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# *Robustness of a routing tree for the Push Tree Problem*

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## Robustness of a routing tree for the Push Tree Problem

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**Abstract:** The Push Tree problem contains elements from both the Steiner Tree and Shortest Path problem. It deals with the trade-offs between the push and pull mechanism used in information distribution and retrieval. In [HW01], a two step approach for the Push Tree Problem was proposed. In the first step, a “good” spanning tree (called routing tree) is constructed and then the problem is solved in this particular tree. Finding a routing tree is NP-hard but the second step may be performed easily, thus the idea is to use the routing tree as a (semi-) stable infrastructure and to perform adaptations to changing information patterns inside the routing tree.

In this paper, we study the robustness of a routing tree when the information requests disappear at some nodes.

**Key-words:** Data replication, network optimisation, robustness

# Robustesse d'un arbre de routage pour le problème de l'arbre savant

**Résumé :** Le problème de l'arbre savant contient des éléments à la fois du problème de l'arbre de Steiner et du problème du plus court chemin. Il modélise le compromis entre les deux mécanismes de distribution de l'information consistant à pousser ou à tirer l'information. Dans [HW01], est proposée une approche en deux étapes pour le problème de l'arbre savant. Tout d'abord, un "bon" arbre couvrant (appelé arbre de routage) est construit et le problème est ensuite résolu à l'intérieur de cet arbre. Trouver un arbre de routage est NP-difficile mais la seconde étape s'effectue facilement. Ainsi une idée est d'utiliser l'arbre de routage comme infrastructure (semi-) stable et d'opérer les adaptations à l'intérieur de celui-ci.

Dans ce rapport, nous étudions la robustesse d'un arbre de routage lors de la disparition de requêtes en certains nœuds du réseau.

**Mots-clés :** Replication de données, optimisation dans les réseaux, robustesse

## 1 Introduction

Information distribution occurs in many different contexts, but the ways in which distribution is achieved tend to be variations of only two basic mechanisms: push and pull. Push is a proactive mechanism: a source of information sends the information to (or in the direction of) points where requests for that information possibly will occur in the near future. Pull is a reactive mechanism: when a request occurs, a message is sent to the information source, and the source replies by sending the information to the request point.

The push mechanism is particularly useful if the information is static. In that case, the information has to be sent to all possible request points only once, and all future requests can then be handled locally. If the information changes, however, requests will result in information that is out of date. To ensure that this does not happen, any information update will have to be propagated to all possible request points. This update traffic is potentially wasteful, especially if large numbers of updates are sent to points where hardly any request occurs. Therefore, if the request rate is very low (relative to the update rate), it makes sense to apply the pull mechanism.

To study this trade off between pull and push mechanism Havet and Wennink [HW01, HW] introduced the Push Tree Problem that formulated below:

Let  $G = (V(G), E(G))$  be an undirected graph, and let  $l(e) = l(u, v) > 0$  be the length of any edge  $e = (u, v) \in E(G)$ . Moreover, let  $l(H) = \sum_{e \in E(H)} l(e)$  be the length of any subgraph  $H$  of  $G$ .

**Push Tree Problem :** *Given a graph  $G$ , a source node  $s \in V(G)$ , an update rate  $\mu \geq 0$ , a request set  $R \subseteq V(G)$ , and request rates  $r(v) \geq 0, \forall v \in R$ . Find a subgraph  $PT$  of  $G$ , with  $s \in V(PT)$ , and paths  $P_v$  from  $v$  to any node in  $V(PT)$ ,  $\forall v \in R$ , such that*

$$\mu l(PT) + \sum_{v \in R} r(v) l(P_v)$$

*is minimal.*

The source node  $s$  contains a piece of information that changes  $\mu$  times per time unit. After every change, the updated information is *pushed* from  $s$  to all nodes in  $V(PT)$  using the edges in  $E(PT)$ . Multicast is used, which means that only one update message is sent over every edge. We measure the size of the traffic associated with a message as the number of bits times the distance covered. The total amount of update traffic per time unit is therefore equal to  $\mu l(PT)$ .

Requests for the information stored at  $s$  occur  $r(v)$  times per time unit at node  $v \in R$ . Each request results in a request message to be sent over the *pull* path  $P_v$  from  $v$  to a node in  $PT$  which contains an up to date copy of the information. This node then sends a reply message to  $v$  using the same path, but now in reverse direction. The total amount of request traffic per time unit is therefore equal to  $\sum_{v \in R} r(v) l(P_v)$ .

As noted in [HW01], the Push Tree problem is easy if  $G$  itself is a tree. Indeed, let  $T = (V, E)$  be a tree with a source  $s \in V$  and a request set  $R \subset V$ . Removing any edge  $e \in E$  from  $T$  result in the tree breaking down into two components,  $V_e^1$  that contains  $s$ , and  $V_e^2$ . Then the *load of  $e$*  in the push tree is  $\min\{\mu; \sum_{v \in V_e^2} r(v)\}$ . and the traffic on the edge  $e$  is  $w(e) = l(e) \cdot \lambda(e)$ . The total traffic size then is  $w(T) = \sum_{e \in E} w(e)$ . We denote by  $w^*$  the amount of traffic in the optimal solution. If the request function that we are considering needs to be identified, we use the notations  $w_r(T)$  and  $w_r^*$ .

Therefore Havet and Wennink [HW] studied a particular class of heuristics for the Push Tree problem which uses a two step approach. In the first step, a *routing tree*  $T$  is constructed and it connects the source node and all the request nodes. In the second step, the optimal push tree in  $T$  is obtained by solving the problem in the routing tree. Each heuristic in this class is thus characterized by the used method for obtaining the routing tree.

Such heuristics are attractive because they generate an infrastructure, while still allowing rapid adaptations to changing traffic patterns. In [HW], the sensitivity of solutions obtained in this way to changes in update and request rates was investigated. Suppose that a routing tree  $T$  has been obtained that results in an optimal or near-optimal (with approximation ratio  $\rho$ ) push tree for a particular request function  $r$ . How good is this routing tree if the request function is modified?

Havet and Wennink discussed two different scenarios. In the first one, the set of request nodes  $R$  remains unchanged, and all request rates change almost uniformly, that is the new request function  $r'$  satisfies  $(1 - \xi)r \leq r' \leq (1 + \varepsilon)r$ . They show  $w_{r'}(T) \leq \rho \frac{1+\varepsilon}{1-\xi} w_r^*$ .

In the second scenario,  $R$  changes. This corresponds to  $\xi = 1$  or  $\varepsilon = \infty$ . They show that adding a single request node can have a dramatic effect on the quality of a routing tree : even if we have an optimal routing tree for a particular request function, adding a single request node may result in this routing tree becoming arbitrarily bad (in relation to the optimal routing tree for the new request function).

However routing tree seems to be more robust to removal of vertices. In [HW], it is conjectured that if the request function is stable except in  $k$  vertices where it decreases (and maybe disappear) then  $w_r'(T) \leq (2k + 1 - \frac{r_m}{\mu})w_r^*$ , where  $r_m$  is the minimum non zero request rate over all the nodes. This conjecture was proved it for  $k = 1$  [HW].

In this paper, we establish this conjecture for every  $k$  :

**Theorem 1** *Consider two instances of the Push Tree problem that are identical except for the request rates at nodes  $v^1, \dots, v^k$ . We denote the first request function by  $r$ , and the second by  $r'$ , and we assume  $r'(v^i) < r(v^i), i = 1, \dots, k$ . Let  $T$  be the optimal routing tree for request function  $r$ . Then,*

$$w_{r'}(T) \leq (2k + 1 - k \frac{r_m}{\mu})w_{r'}^*.$$

Theorem 1 generalizes a result of Irlande & al [AIL00], who prove it for the special case when  $r_m = \mu$ : the Steiner Tree problem.

The upper bound  $(2k + 1 - k \frac{r_m}{\mu})$  is tight. Consider the cycle  $(s, v_1, v_2, \dots, v_k, u, s)$  with  $(s, u)$  and  $(u, v_k)$  of length 1 and all other edges of length  $2 - \frac{r_m}{\mu}$ . Let  $r(v_i) = \mu$  and

$r'(v_i) = 0$  for  $1 \leq i \leq k$  and  $r(u) = r'(u) = r_m$ . The path  $T = (s, v_1, v_2, \dots, v_k, u)$  is an optimal routing tree for request function  $r$ , but  $w_{r'}(T) = (2k + 1 - k \frac{r_m}{\mu}) w_{r'}^*$ .

This result shows us that removing request nodes have a small impact whereas adding request nodes may have a dramatic one. Hence, if we want to use the routing tree as a stable infrastructure in which the Push Tree Problem is solved dynamically, it is far better to overestimate the request node sets than to underestimate it.

But if we want to use the routing tree as a semi-stable infrastructure, we will need to compute it from time to time. Since it is NP-hard to find the optimum routing tree, we may decide to use an almost optimal routing tree produced by some heuristic. But Theorem 1 may not be extended to almost optimal routing :

**Proposition 1** *For any  $\rho > 1$  and  $M > 0$  there are two instances  $I$  and  $I'$  of the Push Tree problem and a tree  $T$  such that:*

*$I$  and  $I'$  are identical except for the request rates at node  $v$  where  $0 = r'(v) < r(v)$ ;  $w_r(T) \leq \rho w_r^*$  and  $w_{r'}(T) \geq M w_{r'}^*$ .*

**Proof.** Consider the following the network with node  $s, t, u$  and  $v$  with  $l(s, v) = \frac{M-1}{\rho-1}$ ,  $l(s, u) = M - 1$ ,  $l(u, t) = l(s, t) = 1$ . Let  $s$  be the source,  $\mu = 1$  and  $r(t) = r'(t) = 1 = r(v)$  and  $r(s) = r'(s) = r(u) = r'(u) = 0 = r'(v)$ .

Let  $T$  be tree induced by the tree edges  $(t, s), (s, u)$  and  $(u, v)$ . Then  $w_r(T) = L + M$  and  $w_{r'}(T) = M$  and it is easy to see that  $w_r^* = L + 1$  and  $w_{r'}^* = 1$ . Hence  $w_r(T) \leq \rho w_r^*$  and  $w_{r'}(T) \geq M w_{r'}^*$ .

However, the tree  $T$  in the above proof is very particular and is very unlikely to be produced by an heuristic. Indeed it is very bad on a subnetwork (that is the one induced by  $s, u$  and  $t$ ). But usually heuristics yield solutions that guarantee an approximation ratio on every subnetwork.

Therefore, it would be interesting to obtain Theorem 1 like results for almost optimum trees that are produced with some particular heuristic.

## 2 Proof of Theorem 1

In order to prove Theorem 1, we need the following result which holds for all pairs of instances of the Push Tree problem that are identical except for their request function.

**Proposition 2 (Havet and Wennink [HW])** *Let  $\kappa \geq 1$  and let  $r$  and  $r'$  be two request functions such that  $r'(v) \leq \kappa \cdot r(v)$  for all  $v \in R$ . For any routing tree  $T$ ,  $w_{r'}(T) \leq \kappa w_r(T)$ .*

Let us first prove that it suffices to prove Theorem 1 when  $r(v^i) = \mu$ , for  $1 \leq i \leq k$ . Indeed suppose that the set  $I$  of integers such that  $r(v^i) \neq \mu$  is not empty. For every  $i \in I$ , let  $v_1^i$  be the closest vertex of the  $r$ -push tree of  $T$ . And let  $r_1$  be the request function coinciding with  $r$  on every vertices except  $v^i$  and  $v_1^i$  for  $i \in I$  where  $r_1(v^i) = 0$  and  $r_1(v_1^i) = \mu$ . (Note



that it may happen that  $v_1^i = v_1^{i'}$ .) It is easy to see that  $T$  is an  $r_1$ -optimal tree. Since  $r_1$  and  $r'$  differ only in the  $v_1^i$ ,  $i \in I$ , then if the result holds for  $r_1$  to  $r'$  then

$$w_{r'}(T) = w_{r_1}(T) \leq (2k + 1 - k \frac{r_m}{\mu}) w_r^*$$

Let us now consider that  $r(v^i) = \mu$ , for  $1 \leq i \leq k$ .

Let  $S$  be the  $(s, v^1, v^2, \dots, v^k)$ -Steiner tree in  $T$  and let  $P^i$  be the path joining  $s$  to  $v^i$  in  $T$ . Clearly,  $S = \bigcup_{i=1}^k P^i$  and  $S$  is a subtree of the push tree. Let us look to the loads of the edge of  $T$  under  $r'$  and  $r$ . If an edge does not belong to the tree  $S$  then its load is obviously the same under  $r'$  and  $r$ . Set  $A := E(T) \setminus E(S)$  and let  $w(A) := \sum_{e \in A} w_r(e, T) = \sum_{e \in A} w_{r'}(e, T)$ .

Since  $r(v) = \mu$  all the edges of  $P$  have load  $\mu$  under  $r$ . Thus

$$w_r^* = \mu l(S) + w(A) \quad (1)$$

For  $i \in \{1, 2\}$  and each vertex  $t \in R'$ , let  $p^i(t)$  be the closest vertex of  $P^i$  to  $t$  in  $T$ .

Let  $1 \leq i \leq k$ . Let us order the vertices  $u_1^i, u_2^i, \dots, u_{m_i}^i$  of  $R'$  such that  $d_T(p^i(u_j^i), v^i) \leq d_T(p^i(u_{j+1}^i), v^i)$ .

Let  $\tau = \min(\mu, \sum_{u \in R'} r'(u))$ .

One can find sequences of vertices of  $R'$ ,  $(t_1^i, t_2^i, \dots, t_m^i)$   $1 \leq i \leq k$  and functions  $r^i$ ,  $1 \leq i \leq k$ , such that:

- for every  $1 \leq i \leq k$  and  $1 \leq j \leq m$ ,  $t_j^i \in R'$ ;
- for every  $1 \leq i \leq k$  and  $1 \leq j \leq m$ , if  $t_j^i = u_l^i$  then for every  $j' < j$  then  $t_{j'}^i \in \{u_1^i, u_2^i, \dots, u_l^i\}$ , and for every  $l' < l$ , setting  $U^i(l', j)$  the set of vertices  $t_{j'}^i$  with  $j' \leq j$  such that  $t_{j'}^i = u_{l'}^i$ ,  $\sum_{t \in U^i(l', j)} r^i(t) = r(u_{l'}^i)$ ;
- for every  $1 \leq i \leq k$ ,  $\sum_{j=1}^m r^i(t_j^i) = \tau$ ;
- for all  $1 \leq j \leq m$  and all pair  $(i_1, i_2)$ ,  $r^{i_1}(t_j^{i_1}) = r^{i_2}(t_j^{i_2}) = r_j$ .

For  $1 \leq j \leq m$ , let  $F_j$  be the forest that is the union of the paths  $Q_j^i$ ,  $1 \leq i \leq k$ , joining  $p^i(t_j^i)$  to  $v^i$  in  $T$ .

$$w_{r'}(T) = \tau l(S) - \sum_{j=1}^m r_j l(F_j) + w(A) \quad (2)$$

We will now obtain upper bounds of  $\tau l(S) - \sum_{j=1}^m r_j l(F_j)$  and  $w(A)$  by bounding  $w_r(T)$  by the traffic under  $r$  in some appropriate trees constructed from the  $r'$ -optimal tree  $T'$ .

Let  $T_1$  be a tree obtained from  $T'$  by adding a  $(s, v^1, v^2, \dots, v^k)$ -Steiner tree  $S_1$  in  $G$ . Let us look the loads in the routing tree  $T_1$  when the request function changes from  $r$  to  $r'$ .

It may only change for edges of  $S_1$  where the load was  $\mu$  and may decrease. Thus  $w_r(T_1) \leq w_{r'}^* + \mu l(S_1)$ . Since  $S_1$  is a Steiner tree in  $G$ ,  $l(S_1) \leq l(S)$ . Thus  $w_r(T_1) \leq w_{r'}^* + \mu l(S)$ . Hence  $\mu l(S) + w(A) = w_r^* \leq w_r(T_1) \leq w_{r'}^* + \mu l(S)$ .

$$w(A) \leq w_{r'}^* \quad (3)$$

Let  $T_j$  be a tree obtained from  $T'$  by adding a shortest forest  $D'_j$  in  $G$  connecting  $t_j^i$  to  $v^i$  for  $1 \leq i \leq k$ . Clearly  $w_{r'}^* = w_{r'}(T_j)$ . Let us look now of the loads of the edges of  $T_j$  under  $r$  and  $r'$ . Let  $S'_j$  be the  $(s, t_j^1, t_j^2, \dots, t_j^k)$ -Steiner tree in  $T'$ . If  $e$  is not in  $S'_j \cup D'_j$  then  $\lambda_{r'}(e, T_j) = \lambda_r(e, T_j)$ . If  $e$  is in  $S'_j \cup D'_j$ , then  $\lambda_r(e, T_j) = \mu$ . And if  $e \in S'_j$ , then  $\lambda_r e, T_j \geq r_m$  since  $r(t_j^i) \geq r_m$  and if  $e \in D'_j$ ,  $\lambda_r e, T_j = 0$ . Thus,  $w_r(T_j) \leq w_{r'}(T_j) + \mu l(D'_j) + (\mu - r_m)l(S'_j)$ .

Now, let  $D_j$  be the shortest forest in  $T$  connecting  $t_j^i$  to  $v^i$  for  $1 \leq i \leq k$ . By definition,  $l(D'_j) \leq l(D_j)$ . And  $l(D_j) \leq l(F_j) + \sum_{i=1}^k d_T(p^i(t_j^i), t_j^i)$ . Hence,

$$w_r(T_j) \leq w_{r'}^* + \mu l(F_j) + \mu \sum_{i=1}^k d_T(p^i(t_j^i), t_j^i) + (\mu - r_m)l(S'_j) \quad (4)$$

Let us now consider the index  $j$  for which  $d_j = l(F_j) + \sum_{i=1}^k d_T(p^i(t_j^i), t_j^i) + (1 - \frac{r_m}{\mu})l(S'_j)$  is minimum.  $w_{r'}^* \leq w_r(T_j)$  thus by Equations 1 and 4

$$\begin{aligned} \mu l(S) + w(A) &\leq \mu d_j + w_{r'}^* = \frac{\mu}{\tau} \tau d_j + w_{r'}^* \\ &\leq \frac{\mu}{\tau} \sum_{j=1}^m r_j d_j + w_{r'}^* \\ &\leq \frac{\mu}{\tau} \left( \sum_{j=1}^m r_j l(F_j) + \sum_{j=1}^m \sum_{i=1}^k r_j d_T(p^i(t_j^i), t_j^i) \right) + \frac{\mu - r_m}{\tau} \sum_{j=1}^m r_j l(S'_j) + w_{r'}^* \end{aligned} \quad (5)$$

By definition, for every  $1 \leq i \leq k$ ,  $w(A) \geq \sum_{j=1}^m r_j d_T(p(t_j^i), t_j^i)$ , so

$$\sum_{j=1}^m \sum_{i=1}^k r_j d_T(p^i(t_j^i), t_j^i) \leq k w(A) \quad (6)$$

Now  $l(S'_j) \leq \sum_{i=1}^k d_{T'}(s, t_j^i)$  thus

$$\sum_{j=1}^m r_j l(S'_j) \leq \sum_{i=1}^k \sum_{j=1}^m r^i(t_j^i) d_{T'}(s, t_j^i)$$

And because  $\sum_{j=1}^m r^i(t_j^i) = \tau \leq \mu$ , we have  $\sum_{j=1}^m r^i(t_j^i) d_{T'}(t_j^i, s) = w_{r^i}(T')$ . And by Proposition 2,  $w_{r^i}(T') \leq w_{r'}(T')$ , since  $r^i(v) \leq r'(v)$  for every node  $v$ . Thus,  $\sum_{i=1}^k \sum_{j=1}^m r^i(t_j^i) d_{T'}(s, t_j^i) \leq$

$kw_{r'}$ . These two inequalities give:

$$\sum_{j=1}^m r_j l(S'_j) \leq kw_{r'} \quad (7)$$

Equations 5, 6 and 7 yield

$$\begin{aligned} \mu l(P) - \frac{\mu}{\tau} \left( \sum_{j=1}^m r_j l(F_j) \right) &\leq \left( \frac{k \cdot \mu}{\tau} - 1 \right) w(A) + \left( k \cdot \frac{\mu - r_m}{\tau} + 1 \right) w_{r'}^* \\ \tau l(P) - \sum_{j=1}^m r_j l(F_j) &\leq \left( k - \frac{\tau}{\mu} \right) w(A) + \left( k \left( 1 - \frac{r_m}{\mu} \right) + \frac{\tau}{\mu} \right) w_{r'}^* \end{aligned} \quad (8)$$

Now Equations 2 and 8 yield  $w_{r'}(T) \leq \left( k + 1 - \frac{\tau}{\mu} \right) w(A) + \left( k \left( 1 - \frac{r_m}{\mu} \right) + \frac{\tau}{\mu} \right) w_{r'}^*$ . Because  $k + 1 - \frac{\tau}{\mu} \geq 0$ , by Equation 3, we obtain:

$$w_{r'}(T) \leq \left( 2k + 1 - k \frac{r_m}{\mu} \right) w_{r'}^*$$

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