

Conflict Minerals Due Diligence Monthly Report

Semiconductor Division, November 2024

ams OSRAM's Due Diligence Procedure

ams OSRAM implements and follows OECD Due Diligence Guidance for responsible mineral sourcing by creating policies and procedure following the Five-Step Framework for Risk-Based Due Diligence in the Mineral Supply Chains from conflict-affected and high-risk areas (CAHRAs):

- In order to facilitate clear communication with suppliers, we created and published our Conflict Mineral Policy and Conflict Mineral Reporting Template (CMRT) in this website: [Product stewardship - semiconductor | ams OSRAM \(ams-osram.com\)](https://www.ams-osram.com/product-stewardship-semiconductor).
- As the RMI list of conformant smelters constantly changes, we ensure that problematic smelters are being addressed but results of corrective actions will be reported in the regular ams OSRAM CMRT reporting period.
- The semiconductor division CMRT is published every 3rd quarter of the year and updated by the end of the year with due diligence results.
- To determine applicable mineral supply chain via supplier CMRTs and evaluate risks regarding country of origin and CAHRAs.
- To publish monthly report reviews conducted against available RMI tools to mitigate possible risk in the supply chain.

Results of Inquiry from Supply Chain

CMRT v6.4 final report for 2024 was released and published on **November 15, 2024**, and posted on the product stewardship page with a **100% response rate**. In this minerals report, one (1) smelter delisted in [RMI Active and Conformant Facilities List](#) was reported and identified in ams OSRAM's supply chain. The table below shows the current RMI status:

| Effective Date | Smelter CID | Metal | Smelter Name | Country | Operational Status | RMAP Audit Status | Reason for Delisting |
|----------------|-------------|-------|---------------------------|--------------------------|--------------------|-------------------|--|
| 8/2/2024 | CID000969 | Au | Kennecott Utah Copper LLC | UNITED STATES OF AMERICA | Eligible | Outreach required | Facility is currently non-conformant to the RMAP audit standard. |

In the same CMRT, there were twenty-one (21) smelters identified to be conformant but ceased their operations according to RMI data. These smelters are planned to be delisted from RMI list on July 31, 2025. Below table shows the current RMI status, as well:

| Effective Date | Smelter CID | Metal | Smelter Name | Country | Operational Status | RMAP Audit Status | Reason for Delisting |
|----------------|-------------|-------|--------------------------------|-----------------------------------|--------------------|-------------------|---|
| 5/13/2024 | CID001486 | Sn | PT Timah Nusantara | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 5/13/2024 | CID003205 | Sn | PT Bangka Serumpun | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 6/20/2024 | CID001406 | Sn | PT Babel Surya Alam Lestari | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 6/20/2024 | CID003381 | Sn | PT Rajawali Rimba Perkasa | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 7/2/2024 | CID004065 | Sn | Mining Minerals Resources SARL | CONGO, DEMOCRATIC REPUBLIC OF THE | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 7/3/2024 | CID000309 | Sn | PT Aries Kencana Sejahtera | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 7/3/2024 | CID001463 | Sn | PT Sariwiguna Binasentosa | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 7/3/2024 | CID001490 | Sn | PT Tinindo Inter Nusa | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 7/3/2024 | CID001493 | Sn | PT Tommy Utama | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 7/3/2024 | CID001399 | Sn | PT Artha Cipta Langgeng | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |

| Effective Date | Smelter CID | Metal | Smelter Name | Country | Operational Status | RMAP Audit Status | Reason for Delisting |
|----------------|-------------|-------|--------------------------------|-----------|--------------------|-------------------|---|
| 7/4/2024 | CID002570 | Sn | CV Ayi Jaya | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 7/4/2024 | CID002455 | Sn | CV Venus Inti Perkasa | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 7/4/2024 | CID001402 | Sn | PT Babel Inti Perkasa | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 7/4/2024 | CID002776 | Sn | PT Bangka Prima Tin | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 7/4/2024 | CID001421 | Sn | PT Belitung Industri Sejahtera | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 7/4/2024 | CID001428 | Sn | PT Bukit Timah | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 7/4/2024 | CID002855 | Sn | PT Menara Cipta Mulia | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 7/4/2024 | CID001458 | Sn | PT Prima Timah Utama | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 7/4/2024 | CID001460 | Sn | PT Refined Bangka Tin | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 7/4/2024 | CID002816 | Sn | PT Sukses Inti Makmur (SIM) | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |
| 8/12/2024 | CID001468 | Sn | PT Stanindo Inti Perkasa | INDONESIA | Not Eligible | Conformant | Facility has suspended or ceased operations. Please verify your current sourcing information. |

These smelters will require appropriate actions from affected suppliers subsequently. The results will be reflected in monthly due diligence reports.

Updates on Due Diligence

As of [November 15, 2024](#), all affected suppliers have ongoing corrective actions to reach out with the sole smelter reported in ams OSRAM's supply chain.

Conflict-Affected and High-Risk Area (CAHRAs) Smelters

There were five (5) smelters identified in the [CAHRAs](#) region but conformant to RMI:

- CID003641, Au, Gold by Gold Colombia
- CID002509, Au, MMTC-PAMP India Pvt., Ltd.
- CID003831, Sn, DS Myanmar
- CID004065, Sn, Mining Minerals Resources SARL
- CID003409, Sn, Previous Minerals and Smelting Limited

What's Next

Due diligence for the remaining nonconformant smelters will continue until the next reporting cycle time sometime in the **3rd quarter of 2025**. We will post the next due diligence monthly report [covering December 2024 and January 2025 updates on the 3rd week of January 2025](#) at the [Product Stewardship webpage](#).