

# On the Conditional Preference-based Argumentation Framework

(Extended Abstract)

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## Abstract

Dung's abstract Argumentation Framework (AF) has emerged as a central formalism in the area of knowledge representation and reasoning. Preferences in AF allow to represent the comparative strength of arguments in a simple yet expressive way. Preference-based AF (PAF) has been proposed to extend AF with preferences of the form  $a > b$ , whose intuitive meaning is that argument  $a$  is better than  $b$ . In this paper we discuss the recently proposed *Conditional Preference-based Argumentation Framework* (CPAF) [1] that extends PAF by introducing *conditional preferences* of the form  $a > b \leftarrow \text{body}$  informally stating that  $a$  is better than  $b$  whenever the condition expressed by *body* is true. We discuss CPAF properties and complexity results of the well-known verification and acceptance problems under multiple-status argumentation semantics.

## Keywords

Abstract Argumentation, Conditional Preferences, Computational Complexity

## Introduction

Recent years have witnessed intensive formal study, development and application of Dung's abstract Argumentation Framework (AF) in various directions [2]. An AF consists of a set  $A$  of arguments and an attack relation  $\Omega \subseteq A \times A$  that specifies conflicts over arguments (if argument  $a$  attacks argument  $b$ , then  $b$  is acceptable only if  $a$  is not). Thus, an AF can be viewed as a directed graph whose nodes represent arguments and edges represent attacks. The meaning of an AF is given in terms of argumentation semantics, e.g. the well-known *grounded* (gr), *complete* (co), *preferred* (pr), *stable* (st), and *semi-stable* (ss) semantics. Intuitively, an argumentation semantics tells us the sets of arguments (called  $\sigma$ -extensions, with  $\sigma \in \{\text{gr}, \text{co}, \text{pr}, \text{st}, \text{ss}\}$ ) that can collectively be accepted to support a point of view in a dispute. For instance, for AF  $\langle A, \Omega \rangle = \langle \{a, b\}, \{(a, b), (b, a)\} \rangle$  having two arguments,  $a$  and  $b$ , attacking each other, there are two stable extensions,  $\text{st}(\langle A, \Omega \rangle) = \{\{a\}, \{b\}\}$ , and neither argument  $a$  nor  $b$  is skeptically accepted. To cope with such situations, a possible solution is to provide means for preferring one argument to another.

AF has been extended to Preference-based Argumentation Framework (PAF) where preferences stating that an argument is better than another are considered. Two main approaches have been proposed to define PAF semantics.

The first approach defines the PAF semantics in terms of that of an *auxiliary AF* [3, 4, 5]. However, there are cases where this semantics may give counterintuitive results (see e.g. Example 3 in [1]). The problem is that preferences and attacks, in our opinion, describe different pieces of knowledge and should be considered separately. This is carried out by the second approach comparing extensions w.r.t. preferences defined over arguments [3, 4, 5].

Following this approach, the *Conditional Preference-based AF* (CPAF), an extension of AF (and PAF) with a set of *conditional preferences* (CPs), has been recently introduced in [1]. Intuitively, the CPAF semantics prescribes as best  $\sigma$ -extensions (with  $\sigma \in \{\text{gr}, \text{co}, \text{pr}, \text{st}, \text{ss}\}$ ) a subset of the  $\sigma$ -extensions of the underlying AF that better satisfy the conditional preferences.

As an example, consider the AF  $\Lambda_1 = \langle \{\text{fish}, \text{meat}, \text{white}, \text{red}\}, \{(\text{fish}, \text{meat}), (\text{meat}, \text{fish}), (\text{white}, \text{red}), (\text{red}, \text{white})\} \rangle$ , describing what a customer is going to have for lunch. (S)he will have either *fish* or *meat*, and will drink either *white* wine or *red* wine. Assume now that the customer expresses some preferences about the menus: if (s)he will have *meat* then would prefer to have *red* wine, whereas if (s)he will have *fish* then would prefer to have *white* wine. Intuitively, these preferences can be expressed by means of the following conditional preferences:

$\text{red} > \text{white} \leftarrow \text{meat} \mid \text{white} > \text{red} \leftarrow \text{fish}$ .

$\Lambda_1$  has four stable (preferred and semi-stable) extensions:  $E_1 = \{\text{fish}, \text{white}\}$ ,  $E_2 = \{\text{fish}, \text{red}\}$ ,  $E_3 = \{\text{meat}, \text{white}\}$  and  $E_4 = \{\text{meat}, \text{red}\}$ , representing four menus. However, only  $E_1$  and  $E_4$  are "best" extensions according to CPs expressed by the customer.

It is worth noting that modifying the AF underlying a CPAF to capture preferences (as done e.g. in [6]) is

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not feasible in general as we have a situation where the best stable extensions are not contained in the best preferred extensions—this contradicts a well-known result for AF stating that every stable extension is a preferred extension [7]. This is also backed by our complexity analysis entailing that CPAF cannot be reduced to AF. As mentioned earlier, AF and preferences represent different pieces of knowledge, such as objective evidences and subjective beliefs, which should be clearly distinguishable. In fact, an AF represents a set of arguments and conflicts among them that leads to a set of consistent sets of arguments that can be collectively accepted (i.e. the set of extensions under a given argumentation semantics) as, for instance, the alternative menus of a restaurant. In contrast, a set of preferences delivers the best extensions, e.g. best menus according to the customer’s preferences.

We assume the reader is familiar with AF and PAF semantics. We refer the interested reader to [2] for a comprehensive overview of abstract argumentation.

## AF with Conditional Preferences

A conditional preference (also called preference rule) intuitively represents the fact that an argument is better than another whenever a condition expressed by a conjunction of argument literals (i.e. an argument  $a$  or its negation  $\neg a$ ) is satisfied. More formally, given an AF  $\langle A, \Omega \rangle$ , a conditional preference (CP) is an expression of the form:

$$a_1 > a_2 \leftarrow b_1 \wedge \dots \wedge b_m \wedge \neg c_1 \wedge \dots \wedge \neg c_n$$

where  $a_1, a_2, b_1, \dots, b_m, c_1, \dots, c_n$  are distinct arguments in  $A$  and  $n, m \geq 0$ .  $a_1 > a_2$  is said to be the *head* of the rule, whereas the conjunction of literals  $b_1 \wedge \dots \wedge b_m \wedge \neg c_1 \wedge \dots \wedge \neg c_n$  is called *body*.

A (polynomial time verifiable) condition is imposed to avoid expressing CPs that can give counterintuitive results. That is, a set of CPs is said to be *well-formed* if there exists a function  $\varphi : A \rightarrow \mathbb{N}$  such that for each CP  $a > b \leftarrow \text{body}$  in the set it holds that (i)  $\varphi(a) = \varphi(b)$  and (ii)  $\varphi(a) \neq \varphi(c)$  for each  $c$  (or  $\neg c$ ) occurring in *body*. Intuitively, conditions (i) and (ii) entail a form of stratification of CPs. For instance, consider a CPAF where the underlying AF has extensions  $\{a, b\}$  and  $\{a, c\}$  and the (not well-formed) preferences  $c > b \leftarrow b$  and  $c > b \leftarrow c$ . In this situation, one would expect that  $\{a, c\}$  is preferred to  $\{a, b\}$ . However, as it will be clear after introducing the semantics of CPAF, both extensions are best-extensions. On the other hand, using the well-formed preference  $c > b \leftarrow$  we obtain the expected solution.

**Definition 1.** A *Conditional Preference-based AF (CPAF)* is a triple  $\langle A, \Omega, \Gamma \rangle$ , where  $\langle A, \Omega \rangle$  is an AF and  $\Gamma$  is a set of (well-formed) conditional preferences.

As an example, consider the AF  $\Lambda_2 = \langle A_2, \Omega_2 \rangle$  shown in Figure 1 and the set  $\Gamma_2$  consisting of the following CPs:



Figure 1: AF  $\Lambda_2$  at the basis of the CPAF  $\Delta_2$ .

$\text{fish} > \text{meat} \leftarrow \text{fruit} \mid \text{white} > \text{red} \leftarrow \text{fish}$ .

$\Lambda_2$  has four preferred (and stable/semi-stable) extensions:  $E_1 = \{\text{fish}, \text{white}, \text{pie}\}$ ,  $E_2 = \{\text{fish}, \text{white}, \text{fruit}\}$ ,  $E_3 = \{\text{fish}, \text{red}, \text{fruit}\}$ , and  $E_4 = \{\text{meat}, \text{red}, \text{fruit}\}$  representing possible menus. Intuitively, we expect that the best preferred extensions according to the conditional preferences in  $\Gamma_2$  are  $E_1$  and  $E_2$ .

The meaning of a CPAF  $\langle A, \Omega, \Gamma \rangle$  w.r.t. a given argumentation semantics  $\sigma \in \{\text{gr}, \text{co}, \text{pr}, \text{st}, \text{ss}\}$  is given by considering the extensions that better satisfy  $\Gamma$  among the  $\sigma$ -extensions of the underlying AF  $\langle A, \Omega \rangle$ . This is carried out by extending the PAF comparison criteria between extensions (i.e. democratic, elitist and KTV) according to two different interpretations of the preference rules, that are *flat* and *closed* interpretations. As discussed in what follows, differently from the flat interpretation, the closed interpretation deals with the (transitive) closure of  $\Gamma$ .

Hereafter, we say that a (conflict-free) set of arguments  $E$  satisfies the body of a conditional preference  $\gamma$  (and write  $E \models \text{body}(\gamma)$ ) iff the arguments that positively (resp. negatively) occur in the body of  $\gamma$  belong to  $E$  (resp. are attacked by arguments in  $E$ ).

**Definition 2.** Given a CPAF  $\langle A, \Omega, \Gamma \rangle$ , for  $E, F \subseteq A$  with  $E \neq F$ , we have that  $E \succeq F$  under

- *democratic (d) criterion:*  
if  $\forall b \in F \setminus E \exists a \in E \setminus F$  and  $\exists a > b \leftarrow \text{body} \in \Gamma$  such that  $E \models \text{body}$  and  $F \not\models \text{body}$ ;
- *elitist (e) criterion:*  
if  $\forall a \in E \setminus F \exists b \in F \setminus E$  and  $\exists a > b \leftarrow \text{body} \in \Gamma$  such that  $E \models \text{body}$  and  $F \not\models \text{body}$ ;
- *KTV (k) criterion:*  
if  $\forall a, b \in A \nexists a > b \leftarrow \text{body} \in \Gamma$  such that  $a \in F \setminus E$ ,  $b \in E \setminus F$ ,  $E \models \text{body}$ , and  $F \not\models \text{body}$ .  
Moreover,  $E \succ F$  if  $E \succeq F$  and  $F \not\preceq E$ .

For any CPAF  $\Delta = \langle A, \Omega, \Gamma \rangle$ , best  $\sigma$ -extensions under flat interpretation and criterion  $\alpha \in \{d, e, k\}$  are the extensions  $E \in \sigma(\langle A, \Omega \rangle)$  such that there is no  $F \in \sigma(\langle A, \Omega \rangle)$  with  $F \succ E$  (under criterion  $\alpha$ ).

As an example, for the CPAF  $\Delta_2 = \langle A_2, \Omega_2, \Gamma_2 \rangle$ , we have that  $E_2 \succ E_3$  and  $E_3 \succ E_4$  under democratic, elitist and KTV criteria, whereas  $E_1 \succ E_3$  and  $E_2 \succ E_4$  under KTV criterion. Thus,  $E_1$  and  $E_2$  are the best preferred (and stable/semi-stable) extensions under any criteria.

**Closed interpretation.** The CPAF with flat interpretation does not generalize the PAF, in the sense that the semantics of a CPAF  $\langle A, \Omega, \Gamma \rangle$  where  $\Gamma$  consists of unconditional preferences (i.e. preference rules with empty

Semantics	Verification	Cred. Acc.	Skept. Acc.
$co_d$	coNP-c	$\Sigma_2^p$ -c	$\Pi_2^p$ -c
$co_e$	$P$	$P$	$P$
$co_k$	coNP-c	$\Sigma_2^p$ -c	$\Pi_2^p$ -c
$st_d, st_e, st_k$	coNP-c	$\Sigma_2^p$ -c	$\Pi_2^p$ -c
$pr_d$	coNP-c	$\Sigma_2^p$ -c	$\Pi_2^p$ -c
$pr_e, pr_k$	$\Pi_2^p$ -c	$\Sigma_2^p$ -h, $\Sigma_3^p$	$\Pi_2^p$ -h, $\Pi_3^p$
$ss_d, ss_e, ss_k$	$\Pi_2^p$ -c	$\Sigma_2^p$ -h, $\Sigma_3^p$	$\Pi_2^p$ -h, $\Pi_3^p$

**Table 1**  
Complexity of verification and acceptance in CPAF. The results under flat and closed interpretations coincide.

body) may be not equivalent to considering a strict partial order over arguments as in PAF. In the following, we introduce a different semantics for CPAF, called *closed interpretation*, that generalizes that of PAF.

The closed interpretation assumes that  $\Gamma$  denotes all dependencies logically implied by it, that are elements contained in the (transitive) closure of  $\Gamma$ , defined as:

$$\Gamma^* = \Gamma \cup \{a_1 > a_3 \leftarrow body_1 \wedge body_2 \mid \{a_1 > a_2 \leftarrow body_1; a_2 > a_3 \leftarrow body_2\} \subseteq \Gamma^*\}.$$

Thus, the best extensions under closed interpretation, denoted as  $\sigma_\alpha^*(\Delta = \langle A, \Omega, \Gamma \rangle)$ , are obtained by using  $\Gamma^*$  instead of  $\Gamma$ , that is  $\sigma_\alpha^*(\langle A, \Omega, \Gamma \rangle) = \sigma_\alpha(\langle A, \Omega, \Gamma^* \rangle)$ .

It can be shown that CPAF semantics under closed interpretation extend PAF semantics, and this holds under flat interpretation if unconditional preferences representing the closure of the PAF preferences are considered (i.e.  $\sigma_\alpha(\langle A, \Omega, \rangle) = \sigma_\alpha^*(\langle A, \Omega, \Gamma = \{\gamma \leftarrow \mid \gamma \in \rangle\})$ ).

When deciding between the flat or closed interpretation, it is crucial to consider the specific context in which the user is operating and their level of familiarity with preference usage. The choice may vary depending on these factors. The closed interpretation offers a more concise representation of preferences, including (transitive) preferences that may not be immediately apparent to the user. This results in a more comprehensive consideration of preferences during the process. On the other hand, the flat interpretation gives the user direct control over the set of preferences to be taken into account. However, transitive preferences must be explicitly provided by the user; otherwise, they will be disregarded.

## Properties and Complexity

Several properties have been investigated for CPAF in [1].

A first property states that any conditional preference having an head argument occurring in the body does not play any role (under flat or closed interpretation). Note that this kind of conditional preferences is not well-formed. That is, well-formed condition avoids using useless CPs. Moreover, the satisfaction of CPs are related by subset inclusion, that is let  $E$  and  $F$  be two complete extensions of the same AF and  $\gamma = a_1 > a_2 \leftarrow body$  be

a CP, if  $E \subseteq F$  and  $E \models body$ , then  $F \models body$ .

Several relationships arise between CPAF semantics. Irrespective of the flat or closed interpretation, best complete and grounded semantics for CPAF coincide under elitist criterion, whereas best complete and best preferred semantics coincide under the democratic criterion. Additionally, the grounded extension of the underlying AF is contained in the set of best complete extensions under KTV criterion. Analogously to what holds for AF, the existence of at least one best-stable extension ensures that best-stable and best-semi-stable extensions coincide. However, differently from AF semantics, the set of the best stable (resp. semi-stable) extensions of a CPAF is not a proper subset of the set of the best preferred extensions in general. This hold irrespective of the interpretation and preference criterion, suggesting that preferences cannot be represented in (classical) AF in general, as there are situations where the best stable extensions are not contained in the best preferred extensions.

The complexity results reported in Table 1 show that the verification and credulous/skeptical acceptance problems for CPAF are generally harder than those for AF. Verification and acceptance for CPAF are defined as for AF except that best extensions are considered instead of regular ones. That is, given a CPAF  $\Delta = \langle A, \Omega, \Gamma \rangle$ , under flat/closed interpretation i) the verification problem is deciding whether a set of arguments  $S \subseteq A$  belongs to  $\sigma_\alpha(\Delta)/\sigma_\alpha^*(\Delta)$ ; ii) the credulous (resp. skeptical) acceptance problem is deciding whether an argument  $g \in A$  belongs to any (resp. every) extension in  $\sigma_\alpha(\Delta)/\sigma_\alpha^*(\Delta)$ .

Interestingly, the complexity of the verification problem for CPAF does not depend on the flat or closed interpretation. Moreover, the complexity bounds of the three considered problems for CPAF generally increases of one level in the polynomial hierarchy w.r.t that of AF and coincide with those known for PAF [8], though more general preferences can be expressed in CPAF.

## Conclusion

We have discussed the CPAF framework that extends PAF with conditional preferences between arguments. In addition to exploring the connections between CPAF and rich PAF [4], as well as ranking semantics for AF [9, 10], an interesting direction for future work is investigating alternative preference criteria for comparing extensions, similar to those defined for comparing ASP models [11, 12]. Furthermore, we plan to examine conditional preferences in other argumentation frameworks (including structured ones, as done in [13]) that share a semantic relationship with AF [14, 15, 16, 17, 18, 19, 20, 21] as well as in a dynamic setting [22, 23, 24, 25, 26, 27, 28, 29, 30, 31], where objective evidence (underlying AF) and subjective beliefs (conditional preferences) may change over time.

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