THE ARMS TRADE TREATY

REPORTING TEMPLATE

ANNUAL REPORT IN ACCORDANCE WITH ARTICLE 13(3) - EXPORTS AND IMPORTS OF CONVENTIONAL ARMS COVERED UNDER ARTICLE 2 (1)

This provisional template is intended for use by States Parties to the Arms Trade Treaty when preparing their annual report in accordance with the Treaty's Article 13(3).

The template has two main tables, one for exports and the other for imports. The tables are similar in construction, making it possible to have a common set of explanatory notes for both.

Article 5(3) of the Treaty states that "National definitions of any of the categories covered under Article 2 (1) (a)-(g) shall not cover less than the descriptions used in the United Nations Register of Conventional Arms at the time of entry into force of this Treaty". Against this background, Annex 1 reproduces the UN Register Definitions of Categories I-VII at the time of the ATT's entry into force. For category VIII (small arms and light weapons), the UN Register template for voluntary reporting of this category at the time of the ATT's entry into force has been employed as an approximation.

Annex 2 allows reporting States Parties to, if they so wish, include more specific information on national definitions of reported categories.

Annex 3 comprises two templates for nil reports, one for exports and one for imports. They may be used in place of a report in table format if a States Party has no transactions to report.

The title page of the template contains information on the submitting country and authority, but also a 'table of contents' in tick-box form, to indicate which of the different available forms have been included in the national submission. There is also a section where the reporting Government may indicate whether any commercially sensitive and/or national security-related data has been withheld in accordance with Article 13.3 of the Treaty

On the title page of each of the four reporting forms (exports, nil exports, nil imports) a State Party needs to indicate whether the form may be made publicly available in order to instruct the ATT Secretariat where to upload the report on the ATT website. This makes it possible to restrict access to some forms but not others, which provides an additional measure of flexibility to the reporting States Party.

Guidance to facilitate the preparation of the annual report can be found in the document "Reporting Authorized or Actual Exports and Imports of Conventional Arms under the ATT" (hereinafter: 'FAQ'-type guidance document on the annual reporting obligation), which is available in the Tools and Guidelines section of the ATT website. This document was endorsed by States Parties at CSP3 as an informative and open-ended reference document for States Parties when preparing their annual report. At CSP5 States Parties endorsed a number of amendments that were necessary to reflect the introduction of the online reporting tool.

GOV	ERNMENT OF	_NORWAY		
ACC(ORDANCE WITH	EXPORTS AND IMPORTS OF CONVENTARTICLE 13(3) OF THE ARMS TRADE T LENDAR YEAR2023		ARMS, IN
Natio	nal Point of Contac	et for this Report:		
	Name :	Seline Høiseth	Mr. 🗆	Ms. 🖂
	Position/Job title :	Senior Advisor		•
	Organisation:	Norwegian Ministry of Foreign Affairs		
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	Date of Report :	26.06. 2024		
info		or Disarmament Affairs (UNODA) may use the relevant teport as a basis for the reporting State's report to the Unoms (UNROCA)	ited	
Cor	ntents of report (che	eck as appropriate)	Yes	No
i)	Nil report on exports of	f conventional arms		
ii)	Nil report on imports o	f conventional arms		
iii)	Annual report on expor	rts of conventional arms		
iv)	Annual report on impor	rts of conventional arms		
v)	National definitions of	categories of conventional arms reported		
Sco	pe of report		Yes	No
data	has been withheld in acc	e commercially sensitive and/or national security-related cordance with Article 13.3 of the Treaty ¹ viding more information on a voluntary basis.)		

EXPORTS OF CONVENTIONAL ARMS²

- SHADED COLUMNS AND ROWS REPRESENT INFORMATION THAT GOES BEYOND THE MINIMUM THAT STATES PARTIES SHOULD INCLUDE WHEN THEY REPORT THEIR AUTHORIZED OR ACTUAL EXPORTS AND IMPORTS-

Calendar Year: 2023

Reporting country:

Battle tanks

Norway

Cutoff date³:31.12.2023

	1	2	3	4	5	6		7	8	9
	/III]	Auth.	Act.	Number of items ⁹	Value ¹⁰	State ¹¹	(if not ex	xporter) ¹²	Description of Item	Comment
tegory	y of arms ⁶		rised or exports ⁷		f exports ⁸ ne or both)	Final importing	State o	f origin	Rer	marks ¹³
7	This Annual Repo	rt on exports r	nay be ma	de publicly availa	ble ⁵				Yes 🖂	No 🗌
										J
_	Other (please provide a brief description below) : Yes \(\sum \) No \(\subseteq \)									
	Transfer of control: Yes						No 🖂			
	Transfer of title : Yes							No 🖂		
				Ph	ysical transfer of	f items across a national	border:	Yes 🖂	No 🗌	
ª	in this report,	the following	ıg defini	ition of the ter	m exports wa	s used⁴ (check as a	ppropriate	e):		

	Category of ar	ems ⁶	Author actual e		Extent of (choose or	f exports ⁸ ne or both)	Final importing	State of origin	Ren	narks ¹³
	[I-VIII]		Auth.	Act.	Number of items ⁹	Value ¹⁰	State ¹¹	(if not exporter) ¹²	Description of Item	Comments on the transfer
	1		2	3	4	5	6	7	8	9
II.	Armoured combat	vehicles								
III.	Large-calibre artill	ery systems								
IV.	Combat Aircraft	a) manned		\boxtimes	3					
IV.	Combat Afferant	b) unmanned								
V.	Attack	a) manned								
٧.	helicopters	b) unmanned								
VI.	Warships									
VII.	Missiles &	a) Missiles etc		\boxtimes	9					
VII.	missile launchers	b) MANPADS								
B. VI	II. Small Arms and	Light Weapons	S ^{16, 17}							
	Small Arms (aggre	egated) ¹⁸								
1.	Revolvers and self pistols	-loading								
2.	Rifles and carbines	S								
3.	Sub-machine guns									
4.	Assault rifles									
5.	Light machine gun	ıs								
6.	Others									
I	ight Weapons (agg	regated) ¹⁹			702				Sport and hunting	

	Category of arms ⁶	Author actual e	rised or exports ⁷	Extent of (choose on	e or both)	- Final importing	State of origin	Ren	narks ¹³
	[I-VIII]	Auth.	Act.	Number of items ⁹	Value ¹⁰	State ¹¹	(if not exporter) ¹²	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
1.	Heavy machine guns								
2.	Hand-held under-barrel and mounted grenade launchers								
3.	Portable anti-tank guns								
4.	Recoilless rifles								
5.	Portable anti-tank missile launchers and rocket systems								
6.	Mortars of calibres less than 75 mm								
7.	Others								
C. Na	tional Categories ²⁰ (please define in	n Annex 2))						

IMPORTS OF CONVENTIONAL ARMS²

- SHADED COLUMNS AND ROWS REPRESENT INFORMATION THAT GOES BEYOND THE MINIMUM THAT STATES PARTIES SHOULD INCLUDE WHEN THEY REPORT THEIR AUTHORIZED OR ACTUAL EXPORTS AND IMPORTS -

In this r	eport, the following definition of the term imports was used4 (check as approp	riate):		
	Physical transfer of items across a national border	: Yes 🖂	No 🗌	
	Transfer of title	: Yes 🗌	No 🖂	
	Transfer of control	: Yes 🗌	No 🖂	
	Other (please provide a brief description below)	: Yes 🗌	No 🖂	
	nal Report on imports may be made publicly available ⁵		Yes 🖂	No

	Category of arms ⁶		rised or mports ⁷		imports ⁸ ne or both)		State of origin	Rema	arks13
	[I-VIII]	Auth.	Act.	Number of items ⁹	Value ¹⁰	Exporting State ¹¹	(if not exporter) ¹²	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
A. I-V	II UN Register Categories ¹⁴ (nati	onal defin	itions sha	ll not cover less th	an the definitions	provided in Annex 1) ¹	5		
I.	Battle tanks		\boxtimes	7					
II.	Armoured combat vehicles								

	Category of a	orms ⁶	Author actual in		Extent of (choose or	imports ⁸ ne or both)		State of origin	Rem	arks13
	[I-VIII]		Auth.	Act.	Number of items ⁹	Value ¹⁰	Exporting State ¹¹	(if not exporter) ¹²	Description of Item	Comments on the transfer
	1		2	3	4	5	6	7	8	9
III.	Large-calibre arti	illery systems								
13.7	Combataine C	a) manned		\boxtimes	4					
IV.	Combat aircraft	b) unmanned								
***	V. Attack	a) manned								
V.	helicopters	b) unmanned								
VI.	Warships	•								
	Missiles &	a) Missiles etc	\boxtimes		111					
VII.	missile launchers	b) MANPADS								
B. VI	II. Small Arms an	d Light Weapon	ıs ^{16, 17}			<u>'</u>				
	Small Arms (aggr	regated) ¹⁸								
1.	Revolvers and se pistols	lf-loading			2500					
2.	Rifles and carbin	es		\boxtimes	2					
3.	Sub-machine gur	ıs								
4.	Assault rifles			\boxtimes	946					
5.	Light machine gu	ins		\boxtimes	1110					
6.	Others									
L	ight Weapons (ag	gregated) ¹⁹								

	Category of arms ⁶	Author actual in	rised or mports ⁷	Extent of (choose or	imports ⁸ ne or both)		State of origin	Rema	arks13
	[I-VIII]	Auth.	Act.	Number of items ⁹	Value ¹⁰	Exporting State ¹¹	(if not exporter) ¹²	Description of Item	Comments on the transfer
	1	2	3	4	5	6	7	8	9
1.	Heavy machine guns		\boxtimes	160					
2.	Hand-held under-barrel and mounted grenade launchers								
3.	Portable anti-tank guns								
4.	Recoilless rifles								
5.	Portable anti-tank missile launchers and rocket systems								
6.	Mortars of calibres less than 75 mm								
7.	Others								
C. Na	tional Categories ²⁰ (please define in	n Annex 2	2)						

EXPLANATORY NOTES

- 1) See questions 29 to 31 in the 'FAQ'-type guidance document on the annual reporting obligation.
- 2) States Parties that do not have any exports and/or imports to report should file a "nil report" clearly stating that no exports/imports have taken place in any of the categories during the reporting period. Templates for such nil reports are included in Annex 3. See also question 33 in the 'FAQ'-type guidance document on the annual reporting obligation.
- 3) Date for collected statistics (for instance 30 June or 31 December). See also question 3 in the 'FAQ'-type guidance document on the annual reporting obligation.
- 4) Based on UN Register practice. An international arms transfer could mean, in addition to the physical movement of equipment to or from national territory, the transfer of title to- and control over the equipment. Other criteria are also possible. States Parties should here provide a description of the national criteria used to determine, for control purposes, exactly when an arms transfer takes place. See also question 5 in the 'FAQ'-type guidance document on the annual reporting obligation.
- 5) If you wish this Annual Report to be publicly available and posted on the public area of the ATT website, tick 'Yes'. If you tick 'No', this Annual Report will be posted on the restricted area of the website and will not be publicly available. See question 41 in the 'FAQ'-type guidance document on the annual reporting obligation.
- 6) As outlined in Articles 2 (1) (a)-(h) and 5(3). For more precise definitions of the categories, see Annex 1. See also section B.ii. in the 'FAQ'-type guidance document on the annual reporting obligation.
- 7) Article 13(3) allows reporting of either authorised or actual exports / imports. The choice can be made at the national level for a report as a whole or category by category. Please indicate by ticking the appropriate box for each category reported whether the value represents authorisations (Auth.) or actual exports (Act.). It is highly desirable that national choices in this respect, once made, should remain stable over time for reasons of consistency and continuity. A State Party wishing to report both authorised and actual exports / imports may of course do so, but then needs to submit two tables, one for authorised exports / imports and the other for actual exports / imports. See also questions 9 to 11 in the 'FAQ'-type guidance document on the annual reporting obligation.
- 8) The size of exports / imports may be indicated <u>either</u> as quantity or as value. The choice can be made at the national level for each category of arms, but, **once made**, **should remain stable over time for reasons of consistency and continuity**. A State Party wishing to report <u>both</u> quantity and value may of course do so. See also question 24 in the <u>'FAQ'-type guidance document on the annual reporting obligation</u>.
- 9) Standard UN Register reporting variable. Please indicate unit, if not 'pieces'.
- 10) Optional alternative. Please indicate unit (for example national currency).

- 11) In line with UN Register practice. See also questions 22 and 23 in the 'FAQ'-type guidance document on the annual reporting obligation on breaking down information.
- 12) In line with UN Register practice. NB: This is a shaded column because this information goes beyond the common understanding of what information States Parties should include *as a minimum* when they report their authorized or actual exports and imports. See also question 24 in the 'FAQ'-type guidance document on the annual reporting obligation.
- 13) In line with UN Register practice. In the first "Remarks" column, States Parties may, if they so wish, describe the item transferred by entering the designation, type, model or any other information considered relevant. The second column may be used to explain or clarify the nature of the transfer for instance if it is temporary (e.g. for exhibitions or repairs), or if it is industrial in nature (perhaps intended for integration into a larger system). NB: In line with UN Register practice, States Parties may choose between reporting respectively small arms and light weapons as an aggregate, or by sub-type. See also questions 25 to 28 in the 'FAQ'-type guidance document on the annual reporting obligation.
- 14) As outlined in Article 2 (1) (a)-(g), See Annex 1 for the UN Register's more precise definitions of the categories I-VII, including subcategories. See also question 12 and Annexes 1 and 2 in the 'FAQ'-type guidance document on the annual reporting obligation.
- 15) See Article 5(3). See also question 12 and Annexes 1 and 2 in the 'FAQ'-type guidance document on the annual reporting obligation.
- 16) As outlined in Article 2 (1) (h), with sub-categories taken from the UN Register template for voluntary reporting of Small Arms and Light Weapons in alignment with the provision in Article 5(3), which stipulates that: 'For the category covered under Article 2 (1) (h), national definitions shall not cover less than the descriptions used in relevant United Nations instruments at the time of entry into force of this Treaty'. This choice has been made provisionally, pending later agreement between States Parties on the desirability of using this or another UN description or definition of SALW subcategories (for instance from the UN Firearms Protocol or the International Tracing Instrument ITI). NB: The SALW sub-categories in this report are shaded, in line with the UN Register practice that allows States to choose between reporting small arms by sub-type or as an aggregate. See also questions 13 and 14 and Annex 3 in the 'FAQ'-type guidance document on the annual reporting obligation.
- 17) "national definitions shall not cover less than the descriptions used in relevant United Nations instruments at the time of entry into force of this Treaty" (Article 5(3)) See also question 12 and Annexes 1 and 2 in the <u>'FAQ'-type guidance document on the annual reporting obligation</u>.
- 18) In line with UN Register practice, States Parties may choose between reporting small arms by sub-type or as an aggregate. See also question 13 and Annex 3 in the 'FAQ'-type guidance document on the annual reporting obligation.

- 19) In line with UN Register practice, States Parties may choose between reporting light weapons by sub-type or as an aggregate. See also question 13 and Annex 3 in the 'FAQ'-type guidance document on the annual reporting obligation.
- 20) Article 5(3) encourages States Parties to apply the provisions of the Treaty to the broadest range of conventional weapons. Any such additional categories are voluntary and categories used may vary between States Parties. If provided at all, extra categories should be more precisely defined in Annex 2. See also questions 15 and 16 in the 'FAQ'-type guidance document on the annual reporting obligation.

ANNEX 1

UN Register Definitions of Categories I-VII¹

I. Battle tanks

Tracked or wheeled self-propelled armoured fighting vehicles with high cross-country mobility and a high-level of self-protection, weighing at least 16.5 metric tons unladen weight, with a high muzzle velocity direct fire main gun of at least 75 millimetres calibre.

II. Armoured combat vehicles

Tracked, semi-tracked or wheeled self-propelled vehicles, with armoured protection and cross-country capability, either: (a) designed and equipped to transport a squad of four or more infantrymen, or (b) armed with an integral or organic weapon of at least 12.5 millimetres calibre or a missile launcher.

III. Large-calibre artillery systems

Guns, howitzers, artillery pieces, combining the characteristics of a gun or a howitzer, mortars or multiple-launch rocket systems, capable of engaging surface targets by delivering primarily indirect fire, with a calibre of 75 millimetres and above.

IV. Combat aircraft

- a) Manned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction, including versions of these aircraft which perform specialized electronic warfare, suppression of air defence or reconnaissance missions;
- b) Unmanned fixed-wing or variable-geometry wing aircraft, designed, equipped or modified to engage targets by employing guided missiles, unguided rockets, bombs, guns, cannons or other weapons of destruction.

The term "combat aircraft" does not include primary trainer aircraft, unless designed, equipped or modified as described above.

V. Attack helicopters

- a) Manned rotary-wing aircraft, designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-toair weapons and equipped with an integrated fire control and aiming system for these weapons, including versions of these aircraft which perform specialized reconnaissance or electronic warfare missions;
- b) Unmanned rotary-wing aircraft, designed, equipped or modified to engage targets by employing guided or unguided anti-armour, air-to-surface, air-to-subsurface, or air-to-air weapons and equipped with an integrated fire control and aiming system for these weapons.

¹ Excerpted from the 2014 UN Register reporting template

VI. Warships

Vessels or submarines armed and equipped for military use with a standard displacement of 500 metric tons or above, and those with a standard displacement of less than 500 metric tons, equipped for launching missiles with a range of at least 25 kilometres or torpedoes with similar range.

VII. Missiles and missile launchers²

- a) Guided or unguided rockets, ballistic or cruise missiles capable of delivering a warhead or weapon of destruction to a range of at least 25 kilometres, and means designed or modified specifically for launching such missiles or rockets, if not covered by categories I through VI. For the purpose of the Register, this sub-category includes remotely piloted vehicles with the characteristics for missiles as defined above but does not include ground-to-air missiles.
- b) Man-Portable Air-Defence Systems (MANPADS)³.

² Multiple-launch rocket systems are covered by the definition of category III.

³ MANPADS should be reported if the MANPAD system is supplied as a complete unit, i.e. the missile and launcher/Grip Stock form an integral unit. In addition, individual launching mechanisms or grip-stocks should also be reported. Individual missiles, not supplied with a launching mechanism or grip stock need not be reported.

ANNEX 2

Reporting country:	Calendar Year :

Specific (diverging or more detailed) national definitions of categories I-VIII (or simple reference to initial report, if this information was provided there)

No	Description
I.	
II.	
III.	
IV.	
V.	
VI.	
VII.	
VIII.	

Definitions of additional national categories - Section C of table(s) (or simple reference to initial report, if this information was provided there)

No	Description

ANNEX 3 A

NIL REPORT Exports of Conventional Arms¹

Reporti country		Calendar Year :	
he Gover	rnment of	,	
	ence to Article 13 (3) of the Arms Trade Treaty, here from territory under our jurisdiction. This report se	•	-
	no actual exports of conventional arms listed in Art Trade Treaty have taken place from territory under the reporting period indicated above.	` '	
	no export authorizations have been issued for convergence Article 2 (1) of the Arms Trade Treaty during the reabove.		
This nil re	eport on exports may be made publicly available ⁵		Yes 🗌

ANNEX 3 B

NIL REPORT Imports of Conventional Arms¹

Reporti country		Calendar Year :	
he Gover	rnment of	,	,
	ence to Article 13 (3) of the Arms Trade Treaty, here is from territory under our jurisdiction. This report is	•	
	no actual imports of conventional arms listed in Article 2 (1) of the Arms Trade Treaty have taken place to territory under our jurisdiction during the reporting period indicated above.		
		mport authorizations have been issued for conventional arms listed in cle 2 (1) of the Arms Trade Treaty during the reporting period indicated we.	
This nil r	eport on imports may be made publicly available ⁵		Yes