

Algorithm Theoretical Basis Document (ATBD)

MapBiomas Fire

Collection 3.0

Version 1

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1.Introduction

1.1. Overview of the MapBiomas Fire

The objective of this document is to describe the theoretical basis, justification, and methodology used to produce the monthly and annual maps of burned areas in Brazil from 1985 to 2023 for the MapBiomas Fire Collection 3. This Algorithm Theoretical Basis Document (ATBD) aims to provide the methodological steps to produce MapBiomas Collection 8 and describe the datasets and analysis. All MapBiomas maps and datasets are freely available on the project platform (http://mapbiomas.org)

The MapBiomas Fire project released its Collection 1 of annual maps of fire scars covering the period from 1985 to 2020 in August 2021. Collection 2 encompassed the years from 1985 to 2022. The current MapBiomas Fire Collection 3 spans the years 1985 to 2023, with monthly and annual data on burned areas covering the entire Brazilian territory. These maps are based on annual mosaics of images from the Landsat satellites with a spatial resolution of 30 meters.

The entire process was carried out collaboratively by institutions within the MapBiomas network, utilizing machine learning algorithms (deep learning) through the Google Earth Engine and Google Cloud Platforms, which offer immense processing capacity in the cloud, as well as local servers for additional processing.

The classification was organized by biomes and regions, collecting samples of burned and unburned areas for training the algorithm by regions, and using reference maps, such as MODIS Burned Area (MCD64A1 - https://lpdaac.usgs.gov/products/mcd64a1v006/) with 500 m spatial resolution, GABAM (Global Annual Burned Area Map - https://gee-community-catalog.org/projects/gabam/) with 30 m resolution, fire hotspots, and INPE fire scars (https://terrabrasilis.dpi.inpe.br/queimadas/bdqueimadas/).

The products of the MapBiomas Fire Collection 3 include:

- Monthly and annual burned area maps in Brazil from 1985 to 2023;
- Frequency of annual burned areas in Brazil;
- Accumulated burned areas in Brazil;
- Burned areas over Land Use and Land Cover classes of MapBiomas Collection 8;
- Annual burned area by fire scar size interval;
- Year of the last fire occurrence.

The classification algorithms are available on the MapBiomas GitHub (https://github.com/mapbiomas-brazil/fire).

(https://github.com/mapbiomas-brazil/fire).

1.2. How we are organized

MapBiomas is a multi-institutional initiative of the Climate Observatory (a network of NGOs working on climate change in Brazil - http://www.observatoriodoclima.eco.br/en/). The co-creators of MapBiomas include NGOs, universities, and technology companies. For the MapBiomas Fire project, IPAM (Amazon Environmental Research Institute) led the technological and operational development. The geospatial tech company Ecostage is responsible for the backend, dashboard, website, and frontend development of MapBiomas. Expert teams in each biome carried out sampling, evaluation, and refinement of the mapping, as shown in the box below.

Biome coordination:

- Amazon Amazon Environmental Research Institute (IPAM)
- Atlantic Forest SOS Atlantic Forest Foundation and ArcPlan
- Caatinga Geodatin
- Cerrado Amazon Environmental Research Institute (IPAM)
- Pampa Federal University of Rio Grande do Sul (UFRGS) and GeoKarten
- Pantanal SOS Pantanal Institute and ArcPlan

1.3. Historical Perspective: Existent Maps and Mapping Initiatives:

There are few global products that map large-scale burned areas at higher temporal resolution (e.g., twice a day), such as the MODIS (Moderate Resolution Imaging Spectroradiometer) based product MCD64A1 Collection 6, with a 500 m pixel resolution provided by the National Aeronautics and Space Administration (NASA). We used the MCD64A1 Burned Area Product as a reference data for burned areas (Giglio et al., 2016).

Additionally, we used the fire hotspots products developed by the National Institute for Space Research (INPE) in Brazil. The INPE fire hotspot product is based on an automatic mapping approach using 1 km x 1 km pixel size and thermal bands of nine satellites, with the AQUA_M-T (Sensor MODIS) as a reference satellite. This product provides daily data of fire hotspots since 2000 and is available at INPE Queimadas (https://terrabrasilis.dpi.inpe.br/queimadas/bdqueimadas/).

We also used the 30-m resolution Global Annual Burned Area Map (GABAM), which defines the spatial extent of fires that occur within a whole year. GABAM is generated via an automated global burned area mapping approach based on all available Landsat images on the Google Earth Engine (GEE) platform (Long, 2019).

These existing mapping initiatives provide essential reference data that support the accuracy and reliability of the MapBiomas Fire project, contributing to a comprehensive understanding of burned area dynamics in Brazil.

2. Methodological description

We used all available Landsat imagery (Landsat 5, 7, 8, and 9) and a Deep Neural Network (DNN) model to detect and map burned areas within the Brazilian biomes from January 1985 to December 2023. The DNN models utilize artificial intelligence and machine learning algorithms to perform deep learning classifications of complex phenomena, resulting in higher performance outcomes, including for fire mapping (Langford, 2018).

The images were processed in Google Earth Engine (GEE) to create annual Landsat quality mosaics, which were used to collect burned and unburned spectral signatures serving as training samples for the classification model. The training samples and annual quality mosaics were exported to a Google Cloud Storage Bucket to be used as input in virtual machines. These were then used to train the DNN models, process the burn scar mapping, and produce a dataset of 39 years of monthly burned area data for all of Brazil from 1985 to 2023.

The image processing and classification routines used to map the monthly burned areas in the Brazilian territory followed six steps:

- 1. Definition of the classification regions per biome: Biomes were divided into regions to facilitate more accurate classification.
- 2. Construction of annual Landsat quality mosaics: High-quality annual mosaics were generated from Landsat images to provide the dataset for classification.
- 3. Collection of training samples: Spectral signatures of burned and unburned areas were collected from the annual quality mosaics to serve as training samples.
- 4. Training and development of the DNN prediction model: The DNN model was trained using the collected samples and annual mosaics.
- 5. Use of post-classification routines: Masks and spatial filters were applied to improve the accuracy and reduce noise in the classification results.
- 6. Validation with reference data and visual checks: The classification results were validated using reference data, along with visual checks of burn scars to ensure their accuracy.

Our approach combined the robust capabilities of deep learning with comprehensive satellite data, enabling the creation of a detailed and reliable burned area map across different biomes in Brazil, illustrated in Figure 1

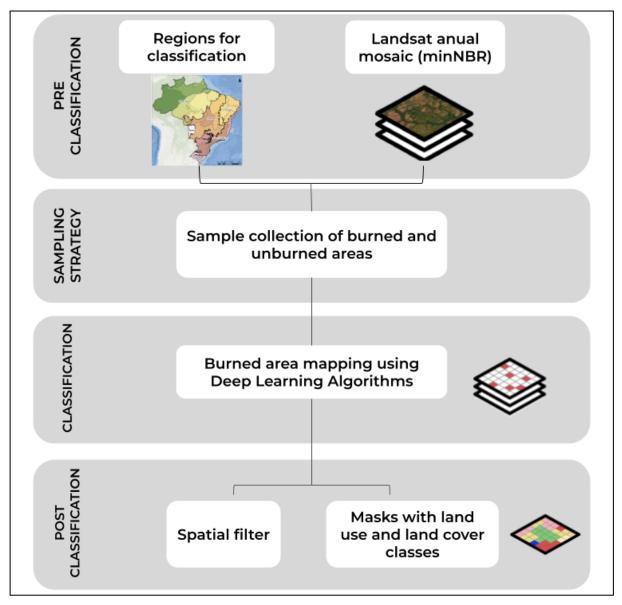


Figure 1. Overview of the method for classifying burned areas in Brazil in MapBiomas Fire Collection 3.0.

2.1. Definition of regions by biome

Considering that fire regimes and burned area spectral signatures are influenced by climatic conditions as well as land cover and land use types, we combined edaphoclimatic and morphoclimatic data with annual maps of land cover and land use from MapBiomas Collection 8 to segment each biome into classification regions (Figure 2). This process resulted in 28 classification regions, addressing regional patterns and providing a more accurate classification of burned areas.

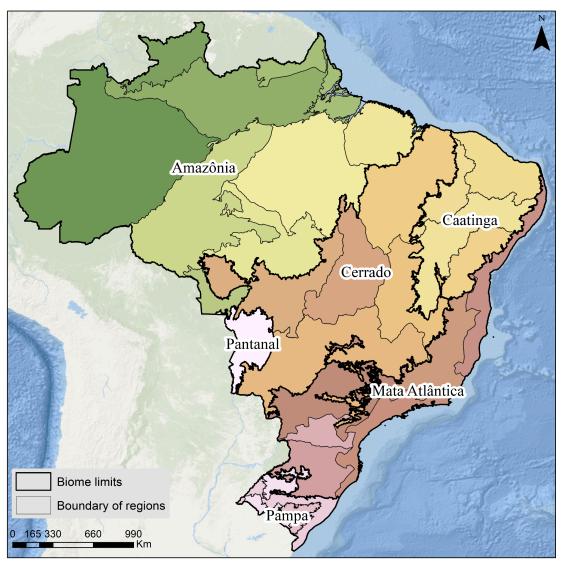


Figure 2. Regions defined for each biome in Brazil to collect training samples and classify burned areas in the MapBiomas Fire Collection 2.

2.2. Annual mosaics

The classification was performed using surface reflectance (SR) USGS Landsat Collection 2 (Tier 1) mosaics ($30m \times 30$ m) constructed for each year from 1985 to 2023. We assessed all the available Landsat 5 (from 1985 to 2011), Landsat 7 (1999 to 2021), Landsat 8 (2013 to 2023), and Landsat 9 scenes (2022 to 2023) with a 16-day return interval.

Landsat Surface Reflectance is accompanied by two Bitwise Quality Assessment bands (QA_PIXEL and QA_RADSAT) that indicate the pixels with radiometric and instrument related problems, including a probability flag. We used the QA_PIXEL band to select and mask the pixels with high confidence levels (67–100%) of 'cloud' and 'shadow'. Then, we used the QA_RADSAT to avoid pixels with radiometric saturation in any surface reflectance band. Finally, we discarded pixels with negative values in the surface reflectance in order to eliminate anomalies and noises in annual quality mosaic composition.

We used a per-year statistical approach to summarize this amount of data and optimize the classification without discarding spectral information on a pixel basis. This approach allowed us to create yearly mosaics by performing the composition of all the 16-day images into a single quality mosaic (QM), using the minimum NBR (Normalized Burn Ratio) spectral index (eq. 1 — Key and Benson, 2006) as a per-pixel ordering function. The pixel with the lowest NBR value was selected, and all its spectral reflectance characteristics, including the scene metadata with the date of that selected pixel, were used to create the annual quality mosaic.

$$\lambda QM = [Blue, Green, Red, NIR, SWIR1, SWIR2] = date in with min $(\frac{\lambda NIR - \lambda SWIR1}{\lambda NIR + \lambda SWIR1}) [xi...j]$$$

eq. 1

Where λ represents the reflectance values of the quality bands that compose the quality mosaic (QM), retrieved from the date in which each pixel reached its minimum (min) NBR value in a given year (x), considering the set of all available scenes, from the first (i) to the last (j). The λNIR is the Near-Infrared surface reflectance and $\lambda SWIR1$ is the Short-Wave Infrared surface reflectance used to calculate the NBR spectral index.

In other words, we computed the NBR for each pixel with a valid observation within a specific year and stacked them into a multi-band image. The pixels with the lowest NBR within the multi-band image were selected, and their spectral information (Table 1) was used to compose the annual quality mosaic (QM). In addition to the spectral information, we retained the scene metadata information, including the date on which each pixel showed its lowest NBR value. The NBR quality mosaic created with the spectral information from the minimum NBR performed well in differentiating burned and unburned land use and cover in the Brazilian biomes (Figure 3).

Table 1. Spectral bands used as predictors in the classification process to identify burned areas.

Spectral band	Landsat 5 and 7		Landsat 8	
	Band number	Band width (μm)	Band number	Band width (μm)
Red	3	0.63 - 0.69	4	0.64 - 0.67
NIR	4	0.76 - 0.90	5	0.85 - 0.88
SWIR ₁	5	1.55 - 1.75	6	1.57 - 1.65
SWIR ₂	7	2.08 - 2.35	7	2.11 - 2.29

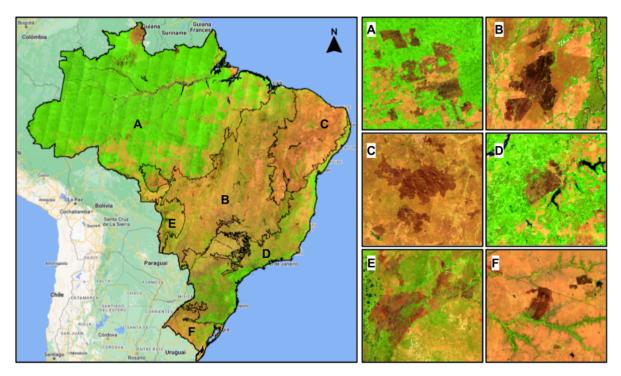


Figure 3. The 2022 quality mosaic (QM) for Brazil (RGB SWIR-1, NIR, RED), created from spectral information retrieved from the minimum NBR pixels in a year, showing examples of the diversity of burn scars by biome: (A) Amazon, (B) Cerrado, (C) Caatinga, (D) Atlantic Forest, (E) Pantanal, and (F) Pampa.

2.3. Training samples

We created a spectral library based on manual delineation of burned and unburned areas to be used as training samples. These samples were stratified by Landsat sensors (collected in different years) and each biome. The collection of training samples was performed across all 28 classification regions, ensuring representation of the distinct spectral characteristics present in each region. Finally, we divided our spectral library into 28 packs (one for each classification region) and used it as input in the classification step.

2.4. Classification

The classification model used was the Deep Neural Network (DNN), which consists of computational models capable of performing deep learning and visual pattern recognition. The specific structure we used was the Multi-Layer Perceptron Network (MLPN), which incorporates several layers of interconnected computational units. In this structure, each node (neuron) in one layer is connected to a node in the next layer (Hu, Wenk, 2009). The layers are divided into input, hidden, and output layers.

For this DNN model, the input layers were the spectral bands RED, NIR, SWIR1, and SWIR2, and the output layers were the classes burned and unburned. The burned area mapping algorithm consisted of two main steps: training and prediction.

Training Phase:

In the training phase, the following parameters were defined based on prior tests: learning rate (0.001), batch size (1000), number of iterations (7000), and inputs for classification (Arruda et al. 2021). The classification inputs used in this model were the SR spectral data retrieved from the annual quality mosaics using the training samples of burned and unburned areas.

Based on the spectral library from the burned and unburned training samples, the following spectral bands were used as inputs for the burned area classification model: red (RED—0.65 μ m), near-infrared (NIR—0.86 μ m), and short-wave infrared (SWIR1—1.6 μ m and SWIR2—2.2 μ m). These spectral Landsat bands were chosen based on their sensitivity to fire events among distinct land uses and covers.

The training data input was divided into two datasets: 70% of the samples were used for training and 30% for testing, in order to estimate the ability of the DNN algorithm to map burned areas accurately.

Prediction Phase:

The classification was performed using the annual Landsat quality mosaics for each of the 28 regions and for each sensor (Landsat 5, Landsat 7, Landsat 8 and Landsat 9), resulting in 39 maps of burned areas for all of Brazil (Figure 4). This approach allowed us to leverage the powerful capabilities of DNNs for accurate and efficient mapping of burned areas across the diverse biomes of Brazil.

A spatial filter was applied to remove noise and fill small empty gaps. Burned areas smaller than or equal to 1.4 hectares (16 pixels) were removed, and empty gaps (inside and surrounded by burned area) smaller than or equal to 5.8 hectares (64 pixels) were filled as burned. This method ensured the removal of isolated noise pixels and the filling of small gaps, thereby improving the overall accuracy and coherence of the burned area maps.

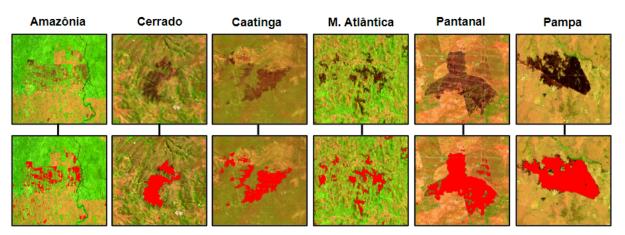


Figure 4. Examples of the burned areas classification for different types of fire, with the Landsat mosaic used for classification, and the area classified as burned in red.

2.5. Post-classification

After evaluating the classification results, post-classification masks were also applied to reduce the commission errors from land use and cover types with spectral signatures similar to those of recently burned areas, such as water, urban areas, and some crop types. We defined specific rules per biome to remove pixels that were misclassified as burned within the distinct land cover and land use classes of the MapBiomas Collection 8. The rules for each biome are outlined below:

- Amazon: Water (33,31), Urban Area (24), Mining (30), Beach, Dune, and Sand Spot (23)
- Caatinga: Water (33,31), Urban Area (24), Rocky Outcrop (29)
- Cerrado: Water (33,31), Urban Area (24), Mining (30)
- Atlantic Forest: Water (33,31), Urban Area (24), Rice (40), Mining (30), Beach, Dune, and Sand Spot (23)
 - Additional for regions 6 and 7: Soybean (39); Temporary Crops (19),
 Sugarcane (20), and Other Temporary Crops (41)
- Pampa: Water (33,31), Urban Area (24), Rice (40), Mining (30), Beach, Dune, and Sand Spot (23), Soybean (39), Other Temporary Crops (41), Mosaic of Uses (21)
- Pantanal: Soybean (39), Cotton (62, beta), Other Temporary Crops (41)

In addition to land use and land cover masks, stable water data from Collection 8, which includes pixels consistently classified as water, was used. A spatial filter was applied to remove isolated pixels by calculating the number of connected pixels removing those with six or fewer connections (~0.54 hectares). Post-classification processing was performed to retrieve the date information of the burned pixel from the annual mosaic built from the minimum NBR, identifying the month in which the fire scar was mapped.

2.6. Classification evaluation

Evaluations of the classification of burn scars were conducted using Landsat mosaics through The classification of burn scars was evaluated using visual inspection and statistical analysis. Visual inspections were performed by experts from each biome, who thoroughly checked the classified burn scars against the original Landsat mosaics to ensure accuracy. Discrepancies identified during these inspections were noted and used to refine the samples for algorithms. Statistical analyses were conducted to validate the classification results by comparing them to burned area products.

Biome specialists conducted detailed visual checks of the classification results, cross-referencing the data with local knowledge and additional datasets to confirm the validity of the burn scars. The classified burn scars were compared with reference datasets from various

sources, providing a baseline for comparison and validation. These sources included previous collections of MapBiomas Fire, MODIS MCD64A1 (500m) burned area products, INPE's fire hotspot data (1km), ICMBIO's manual mapping (30m), GABAM's high-resolution global burned area maps (30m), FIRMS' near-real-time fire detection (1km), and FireCCI's global burned area products (250m). This comprehensive evaluation process ensured the high quality and accuracy of the burned area classifications, integrating both automated and expert-driven assessments to produce reliable results (Figure 5 and Figure 6).

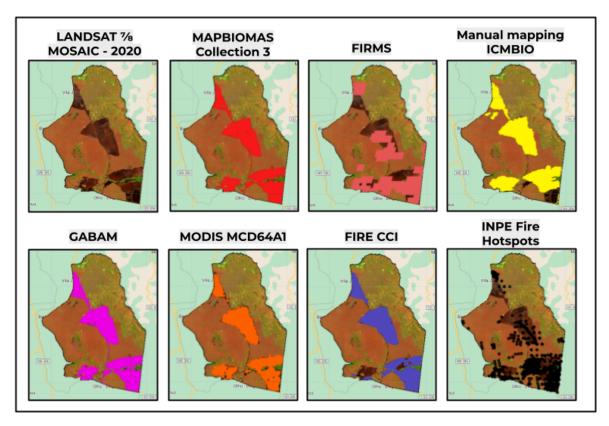


Figure 5. Landsat 7/8 Mosaic - 2020, MapBiomas Collection 3 (30m), FIRMS (1 km), ICMBIO Manual Mapping, GABAM (30m), MODIS MCD64A1 (500m), FIRE CCI (250m), and INPE Fire Hotspots (1km) comparisons.

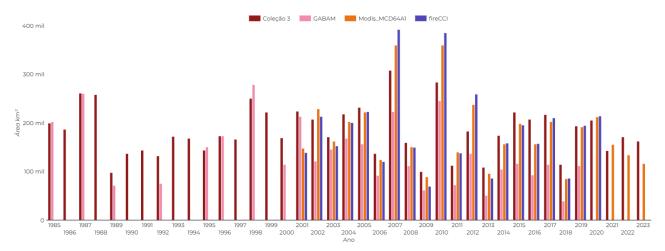


Figure 6. Annual burned area for the following data collections: MapBiomas Collection 3 (30m resolution), GABAM (30m resolution), MODIS MCD64A1 (500m resolution), and FIRE CCI (250m resolution).

3. MapBiomas Fire Products

3.1 Annual Burned Area

Annual burned area data from 1985 to 2023, indicating the areas mapped as burned for each year. It also includes the Annual Burned Coverage, which represents the annual burned area for each land use and land cover class, where each pixel contains the value of the land use and cover code (Collection 8) of the class that burned.

3.2 Monthly Burned Area

Monthly burned area data covering the period from 1985 to 2023, with each pixel's data retrieved from the annual mosaic based on the date of the satellite image. The Monthly Burned Area data indicates the month (1 to 12) in which the fire occurred for each pixel within the period from 1985 to 2023.

3.3 Annual Burned Area by Scar Size

Annual data on fire scar sizes from 1985 to 2023, categorized into 10 different size intervals. The Fire Scar Size data categorizes the annual burned areas into size intervals classified into 10 different categories in hectares. A single scar is defined as all the pixels that were continuously connected for the current year.

3.4 Cumulative Burned Area

Cumulative burned area data built by incrementing the burned area each year, counting each pixel only once regardless of multiple fire occurrences. This data represents areas with at least one fire event over different periods. The Cumulative Burned Area by Land Use and Cover

represents the total area with at least one fire event for different periods, classified according to the Land Use and Cover classes from the last year of MapBiomas Collection 8.

3.5 Fire Frequency Data

The burned area frequency maps represent how many times the same pixel was mapped as burned over a period. Fire frequency data is aggregated into a single map with 39 classes for the period from 1985 to 2023. Class 1 represents pixels that burned once, class 2 represents pixels that burned twice, and so on. This data also includes the respective land use and cover classes from MapBiomas Collection 8 for the last year.

3.6 Year of Last Fire Occurrence

The Year of Last Fire data represents the year each pixel last burned, covering the period from 1985 to 2023. Each pixel contains the value of the year it was last mapped as burned, up to the corresponding year.

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