. The apparatus of claim 37 wherein the sub-components of the
second bone implant are assembled and interconnected with said
interconnection means within the confines of the joint cavity.

- 5 39. An apparatus for replacing the surfaces of a femur and a tibia,
where the tibia normally articulates in a predetermined manner with
the femur, the apparatus comprising:
- 10 a. a femoral implant including a plurality of sub-components sized
for insertion through a minimally invasive incision, each of said
plurality of sub-components having an inner surface and an
outer surface, the inner surface adapted to be secured to the
femur and including flexible interconnection means for
interconnecting said plurality of sub- components; and
- 15 b. a tibial implant including a plurality of sub-components sized for
insertion through a minimally invasive incision;
- wherein the outer surface of said plurality of sub-components of the
femoral implant contact the tibial implant; and further wherein the
plurality of sub-components of the femoral implant are aligned and
oriented to each other and joined within the confines of the joint
20 cavity with said flexible interconnection means, and the femoral
implant and tibial implant articulate in a predetermined manner.
40. The apparatus of claim 39 wherein the tibial implant comprises
distinct tibial sub-components for the medial and lateral
compartments of the tibia, said distinct tibial sub-components being
25 aligned and oriented to each other and joined within the confines of
the joint cavity by flexible interconnection means.
41. The apparatus of claim 39 wherein the first bone implant is a
femoral implant and the second bone implant is a tibial implant.
42. The apparatus of claim 39 wherein the first bone implant and
30 second bone implant are each sized for insertion through a
minimally invasive incision.

- 5 43. The apparatus of claim 42 wherein the first bone implant and the second bone implant are interconnected with said flexible interconnection means outside the joint cavity.
44. An apparatus for partially replacing the surfaces of a femur, the apparatus comprising:
- 10 a. a plurality of bearing-surface sub-components sized for insertion through a minimally invasive incision, said plurality of bearing-surface sub-components having an inner surface adapted to be secured to the femur and an outer surface adapted to contact a second bone implant; and
- 15 b. flexible interconnection means for interconnecting said plurality of bearing-surface components
- wherein the plurality of bearing-surface sub-components are aligned to each other and joined within the confines of the joint cavity with said flexible interconnection means.
- 20
45. The apparatus of claim 44 wherein the plurality of bearing-surface sub-components are medial and lateral femoral condyle sub-components.
46. The apparatus of claim 44 wherein the plurality of bearing-surface sub-components are trochlear and lateral femoral condyle sub-components.
- 25
47. The apparatus of claim 44 wherein the plurality of bearing-surface components are trochlear and a medial femoral condyle sub-components.

- 5 48. An apparatus for partially replacing the surfaces of a femur, the
apparatus comprising a plurality of bearing-surface sub-
components sized for insertion through a minimally invasive
incision, the plurality of bearing-surface sub-components having an
inner surface adapted to be secured to the femur and an outer
10 surface adapted to contact a second bone implant, wherein the
plurality of bearing-surface sub-components are aligned to each
other and engaged within the confines of the joint cavity by flexible
interconnection means.
49. The apparatus of claim 48 wherein the plurality of bearing-surface
15 components are medial and lateral femoral condyle sub-
components.
50. The apparatus of claim 13 wherein the plurality of bearing-surface
sub-components replace a trochlear and lateral femoral condyle of
the femur.
- 20 51. The apparatus of claim 13 wherein the plurality of bearing-surface
sub-components replace a trochlear and medial femoral condyle of
the femur.
52. An apparatus comprising:
- 25 a. a first bone implant including a plurality of sub-components for
mimicking and replacing the bearing surfaces of a first bone,
each of said plurality of sub-components having an inner surface
adapted to be secured to the first bone, an outer surface, and
wherein at least one of said plurality of sub-components
includes a tethering hole; and
- 30 b. tethering means having an attachment end and a receiving end,
said attachment end attached to the first bone

- 5 wherein said at least one of said plurality of sub-components is secured to said first bone by threading said tethering means through the tethering hole of said at least one of said plurality of first bone sub-components.

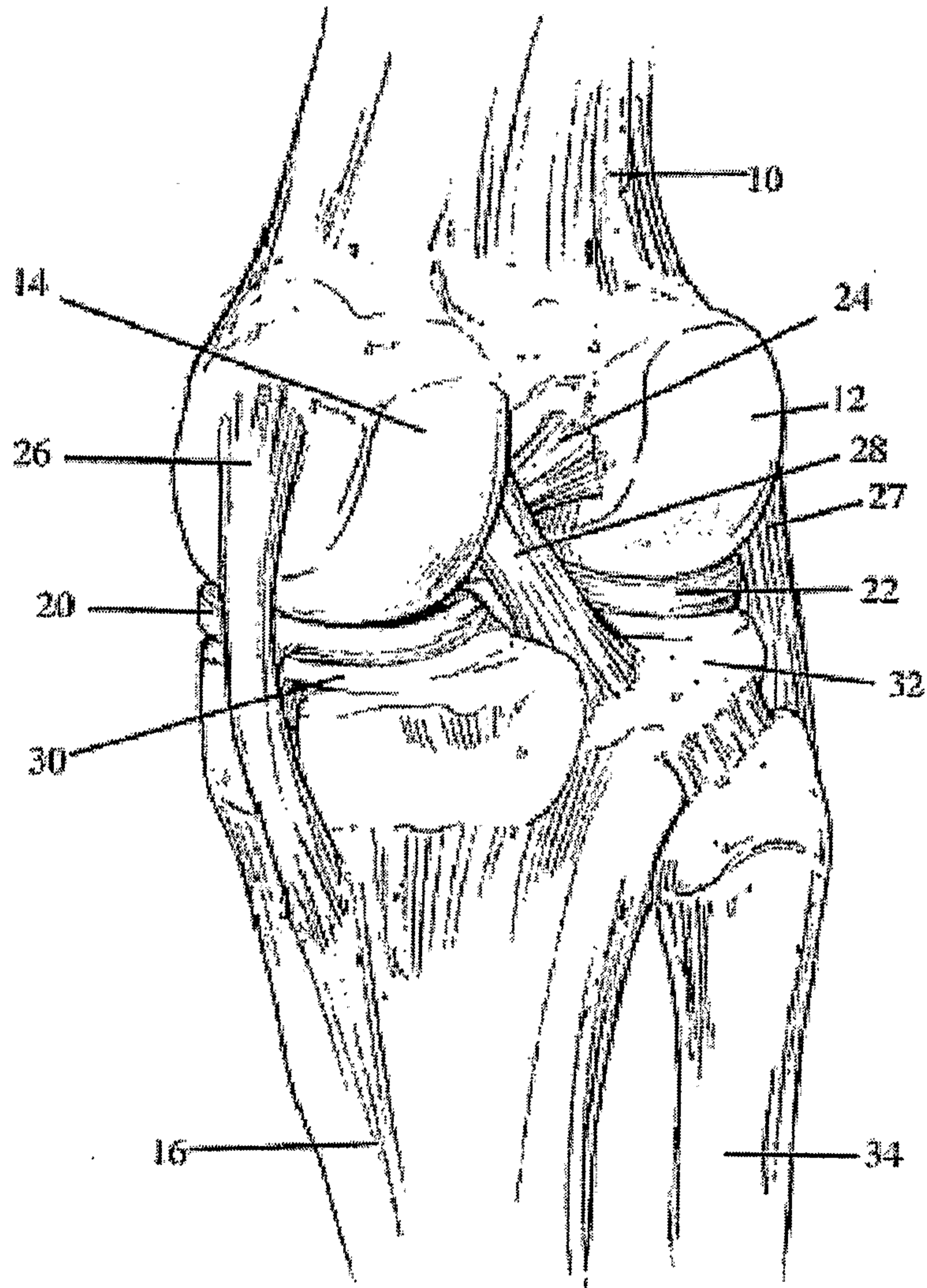


FIG. 1

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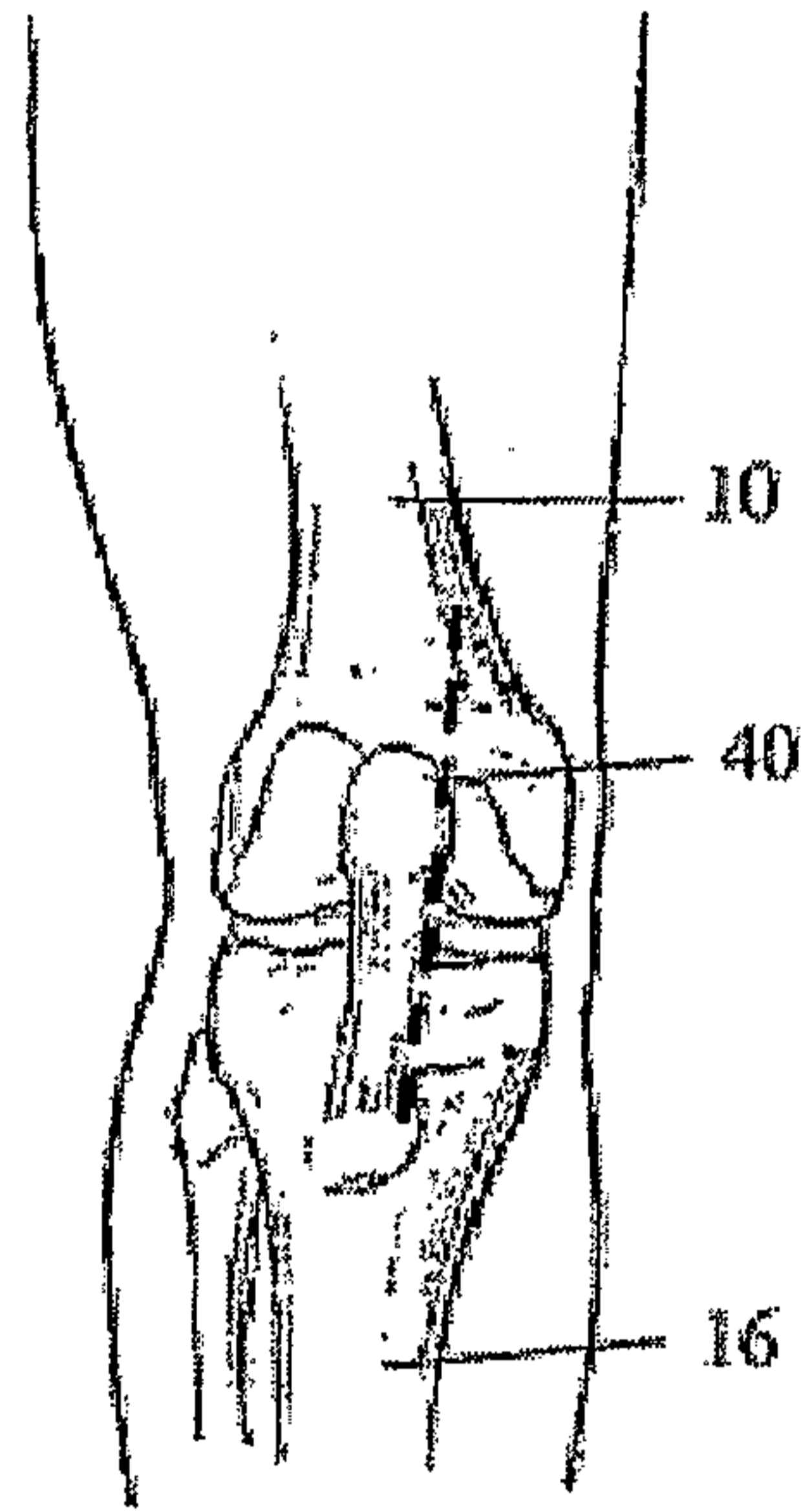


FIG. 2

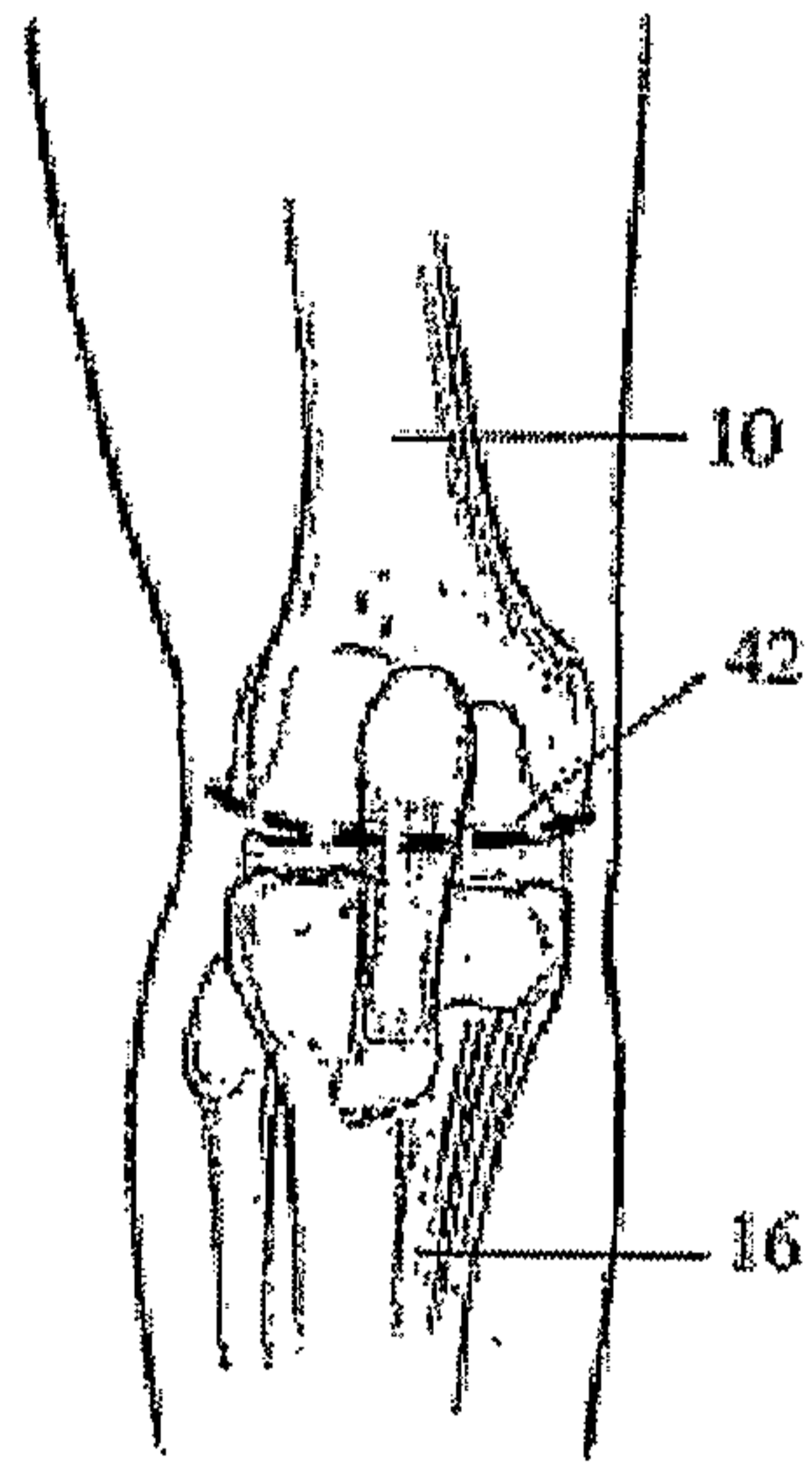


FIG. 3

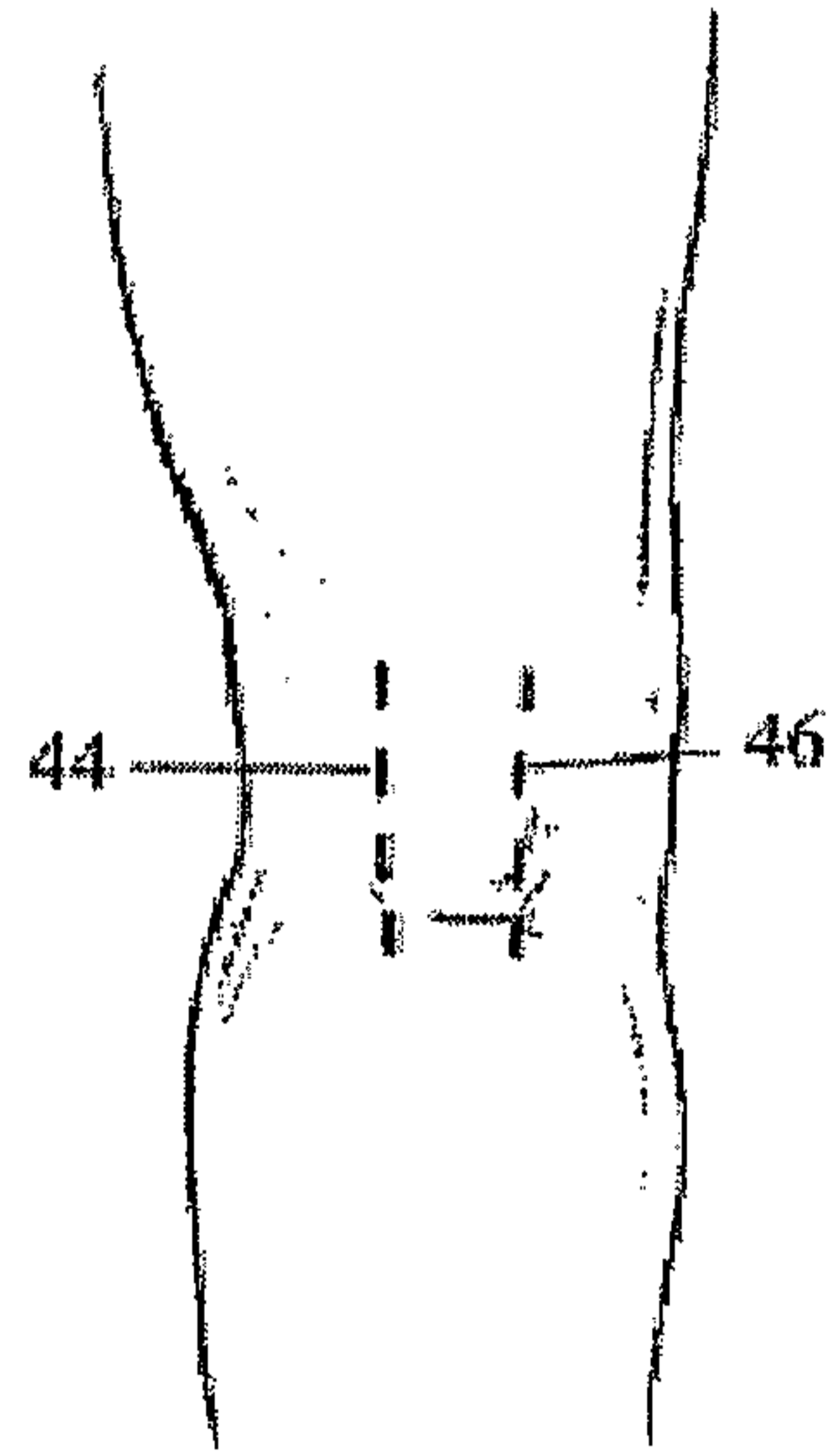


FIG. 4

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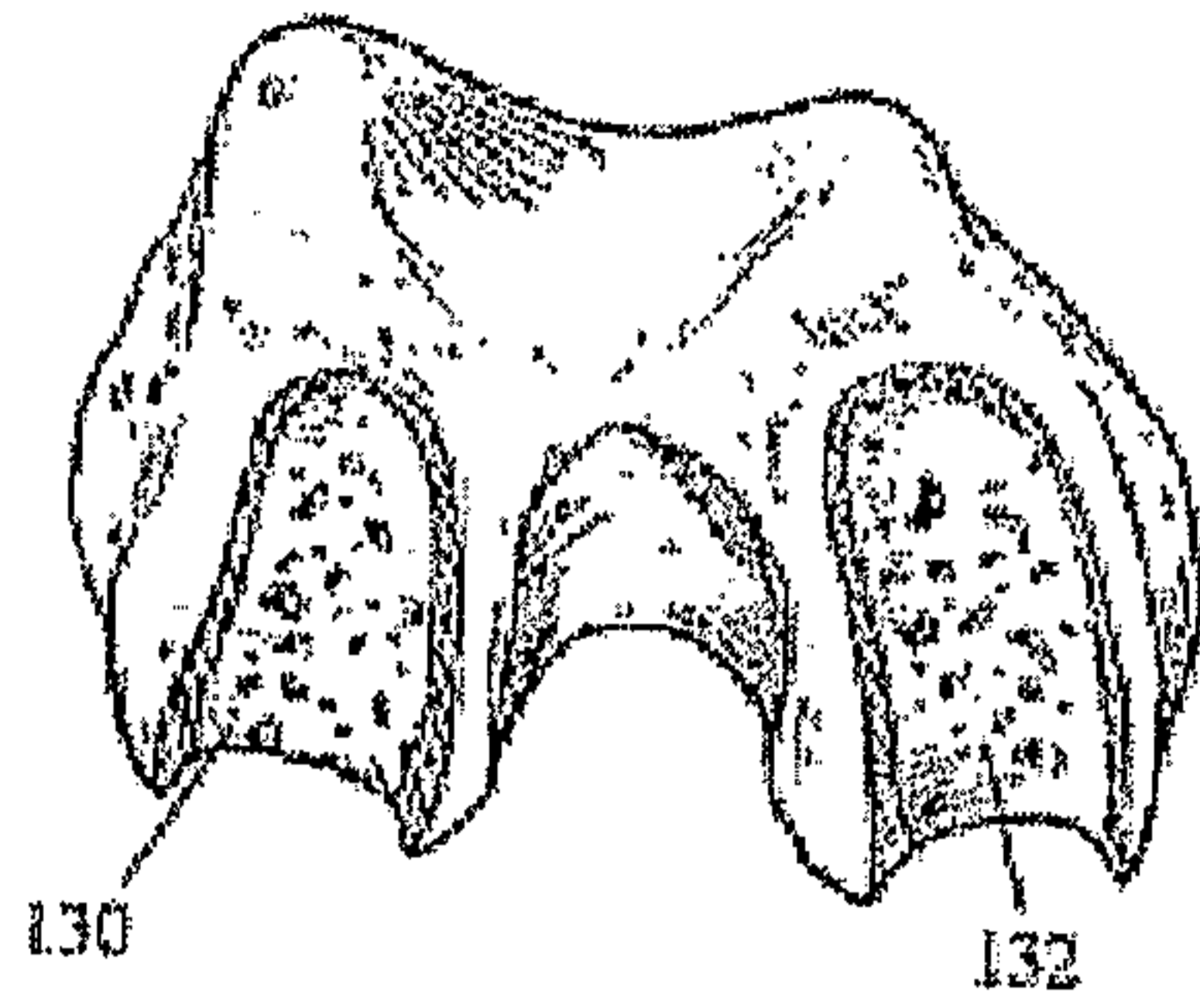


FIG. 5

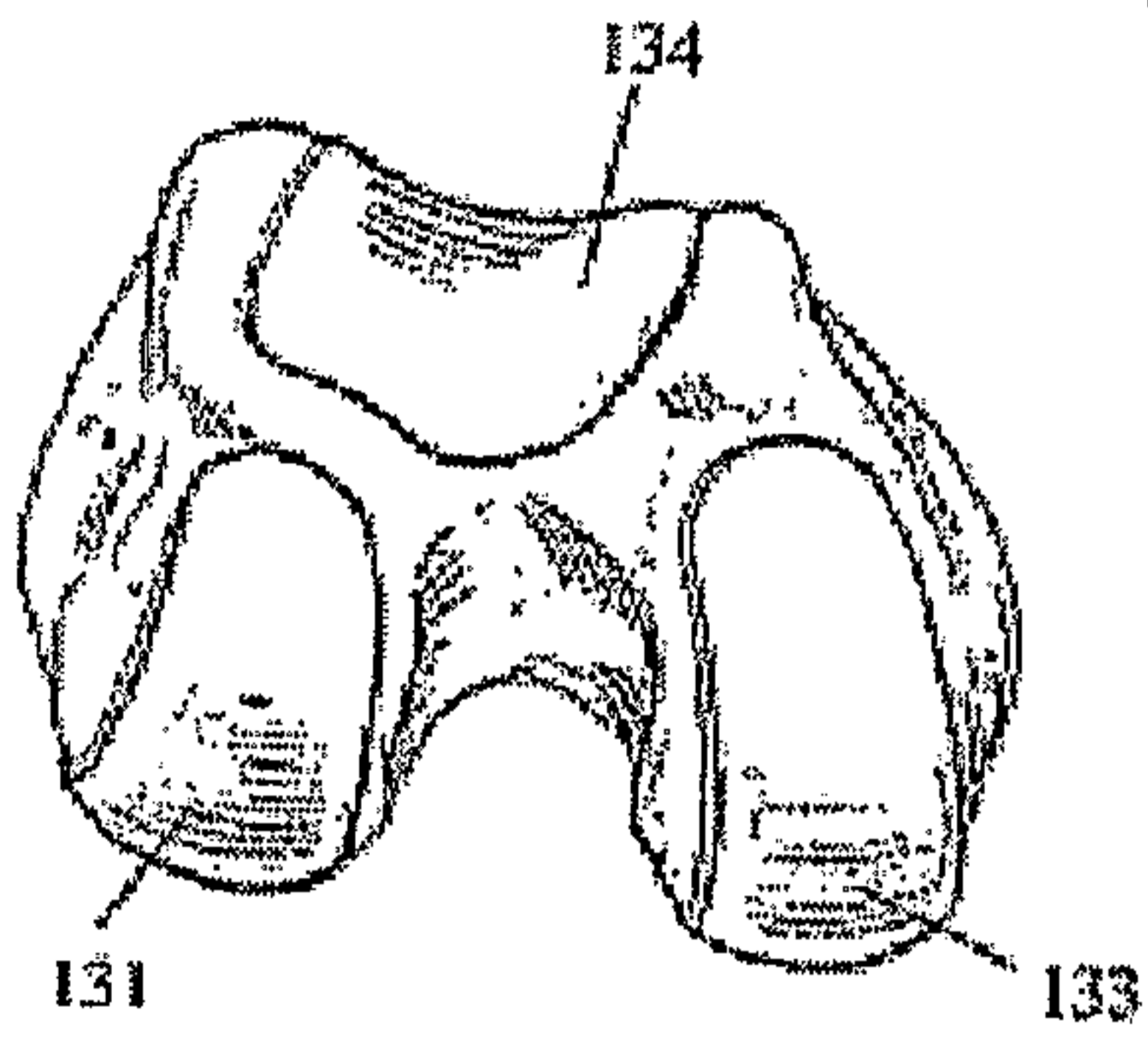


FIG. 6

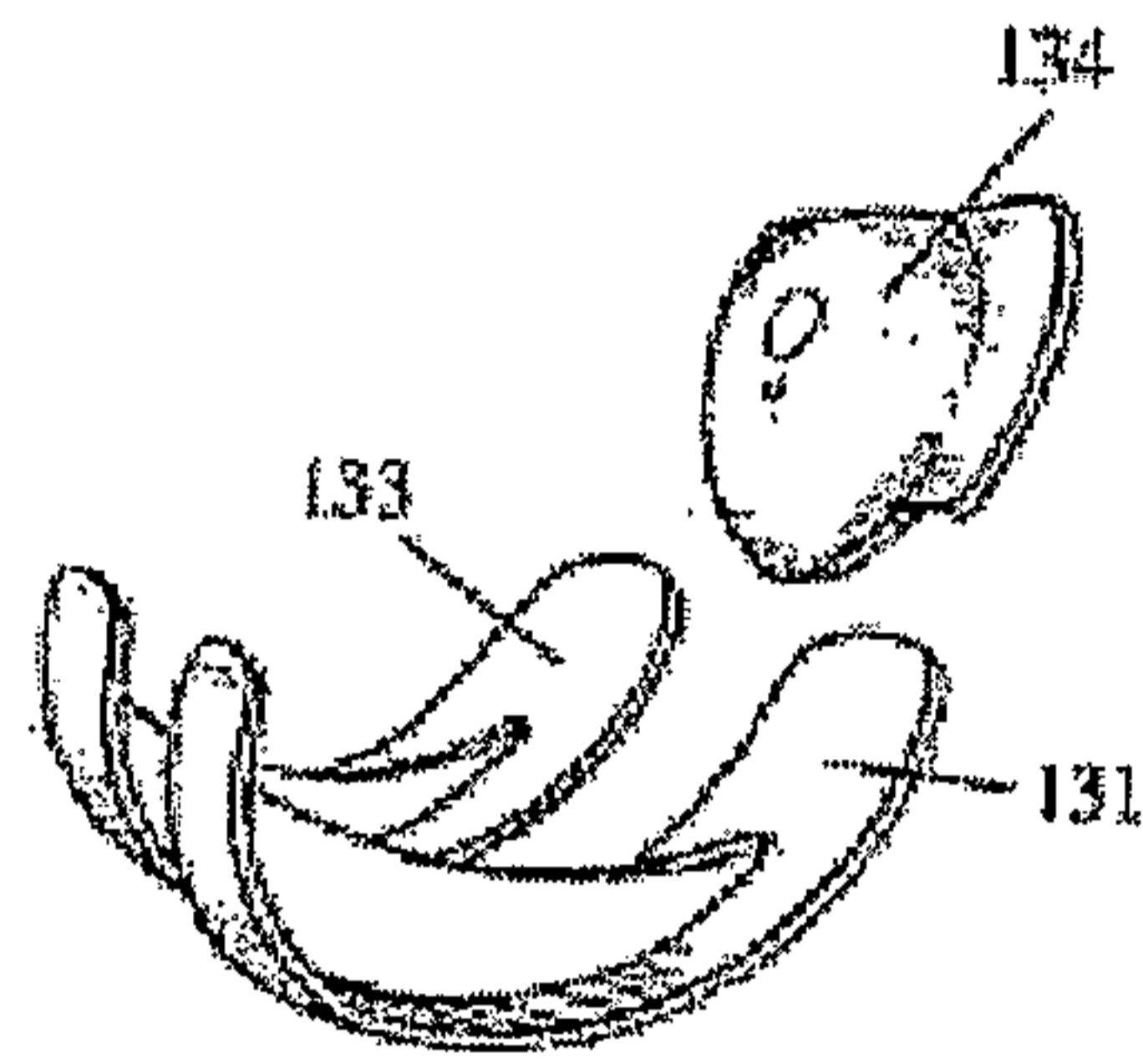


FIG. 9

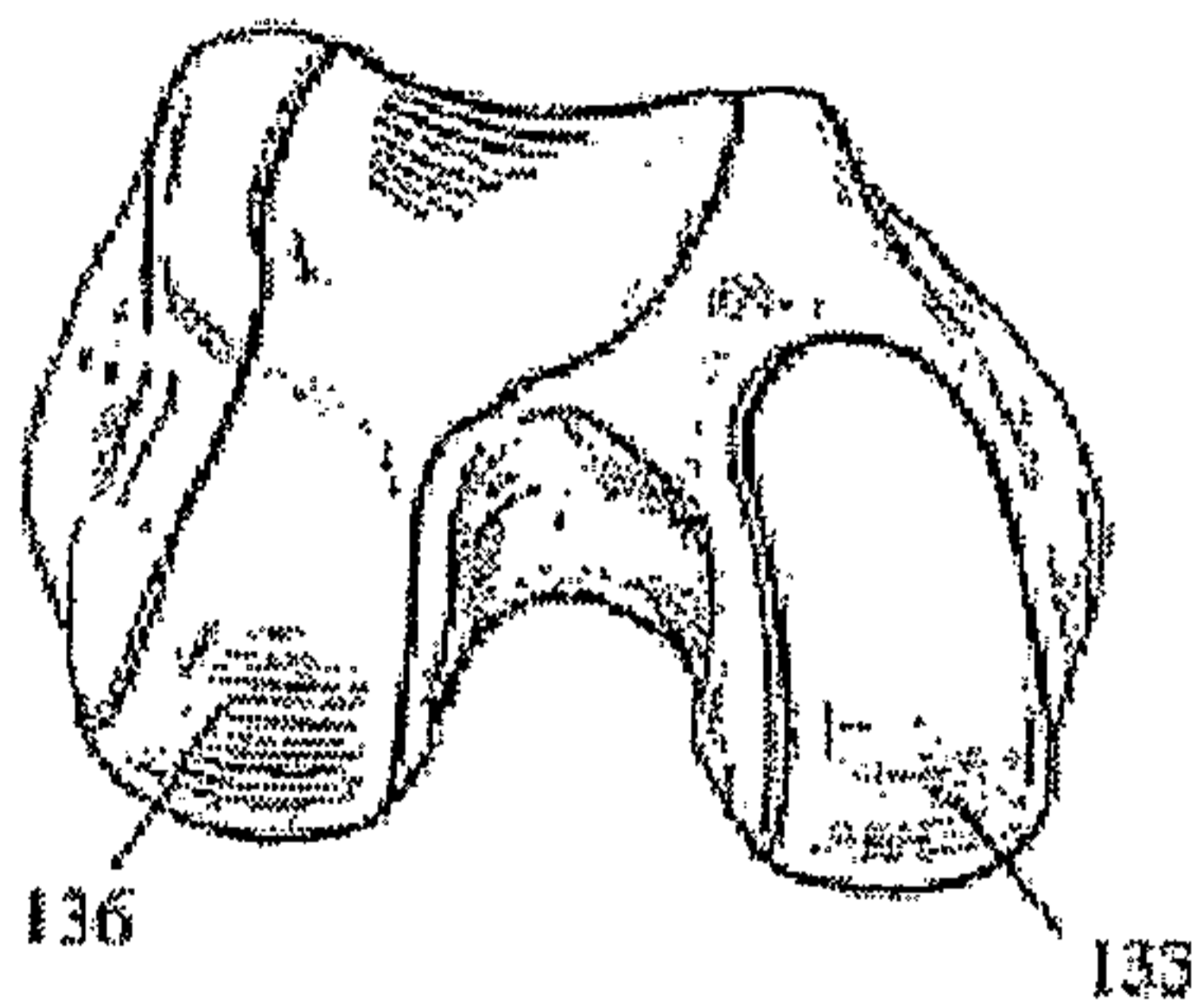


FIG. 7

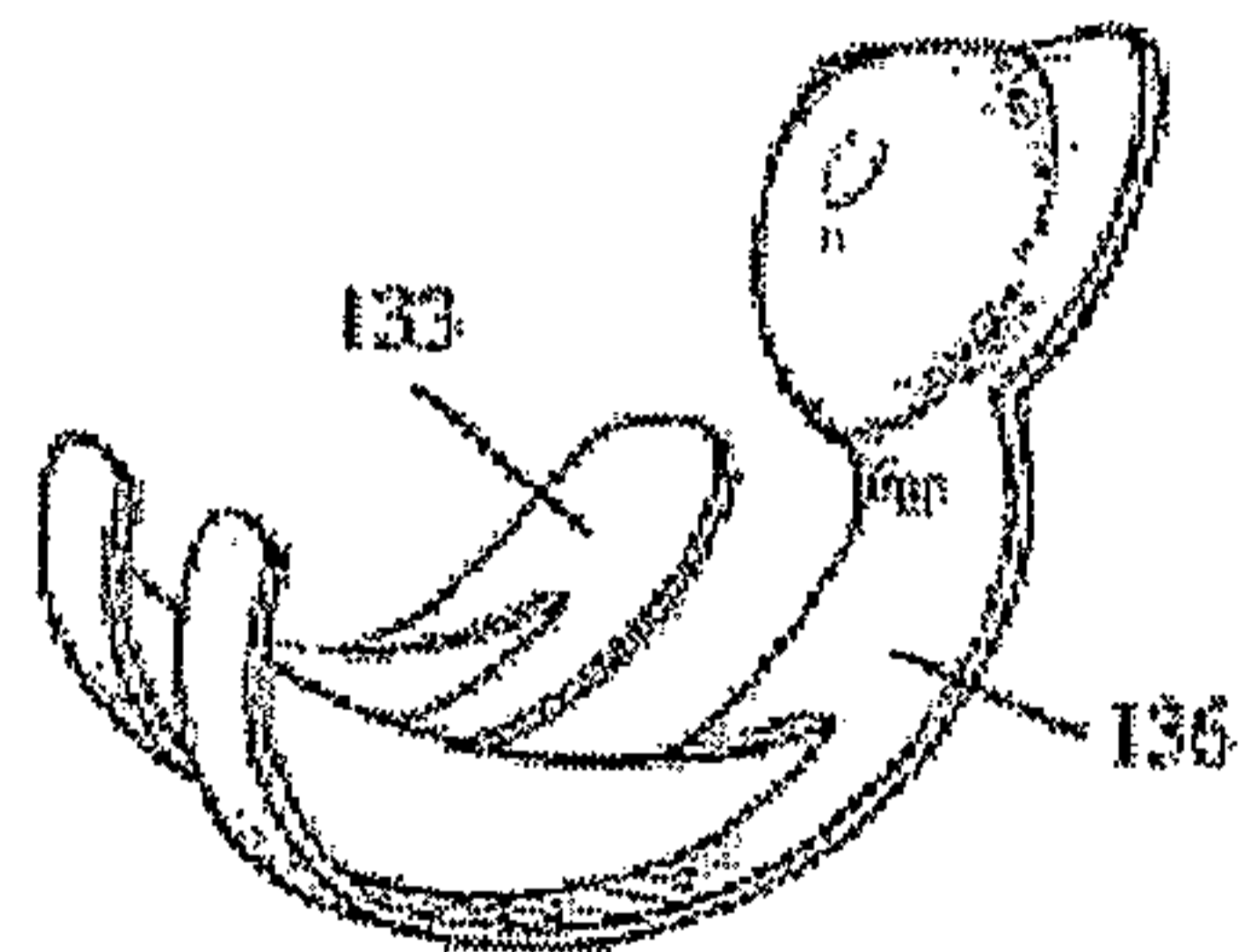


FIG. 10

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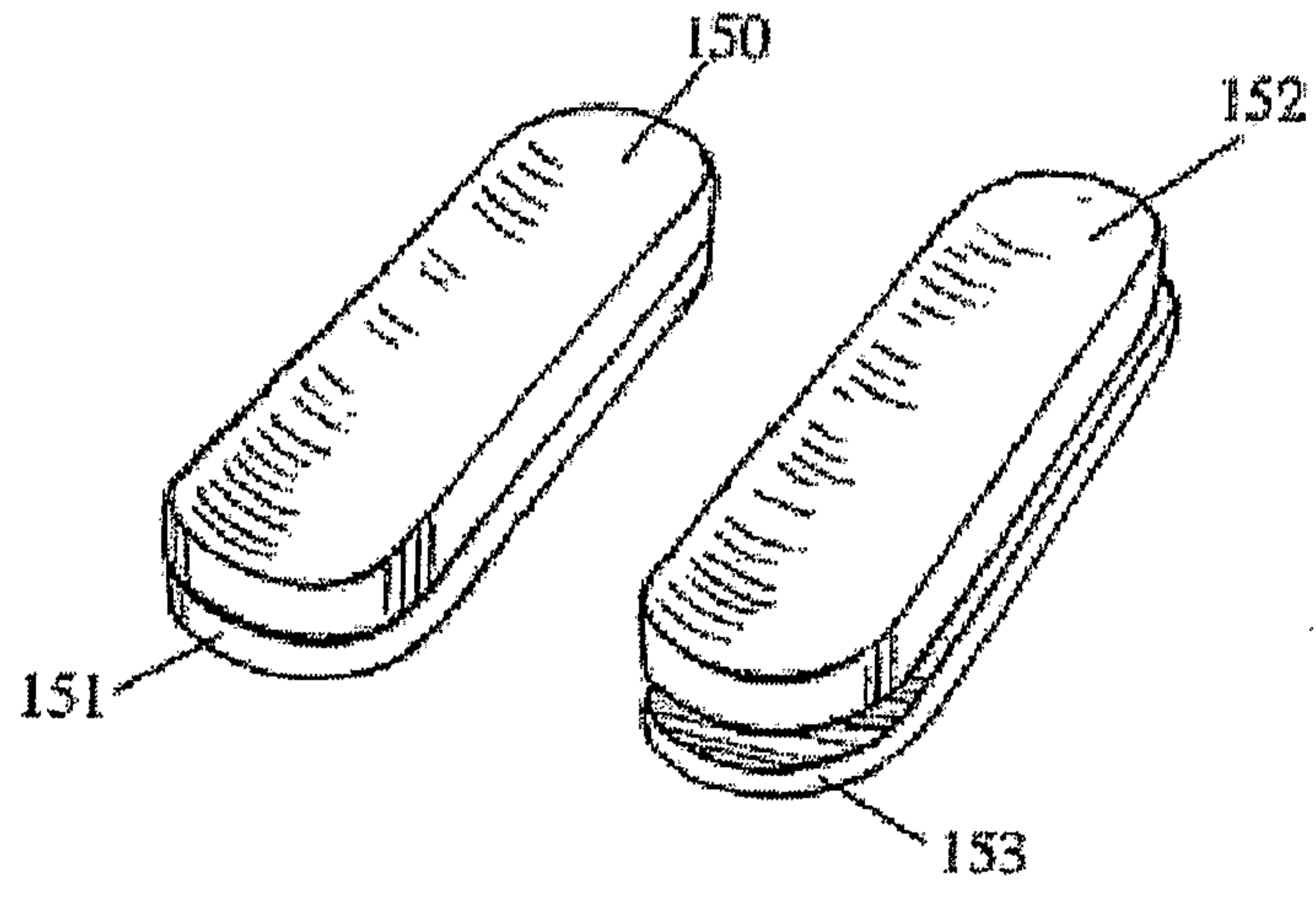


FIG. 8

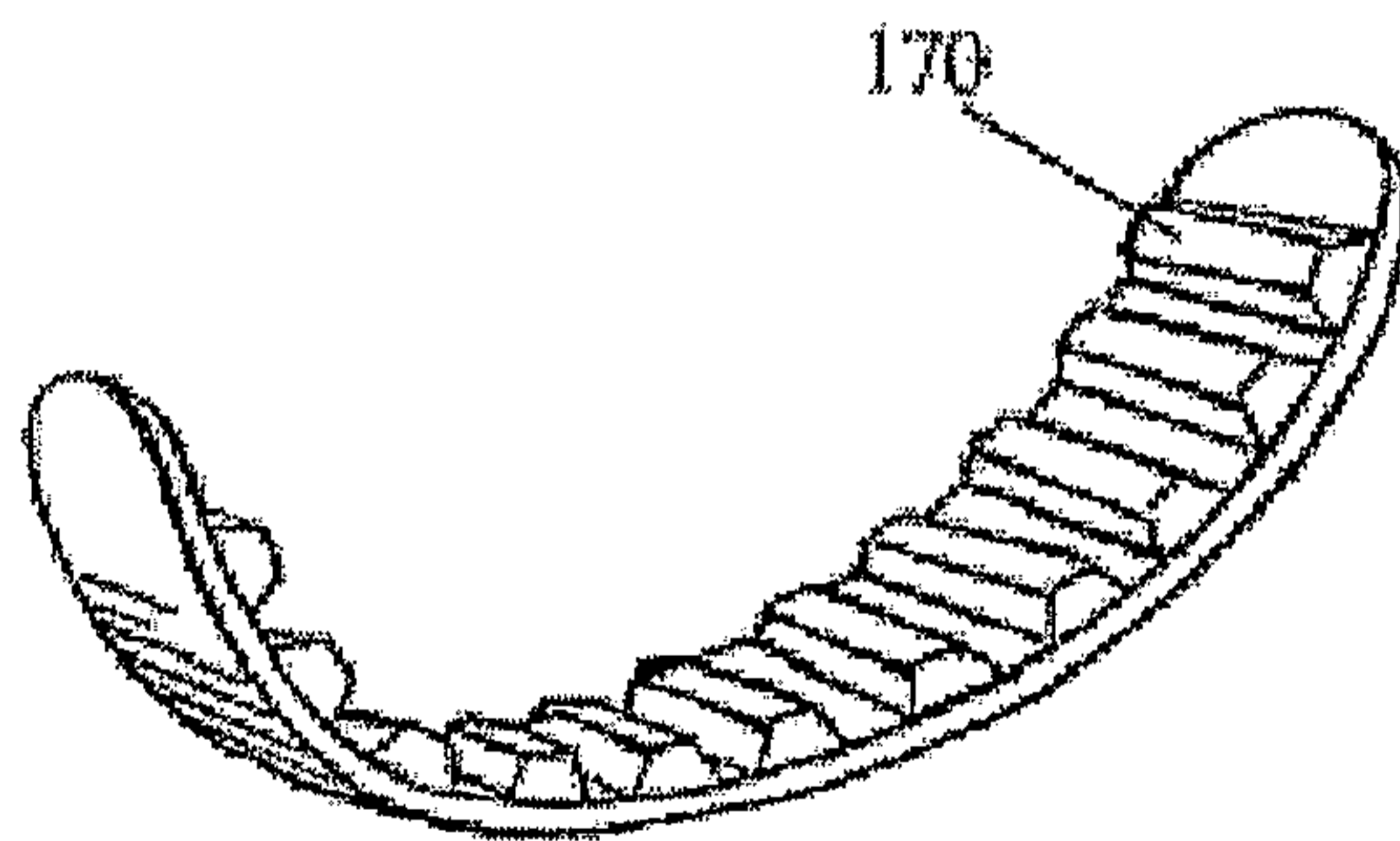


FIG. 11

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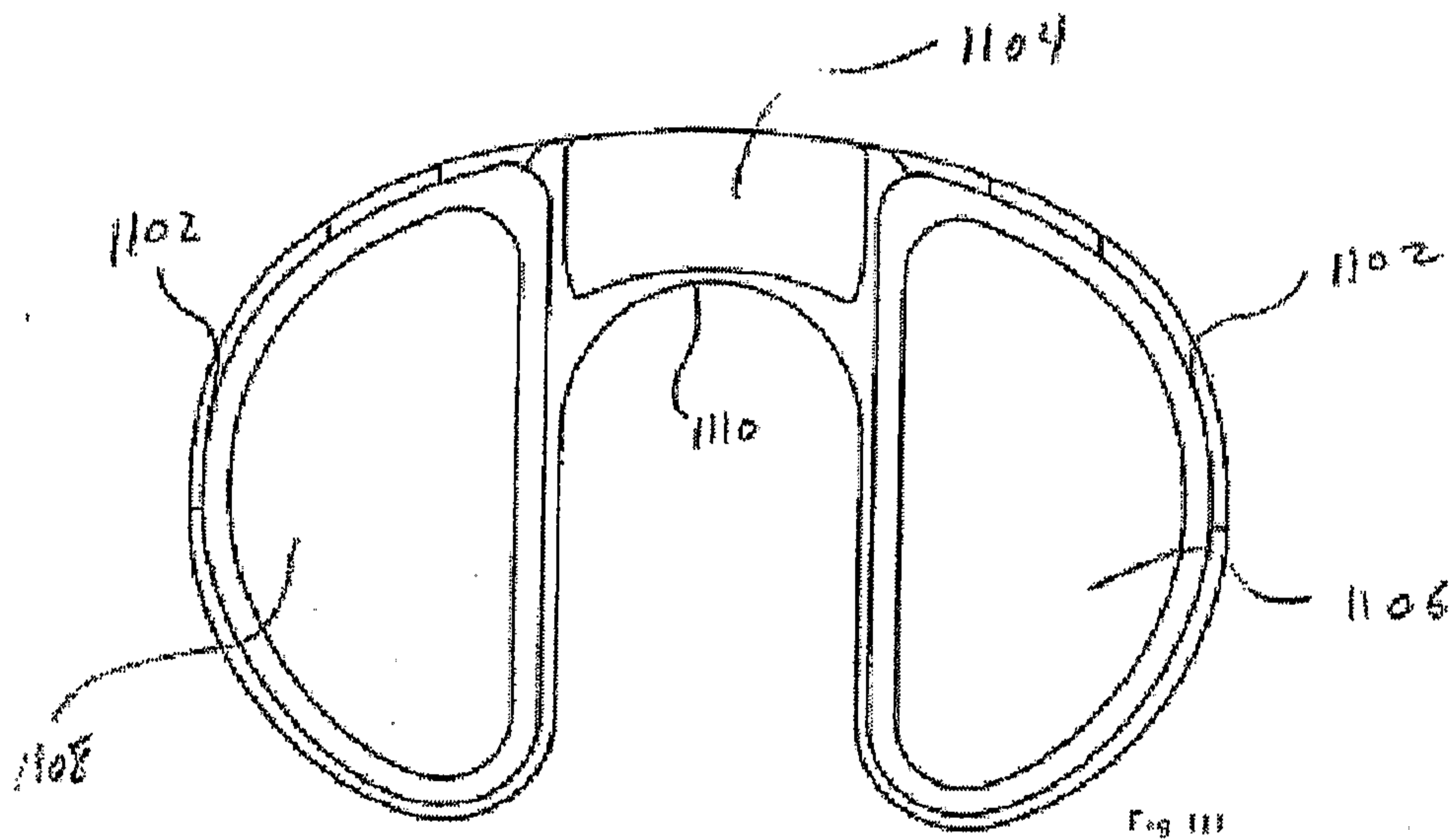


FIG. 12

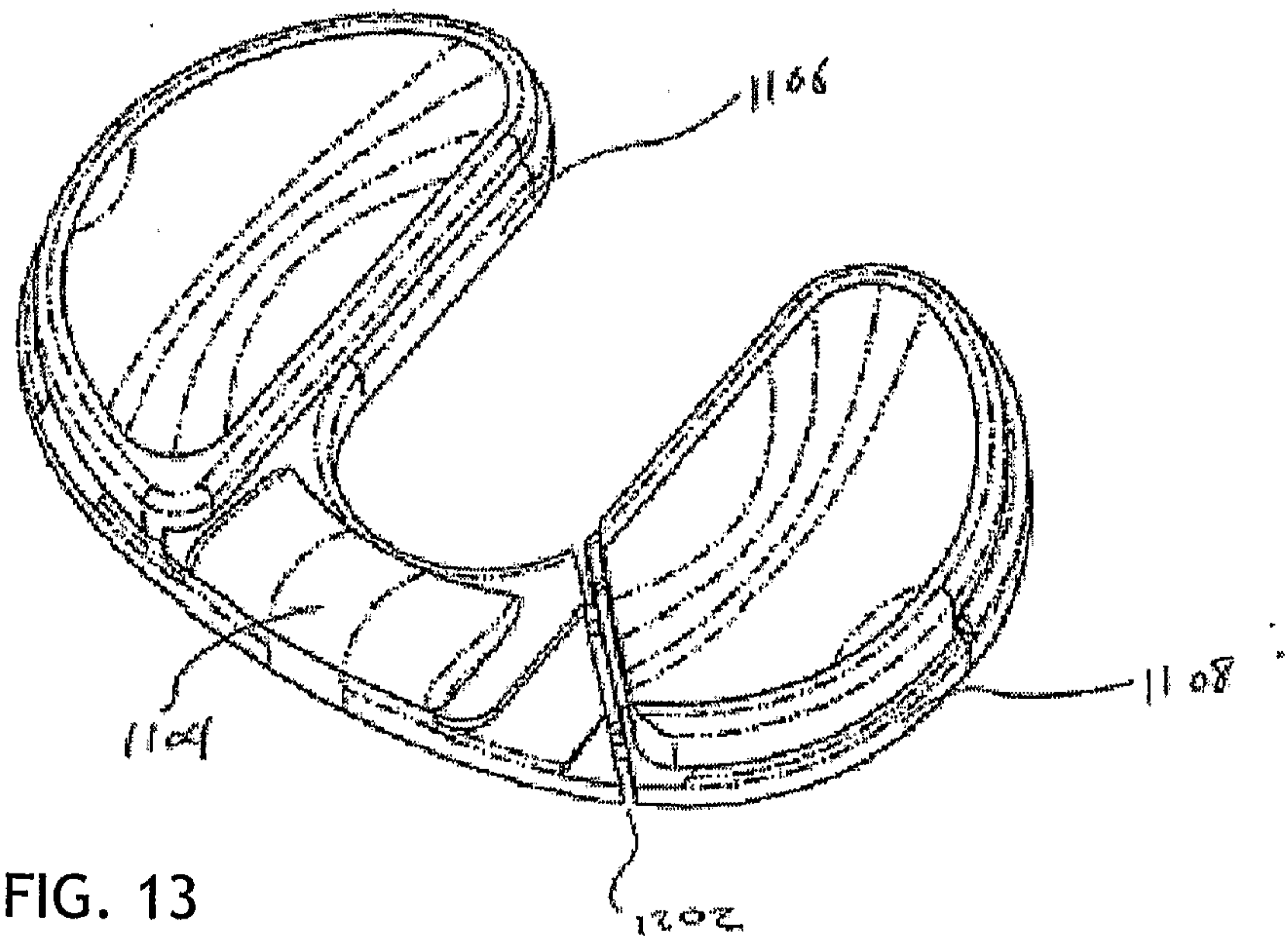


FIG. 13

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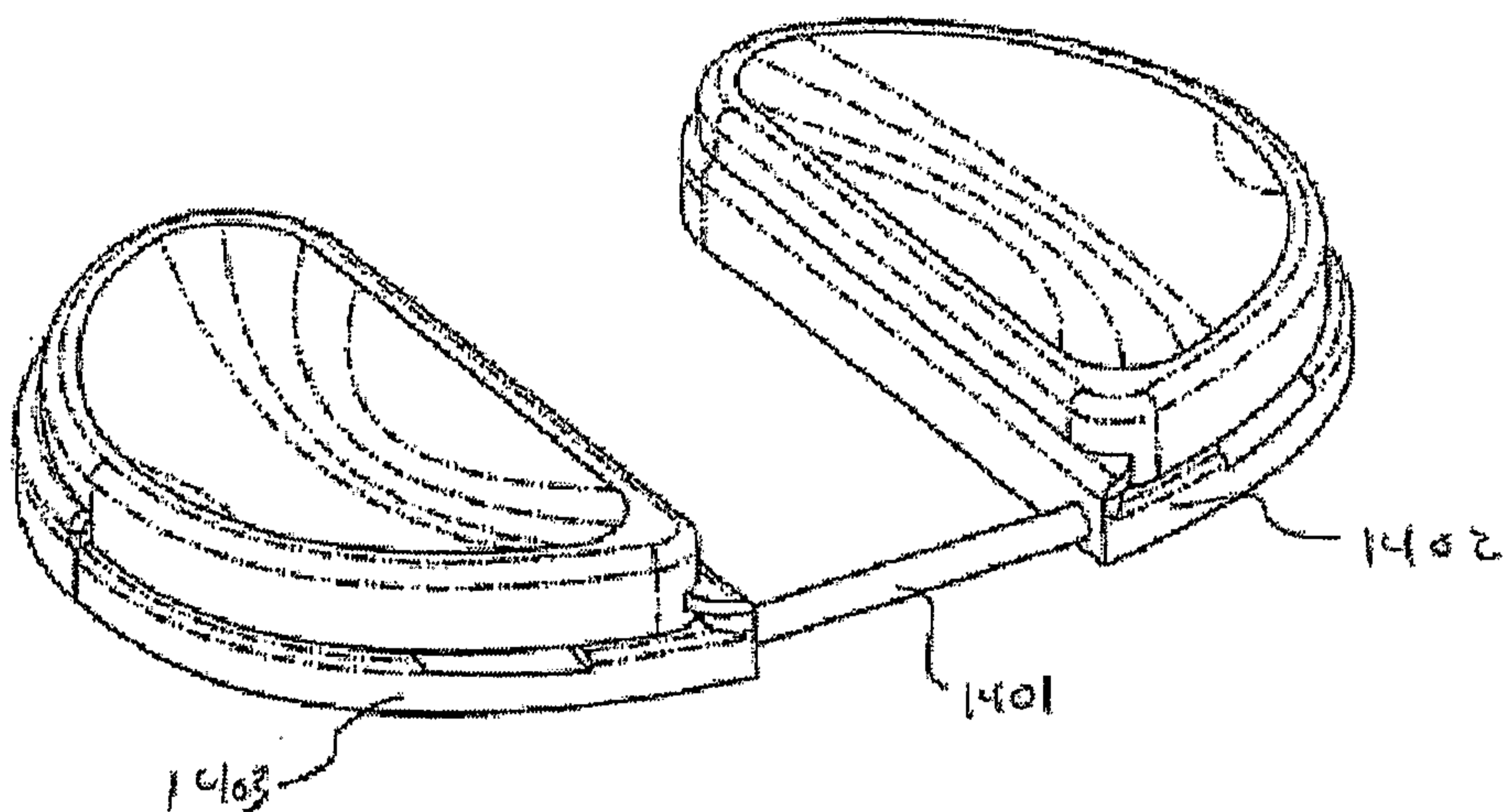


FIG. 14

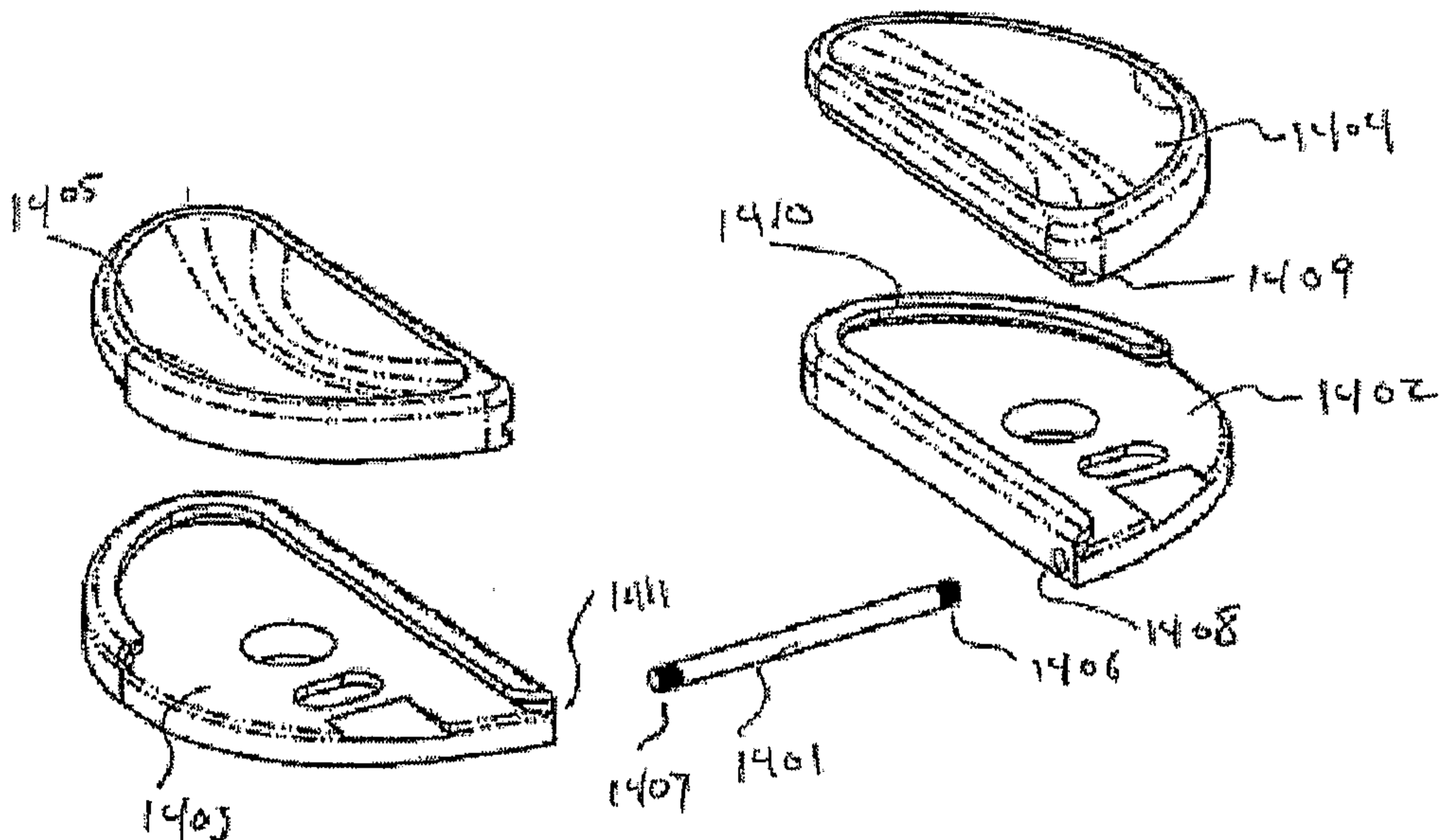


FIG. 15

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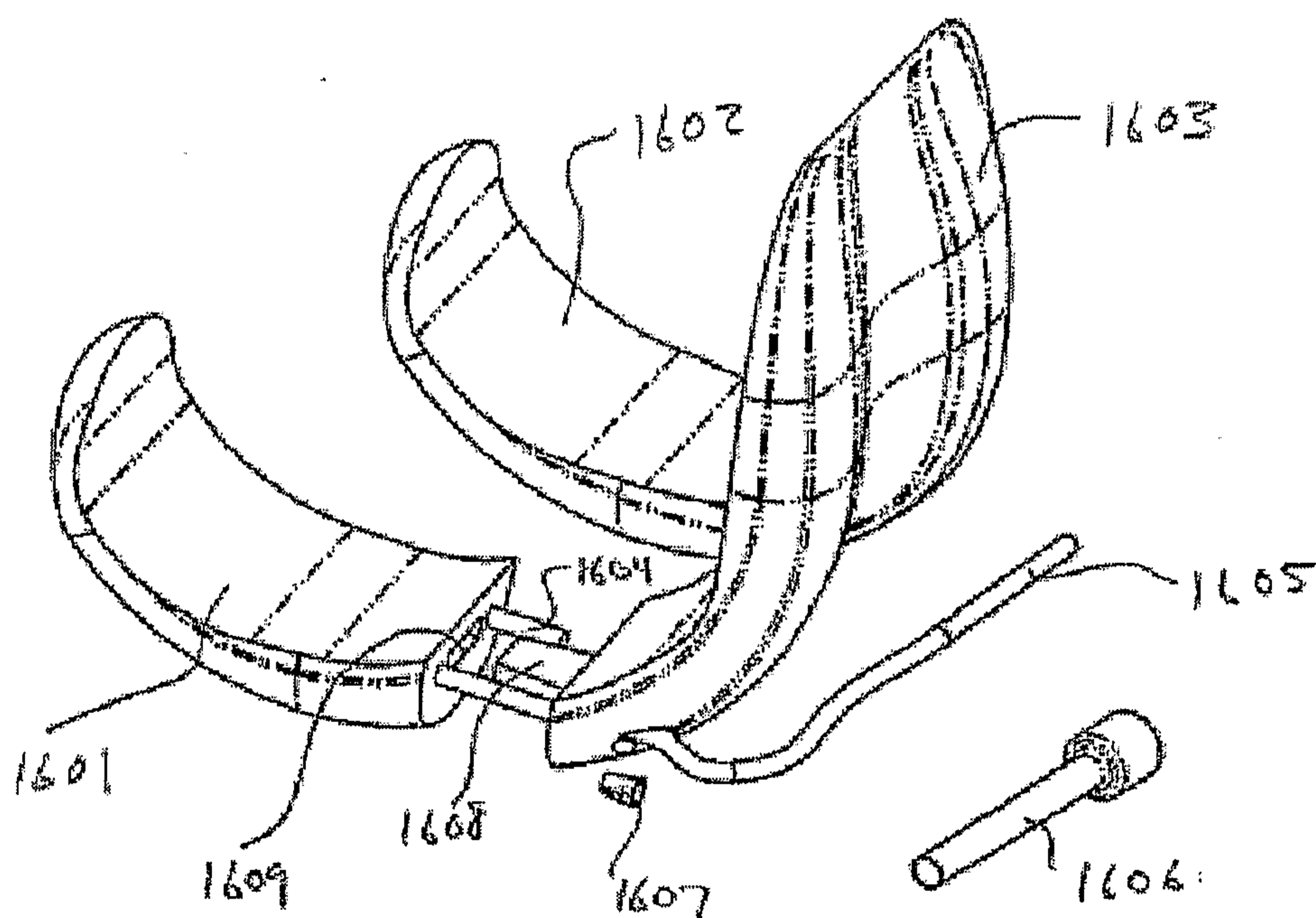


FIG. 16

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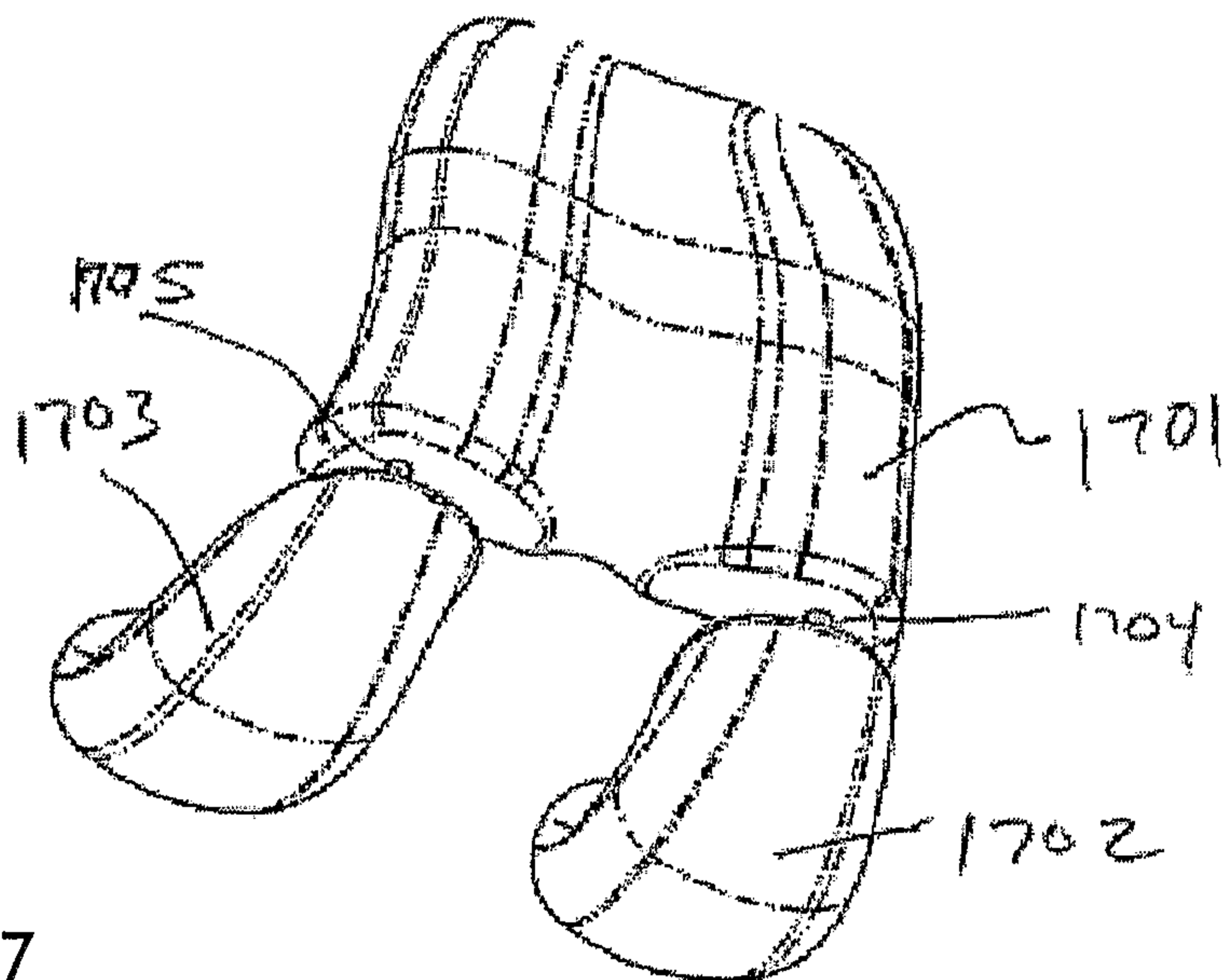


FIG. 17

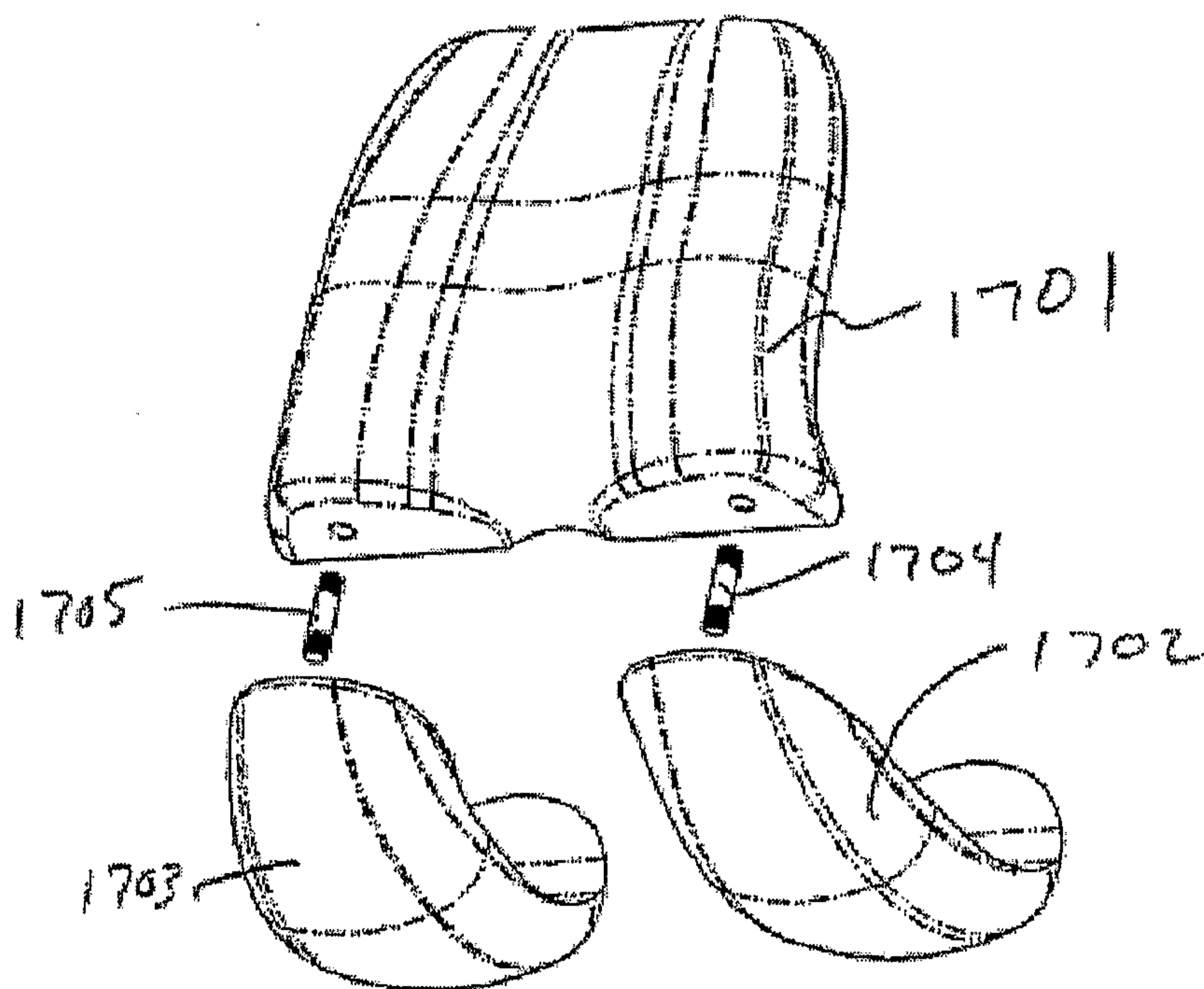


FIG. 18

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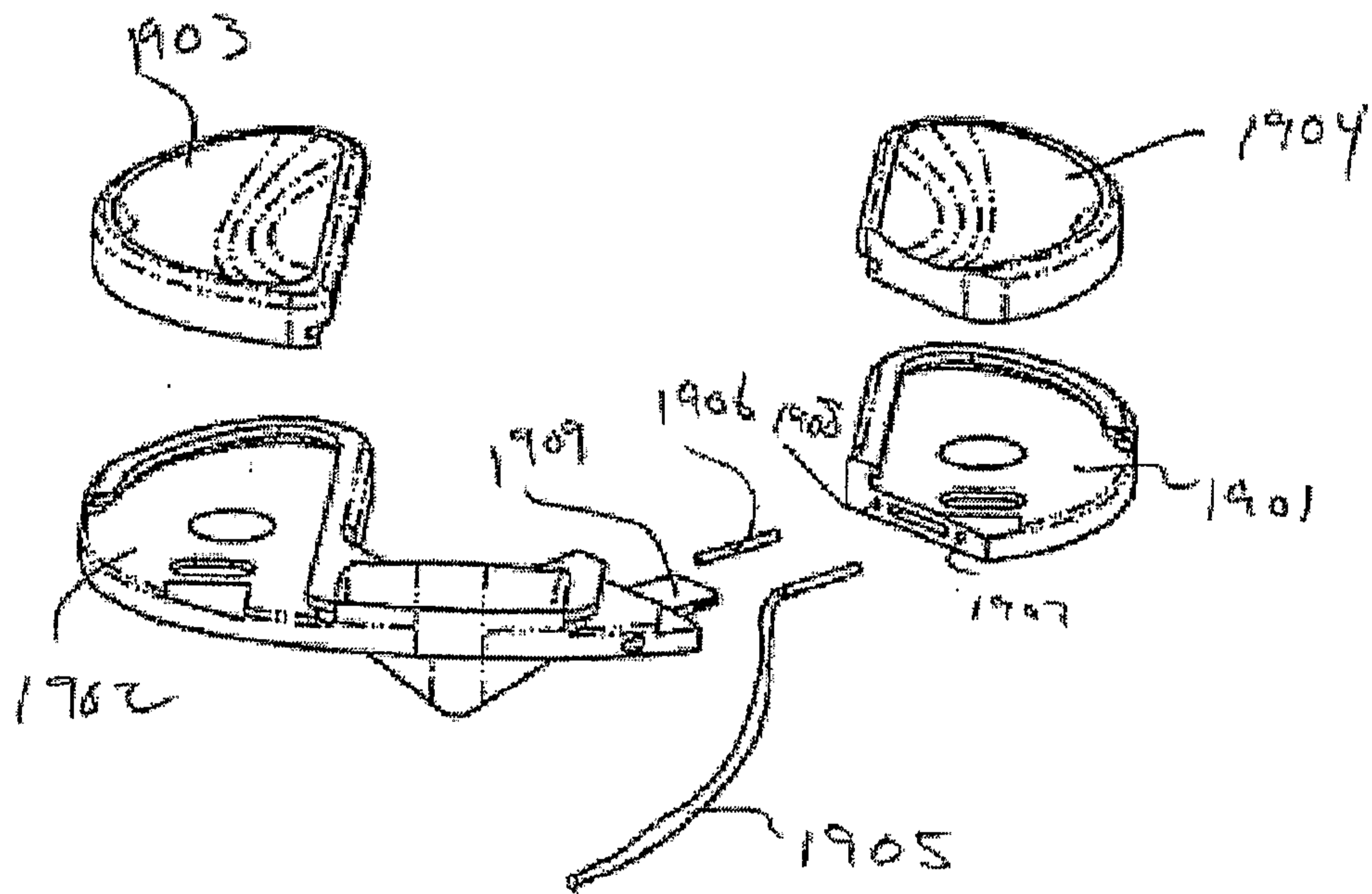


FIG. 19

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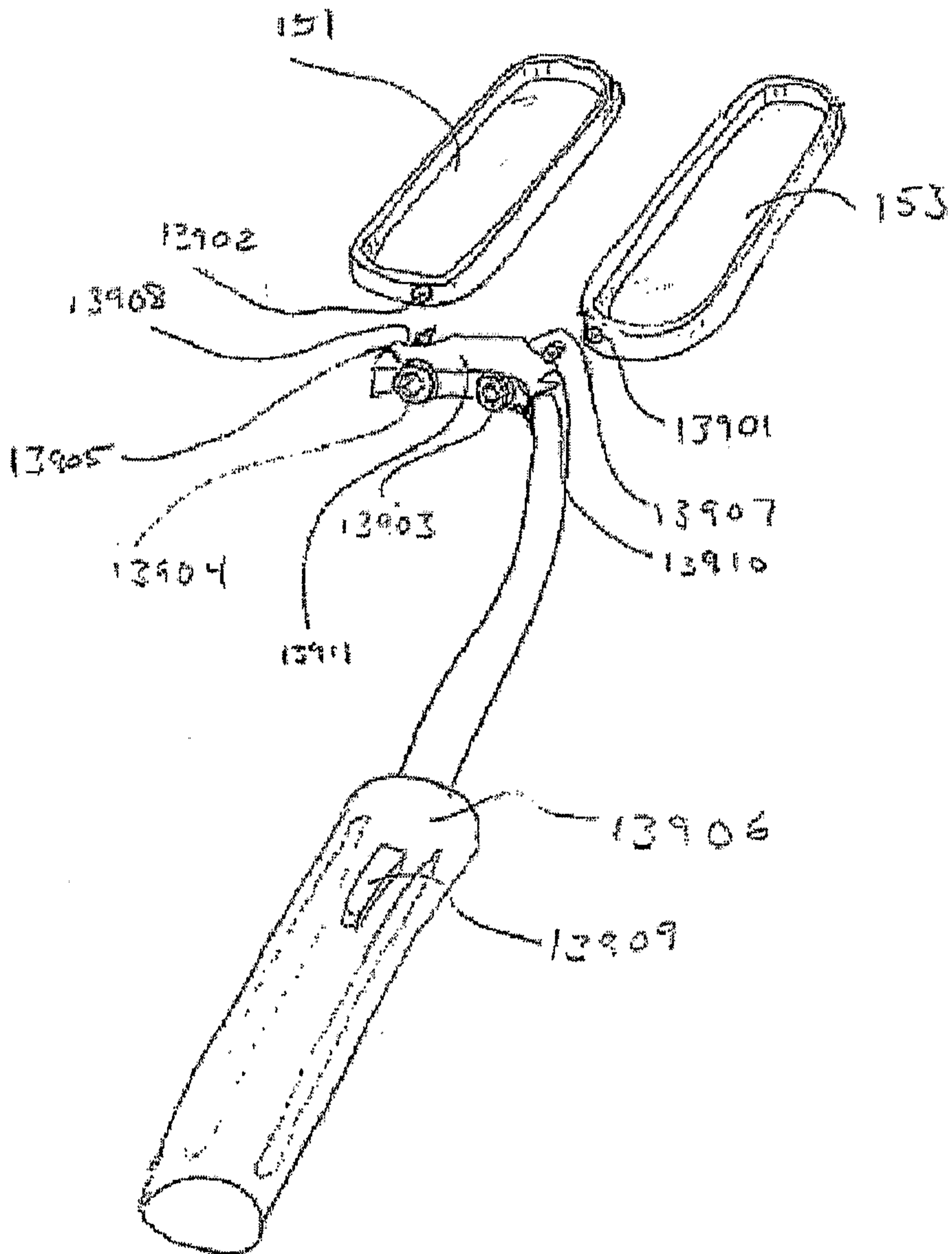


FIG. 20

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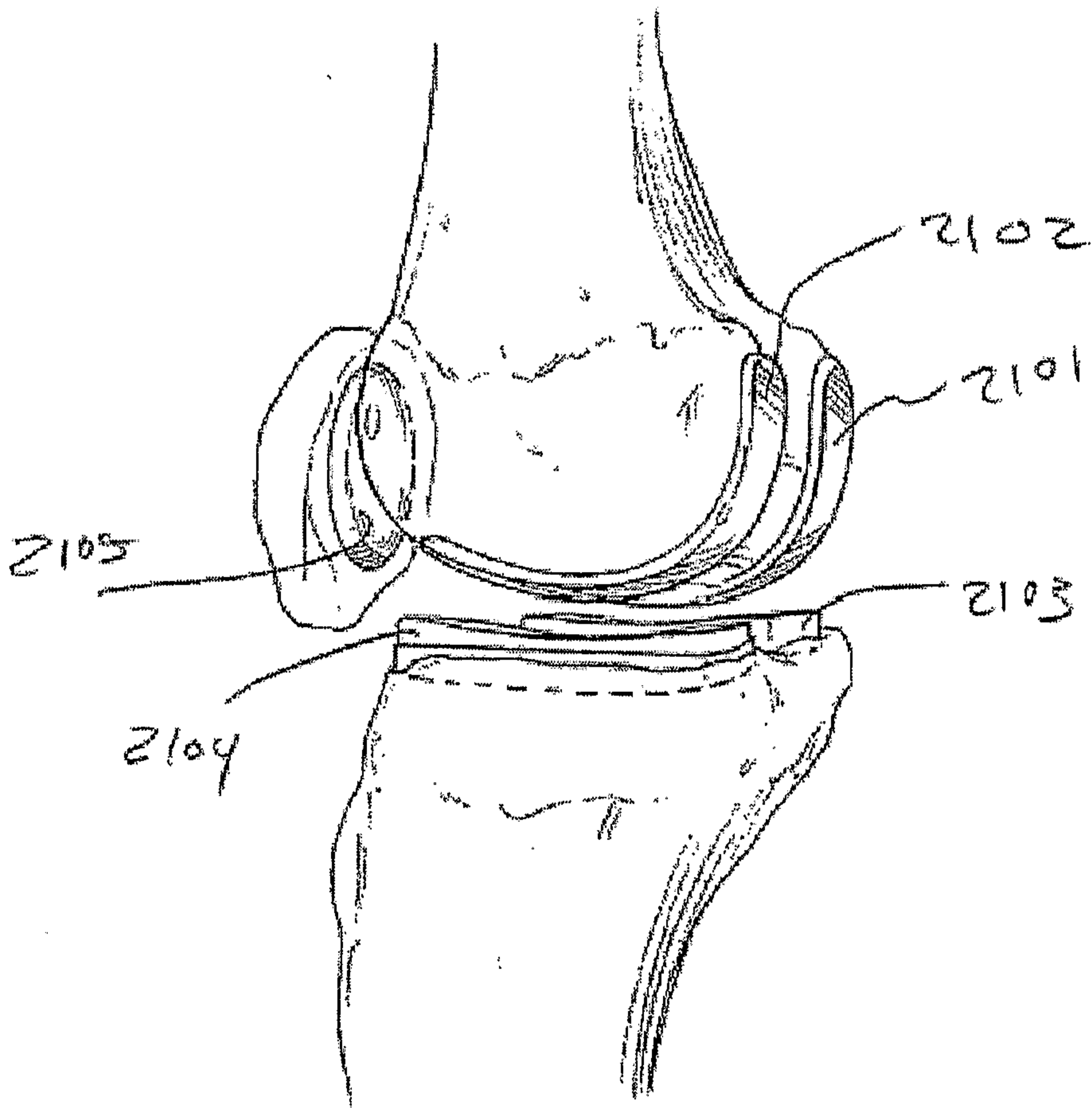


FIG. 21

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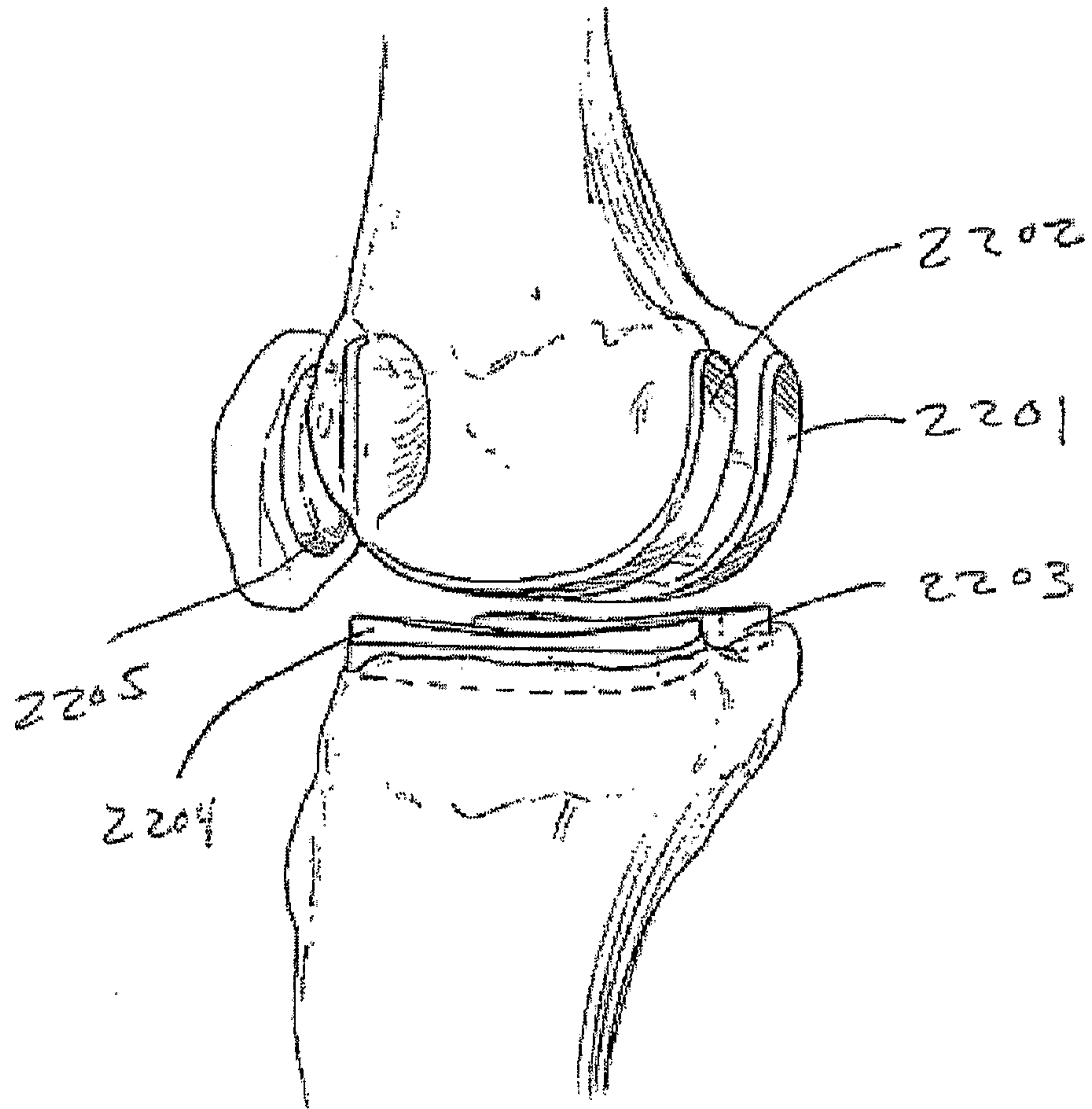
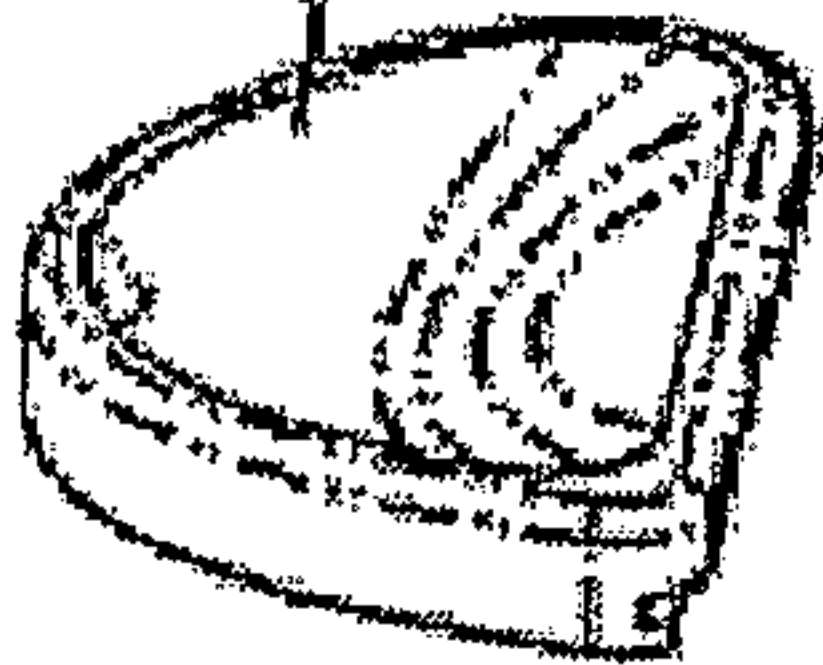
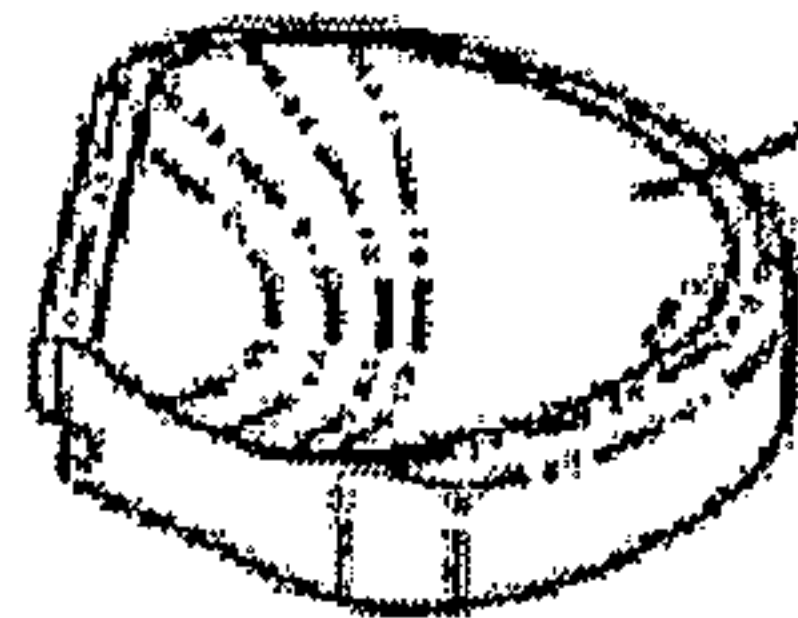


FIG. 22

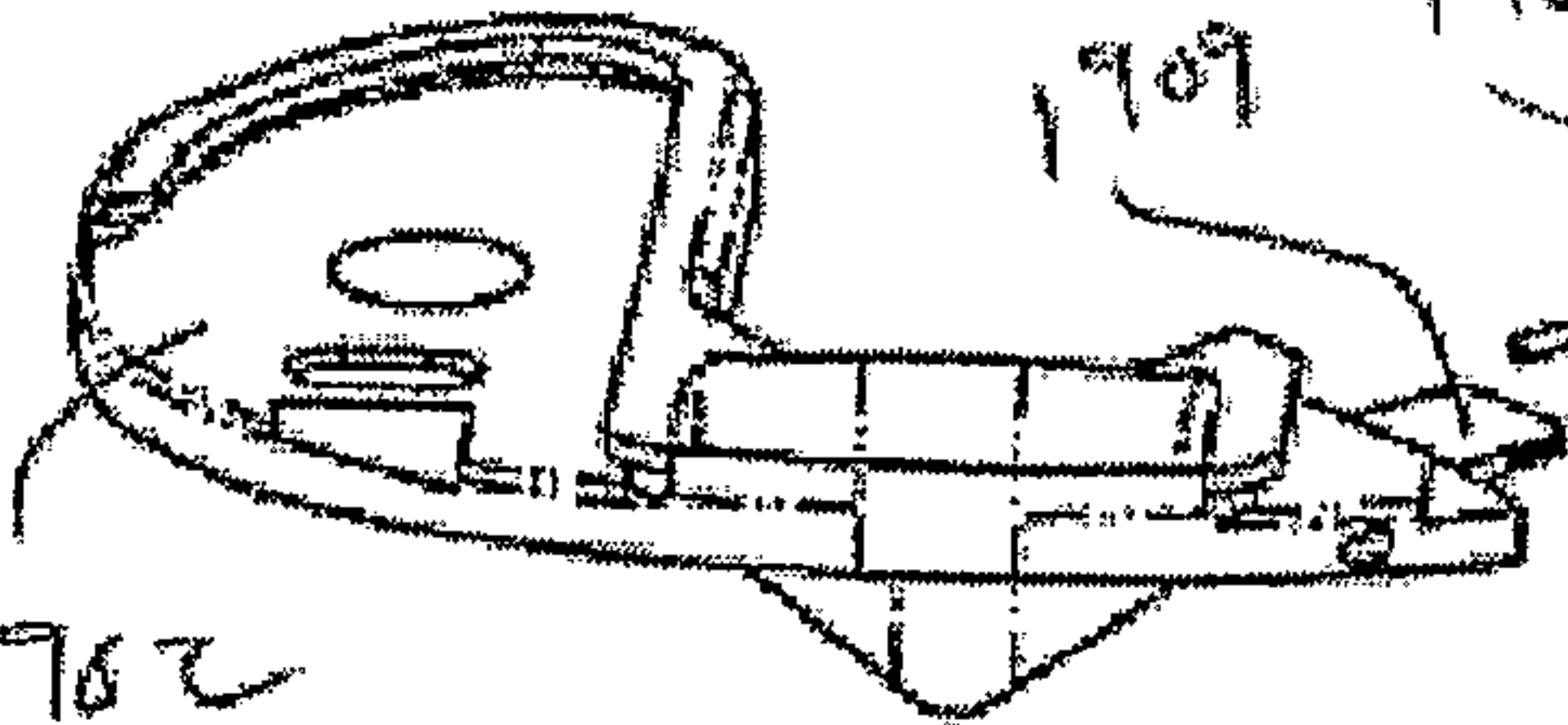
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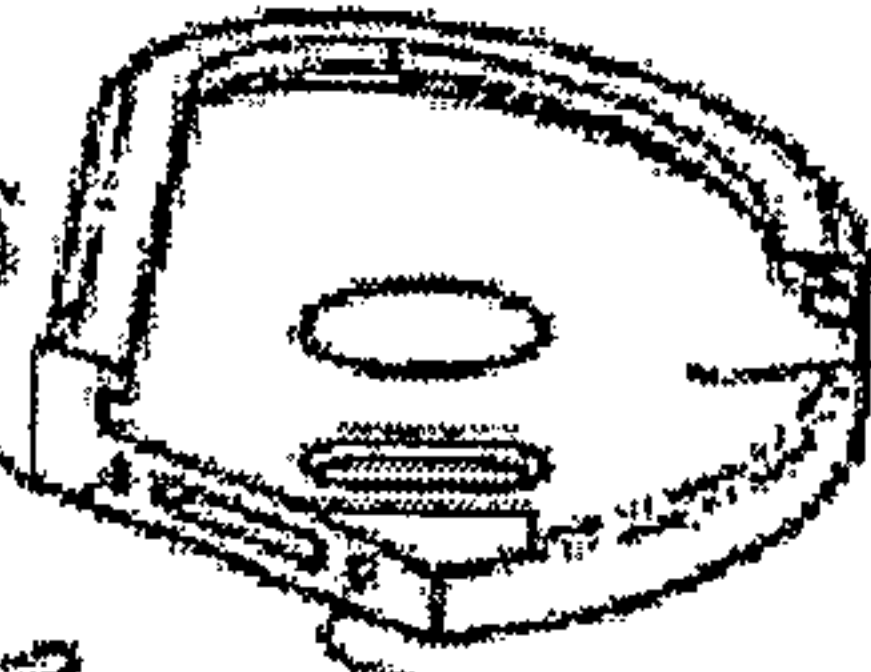


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