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**Diversity and functional traits of lichens in ultramafic areas: a literature-based worldwide analysis integrated by field data at the regional scale**

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4 **Diversity and functional traits of lichens in ultramafic areas: a literature-based**  
5 **worldwide analysis integrated by field data at the regional scale**

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34 **Abstract**

35 While higher plant communities found on ultramafics are known to display peculiar  
36 characteristics, the distinguishability of any peculiarity in lichen communities is still a matter  
37 of contention. Other biotic or abiotic factors, rather than substrate chemistry, may contribute  
38 to differences in species composition reported for lichens on adjacent ultramafic and non-  
39 ultramafic areas.

40 This work examines the lichen biota of ultramafics, at global and regional scales, with  
41 reference to species-specific functional traits. An updated world list of lichens on ultramafic  
42 substrates was analyzed to verify potential relationships between diversity and functional  
43 traits of lichens in different Köppen-Geiger climate zones. Moreover, a survey of diversity  
44 and functional traits in saxicolous communities on ultramafic and non-ultramafic substrates  
45 was conducted in Valle d'Aosta (NW Italy) to verify whether a relationship can be detected  
46 between substrate and functional traits that cannot be explained by other environmental  
47 factors related to altitude.

48 Analyses (UPGMA, CCA, SDR) of global lichen diversity on ultramafic substrates (2314  
49 reports of 881 taxa from 43 areas) displayed a zonal species distribution in different climate  
50 zones rather than an azonal distribution driven by the shared substrate. Accordingly,  
51 variations in the frequency of functional attributes reflected reported adaptations to the  
52 climate conditions of the different geographic areas. At the regional scale, higher similarity  
53 and lower species replacement were detected at each altitude, independent from the substrate,  
54 suggesting that altitude-related climate factors prevail over putative substrate-factors in  
55 driving community assemblages. In conclusion, data do not reveal peculiarities in lichen  
56 diversity or the frequency of functional traits in ultramafic areas.

57

58 Keywords: functional traits; lichen; Köppen-Geiger climate zones; serpentine; ultramafic

59

## 60 **Introduction**

61 Deficiency in plant essential nutrients, reduced water availability, combined with Mg:Ca  
62 imbalance and often high Ni, Cr and Co — altogether known as the “serpentine factors”—  
63 result in unique phanerogamic floras in ultramafic ecosystems (Harrison and Rajakaruna  
64 2011). Ultramafic areas are generally distinct from surrounding non-ultramafic ones in that  
65 they harbor low vascular plant diversity and density, leading to sparsely vegetated landscapes  
66 (Brooks 1987, with refs therein). Moreover, ultramafic ecosystems display a spectacular  
67 richness of plant endemism, species showing exceptional capacity for metal accumulation (i.e.  
68 hyperaccumulators; van der Ent et al. 2013), ecotypes with peculiar morphologies  
69 (serpentinomorphoses, *sensu* Pichi-Sermolli 1948), abundance of species characterized by  
70 disjunct distributions (Rajakaruna 2017), and the co-presence of acidophytic and basiphytic  
71 species (e.g. O’Dell and Rajakaruna 2011; van der Ent et al. 2013; Anacker 2014). These  
72 features have long fascinated botanists, making ultramafic environments a model system to  
73 explore plant adaptation and evolution by natural selection (Harrison and Rajakaruna 2011).

74 Not surprisingly, investigations on lichens in ultramafic areas have often been discussed with  
75 reference to the well-documented peculiarities of higher plant communities on ultramafics  
76 (Favero-Longo et al. 2004). However, the recognizability of any peculiarity in lichen  
77 communities on ultramafics is still a matter of contention (Favero-Longo 2014). Reduced  
78 lichen diversity and abundance have been recognized, but only on certain ultramafic  
79 lithologies (Favero-Longo et al. 2015, with refs therein). Many lichen species previously  
80 regarded as exclusive to serpentinized ultramafic rocks were subsequently reported from other  
81 (mafic) rocks (Favero-Longo et al. 2004). Observations of ‘serpentinomorphoses’ such as  
82 stenophyllism or dwarfism were occasionally described in the first half of the last century  
83 (Suza 1927; Sambo 1937), but have not been subsequently confirmed. Nevertheless, when  
84 lichen diversity has been compared between adjacent ultramafic and non-ultramafic areas,  
85 some differences have often been noted, suggesting some substrate-related peculiarities  
86 (Favero-Longo et al. 2004; Favero-Longo 2014, with refs therein). Recent work has suggested  
87 that other biotic or abiotic factors, rather than rock or soil chemistry, may primarily drive  
88 species- and community-level processes in the case of lichens, potentially explaining these  
89 peculiarities (Favero-Longo & Piervittori 2009; Rajakaruna et al. 2012; Favero-Longo et al.  
90 2015). However, no investigations have focused on this topic because of the difficulties in  
91 comparing studies from different ultramafic areas in different parts of the world.

92 In this context, the study of functional traits of species (*sensu* Violle et al. 2007) and their  
93 direct links to environmental factors has been shown to allow comparisons among different  
94 ecosystems and across regions (Giordani et al. 2012). In the case of lichens, the study of  
95 morpho-physio-phenological traits influencing growth, reproduction and survival have  
96 clarified relationships between lichen traits and environmental factors, including disturbance,  
97 solar radiation, water drainage, fire, land management, and climate gradients (Giordani et al.  
98 2014; Nelson et al. 2015; Giordani et al. 2016). Similar analyses of functional traits of lichens  
99 with respect to substrate and other macro- and micro-environmental factors have not been  
100 performed with respect to lichen communities in ultramafic areas alone or in comparison with  
101 those on non-ultramafic substrates. Only some correlations of substrate-related elemental  
102 concentrations in thalli with fecundity and secondary metabolite patterns have been suggested  
103 for lichens of the genus *Xanthopamelia* on felsic and mafic lithologies (Deduke et al. 2016;  
104 Matteucci et al. 2017).

105 In this paper, we examine the lichen biota in ultramafic areas with reference to species-  
106 specific functional traits. Our first objective was to survey diversity and functional traits of  
107 lichens in ultramafic areas throughout the world, evaluating the occurrence of local trends of  
108 adaptation to geographically-related environmental pressures or of common, ultramafic-  
109 related fitness signatures. With this regard, we updated the checklist of lichen reports from  
110 ultramafic areas (with respect to Favero-Longo et al. 2004), classified the ultramafic areas —  
111 located in different climate regions based on the updated World Map of the Köppen-Geiger  
112 climate classification (Kottek et al. 2006; Rubel et al. 2017)— on the basis of the presence or  
113 absence of lichen species, and examined whether there is a correlation between lichen  
114 diversity and functional traits with their distribution across climate regions. Our second  
115 objective was to examine whether a relationship can be detected between substrate and  
116 functional traits which cannot be explained by other environmental factors. This work was  
117 conducted at a regional scale due to the difficulty in expanding a similar investigation to a  
118 wider spatial scale. In particular, diversity and functional traits were surveyed in lichen  
119 communities on ultramafic and non-ultramafic substrates in areas of Valle d’Aosta (NW-  
120 Italy) at different altitudes, evaluating whether a primary influence of lithology can be  
121 detected or whether other environmental factors related to altitude prevail in driving  
122 community-level processes at a regional scale.

123

## 124 **Methods**

125 *World literature survey*

126 A survey was conducted of published records of lichens on ultramafic rocks of stratiform,  
127 concentrically zoned, ophiolitic, and high temperature peridotite complexes (see Malpas  
128 1992) throughout the world. Forty-nine pertinent publications were utilized (with some  
129 references therein), including floristic and vegetation studies and species reports for 43  
130 ultramafic areas. For each area, information was collected on the ultramafic lithology (e.g.  
131 non-serpentinized or serpentinized peridotite) and the climate (according to the updated world  
132 map of Köppen-Geiger climate classification; Kottek et al. 2006; Rubel et al. 2017) (Table 1).  
133 A comprehensive list of lichen species reported from ultramafic areas was compiled, with  
134 nomenclature updated according to Index Fungorum (2017), CNALH (2017) and Nimis  
135 (2016). The  $\alpha$ -diversity per area and species frequency in the overall areas were analysed.  
136 Areas with at least 20 species (n=26 areas) were classified (UPGMA, Phi as dissimilarity  
137 coefficient, arbitrary resolution of ties; Podani 2001) on the basis of the presence/absence of  
138 species with at least two reports. The relative importance of components of  $\gamma$ -diversity [i.e.  
139 similarity (S), relativized richness difference (D), and relativized species replacement (R)]  
140 was evaluated for all combinations of these areas by analysing the matrix of species  
141 presence/absence with SDR Simplex software (2001) using the Simplex method (SDR  
142 Simplex; Podani and Schmera 2011). Similarity (S) was calculated following the Jaccard  
143 coefficient of similarity:

144  $S_{Jac} = a/n$

145 where  $a$  is the number of species shared by the two plots, and  $n$  is the total number of species.

146 The relativised richness difference (D) was calculated as the ratio of the absolute difference  
147 between the species numbers of each site ( $b, c$ ) and the total number of species,  $n$ :

148  $D = |b-c|/n$

149 Relativised species replacement (R) was calculated as:

150  $R = 2 * \min \{b, c\}/n$

151 A relativised  $\beta$ -diversity as the sum of R+D, a relativised richness agreement as the sum of  
152 R+S, and a relativised nestedness as the sum of S+D were also calculated for each pair of  
153 areas following Podani and Schmera (2011).

154

155 *Regional field survey: study area, sampling design and statistical analyses*

156 Lichen diversity was surveyed on serpentinized-ultramafic rocks and non-ultramafic  
157 lithologies, including granite, gneiss and micaschist, in nine areas of Valle d'Aosta (NW-  
158 Italy) distributed at three different altitudes (approx. 550, 1600 and 2250 m; Table 2). The

159 intra-alpine Valle d'Aosta (approx. 3200 km<sup>2</sup>) displays a fairly dry semi-continental climate,  
160 ranging from arid (BSk), to temperate (Cfa, Cfb) and boreal (Dfc)-alpine (ET, EF) at the three  
161 surveyed altitudes (Rubel et al. 2017a, b).

162 At each site, delimited on the basis of the homogeneous occurrence of a lithology at a certain  
163 altitude, four plots were defined by randomly drawing geographical coordinates determined  
164 by a GPS (Garmin 12) and surveyed during the summer of 2012 and 2013. In each plot, three  
165 independent 50×50 cm sub-plots were established on the three rock surfaces closest to the  
166 randomly extracted coordinate and sharing the following (micro-)environmental features:  
167 direct solar irradiation, regular micromorphology, slope <30°, absence of cracks, soil deposits  
168 and mosses. Each plot was surveyed using a square grid divided into 25 quadrats (10×10 cm).  
169 The frequency of lichen species within each sub-plot (as the sum of their occurrences within  
170 the grid quadrats) was estimated visually. Lichens were identified using Clauzade and Roux  
171 (1985), Wirth (1995), Smith et al. (2009) and monographic descriptions. Nomenclature  
172 follows Nimis (2016). Sample vouchers were deposited at HB-TO *Cryptogamia*.

173 Areas, plots and sub-plots were classified (UPGMA, Euclidean as dissimilarity coefficient,  
174 arbitrary resolution of ties; Podani 2001) on the basis of the frequency of species. The sub-  
175 plot level matrix of species frequency was analysed with SDR Simplex software (2001) using  
176 the Simplex method (SDR Simplex; Podani and Schmera 2011), as previously detailed.

177

### 178 *Functional traits and statistical analyses*

179 For each lichen species listed from at least five ultramafic areas throughout the world (i.e.  
180 species reported in >20% of sites with at least 20 species), and for each species listed from  
181 ultramafic and non-ultramafic sites of Valle d'Aosta, we defined a set of functional traits (i.e.  
182 components of their phenotype that determine their effects on biological processes and their  
183 response to environmental factors) (Violle et al. 2007). Following Giordani et al. (2016, with  
184 refs therein), we selected traits associated with reproduction and ecophysiology (Table 3).  
185 Several traits play a decisive role in the phases of dispersal and establishment of new thalli:  
186 most of them include attributes related to morphological characteristics of the spores, such as  
187 their shape, number, dimension and color (Armstrong 1981; Morando et al. 2017). Some eco-  
188 physiological functions, such as substrate colonization, evapo-transpiration or photon  
189 absorption, are strictly related to lichen growth form (Palmqvist 2000), whereas some features  
190 (e.g. thallus and epithecium colors or the presence of pruina) are involved in the protection  
191 from solar radiation (Giordani et al. 2003). In this regard, secondary metabolites also play  
192 protective roles from negative effects of solar radiation, but are also used to regulate pH and

193 metal homeostasis and as a defense from other abiotic and biotic stresses (Elix & Stocker-  
194 Wörgötter 2008; Hauck et al. 2009, 2013). Functional attributes were assigned to each trait  
195 (i.e. values or modalities taken by the trait and varying along environmental gradients and/or  
196 through time; Giordani et al. 2016). A multidimensional functional space was identified for  
197 both the world and regional datasets (lichens found on ultramafics and lichens found on both  
198 ultramafic and non-ultramafic substrates, respectively) placing each taxon according to its  
199 functional niche and calculating functional distances between species in each dataset.

200 In particular, the matrices of species presence/absence (world dataset) or frequency (regional  
201 dataset, at the plot and sub-plot level level), and those of functional traits were processed  
202 through a canonical correspondence analysis (CCA), which partitions variation explained by  
203 each variable and constructs a model of significant variables (CCA using biplot scaling for  
204 inter-species distances, Hill's scaling for inter-sample distances; choosing forward selection  
205 of variables option; performing Monte Carlo permutation test on the first and all ordination  
206 axes) (Ter Braak & Verdonschot 1995). The ordinations were performed using CANOCO 4.5  
207 (Ter Braak & Šmilauer 2002).

208

## 209 **Results**

### 210 *World survey*

211 A total of 2314 reports of lichens, attributable to 881 specific and subspecific taxa, were listed  
212 for ultramafic substrates worldwide (Table S1 in Supplementary Materials). Some tens of  
213 other reports, revealing taxonomic uncertainty because of incomplete information (e.g.  
214 absence of authority), were excluded from the counts. Most of the taxa were only reported in  
215 one (52%) or two (20%) ultramafic areas, while 15% and 4% of taxa in at least five and ten  
216 areas, respectively (Fig. 1a). *Candelariella vitellina* was the most commonly reported species  
217 on ultramafic substrates, being listed in 28 out of the 43 considered areas. The highest specific  
218 richness was reported for the Lizard Peninsula (217 species, Gilbert and James 1987; Fig.  
219 1B), but the different, and not always specified, extent of the surveyed ultramafic areas,  
220 together with the heterogeneity of the surveying approaches, may not allow a consistent  
221 identification of biodiversity hotspots.

222 Twenty-six areas, distributed between Europe (up to Urals; 81%) and North (N-) America  
223 (19%), hosted more than 20 species. The classification of these areas on the basis of species  
224 presence/absence data resulted in four main groups (I-IV; Fig. 2). Group I included areas  
225 (n=4) in western coasts of Europe and N-America with warm temperate climate, fully humid



226 and with a warm summer (Cfb, or Csb bordering on Cfb in the case of Fi). Group II included  
227 areas (n=9) with Cfb climate in central Europe, and areas of northern Europe and northern N-  
228 America with snow climates, fully humid and with a warm summer (Dfb) or with cold  
229 summer and cold winter (Dfc). Group III included (sub-) Mediterranean areas of Europe with  
230 warm temperate climate with dry, hot summer (Csa) or more humid areas with hot to warm  
231 summer (Cfa-Cfb borders). Group IV included areas of the Alps (n=6), with climates ranging  
232 from warm temperate with hot summer, at the border with the Po Plain, to snow to polar  
233 climate at highest altitudes (from Cfa-Cfb to ET). Areas of California (n=2) with warm  
234 temperate climate with dry and hot summer (Csb) also clustered within this group.

235 The SDR analysis (Table 4; Fig. 3a) showed a very low species similarity (S), whereas the  
236 species replacement (R) was the major component of  $\gamma$ -diversity. Relativized  $\beta$ -diversity  
237 (R+D) was approximately 90%.

238 A number of functional attributes largely characterized the lichen species more widely  
239 reported from ultramafic areas (i.e. reported from at least five ultramafic areas; Table 5):  
240 presence of chlorococcoid photobiont, a crustose continuous thallus without pruina,  
241 predominance of sexual reproduction, and asci with 8 ellipsoid hyaline spores without septa.  
242 Such attributes were dominant through all the groups of ultramafic areas I-IV; however, each  
243 group had remarkable relative variations in the frequency of functional attributes (see  $\Delta$ Gr in  
244 Table 5), as also displayed by CCA-I (Fig.4). The analysis of the world dataset of species  
245 presence/absence and the related functional traits of species extracted four axes which  
246 accounted for 66.1% of ultramafic area-functional trait relationships. All canonical axes were  
247 significant (Monte Carlo test, p-value = 0.002). The first axis (34.9% of correlation) was  
248 characterized by growth form (GROW, weighted correlation 0.71), which was the factor  
249 exhibiting the higher conditional effect according to forward selection (F-value 6.58, p-value  
250 0.002) and reproductive strategy (REPR, w.c. 0.62, F-value 2.46, p-value 0.002). The  
251 production of aliphatic compounds (Alip, F-value 2.44, p-value 0.002), occurrence of pruina  
252 (PRUI, F-value 1.87, p-value 0.016) and spore shape (SSHA, F-value 2.17, p-value 0.006)  
253 also showed significant conditional effects, being mostly related to axes 2 (12.0% of  
254 correlation), 3 (10.7%) and 4 (8.5%), respectively. Ultramafic areas of groups II, III and IV  
255 (see Fig. 2) scattered separately along the first axis, while those of group I were separated  
256 along the second axis. Group II was positively correlated with GROW and REPR, with  
257 reference to higher occurrence of macrolichens (foliose and fruticose) and species with  
258 predominant asexual reproduction. Groups III and IV showed no correlation and a negative

259 correlation, respectively, with GROW and REPR, whereas they were positively correlated to  
260 Alip and PRUI, indicating the production of aliphatic compounds and pruina on the thallus  
261 surface. Group I was instead negatively or poorly correlated with both GROW and REPR and  
262 Alip and PRUI.

263

#### 264 *Regional survey*

265 A total of 111 lichen taxa were recorded through the nine areas surveyed in Valle d'Aosta,  $\alpha$ -  
266 diversity per area ranging from 25 to 53 species (Table S4 in Supplementary Materials).  
267 Species diversity was not significantly different between ultramafic and non-ultramafic  
268 substrates or between different altitudes when evaluated either per area or per plot (ANOVA,  
269  $p > 0.005$ ), although the highest numbers of species characterized were from non-ultramafic  
270 areas and plots at medium altitudes (Table 2; Fig. 5). On all substrates, *Candelariella vitellina*  
271 was the most commonly reported species in all low altitude areas, while *Rhizocarpon*  
272 *geographicum* was the most commonly reported species at medium and high altitudes. Only  
273 these two species, together with *Circinaria caesiocinerea*, occurred in all of the surveyed  
274 areas. Species exclusive of ultramafic and non-ultramafic areas were 14% and 44%,  
275 respectively. Species occurring at all three altitudes were 16%, while 14%, 24% and 11%  
276 were exclusive to areas at low, medium and high altitudes, respectively.

277 The classification of the nine areas on the basis of lichen frequency data resulted in the  
278 separation of three main groups, each including ultramafic and non-ultramafic areas, and  
279 mostly reflecting the three surveyed altitudes (Fig. 6). A similar pattern was also obtained by  
280 analysing the matrix at the plot and sub-plot level (not shown).

281 The SDR analysis showed a strongly higher similarity (S) for ultramafic and non-ultramafic  
282 areas surveyed at the regional scale than that calculated for the world survey limited to  
283 ultramafic areas (Table 4; Fig. 3b). Richness difference (D) was instead lower, while species  
284 replacement (R) was analogous. Similar values were obtained when the SDR analysis was  
285 performed separately for non-ultramafic and ultramafic areas, the latter showing only a slight  
286 increase in similarity and decrease in richness difference (Table 4; Fig. 3c, d). Instead, the  
287 SDR analysis performed separately for the three altitudes showed remarkably higher  
288 similarity and lower species replacement in the case of areas at medium and high altitudes  
289 (Table 4; Fig. 3e, f, g).

290 The functional attributes that dominated the species listed from ultramafic areas at the global  
291 scale were also dominant in the lichen species documented at the regional level in ultramafic  
292 and non-ultramafic areas of Valle d'Aosta. The frequencies of functional attributes detected at

293 the regional level, in particular, were close to values calculated for group IV of ultramafic  
294 areas, including alpine areas (Table 5). Higher variations in the frequency of attributes  
295 (including vegetative, reproductive and metabolic traits) were observed among species from  
296 different altitudes relative to species from different substrates (see  $\Delta U$ -NU and  $\Delta alt$  in Table  
297 5), as also indicated by CCA-II (Fig. 7). The analysis of regional species frequency and  
298 related functional traits extracted four axes which only accounted for 64.8% of area-functional  
299 trait relationships. All canonical axes were significant (Monte Carlo test, p-value = 0.002).  
300 The first axis (35.6% of correlation) was largely characterized by the production of depsides  
301 (Deps, weighted correlation 0.44) and aliphatic compounds (Alip, w.c. 0.36) and the type of  
302 ascocarp (ATYP, w.c. 0.37) and was negatively correlated with the occurrence of pruina  
303 (PRUI, w.c. -0.47), which were all factors exhibiting a high conditional effect according to  
304 forward selection (PRUI: F-value 3.04, p-value 0.002; Deps: 2.29, 0.004; Alip 1.83, 0.010;  
305 ATYP 1.58, 0.040). The number of spore septa (SSEP, F-value 1.85, p-value 0.020), the  
306 number of spores per ascus (SNUM, F-value 1.76, p-value 0.018) and the production of  
307 depsidones (Dops, F-value 1.72, p-value 0.022) related to axes 2 (13.8% of correlation), 3  
308 (7.8%) and 4 (7.6%), respectively, and also showed significant conditional effects. Plots from  
309 areas at different altitudes scattered separately along the first axis, independent of the  
310 substrate. Plots from high altitudes were positively related with Deps, Alip and ATYP,  
311 whereas those of low altitudes were positively correlated with PRUI and, subordinately,  
312 GROW, which did not show a significant conditional effect.

313

## 314 **Discussion**

### 315 *Lichen diversity in ultramafic areas*

316 The number of lichen species worldwide is estimated to be about 25,000-28,000 taxa, but  
317 there are less than 15,000 described species (Zedda & Rambold 2015; Scheidegger 2016). Our  
318 literature survey shows that more than 5% of these species have been reported on rocks and  
319 soils of ultramafic areas, which represent less than 1% of the land surface of Earth (Brooks  
320 1987). Such species percentage may be even higher when considering the many  
321 lichenologically unexplored ultramafic areas (as suggested by works in progress in South  
322 Africa, Sri Lanka, Portugal, Massachusetts; see Table S7 in Supplementary materials), and  
323 that the listed diversity consists of saxicolous and terricolous (s.l.) taxa only directly related to  
324 the substrate, while the reported worldwide lichen diversity also includes a wide epiphytic  
325 component. Even if we had updated calculations for the overall saxicolous and terricolous  
326 lichens, the relevance of lichen diversity in ultramafic areas may not be comparatively

327 evaluated due to the absence of other world- or continental-scale checklists based on substrate  
328 lithology.

329 The pool of species most frequently reported on ultramafic substrates (4% in more than 10  
330 areas) includes common, widely-distributed species often reported from silicate-rocks and  
331 related soils worldwide (see Wirth 1972). By contrast, the majority of species, which drive the  
332 overall diversity, were only reported from one or two areas. SDR analysis showed low  
333 similarity ( $S=10.5$ ) across ultramafic areas, which, together with a high replacement ( $R=55.5$ ),  
334 determines a high anti-nestedness ( $S+R=66.0$ ), generally indicating a zonal species  
335 distribution with high species turnover (Podani & Schmera 2011), rather than an azonal  
336 distribution driven by the shared substrate. This pattern agrees with the paucity of endemic  
337 lichen species reported from ultramafics, in contrast to the high endemism of phanerogamic  
338 communities on ultramafics. Only 8 lichen species, 5 of which are known only from their type  
339 localities, can be considered serpentine endemics (Table S8 in Supplementary Materials);  
340 however, there is potential that these species may be found on other mafic substrates in the  
341 future, as has been the case with other previously reported serpentine-endemic lichen species  
342 (Wirth 1972).

343 The classification of the ultramafic areas on the basis of the presence or absence of lichen  
344 species generally reflects their distribution in different climate zones, with reference to the  
345 updated Köppen-Geiger classification. Climate (rather than the substrate) may be the primary  
346 driver of lichen diversity in ultramafic areas. Although phylogeographic studies have only  
347 recently started to address biogeographic histories of lichens (Divakar and Crespo 2015), the  
348 recognized groups I-IV and the related sub-groups mostly reflect traditionally distinguished  
349 lichen biogeographical domains and their relationships (Seaward 1977; Galloway 2009): the  
350 highly humid climates of western Europe and western N-America (group I), the boreal  
351 coniferous zone (group II), and Southern Europe (groups III and IV), with a  
352 Oromediterranean element remarkably related to the central Europe lichen vegetation (Nimis  
353 1996), an alpine element, and some relationships with the “Mediterranean” coast of  
354 California. A substrate-specificity is well known for saxicolous and terricolous lichens and is  
355 displayed by strong divergence between communities on silicate and carbonate substrates  
356 (Brodo 1973). However, a similar divergence may not be seen when lichen communities on  
357 different silicate substrates are compared, including serpentinites. Adaptive strategies for  
358 living on silicate (and not on carbonate) substrates may be sufficient to cope with the  
359 serpentine-factors (see sections below).

360

361 *Lichen functional traits in ultramafic areas*

362 A series of functional attributes dominate through all the groups (I-IV) of ultramafic areas, but  
363 they merely mirror their dominance in the global lichen biota (e.g. the dominance of  
364 chlorococcoid photobionts, crustose species, sexually-reproducing species, asci with 8 simple,  
365 ellipsoid, hyaline spores). The more or less noticeable frequency variation of these dominant  
366 attributes in favour of other less common attributes (see Table 5) reflects the climate  
367 conditions of the different geographic areas and their typical lichen vegetation, independent of  
368 the substrate lithology. Highest variations among groups ( $\Delta$  group max.), recorded for the  
369 growth form of thalli, are related to the higher frequency of crustose species in alpine areas,  
370 and in the arid areas of California (group IV), than in the more humid areas of the boreal  
371 (group II) or Oromediterranean regions of Europe (group III), richer for terricolous fruticose  
372 species, while foliose taxa prevail in the oceanic western coasts of Europe (group I) (Seaward  
373 1977). The higher prevalence of sexual reproductive strategies in the alpine areas (group IV)  
374 agrees with the prevalence of sexual reproduction in lichens of extreme habitats (Seymour et  
375 al. 2005). A higher pigmentation of epithecium (and spores) also characterizes the alpine  
376 areas (group IV), playing a protective role against excessive radiation (e.g. Elix & Stocker-  
377 Wörgötter 2008; Nguyen et al. 2013). Higher occurrence of pruina and aliphatic compounds  
378 in species of group IV also agrees with the necessity of alpine lichens to tolerate stresses  
379 related to high radiation and low temperatures (e.g. Giordani et al. 2003; Boustie et al. 2011).  
380 Accordingly, in CCA-I, functional traits GROW, REPR, PRUI and Alip are significant  
381 conditional factors in driving the divergence among lichen communities of ultramafic areas in  
382 different climate regimes.

383 We can thus suggest the occurrence of local trends of adaptation to geographically related  
384 environmental pressures more than recognizing common, ultramafic-related fitness  
385 signatures. On the other hand, as in the evaluation of the lichen specific richness through the  
386 ultramafic areas, the absence of a similar worldwide survey of functional traits for lichens on  
387 non-ultramafic substrates prevents a comparative analysis to recognize shifts of attribute  
388 frequencies potentially related to the substrate, which we instead evaluated at the regional  
389 level.

390

391 *Are there peculiarities in lichen communities in ultramafic areas?*

392 The comparative analysis of ultramafic and non-ultramafic areas in the Valle d'Aosta did not  
393 show significant paucity of species on ultramafics, in contrast to the paucity of phanerogams  
394 commonly reported from ultramafics (Favero-Longo 2014). Dominant species were the same

395 in both ultramafic and non-ultramafic areas, in agreement with their prevalence on silicate  
396 (s.l.) areas in Europe and worldwide (see e.g. Wirth 1972). Some species were exclusively  
397 recorded in ultramafic or non-ultramafic areas, as previously reported in comparative analysis  
398 of serpentine and adjacent mafic outcrops (e.g. Sirois 1987; Paukov and Trapeznikova 2005;  
399 Favero-Longo & Piervittori 2009; Paukov 2009; Rajakaruna et al. 2012) and similarity (S) in  
400 SDR analysis was slightly higher within ultramafic than in non-ultramafic areas, suggesting  
401 the possibility of some substrate-related species distributions. However, the species  
402 replacement (R) was the same for ultramafic and non-ultramafic areas, and the lower  
403 similarity in non-ultramafic areas seems mostly related to differences in diversity richness  
404 rather than to peculiar species occurrences. More remarkably, higher similarity and lower  
405 species replacement detected at each altitude, independent from the substrate (SDR in Table  
406 4, and UPGMA classification in Fig. 6), suggest that altitude-related climate factors prevail  
407 over putative substrate-factors in driving community assemblages at the regional scale.  
408 Accordingly, climate-related factors such as water availability and solar radiation were shown  
409 to be the dominant drivers of the probability of lichen occurrence at the micro-scale (Giordani  
410 et al. 2014).

411 As expected, functional attributes characterizing the surveyed ultramafic areas in the Valle  
412 d'Aosta agree with the trend shown at the world-scale by areas of group IV, including alpine  
413 areas: high presence of crustose species, high frequency of sexual reproduction, occurrence of  
414 pruina, but also similar patterns of frequency for attributes assigned to thallus  
415 continuity/discontinuity and color, and reproductive traits. However, the same (and even  
416 higher) agreement was recognized when the surveyed areas were considered altogether and  
417 also for the non-ultramafic areas themselves. Moreover, the variation in the frequency of  
418 functional attributes was more pronounced between the areas at the different altitudes than  
419 between ultramafic and non-ultramafic areas, confirming the primary dependence of lichen  
420 assemblages on climate-related factors (varying at different altitudes) than on substrate  
421 features. This agrees with the previously reported relationships of lichens with atmospheric-  
422 related factors such as humidity (water supply), temperature (dry-wet cycles, metabolic  
423 activity), solar radiation (photosynthetic efficiency) (Nash 2008), and a subordinate role of the  
424 “substrate compartment”, despite its involvement in mineral nutrition (Favero-Longo 2014  
425 with refs therein).

426 On the other hand, the fact that saxicolous lichen communities with similar functional  
427 attributes colonize all silicate substrates, without any remarkable peculiarity for ultramafic  
428 areas, suggests that lichen adaptation to environmental pressures related to silicate rocks also

429 satisfy the tolerance of “serpentine factors”, including low nutrient availability and high heavy  
430 metal concentrations. Low nutrients, by limiting the phanerogamic component, may be  
431 considered a positive factor for lichens, favouring their presence due to lower competition  
432 (Favero-Longo & Piervittori 2009). Many studies have considered lichen responses to heavy  
433 metals, showing remarkable advances of knowledge with regard to the role of secondary  
434 metabolites in modulating pH and metal homeostasis (Hauck et al. 2009, 2013). For example,  
435 variation in the production of secondary metabolites with differential affinity to iron was  
436 shown to regulate the presence or absence of certain species on iron-rich substrates (Hauck et  
437 al. 2007). Similar metabolic features may be related to the wide-spectrum adaptation of  
438 saxicolous lichens to ultramafic and non-ultramafic silicate substrates (Favero-Longo et al.  
439 2015), but the analysis of this point goes beyond the aims of this paper and would need direct  
440 testing on the contents of secondary metabolites in species (and even thalli) from the surveyed  
441 plots (Matteucci et al. 2017). However, on the basis of literature on the specific production of  
442 secondary metabolites, the regional survey conducted here shows differences in the frequency  
443 of species producing metabolites of different classes (e.g. depsidones) both between  
444 ultramafic and non-ultramafic areas and at different altitudes. This finding suggests the need  
445 to examine the production of secondary metabolites potentially involved in metal homeostasis  
446 not only on different substrates, but also under different climate conditions, which may affect  
447 mineral cycling and element availability.

448 In conclusion, rather than searching for peculiarities in diversity and functional traits,  
449 lichenological research may focus on ultramafic environments as natural labs (see Harrison  
450 and Rajakaruna 2011) to understand adaptation to metal stresses and the potential role of  
451 secondary metabolites in metal homeostasis.

452

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461

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701

702 **Figure captions**

703 **Fig. 1** Frequency and diversity of lichen taxa from ultramafic areas worldwide. (a) Number of  
704 taxa which were reported from 1 to 28 times from the ultramafic areas considered; (b) number  
705 of species listed through the 43 ultramafic areas.

706  
707 **Fig. 2** Classification of ultramafic areas (n=26, with >20 lichen taxa) on the basis of species  
708 presence/absence data and their world distribution. (a) UPGMA Classification: group I,  
709 diamonds; group II, circles; group III, squares; group IV, boxes. Different symbol colours  
710 indicate different sub-groups (not named). Abbreviations for areas are listed in Table 1;  
711 information on climates follows Kottek et al. (2006) and Ruben et al. (2017). FL,  
712 floristic/vegetation studies; SR, species reports. Cophenetic correlation; 0.82. (b, c),  
713 distribution of the classified ultramafic areas through the world (Europe in inset (c)).

714  
715 **Fig. 3** SDR simplex ternary plots for the world (a) and regional (b-f) datasets. Color patterns  
716 refer to Kernel densities of site pairs included in the datasets. World level (a): all pairs of  
717 ultramafic areas. Regional level: (b) all pairs of sub-plots, (c) all pairs of sub-plots in  
718 ultramafic areas, (d) all pairs of sub-plots in non-ultramafic areas, (e-f) all pairs of sub-plots at  
719 low, medium and high altitudes, respectively. The abbreviations S, D and R refer to relative  
720 similarity, richness difference, and species replacement, respectively.

721  
722 **Fig. 4** Factorial maps in the canonical correspondence analysis (CCA-I) showing (a) the  
723 position of ultramafic areas considered at the world scale (abbreviations according to Table 1;  
724 symbols according to UPGMA classification in Fig. 2) together with (b) the contributions of  
725 different functional traits: photosynthetic strategy (PHOT), growth form (GROW), thallus  
726 continuity (TCON), color of the thallus (TCOL), occurrence of pruina (PRUI), reproductive  
727 strategy (REPR), ascocarp type (ATYP), color of the epithecium /disc (ACOL), number of  
728 ascospores in each ascus (SNUM), spore shape (SSHA), number of septa (SSEP), color  
729 (SCOL) and length (SLEN) of the spores, production of secondary metabolites as aliphatic  
730 compounds (Alip), quinones (Quin), chromones and xanthonones (Chro), pulvinic derivatives  
731 (Pulv), depsides (Deps), depsidones (Dops), dibenzofuranes (Dibe), terpenoids (Terp)  
732 (functional traits of each species in Table S2 in Supplementary Materials). All the extracted  
733 axes displayed in the figure were significant according to Monte Carlo test. Functional traits  
734 exhibiting significant conditional effects are in bold on the diagram (scores in Table S3 in  
735 Supplementary Materials).



736

737 **Fig. 5** Lichen richness in ultramafic and non-ultramafic areas of Valle d'Aosta. (a)  
738 Localization of surveyed areas in Valle d'Aosta (NW-Italy, as visualized in the left bottom  
739 corner) for ultramafic (black symbols) and non-ultramafic (grey symbols) substrates at low  
740 (down triangle), medium (circle) and high (up triangle) altitudes (scale bar: 15 km); (b)  
741 species diversity per plot in ultramafic and non-ultramafic areas at the different altitudes.  
742

743 **Fig. 6** UPGMA classification of the surveyed ultramafic and non-ultramafic areas of Valle  
744 d'Aosta on the basis of specific frequency data. Symbols clustered in groups A-C indicate  
745 ultramafic (black symbols) and non-ultramafic (grey symbols) areas at low (down triangle),  
746 medium (circle) and high (up triangle) altitudes. Cophenetic correlation; 0.90.  
747

748 **Fig. 7** Factorial maps in the canonical correspondence analysis (CCA-II) showing the position  
749 of ultramafic and non-ultramafic areas surveyed at the regional scale of Valle d'Aosta  
750 (symbols according to Figs. 5 and 6) together with contributions of the different functional  
751 traits (abbreviations as in Fig. 4B): photosynthetic strategy (PHOT), growth form (GROW),  
752 thallus continuity (TCON), color of the thallus (TCOL), occurrence of pruina (PRUI),  
753 reproductive strategy (REPR), ascocarp type (ATYP), color of the epithecium /disc (ACOL),  
754 number of ascospores in each ascus (SNUM), spore shape (SSHA), number of septa (SSEP),  
755 color (SCOL) and length (SLEN) of the spores, production of secondary metabolites as  
756 aliphatic compounds (Alip), quinones (Quin), chromones and xanthonones (Chro), pulvinic  
757 derivatives (Pulv), depsides (Deps), depsidones (Dops), dibenzofuranes (Dibe), terpenoids  
758 (Terp) (functional traits of each species in Table S5 in Supplementary Materials). All the  
759 extracted axes displayed in the figure were significant according to Monte Carlo test.  
760 Functional traits exhibiting significant conditional effects are in bold on the diagram (scores  
761 in Table S6 in Supplementary Materials).

762

763 **Tables**

764 Table 1. Ultramafic areas surveyed with regard to lichen diversity or cited in species reports. Abbreviations of areas with more and less than 20  
 765 reported lichen taxa are reported out of and in parentheses, respectively. Information on climate according to the updated world map of Köppen-  
 766 Geiger climate classification (Kottek et al. 2006; Rubel et al. 2017). FL, floristic-vegetation study; SR, species report.

Geographic area				Climate	Ultramafic lithology	Number of lichen taxa	Source Reference	Type
Abbr.	Location	Country	Continent					
Al	Mont Albert, Gaspésie	Canada	N-America	Dfc	Serpentinite	139	Sirois et al. (1987)	FL
Av	Mt. Avic	Italy	Europe	Dfc-ET	Serpentinite	91	Isocrono et al. (2008); Favero-Longo and Piervittori (2009)	FL
Ba	NE-Bavaria	Germany	Europe	Cfb	Serpentinite	129	von Brackel (2007)	FL
Bl	Balangero asbestos mine	Italy	Europe	Cfb-Cfa	Serpentinite	23	Favero-Longo et al. (2006)	FL
(BM)	Mohelno and Raškov, Bohemian-Moravian Highlands	Czech Republic	Europe	Cfb	Serpentinite	18	Wirth (1972); Versegghy (1974); Krzewicka (2009), Suza, Lich. Bohemoslov. Exs. Fasc. II & V	FL, SR
Bo1	Western Bohemia (Kaiserwald)	Czech Republic	Europe	Cfb	Serpentinite	108	Suza (1927)	FL
Bo2	Křížky National Nature Monument (ex Kaiserwald)	Czech	Europe	Cfb	Serpentinite	127	Peksa (2011)	FL
Bs	Central and SE Bosnia	Bosnia	Europe	Cfb-Cfa	Serpentinite	40	Ritter-Studnička and Klement (1968)	FL
(Bu)	Burgenland	Austria	Europe	Cfb	Serpentinite	3	Hafellner (2001)	SR
Ca	Central coastal range of CA	USA (CA)	N-America	Csb	Serpentinite	68	Sigal (1989)	FL
(ES)	East Sudety	Czech Republic	Europe	Dfb-Cfb	Serpentinite	1	Vězda(1972)	SR
Eu	N-Eubea	Greece	Europe	Csa	Serpentinite	58	Krause and Klement (1962)	FL
Fi	Fidalgo Island	USA (WA)	N-America	Csb	Serpentinite	42	Ryan (1988)	FL
(Ge)	Liguria, Emilia-Romagna, Lombardia (various localities)	Italy	Europe	Csa-Csb	Serpentinite	9	Giordani et al. (2009); Nimis (2016, with refs therein*)	SR
GG	Gurhof-Graben (Melk)	Austria	Europe	Cfb	Serpentinite	35	Kretschmer (1931)	FL
(Gi)	Girvan, Ayrshire	Scotland	Europe	Cfb	Serpentinite	1	Friday (2005)	SR
GV)	Gevne Valley	Turkey	Asia	Csa-Csb	Serpentinite	12	Kocakaya et al. (2014)	FL
GZ	Gostović-Gebiet & Zlatibor-Gebirge; Dobroselica	Serbia-Bosnia	Europe	Cfa-Cfb	Serpentinite	46	Krause and Klement (1958); Poelt (1975)	FL

(IA)	Ile Amsterdam, Ile St. Paul	France	Oceania	Cfb	Serpentinite (exotic)	4	Aptroot et al. (2011)	FL
Ka1	N-Savonia, N-Karelia	Finland	Europe	Dfc	Serpentinite	87	Hakulinen (1958)	FL
Ka2	Niinivaara (N Karelia)	Finland	Europe	Dfc	Serpentinite	116	Takala and Seaward (1978)	FL
KH	Keen of Hamar	Shetland (UK)	Europe	Cfb	Serpentinite	42	Gilbert and James (1987) in; Purvis and Halls (1996)	FL
(Ki)	Mt. Kinabalu, Borneo	Malaysia	Asia	Af-Cfb	Serpentinite	1**	Sipman (1993)	FL
Li	Lizard Peninsula	England	Europe	Cfb	Serpentinite	217	Gilbert and James (1987)	FL
(Ma)	Malatya province	Turkey	Asia	Csa-Dsa	Serpentinite	1	Candan and Turk (2008)	FL
MF	Monte Ferrato	Italy	Europe	Csa-Cfb	Serpentinite	70	Sambo (1927)	FL
Mo	Monviso Massif	Italy	Europe	ET(-Dfc)	Serpentinite	27	Favero-Longo et al. (2015)	FL
(MP)	Monti Pelati	Italy	Europe	Cfa-Cfb	Peridotite, serpentinite	8	Gallo and Piervittori (1991)	FL
Mu	Musiné	Italy	Europe	Cfb-Cfa	Peridotite, serpentinite	29	Favero-Longo et al. (2015)	FL
(NC)	various locations	New Caledonia	Oceania	Aw, Af, Cfa, etc.	Peridotite, serpentinite	14	Aptroot and John (2015)	FL
NI	New Idria	USA (CA)	N-America	Csb	Serpentinite	78	Rajakaruna et al. (2012)	FL
PH	Pine Hill	USA (ME)	N-America	Dfb	Serpentinite	80	Harris et al. (2007), Medeiros et al. (2014)	FL
(Rh)	Rhum	Scotland	Europe	Cfb	Peridotite	19	Gilbert (1983)	FL
SC	Sierra de A Capelada	Spain	Europe	Cfb	Serpentinite	105	Sánchez-Biezma et al. (1996; 2001)	FL; SR
Si	Lower Silesia	Poland	Europe	Cfb	Serpentinite, peridotite	94	Kossowska (2001, with refs therein*)	FL
St	Steiermark	Austria	Europe	Dfc	Serpentinite	99	Hafellner (1991, with refs therein*); Lammermayr (1934)	FL
(SX)	Sierra do Careón	Spain	Europe	Csb	Serpentinite	1	Sánchez-Biezma and López de Silanes (1999)	SR
(TH)	Trial Harbour	Tasmania	Oceania	Cfb	Serpentinite	1	Kantvilas (1991)	SR
(Ti)	Tinos	Greece	Europe	Csa	Serpentinite	17	Zahlbrückner (1907)	FL
Ur	Central Urals	Russia	Asia	Dfb	Serpentinite	116	Paukov and Trapeznikova (2005), Paukov (2009, unpublished data)	FL
(US)	n.a.	USA (PE, MD)	N-America	n.a.	Serpentinite	1	Hansen and Goertzen (2012)	SR
VA	Eastern Valle d'Aosta	Italy	Europe	Cfb-Dfc-ET	Serpentinite	72	Matteucci et al. (2015)	FL
(Vi)	Visegrad	Bosnia	Europe	Cfa-Cfb	Serpentinite	8	Bilovitz and Mayrhofer (2009)	SR

767 \*including historical reports; \*\*A list of other 23 species has been recently published for serpentine areas of Borneo (Paukov et al. 2017), which are not considered in total counts  
768 and statistical analyses, but reported together with unpublished species reports (Table S7 in Supplementary Materials)

769 Table 2. Areas surveyed with regard to lichen diversity in Valle d'Aosta.  
770

Geographic area			Altitude (m a.s.l.)	Lithology	Number of lichen taxa
Abbrev.	Municipality (location)	Coordinates <sup>°</sup>			
GAB	Gressoney-La-Trinité (Gabiét)	N 5079056,E 410423	2340	serpentinite	25
GSB	Saint-Remy-en-Bosses (Grand Saint Bernard)	N 5079930,E 358973	2250	micaschist and gneiss	34
OLL	Ollomont	N 5081308, E 368403	1640	metabasite, chloristoschist	53
SAU	Courmayeur (Pavillon-Saussia)	N 5077501,E 340665	2200	granite and gneiss	27
THU	La Thuile (Les Granges)	N 5066202,E 341821	1640	micaschist	34
TRI	Gressoney-La-Trinité (village)	N 5075483,E 408645	1680	serpentinite	32
TSA	Saint Cristophe (Tsatelet)	N 5067816,E 370274	570	micaschist	35
VER	Verres (Castle)	N 5058403, E 398430	520	serpentinite	30
VIN	Saint-Vincent	N 5066303, E 395728	650	serpentinite	28

771 <sup>°</sup> one of the four randomly extracted coordinates (UTM ED50) is reported for each area  
772

773 Table 3. List of considered functional traits, with the related functional attributes assigned  
 774 with reference to Smith et al. (2009) and Nimis (2016).

Functional trait	Abbrev.	Functional trait attributes	Abbrev.
Reproductive strategy	REPR	-mainly sexual by means of ascospores	Se
		-mainly asexual, either by soredia or isidia or fragmentation	As
		-with both sexual and asexual structures	Sa
Ascocarp type	ATYP	-with lecanorine apothecia	Ln
		-with lecideine apothecia	Ld
		-with perithecia or others	Pe
Number of ascospores in each ascum	SNUM	<8 spores	<8
		-8 spores	=8
		>8 spores	>8
Shape of spores	SSHA	-globose, sub-globose, ovate, ellipsoid	El
		-different	Di
Number of septa in the spores	SSEP	-non septate	Ns
		-1 septum	Os
		>1 septum	Ps
Length of the spores	SLEN	-small (<5 µm)	Sl
		-small-medium (5-10 µm)	Sm
		-medium-large (11-20 µm)	Ml
		-large (21-40 µm)	La
		-extra-large (>40 µm)	Xl
Color of the spores	SCOL	-hyaline	Ia
		-from hyaline to dark	Ch
		-dark pigmented	Dp
Growth form	GROW	-crustose	Cr
		-placodioid	Cp
		-squamulose	Sq
		-foliose	Fo
		-fruticose	Fr
Thallus continuity	TCON	-continuous	Co
		-discontinuous or not visible	Ds
		-with variable continuity	De
Photosynthetic strategy	PHOT	-photosynthetic with chlorococcoid green algae	Ch
		-photosynthetic with <i>Trentepohlia</i> pigments	Tr
		-photosynthetic with cyanobacteria pigments	Cy
Color of the thallus	TCOL	-pale	Pa
		-grey	Gr
		-brown-black	Bb
		-orange-yellow	Oy
		-green	Gn
Occurrence of pruina	PRUI	-present	Ye
		-absent	No
		-sometimes present	Yn
Color of the epithecium/disc	ACOL	-black	Bl
		-brown	Br
		-others	Ot
Production of lichen secondary metabolites (categories according to Huneck and Yoshimura 1996):		... for at least one metabolite of a certain category:	
-aliphatic compounds	Alip	-production in all thalli	Yes
-quinones	Quin	-production in some thalli	Y/N
-chromones and xanthenes	Chro		
-pulvinic derivatives	Pulv		

-depsides	Deps
-depsidones	Dops
-dibenzofuranes	Dibe
-terpenoids	Terp

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775

776

777 Table 4. Percentage contribution from the SDR Simplex analyses of lichen communities in  
 778 the ultramafic areas considered at the world scale and ultramafic and non-ultramafic areas  
 779 surveyed at the regional scale at different altitudes. S (relative similarity), R (relative  
 780 replacement), D (relative richness difference), R+D (relative  $\beta$ -diversity), S+R (relative  
 781 richness agreement), S+D (relative nestedness)  
 782

	S	R	D	R+D	S+R	S+D	MATRIX FILL (%)
World survey	10.5	55.5	34.0	89.5	66.0	44.3	10.0
Regional survey (ultramafic + non-ultramafic)	24.7	56.1	19.1	75.2	88.8	43.8	9.8
Regional ultramafic	27.6	54.8	17.6	72.4	82.4	45.2	16.4
Regional non- ultramafic	24.9	54.6	20.5	75.0	79.5	45.4	12.0
Regional low alt.	27.4	57.6	15.0	72.6	85.0	42.4	17.9
Regional medium alt.	33.6	45.2	21.2	66.4	78.8	54.8	16.6
Reg high alt.	35.3	43.8	20.9	64.7	79.1	56.2	20.3

783

784 Table 5. Frequency (%) of functional attributes assigned to each trait for species listed in ultramafic areas at the world level (altogether and  
785 separately for groups I-IV of the classification in Fig. 2) and in ultramafic and non-ultramafic areas surveyed at the regional level in Valle d’Aosta  
786 (altogether and separately, for ultramafic, U, and non-ultramafic, NU, areas, and for areas at low, l.alt., medium, m.alt., and high, h.alt., altitude).  
787 Maxima variations of attribute frequencies among groups I-IV at the world scale ( $\Delta Gr$ ) and among different substrates ( $\Delta U$ -NU) and altitudes ( $\Delta alt$ )  
788 at the regional scale (underlined values indicate a higher maximum frequency variation among substrates or altitudes for a certain attribute).  
789 Abbreviations for functional traits and attributes are listed in Table 3.

Functional traits	Functional attributes	World						Regional							
		Overall	Gr. I	Gr. II	Gr. III	Gr. IV	$\Delta Gr$ (max)	Overall	U	NU	l.alt.	m.alt.	h.alt.	$\Delta U$ -NU	$\Delta alt$ (max)
REPR	Se	65.2	64.6	63.7	69.6	76.2	12.5	86.2	88.7	86.0	86.8	85.3	90.2	2.7	<u>4.9</u>
	As	6.1	2.5	6.5	3.8	4.8	3.9	0.9	0.0	1.1	0.0	1.3	0.0	1.1	<u>1.3</u>
	Sa	28.8	32.9	29.8	26.6	19.0	13.9	12.8	11.3	12.9	13.2	13.3	9.8	1.6	<u>3.5</u>
ATYP	Ln	46.2	49.4	46.8	41.8	47.6	7.6	33.0	30.6	31.2	24.5	29.3	47.1	0.5	<u>22.5</u>
	Ld	50.0	45.6	50.0	54.4	47.6	8.9	59.6	61.3	61.3	73.6	61.3	45.1	0.0	<u>28.5</u>
	Pe	3.8	5.1	3.2	3.8	4.8	1.8	7.3	8.1	7.5	1.9	9.3	7.8	0.5	<u>7.4</u>
SNUM	<8	6.8	3.8	7.3	7.6	6.0	3.8	7.3	9.7	7.5	11.3	9.3	7.8	2.2	<u>3.5</u>
	8.0	90.2	91.1	90.3	89.9	89.3	1.0	81.7	83.9	79.6	77.4	78.7	80.4	4.3	3.0
	>8	3.0	5.1	2.4	2.5	4.8	2.6	11.0	6.5	12.9	11.3	12.0	11.8	6.5	0.7
SSHA	El	93.2	94.9	92.7	94.9	97.6	4.9	94.5	96.8	94.6	94.3	96.0	96.1	2.2	1.7
	Di	6.8	5.1	7.3	5.1	2.4	4.9	5.5	3.2	5.4	5.7	4.0	3.9	2.2	1.7
SSEP	Ns	73.5	72.2	75.0	73.4	70.2	4.8	68.8	64.5	74.2	62.3	70.7	76.5	9.7	<u>14.2</u>
	Os	13.6	16.5	12.9	13.9	17.9	5.0	26.6	29.0	21.5	34.0	22.7	19.6	7.5	<u>14.4</u>
	Ps	12.9	11.4	12.1	12.7	11.9	1.3	4.6	6.5	4.3	3.8	6.7	3.9	2.2	<u>2.9</u>
SLEN	Sl	3.8	2.5	4.0	2.5	2.4	1.7	9.2	6.5	10.8	11.3	9.3	11.8	4.3	2.4
	Sm	11.4	12.7	12.1	7.6	11.9	5.1	22.0	21.0	21.5	22.6	18.7	13.7	0.5	<u>8.9</u>
	Ml	63.6	60.8	64.5	69.6	64.3	8.9	52.3	58.1	50.5	49.1	54.7	56.9	7.5	<u>7.8</u>
	La	14.4	19.0	13.7	15.2	17.9	5.3	13.8	11.3	15.1	13.2	13.3	15.7	3.8	2.5
SCOL	Xl	6.8	5.1	5.6	5.1	3.6	2.1	2.8	3.2	2.2	3.8	4.0	2.0	1.1	<u>2.0</u>
	la	86.4	86.1	87.1	86.1	83.3	3.8	83.5	80.6	86.0	81.1	82.7	86.3	5.4	5.1
	Ch	1.5	0.0	1.6	0.0	0.0	1.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
GROW	Dp	12.1	13.9	11.3	13.9	16.7	5.4	16.5	19.4	14.0	18.9	17.3	13.7	5.4	5.1
	Cr	56.1	59.5	53.2	55.7	65.5	12.3	67.0	67.7	64.5	67.9	64.0	78.4	3.2	<u>14.4</u>
	Cp	2.3	1.3	2.4	2.5	3.6	2.3	2.8	3.2	3.2	5.7	2.7	2.0	0.0	<u>3.7</u>



	Sq	2.3	2.5	2.4	0.0	3.6	3.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Fo	15.9	20.3	16.9	16.5	14.3	6.0	26.6	25.8	28.0	26.4	29.3	13.7	2.2	<u>15.6</u>
	Fr	23.5	16.5	25.0	25.3	13.1	12.2	3.7	3.2	4.3	0.0	4.0	5.9	1.1	<u>5.9</u>
TCON	Co	83.3	79.7	82.3	84.8	78.6	6.2	78.0	82.3	79.6	79.2	77.3	78.4	2.7	1.9
	Ds	1.5	2.5	1.6	0.0	2.4	2.5	5.5	6.5	4.3	9.4	5.3	2.0	2.2	<u>7.5</u>
	De	15.2	17.7	16.1	15.2	19.0	3.9	16.5	11.3	16.1	11.3	17.3	19.6	4.8	<u>8.3</u>
PHOT	Ch	90.2	89.9	89.5	93.7	92.9	4.2	100.0	100.0	100.0	100.0	100.0	100.0	0.0	0.0
	Tr	0.8	1.3	0.8	1.3	1.2	0.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
	Cy	9.1	8.9	9.7	5.1	6.0	4.6	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
TCOL	Pa	22.0	20.3	19.4	22.8	28.6	9.2	28.4	19.4	30.1	22.6	28.0	31.4	<u>10.8</u>	8.7
	Gr	35.6	40.5	36.3	36.7	34.5	6.0	33.9	41.9	31.2	34.0	36.0	37.3	<u>10.8</u>	3.3
	Bb	18.9	20.3	20.2	12.7	16.7	7.6	20.2	16.1	23.7	13.2	24.0	23.5	7.5	<u>10.8</u>
	Oy	6.1	8.9	5.6	8.9	6.0	3.2	7.3	6.5	7.5	9.4	6.7	5.9	1.1	<u>3.6</u>
	Gn	17.4	10.1	18.5	19.0	14.3	8.9	10.1	16.1	7.5	20.8	5.3	2.0	8.6	<u>18.8</u>
PRUI	Ye	12.9	10.1	13.7	12.7	16.7	6.5	27.5	33.9	25.8	35.8	25.3	15.7	8.1	<u>20.2</u>
	Yn	4.5	6.3	4.8	5.1	3.6	2.8	1.8	1.6	2.2	0.0	2.7	2.0	0.5	<u>2.7</u>
	No	82.6	83.5	81.5	82.3	79.8	3.8	70.6	64.5	72.0	64.2	72.0	82.4	7.5	<u>18.2</u>
ACOL	Bl	35.6	38.0	33.1	39.2	47.6	14.6	56.9	53.2	59.1	43.4	58.7	72.5	5.9	<u>29.2</u>
	Br	46.2	46.8	48.4	41.8	34.5	13.9	29.4	32.3	29.0	32.1	29.3	19.6	3.2	<u>12.5</u>
	Ot	18.2	15.2	18.5	19.0	17.9	3.8	13.8	14.5	11.8	24.5	12.0	7.8	2.7	<u>16.7</u>
Alip	Yes	8.3	3.8	8.9	7.6	10.7	6.9	6.4	9.7	7.5	11.3	8.0	13.7	2.2	<u>5.7</u>
	Y/N	1.5	1.3	1.6	1.3	1.2	0.4	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Quin	Yes	4.5	5.1	4.0	5.1	4.8	1.0	8.3	9.7	5.4	13.2	6.7	2.0	4.3	<u>11.2</u>
	Y/N	0.8	1.3	0.8	0.0	0.0	1.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Chro	Yes	3.8	3.8	4.0	3.8	4.8	0.2	3.7	1.6	4.3	3.8	2.7	5.9	2.7	<u>3.2</u>
	Y/N	0.8	1.3	0.8	0.0	1.2	1.3	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Pulv	Yes	3.0	5.1	3.2	5.1	4.8	1.8	3.7	3.2	4.3	5.7	4.0	3.9	1.1	<u>1.7</u>
	Y/N	4.8	2.5	1.6	2.5	2.4	0.9	0.9	1.6	0.0	0.0	1.3	0.0	<u>1.6</u>	1.3
Deps	Yes	35.6	36.7	36.3	34.2	31.0	5.8	29.4	25.8	31.2	20.8	30.7	33.3	<u>5.4</u>	2.7
	Y/N	6.8	7.6	6.5	10.1	4.8	5.4	3.7	4.8	4.3	3.8	5.3	5.9	0.5	<u>2.1</u>
Dops	Yes	27.3	24.1	24.2	34.2	27.4	10.1	29.4	37.1	30.1	35.8	30.7	21.6	7.0	<u>14.3</u>
	Y/N	11.4	11.4	11.3	11.4	13.1	1.8	14.7	17.7	14.0	11.3	16.0	21.6	3.8	<u>10.2</u>
Dibe	Yes	8.3	2.5	8.9	7.6	9.5	7.0	4.6	6.5	5.4	9.4	5.3	3.9	1.1	<u>5.5</u>
	Y/N	1.5	2.5	1.6	2.5	0.0	2.5	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Terp	Yes	7.6	7.6	8.1	5.1	9.5	4.5	7.3	4.8	8.6	7.5	8.0	9.8	<u>3.8</u>	2.3
	Y/N	3.8	1.3	4.0	2.5	1.2	2.8	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0

790 **Supplementary materials**

791 Table S1 Checklist of lichen taxa reported for serpentine areas worldwide. Abbreviation of  
792 geographic areas are reported in Table 1.

793 (attached Excel file)

794 Table S2 Functional traits of lichen taxa occurring in at least five ultramafic areas worldwide  
795 (i.e. species reported in >20% of sites with at least 20 species).

796 (attached Excel file)

797 Table S3 Scores of CCA-I.

798 (attached Excel file)

799 Table S4 List of lichen taxa surveyed in the surveyed serpentine and non-serpentine areas of  
800 Aosta Valley. Abbreviation of sites are reported in Table 2.

801 (attached Excel file)

802 Table S5 Functional traits of lichen taxa surveyed at the regional level in ultramafic and non-  
803 ultramafic areas of Valle d'Aosta (NW-Italy).

804 (attached Excel file)

805 Table S6 Scores of CCA-II.

806 (attached Excel file)

807 Table S7 Recently published and work-in-progress information on lichen diversity in  
808 serpentine areas.

809 (attached Excel file)

810 Table S8 Thirteen species and varieties reported as ultramafic endemic lichens, i.e. growing  
811 exclusively on serpentinite and related rocks (Favero-Longo et al. 2004).

812 (attached Word file)

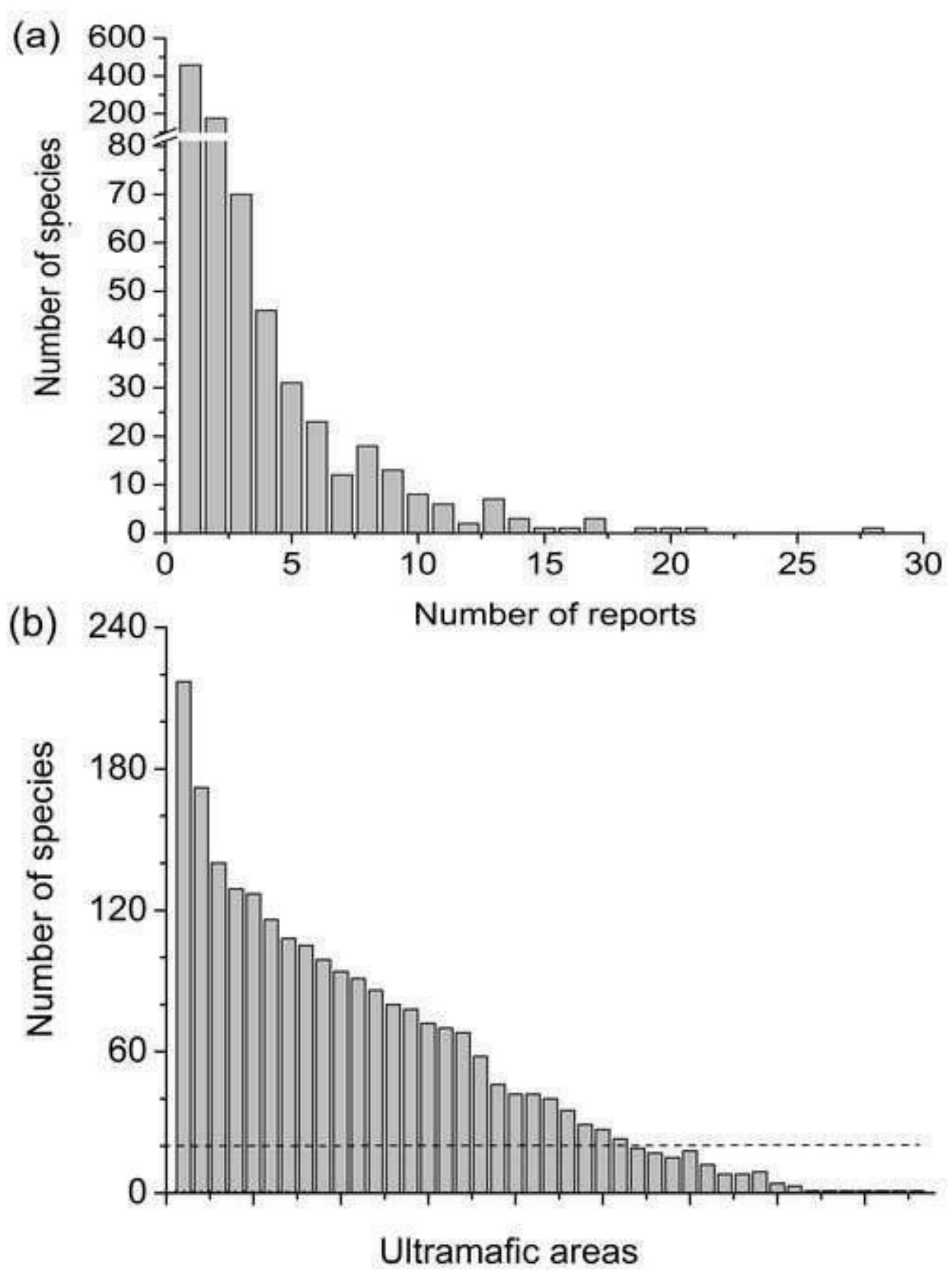
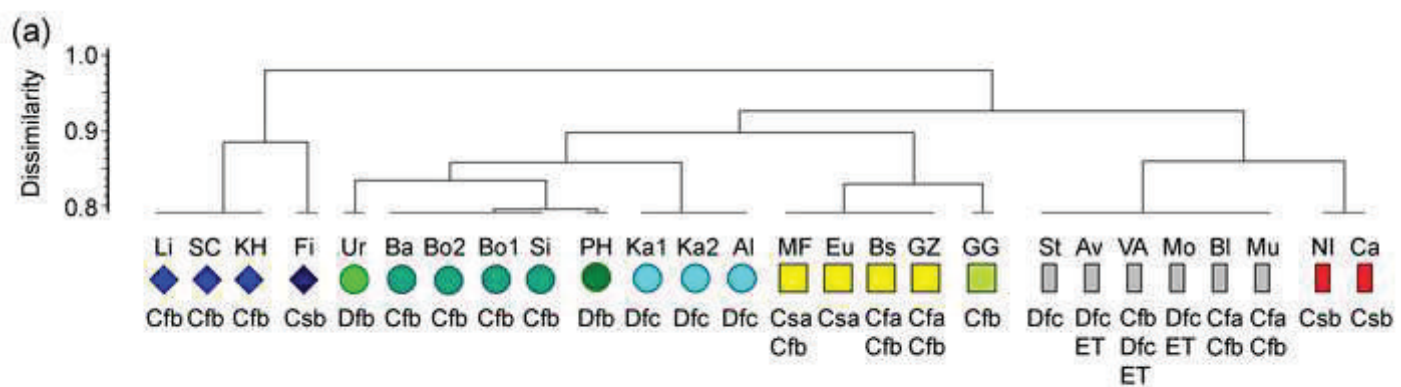
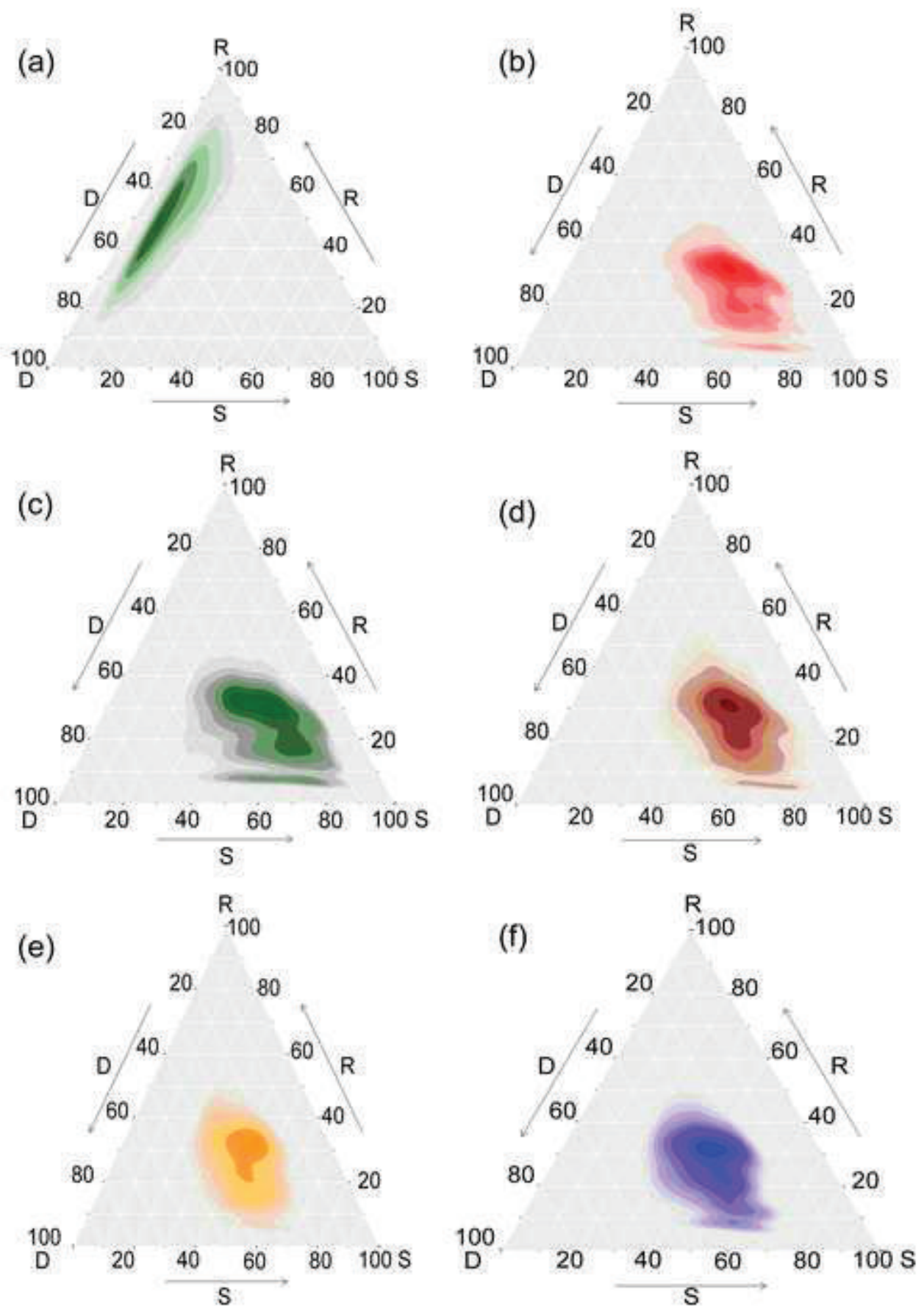
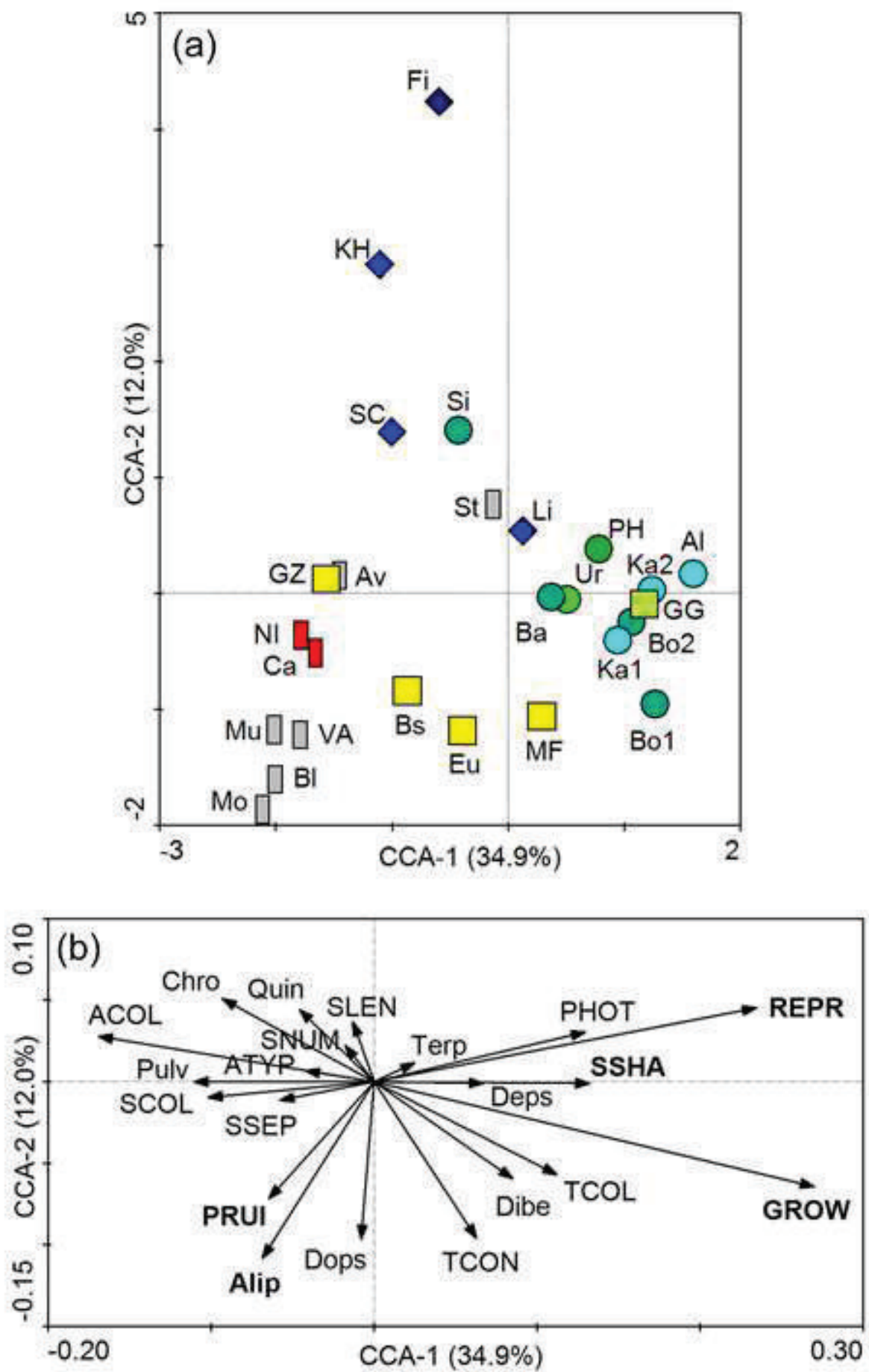


Figure 2

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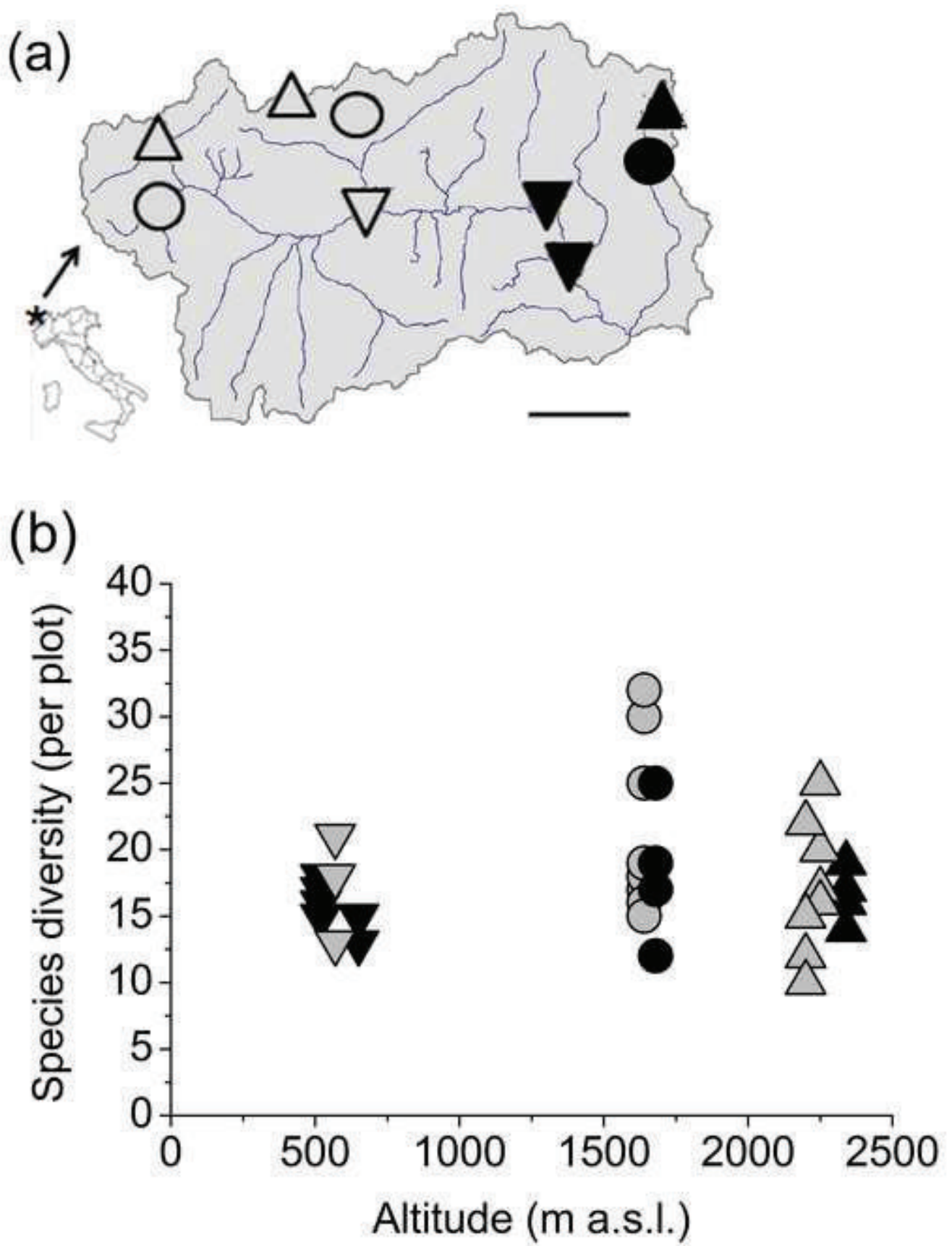


Figure 6

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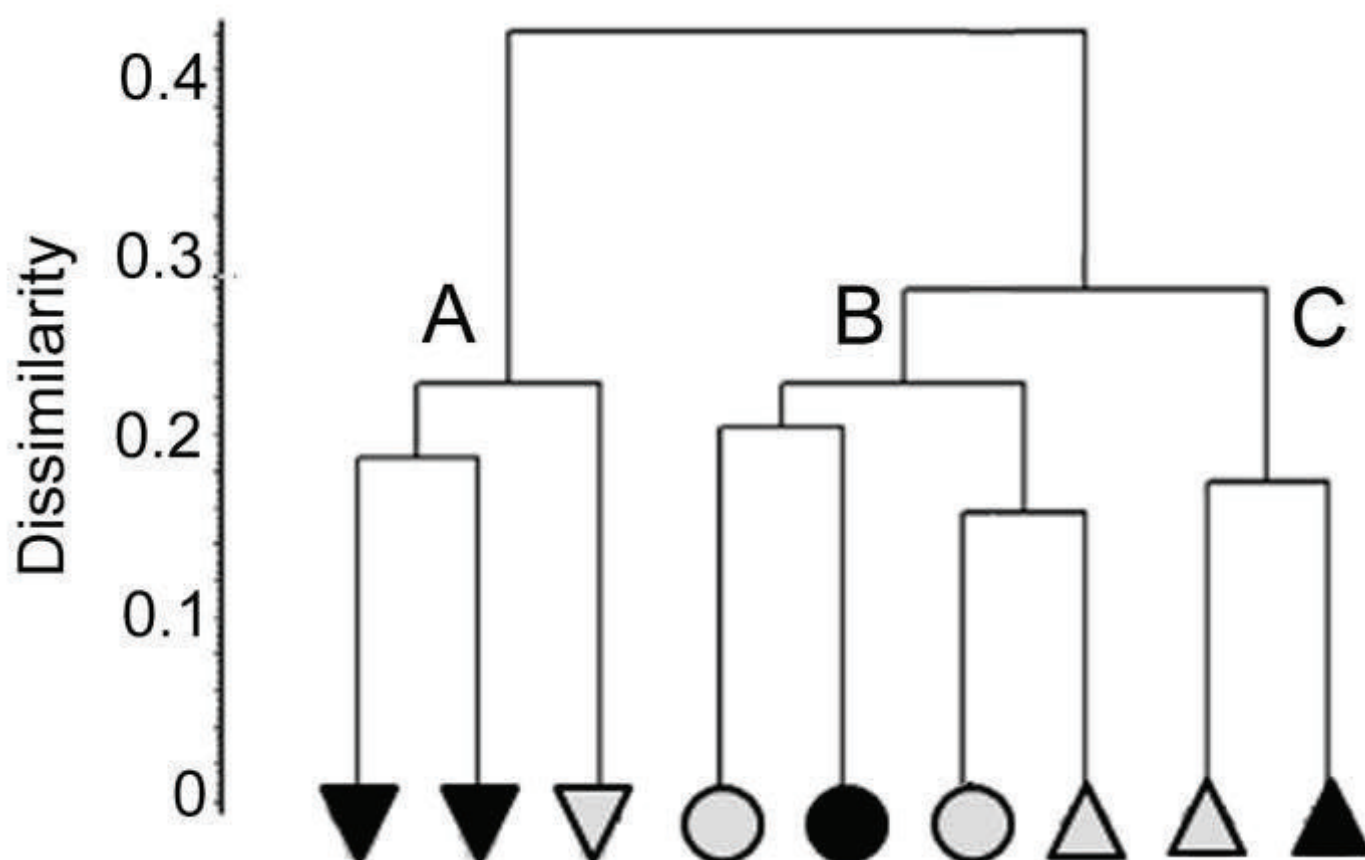
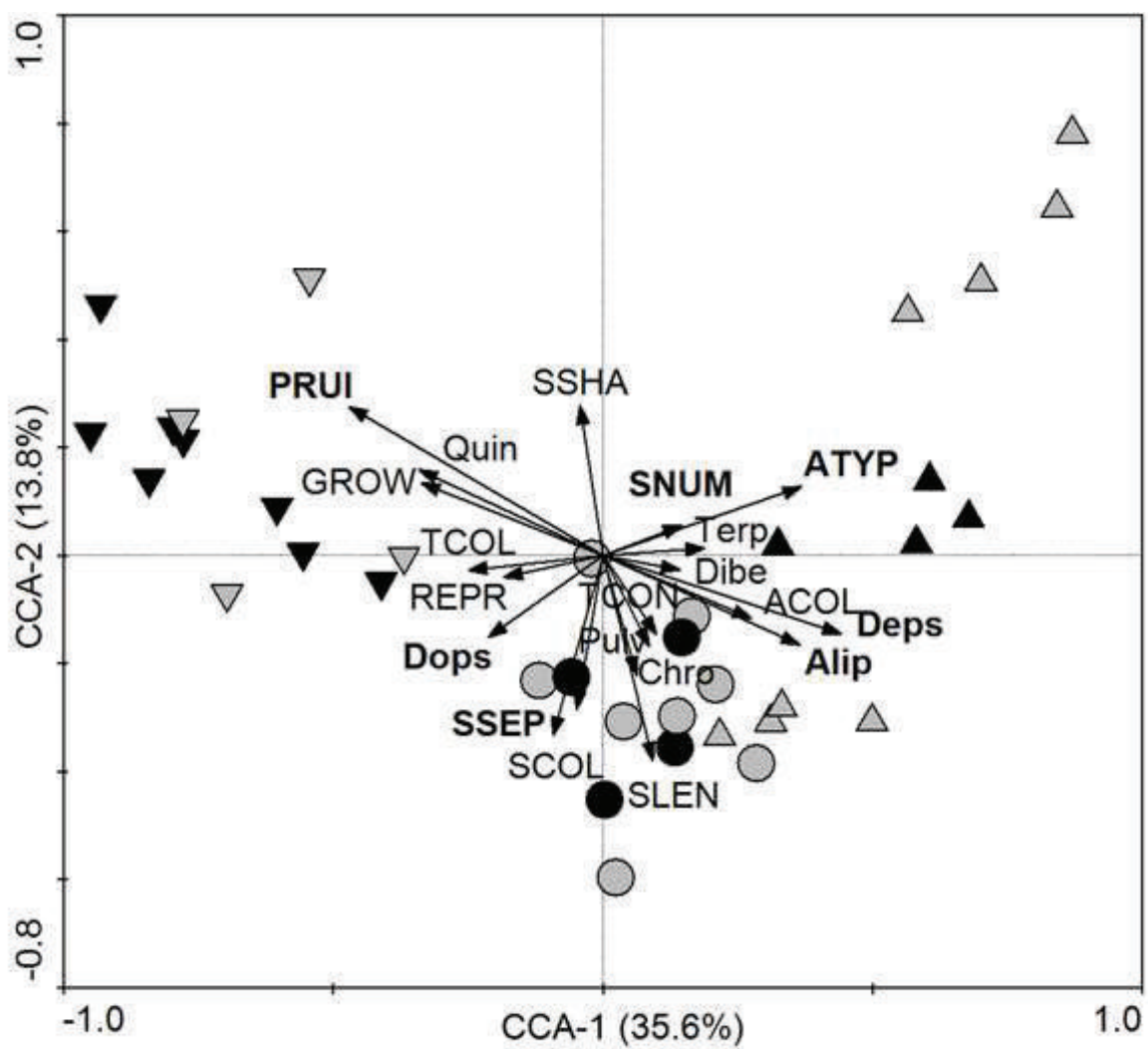
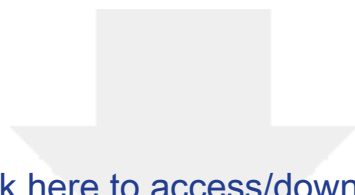




Figure 7

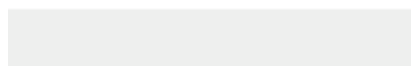
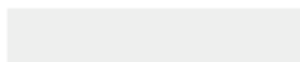
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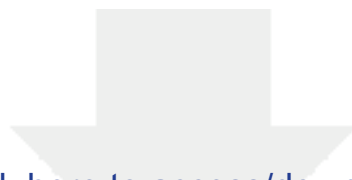




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**Electronic Supplementary Material**  
Favero-Longo\_et\_al\_Table S8.pdf





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