

EPEAT Program

Continuous Monitoring Round Plan



Computers and Displays
CD-2021-02
March 26, 2021

Background

EPEAT® is a comprehensive voluntary sustainability Type 1 ecolabel that helps purchasers identify sustainable technology products and services. Central to EPEAT are conformity assurance activities that meet the technical rigor and credibility needs of the institutional purchasers who rely upon EPEAT. The EPEAT Program ensures the ongoing conformance of EPEAT-registered products through an ongoing surveillance process known as Continuous Monitoring. Continuous Monitoring activities occur throughout the year and test the ability of Participating Manufacturers to prove conformance with EPEAT Criteria on an ongoing basis.

Some Continuous Monitoring activities require that Investigations be conducted in discrete timeframes called Rounds. The EPEAT Program develops an individual plan for each Continuous Monitoring Round, which specifies the EPEAT Criteria to be investigated, the method of investigation that GEC-approved Conformity Assurance Bodies (CABs) must use and the specific dates when the Investigation activities must be completed. The EPEAT Program also selects the Participating Manufacturers and EPEAT-registered products and assigns Investigations to CABs, which must fully participate in and are responsible for implementing Continuous Monitoring Round activities with their Participating Manufacturer clients. Participating Manufacturers are required to cooperate fully with their GEC-approved CAB during Round activities.

This document contains the individual plan for Continuous Monitoring Round CD-2021-02.

Continuous Monitoring Round CD-2021-02 Investigation Activities

Continuous Monitoring Round CD-2021-02 will use documentation review to determine the conformance of products with specific EPEAT Criteria. The EPEAT Program assigns specific products and EPEAT Criteria for evaluation to GEC-approved CABs. Participating Manufacturers have a discrete time period in which they must provide evidence that supports conformance with the selected criteria. GEC-approved CABs review the documentation, make recommendations on conformity based solely on the evidence provided by Participating Manufacturers, and send Investigation Reports to the EPEAT Program, which makes the final decisions on conformity.

Continuous Monitoring Round CD-2021-02 Criteria and Product Selection

The products and criteria selected for investigation in Continuous Monitoring Round CD-2021-02 were selected randomly using a random number generator.

Overview of Criteria and Products Selected	
Product Category	Computers and Displays
Number of Products Selected	66
Criteria Selected	4.1.1.1– Conformance with European Union RoHS Directive substance restrictions 4.1.3.1– Elimination of intentionally added mercury in light sources 4.1.4.1– Restriction of the use of beryllium 4.1.5.1– Reduction of bromine and chlorine content in plastic parts >25 g 4.1.5.2– Further reduction of bromine and chlorine content of plastic materials 4.1.6.2–Reduction of substances on the EU REACH Candidate List of SVHCs 4.1.7.1–Compliance with provisions of EU Battery Directive 4.1.9.1–IEC 62474 declarable substances 4.1.9.3–Acquiring substance inventory 4.1.10.2– Reduce fluorinated greenhouse gas emissions from semiconductor production 4.10.2.1–Public disclosure regarding conflict minerals in products 4.10.2.2–Participation in an in-region program that advances responsible sourcing of conflict minerals 4.2.1.1–Minimum post-consumer recycled plastic, ITE-derived post-consumer recycled plastic or bio based plastic content 4.3.1.1–Identification of materials and components requiring selective treatment 4.3.2.2–Plastic parts separable for recycling 4.4.1.1–Service support 4.4.2.1–Removal of external enclosure 4.4.2.3–Spare parts 4.4.2.4–Battery replacement information 4.5.1.1–Conformance to current ENERGY STAR® program requirements 4.5.1.2–Lowest power mode limit 4.6.1.1–Provision of product take-back services 4.6.2.1–Provision of a removable rechargeable battery take-back program 4.6.3.1–End-of-life processing 4.7.1.1–Elimination of intentionally added heavy metals in packaging 4.7.1.2–Elimination of elemental chlorine as a bleaching agent in packaging material 4.7.2.1–Separable packaging material 4.8.1.1–Product lifecycle assessment and public disclosure of analysis 4.8.1.2–Product specific greenhouse gas emissions - product carbon footprint 4.8.2.1–Corporate carbon footprint 4.8.2.2–Greenhouse gas emissions from product transport 4.9.1.1–Third party certified environmental management system (EMS) for design and manufacturing organizations 4.9.1.2–Third party certified environmental management system (EMS) for supplier manufacturing facilities 4.9.2.1–Corporate environmental performance reporting by manufacturer 4.9.2.2–Corporate environmental performance reporting by suppliers 4.9.3.1–Energy management system/energy performance improvement - manufacturers

	4.9.3.2—Energy management system/energy performance improvement for suppliers
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Continuous Monitoring Round CD-2021-02 Schedule

Phase of Round	Date
Preparation Phase	
CABs notified of Round schedule and activities by EPEAT	March 22, 2021
CABs receive Round assignments and materials from EPEAT	March 26, 2021
Week of Round Training for CABs	Weeks of March 29 & April 5, 2021
Investigation Phase (CABs performing investigations)	
Investigative period begins	April 26, 2021
Investigative period ends	July 25, 2021
Deadline for CAB submission of Investigation Reports to EPEAT	August 9, 2021
Deliberation Phase (EPEAT making conformity decisions)	
Deliberation period begins	August 10, 2021
CABs receive Investigation Reports with final conformity decisions from EPEAT	September 8, 2021
Corrective Action Phase (Participating Manufacturers restoring accuracy of EPEAT Registry)	
Corrective action period begins	September 16, 2021
Corrective action period ends	October 16, 2021
Deadline for CAB submission of corrective action reports to EPEAT	October 24, 2021
CABs receive final Investigation Reports with correction decisions from EPEAT	November 9, 2021
Reporting Phase	
Outcomes Report published	November 17, 2021

Process Details – Continuous Monitoring Using Documentation Review

Continuous Monitoring Rounds that use documentation review activities are conducted in accordance with EPEAT Policy Manual (P65) and EPEAT Conformity Assurance Implementation Manual (also called EPEAT Requirements of CABs and Conformity Assurance Procedures) (P66) in effect at the time of the Round.

- The EPEAT Program downloads a list of all active EPEAT-registered products, select products from the list for investigation and assigns EPEAT Criteria to products, as per the Round Plan.
- GEC-approved CABs receive the list of products and EPEAT Criteria selected for their Participating Manufacturer clients but do not yet notify the Participating Manufacturers of the imminent investigations.
- The EPEAT Program publishes the Round Plan on the start date of the Round.
- On the start date of the Round, GEC-approved CABs notify the Participating Manufacturers that their products have been selected for investigation and begin the evidence collection process.

- Participating Manufacturers have a discrete time period in which they must provide evidence that supports conformance with the selected criteria.
- GEC-approved CABs review the documentation, make recommendations on conformity based solely on the evidence provided by Participating Manufacturers, and prepare an Investigation Report for each product.
- GEC-approved CABs submit the Investigation Reports to the EPEAT Program. At the same time, CABs forward these same Reports to the Participating Manufacturers.
- The EPEAT Program reviews Investigation Reports and makes the final decisions on conformity. The EPEAT Program then sends the Investigation Reports back to the GEC-approved CABs.
- GEC-approved CABs send the Investigation Reports with the final decision on conformity to the Participating Manufacturers.
- For decisions of nonconformance, Participating Manufacturers must make corrections within 30 calendar days to restore the accuracy of the EPEAT Registry.
- The EPEAT Program publishes an Outcomes Report identifying the nonconforming products and Participating Manufacturers, as well as the actions taken to restore accuracy of the EPEAT Registry.

<i>Document Control and Change History</i>						
<i>Issue</i>	<i>Revision</i>	<i>Owner</i>	<i>Approver</i>	<i>Description</i>	<i>Approval Date</i>	<i>Effective Date</i>
1	0	Senior Manager, Ecolabels and Resources	Director, EPEAT Program	Initial release	2020 Aug 20	2020 Aug 23
1	1	Senior Manager, Ecolabels and Resources	Senior Director, Ecolabels and Manufacturer Resources	Updates throughout to match revisions to P66. Addition of Preparation Phase to schedule table.	2021 Feb 22	2021 Feb 26