

## ams OSRAM Conflict-Free Minerals Sourcing Policy

The protection of human rights is essential for ams OSRAM and embedded in our sustainability strategy of our own operating and affiliated companies as well as our global procurement. We design related processes accordingly to strive alignment of our value chain towards human rights protection – including but not limited to responsible sourcing of minerals.

ams OSRAM take a holistic approach to the responsible sourcing of minerals and conducts due diligence activities aiming that the products do not contain “Conflict Minerals” that directly or indirectly contribute to armed conflict or human rights abuses in Conflict Affected and High-Risk Areas (CAHRA) such as Democratic Republic of the Congo (DRC) and other adjoining countries. According to Securities and Exchange Commission (SEC) rules, the term “Conflict Minerals” refers to, columbite-tantalite, gold, wolframite, or their derivatives, currently limited to tantalum, tin, tungsten, gold, cobalt, mica and potentially other minerals.

In order to identify, prevent, mitigate and where appropriate remediate risks associated with above mentioned minerals ams OSRAM has adopted policies and due diligence management systems consistent with OECD Guidance and furthermore follows in particular:

- the EU Conflict Minerals Regulation 2017/821
- the requirements of the German Supply Chain Due Diligence Act (“Act”) see [LKSG Policy Statement](#)
- Section 1502 of the Dodd-Frank Wall Street Reform and Consumer Protection Act - [factsheet](#)
- Responsible Minerals Assurance Process (“RMAP”) of Responsible Minerals Initiative by Responsible Business Alliance

While sourcing from, or operating in, conflict-affected and high-risk areas, ams OSRAM will neither tolerate nor by any means profit from, contribute to, assist with, or be associated among others with:

- torture, cruel, inhuman, and degrading treatment
- the worst forms of child labor or any form of forced labor
- other gross human rights violations and abuses such as sexual violence
- war crimes or other serious violations of international humanitarian law
- corruption or any other illegal activities such as bribery or money-laundering; and others

We will immediately suspend or discontinue engagement with upstream suppliers where we identify a reasonable risk that they are sourcing from, or linked to, any party committing serious abuses as defined above.

We will not tolerate any direct or indirect support to non-state armed groups through the extraction, transport, trade, handling, or export of minerals.

All ams OSRAM suppliers are expected to:

- comply with the [ams OSRAM Code of Conduct for Suppliers](#) which includes the supplier commitment to prevent and mitigate among others the above risks or violations.
- have due diligence measures in place in accordance with OECD Guidance or other recognized supply chain due diligence standard and can demonstrate it e.g. by participation in an established process such as “Responsible Minerals Assurance Process (RMAP)”. As a member of the Responsible Mineral Initiative (RMI) ams OSRAM asks its suppliers to comply with the RMI process (<http://www.responsiblemineralsinitiative.com>).
- provide Minerals Reporting Templates (CMRT/EMRT/PRT) issued by the Responsible Minerals Initiative (RMI) for tracing back the minerals through the supply chain.

We provide our business partners with the required information on mineral usage in our products and constantly challenge our suppliers to ensure a high level of compliance with the RMI processes.

For other inquiries please visit our webpage: [Product Stewardship](#) or contact your respective ams OSRAM Sales representative.