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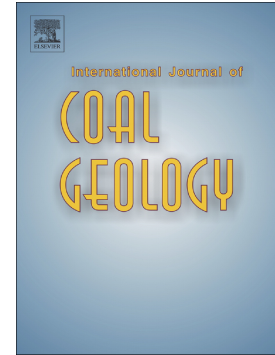
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# Distribution and occurrence of lithium in high-alumina-coal fly ash

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## Abstract

High-alumina-coal fly ash (HAFA) with a high Li content is regarded as a potential resource for Li production. To support the development of Li recovery technology from HAFA, the distribution and modes of occurrence of Li in HAFA were investigated. HAFA was separated into magnetic particles, glass, and mullite+corundum+quartz (MCQ) using an acid-alkali combination method. 79-94% of the Li was found in glass, with the remaining 5-16% and <5% of Li in MCQ and magnetic particles, respectively. Chemical state imaging of Li in HAFA, magnetic particles, and MCQ was obtained using time-of-flight secondary ion mass spectrometry (TOF-SIMS). Lithium was found to be relatively uniformly distributed in the HAFA particles and strongly correlated with Al and Si. Possible formation pathways of Li in the glass phase were proposed. The energy differences between reactants and products ( $\Delta E$ ) calculated using the generalized gradient approximation (GGA) method indicated that Li occurred in  $Q^3(0Al)$  and  $Q^3(1Al)$  structures by reacting with  $Q^4(0Al)$  and  $Q^4(1Al)$ . Based on the experimental and simulation results, we propose extracting Li during the pre-desilication process by dissolving the glass phase.

Key words: High-alumina-coal fly ash; lithium; mode of occurrence; TOF-SIMS; molecular simulation

## 1. Introduction

High-alumina coal (HAC) has been found in the northern part of Shanxi Province and in the middle-western part of Inner Mongolia, China, including in the Heidaigou (Dai et al., 2006), Haerwusu (Dai et al., 2008), Guanbanwusu (Dai et al., 2012a), Adaohai (Dai et al., 2012b). High-alumina-coal fly ash (HAFA), the combustion product of HAC, has been attracting a large amount of attention due to its high alumina content (>35%) (Chen, 2005). Currently, the annual production of HAFA in China is approximately 20 mt, which is used primarily in the production of cement, bricks and tiles, and concrete, and less than 20% of this HAFA is utilized (Sun, 2016). The unutilized HAFA is treated by stockpiling, which may cause environmental concerns. Extraction and utilization of Al (Li et al., 2014), Ga (Li et al., 2016), and Li (Hou et al., 2015) are good alternatives to the disposal of HAFA with various benefits related to the economy, environment, and national security (Dai and Finkelman, 2017; Seredin et al., 2013). In particular, HAFA contains a relatively high content of Li, approximately 250-1400  $\mu\text{g/g}$  ( $\text{Li}_2\text{O}$  content 0.06-0.30 wt. %) (Dai et al., 2010; Gong et al., 2016), which is comparable to industrial grade Li-bearing pegmatite deposits in China, which have  $\text{Li}_2\text{O}$  contents of 0.2% (DZ/T 0203-2002, 2003).

To support the development of Li recovery technology from HAFA, understanding the modes of occurrence of Li in HAFA is necessary. The modes of occurrence of Li have been focused on HAC. Li has been found mainly in a form of isomorphic chlorite in the Guanbanwusu coal (Dai et al., 2012a) and in aluminosilicates in the Haerwusu coal (Dai et al., 2008). However, HAFA has undergone high-temperature transformations that would change the mineral phases and the modes of occurrence of Li in HAC. Li was found to be enriched in the glass phase of HAFA from the Jungar Power Plant (Dai et al., 2010). Modes of occurrence of Li in the glass phase of HAFA should be investigated.

This paper investigates the distribution and modes of occurrence of Li in HAFA

produced in Inner Mongolia and Shanxi Province, China. HAFA samples were separated into magnetic particles, mullite+corundum+quartz (MCQ), and their glass phase by magnetic separation and an acid-alkali combination method (leached by HCl and NaOH solutions, respectively). The distributions of Li and the major elements in the three phases were determined based on chemical compositional analyses of the phases and mass-balance calculations. Time-of-flight secondary ion mass spectrometry (TOF-SIMS) was used to observe the distributions of Li, Al, Si, and Fe in the HAFA samples, magnetic particles, and MCQ. Furthermore, the modes of occurrence of Li in the HAFA were proposed based on the simulation of possible reactions between  $\text{Li}_2\text{O}$  and the four model compounds in the glass phase of the HAFA. Finally, a method for recovering Li from HAFA was proposed.

## 2. Samples and methods

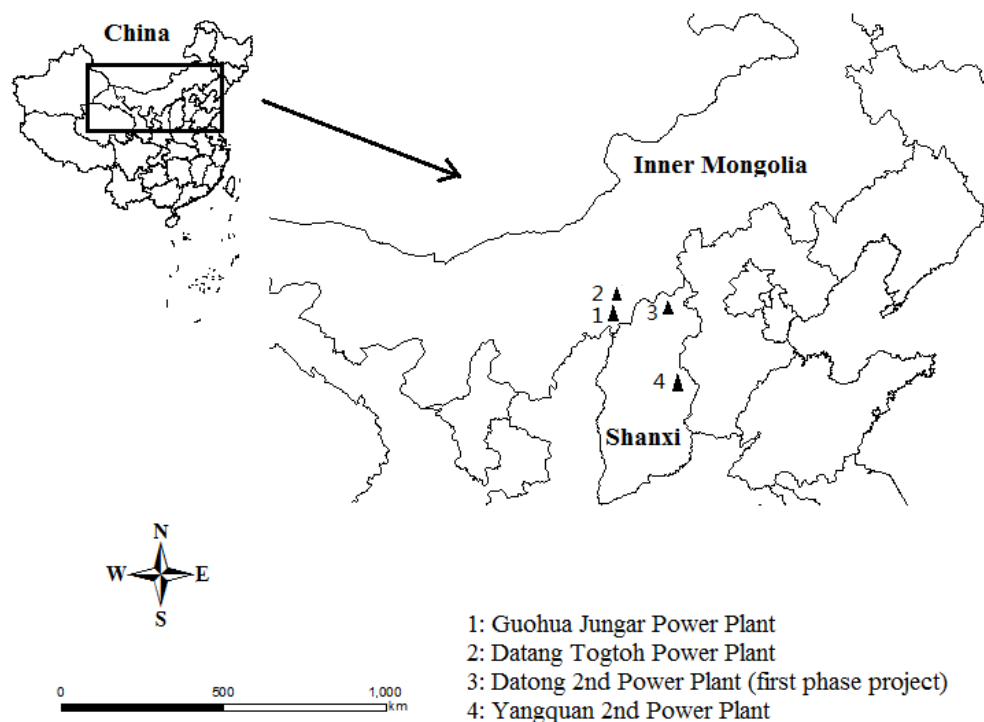
The sources of the HAFA (denoted IM-1, IM-2, SX-1, and SX-2) and the general situations for the corresponding power plants are listed in Table 1. The locations of the power plants are shown in Fig. 1. The samples were collected from precipitators. The chemical agents that were used in the experiments were all of analytical grade. Distilled water was used exclusively.

**Table 1**

Sources of the HAFA samples and general situations for the corresponding power plants

Samples	Sources	Power plant	Capacity
IM-1	Inner Mongolia	Guohua Jungar Power Plant	4×330 MW
IM-2	Inner Mongolia	Datang Togtoh Power Plant	8×600 MW + 2×300 MW
SX-1	Shanxi,	Datong 2nd Power Plant (first phase project)	6×200 MW
SX-2	Shanxi	Yangquan 2nd Power Plant	4×300 MW

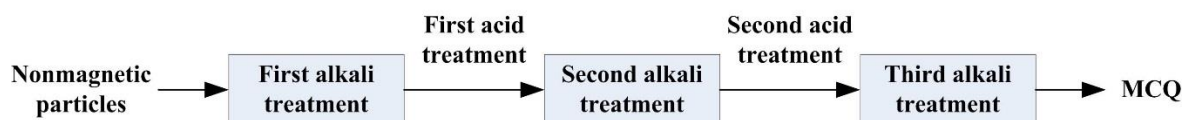
The HAFA samples were all produced in pulverized coal boilers. The feed coal in the listed power plants are all from local sources.



**Fig. 1. Locations of the power plants**

(Single fitting image)

To study the distributions of elements in different phases, each HAFA sample was separated into magnetic particles, glass, and MCQ. Prior to phase separation, the HAFA samples were dried at 105 °C for 5 hours and were ground using a ball mill at 300 rpm for 2 hours. The magnetic particles were first separated from the HAFA using a hand magnet in water (Chen et al., 2017; Dai et al., 2010). HF solution is commonly used to dissolve the glass phase (Dai et al., 2010). However, HF can also decompose mullite in HAFA (Li et al., 2011; Tripathy et al., 2015), and both the reactant (HF) and product ( $\text{H}_2\text{SiF}_6$ ) are highly corrosive and toxic. Thus, an alternative acid-alkali combination method, was applied to dissolve the glass phase (Zhang et al., 2016; Zhang et al., 2006). The details of the method are shown in Fig. 2 and Table 2. The solid residues from the acid-alkali combination method, mainly containing MCQ, were filtered and dried.



**Fig. 2. Flowchart of the acid-alkali combination method**

(Single fitting image)

**Table 2**

Conditions for the acid-alkali combination method

	Conditions			
	Temperature (°C)	Ratio of liquid to solid (ml/g)	Concentration of NaOH/HCl (g/L)	Time (min)
First alkali treatment	95	3	240	80
First acid treatment	75	4	100	60
Second alkali treatment	80	5	120	35
Second acid treatment	75	4	100	60
Third alkali treatment	80	5	120	60

All treatments were duplicated. In each round, the amount of raw HAFA was approximately 100 g. The acid and alkali that are reported in the table are hydrochloric acid and a sodium hydrate solution, respectively.

The mass fractions of the three phases (magnetic particles, glass, and MCQ) in the HAFA were quantified using the measured weights of the HAFA, magnetic particles and MCQ, and mass balance calculations (see Eq. 1, Eq. 2, and Eq. 3). X-ray fluorescence spectrometry (XRF, AXIOS-MAX, 50 kV, 60 mA) (Zhang et al., 2017) was used to determine the contents of major element oxides in the HAFA, magnetic particles and MCQ. To determine the contents of Li in the HAFA, magnetic particles, and MC, approximately 0.1 g of the samples were first subjected to microwave digestion at 210 °C for 20 min with the addition of 1 mL HF (40 wt. %) + 2 mL H<sub>2</sub>O<sub>2</sub> (30 wt. %) + 5 mL HNO<sub>3</sub> (65 wt. %) (Low and Zhang, 2012). The digested samples were then diluted and analyzed using an Inductively Coupled Plasma Optical Emission Spectrometer (ICP-OES, Thermo Fisher Scientific, iCAP 6300) (Zhang et al., 2017). The elements in the glass phase were not directly measured but were determined based on Eq. 4. The distributions of Li and some major element oxides in



the glass phase, magnetic particles, and MC were calculated in terms of  $\frac{f_g G_i}{W_i} \times 100\%$ ,  $\frac{f_f F_i}{W_i} \times 100\%$  and  $\frac{f_m M_i}{W_i} \times 100\%$ , respectively. The phase compositions of the HAFA were examined with an X-ray diffractometer (XRD, Empyrean, CuK $\alpha$ , 40 kv, 40 mA) using Cu-K $\alpha$  radiation ( $\lambda = 1.54056 \text{ \AA}$ , 40 mA and 40 kV) at a scanning range of 5–50 °C. The particle sizes were analyzed using a Malvern Mastersizer Hydro 2000 MU (Malvern Instruments Ltd). Loss on ignition (LOI) was determined by heating the samples at 950 °C for 1 h.

$$f_f = \frac{m_f}{m_{HAFA}} \quad \text{Eq. 1}$$

$$f_m = \frac{m_m}{m_{HAFA}} \quad \text{Eq. 2}$$

$$f_g = 1 - f_f - f_m \quad \text{Eq. 3}$$

where  $f_f$ ,  $f_m$ , and  $f_g$  represent the mass fractions of magnetic particles, MCQ, and glass in the HAFA, respectively.  $m_{HAFA}$ ,  $m_f$ , and  $m_m$  are the total mass of the HAFA, the total mass of magnetic particles, and the total mass of MCQ, respectively.

$$G_i = (W_i - f_f F_i - f_m M_i) / f_g \quad \text{Eq. 4}$$

where  $W_i$ ,  $F_i$ ,  $M_i$ , and  $G_i$  represent the mass fractions of element  $i$  in the HAFA, magnetic particles, MCQ, and glass, respectively.

To study the coordinate structure of Si in the HAFA,  $^{29}\text{Si}$  solid-state-magic angle-spinning nuclear magnetic resonance (MAS NMR, AVANCE III HD 500, Bruker BioSpin GmbH) characterization was conducted. The spectra were obtained at a frequency of 119.13 MHz under a single pulse with a spin rate of 8 kHz by loading the samples into a rotor with a 6-mm probe. The spectra were obtained with 90-degree pulses of 6- $\mu\text{m}$  lengths and recycle times of 5 seconds. The free induction decay (FID) was 0.01 seconds.

The chemical state imaging of Li in HAFA has been challenging due to its trace-level content and light atomic weight. Time-of-flight secondary ion mass spectrometry (TOF-SIMS 5-100, ION-TOF GmbH) is one of the most highly sensitive surface analytical tools that is capable of detecting ppm levels for most elements (including Li) and surface species (Hayashi et al., 2010). It has been applied in studies on coal and coal ashes (Dai et al., 2000; Hayashi et al., 2010; Hou et al.,

1995). This technique provides information on the elemental distribution not only at the surface but also in the interiors of HAFA particles. The samples (HAFA, magnetic particles, and MC) were first mixed with resins. After molding, cutting, grinding, and polishing, samples with sizes of 12 mm × 12 mm × 2 mm (length × width × height) and smooth surfaces were obtained. The polished samples were analyzed by rastering a 30 keV Bi<sub>1</sub><sup>+</sup> or Bi<sub>3</sub><sup>++</sup> primary ion beam with an incidence angle of 45° over a 30-100 μm × 30-100 μm area on a sample surface. The spot size of the primary ion beam was approximately 200 nm. The positive secondary ions were collected. The range of masses was 0-1000 amu.

Generalized gradient approximation (GGA) for the exchange-correlation potential (PW91) (Perdew et al., 1996) with a double-numeric-quality basis set with d-polarization functions (DNP) was performed to optimize model compounds, which are the representatives of different coordinate structures of Si in the glass phase of HAFA. The Ortmann, Bechstedt, and Schmidt (OBS) method (Ortmann et al., 2006) provides a good compromise between the cost of first principle evaluation of the dispersion terms and the need to improve non-bonding interactions in the standard DFT description. In all GGA/PW91 calculations, the OBS method was used for DFT dispersion corrections (DFT-D) (Ortmann et al., 2006). The threshold values of the convergence criteria were 1.0×10<sup>-5</sup> Ha for energy, 0.002 Ha/Å for the maximum force, 0.005 Å for the maximum displacement, and 0.05 GPa for maximum stress. The energy differences between products and reactants (ΔE) for each reaction were determined using Eq. 5.

$$\Delta E = \sum E_{products} - \sum E_{reactants} \quad \text{Eq. 5}$$

### 3. Results and discussion

#### 3.1. Properties of the HAFA

As shown in Table 3, the Al<sub>2</sub>O<sub>3</sub> contents of the four HAFA samples were >35 wt. %. The XRD patterns of the HAFA that are shown in Fig. 3 reveal mullite, corundum, and glass (presented by the hump at 20-25 degrees) as the main phases of

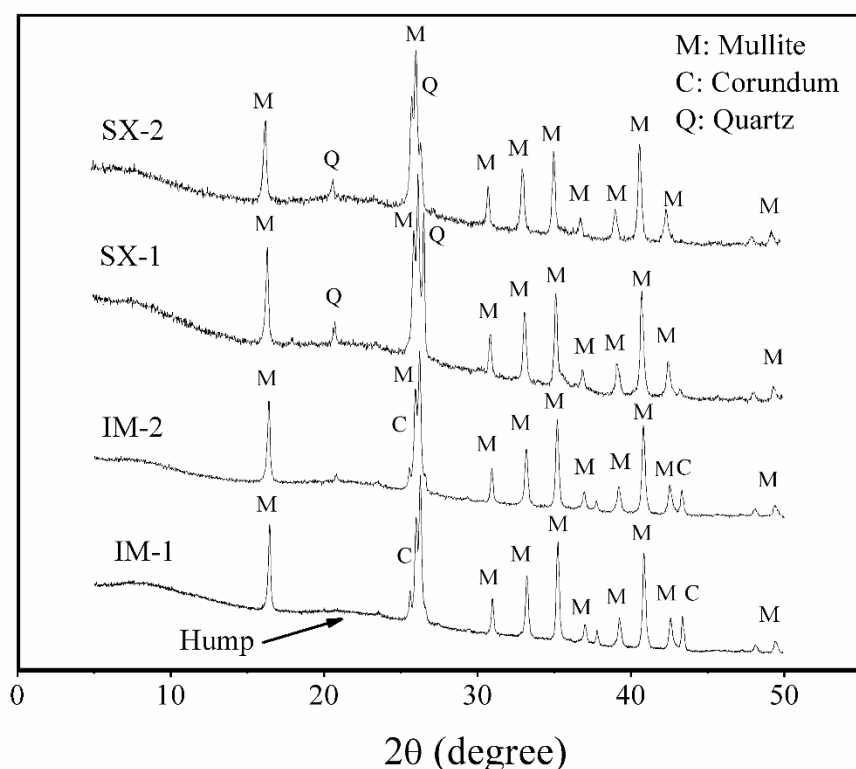
IM-1 and IM-2; mullite, quartz, and glass are the main phases of SX-1 and SX-2. The particle size distributions for IM-1, IM-2, SX-1, and SX-2 were determined to be  $d(0.5)=21.6 \mu\text{m}$ ,  $59.2 \mu\text{m}$ ,  $87.2 \mu\text{m}$ , and  $44.7 \mu\text{m}$ , respectively.

**Table 3**

Chemical composition of the major elements and Li in the HAFA

Composition	Al <sub>2</sub> O <sub>3</sub>	SiO <sub>2</sub>	CaO	TiO <sub>2</sub>	Fe <sub>2</sub> O <sub>3</sub>	Na <sub>2</sub> O	MgO	Li	LOI
IM-1	50.14	39.17	3.07	2.26	1.47	0.17	0.17	412	1.77
IM-2	47.84	45.19	1.52	1.49	1.24	0.08	0.16	375	1.55
SX-1	36.63	48.41	4.46	1.19	3.31	0.13	0.44	266	3.64
SX-2	37.85	50.10	1.61	1.68	2.76	0.20	0.37	267	3.83

The compositions of the major elements are presented as oxides. The contents of oxides and Li are reported in wt. % and  $\mu\text{g/g}$ , respectively. LOI is reported in wt. %.



**Fig. 3. XRD analysis of the HAFA**

(Single fitting image)

### 3.2. Distribution of Li in different phases of the HAFA

**Table 4**

Quantitative phase analysis of the HAFA (wt. %)

Samples	Magnetic particles	Glass	MCQ
---------	--------------------	-------	-----

IM-1	1.25	45.01	53.74
IM-2	1.14	37.19	61.66
SX-1	6.86	43.13	50.01
SX-2	5.09	41.43	53.49

Table 4 shows that magnetic particles made up <10% of the HAFA. In particular, the mass fractions of the magnetic particles in samples IM-1 and IM-2 were approximately 1%. The fractions of the glass phase in the HAFA ranged from 37% to 45%. Dai et al. (2010) quantified the minerals in different particle sizes of the HAFA using the powder XRD method. It was found that the glass phase accounted for 45-46% for particles < 42  $\mu\text{m}$ , which is close to the IM-1 results. The MCQ was the largest fraction phase in the four HAFA samples, accounting for >50%.

**Table 5**

Elemental oxides and Li contents in different phases of the HAFA

Samples	Elements	Magnetic particles	Glass	MCQ
IM-1	Al <sub>2</sub> O <sub>3</sub>	30.41	24.87	72.19
	SiO <sub>2</sub>	21.11	61.14	20.82
	Fe <sub>2</sub> O <sub>3</sub>	36.92	0.95	1.08
	CaO	2.33	6.58	0.09
	TiO <sub>2</sub>	0.85	3.02	1.64
	MgO	0.42	0.25	0.09
	Li	153.78	856.92	39.47
	LOI	0.32	0	3.42
IM-2	Al <sub>2</sub> O <sub>3</sub>	33.92	13.30	68.93
	SiO <sub>2</sub>	29.37	78.19	25.58
	Fe <sub>2</sub> O <sub>3</sub>	31.02	1.49	0.54
	CaO	1.88	3.78	0.14
	TiO <sub>2</sub>	1.03	0.90	1.45
	MgO	0.80	0.40	0.00
	Li	202.53	934.93	40.97
	LOI	0.82	0	2.63
SX-1	Al <sub>2</sub> O <sub>3</sub>	31.38	13.18	56.97
	SiO <sub>2</sub>	40.06	68.38	31.53
	Fe <sub>2</sub> O <sub>3</sub>	20.51	2.75	1.37
	CaO	3.25	9.34	0.42
	TiO <sub>2</sub>	0.87	1.17	1.26
	MgO	0.47	0.76	0.17
	Li	180.76	488.81	86.91
	LOI	2.04	0	6.95
SX-2	Al <sub>2</sub> O <sub>3</sub>	27.26	13.42	57.78

SiO <sub>2</sub>	37.21	76.98	30.51
Fe <sub>2</sub> O <sub>3</sub>	29.56	1.40	1.27
CaO	2.18	3.39	0.17
TiO <sub>2</sub>	1.01	1.66	1.76
MgO	1.08	0.58	0.13
Li	156.45	554.93	54.65
LOI	0.18	0	7.23

The contents of oxides and Li are reported in wt. % and  $\mu\text{g/g}$ , respectively. LOI is reported in wt. %. The elemental oxides and Li contents in the glass phase were determined using Eq. 4.

Table 5 shows that the contents of Al<sub>2</sub>O<sub>3</sub>, SiO<sub>2</sub> and, Fe<sub>2</sub>O<sub>3</sub> in the magnetic particles were 27-35%, 20-40%, and 20-37%, respectively, indicating that aluminosilicates may be associated with iron oxide (Kutchko and Kim, 2006). The glass phase, with SiO<sub>2</sub> contents of 60-80% and Al<sub>2</sub>O<sub>3</sub> contents of 13-25%, suggests that the main network of the glass consisted of amorphous SiO<sub>2</sub> that is associated with a smaller portion of Al<sub>2</sub>O<sub>3</sub>. We conclude that the glass phase of the HAFA was dominantly composed of amorphous aluminosilicate, with total SiO<sub>2</sub> and Al<sub>2</sub>O<sub>3</sub> contents of 81.5-95.5%. In MCQ, Al<sub>2</sub>O<sub>3</sub> and SiO<sub>2</sub> were the dominant elements with a total percentage of 88-94%. The mass ratios of Al<sub>2</sub>O<sub>3</sub> to SiO<sub>2</sub> in the MCQ phases of IM-1 and IM-2 were higher than the ratios in the MCQ phases of SX-1 and SX-2. This can be explained by the presence of corundum and the absence of quartz in samples IM-1 and IM-2.

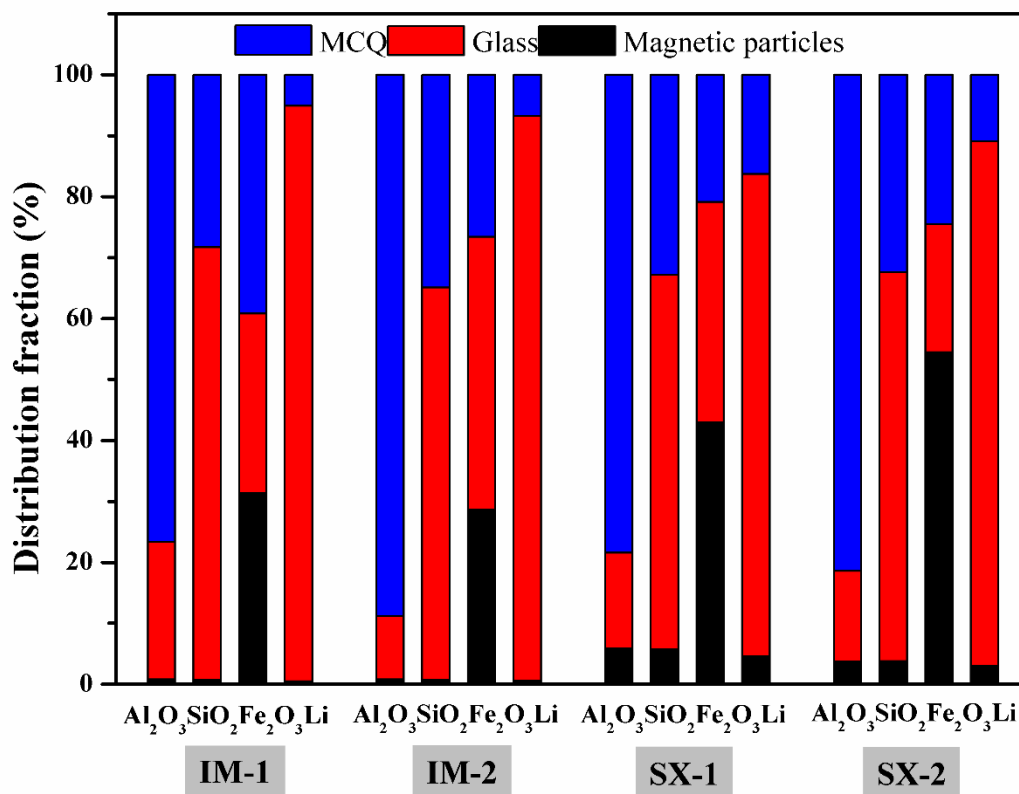
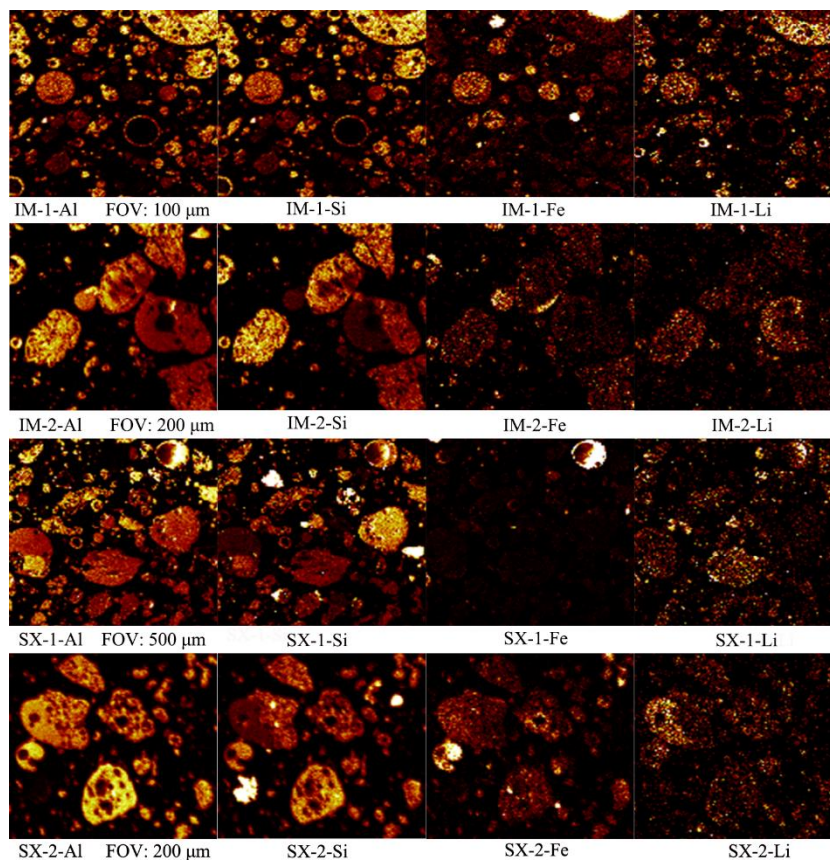


Fig. 4. Elemental oxides and Li distributions in different phases of the HAFA (wt. %) (Single fitting image)

As shown in Fig. 4, the majority of Al<sub>2</sub>O<sub>3</sub> (77-87%) was accumulated in the MCQ phase. Most SiO<sub>2</sub> (61-71%) was distributed in the glass phase. According to the XRD patterns of HAFA in Fig. 2, Al mainly existed in the HAFA in the form of mullite, whereas Si mainly existed in the HAFA in the form of amorphous Si in the glass phase. As one of the most problematic impurities in the recovery process of Al, the Si in the glass phase should be removed prior to the extraction of Al, considering the active properties of glass and the stable properties of MCQ (Zhu et al., 2013). Fe<sub>2</sub>O<sub>3</sub> was uniformly distributed in the three phases of IM-1, whereas Fe<sub>2</sub>O<sub>3</sub> was more distributed in the glass phase in IM-2, and in magnetic particles in SX-1 and SX-2. 79-94% Li occurred in glass, only 5-16% Li occurred in MCQ, and <5% Li occurred in magnetic particles. It is inferred that most of the Li can be leached along with the dissolution of the glass phase.

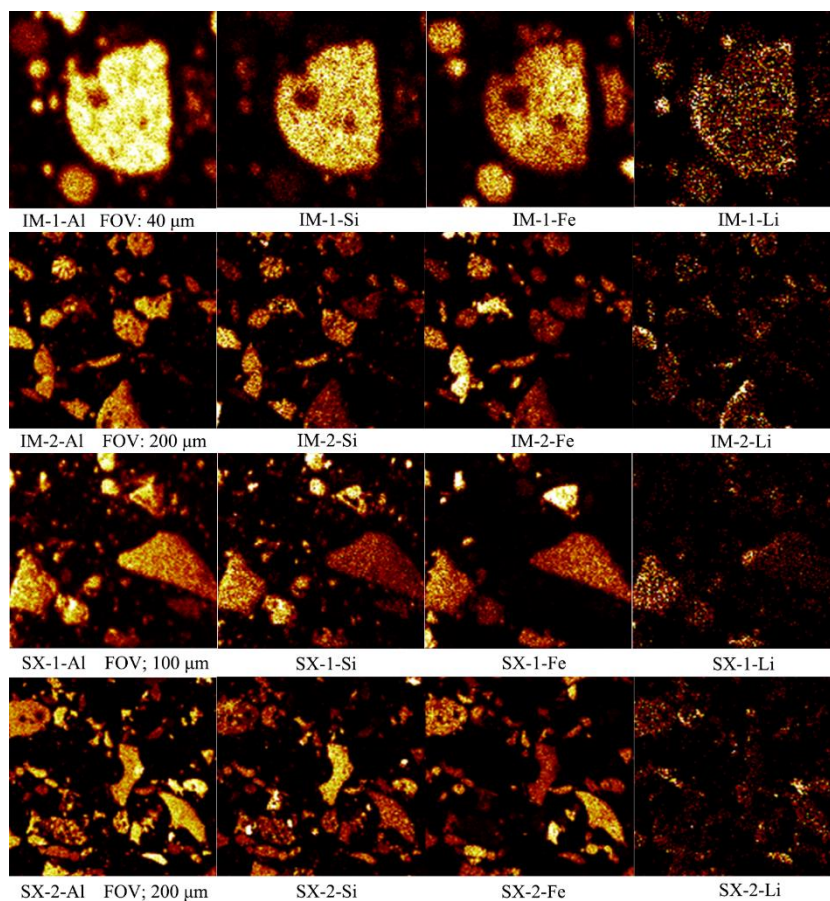
### 3.3. Chemical state imaging of Li in the HAFA



**Fig. 5. TOF-SIMS images of Al, Si, Fe, and Li performed on the HAFA particles (1.5-column fitting image)**

Fig. 5 shows TOF-SIMS images of the HAFA particles. Al and Si, as the two dominant elements in HAFA, presented a clear morphology of the cross-sections of the HAFA particles. It was found that the HAFA consisted of spheres, ellipsoids, and irregular particles of various sizes. Most of the particles contain small bubbles. Such hollow ash particles, which are called cenospheres, are typical particles found in fly ash (Kolay and Bhusal, 2014). The differences between the images of Al and Si were possibly caused by the presence of corundum and quartz in the HAFA. The highlighted particles in the Si images of SX-1 and SX-2 are ascribed to the presence of quartz. Fe was found to co-exist with Al and Si in the HAFA. Some Fe was extremely enriched in a few particles. In general, Li was relatively uniformly distributed in particles, showing a correlation with the distributions of Al and Si. Li

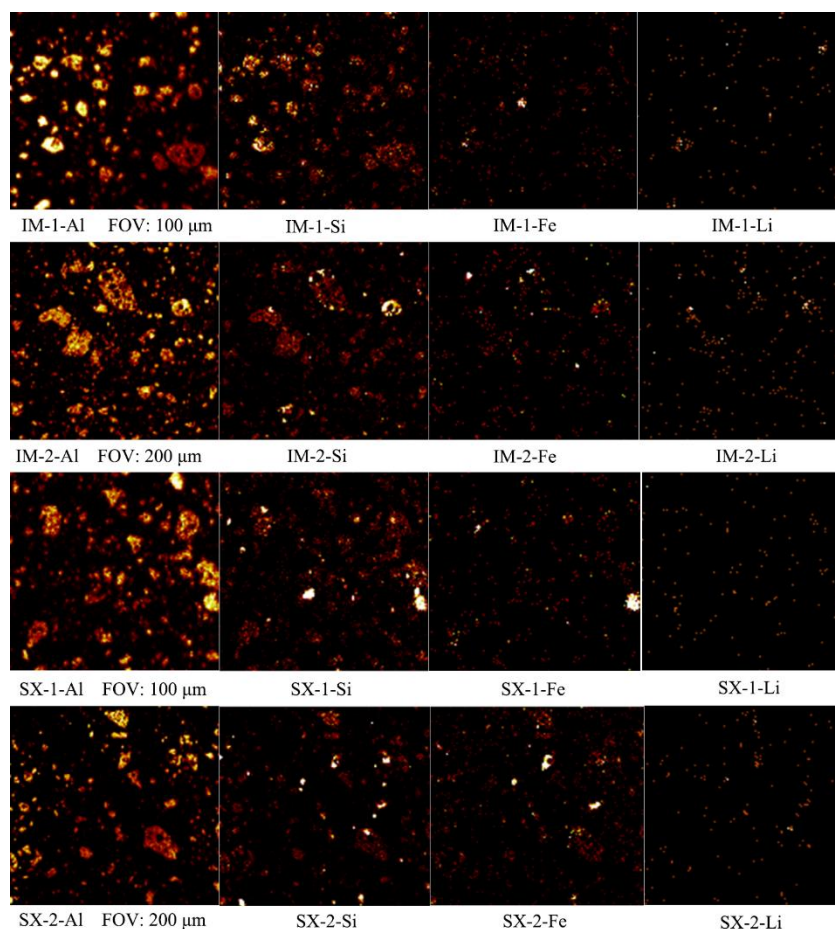
did not exist in quartz given that there were no Li detections at the positions where quartz occurred. We deduce that Li may co-exist with Al and Si in mullite, magnetic particles and the glass phase.



**Fig. 6.** TOF-SIMS images of Al, Si, Fe, and Li performed on the magnetic spheres (1.5-column fitting image)

Fig. 6 shows TOF-SIMS images of the magnetic particles. It shows that the content of Fe in the magnetic particles was comparable to the contents of Al and Si, according to the brightnesses of the images. The image of Fe in the magnetic particles was highly relevant to the images of Al and Si. Similarly, Li co-existed with Al, Si, and Fe in the magnetic particles. The fraction of Li in magnetic particles might be negligible given the small fraction of magnetic particles in the HAFA.





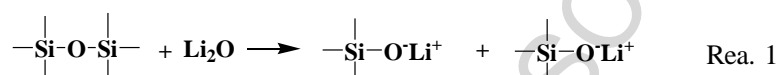
**Fig. 7. TOF-SIMS images of Al, Si, Fe, and Li performed on the MCQ phase (1.5-column fitting image)**

Fig. 7 shows TOF-SIMS images of the MCQ phase. It shows that the cenospheres that were present in the HAFA were rare in this fraction, indicating that cenospheres constitute much of the glass phase. Al was a major component in MCQ with the brightest and clearest particle images. The images of Si were similar to the images of Al. Some highlighted particles in the Si images for samples IM-2, SX-1, and SX-2 might be quartz. Fe was still enriched in some of the particles. Li was barely detected in the MCQ phase.

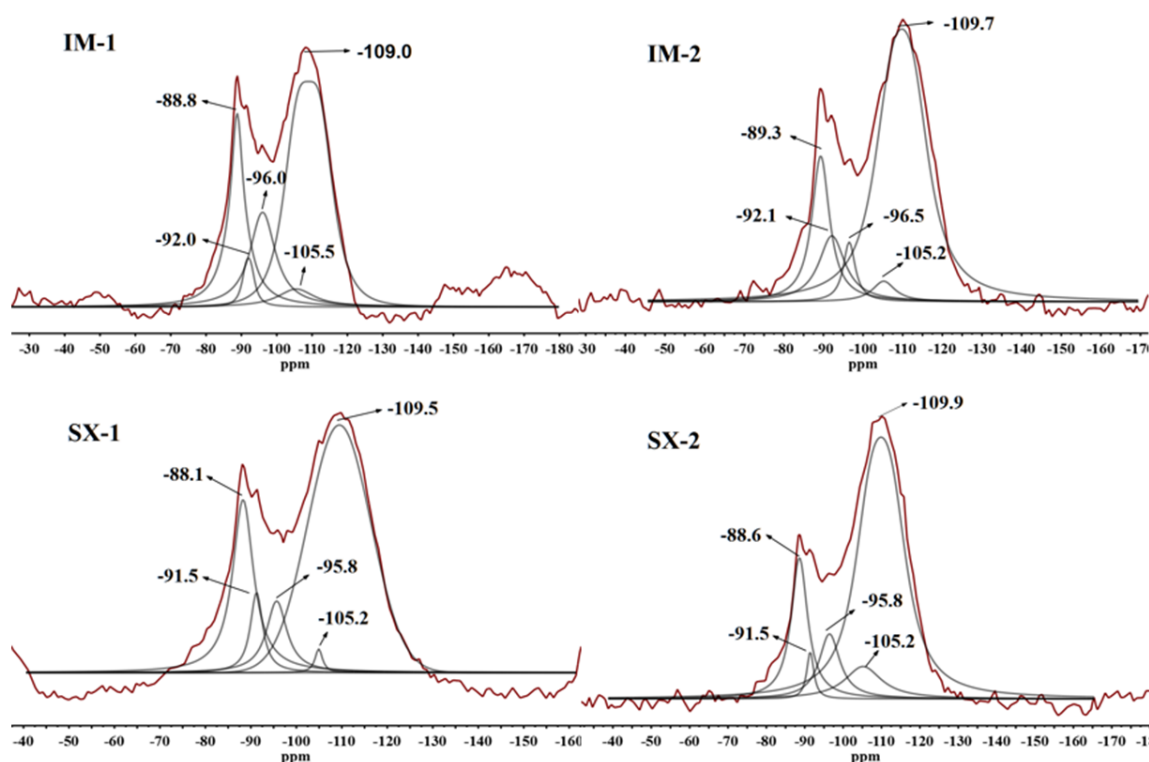
From the observations above, we conclude that Li may exist in magnetic particles and the glass phase, and rarely exists in MCQ. Considering the small mass fraction of magnetic particles, most Li is deduced to occur in the glass phase, which is consistent with the results shown in Fig. 4.

### 3.4. Occurrence of Li in the glass phase of HAFA

According to Sun's Single Bond Strength Criterion (Sun, 1947), Si and Al are classified as glass formers (backbone of the glass), whereas Li is classified as a glass modifier (occupies interstitial sites). A formation pathway of Li in silicate glass has been previously proposed (Varshneya, 1994). Li enters the glass as singly charged cations and occupies interstitial sites. This is accomplished by breaking a bridge and attaching an oxygen atom to the broken bridge, as shown by Rea. 1. It has been found that an increase in  $\text{Li}_2\text{O}$  content contributes to breaking the glass network of lithium aluminosilicate glass and increases in non-bridging oxygen (Hu et al., 2011).



The glass phase in HAFA comprises aluminosilicates. It is deduced that Li occurs in the glass phase of HAFA as a glass modifier. Li might occupy interstitial sites, forming non-bridging oxygen by breaking bridging oxygen.



**Fig. 8.**  $^{29}\text{Si}$  MAS NMR spectra of the HAFA samples

(Single fitting image)

Fig. 8 shows the  $^{29}\text{Si}$  MAS NMR spectra of HAFA samples IM-1, IM-2, SX-1, and SX-2. The positions and intensities of the four peaks are roughly similar. The deconvolutions of the spectrums show the presence of five components in the HAFA. The peak at approximately -89 ppm is ascribed to mullite (Angel et al., 2004). The peak at approximately -109/110 ppm represents amorphous  $\text{SiO}_2$  ( $\text{Q}^4(0\text{Al}^*)$ ) or quartz (Angel et al., 2004). Because quartz accounts for a small mass fraction of the HAFA, the peak at -109 /110 ppm mainly represents amorphous  $\text{SiO}_2$ . The strong intensities of the peaks at approximately -89 ppm and -109 /110 ppm reflect that mullite and amorphous  $\text{SiO}_2$  are the main forms of Si in HAFA. The peaks at approximately -92 ppm, -96 ppm, and -105 ppm correspond to  $\text{Q}^4(3\text{Al})$ ,  $\text{Q}^4(2\text{Al})$ , and  $\text{Q}^4(1\text{Al})$  structures in the glass phase, respectively (Fernández-Jiménez et al., 2017; Gao et al., 2017).

To study the reaction ability of  $\text{Li}_2\text{O}$  with  $\text{Q}^4(0\text{Al})$ ,  $\text{Q}^4(1\text{Al})$ ,  $\text{Q}^4(2\text{Al})$ , and  $\text{Q}^4(3\text{Al})$  components in the glass phases of HAFA, model compounds of the four components were built, and the possible reactions of  $\text{Li}_2\text{O}$  with the four model compounds were proposed (shown in Fig. 9). The  $\Delta E$  for each reaction was calculated using the GGA/PW91 method. As Table 6 shows, the proposed reactions are all possible with negative values of  $\Delta E$ , and  $\text{Li}_2\text{O}$  tends to break the Si-O-Si bond rather than the Si-O-Al bond. In particular, reactions R\_0Al\_1 and R\_1Al\_1 possess the lowest  $\Delta E$ , suggesting that  $\text{Li}_2\text{O}$  has a tendency to react with  $\text{Q}^4(0\text{Al})$  and  $\text{Q}^4(1\text{Al})$  components rather than  $\text{Q}^4(3\text{Al})$  and  $\text{Q}^4(2\text{Al})$  components. As a result, Li occurs more in  $\text{Q}^3(0\text{Al})$  and  $\text{Q}^3(1\text{Al})$  structures in the glass phase of HAFA.

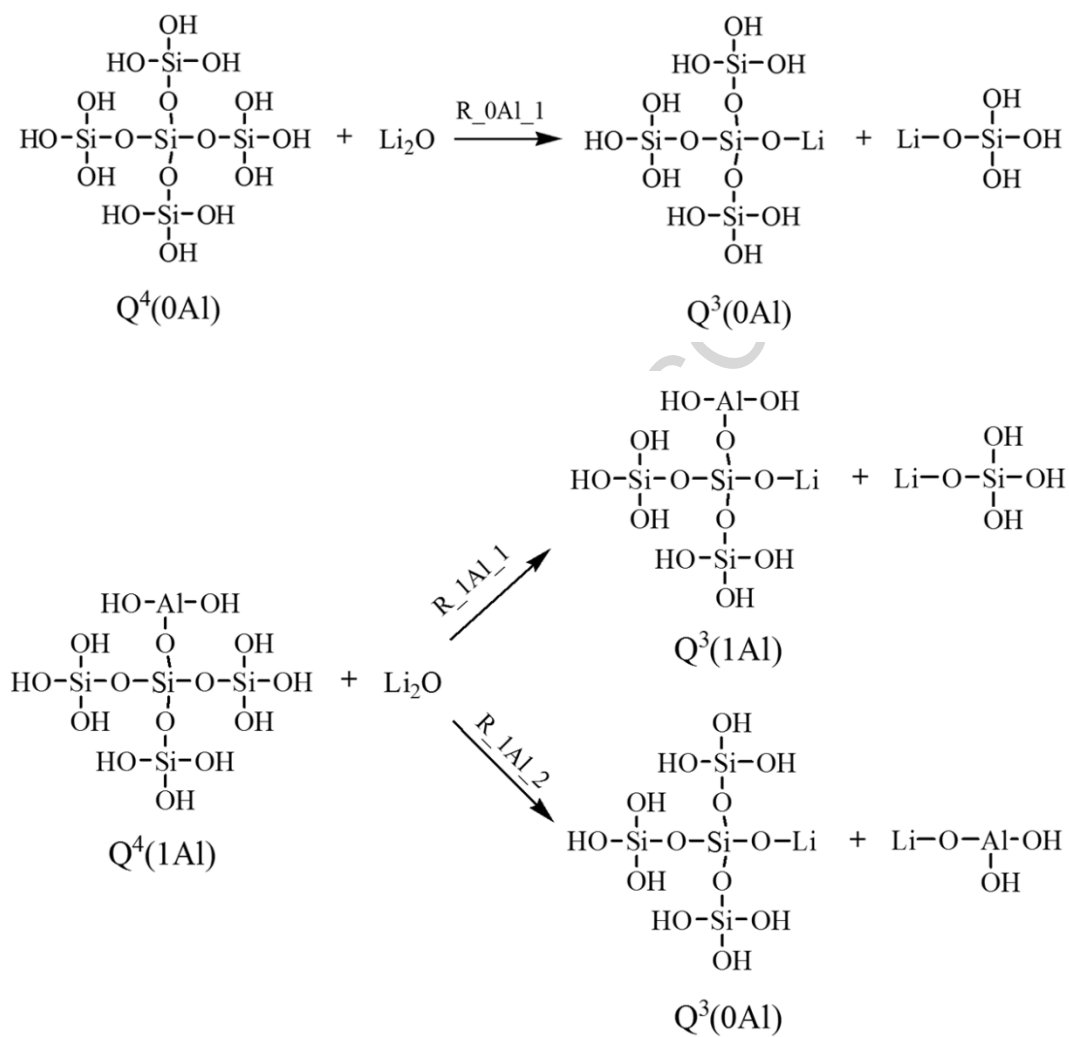
**Table 6**

Energies for components (Ha/mol) and energy difference between products and reactants ( $\Delta E$ ) (kJ/mol) calculated using the GGA/PW91 method

Reactions	$E_{\text{Reactant}_1}$	$E_{\text{Reactant}_2}$	$E_{\text{Product}_1}$	$E_{\text{Product}_2}$	$\Delta E$
R_0Al_1	-2659.64	-90.29	-2149.97	-600.04	-187.97
R_1Al_1	-2536.71	-90.29	-2027.04	-600.04	-182.11
R_1Al_2	-2536.71	-90.29	-2149.98	-477.07	-109.77

\*  $\text{Q}^n(\text{mAl})$  sites: n, m= 0-4, where  $\text{Q}^n$  are connected via n bridging O atoms to mAl.

R_2Al_1	-2413.78	-90.29	-1904.09	-600.04	-160.81
R_2Al_2	-2413.78	-90.29	-2027.04	-477.07	-116.52
R_3Al_1	-2290.87	-90.29	-1781.19	-600.04	-155.72
R_3Al_2	-2290.87	-90.29	-1904.13	-477.07	-99.35



AC

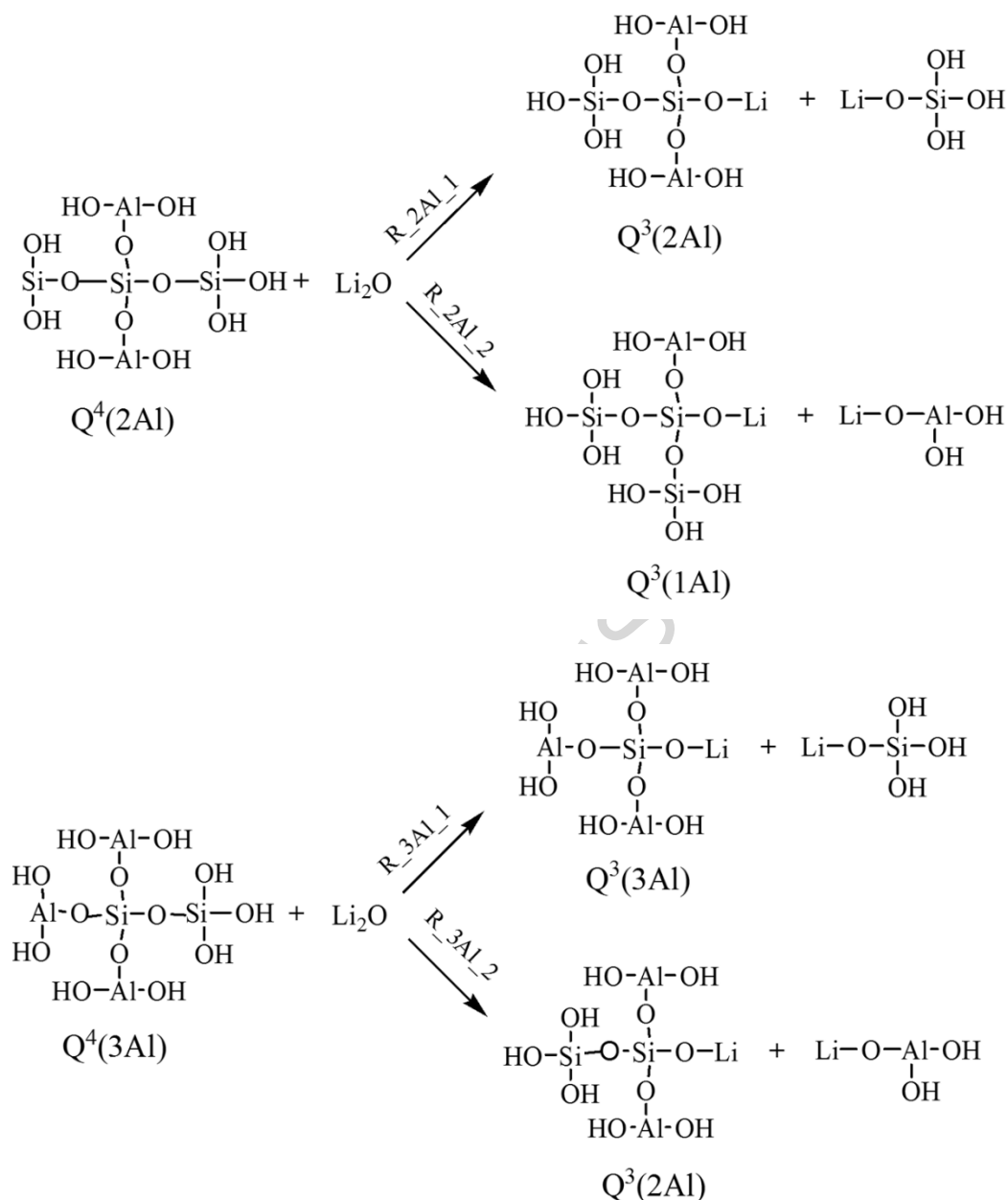


Fig. 9. Possible reactions of  $Li_2O$  with the four model compounds in the glass phase of HAFA (Single fitting image)

#### 4. Practical implications

According to the TOF-SIMS analysis, Li is uniformly distributed not only in most of the particles but also in the interior of HAFA particles. Therefore, it will be difficult to extract Li from HAFA using physical methods such as floatation and gravity separation. Pre-desilication is a key process in the recovery of Al from HAFA. It is intended to remove active silicon before the extraction of Al by dissolving the glass

phase in HAFA in addition with a NaOH solution at  $<100^{\circ}\text{C}$  (Du et al., 2011). Based on the present study, most Li may mainly exist as a glass modifier in the glass phase of HAFA. Li is very likely to be leached into the pre-desilication solution when the glass phase is decomposed. We therefore suggest improving the pre-desilication process to elevate the leaching efficiency of Li, and then separate the Li from the pre-desilication solution. In that way, the Al and Li can be co-extracted. The process is shown in Fig. 10.

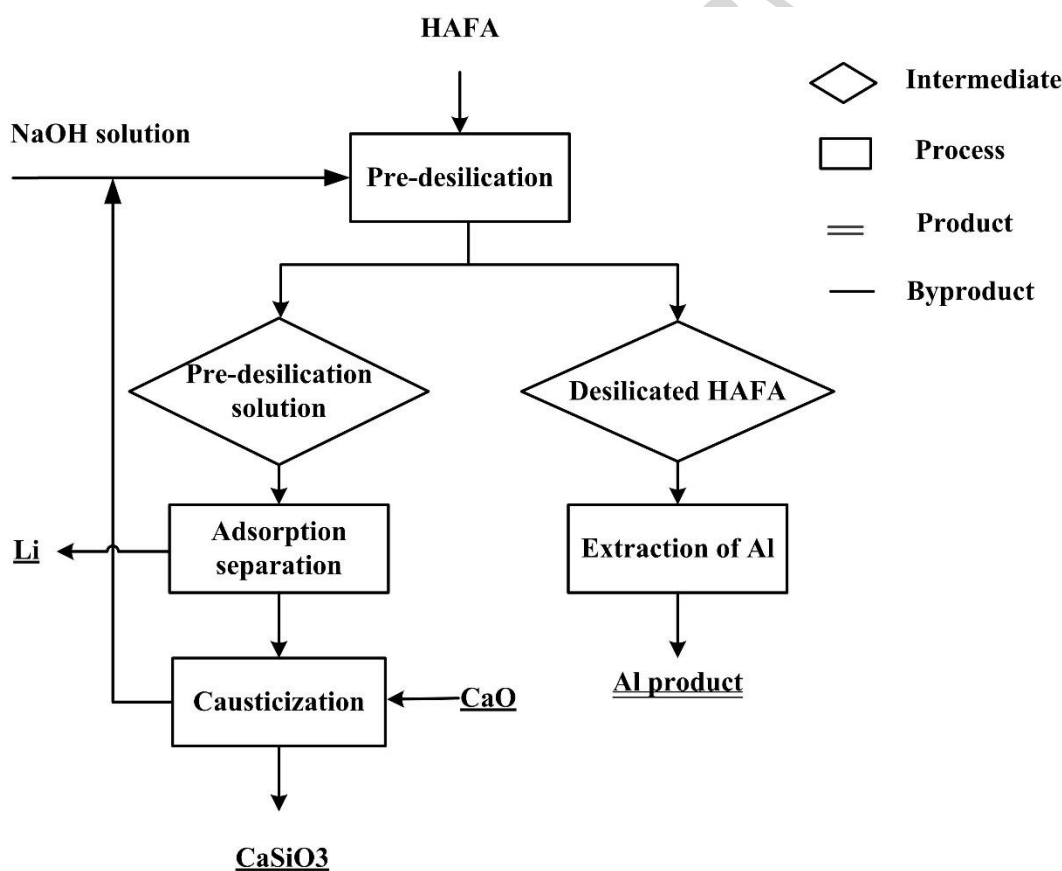


Fig. 10. Recovery process of lithium and alumina from HAFA

(Single fitting image)

## 5. Conclusions

Based on sequence separation of the HAFA and chemical analyses of the separation products, 79-94% Li was observed in the glass phase, with the remaining

5-16% and <5% of Li found in MCQ and magnetic particles. TOF-SIMS analyses showed that Li was relatively uniformly distributed in HAFA particles, and was strongly correlated with Al and Si. From the energy differences between products and reactants ( $\Delta E$ ) that were calculated using the GGA/PW91 method, it was found that  $\text{Li}_2\text{O}$  has a tendency to react with  $\text{Q}^4(1\text{Al})$  and  $\text{Q}^4(0\text{Al})$  components, resulting in more Li occurring in  $\text{Q}^3(0\text{Al})$  and  $\text{Q}^3(1\text{Al})$  structures. In addition,  $\text{Li}_2\text{O}$  tends to break Si-O-Si bonds, rather than Si-O-Al bonds. Finally, Li is suggested to be extracted during the pre-desilication process by dissolving the glass phase.

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**Highlights**

- Chemical state imaging of Li in the HAFA, magnetic particles and MCQ was obtained using TOF-SIMS.
- Modes of occurrence of Li in the glass of HAFA was investigated by NMR analysis and molecular simulation.
- Lithium tends to occur in  $Q^3(0Al)$  and  $Q^3(1Al)$  structures in the glass of HAFA.

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