

Release/Consent for Information to be Published on Cboe Global Markets, Inc. Website

Disclosure/Purpose

In order to assist those market participants that wish to consider sourcing liquidity in Russell 2000 Index Options, Cboe Global Markets, Inc. (“Cboe”) has assembled a list of Trading Permit Holders (TPH) that have expressed interest in being considered as an Agent for the execution of transactions in the Russell 2000 Index Options.

This list is not an endorsement of the firms listed, and no significance should be attached to a firm’s inclusion or omission. In connection with compiling this list, Cboe has not investigated the background or disciplinary history of any of the firms or their associated individuals listed or whether or not they have any required registration status necessary to perform these functions.

This list may not be exhaustive since the list only includes those firms that have requested to be included on the list. Any TPH that would like to be included in or removed from this list should complete and email this form to the Membership Services Department at MembershipServices@cboe.com.

Any non-TPH must utilize a TPH to execute Russell 2000 Index options trades as agent on behalf of that non-TPH. In order for a TPH to act as agent for a non-TPH, that TPH must have all required registrations and necessary qualifications in place to perform that function.

Contact Information to be Published on Cboe Global Markets, Inc. Website

- Add New Contact
- Remove Contact

Modify Existing Contact to:

FIRST NAME

FIRST NAME

LAST NAME

LAST NAME

FIRM NAME

FIRM NAME

EMAIL ADDRESS

EMAIL ADDRESS

PHONE NUMBER

PHONE NUMBER

Cboe Global Markets Privacy Notice and Policy

The undersigned agrees to the terms of Cboe Privacy Notice and Policy (located at <http://www.cboe.com/legal/privacy>) and acknowledges that the undersigned's personal data (including the data provided in this form) will be processed in accordance with the policy.

Consent

The undersigned hereby agrees and explicitly consents to the processing and dissemination (on the publicly available Cboe website) of the data on the attached form for the purpose facilitating transactions in Russell 2000 Index options. The undersigned understands that this consent can be withdrawn at any time by contacting the Membership Services Department at MembershipServices@cboe.com.

Indemnification

The undersigned agrees, at their expense, to indemnify, defend and hold harmless Cboe, its officers, directors, members, trading permit holders, employees, affiliates, subsidiaries, agents, and assigns from any and all claims, suits, actions, or other proceedings based on or arising in connection with any misuse of the data provided on this form related to such data being made publicly available.

Read, understood, and agreed to by¹:

SIGNATURE

PRINTED NAME

DATE

CONTACT EMAIL

¹The individual executing this form must be the individual whose contact information is being made available on the Cboe website. Any request to alter the information provided in this form must be accompanied by a new executed form with the updated information. © 2019 Cboe Exchange, Inc. All Rights Reserved.