

SUMMARY OF SECTION 301 DUTIES ON PRODUCTS OF CHINA – Additional Duties Assessed								
Action	Tranche	Federal Register Notice	Publication Date	Effective Date	HTS	Duty	CSMS	CAMS
\$34B Action	Tranche 1	83 FR 28710	6/20/2018	7/6/2018	9903.88.01	Plus 25%	18-000409	None
\$16B Action	Tranche 2	83 FR 40823	8/16/2018	8/23/2018	9903.88.02	Plus 25%	18-000493	None
\$200B Action	Tranche 3	83 FR 47974	9/21/2018	9/24/2018	9903.88.03 9903.88.04	Plus 10%	18-000554	None
\$200B Action	Tranche 3	84 FR 20459	5/9/2019	5/10/2019	9903.88.03 9903.88.04	Plus 25%	19-000238	2264
\$200B Action	Tranche 3 “On the Water Provision“	84 FR 21892	5/15/2019	05/10/2019 before 6/15/2019	9903.88.09	Plus 10%	19-000238	2264
		84 FR 26930 (Date Extension)	6/10/2019				19-000274	2288
		86 FR 22092	4/26/2021				19-000296	2306
\$300B Action	Tranche 4	84 FR 43304	8/20/2019	9/1/2019	9903.88.15 <i>Annex A</i>	Plus 10%	39473933	2372
				12/15/2019	9903.88.16 <i>Annex C</i>			
\$300B Action	Tranche 4	84 FR 45821	8/30/2019	9/1/2019	9903.88.15	Plus 15%	39587690	2383
		85 FR 3741	1/22/2020	2/14/2020	<i>Annex A</i>	Plus 7.5%	41538917	2537
		84 FR 69447	12/18/2019	12/15/2019	9903.88.16 <i>Annex C</i>	<i>Suspended</i>	41538917	2537
The reference to “sets” in 84 FR 49591 pertains to so-called “GRI 1 sets” Sets included in Tranche 3 or Tranche 4 – which are provisions in the HTSUS that identify a specific type of “set” (e.g. tool sets of heading 8206). If both the set and the component(s) are subject to Section 301 duties the below HTS# will be transmitted with the component which has the highest duty rate; thus applying the Tranche rate specific to the component and not the set.								
Sets/Tranche 3	Tranche 1	84 FR 49591	9/20/2019	9/24/2018	9903.88.21	Plus 25%	40003027	2416
Sets/Tranche 3	Tranche 2	84 FR 49591	9/20/2019	9/24/2018	9903.88.22	Plus 25%	40003027	2416
Sets/Tranche 3	Tranche 3	84 FR 49591	9/20/2019	9/24/2018	9903.88.23	Plus 25% <i>Plus 10% if “on the water”</i>	40003027	2416
Sets/Tranche 3	Tranche 4	84 FR 49591	9/20/2019	9/24/2018	9903.88.24	Plus 15%	40003027	2416
		85 FR 3741	1/22/2020	2/14/2020		Plus 7.5%	41538917	2537
Sets/Tranche 4	Tranche 1	84 FR 49591	9/20/2019	9/1/2019	9903.88.25	Plus 25%	40003027	2416
Sets/Tranche 4	Tranche 2	84 FR 49591	9/20/2019	9/1/2019	9903.88.26	Plus 25%	40003027	2416
Sets/Tranche 4	Tranche 3	84 FR 49591	9/20/2019	9/1/2019	9903.88.27	Plus 25% <i>Plus 10% if “on the water”</i>	40003027	2416
Sets/Tranche 4	Tranche 4	84 FR 49591	9/20/2019	9/1/2019	9903.88.28	Plus 15%	40003027	2416
		85 FR 3741	1/22/2020	2/14/2020		Plus 7.5%	41538917	2537

4 th *	Tranche 2 - \$16B	85 FR 85831	12/29/2020	1/1/2021	9903.88.63	20(ppp)	3/31/2021	45318223	3103
		86 FR 13785	3/10/2021		9903.88.63 extension	20(ppp)	9/30/2021	46607637	46607753
Round	Tranche	Federal Register Notice	Publication Date	Effective Date	HTS	U.S. note	Expiration Date	CSMS	CAMS
1 st	Tranche 3 - \$200B	84 FR 38717	8/7/2019	9/24/2018	9903.88.13	20(p)	8/7/2020	39268267	2357
2 nd	Tranche 3 - \$200B	84 FR 49591	9/20/2019	9/24/2018	9903.88.18	20(w)	8/7/2020	40003027	2416
3 rd	Tranche 3 - \$200B	84 FR 57803	10/28/2019	9/24/2018	9903.88.33	20(ll)	8/7/2020	40564257	2456
4 th	Tranche 3 - \$200B	84 FR 61674	11/13/2019	9/24/2018	9903.88.34	20(mm)	8/7/2020	40710742	2466
5 th	Tranche 3 - \$200B	84 FR 65882	11/29/2019	9/24/2018	9903.88.35	20(nn)	8/7/2020	40901928	2479
6 th	Tranche 3 - \$200B	84 FR 69012	12/17/2019	9/24/2018	9903.88.36	20(oo)	8/7/2020	41052773	2496
7 th	Tranche 3 - \$200B	85 FR 549	1/06/2020	9/24/2018	9903.88.37	20(pp)	8/7/2020	41702837	2563
8 th	Tranche 3 - \$200B	85 FR 6674	2/5/2020	9/24/2018	9903.88.38	20(qq)	8/7/2020	41834749	2573
9 th	Tranche 3 - \$200B	85 FR 9921	2/20/2020	9/24/2018	9903.88.40	20(ss)	8/7/2020	41998518	2592
10 th	Tranche 3 - \$200B	85 FR 15015	3/16/2020	9/24/2018	9903.88.41	20(tt)	8/7/2020	42048963	2598
11 th	Tranche 3 - \$200B	85 FR 17158	3/26/2020	9/24/2018	9903.88.43	20(vv)	8/7/2020	42181055	2619
12 th	Tranche 3 - \$200B	85 FR 23122	4/24/2020	9/24/2018	9903.88.45	20(xx)	8/7/2020	42566220	2681
13 th	Tranche 3 - \$200B	85 FR 27489	5/08/2020	9/24/2018	9903.88.46	20(yy)	8/7/2020	42693720	2707
14 th	Tranche 3 - \$200B	85 FR 32094	5/28/2020	9/24/2018	9903.88.48	20(aaa)	8/7/2020	43044185	2750
15 th *	Tranche 3 - \$200B	85 FR 48600	8/11/2020	8/7/2020	9903.88.56 extension	20(iii)	12/31/2020	43751936	2863
16 th *	Tranche 3 - \$200B	85 FR 52188	8/24/2020	9/24/2018	amendment	N/A	8/7/2020	43828656	2880
17 th *	Tranche 3 - \$200B	85 FR 57925	9/16/2020	8/7/2020	9903.88.56 amendment	20(iii)	12/31/2020	44172343	2921
18 th *	Tranche 3 - \$200B	85 FR 72748	11/13/2020	9/24/2018	9903.88.46 amendment	20(yy)(75)	8/7/2020	44905878	3055
19 th *	Tranche 3 - \$200B	85 FR 85831	12/29/2020	1/1/2021	9903.88.64	20(qqq)	3/31/2021	45318223	3103
		86 FR 13785	3/10/2021		9903.88.64 extension	20(qqq)	9/30/2021	46607637	46607753
Round	Tranche	Federal Register Notice	Publication Date	Effective Date	HTS	U.S. note	Expiration Date	CSMS	CAMS
1 st	Tranche 4 - \$300B	85 FR 13970	3/10/2020	9/1/2019	9903.88.39	20(rr)	9/1/2020	41955151	2588
2 nd	Tranche 4 - \$300B	85 FR 15244	3/17/2020	9/1/2019	9903.88.42	20(uu)	9/1/2020	42049352	2599
3 rd	Tranche 4 - \$300B	85 FR 17936	3/31/2020	9/1/2019	9903.88.44	20(ww)	9/1/2020	42219187	2624
4 th	Tranche 4 - \$300B	85 FR 28693	5/13/2020	9/1/2019	9903.88.47	20(zz)	9/1/2020	42837261	2722
5 th *	Tranche 4 - \$300B	85 FR 32098	5/28/2020	9/1/2019	9903.88.47 amendment	20(zz)	9/1/2020	N/A	N/A
5 th /6 th *	Tranche 4 - \$300B	85 FR 35975	6/12/2020	9/1/2019	9903.88.49	20(bbb)	9/1/2020	43134617	2770
7 th *	Tranche 4 - \$300B	85 FR 41658	7/10/2020	9/1/2019	9903.88.51	20(ddd)	9/1/2020	43401456	2808
8 th *	Tranche 4 - \$300B	85 FR 44563	7/23/2020	9/1/2019	9903.88.53	20(fff)	9/1/2020	43534641	2820
9 th *	Tranche 4 - \$300B	85 FR 48627	8/11/2020	9/1/2019	9903.88.55	20(hhh)	9/1/2020	43656381	2843

10 th *	Tranche 4 - \$300B	85 FR 54616	9/2/2020	9/1/2020	9903.88.57 extension	20(jjj)	12/31/2020	44016918	2900
11 th *	Tranche 4 - \$300B	85 FR 85831	12/29/2020	1/1/2021	9903.88.65	20(rrr)	3/31/2021	45318223	3103
		86 FR 13785	3/10/2021		9903.88.65 extension	20(rrr)	9/30/2021	46607637	46607753

NOTE: Please refer to the corresponding FRN notice to determine the appropriate effective and expiration dates.

(*) Represents the product exclusion round number in USTR's numbering system. CBP will adopt this numbering system moving forward.