

SUMMARY OF SECTION 301 DUTIES ON PRODUCTS OF CHINA – Additional Duties Assessed								
Action	Tranche	Federal Register Notice	Publication Date	Effective Date	HTS	Duty	CSMS	CAMS
\$34B Action	Tranche 1*	83 FR 28710	6/20/2018	7/6/2018	9903.88.01	Plus 25%	18-000409	None
\$16B Action	Tranche 2*	83 FR 40823	8/16/2018	8/23/2018	9903.88.02	Plus 25%	18-000493	None
\$200B Action	Tranche 3*	83 FR 47974	9/21/2018	9/24/2018	9903.88.03 9903.88.04	Plus 10%	18-000554	None
\$200B Action	Tranche 3*	84 FR 20459	5/9/2019	5/10/2019	9903.88.03 9903.88.04	Plus 25%	19-000238	2264
\$200B Action	Tranche 3* “On the Water Provision“	84 FR 21892	5/15/2019	05/10/2019 before 6/15/2019	9903.88.09	Plus 10%	19-000238	2264
		84 FR 26930 (Date Extension)	6/10/2019				19-000274	2288
		86 FR 22092	4/26/2021				19-000296	2306
\$300B Action	Tranche 4*	84 FR 43304	8/20/2019	9/1/2019	9903.88.15 <i>Annex A</i>	Plus 10%	39473933	2372
				12/15/2019	9903.88.16 <i>Annex C</i>			
\$300B Action	Tranche 4*	84 FR 45821	8/30/2019	9/1/2019	9903.88.15	Plus 15%	39587690	2383
		85 FR 3741	1/22/2020	2/14/2020	<i>Annex A</i>	Plus 7.5%	41538917	2537
		84 FR 69447	12/18/2019	12/15/2019	9903.88.16 <i>Annex C</i>	<i>Suspended</i>	41538917	2537
The reference to “sets” in 84 FR 49591 pertains to so-called “GRI 1 sets” Sets included in Tranche 3 or Tranche 4 – which are provisions in the HTSUS that identify a specific type of “set” (e.g. tool sets of heading 8206). If both the set and the component(s) are subject to Section 301 duties the below HTS# will be transmitted with the component which has the highest duty rate; thus applying the Tranche rate specific to the component and not the set.								
Sets/Tranche 3	Tranche 1*	84 FR 49591	9/20/2019	9/24/2018	9903.88.21	Plus 25%	40003027	2416
Sets/Tranche 3	Tranche 2*	84 FR 49591	9/20/2019	9/24/2018	9903.88.22	Plus 25%	40003027	2416
Sets/Tranche 3	Tranche 3*	84 FR 49591	9/20/2019	9/24/2018	9903.88.23	Plus 25% <i>Plus 10% if “on the water”</i>	40003027	2416
Sets/Tranche 3	Tranche 4*	84 FR 49591	9/20/2019	9/24/2018	9903.88.24	Plus 15%	40003027	2416
		85 FR 3741	1/22/2020	2/14/2020		Plus 7.5%	41538917	2537
Sets/Tranche 4	Tranche 1*	84 FR 49591	9/20/2019	9/1/2019	9903.88.25	Plus 25%	40003027	2416
Sets/Tranche 4	Tranche 2*	84 FR 49591	9/20/2019	9/1/2019	9903.88.26	Plus 25%	40003027	2416
Sets/Tranche 4	Tranche 3*	84 FR 49591	9/20/2019	9/1/2019	9903.88.27	Plus 25% <i>Plus 10% if “on the water”</i>	40003027	2416
Sets/Tranche 4	Tranche 4*	84 FR 49591	9/20/2019	9/1/2019	9903.88.28	Plus 15%	40003027	2416
		85 FR 3741	1/22/2020	2/14/2020		Plus 7.5%	41538917	2537

*Lists of HTS statistical reporting numbers subject to duties were modified by Presidential Proclamation 10326 (86 FR 73593), effective January 27, 2022.

SUMMARY OF SECTION 301 DUTIES ON PRODUCTS OF CHINA - Product Exclusions Granted

Round	Tranche	Federal Register Notice	Publication Date	Effective Date	HTS	U.S. note	Expiration Date	CSMS	CAMS
1 st	Tranche 1 - \$34B	83 FR 67463	12/28/2018	7/6/2018	9903.88.05	20(h)	12/28/2019	19-000052	2116
		84 FR 70616	12/23/2019		9903.88.05 extension	20(h)	12/28/2020	41167371	
2 nd	Tranche 1 - \$34B	84 FR 11152	3/25/2019	7/6/2018	9903.88.06	20(i)	3/25/2020	19-000155	2208
		85 FR 15849	3/19/2020		9903.88.06 extension	20(i)	3/25/2021	42180527	2618
3 rd	Tranche 1 - \$34B	84 FR 16310	4/18/2019	7/6/2018	9903.88.07	20(j)	4/18/2020	19-000212	2244
		85 FR 20332	4/10/2020		9903.88.07 extension	20(j)	4/18/2021	42355914	2649
4 th	Tranche 1 - \$34B	84 FR 21389	5/14/2019	7/6/2018	9903.88.08	20(k)	5/14/2020	19-000244	2268
		85 FR 29503	5/15/2020		9903.88.08 extension	20(k)	12/31/2020	42839255	2723
5 th	Tranche 1 - \$34B	84 FR 25895	6/4/2019	7/6/2018	9903.88.10	20(m)	6/4/2020	19-000332	2318
		85 FR 33775	6/2/2020	6/4/2020	9903.88.50 extension	20(ccc)	12/31/2020	43043838	2749
6 th	Tranche 1 - \$34B	84 FR 32821	7/9/2019	7/6/2018	9903.88.11	20(n)	7/9/2020	38840764	2328
		85 FR 41267	7/9/2020	7/9/2020	9903.88.52 extension	20(eee)	12/31/2020	43400564	2807
7 th	Tranche 1 - \$34B	84 FR 49564	9/20/2019	7/6/2018	9903.88.14	20(q)	9/20/2020	40002982	2415
		85 FR 59587	9/22/2020	9/20/2020	9903.88.58 extension	20(kkk)	12/31/2020	44243021	2928
8 th	Tranche 1 - \$34B	84 FR 52567	10/2/2019	7/6/2018	9903.88.19	20(x)	10/2/2020	40330403	2446
		85 FR 62782	10/5/2020	10/2/2020	9903.88.60 extension	20(mmm)	12/31/2020	44451479	2988
9 th *	Tranche 1 - \$34B	84 FR 69016	12/17/2019	7/6/2018	amendment	N/A	10/1/2020	N/A	N/A
10 th *	Tranche 1 - \$34B	85 FR 7816	2/11/2020	7/6/2018	amendment	N/A	10/1/2020	N/A	N/A
11 th *	Tranche 1 - \$34B	85 FR 85831	12/29/2020	1/1/2021	9903.88.62	20(ooo)	3/31/2021	45318223	3103
		86 FR 13785	3/10/2021		9903.88.62 extension		9/30/2021	46607637	46607753
		86 FR 54011	9/29/2021		9903.88.62 extension		11/14/2021	49634975	49636225
		86 FR 63438	11/16/2021		9903.88.62 extension		11/30/2021	50183808	50184140
12 th *	Tranche 1 - \$34B	86 FR 63438	11/16/2021	12/1/2021	9903.88.66	20(sss)	5/31/2022	50183808	50184140
Round	Tranche	Federal Register Notice	Publication Date	Effective Date	HTS	U.S. note	Expiration Date	CSMS	CAMS
1 st	Tranche 2 - \$16B	84 FR 37381	7/31/2019	8/23/2018	9903.88.12	20(o)	7/31/2020	39169565	2350
		85 FR 45949	7/30/2020	7/31/2020	9903.88.54 extension	20(ggg)	12/31/2020	43600625	2831
2 nd	Tranche 2 - \$16B	84 FR 49600	9/20/2019	8/23/2018	9903.88.17	20(v)	9/20/2020	40001360	2414
		85 FR 59595	9/22/2020	9/20/2020	9903.88.59 extension	20(III)	12/31/2020	44198137	2922

3 rd	Tranche 2 - \$16B	84 FR 52553	10/2/2019	8/23/2018	9903.88.20	20(y)	10/2/2020	40208881	2429
		85 FR 62786	10/5/2020	10/2/2020	9903.88.61 extension	20(nnn)	12/31/2020	44450418	2986
4 ^{th*}	Tranche 2 - \$16B	85 FR 85831	12/29/2020	1/1/2021	9903.88.63	20(ppp)	3/31/2021	45318223	3103
		86 FR 13785	3/10/2021		9903.88.63 extension		9/30/2021	46607637	46607753
		86 FR 54011	9/29/2021		9903.88.63 extension		11/14/2021	49634975	49636225
		86 FR 63438	11/16/2021		9903.88.63 extension		11/30/2021	50183808	50184140
5 ^{th*}	Tranche 2 - \$16B	86 FR 63438	11/16/2021	12/1/2021	9903.88.66	20(sss)	5/31/2022	50183808	50184140
Round	Tranche	Federal Register Notice	Publication Date	Effective Date	HTS	U.S. note	Expiration Date	CSMS	CAMS
1 st	Tranche 3 - \$200B	84 FR 38717	8/7/2019	9/24/2018	9903.88.13	20(p)	8/7/2020	39268267	2357
2 nd	Tranche 3 - \$200B	84 FR 49591	9/20/2019	9/24/2018	9903.88.18	20(w)	8/7/2020	40003027	2416
3 rd	Tranche 3 - \$200B	84 FR 57803	10/28/2019	9/24/2018	9903.88.33	20(ll)	8/7/2020	40564257	2456
4 th	Tranche 3 - \$200B	84 FR 61674	11/13/2019	9/24/2018	9903.88.34	20(mm)	8/7/2020	40710742	2466
5 th	Tranche 3 - \$200B	84 FR 65882	11/29/2019	9/24/2018	9903.88.35	20(nn)	8/7/2020	40901928	2479
6 th	Tranche 3 - \$200B	84 FR 69012	12/17/2019	9/24/2018	9903.88.36	20(oo)	8/7/2020	41052773	2496
7 th	Tranche 3 - \$200B	85 FR 549	1/06/2020	9/24/2018	9903.88.37	20(pp)	8/7/2020	41702837	2563
8 th	Tranche 3 - \$200B	85 FR 6674	2/5/2020	9/24/2018	9903.88.38	20(qq)	8/7/2020	41834749	2573
9 th	Tranche 3 - \$200B	85 FR 9921	2/20/2020	9/24/2018	9903.88.40	20(ss)	8/7/2020	41998518	2592
10 th	Tranche 3 - \$200B	85 FR 15015	3/16/2020	9/24/2018	9903.88.41	20(tt)	8/7/2020	42048963	2598
11 th	Tranche 3 - \$200B	85 FR 17158	3/26/2020	9/24/2018	9903.88.43	20(vv)	8/7/2020	42181055	2619
12 th	Tranche 3 - \$200B	85 FR 23122	4/24/2020	9/24/2018	9903.88.45	20(xx)	8/7/2020	42566220	2681
13 th	Tranche 3 - \$200B	85 FR 27489	5/08/2020	9/24/2018	9903.88.46	20(yy)	8/7/2020	42693720	2707
14 th	Tranche 3 - \$200B	85 FR 32094	5/28/2020	9/24/2018	9903.88.48	20(aaa)	8/7/2020	43044185	2750
15 ^{th*}	Tranche 3 - \$200B	85 FR 48600	8/11/2020	8/7/2020	9903.88.56 extension	20(iii)	12/31/2020	43751936	2863
16 ^{th*}	Tranche 3 - \$200B	85 FR 52188	8/24/2020	9/24/2018	amendment	N/A	8/7/2020	43828656	2880
17 ^{th*}	Tranche 3 - \$200B	85 FR 57925	9/16/2020	8/7/2020	9903.88.56 amendment	20(iii)	12/31/2020	44172343	2921
18 ^{th*}	Tranche 3 - \$200B	85 FR 72748	11/13/2020	9/24/2018	9903.88.46 amendment	20(yy)(75)	8/7/2020	44905878	3055
19 ^{th*}	Tranche 3 -\$200B	85 FR 85831	12/29/2020	1/1/2021	9903.88.64	20(qqq)	3/31/2021	45318223	3103
		86 FR 13785	3/10/2021		9903.88.64 extension		9/30/2021	46607637	46607753
		86 FR 54011	9/29/2021		9903.88.64 extension		11/14/2021	49634975	49636225
		86 FR 63438	11/16/2021		9903.88.64 extension		11/30/2021	50183808	50184140

20 ^{th*}	Tranche 3 - \$200B	86 FR 42006	8/4/2021	7/1/2021	9903.88.64 amendment	20(qqq)(4)	9/30/2021	49268401	49267939
21 ^{st*}	Tranche 3 - \$200B	86 FR 63438	11/16/2021	12/1/2021	9903.88.66	20(sss)	5/31/2022	50183808	50184140
22 ^{nd*}	Tranche 3 - \$200B	86 FR 69350	12/7/2021	11/30/2020	amendment	20(iii), 20(qqq), 20(sss)	N/A	50516041	50515819
Round	Tranche	Federal Register Notice	Publication Date	Effective Date	HTS	U.S. note	Expiration Date	CSMS	CAMS
1 st	Tranche 4 - \$300B	85 FR 13970	3/10/2020	9/1/2019	9903.88.39	20(rr)	9/1/2020	41955151	2588
2 nd	Tranche 4 - \$300B	85 FR 15244	3/17/2020	9/1/2019	9903.88.42	20(uu)	9/1/2020	42049352	2599
3 rd	Tranche 4 - \$300B	85 FR 17936	3/31/2020	9/1/2019	9903.88.44	20(ww)	9/1/2020	42219187	2624
4 th	Tranche 4 - \$300B	85 FR 28693	5/13/2020	9/1/2019	9903.88.47	20(zz)	9/1/2020	42837261	2722
5 ^{th*}	Tranche 4 - \$300B	85 FR 32098	5/28/2020	9/1/2019	9903.88.47 amendment	20(zz)	9/1/2020	N/A	N/A
5 th /6 ^{th*}	Tranche 4 - \$300B	85 FR 35975	6/12/2020	9/1/2019	9903.88.49	20(bbb)	9/1/2020	43134617	2770
7 ^{th*}	Tranche 4 - \$300B	85 FR 41658	7/10/2020	9/1/2019	9903.88.51	20(ddd)	9/1/2020	43401456	2808
8 ^{th*}	Tranche 4 - \$300B	85 FR 44563	7/23/2020	9/1/2019	9903.88.53	20(fff)	9/1/2020	43534641	2820
9 ^{th*}	Tranche 4 - \$300B	85 FR 48627	8/11/2020	9/1/2019	9903.88.55	20(hhh)	9/1/2020	43656381	2843
10 ^{th*}	Tranche 4 - \$300B	85 FR 54616	9/2/2020	9/1/2020	9903.88.57 extension	20(jjj)	12/31/2020	44016918	2900
11 ^{th*}	Tranche 4 - \$300B	85 FR 85831	12/29/2020	1/1/2021	9903.88.65	20(rrr)	3/31/2021	45318223	3103
		86 FR 13785	3/10/2021		9903.88.65 extension		9/30/2021	46607637	46607753
		86 FR 54011	9/29/2021		9903.88.65 extension		11/14/2021	49634975	49636225
		86 FR 63438	11/16/2021		9903.88.65 extension		11/30/2021	50183808	50184140
12 ^{th*}	Tranche 4 - \$300B	86 FR 63438	11/16/2021	12/1/2021	9903.88.66	20(sss)	5/31/2022	50183808	50184140

NOTE: Please refer to the corresponding FRN notice to determine the appropriate effective and expiration dates.

(*) Represents the product exclusion round number in USTR's numbering system. CBP will adopt this numbering system moving forward.