

Extended Minerals Due Diligence Monthly Report

Semiconductor Division, November 2024

ams OSRAM's Due Diligence Procedure

ams OSRAM implements and follows OECD Due Diligence Guidance for responsible mineral sourcing by creating policies and procedure following the Five-Step Framework for Risk-Based Due Diligence in the Mineral Supply Chains from conflict-affected and high-risk areas (CAHRAs):

- In order to facilitate clear communication with suppliers, we created and published our Conflict-Free Minerals Policy and Extended Mineral Reporting Template (EMRT) in this website: [Product stewardship - semiconductor | ams OSRAM \(ams-osram.com\)](#).
- As the RMI list of conformant smelters constantly changes, we ensure that problematic smelters are being addressed but results of corrective actions will be reported in the regular ams OSRAM EMRT reporting period.
- The semiconductor division EMRT is published annually after three months from RMI EMRT template update. Results of due diligence will be updated on the EMRT after three months of release.
- To determine applicable mineral supply chain via supplier EMRTs and evaluate risks regarding country of origin and CAHRAs.
- To publish monthly report reviews conducted against available RMI tools to mitigate possible risk in the supply chain.

Results of Inquiry from Supply Chain

EMRT v1.3 final report for 2024 was released and published on **November 15, 2024**, and posted on the product stewardship page with a 100% response rate. In this minerals report, one (1) smelter delisted in [RMI Active and Conformant Facilities List](#) was reported and identified in ams OSRAM's supply chain. The table below shows the current RMI status:

Effective Date	Smelter CID	Metal	Smelter Name	Country	Operational Status	RMAP Audit Status	Reason for Delisting
7/26/2023	CID003403	Cobalt	Glencore Nikkelverk Refinery	NORWAY	Eligible	Outreach required	This company is an eligible smelter and has been contacted regarding the RMI Responsible Minerals Assurance Process. We'll update you should there be any help needed on your part to encourage the smelter to participate in the program.

In the same EMRT, there was one (1) smelter identified to be conformant but ceased their operations. Below table shows the current RMI status:

Effective Date	Smelter CID	Metal	Smelter Name	Country	Operational Status	RMAP Audit Status	Reason for Delisting
7/2/2024	CID003465	Cobalt	Ningbo Hubang New Material Co., Ltd.	CHINA	Not Eligible	Conformant	Facility has suspended or ceased operations. Please verify your current sourcing information.

After reviewing the current RMI Active and Conformant Facilities List, there were no additional nonconformant and suspended or ceased operation smelter affecting ams OSRAM supply chain.

Updates on Due Diligence

As of **November 15, 2024**, affected suppliers have ongoing due diligence for the above smelters.

Conflict-Affected and High-Risk Area (CAHRAs) Smelters

There were five (5) smelters identified in the [CAHRAs](#) region but conformant to RMI:

- CID003264, Co, Chemaf Etoile
- CID003261, Co, Kamoto Copper Company
- CID003275, Co, La Compaigne de Traitement des Rejets de Kingamyambo S.A. (Metalkol S.A.)
- CID003266, Co, Societe pour le Traitement du Terril de Lubumbashi (STL)
- CID003429, Co, Tenke Fungurume Mining SA

What's Next

Due diligence for the remaining nonconformant smelters will continue until the next reporting cycle time sometime in the **3rd quarter of 2025**. We will post the next due diligence monthly report [covering December 2024 and January 2025 updates on the 4th week of January 2025](#) at the [Product Stewardship webpage](#).