

UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL PLANT HEALTH INSPECTION SERVICE
INVESTIGATIVE AND ENFORCEMENT SERVICES
WESTERN REGION
FORT COLLINS, CO

REPORT OF INVESTIGATION

Violator(s): S & R Productions DBA Siegfried & Roy
1639 N. Valley Drive
Las Vegas, Nevada 89108
702-647-2225

Case Number: NV04001AC

Violation(s): 9 CFR 2

Investigator: J. David Neal
c/o USDA, APHIS, IES
2150 Centre Avenue
Bldg. B-3W10
Fort Collins, CO 80526-8117
(480) 365-8547

Date: September 28, 2004

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SYNOPSIS

Violators Info: Siegfried and Roy doing business as S & R Productions is a Nevada Corporation. They hold an USDA Exhibitors License 88-C-0006. They have been licensed with the USDA for over 15 years.

Previous History: None

Violation Events: On or about October 3, 2003, while performing at the Mirage Hotel a white tiger known as "Montecore" attacked and severely injured Roy Uwe Horn, of Siegfried and Roy fame.

EXPLANATION OF THE EVIDENCE

October 3, 2003

9 CFR

- 2.131 (b) (1) Handling animals at minimal risk of harm to the animal and the public.
- 2.131 (c) (3) There is no barrier to protect the animals from the public or the public from the animals. The tiger was not under direct control during the performance.
- Exhibit 1-A-** Interview with [REDACTED] dated October 10, 2003, showing that he was the [REDACTED] working for S & R Productions. He shows that he handed the white tiger known as "Montecore" to Roy who was going to introduce him to the audience. He describes the incident and what happened afterwards.
- Exhibit 1-B-** Interview Log with [REDACTED] dated October 7 & 8, 2003, employees of S & R Productions. They stated that the white tiger known as "Montecore" is in the show for about 7 years and it was not the first time on stage as reported earlier. They stated that Roy Horn would always tell the audience that it was the first time on stage. They stated they had no females "in heat" before or during the performance on October 3, 2003. They also stated that Roy Uwe had been working with animals since the 1960's.
- Exhibit 1-C-** Interview Log with [REDACTED] DVM, dated October 7, 2003. He stated that he was the veterinary of record for Siegfried and Roy. He stated had examined "Montecore" about a month before it attacked Roy Horn.
- Exhibit 1-D-** Interview Log with [REDACTED] DVM and [REDACTED] DVM, dated October 8, 2003. They stated they visually examined "Montecore" and he appeared to be healthy. They also checked his feeding schedule and found out that he was on schedule.
- Exhibit 1-E-** Photographs (digital) that were taken of "Montecore" in his cage along with other white tigers.
- Exhibit 1-F-** Floppy disk containing photos represented in Exhibit 1-E.
- Exhibit 1-G-** Photocopy of Clark County Animal Control Animal Bite Report.
- Exhibit 2-A-** Photocopy of Las Vegas Metropolitan Police Department (LVMPD) Investigative Report, showing an investigation that was done on the incident. It was reported that a woman seating at table B1 seat #4, with "beehive hairdo", possibly being 6 foot tall might be responsible for distracting the tiger. This could not be substantiated. The person was located and was described as being 5 foot 2 inches tall. She did not have a "beehive hairdo". The report also goes into what LVMPD found during their investigation. It was also mentioned as to a video that was reviewed concerning the incident.
- Exhibit 2- C-** Email from IES Michael R. Ray dated February 17, 2004, describing what he viewed on the video that is mentioned in the LVMPD Report.
- Exhibit 2-D-** Photocopy of the lay out of the stage and how the seating was at the time of the incident. It should be noted that there are no barriers protecting the audience from the animals and the animals from the audience.

Exhibit 2-E-Is a statement from Robert M. Gibbens, Animal Care Western Regional Director, dated March 16, 2004. He stated that he attended the Siegfried and Roy performance on April 29, 2003. He stated that he could not see any barriers between the big cats and the viewing public at any time during the show.

Exhibit 2-F- APHIS Form 7162, Affidavit from Richard H. Watkins, Deputy Administrator for Animal Care. He stated that he attended the Siegfried and Roy Performance on April 29, 2003, with Dr. Robert Gibbens. He stated that there were some questions as to the handling procedures of the animals. He also describes the seating and the stage area.

Exhibit 2-G- APHIS Form 7162, Affidavit from Kay Carter-Coker, DVM, USDA/APHIS/AC dated January 23, 2004. She stated that she was at the Siegfried and Roy Performance on April 29, 2003. She stated that she attended the show as a spectator and not part of her official duties. She stated that she did not feel any danger from the big cats. But she was not sure how far the big cats were from the audience, the cats were on the stage and walkways.

Exhibit 2-H- Interview Log with Dr. Elle Magid and IES Senior Investigator Page Epele on January 22, 2004. She stated that she attended the Siegfried and Roy Performance on April 29, 2003. She attended for her own pleasure and not part of an official status. She stated that Kay Carter-Coker accompanied her. She stated that at times there were no physical barriers between the animals and the public.

Exhibit 2-J- Nine (9) Photographs (digital camera) of the stage area, the leash that we were told was on "Montecore" the night of the incident, and a photo of "Montecore". It should be noted that the pictures did not come out very good on count of the darkness of the stage area.

Exhibit 2-K- Floppy disk that contains the photographs represented in Exhibit 2-J.

Exhibit 3-B- Photocopy of Mirage Security Department Guest/Employee Statement dated October 4, 2003 from [REDACTED]. He stated that he was working in the Mirage Security Monitor Room on October 3, 2003, when a call came into him telling him that Roy (Horn) had been bitten. He contacted the Las Vegas Paramedics and had them respond to the Mirage.

Exhibit 3-E- Photocopy of Employee Statement dated October 3, 2003 from [REDACTED] stating that he set up the oxygen on Roy Horn, after Horn had been bitten.

Exhibit 3-H- Photocopy of Mirage Security Department Guest/Employee Statement dated October 3, 2003 from [REDACTED]. He states he is a stagehand working when the incident occurred with Roy Horn. He describes what he witnessed.

Exhibit 3-I- Photocopy of Mirage Security Department Guest/Employee Statement dated October 3, 2003 from [REDACTED]. He describes what he witnessed.

Exhibit 3-J- Photocopy of Mirage Security Department Guest/Employee Statement dated October 3, 2003 from [REDACTED]. He describes what he witnessed of the incident.

All redactions on this page are pursuant to (b)(6) & (b)(7)(c).

Exhibit 3-K- Photocopy of Mirage Security Department Guest/Employee Statement dated October 3, 2003 from [REDACTED]. He describes what he witnessed of the incident. He stated that Roy Horn did not have control of "Montecore". Roy Horn hit "Montecore" on the nose with the microphone and the cat jumped on him pushing him down. Then "Montecore" grabbed Roy Horn by the neck and drug him off stage.

Exhibit 3-L- Photocopy of Mirage Security Department Guest/Employee Statement dated October 6, 2003 from [REDACTED]. He describes what he witnessed of the incident. He stated that Roy Horn did not have control of "Montecore". Roy Horn hit "Montecore" on the nose with the microphone and the cat jumped on him pushing him down. Then "Montecore" grabbed Roy Horn by the neck and drug him off stage.

Exhibit 3-M- Photocopy of Mirage Security Department Guest/Employee Statement dated October 3, 2003 from [REDACTED]. She describes what she witnessed of the incident. She stated that Roy Horn did not have control of "Montecore". Roy Horn hit "Montecore" on the nose with the microphone and the cat jumped on him pushing him down. Then "Montecore" grabbed Roy Horn by the neck and drug him off stage.

Exhibit 3-N- Photocopy of Mirage Security Department Guest/Employee Statement dated October 3, 2003 from [REDACTED]. He describes what he witnessed of the incident. He stated that Roy Horn did not have control of "Montecore". Roy Horn hit "Montecore" on the nose with the microphone and the cat jumped on him pushing him down. Then "Montecore" grabbed Roy Horn by the neck and drug him off stage.

Exhibit 3-O- Photocopy of Mirage Security Department Guest/Employee Statement dated October 3, 2003 from [REDACTED]. She describes what she witnessed of the incident. She stated that Roy Horn did not have control of Tiger. She said that the tiger was heading towards the audience. Roy Horn signaled for the tiger to come back and the tiger grabbed Roy Horn's hand. He hit the tiger on the nose with the microphone and the cat jumped on him pushing him down. The tiger then grabbed Roy Horn by the neck and dragged him off stage.

Exhibit 3-S- Photocopy of Mirage Security Department Guest/Employee Statement dated October 6, 2003 from [REDACTED]. He stated that he was downstage right when "Montacore" came out on stage. He stated that he saw something different about the way he looked and told one of the animal trainers. Then he describes what he witnessed when "Montacore" attacked Roy Horn.

Exhibit 3-U- Photocopy of Mirage Security Department Guest/Employee Statement dated October 6, 2003 from [REDACTED]. She stated that she was working as the lead spot operator for the Siegfried and Roy Show on October 3, 2003. She states that she witnessed "Montecore" attacking Roy Horn. She goes into detail of the attack.

Exhibit 3-X- Photocopy of Mirage Security Department Guest/Employee Statement dated October 6, 2003 from [REDACTED]. He stated the everything looked normal when the tiger got to the "D. S. Bub". He saw the tiger grab Roy Horn and then he does not recall any of the details.

- Exhibit 3-AA-** Photocopy of Mirage Security Department Guest/Employee Statement [REDACTED]
[REDACTED] He stated that he saw the tiger trying to take Roy Horn into its cage. He grabbed the tiger by the tail to stop him.
- Exhibit 3-EE-** Investigative Summary Report done by Mirage Security. The report shows what the Mirage found during there investigation. The report shows that Roy Horn lead the white tiger "Montecore" on stage with a leash. The tiger was released from his leash and stopped on cue. The tiger then continued to walk past his cue spot. Horn then circled around to redirect and control "Montecore". "Montecore" grabbed Horn's hand and forearm. Horn then tapped "Montecore" on the nose with his microphone to persuade "Montecore" to release him. "Montecore" released quickly swiped Horn's left leg knocking him to the ground. "Montecore" then mounted Horn and bit him on his left neck area. "Montecore" then dragged Horn off stage.
- Exhibit 3-FF-** Email from [REDACTED] Mirage Security, dated October 10, 2003 showing the attack maybe a terrorist activities.
- Exhibit 4-A-** APHIS Form 7162, Affidavit from [REDACTED] signed and dated October 13, 2003. He stated that he was in the audience when attack on Roy Horn occurred. He thought at one point that it was part of the act.

OTHER EVIDENCE

- Exhibit 2-B-** Envelope that contained the LVMPD Report.
- Exhibit 2-I-** Memorandum from Page A. Eppelc regarding his part of the investigation.
- Exhibit 3-A-** Mirage Hotel Incident Report on the incident from [REDACTED] for Roy Horn being attacked by a white tiger dated October 03, 2003.
- Exhibit 3-C-** Photocopy of Mirage Security Department Guest/Employee Statement dated October 3, 2003 from [REDACTED]. He stated that he was opened the security gate to let the Clark County Fire Department Para Medics into the area.
- Exhibit 3-D-** Photocopy of Mirage Security Department Guest/Employee Statement dated October 3, 2003 from [REDACTED]. He stated that he describes what he was doing when he heard that Roy Horn had been bitten.
- Exhibit 3-F-** Photocopy of Employee Statement dated October 3, 2003 from [REDACTED] showing that he responded to the S & R Theater, there he met with [REDACTED] stating that he set up the oxygen on Roy Horn, after Horn had been bitten.
- Exhibit 3-G-** Photocopy of Mirage Security Department Guest/Employee Statement dated October 3, 2003 from [REDACTED] shows what she saw.
- Exhibit 3-P-** Photocopy of Mirage Security Department Guest/Employee Statement dated October 3, 2003 from [REDACTED]. He describes what he did and saw during the incident.
- Exhibit 3-Q-** Photocopy of Mirage Security Department Guest/Employee Statement dated October 6, 2003 from [REDACTED]. He describes what he did and saw and heard during the incident.
- Exhibit 3-R-** Photocopy of Mirage Security Department Guest/Employee Statement dated October 9, 2003 from [REDACTED]. She stated that she was working in the light booth. She describes what she saw during the incident.
- Exhibit 3-T-** Photocopy of Mirage Security Department Guest/Employee Statement dated October 6, 2003 from [REDACTED]. He stated that he was working up center stage when he heard over his headset Roy Horn had been bitten and a cage was needed.
- Exhibit 3-V-** Photocopy of Mirage Security Department Guest/Employee Statement dated October 6, 2003 from [REDACTED]. He stated that he observed "Montacore" walk backstage carrying Roy Horn in his month.
- Exhibit 3-W-** Photocopy of Mirage Security Department Guest/Employee Statement dated October 6, 2003 from [REDACTED]. She states that she was in the dressing room when the incident occurred.
- Exhibit 3-Y-** Photocopy of Mirage Security Department Guest/Employee Statement dated October 6, 2003 from [REDACTED]. She stated that she was in the wardrobe room and did not see a thing.
- Exhibit 3-Z-** Photocopy of Mirage Security Department Guest/Employee Statement dated October 6, 2003 from [REDACTED]. He stated that he did not see anything only heard and saw commotion.

- Exhibit 3-BB-** Photocopy of Mirage Security Department Guest/Employee Statement dated October 6, 2003 from (b)(6), (b)(7)c. He stated that he was working on October 4, 2003, when the incident happened. (I am sure that he was thinking of October 3, 2003). He stated that he witnessed (b)(6), (b)(7)c grab the tiger's tail to keep it from going into the cage with Roy Horn. He also said that he drag Roy Horn to safety after the tiger turned him lose.
- Exhibit 3-CC-** Photocopy of Mirage Security Department Guest/Employee Statement dated October 6, 2003 from (b)(6), (b)(7)c. He stated that he assisted with performed first aid on Roy Horn until the Clark County Fire Department Para Medics arrived and took over.
- Exhibit 3-DD-** Photocopy of Mirage Security Department Guest/Employee Statement dated October 8, 2003 from (b)(6), (b)(7)c. He describes what he saw back stage after the attack.
- Exhibit 4-B-** Photocopy of (b)(6), (b)(7)c Siegfried and Roy show ticket.
- Exhibit 4-C-** Interview Log with (b)(6), (b)(7)c and Sandra M. Arthur stating that he was not in Las Vegas, Nevada when the incident occurred.
- Exhibit 4-D-** Memorandum from Sandra Arthur concerning her part of this investigation.
- Exhibit 5-A-** April 2004 issue of Reader's Digest, with an article about the attack.
- Exhibit 5-B-** Photocopy of story on Siegfried and Roy concerning the attack.
- Exhibit 5-C-** CD of a telephone-recording message at the Mirage Hotel regarding the incident.
- Exhibit 5-D-** Video of Roy Horn being taken to the ambulance.
- Exhibit 6-A-** Letter dated October 20, 2004, from (b)(7)c attorney for Siegfried and Roy, with documentation that was supplied concerning "Montecore", feeding records, medical records and other documents.
- Exhibit 6-B-** Letter dated December 1, 2003, from (b)(7)c regarding the investigation.
- Exhibit 6-C-** Letter dated December 9, 2003, from Michael Ray, Assistant Regional Director, to Teresa Lorenzano, Deputy Director IES, showing that (b)(7)c was requesting a copy of the IES Investigation.
- Exhibit 6-D-** Letter dated November 3, 2003, from Michael Ray, Assistant Regional Director, to Teresa Lorenzano, Deputy Director IES, requesting an administrative subpoena be issued to (b)(7)c.
- Exhibit 6-E-** Photocopy of an Administrative Subpoena that was served on (b)(7)c office regarding the video of the Roy Horn incident.
- Exhibit 6-F-** Photocopy of Certificate of Personal Service dated December 2, 2003.
- Exhibit 6-G-** Letter dated December 12, 2003, from (b)(7)c, reference a Subpoena Duces Tecum that was serviced on him regards a video of the incident.
- Exhibit 7-A-** Letter dated January 16, 2004, from Michael Ray, Assistant Regional Director, to Teresa Lorenzano, Deputy Director IES, requesting an administrative subpoena be issued to (b)(7)c.
- Exhibit 7-B-** Photocopy of an Administrative Subpoena that was served on (b)(7)c office regarding the video of the Roy Horn incident.
- Exhibit 7-C-** Photocopy of corporation information on Mirage Casino Hotel.
- Exhibit 7-D-** Letter dated February 18, 2004, from (b)(7)c Vice President and General Counsel, Mirage, stating what information was supplied as a results of the Administrative Subpoena.

- Exhibit 8-A-** Photocopy of an Administrative Subpoena that was served on [REDACTED] Office.
- Exhibit 8-B-** Photocopy of Certificate of Personal Service dated April 15, 2004.
- Exhibit 8-C-** Letter dated April 16, 2004, from [REDACTED] showing that he is the attorney for [REDACTED]. He states they do not have the video of Roy Horn's incident.
- Exhibit 8-D-** Photocopy of Nevada Corporation information on [REDACTED].
- Exhibit 9-A-** Photocopy of an Administrative Subpoena that was served on Custodian of Records in Henderson, Nevada Office.
- Exhibit 9-B-** Photocopy of Certificate of Personal Service dated April 15, 2004.
- Exhibit 9-C-** Photocopy of an Administrative Subpoena that was served on [REDACTED].
- Exhibit 9-D-** Photocopy of Certificate of Personal Service dated April 14, 2004.
- Exhibit 9-E-** Photocopy of U. S. Postal Certified Mail Receipt.
- Exhibit 9-F-** Memorandum dated April 15, 2004, from Frank Keyser regarding the service of the Administrative Subpoena to Feld Entertainment.
- Exhibit 9-G-** Letter dated April 28, 2004, from [REDACTED] attorney for Feld Entertainment.
- Exhibit 10-A-** Photocopy of APHIS Form 7007, Animal Welfare License number 88-C-0006, for S & R Productions.
- Exhibit 10-B-** Photocopy of Application for License signed and dated on October 9, 2003, for S & R Productions.
- Exhibit 10-C-** Letters dated October 29, 2003, from Robert M. Gibbens, Regional Director regarding S & R Productions License renewal application and other information.
- Exhibit 10-D-** Photocopies of California Non Residence Exhibiting License and other ' documentation for Siegfried and Roy Enterprises.
- Exhibit 10-E-** Photocopy of Nevada Corporation information on Siegfried and Roy Enterprises, Inc.
- Exhibit 10-F-** Information on Siegfried and Roy showing their history.

Exhibit List

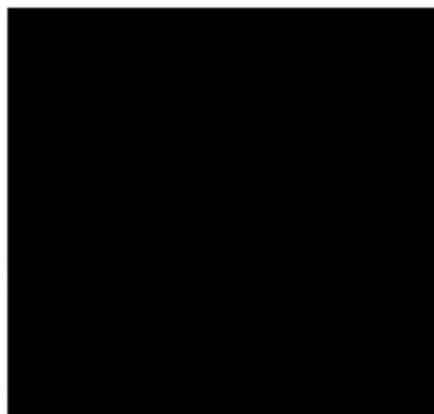
- Exhibit 1-A-** Photocopy of a Mirage Employee Statement by [REDACTED] dated October 06, 2003. (4 pages)
- Exhibit 1-B-** Interview Log with [REDACTED] dated October 7 & 8, 2003.
- Exhibit 1-C-** Interview Log with [REDACTED] DVM dated October 7, 2003.
- Exhibit 1-D-** Interview Log with [REDACTED] DVM and [REDACTED] DVM dated October 8, 2003.
- Exhibit 1-E-** Photographs (digital) that were taken of "Montecore" in his cage along with other white tigers. (4 pages)
- Exhibit 1-F-** Floppy disk containing photos represented in Exhibit 1-E.
- Exhibit 1-G-** Photocopy of Clark County Animal Bite Report. (2 pages)
- Exhibit 2-A-** Photocopy of Las Vegas Metropolitan Police Department (LVMPD) Investigative Report. (8 pages)
- Exhibit 2-B-** Envelope the LVMPD report was sent.
- Exhibit 2-C-** Email from IES Michael R. Ray dated February 17, 2004.
- Exhibit 2-D-** Photocopy of the lay out of the stage where the incident occurred.
- Exhibit 2-E-** Is a statement from Robert M. Gibbens, Animal Care Western Regional Director, dated March 16, 2004.
- Exhibit 2-F-** APHIS Form 7162, Affidavit from Richard H. Watkins, Deputy Administrator for Animal Care.
- Exhibit 2-G-** APHIS Form 7162, Affidavit from Kay Carter-Coker, DVM, USDA/APHIS/AC dated January 23, 2004.
- Exhibit 2-H-** Interview Log with Ellen Magid dated January 22, 2004.
- Exhibit 2-I-** Memorandum from Page A. Epple dated January 30, 2004.
- Exhibit 2-J-** Photographs (digital camera) of the stage area, the leash that Montecore was on, in addition, a photo of Montecore. (9 pages)
- Exhibit 2-K-** Floppy disk that contains the photographs represented in Exhibit 2-J.
- Exhibit 3-A-** Photocopy of Mirage Hotel Incident Report dated October 3, 2003, from [REDACTED] (2 pages)
- Exhibit 3-B-** Photocopy of a Mirage Employee Statement by [REDACTED] dated October 04, 2003. (2 pages)
- Exhibit 3-C-** Photocopy of a Mirage Employee Statement by [REDACTED] dated October 03, 2003.
- Exhibit 3-D-** Photocopy of a Mirage Employee Statement by [REDACTED] dated October 03, 2003. (2 pages)
- Exhibit 3-E-** Photocopy of a Mirage Employee Statement by [REDACTED] dated October 03, 2003.
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- Exhibit 3-M-** Photocopy of a Mirage Employee Statement by [REDACTED] dated October 03, 2003.
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- Exhibit 3-P-** Photocopy of a Mirage Employee Statement by [REDACTED] dated October 03, 2003.
- Exhibit 3-Q-** Photocopy of a Mirage Employee Statement by [REDACTED] dated October 06, 2003.
- Exhibit 3-R-** Photocopy of a Mirage Employee Statement by [REDACTED] dated October 09, 2003. (2 pages)
- Exhibit 3-S-** Photocopy of a Mirage Employee Statement by [REDACTED] dated October 06, 2003. (2 pages)
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- Exhibit 3-U-** Photocopy of a Mirage Employee Statement by [REDACTED] dated October 06, 2003.
- Exhibit 3-V-** Photocopy of a Mirage Employee Statement by [REDACTED] dated October 06, 2003. (2 pages)
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- Exhibit 3-BB-** Photocopy of a Mirage Employee Statement by [REDACTED] dated October 06, 2003. (2 pages)
- Exhibit 3-CC-** Photocopy of a Mirage Employee Statement by [REDACTED] dated October 06, 2003. (3 pages)
- Exhibit 3-DD-** Photocopy of a Mirage Employee Statement by [REDACTED] dated October 08, 2003. (4 pages)
- Exhibit 3-EE-** Investigative Summary Report done by Mirage Security. (3 pages)

- Exhibit 3-FF-** Email from [REDACTED] Mirage Security, dated October 10, 2003.
- Exhibit 4-A-** APHIS Form 7162, Affidavit from [REDACTED] signed and dated October 13, 2003. (3 pages)
- Exhibit 4-B-** Photocopy of [REDACTED] ticket to the Siegfried and Roy Performance.
- Exhibit 4-C-** Interview Log with [REDACTED] dated October 13, 2003.
- Exhibit 4-D-** Memorandum from [REDACTED] concerning her part of this investigation.
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- Exhibit 7-C-** Photocopy of corporation information on Mirage Casino Hotel. (49 pages)
- Exhibit 7-D-** Letter dated February 18, 2004, from [REDACTED] Vice President and General Counsel, Mirage.
- Exhibit 8-A-** Photocopy of an Administrative Subpoena that was served on [REDACTED] Bernie Management.
- Exhibit 8-B-** Photocopy of Certificate of Personal Service dated April 15, 2004.
- Exhibit 8-C-** Letter dated April 16, 2004, from [REDACTED] attorney for [REDACTED]
- Exhibit 8-D-** Photocopy of Nevada Corporation information on [REDACTED] (6 pages)
- Exhibit 9-A-** Photocopy of an Administrative Subpoena that was served on Custodian of Records in Henderson, Nevada Office.
- Exhibit 9-B-** Photocopy of Certificate of Personal Service dated April 15, 2004.
- Exhibit 9-C-** Photocopy of an Administrative Subpoena that was served on [REDACTED] (2 pages)
- Exhibit 9-D-** Photocopy of Certificate of Personal Service dated April 14, 2004.
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- Exhibit 9-F-** Memorandum from Frank Keyser regarding the service of the Administrative Subpoena. (2 pages)

- Exhibit 9-G-** Letter dated April 28, 2004, from [REDACTED], attorney for Feld Entertainment.
- Exhibit 10-A-** Photocopy of APHIS Form 7007, Animal Welfare License number 88-C-0006, for S & R Productions.
- Exhibit 10-B-** Photocopy of Application for License signed and dated on October 9, 2003, for S & R Productions. (2 pages)
- Exhibit 10-C-** Letters dated October 29, 2003, from Robert M. Gibbens, Regional Director regarding S & R Productions License renewal application and other information. (2 pages)
- Exhibit 10-D-** Photocopies of California Non Residence Exhibiting License and other documentation for Siegfried and Roy Enterprises. (4 pages)
- Exhibit 10-E-** Photocopy of Nevada Corporation information on Siegfried and Roy Enterprises, Inc. (3 pages)
- Exhibit 10-F-** Information on Siegfried and Roy history. (2 pages)

WITNESS LIST



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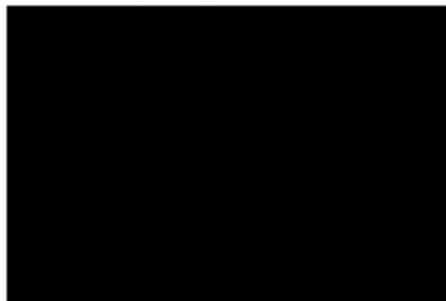
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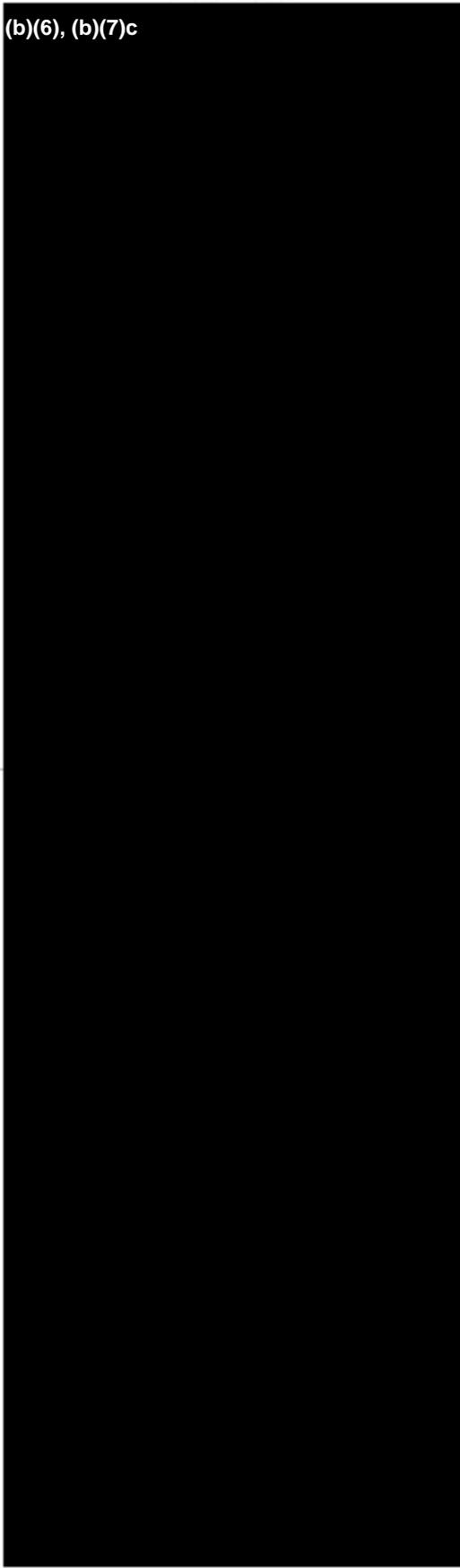
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Raleigh, NC 27606
919-855-7080

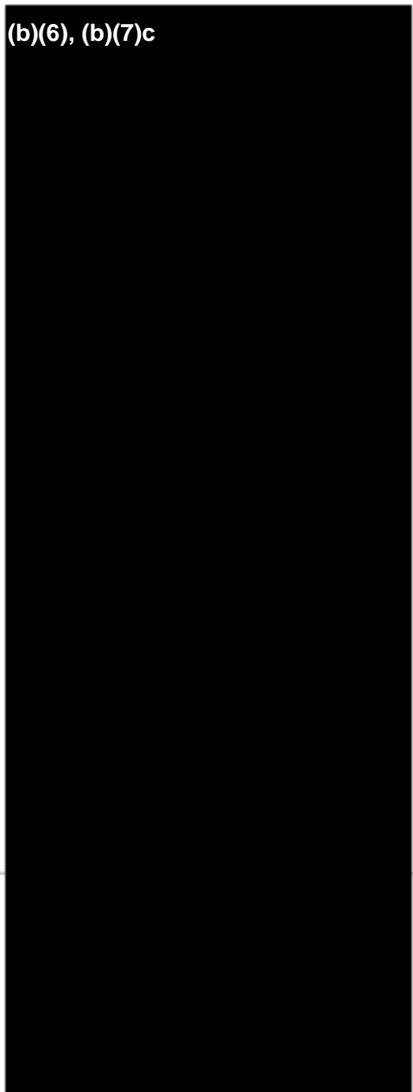
Sandra Arthur
Investigator
USDA/APHIS/IES - Eastern Region
920 Main Campus Drive, Suite 200
Raleigh, NC 27606
919-855-7080



(b)(6), (b)(7)c



(b)(6), (b)(7)c



SECURITY DEPARTMENT

DR# _____

DATE 10-6-03

PAGE 1 OF 4



GUEST/EMPLOYEE STATEMENT

NAME: [REDACTED] ID# [REDACTED]

ADDRESS: [REDACTED]

TITLE - [REDACTED] ANIMAL CREW
NIGHT SHIFT

ON FRIDAY NIGHT OCT. 3, 2003
I WAS WORKING ROUTINELY BACK STAGE
DURING THE 7:30 PERFORMANCE OF THE
SIEGFRIED & ROY SHOW AT THE MIRAGE.
EVERYTHING WENT NORMAL TO THE 1/2
WAY POINT OF OUR SHOW. I WILL PICK
UP THE SHOW SEQUENCE PRIOR TO THE
RAPPORT PART OF THE SHOW FEATURING
MR. ROY & OUR WHITE STRIPED TIGER.
AFTER THE "SARVESTI BOY" ILLUSION, MR.
ROY FEATURES A WHITE TIGER ON LEASH
BUT ON STAGE TO MEET THE AUDIENCE.
ANIMAL HANDLER [REDACTED] & I PREPARED
WHITE TIGER "MANTELORÉ" TO GO ON STAGE
WITH MR. ROY FOR THE PRESENTATION.

EVERYTHING WENT NORMAL. I HAD "MANTELORÉ"
BY THE LEASH & ANIMAL HANDLER [REDACTED]

SECURITY OFFICER'S SIGNATURE AND ID#

GUEST/EMPLOYEE SIGNATURE

GOVERNMENT EXHIBIT
<u>1-A</u>
Page <u>1</u> of <u>4</u>
APR 98 FORM T-20 (MAY 95)

NARRATIVE CONTINUATION

DR# _____

PAGE 2 OF 4

DAGED the CURTAIN (BALL FRINGE) SO
 I COULD HAND THE LEASH TO MR.
 ROY. THIS ALL WENT NORMAL WITH
 THE TRANSITION FROM ME TO MR. ROY.
 I THEN WENT TO THE BACKSTAGE
 ILLUSION WHICH IS LOCATED ALL THE
 WAY OFF STAGE, STAGE RIGHT. ANIMAL
 HANDLER [REDACTED] & I PREPARED THIS
 ILLUSION (ATTACH CABLES) & PREPARED TO
 PRE-SET "SHAKA" (WHITE LION) IN
 BACKSTAGE ILLUSION FOR PRESENTATION
 ON STAGE AFTER THE FILM & LION
 ROCK PRESENTATION. ONCE I HAD
 CABLES IN PLACE READY TO PRE-SET
 SHAKA IN ILLUSION I HEARD SOME
 COMMOTION FROM BACKSTAGE & AT THE
 SAME TIME HEARD THE CUE CALLER
 [REDACTED] SOUND & DRESSED ON A
 SPEAKER COM I BELIEVE TO BE COMING
 FROM THE FLY RAIL. AT THE SAME
 TIME I HEARD ~~MR. ROY~~ MR. ROY YELLING.
 I THEN RAN AS FAST AS I COULD

OFFICER NAME /

ID#

OFFICER SIGNATURE

GOVERNMENT
EXHIBIT
1-A

Page 2 of 4

NYS FORM 700 (MAR 02)

NARRATIVE CONTINUATION

DR# _____
PAGE 3 OF 4

to center stage and asked
a stage hand what was happening
& I was told the tiger was Mr.
Roy in the audience. I went
through under of ball fringe
& saw white tiger "Mandelore"
taking Mr. Roy off stage through
the ball fringe by the neck.
This was happening stage left.
I ran back through the ball fringe
straight over to the tiger & Mr.
Roy to assist. I then straddled
white tiger Mandelore to get in
position to try to release the tiger
from Mr. Roy's neck. After a
few seconds of positioning I
placed my hands or fingers in white
tigers upper lip area & rolled
his lips under his back teeth
& pulled up hard so he would
slide him self & release from Mr.
Roy's neck. Eventually this worked.

OFFICER NAME [REDACTED] ID# [REDACTED]

OFFICER SIGNATURE [REDACTED]

GOVERNMENT
EXHIBIT
1-A
Page 3 of 4
APR 80 FORM 7070 (MAY 80)

NARRATIVE CONTINUATION

DR# _____
PAGE 4 OF 4

It only took me a tries in which approximately 5-10 seconds went by. At the same time a CO2 fire extinguisher went off which helped distract the tiger from Mr. Roy. Animal handler [redacted] had the white tiger on his left side by the collar & I had the tiger on the right side by the collar. White tiger "Mantelore" seem to be normal & under control. After about 90 seconds of looking for a transport box to secure him we did so by the GL panel. At that point the white tiger was secured. After that I saw several people attending to Mr. Roy & soon after the paramedics took him to the trauma center at UMC.

[redacted]
OFFICER NAME - [redacted] ID#

[redacted]
OFFICER SIGNATURE

GOVERNMENT EXHIBIT
1-A
Page 4 of 4
APHIS FORM 1070 (04/89)

INTERVIEW LOG USDA, APHIS, IES	NAME AND ADDRESS OF PERSON(S) CONTACTED: [REDACTED]	DATE: October 7, 2003 & October 8, 2003
------------------------------------------	--------------------------------------------------------	-----------------------------------------------

TYPE OF INTERVIEW (BY PHONE OR IN PERSON): Person	ORGANIZATION: Siegfried & Roy Productions	TELEPHONE NUMBER: 702-647-2225
SUBJECT: The animal attack on Roy Horn on October 3, 2003.		LOCATION OF INTERVIEW: Mirage Hotel, Siegfried & Roy Compound

SUMMARY:
 On October 7, 2003, at or about 14:00 hrs, [REDACTED] was interviewed by J. David Neal and Rhonda Smith. She took us to where "Montecore" (a white Bengal Tiger) that attacked Roy Horn, on October 3, 2003, was being kept in Quarantine. She stated that nothing was new or had been added to the performance in quite awhile. She said that "Montecore" was from Mexico, and they had had him since he was a cub. They also have the "Montecore" brother and sister that are kept in the same pen. About 5 minutes later, [REDACTED] for S & R Productions, arrived and accompanied us on the tour and the interview. [REDACTED] answered all questions were that were asked. She stated that they would cooperate with the USDA doing the investigation. She said that they had not changed anything in the show for quite some time. That "Montecore" had been in the show for 7 years. We informed her that the USDA had been told that it was "Montecore" first time on stage. She said that it was sort of a joke telling the audience that. She said the Roy had been working with the Big Cats since the 1960's. She said that Roy did not want "Montecore" put down. They were trying to figure out what happened to cause the attack. She did tell us that we would need to talk to [REDACTED] Attorney at Law, if we wanted any information such as video, interviews and etc. She said that they had not changed the feeding of the animals. That Roy had not changed anything he had done such as clothing, boots, cologne or anything. We asked her if the tigers would be affected if someone were to blow a dog whistle. She explained that they have used dog whistles in the past with performing dogs and it had never affected the tigers in any way. The Tigers are trained to be comfortable around loud noises, bright lights and large crowds. Even the fireworks do not bother them. [REDACTED] gave us the name of their veterinarian of record, [REDACTED] so we could interview him. She also said [REDACTED] was their veterinarian, too.

On October 8, 2003, at or about 13:00 hrs. We met again with [REDACTED] and [REDACTED]. The purpose of the interview today was to accompany [REDACTED] and [REDACTED] on their visual examination of "Montecore". [REDACTED] said that they had not had any female cats in "heat" just before and definitely not during the performance. When asked about the report that someone in the audience may have shined a laser light at "Montecore", she said she did not know if that had happened or if it would have caused the attack. [REDACTED] explained to us how the BIG CATS were transported from the compound to the Stage and showed us the equipment and trucks used for transport.

GOVERNMENT
EXHIBIT
1-B
 Page 1 of 1
APHIS FORM 1070 (MAY 98)

NAME OF PERSON DOCUMENTING INTERVIEW: J. David Neal Rhonda Smith	SIGNATURE: <i>Rhonda Smith</i>	DATE: 10-8-2003
------------------------------------------------------------------------	-----------------------------------	--------------------

INTERVIEW LOG

USDA, APHIS, IES

NAME AND ADDRESS OF PERSON(S)
CONTACTED:
[REDACTED] DVM

DATE:
October 7, 2003

TYPE OF INTERVIEW (BY PHONE OR IN PERSON): In Person	ORGANIZATION: Veterinarian for S & R Productions	TELEPHONE NUMBER: [REDACTED]
---------------------------------------------------------	-----------------------------------------------------	---------------------------------

SUBJECT: To check on the health of Montecore	LOCATION OF INTERVIEW: 2500 W. Warm Springs Road Las Vegas, Nevada 89119
-------------------------------------------------	--------------------------------------------------------------------------------

SUMMARY:
At or about 15:30 hours, Rhonda Smith and I met with [REDACTED]. He stated that he had been the veterinarian of record for S & R Productions for sometime. All medical records are kept at the S & R Compound at the Mirage Hotel. He said that he had not seen "Montecore" for about a month. He had not seen him since the attack on Roy Horn, on October 3, 2003. He said that S & R Productions take very good care of their animals. He said that he did not know if any of the BIG CATS were on were in or had been in "heat". He said that they did birth control on the cats. He was not aware of any medical problems with "Montecore". Dr. [REDACTED] stated that he would be at the compound on October 8, 2003, to visually examine "Montecore".

NAME OF PERSON DOCUMENTING INTERVIEW:
J. David Neal, Senior Investigator
Rhonda Smith, Investigator

SIGNATURE:
[Signature of Rhonda Smith]

DATE:
October 7, 2003

GOVERNMENT EXHIBIT
1-2
Page 1 of 1
APHIS FORM 7000 (04-01-03)

INTERVIEW LOG

USDA, APHIS, IES

NAME AND ADDRESS OF PERSON(S)
CONTACTED:

[REDACTED] DVM
&
and [REDACTED] DVM

DATE:
October 8, 2003

TYPE OF INTERVIEW (BY PHONE OR IN
PERSON):
In Person at the S & R Compound

ORGANIZATION:
Veterinarian for S & R Productions

TELEPHONE NUMBER:

[REDACTED]

SUBJECT:
To check on the health of Montecore

LOCATION OF INTERVIEW:
S & R Compound
Las Vegas, Nevada

SUMMARY:
At or about 13:00 hours, Rhonda Smith and I met with [REDACTED] and [REDACTED]. They were going to perform a visual examination on "Montecore". They made a physical examination of "Montecore" and checked the feeding schedule. They stated that they could see nothing wrong with "Montecore". Everything appeared to be normal. They could not give "Montecore" a complete examination until November, because they would have to knock him out. That would be time for his annual examination. In both of their professional opinions "Montecore" was healthy. They also said they could see no reason for the attack.

GOVERNMENT
EXHIBIT
1-D
Page 1 of 1
APHIS FORM 700 (MAY 92)

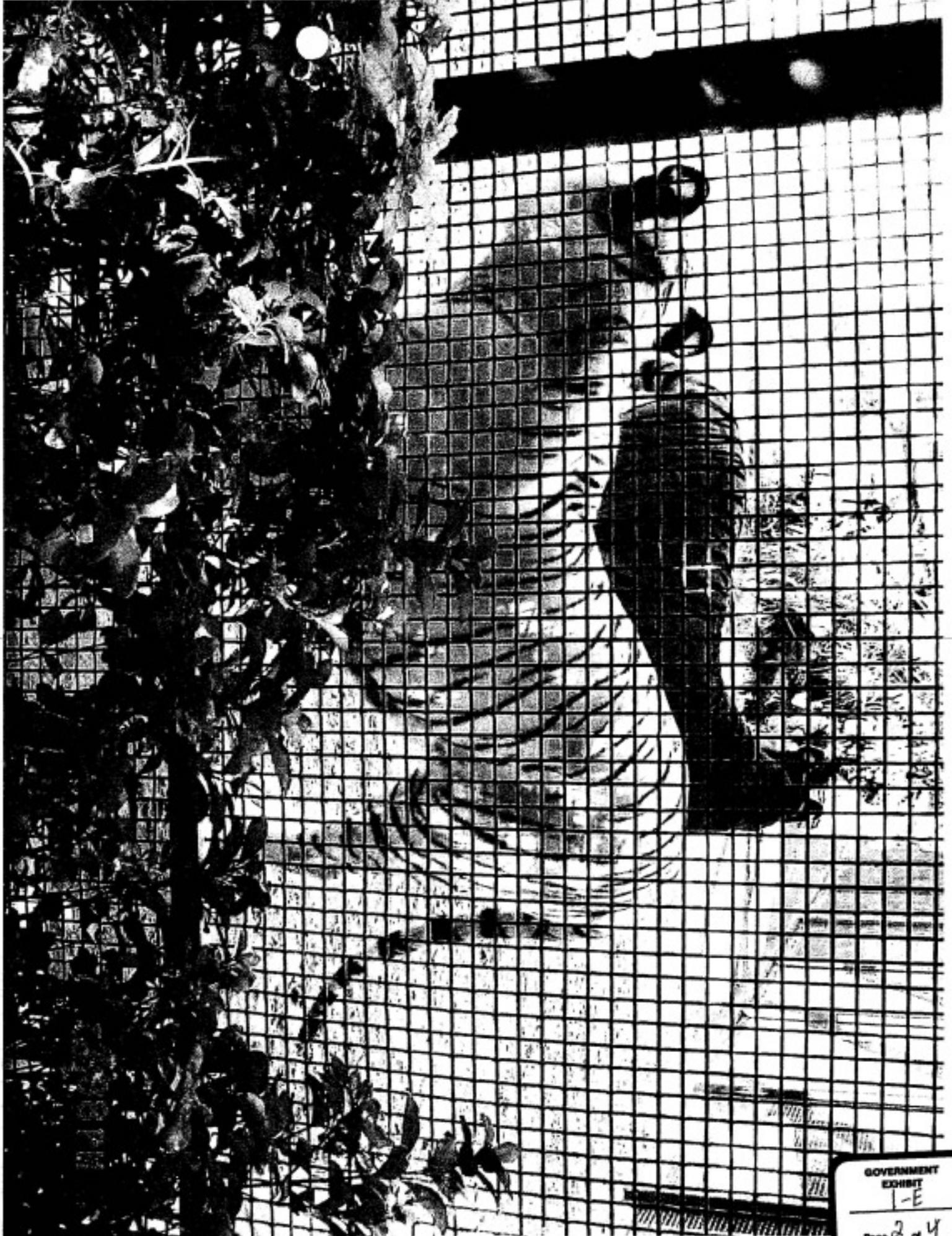
NAME OF PERSON DOCUMENTING
INTERVIEW:
J. David Neal, Senior Investigator
Rhonda Smith, Investigator

SIGNATURE:


DATE:
October 8, 2003



GOVERNMENT
EXHIBIT
1-E
Page 1 of 4
APHIS FORM 1075 (MAY 90)



GOVERNMENT
EXHIBIT
1-E

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APHS FORM 7010 (MAR 85)



GOVERNMENT

EXHIBIT

1-E

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APHS FORM 1070 (MAR 82)



GOVERNMENT
EXHIBIT

1-E

Page 4 of 4

APRIS FORM 703 (MAR 93)

4/12-7-11

OWNER NOT HOME 24 HR. NOTICE ISSUED	DATE	BY
TIME		

CLARK COUNTY -- ANIMAL CONTROL
ANIMAL BITE REPORT

OWNER OF ANIMAL: Ray Horn
 Address: same
 City: LV Zip: 89108 Phone: 697-2225
 Description of Animal: Siberian Bengal "Mantecora" Tiger
Wnt striped Tiger
 License: _____ Origin of Animal: Mexico
 Rabies Vaccination: NO YES Expiration Date: _____
 Quarantined At: 3400 LVBS Date: 10/3/03
 Animal's Condition Upon Release: _____
 Released From Quarantine By: _____ Date: _____
 Date Animal Died: _____ Or Killed: _____
 Date Animal Sent to Lab: _____
 Lab Report No. _____ Date: _____ Pos. Neg.

PERSON BITTEN: Ray Horn AGE: [redacted] yrs.
 Address: 1639 N Valley
 City: LV Zip: 89108 Phone: 697-2225 of
 Date Bitten: 10/3/03 Time: ~1945
 Address Where Bitten: 3400 LV BS
 Part of Body Bitten: [redacted]
 Extent of Bite: [redacted]
 How Bite Occurred: attacked while performing in show
 Treated By: [redacted]
 Address: Charleston Date: 10/3/03
 Report By: N/A Phone: _____
 Report Received By: [redacted] 10/3/03
 Victim Contacted: YES NO

YOUR ANIMAL HAS BEEN REPORTED TO HAVE BITTEN THE PERSON LISTED

To protect you and the person bitten, you are hereby required to isolate this animal in such a way it cannot contact any person or animal not already exposed. This animal must remain in quarantine until examined and released by an Animal Control Officer. If the animal becomes sick or dies, IMMEDIATELY notify Animal Control. The animal may not be removed from the premises without permission from Animal Control.

REASON FOR QUARANTINE: The subsequent health and behavior of the biting animal is very useful in making the decision whether or not the bitten person should receive vaccine treatment. A vaccinated animal may outline his immunity and contract rabies.

I have read, fully understand, and abide by these provisions. I also am aware that any violation of the QUARANTINE may lead to prosecution.

NOTE: Agreement to quarantine is not admission of liability or guilt.

OWNER'S SIGNATURE: [redacted]

CCAC #: 51233 Sign Posted
 DACC #: _____ CAGE #: _____
 INVESTIGATING OFFICER: [redacted] 9129

ANIMAL WILL BE EUTHANIZED IF NOT CLAIMED AT END OF QUARANTINE!

(Rev 8/01)



CLARK COUNTY ANIMAL CONTROL INFORMATION SHEET

ADDRESS: 3400 LVBS.

DATE	SUMMARY	OFFICER
10-3-03	spoke w/ [redacted] at UMC	
	trauma center trigger is at.	
	3400 LVBS:- advised to fax Rabies	9129
	vaccine info	
10-4-03	left message re: Rabies vaccine info	9129
10-5-03	posted sign on tigers cage/enclosure	
	-advised [redacted]	
	[redacted] of isolation/	
	quarantine procedure	9129
⇒	info [redacted]	
	[redacted]	
	returning from Europe	10-6-03 Over

All redactions on this page are pursuant to (b)(7)(c).

GOVERNMENT
 EXHIBIT
1-G
 Page 2 of 2
 ANSIS FORM 7210 (MAR 82)

"COPY"

INVESTIGATIVE INQUIRY

INJURY OF ROY HORN

October 3, 2003

**UNLAWFUL DISSEMINATION of this
Restricted Information is PROHIBITED.
Violation will subject the offender
to Criminal and Civil liability.**
Rel. To: DAVID NEAL
Date: DEC 17-2003
Las Vegas Metropolitan Police Department
By: HSB OPS



Synopsis:

LVMPD Detectives assigned to the Homeland Security Operations Section were assigned to perform an investigative inquiry into the injury of Roy Horn during the Siegfried and Roy (S & R) show performed at the Mirage hotel on 10-03-03. Mirage Hotel [REDACTED] believed that person(s) unknown had intentionally and maliciously distracted "Montecore" which resulted in the attack on Roy Horn causing substantial bodily harm.

[REDACTED] provided the following information which leads him to believe that criminal conduct lead to the distraction of the tiger and the subsequent attack on Roy Horn.

[REDACTED] alleges:

That a women seated at table B-1, seat #4, having what was described as a "beehive hairdo", possibly being 6' tall, might be responsible for distracting the tiger. The tiger went directly to the women and was "inches" from her face. It also appeared that she either touched or attempted to touch the tiger.

Further, when Montecore reached his mark he "sneezed", it is at this point that the tiger is distracted and no longer part of the show. Some type of "scent" was introduced causing the tiger to react the way it did.

Assertions:

- #1.) When Montecore reaches his mark on the stage he "sneezes".
- #2.) That Montecore went directly to seat# 4 at table B-1.
- #3.) That Montecore was within inches of a female seated at table B-1, seat# 4 and the female touches or attempts to touch the tiger.
- #4.) The female, seated in seat# 4, is described as having a "beehive hairdo", possibly being 6' tall.
- #5.) Tiger was distracted by a "scent" which caused it to key on tables B-1 and B-3.
- #6.) That person(s) unknown released or used a "scent" to change the tigers normal behavior.
- #7.) Introduction of a "scent"



As of this date, and with the information provided to us by the Mirage hotel, the following information was discovered or uncovered as a result of this inquiry.

#1.) When Montecore reaches his mark on the stage he "sneezes".

Inquiry revealed:

- [REDACTED] viewed the video of the fateful show, It was his opinion that Montecore "sneezed" possibly from some unknown "scent" emitting from the audience, causing the tiger to become disoriented.
- [REDACTED] stated that if a "scent" was present such as, some type of pheromones, menstrual blood, new perfume or concentrated male or female tiger urine this could cause a negative reaction from Montecore.
- [REDACTED] also stated that these tigers are introduced to new "scents" all the time.
- Animal Handler [REDACTED] and Compound Manager [REDACTED] both stated they have over 10 years experience around these tigers. They said that urine, hair spray, food, perfumes, colognes, spices, blood and other animal scents can effect these animals. *Both viewed the video and agree that Montecore picked up a "scent"*.
- It is unclear if Montecore actually "sneezed". Roy Horn was wearing a very sensitive microphone and no audible noises associated with sneezing could be heard. Upon viewing the video, when Montecore stops along side Roy Horn there is a definite "head shake" which can be interpreted as a "sneeze".
- [REDACTED], stated that tigers sometimes shake their heads for no reason.
- [REDACTED] also said that occasionally Roy Horn would "tickle" the tiger behind the ear, which would cause them to shake their head, because he, "Roy", thought it looked "cute" to the audience.



#2.) That Montecore went directly to seat# 4 at table B-1.

Inquiry revealed:

- After countless reviews of the fateful (seconds) video at normal speed, slow speed, freeze frame it was determined:
 - A.) Initial interpretation of the video was that Montecore went directly to a person seated at table B-1, seat# 4. Forensics examination revealed that Montecore was approximately 76 ½", (6' - 4 ½"), away from the center of that seat.

#3.) That Montecore was within inches of a female seated at table B-1, seat# 4 and the female touches or attempts to touch the tiger.

Inquiry revealed:

- Forensics examination revealed Montecore was approximately 76 ½", (6' - 4 ½"), away from the center of seat# 4. The female could not have physically touched "Montecore".

#4.) The female, seated in seat# 4, is described as having a "beehive hairdo", possibly being 6' tall.

Inquiry revealed:

- The female seated in [REDACTED] has been identified as [REDACTED]. [REDACTED] has an [REDACTED] Driver license, which describes her as a white female, 5'-2", 110 lbs, brown hair, brown eyes.
- The female seated in [REDACTED] has been identified as [REDACTED]. [REDACTED] also has an [REDACTED] Drivers License, which describes her as a white female, 5'-2", 175 lbs, brown hair, blue eyes.
- LVMPD detectives learned that [REDACTED] purchased seats# [REDACTED] for himself and friends. The [REDACTED] and [REDACTED] are friends.
- LVMPD detectives interviewed [REDACTED] who told us his wife does not have anything close to resembling a "beehive hairdo".



- LVMPD detectives obtained [REDACTED] Drivers license photographs of [REDACTED] and [REDACTED] which confirmed their physical descriptions.

#5.) Tiger was distracted by a "scent" which caused it to key on tables B-1 and B-3.

Inquiry revealed:

- After countless reviews of the fateful (seconds) video at normal speed, slow speed, freeze frame it was determined:
 - A.) Montecore never "keyed" at any table or person in the pit area where the A and B tables were located.
 - B.) From the camera angle at which the incident was recorded it was determined that Montecore never focused his attention at tables B-1 or B-3. What is clear on the video is that Montecore's head was pointing in the direction of the stage right.
- LVMPD detectives interviewed eight persons seated at table B-1. All persons related seeing no suspicious activity and noted no strange or unfamiliar odors during the show.
- LVMPD detectives interviewed six persons seated at table B-3. All persons related seeing no suspicious activity and noted no strange or unfamiliar odors during the show.

#6.) That person(s) unknown released or used a "scent" to change the tigers normal behavior.

Inquiry revealed:

- LVMPD detectives interviewed eight persons seated at table B-1. All persons related seeing no suspicious activity and noted no strange or unfamiliar odors during the show.
- LVMPD detectives interviewed six persons seated at table B-3. All persons related seeing no suspicious activity and noted no strange or unfamiliar odors during the show.



- LVMPD detectives interviewed four persons seated at table B-2. All persons related seeing no suspicious activity and noted no strange or unfamiliar odors during the show.
- LVMPD detectives interviewed four persons seated at table C-4. All persons related seeing no suspicious activity and noted no strange or unfamiliar odors during the show.
- LVMPD detectives interviewed one person seated at table C-2. That person related seeing no suspicious activity and noted no strange or unfamiliar odors during the show.
- LVMPD detectives interviewed two persons seated at table A-1. All persons related seeing no suspicious activity and noted no strange or unfamiliar odors during the show.
- LVMPD detectives interviewed two persons originally seated at table B-9. Moved to B-7.

Guest: [REDACTED] stated he observed a fine "mist" and noticed a "musk" type odor just prior to the attack. The "mist" was described as 6" in diameter emitting out approximately 3'. The "mist" appeared to be coming from the C-1 or C-3 tables.

Guest: [REDACTED] stated that she did not see a "mist" but smelled a "musk" type odor. [REDACTED] stated that her husband said, "did you see the cat spray".

- LVMPD detectives interviewed nine persons seated at table C-3. All persons related seeing no suspicious activity and noted no strange or unfamiliar odors during the show.
- LVMPD detectives interviewed one person seated at table C-2. The person related seeing no suspicious activity and noted no strange or unfamiliar odors during the show.
- LVMPD detectives were unable to locate, identify or interview any person(s) from table C-1. The Bellagio Hotel was unable to produce any information on this table other than [REDACTED]



- None of the patrons interviewed could be identified as belonging to any extremist or terrorist type groups.
- Several patrons interviewed stated they heard low growls from Montecore as he stepped through the stage curtain to start his act and again when he failed to lay down on his mark.
- [REDACTED] told detectives that had a "scent" been released there would be no way to predict how a given tiger would react. The tiger's reaction would be unpredictable.

#7.) Introduction of a "scent".

Inquiry revealed:

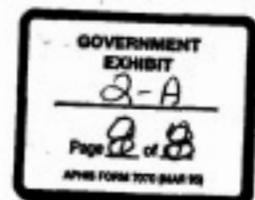
- Montecore has performed the "Rapport Act" approximately 2000 times without incident.
- Montecore performed the "Sarmoti Box Act" approximately 5 minutes before the "Rapport act" without incident
- Not one handler or trainer observed any peculiar behavior of Montecore prior to the fateful incident.
- S&R animal handler [REDACTED] stated that large tigers like Montecore have a sensitive sense of smell and can detect odors as far away as 2 miles. When a tiger hits on a "scent", the tiger will further sense the "scent" by means of "flemming". [REDACTED] stated that tigers have scent glands in the back of their throats. He described "flemming" as licking the scent area then rolling their tongues backwards so they can identify the scent.
- At no time on the video is Montecore observed with an open mouth attempting to identify a "scent".
- No other tigers in the back stage area or in other holding areas reacted to a "scent" during the time Montecore became disoriented and attacked Roy Horn.



- Evidence that a scent was introduced during Montecore's act is *inconclusive*.
- LVMPD detectives were unable to determine what caused Montecore to deviate from the "Rapport Act".

(b)(7)c

LVMPD Homeland Security Bureau





HOMELAND SECURITY
Las Vegas Metropolitan Police
Department
400 East Stewart Avenue
Las Vegas, Nevada 89101-2984

M1 JGJFANF

45040



DAVID NEAL
3658 E. CHIPMAN ROAD
PHOENIX, AZ 85040

XX PREFORTED FIRST CLASS XX

GOVERNMENT
EXHIBIT
D-B
Page 1 of 1
APR 98 FORM 7870 (BAA) (S)

Michael R Ray

02/17/04 06:04 PM

To: J David Neal/AZ/APHIS/USDA@USDA

CC:

Subject: NV04001-AC

Here's what I remember of the video tape we looked at in Vegas.

Roy and cat coming out onto walkway. Lighting was poor and video was fairly dark and not clear.

Appeared that cat missed mark when Roy stopped. Can not see audience because of darkness.

Roy appeared to be trying to force cat down. Stood in front of cat and was pushing down on cat. Heard cat growl and Roy popped cat on head with microphone. Cat appeared to put front legs around Roy's legs and pull him down to stage floor. Five to six people come out of various areas of stage and try to help Roy. Cat grabbed onto Roy and did not appear to ever let go. Sounds heard appeared to be handlers hitting at cat to let Roy go and sounds indicate cat was being hit with microphone. Cat drags Roy off stage.

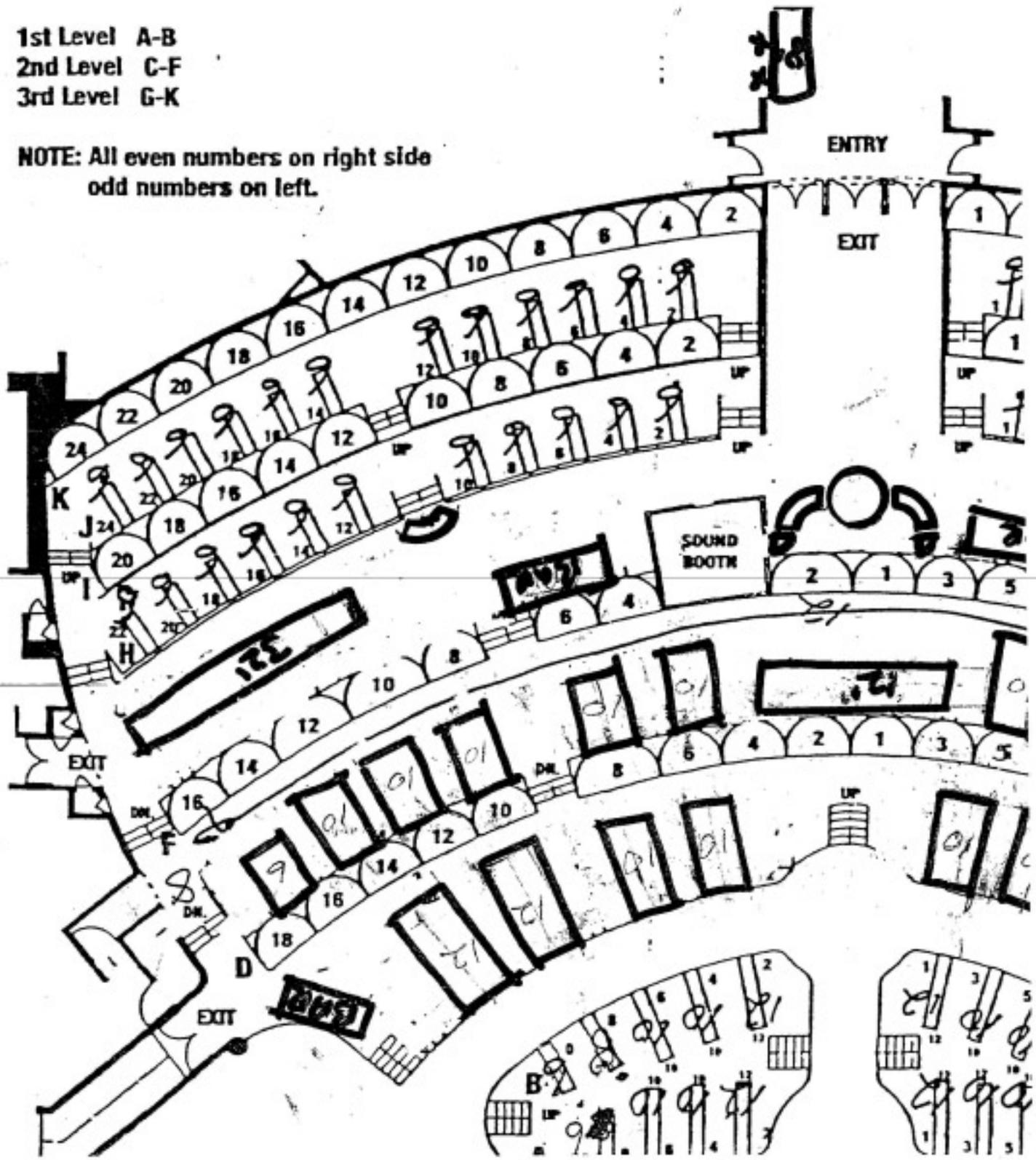
That's about all I remember but would be able to write this up a little better if it's needed.

Mike R.



1st Level A-B
 2nd Level C-F
 3rd Level G-K

NOTE: All even numbers on right side
 odd numbers on left.





United States
Department of
Agriculture

Animal and Plant
Health Inspection
Service

Animal Care
Western Region

2150 Centre Avenue, Bldg. B
Mailstop 3W11
Fort Collins, CO 80528-8117
Phone: 970/494-7478
Fax: 970/494-7460

March 16, 2004

I attended the Siegfried and Roy show in Las Vegas on April 29, 2003.

During the show, I observed at least 3 instances when tigers were on stage without being leashed or confined in an enclosure (ie., they were not restrained in any manner). During those instances, the tigers would "appear" as part of a magic trick and immediately run off-stage – presumably to a waiting handler.

There were at least 2 other instances when tigers were sitting or lying on platforms on the stage and it was not possible to tell if they were anchored to the platforms by tethers or some other device. No barriers could be seen.

There were at least 2 other instances when leashed lions or tigers were on stage or the walkway with Mr. Horn (Mr. Horn was holding the leash). No barriers were in place.

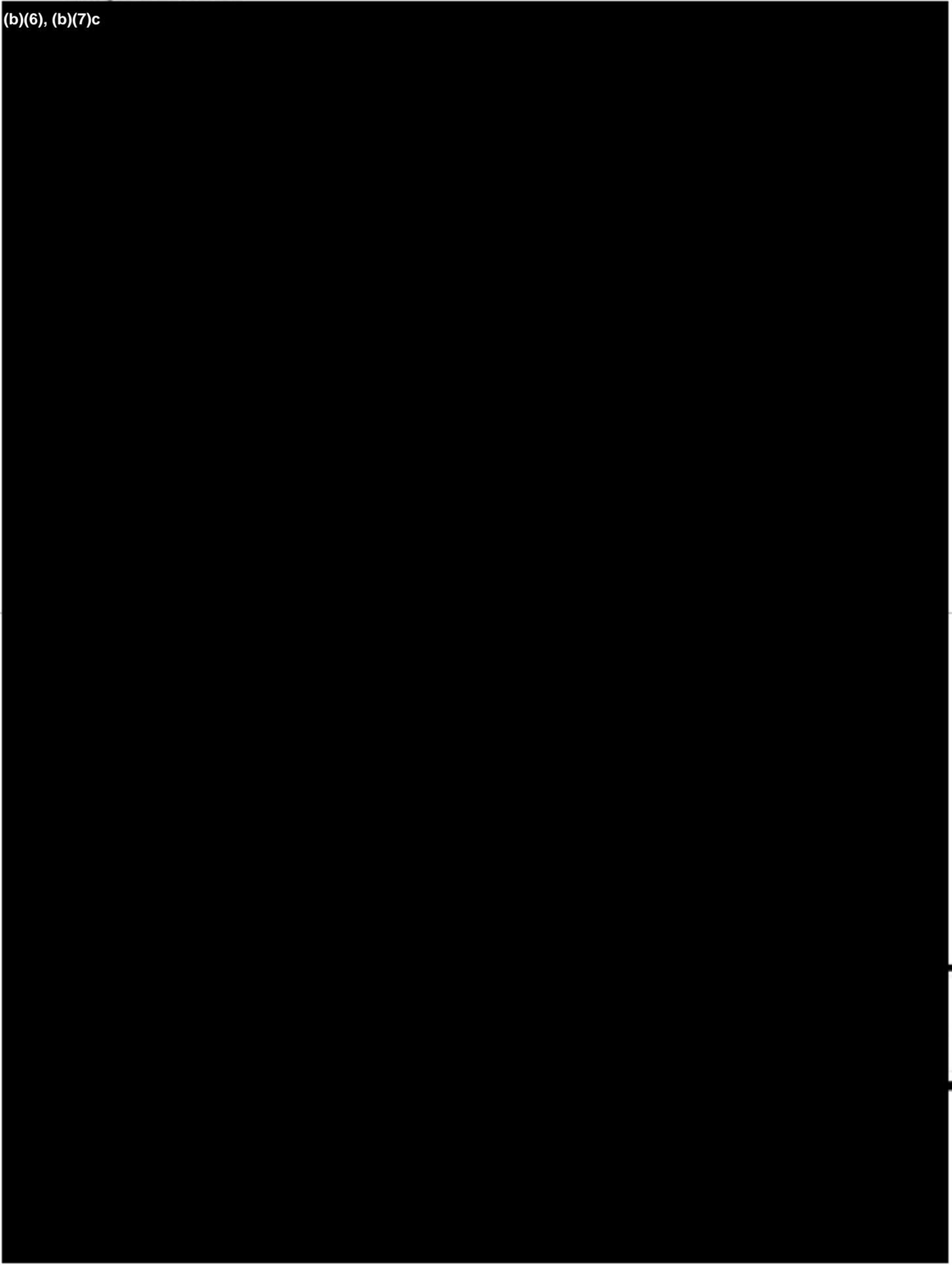
I could not see any barriers between the big cats and the viewing public at any time during the show. There were lights that lined the edge of the stage and the walkway, which may have made it difficult for the cats to see the audience. The first rows of tables/seats (where the viewing public was seated) were within a few feet of the stage and walkway. The stage appeared to be elevated about 6 feet above the level of the audience. The big cats could have easily jumped off the stage and into the audience.

3/16/04

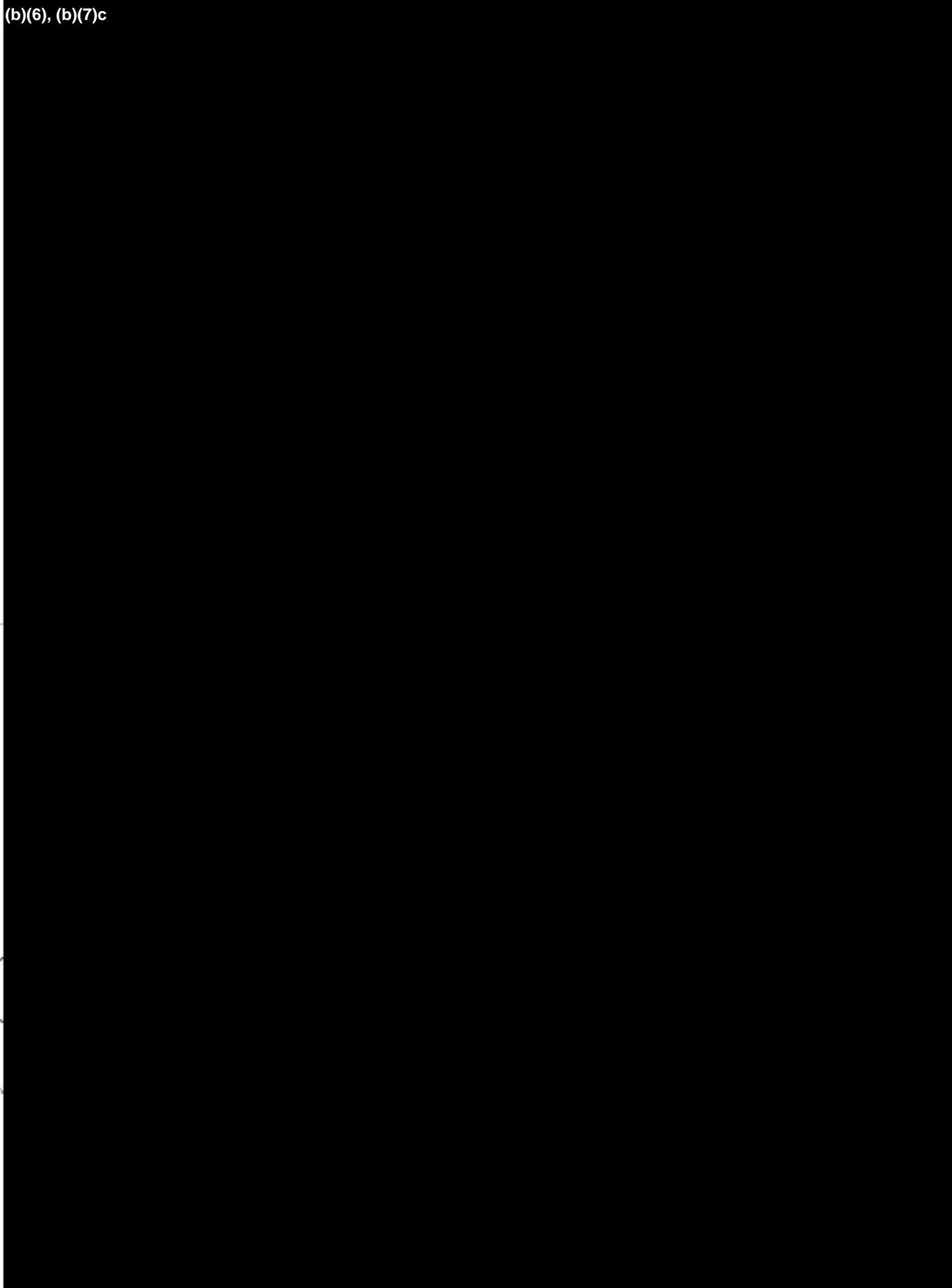
Robert M. Gibbens, DVM
Director, Western Region
USDA, APHIS, Animal Care



(b)(6), (b)(7)c



(b)(6), (b)(7)c



INTERVIEW LOG USDA, APHIS, IES	NAME AND ADDRESS OF PERSON(S) CONTACTED: Dr. Ellen Magid 920 Main Campus Drive Raleigh, North Carolina 27606	DATE: January 22, 2004
-------------------------------------------------	-----------------------------------------------------------------------------------------------------------------------	------------------------

TYPE OF INTERVIEW (BY PHONE OR IN PERSON): In Person	ORGANIZATION: USDA, APHIS, AC	TELEPHONE NUMBER: 919-716-5589
SUBJECT: NV04001-AC		LOCATION OF INTERVIEW: Work Office of Interviewee

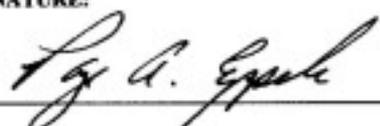
SUMMARY:

On the afternoon of January 22, 2004, I interviewed Dr. Ellen Magid, [REDACTED] [REDACTED] at the USDA, APHIS, Eastern Regional Office in Raleigh, North Carolina, as requested by Investigator David Neal. Dr. Magid is one of the Supervisory Animal Care Specialist, for Animal Care in Raleigh, North Carolina.

Dr. Magid stated that she did attend the evening show of Siegfried and Roy on April 29, 2003, in Las Vegas, NV. She said that she and Dr. Carter-Corker both purchased tickets prior to the show and was attending for pleasure and entertainment. She did not remember any of the animals names that were used that evening nor did she see any animals out of control. At times there are no physical barriers between animals and the public, but was not sure of the distant the cats were from the front rows of the audience. When asked if she saw Roy or Siegfried walk the big cats without a leash. Dr. Magid replied that at one point she saw Siegfried or Roy (she doesn't remember which) walking beside a cat with hand in collar around neck of cat. Dr. Magid also said that there appeared to be plenty of people involved with the program and working in the background.

[REDACTED]

GOVERNMENT EXHIBIT
2-4
Page 1 of 1
APHIS FORM 1070 (JUNE 98)

Page A. Epple Senior Investigator North Carolina	SIGNATURE: 	DATE: January 23, 2004
--------------------------------------------------------	---------------------------------------------------------------------------------------------------	---------------------------



Animal and
Plant Health
Inspection
Service
Investigative and
Enforcement
Services

USDA, APHIS, IES
920 EASTERN REGION
MAIN CAMPUS DRIVE, SUITE 200
RALEIGH, NC 27606
(919) 716-5618

Memorandum

TO: J. David Neal

FROM: Page A. Epele
cc: Herbert Jordan

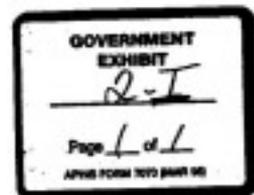
DATE: January 30, 2004

SUBJECT: Additional Information Request for NV01001-AC

Enclosed is the information you requested from North Carolina involving the above case. In addition I am also providing the information you requested from Frank Keyser (Maryland) involving Dr. Watkins. Circumstances were such that Dr. Watkins was in North Carolina last week and I was able to obtain an affidavit from him pertaining to the above case.


Page A. Epele
Senior Investigator, IES
North Carolina

Enclosures (3)



2003 10 29

GOVERNMENT
EXHIBIT

2-J

Page 1 of 9

AFHS FORM 700 (MAR 92)

2003 10 29

GOVERNMENT
EXHIBIT

2-5

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APR 98 FORM 2025 (2003 08)



2003 10 29

(b)(6)

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2003 10 29

GOVERNMENT
EXHIBIT
25
Page 4 of 9
APHIS FORM 7570 (MAY 95)



2003 10 29

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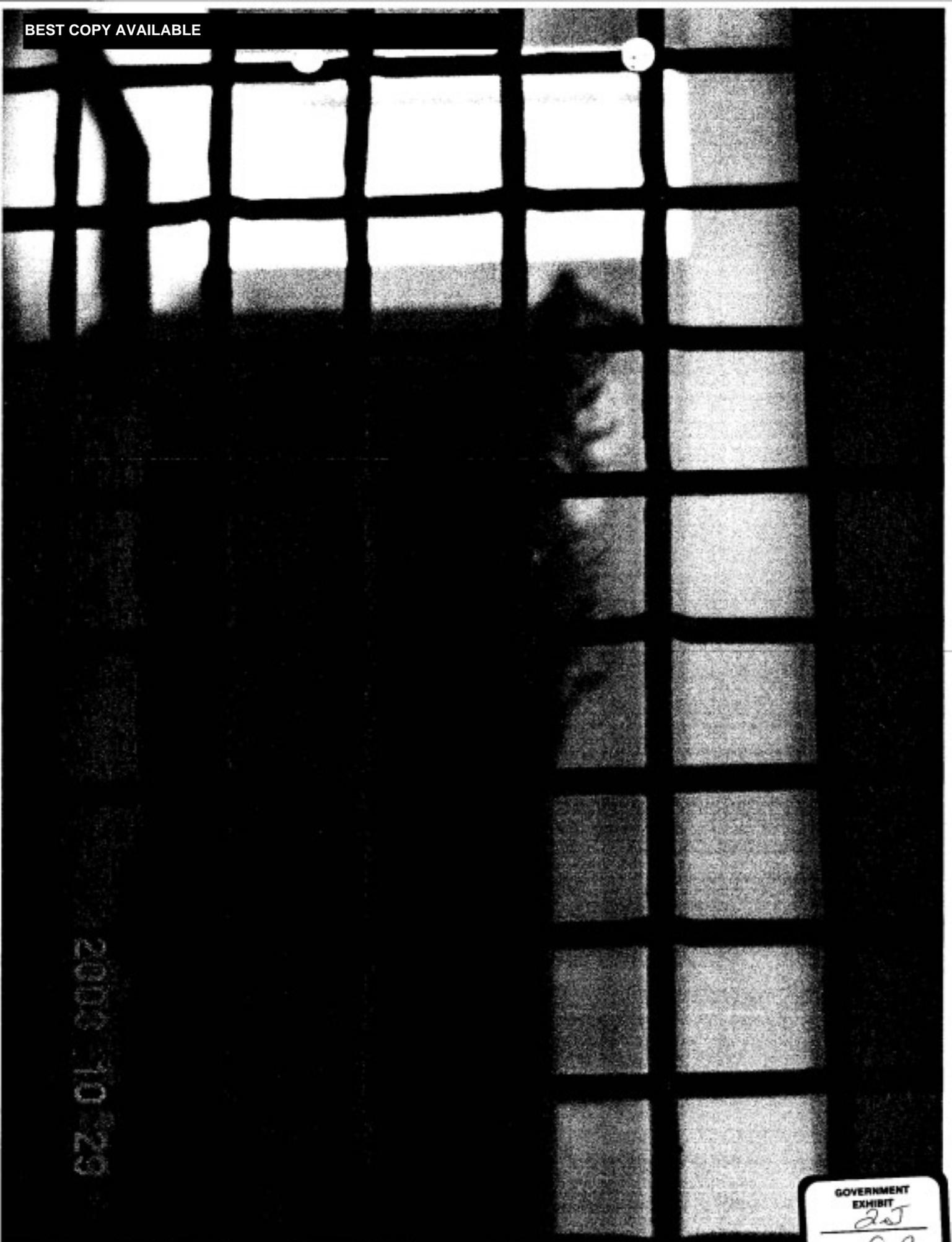
2003 10 29

GOVERNMENT
EXHIBIT
2-5
Page 7 of 9
AFHS FORM 7070 (MAR 90)



2003 10 29

GOVERNMENT
EXHIBIT
2-5
Page 8 of 9
NPS FORM 750 (MAY 60)



2008-10-29

GOVERNMENT
EXHIBIT
20J
Page 9 of 9
AFHS FORM 7070 (MAY 85)

All redactions on this page are pursuant to (b)(7)(c).

Mirage Hotel

Incident Report

Related DR #: 20033552

Date of Report: 10/3/03 **Time of Report:** 8:20 PM

Date of Incident: 10/4/03 **Time of Incident:** 8:20 PM

Type: People/Public Order

Name(s): Roy Horn

Address: 3400 Las Vegas Blvd S

City: Las Vegas **State/Zip:** NV 89109

Phone(s): (702) 791-7111 / N/A

of Photos: 0

Details of Investigation:

At 8:20 pm Security was notified of an injury to Roy Horn which occurred while in the Sigfried and Roy Theatre. Mr. Horn was transported to University Medical Center by Southwest unit 116.

On Friday 10/3/03 at approximately 8:20 pm Security was notified by Director of Entertainment [redacted] of an injury to Mr. Roy Horn in the Sigfried and Roy Theatre. Medical assistance was requested. A second call was recieved by security from Sigfried and Roy Production Manager [redacted] while a third call came in to security from [redacted]. Shift Manager [redacted] and Officer [redacted] arrived on scene approximately 8:21 pm with the AED bag and oxygen. Mr. Horn was placed on oxygen via face mask at 15 lpm by Shift Manager [redacted]. Supervisor [redacted] arrived on scene approximately 8:22 pm as Clark County Fire Department Rescue 12 also arrived on scene. Southwest Medical unit 116 arrived approximately 8:23 pm. Approximately 8:25 pm Mr. Horn was transported to University Medical Center with an injury to his neck and shoulder area.

Director of Security [redacted] was notified and arrived to the Hotel. Assistant Director of Security [redacted] was also notified and on scene.

Nothing further. [redacted]

Investigating Officer: [redacted]

[redacted]



All redactions on this page are pursuant to (b)(7)(c).

Mirage Hotel

Blotter Entry

Date: 10/3/2003

Entry Number: 239

Time: 20:20:19

Info From: [REDACTED]

DR # 20033552

Recorded By: [REDACTED]

Message Text

Roy Horn bitten by tiger @ S&R Theater
 M-1, 3, 4, 17, 63, [REDACTED] Disp @ 2020
 10-97 @ 2021
 10-98 @
 C.C.F.D. rescue #12 10-97 @2022
 Southwest unit #116 10-97 @2023
 transport to U.M.C. Hospital
 PBX advised [REDACTED] And Public Relations Notified 10-85 to U.M.C. @ 2050hrs.
 [REDACTED] @-2037
 [REDACTED] @ 2040
 [REDACTED] @ 2040





SECURITY DEPARTMENT

DR #: 20033552

DATE: 10/4/03

GUEST/EMPLOYEE STATEMENT

NAME: [REDACTED] ID #: [REDACTED]

ADDRESS: _____

ON 10/4/03, I [REDACTED] WAS WORKING IN THE MIRAGE SECURITY MONITOR ROOM. AT APPROX 2020 HRS I RECEIVED A CALL ON THE 6911 EMERGENCY LINE. THE CALL CAME FROM THE PRODUCTION MANAGERS OFFICE. THE PERSON IDENTIFIED HIMSELF AS THE PRODUCTION MANAGER TOM SIEGRIED AND I ASKED HE STATED THEY NEEDED PARAMEDICS IMMEDIATELY. I ASKED WHAT WAS THE PROBLEM. HE STATED THAT ROY HORN HAD BEEN BITTEN BY A TIGER. AT THIS TIME HE HUNG UP. I IMMEDIATELY PICKED UP THE RED PHONE AND NOTIFIED THE CLARK COUNTY FIRE DEPARTMENT. I GAVE THE CCFD DISPATCHER THE INFORMATION THEY REQUESTED. AT APPROX 2022 I RECEIVED 2 MORE CALLS FROM SIEGRIED + ROY PENSENBERG ON THE 6911 LINE. THE SECOND CALL I RECEIVED WAS FROM [REDACTED]

[REDACTED]
SECURITY OFFICER'S SIGNATURE

[REDACTED]
GUEST/EMPLOYEE SIGNATURE



NARRATIVE CONTINUATION

DR # 20032552

PAGE 02 OF 02

CRYING AND BEGGING ME TO GET THE PARAMEDICS
TO RESPOND FASTER. I NOTIFIED [REDACTED] THAT
PARAMEDICS WERE IN THE VALLEY AND WOULD BE
THERE SHORTLY.

OFFICER NAME

ID #



GOVERNMENT
EXHIBIT
3 B
Page 2 of 2
APR 85 FORM 1070 (REV. 84)



DR# 20033552
DATE October 3, 2003
PAGE 1 OF 1

GUEST/EMPLOYEE STATEMENT

NAME: [REDACTED] ID# [REDACTED]

ADDRESS: _____

At approximately 8:20 pm on Friday, October 3, 2003 I opened the gate at the ANIMAL Compound for CCFD RESCUE Unit #12. At approximately 8:23 pm I then opened the ANIMAL compound gate for Southwest MEDICAL unit #116. I was then instructed to keep the gate opened until all rescue units departed the scene. Nothing further at this time [REDACTED]

GOVERNMENT EXHIBIT
3.C
Page 1 of 1
APRS FORM 750 (MAR 02)

[REDACTED]
SECURITY OFFICER'S SIGNATURE AND ID#

[REDACTED]
GUEST/EMPLOYEE SIGNATURE

10/3/03

NARRATIVE CONTINUATION

DR # 20033552

PAGE ____ OF ____

At approximately 8:20 PM I was preparing to walk up the back alley towards the Elephant Habitat when a stage hand rushed out of the S-4 door. He was waving and motioning me to come down to the door. I walked down and he said, "Roy's been hurt by a tiger. He said, again as I approached that Roy got bitten by a tiger and that an ambulance was called for. I immediately radioed the main monitor room but couldn't get through because radio traffic was being dispatched about paramedics rolling and that an accident occurred at the S+R theater. Several stage hands were rushing out into the back alley running up to me telling me about the accident. Some were in distress and saying it was bad.

At approximately 8:30 the first Paramedic Unit C.C.F.D. Unit #12 arrived on the scene. I directed the unit.

OFFICER NAME

GOVERNMENT
EXHIBIT

3-D

Page 1 of 2

AFHS FORM 700 (MAY 92)

NARRATIVE CONTINUATION

DR # 20033552

PAGE 2 OF 2

to S. #4 where stage hands were going to guide them to where Roy Horn was. Several minutes later Southwest Ambulance arrived I believe it was Unit #16. I directed the unit to the S#2 doors. Several stage hands guide them into the theater.

Several stage hands were minding out in the back alley talking about the accident.

10 to 15 minutes later Southwest paramedics and CCFD paramedics brought Roy Horn out on a gurney and wheeled him into the ambulance. Both S.West and C.C.F.D left with Roy Horn in the Southwest ambulance.

I then proceeded to stop the elephant train from walking GILDA THE ELEPHANT down the back alley until all the traffic left.

SIGNATURE

[Redacted signature area]



DATE: 10/03/03
DR 20033552

EMPLOYEE STATEMENT

Name: _____ EMP# _____

ADDRESS: The Mirage

Tonight on 10/03/03 at approximately 8:20 pm I responded to the S&R Theatre for a report of Mr. Roy Horn being bitten by a tiger along with _____
_____ Upon arrival show staff were applying direct pressure to the right side of Mr. Roy's neck. Which was bleeding profusely. I set up the oxygen at 15 liters via mask. Which was applied by a stagehand. CCFD & Southwest Ambulance arrived, and immediately transported Mr. Roy to UMC.





DR# 20033552

DATE 10-3-03

PAGE 1 OF 1

GUEST/EMPLOYEE STATEMENT

NAME: [REDACTED] ID# [REDACTED]

ADDRESS: _____

On 10-3-03 I was posted at the habitat near CP4 I was dispatched to back stage because Roy was injured I responded to the S&R theater picked up the AD bag & oxygen meet u. with [REDACTED] we both responded upon arrival [REDACTED] began started oxygen nothing further [REDACTED]

GOVERNMENT EXHIBIT 3-F Page 1 of 1 AHS-FORM 702 (MAR 92)

[REDACTED] SECURITY OFFICER'S SIGNATURE AND ID#

[REDACTED] GUEST/EMPLOYEE SIGNATURE



DR# 7003552

DATE 10/3/13

PAGE 1 OF 1

GUEST/EMPLOYEE STATEMENT

NAME: [REDACTED] ID# [REDACTED]

ADDRESS: _____

On Friday 10-3-03 at 2020 hrs. I responded to the S&R Theater for a report of Mr. Horn having been bitten by a tiger. Arriving on scene there were several S&R Employees around Mr. Horn and Secur [REDACTED] At this time CCFD & SW were already on property but not on scene. One employee had a large laceration on Mr. Horn's neck E3 was also being administered. When CCFD and SW arrived on scene they quickly within a few minutes they lifted Mr Horn up and on the gurney I was holding the E3 at this time and switched the line to SW O2 tank Mr Horn was then taken out and transported UMC. Nothing further.

GOVERNMENT EXHIBIT
3-G
 Page 1 of 1
 APHS FORM 7070 (REV 02)

[REDACTED]
SECURITY OFFICER'S SIGNATURE AND ID#

[REDACTED]
GUEST/EMPLOYEE SIGNATURE



DR# 20033552

DATE 10/3/03

PAGE 1 OF 1

GUEST/EMPLOYEE STATEMENT

(Mr. Roy's Pres)

NAME: [REDACTED] ID# [REDACTED]

ADDRESS: [REDACTED]

I was standing center stage behind the curtain.
 I saw that the tiger was ~~in~~ with on laying down and
 not walking the stage. The tiger then got a hold
 off Mr. Roy's hand that had his mic in it.
 Mr. Roy ~~was~~ was able to make the tiger let go
 of this hand. Mr. Roy started to hit the tiger
 on the nose w/ mic. That's when the tiger
 knocked Mr. Roy down on his back.
 The tiger dragged him to the back stage left
 dome, where handlers tried to free Mr. Roy.
 They use a fire extinguisher & and then
 the tiger let go of Mr. Roy. The animal
 handlers then put the tiger into his her cage.
 Mr. Roy was laying down w/ backstage
 hands helping him till help arrived

the tiger grabbed took Mr. Roy by the neck ~~and~~

GOVERNMENT
 EXHIBIT
3-H
 Page 1 of 1
 AFHS FORM 705 (MAY 02)

[REDACTED]

SECURITY OFFICER'S SIGNATURE AND ID#

[REDACTED]

GUEST/EMPLOYEE SIGNATURE



DR# 20033552

DATE 10/3/03

PAGE 1 OF 1

GUEST/EMPLOYEE STATEMENT

NAME: [REDACTED] ID# [REDACTED]

ADDRESS: [REDACTED]

During the Show, while Mr Roy is down stages with me at core I was behind dome. When I heard Show Stop and a loud noise as I came around dome 7c mouth core. (Cat) was dragging Mr Roy by the neck to stage left. Cat tried to drag Mr Roy in to transport. [REDACTED] shot of fire extinguisher. The cat let Mr Roy go at that time I grabbed Mr Roy by his legs and pulled him away from cat and began to apply pressure to neck wound with my shirt and try to try to keep Mr Roy calm. At that time [REDACTED] and other stagehands were trying to help. When oxygen finally arrived I tried to give oxygen to Mr Roy the wound on neck was bleeding in to [REDACTED]. Then paramedic came in and I moved away.

GOVERNMENT EXHIBIT
3-I
Page 1 of 1
AFHS FORM 100 (MAY 92)

[REDACTED] SECURITY OFFICER'S SIGNATURE AND ID#

[REDACTED] GUEST/EMPLOYEE SIGNATURE



DR# 20033552

DATE 10/3/03

PAGE 1 OF 1

GUEST/EMPLOYEE STATEMENT

NAME: _____ ID# _____

ADDRESS: _____

Roy walked montalcore Down the Passafell
CAT Bit Roy ON (lower ^{HAND THEN} LEG) Roy Hit cat
with microphone Fell Back Then Kicked
with His Boot AT The crouching CAT
Then The CAT SPRANG ON him AROUND
NECK AREA AND DRAGED Roy Stage left
Behind Ball Fringed curtain Some one
grabbed A1 Fire Extinguisher AND
USED it to FREE Roy From The CAT

GOVERNMENT
EXHIBIT
3-J
Page 1 of 1
APR 88 FORM 7075 (MAY 92)

SECURITY OFFICER'S SIGNATURE AND ID#

GUEST/EMPLOYEE SIGNATURE

DR# 20033552

DATE 10/3/03

PAGE 1 OF 1



GUEST/EMPLOYEE STATEMENT

NAME: [REDACTED] ID# [REDACTED]

ADDRESS: [REDACTED]

MR. Roy let manticor go to sit down. When the cat didn't sit. MR Roy went to the opposite side (RIS) the cat still wouldn't sit. The cat bit down on MR. Roy's hand, MR Roy hit the cat on the snout several time and the cat did not release. In the process MR. Roy fell down that's when the cat jumped on MR. Roy pushing them upstage. Same where the cat grab a hold of MR. Roy by the neck area and took off dragging him from center stage to stage left!

GOVERNMENT EXHIBIT 3-K Page 1 of 1 AHS FORM 700 (MAR 03)

[REDACTED] SECURITY OFFICER'S SIGNATURE AND ID#

[REDACTED] GUEST/EMPLOYEE SIGNATURE



DR# 20033552

DATE 10/3/03

PAGE 1 OF 1

GUEST/EMPLOYEE STATEMENT

NAME: [REDACTED] ID# [REDACTED]

ADDRESS: [REDACTED]

I did not see what Happend in the Very Begining
 I set my First cue And turned to Look At
 The Stage. First I saw Mr. Roy Sitting on the
 Ground with the cat looking At Him. Then Mr.
 Roy Popped the cat on the NOSE with the
 Microphone. The cat reached For Mr Roy Again,
 and Mr Roy Hit the cat A few more times.
 The cat then went For Mr. Roys Neck Area.
 The cat Drug Him From The Down stage Bubble
 (Passerella) to Off Stage left under the GL Dome
 Panel. By then most of the Animal crew, IA not
 All of them were there under GL, Also the crew
 Had an animal transport there. Some one Called For
 A fire Extinguisher And From there, It All Became
 A Blurc.

I saw towels Full of Blood, People
 Running Every where And Finally the paramedics
 Arrived.

GOVERNMENT
 EXHIBIT
 3-L
 Page 14
 of 14

[REDACTED]
SECURITY OFFICER'S SIGNATURE AND ID#

[REDACTED]
GUEST/EMPLOYEE SIGNATURE



DR# 10/02/03

DATE _____

PAGE 1 OF 3

GUEST/EMPLOYEE STATEMENT

GOVERNMENT EXHIBIT 3-6 Page 2 of 4 APHS FORM 7070 (MAR 95)

NAME: [REDACTED]

ID# [REDACTED]

ADDRESS: [REDACTED]

After Roy was on the ground I saw him hitting the tiger and hitting the tiger with the microphone. The tiger then lunged at Roy biting him in the neck or shoulder area. [REDACTED] One of the animal trainers had run around the front of the stage from where we were both standing inside the TL Dome Panels. He seemed to get to the cat just before the cat lunged at Roy. When the cat did attack [REDACTED] tried to stop the cat but the cat came up stage to the curtain line (Ballfringe + Starpac) with Mr. Roy in his mouth, the cat then came towards me, stage left. When he got about 4 feet from me he then went just up stage of the curtain. I had already called for an empty transport which arrived just before the cat got to the open GL Dome Panel. Someone pushed the transport away so the cat would not go in it with Mr. Roy. By now I have told the [REDACTED] to call the paramedics.

SECURITY OFFICER'S SIGNATURE AND ID#

GUEST/EMPLOYEE SIGNATURE

NARRATIVE CONTINUATION

DR # _____

PAGE 2 OF 3

One then Agreed to Call the Paramedics & to
 Call now for An Ambulance. I'm not Sure How
 Many Animal Handlers were By now on the Cat. But
 It Seemed like Atleast 5 or 6 were Hitting the
 Cat Trying to Free Mr. Roy. Some One Called
 For A Fire Ext. I Pointed to where I thought
 one would be, But there was only A Cat
 Cable Cutter was. Special White CO2 Ext. Are used
 For the Cats in Emergency Situations only. Then
 out of no where one went off. The noise
 Startled the Cat And He let go of Mr. Roy.
 I Looked to my right, to see Mr. Roy's Head resting on
 The Por Dettens on the S L Dome panel. [REDACTED], one
 of the Carpenters Grabbed Mr. Roy By His Ankles &
 Dragged Him on Stage Away From the Cat. I did
 not see where the Cat went, But He was
 Gone. [REDACTED] jumped in with [REDACTED]
 & Started to Apply Pressure to Mr. Roy's Neck Area.
 I called [REDACTED] on Head set to Ask Him
 to turn the Music louder so the Audience
 could not hear Mr. Roy. I Asked [REDACTED] Twice to
 do this. About 30-40 sec In between. I then
 ASKed The Winch Condo to Bring the Star

OFFICER NAME _____

ID # _____

OFFICER SIGNATURE _____



NARRATIVE CONTINUATION

All redactions on this page are pursuant to (b)(6) & (b)(7)(c).

DR # _____

PAGE 3 OF 3

Stop Sliders on Stage so the Audience could
 not see in anyway what was happening
 behind the Curtains. Then I asked to
 have as many lights as possible on to light
 up the Area where Mr. Roy was Laying.
 I then asked _____ Again About the Status
 of the paramedics & the Ambulance. I thought
 I saw _____ Applying Pressure
 to Mr. Roy's Neck Area then they asked for towels
 which I got from a nearby crew member
 and put them down close by where they
 could ~~be~~ grab them when they needed them.
 Then I just relayed info to everyone on
 head set, or who had sound baskets to what
 was happening to Mr. Roy. About when the
 paramedics arrived & Roy's condition.

GOVERNMENT
 EXHIBIT
3-6
 Page 4 of 4
 APHS FORM 700 (MAR 95)

OFFICER NAME _____

ID # _____

OFFICER SIGNATURE _____





DR# 2C033552

DATE 10/3/03

PAGE 1 OF 1

GUEST/EMPLOYEE STATEMENT

NAME: [REDACTED] ID# [REDACTED]

ADDRESS: _____

WHEN ROY WALKED THE CAT, MONTACORE, DOWNSTAGE, THE CAT WOULD NOT LAY DOWN AS ~~HE~~ USUAL. ROY BOPPED THE CAT ON THE NOSE REPEATEDLY AND THE CAT FACE WENT INTO A SNARL. AT WHICH POINT, ROY CONTINUED TO TRY TO GET CONTROL BY HITTING THE CAT ON THE NOSE. ROY LOST HIS BALANCE AND FELL DOWN AND THE CAT GRABBED ONTO ROY'S ARM. ROY TRIED TO KICK THE CAT OFF OF HIM, WHEN MONTACORE WENT BERSERK AND SNAPPED ONTO ROY'S NECK AND HE DRAGGED ROY UPSTAGE AND OFF STAGE WHILE SEVERAL OF THE STAGE CREW AND ANIMAL ~~HAND~~ HANDLERS TRIED TO GET THE CAT AWAY FROM ROY

GOVERNMENT EXHIBIT
3-M
 Page 1 of 1
 APHS FORM 700 (MAR 02)

[REDACTED]
SECURITY OFFICER'S SIGNATURE AND ID#

[REDACTED]
GUEST/EMPLOYEE SIGNATURE



DR# 20033552

DATE 10/3/03

PAGE 1 OF 1

GUEST/EMPLOYEE STATEMENT

GOVERNMENT EXHIBIT 3-N Page 1 of 1 APTS FORM 7070 (MAR 05)

NAME: [REDACTED] ID# [REDACTED]

ADDRESS: [REDACTED]

as the show was going into the "Report" section things appear to be normal. When Roy started to walk "Montecore" out to the center of [REDACTED] as he started to perform the presentation Montecore grab his forearm and Roy calmly tried to pull away when it appeared that the cut was not going to let go he (Roy) started hitting Montecore with the microphone. Montecore let go and reacted as Roy back up. Then Montecore started after him with a swipe at Roy's leg then tried to bite his leg. At this point Seigfried and the Animal handlers start on the stage as Montecore attacked Roy more severely knocking him down with Seigfried + Animal handlers trying to remove the cut from Roy with heat ~~throw~~ thrown to the floor the cut seemed uninterested ~~in Roy~~ in Roy in the heat only in Roy. This seemed to be about 30 - 40 seconds at which the cut, ~~had~~ at this time, had Roy by the Right shoulder and ~~drag~~ ^{the} him off stage left at this point I turn off ~~the~~ ^{the} microphone and started to play walkin music and then ~~the~~ microphone on for cancellation announcement.

SECURITY OFFICER'S SIGNATURE AND ID#

GUEST/EMPLOYEE SIGNATURE



DR# 20033552

DATE 10/3/03

PAGE 1 OF 1

GUEST/EMPLOYEE STATEMENT

NAME: [REDACTED]

ID# [REDACTED]

GOVERNMENT EXHIBIT 3-0 Page 1 of 1 APR 88 FORM 1071 (MAY 85)

ADDRESS: [REDACTED]

I was sitting in the audience with three (3) guests in [REDACTED] when Roy announced that he was introducing nine (9) year old white Bengal tiger "Montacar" as his first appearance in the show. The tiger was being held by Roy by the leash around his neck. Roy let go of the leash and the tiger started to walk towards the audience. Roy signaled the tiger to come back, at that point the tiger grabbed Roy's hand, Roy smacked the tiger on the nose but the tiger ^{refused} ~~did not~~ not let go, Roy smacked the tiger several times (not with great force) with the microphone he had in his hand, at that point the tiger grabbed Roy by the leg, which caused Roy to fall, losing control of the tiger. The tiger then appeared to grab Roy by the upper arm and neck and started dragging him across the stage. ~~The~~ The animal handler came out and started hitting the tiger to draw his attention away from Roy and then several animal handlers ran out to get the tiger off but to no avail. The tiger dragged Roy by the neck across the stage (stage left) and behind the curtain. Several people surrounded Roy and the tiger with flashlights and was finally able to get the tiger off Roy by using a fire extinguisher. I will never forget the fear in Roy's face when the tiger took him trip to the ground and Roy realized he had lost control.

SECURITY OFFICER'S SIGNATURE AND ID# [REDACTED]

GUEST/EMPLOYEE SIGNATURE [REDACTED]



DR# 20033552

DATE 10/3/03

PAGE 1 OF 1

GUEST/EMPLOYEE STATEMENT

NAME: [REDACTED] ID# [REDACTED]

ADDRESS: _____

AT THE TIME OF THE INCIDENT, I WAS LOCATED UPSTAGE OF THE BALL FRINGE CURTAIN, SPACE LEFT. AFTER IT BECAME EVIDENT THAT SOMETHING HAD GONE WRONG, THERE WAS A LARGE AMOUNT OF CONFUSION. I CANNOT ESTIMATE WHAT THE TIME FRAME WAS. SOMEONE CALLED FOR AN EMPTY CAGE - SOMEONE ELSE WAS CALLING FOR A FIRE EXTINGUISHER. THE EXTINGUISHER WAS DISCHARGED, THE CAT WAS COYED INTO THE CAGE. SEVERAL HANDS ADMINISTERED FIRST AID: APPLYING DIRECT PRESSURE TO MR. ROY'S INJURED NECK/SHOULDER AREA. OXYGEN WAS THEN ADMINISTERED, AFTER IT WAS LOCATED. (THERE SEEMED TO BE A LONG DELAY BEFORE IT WAS FOUND, BUT HOW LONG I CANNOT TELL. TIME IS WARPED IN A STRESS SITUATION) SECURITY ARRIVED, THEN PARAMEDICS WHO TOOK CHARGE OF THE SITUATION.

GOVERNMENT EXHIBIT
3-P
 Page 1 of 1
 APR 83 FORM 7570 (GSA FPMR)

[REDACTED]
SECURITY OFFICER'S SIGNATURE AND ID#

[REDACTED]
GUEST/EMPLOYEE SIGNATURE



DR# _____

DATE 10/11/03

PAGE 1 OF 1

GUEST/EMPLOYEE STATEMENT

NAME: _____ ID# _____

ADDRESS: _____

I'm the head rigger in SFR Show, I fly Roy Horne every night during his show & have a nightly chat previously before he was mauled Friday night show 10/3/03. I have to Monitor to see stage where this occurred & of course as he was dragged off stage by the cat, heard his screams over his Microphone, & across stage, the blood, it was a mess.

GOVERNMENT EXHIBIT
3-0
Page 1 of 1
APHS FORM 7075 (MAR 82)

SECURITY OFFICER'S SIGNATURE AND ID#

GUEST/EMPLOYEE SIGNATURE



DR# _____

DATE 10/9/03

PAGE _____ OF _____

GUEST/EMPLOYEE STATEMENT

NAME: [REDACTED]

ID# [REDACTED]

GOVERNMENT EXHIBIT 3-R Page 1 of 2 APR 98 FORM 7075 (MAY 03)

ADDRESS: [REDACTED]

I WAS AT MY POSITION ON SPOT 1 IN THE LIGHT BOOTH ON OCTOBER 3, 2003. I WAS LIGHTING ROY WITH MY FOLLOW SPOT WHEN HE WENT STAGE LEFT TO RECEIVE MANTICORE FROM THE ANIMAL HANDLERS. MANTICORE BEHAVED NORMALLY THROUGH THE CURTAIN, WALKING DOWNSTAGE WITH ROY. HE AND ROY STOPPED, STILL ON THE RUNWAY, WITH MANTICORE STANDINGLY FACING STAGE RIGHT. HE DID NOT LIE DOWN. ROY SAID "HELLOOO" LIKE HE DOES, AND STEPPED IN FRONT OF MANTICORE TO GET HIS ATTENTION, WHEN MANTICORE GRABBED HIS ARM IN HIS MOUTH. ROY HIT HIM WITH HIS MIC, BACKED HIM UP, AND MANTICORE LET GO. MANTICORE THEN SWIPED AT HIS LEGS, KNOCKING HIM DOWN, AND TOOK HIS LEG IN HIS MOUTH. ROY HIT HIM WITH THE MIC AGAIN, SEVERAL TIMES, AND STOOD UP. BY THEN THREE ANIMAL HANDLERS AND SIEGFRIED WERE THERE. MANTICORE SWIPED AT ROY'S LEGS AGAIN, KNOCKING HIM DOWN AGAIN, AND TOOK ROY'S NECK IN HIS MOUTH, WALKED UPSTAGE, THEN STAGE LEFT, AND UPSTAGE AGAIN THROUGH THE CURTAIN. THE ANIMAL HANDLERS FOUR OF THEM WERE [REDACTED]

SECURITY OFFICER'S SIGNATURE AND ID#

GUEST/EMPLOYEE SIGNATURE

NARRATIVE CONTINUATION

DR # _____

PAGE _____ OF _____

WERE ON THE CAT THE WHOLE TIME.
 DOWNSTAIRS I [REDACTED]
 [REDACTED] TENDING TO ROY. SECURITY
 CAME IN, BUT I DIDN'T SEE THEM DO
 ANYTHING. THE PARAMEDICS CAME IN, PUT
 A NECK BRACE + I.V. IN ROY, AND ROLLED
~~ROY~~ HIM OUT. THEY RAN TO THE ALLEY
 TOOK UP DOOR, WHICH WAS CLOSED, STOPPED,
 CHANGED DIRECTION, AND RAN OUT THROUGH
 THE DANCERS' HALLWAY

OFFICER NAME _____

ID # _____

OFFICER SIGNATURE _____





DR# _____

DATE 10/6/03

PAGE 1 OF 2

GUEST/EMPLOYEE STATEMENT

NAME: _____ ID# _____

ADDRESS: _____

FRIDAY, October 3. I WATCH Roy FROM DOWNSTAGE RIGHT, ABOUT WHERE HE TAKES THE CAT (MONTAGORE FOR THE REPORT) I NOTICE HE LOOKED DIFFERENT AS I WATCH THIS EVERY NIGHT. AND CALLED FOR I BELIEVE _____ THE ANIMAL HANDLER TO TAKE CARE. I SAW THE CAT LOOK @ Roy AND NOT LAY DOWN AS HE NORMALLY DOES. I SAW WHAT LOOKED LIKE Roy FEEDING THE MEAT TO HIM BEFORE HE NORMALLY WOULD. WHILE THE CAT APPEARED TO SNAP AT HIS HAND, HE THEN STARTED HITTING MONTAGORE ON THE SNOUT AND BACKING UP AS THE CAT KEPT APPROACHING THEN I SAW THE CAT GO FOR HIS LEG AND Roy WENT DOWN THEN THE CAT WENT FOR HIS NECK AND STARTED UPSTAGE THROUGH THE BALL FRINGE. THE CAT STARTED GOING UP LEFT AND I GRABBED A WATER FIRE EXTINGUISHER AND STARTED RUNNING STAGE LEFT. AND IT LOOKED LIKE A GROUP OF PEOPLE WERE HITTING AND TRYING TO GET MONTAGORE TO LET GO. I DECIDED NOT TO FIRE THE EXTINGUISHER AS THE CAT DID NOT APPEAR TO BE UNCONTROLL AND WATER MIGHT MAKE THE CAT DO MORE HARM (CO2 HAS ALWAYS BEEN USED AS PROBLEMS ARISE) THEN A CO2 FIRE EXTINGUISHER WAS FIRED @ THE CAT AND HE APPEARED TO BE LOSE. I IMMEDIATELY WENT STAGE RIGHT TO GET AN EMPTY

SECURITY OFFICER'S SIGNATURE AND

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 Page 1 of 2
 AFHS FORM 700 MAR 90

GUEST/EMPLOYEE SIGNATURE

[REDACTED] ATIVE CONTINUATION

DR # _____
PAGE 2 OF 2

TRANSPORTA TOLD [REDACTED] TO
COME WITH ME AND HELP CAPTURE
THE CAT. 1/2 WAY THERE I SAW MONTACORE
GO IN AND THE DOOR SHUT ON HIM,
HE WAS SECURE. I THEN WENT TO ROY
AND SAW [REDACTED] APPLYING DIRECT PRESSURE
TO HIS NECK. HE ~~ASK~~ THEN SAID "AIR"
AND [REDACTED] AND I RAN TO THE PROP
ROOM [REDACTED] GRABBED THE TRIAGE KIT
AND WE RAN BACK [REDACTED]
AND [REDACTED] WERE NOW ON HIM (ROY)
[REDACTED] AND [REDACTED] HAD TO
PUT TOGETHER A SQUEEZE BAG FOR AIR
AND TOWELS WERE BEING GATHERED AROUND
ROY. I THEN CLEARED A PATH TO THE
SMOKING AREA LOCKED OPEN THE DOORS
MOVED THE SCAFFOLD OUT OF THE WAY OFF
LEFT. I THEN MADE SURE THE HALLWAY
REMAINED CLEAR AND ~~SCREAMED~~ SCREAMED AT
THE PARAMEDICS TO HURRY AS THEY WERE JUST
CASUALLY WALKING IN. THE PARAMEDIC'S
TOOK OVER AS I CAME BACK ON STAGE.

OFFICER NAME _____ ID # _____

[REDACTED]
OFFICER SIGNATURE





DR# _____

DATE 10/6/03

PAGE 1 OF 1

GUEST/EMPLOYEE STATEMENT

NAME: _____ ID# _____

ADDRESS: _____

On 10/3/03, about 8:15pm, I was working the S+R show. I was up center when I heard on headset that the tiger bit Roy. I then heard the stage manager say an empty transport was needed SL. I proceeded to locate the closest empty transport, and took it to the GL dome panel. I watched Roy get dragged through the ball fringe, past GL. I heard a fire extinguisher go off. I then saw someone drag Roy down stage. An animal handler then told me to leave. I then went to the back alley and told the security guard to bring the ambulance to the US door. I held the door open for the paramedics. I watched them take Roy out on the gurney. After that, I helped put things away until 9:00.

GOVERNMENT
EXHIBIT
3-T
Page 1 of 1
AFHS FORM 1010 (MAR 02)

SECURITY OFFICER'S SIGNATURE AND ID#

GUEST/EMPLOYEE SIGNATURE



DR# _____

DATE 10/6/03PAGE 1 OF 1

GUEST/EMPLOYEE STATEMENT

NAME: _____

ID# _____

ADDRESS: _____

GOVERNMENT
EXHIBIT3-4Page 1 of 1

APR 98 FORM 750 (MAY 98)

While performing my job duties as lead spot operator for the Siegfried & Roy show on Oct 3, 2003, I witnessed the attack on Roy Horn by the tiger Manticore.

The show proceeded normally up to the part of the show called "The Rapport", where Roy leads one of the white tigers around the passarella. At the point of the routine where the tiger normally lies down, the tiger refused to do so. Roy attempted to induce the tiger to lay down, and the tiger responded by biting Roy on the arm. Roy tried to make the cat release his arm by hitting him on the nose and head with his microphone, and the tiger became more agitated and released his arm and bit his leg. This caused Roy to fall backwards on the runway, at which time the cat leapt forward, seizing Roy by the neck. Roy began fighting the cat in earnest as the cat dragged him upstage left towards the ball fringe. At this point at least one handler was onstage and following closely, trying to assist Roy. The struggle continued thru the ball fringe, at which point I could no longer see what was happening.

 SECURITY OFFICER'S SIGNATURE AND ID#



DR# _____

DATE 10-6-03

PAGE 1 OF 2

EMPLOYEE STATEMENT

NAME: _____ ID# _____

ADDRESS: _____

Friday night Oct 3, 2003 approx 8:35 pm I heard _____ over the spotlight headset say something was wrong at the cat bit Roy then she screamed. A couple of seconds later I ~~see~~ saw Montecor walk through the ball fringe carrying Roy by the neck. My view was from directly above on my spotlight. Montecor released Roy dropping him on the ground looked up towards ^{my quarters} me with blood all over his fur he bared his teeth and went back for Roy's neck. He shook Roy and it appeared that his only intention was to kill Roy. I then saw several of the deck crew rush to try to get the Tiger off of Roy by pulling his tail, _____, others, I am not sure who jumped on the back of the cat and hitting him over the head. After what seemed to be a minute the cat released Roy and the animal handlers crew got him

I reported on my light so the cat could see the transport.

SECURITY OFFICER'S SIGNATURE AND ID#

WITNESS/EMPLOYEE SIGNATURE

GOVERNMENT EXHIBIT

3-V

Page 1 of 2

All redactions on this page are pursuant to (b)(6) & (b)(7)(c).

NARRATIVE CONTINUATION

No one was able to get to Roy until the cat was away and a saw him lying alone with blood squirting out of his neck while he was sent into his transport. Then [redacted] was the first to get to Roy. He tore off his shirt and wrapped it around Roy's neck to ^{try to} stop the bleeding. Roy was screaming 1st [redacted] then, [redacted]. May other members of the crew were to Roy mediately using their shirts also to try to stop bleeding. [redacted] also put his hands into open wounds to try to stop bleeding. [redacted] was there next he pulled Roy up to his chest and held him. Then many members of the crew arrived with trash cans of clean white towels holding each one to a wound until it was soaked with blood & then replacing it with a clean one all the while Roy was screaming. Security eventually ^{took the entrance} came and put Roy onto a gurney and wheel him away. Then many of the stage hands cleaned up the bloody mess left behind. Then I was released to leave my spot light. [redacted]

DR # _____
primary: _____
PAGE _____ OF _____

OFFICER NAME

ID #

OFFICER SIGNATURE



DR# _____

DATE 10/16/03

PAGE 1 OF 2



GUEST/EMPLOYEE STATEMENT

NAME: [REDACTED]

ID# [REDACTED]

ADDRESS: [REDACTED]

GOVERNMENT EXHIBIT 3-W Page 1 of 2 AFHS FORM 7873 (001) 03

I was in the dressing rooms when a dancer came in and said that a tiger was loose and someone was down. I began to tell people to stay where they were until the cat could be contained. I was walking down the hallway when one of our animal handlers said the cat was contained. I then ran out to the stage left area to see if I could help. There were people working on Mr. Roy, other people bringing them whatever they needed, and others running around to clear the way for paramedics to come through when they arrived. Everything was being done in a very orderly fashion. I began to make sure people would not accidentally get in each other's way, but there wasn't much need for it. Everyone was doing what they did quickly and orderly, as if we had been drilled on this exact event. I helped move the [REDACTED]

SECURITY OFFICER'S SIGNATURE AND ID# _____

GUEST/EMPLOYEE SIGNATURE _____

NARRATIVE CONTINUATION

[Redacted]

DR # _____

PAGE 2 OF 2

cast out of the way when the paramedics were taking Mr. Roy out and then stayed with the cast to comfort them. Many of them were crying. I went to the stage area to help clean things up and then I went back into the dressing rooms and helped clean things up there. I left the theater at about 9:30.

OFFICER NAME

ID #

[Redacted Signature]

OFFICER SIGNATURE

GOVERNMENT EXHIBIT
3-W
Page 2 of 2
APR 98 FORM 1070 (REV 95)

DR# _____

DATE 10-6-03

PAGE 1 OF 2



GUEST/EMPLOYEE STATEMENT

Lighting Supervisor

NAME: [REDACTED] ID# [REDACTED]

ADDRESS: [REDACTED]

IT was the time in the show were Roy introduce a cat and walks to the down stage of stage. Everything seemed fine when he got to the D.S. Bob when he arrived there the cat grab Roy around the feet. (By the way I run a light board at the center of the light booth) Everything after that seems blurry. I do remember Roy falling twice. The second time he fell the cat grabbed Roy's arm. Roy was hitting the cat with the microphone over & over. ~~I've~~ I've seen this before but the cats in the past ~~would~~ ^{have been} shocked by a blow to the nose. This time the cat seemed unphased. ~~As~~ The cat calmly walked over Roy and picked him up by the neck and proceeded to carry him off stage.

SECURITY OFFICER'S SIGNATURE

GUEST/EMPLOYEE SIGNATURE

GOVERNMENT EXHIBIT 3-X
 Page 1 of 2
 APR 19 2003

[REDACTED]

NARRATIVE CONTINUATION

DR# _____
PAGE 2 OF 2

I've been with S-R since 1981
 And ~~to~~ I consider Roy to be
 A good friend, because of that
 I felt and urge to run down
 on stage. I stopped at the
 stair - well looking down on S.L.
 I saw Roy laying on his back
 thrashing and screaming in pain
 Both [REDACTED] & [REDACTED]
 were on either side of Roy holding
 his neck to try and stop
 the bleeding. ~~I tried to~~
 I was watching my good friend die.
 The Paramedics finally got to the
 scene. And [REDACTED] & [REDACTED] assisted
 in the transition of getting Roy
 onto the stretcher. I watched
 them wheel Roy away as he
 was ~~so~~ pale and gasping for
 breath. ~~I tried to~~
~~_____~~

[REDACTED]

OFFICER NAME

ID #

OFFICER SIGNATURE





[Redacted]

DR# _____

DATE 10-6-03

PAGE _____ OF _____

GUEST/EMPLOYEE STATEMENT

NAME: [Redacted]

ID# [Redacted]

ADDRESS: [Redacted]

[Redacted]

at the time of the tiger attack I was in the wardrobe work room & did not see anything.

GOVERNMENT EXHIBIT 3.0 Page 1 of 1 APHS FORM 1070 (MAR 89)

[Redacted]

[Redacted]

SECURITY OFFICER'S SIGNATURE AND ID#

GUEST/EMPLOYEE SIGNATURE



DR# _____

DATE 10/6/03

PAGE 1 OF 1

GUEST/EMPLOYEE STATEMENT

NAME: _____ ID# _____

ADDRESS: _____

I was on spotlight # 7 on the upstage left part of the light ring. I could not see anything downstage because the Ball Stage Curtain was in. All I saw was a lot of flashlights and commotion going on upstage left behind the curtain.

GOVERNMENT EXHIBIT 3-2 Page 1 of 1

SECURITY OFFICER'S SIGNATURE AND ID#

GUEST/EMPLOYEE SIGNATURE



DR# _____

DATE _____

PAGE _____ OF _____

GUEST/EMPLOYEE STATEMENT

NAME: _____ ID# _____

ADDRESS: _____

I was sitting up stage right, then ran down stage left saw tiger with Roy trying to take Roy into box grab tiger by his tail to stop him from taking Roy into box left tiger back end up to keep him from going into box!!

tiger let Roy go, then i let go of his tail; tiger ran into box. Roy lying on stage, I started to plug up hole in Roy neck, to keep him from bleeding, blood everywhere,

I was talking to Roy to stay still and that doctors were on their way!! stayed with Roy still Doctors got there that's about it please pray for Roy!!!

GOVERNMENT EXHIBIT 3-AA Page 1 of 1 AFHS FORM 707 (MAY 96)

SECURITY OFFICER'S SIGNATURE AND ID#

GUEST/EMPLOYEE SIGNATURE



DR# _____

DATE 10-6-03

PAGE 1 OF 2

GUEST/EMPLOYEE STATEMENT

NAME: [REDACTED] ID# [REDACTED]

ADDRESS: On Friday Oct 4th at around 8:20. I heard shouting onstage and ran to the 6L panel and helped move a transport onstage. I saw a cat running stage left with Roy in his mouth. When the cat saw the transport, he headed for it. As the cat entered the transport, dragging Roy as he went, [REDACTED] grabbed the cat by the tail, stopping him from entering. The cat then backed out of the cage, at which time [REDACTED] sprayed the cat with a CO2 extinguisher. The cat then dropped Roy against the 5L panel. I took Roy's feet and drug him downstage and started checking for wounds. I rolled Roy's head to the left and noticed massive [REDACTED]

GOVERNMENT EXHIBIT 3-88 Page 1 of 2 APHS FORM 707 (MAR 82)

SECURITY OFFICER'S SIGNATURE AND ID#

GUEST/EMPLOYEE SIGNATURE

NARRATIVE CONTINUATION

DR # _____

PAGE 2 OF 2

I immediately started applying direct pressure to the wounds. I applied pressure to the right side, I took the left with [redacted] assistance. Roy was now going into shock and panicking, trying to get up and move around. We restrained him and continued life saving measures. Paramedics arrived, we helped move Roy on a gurney and transport him to the ambulance.



OFFICER NAME

ID #

[Redacted Officer Signature]

OFFICER SIGNATURE

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NARRATIVE CONTINUATION

DR # _____

PAGE 1 OF 3

[REDACTED] (TITLE [REDACTED])

ON FRIDAY ~~THE~~ 10-3-03 DURING THE SHOW I WAS STANDING UP STAGE CENTER OF THE MAIN LIFT. I HAD JUST CALLED FOR THE #1 WELCOME CURTAIN AFTER THE CAT TRANSPORT HAD MOVED TO STAGE RIGHT AND THE SCENERY HAD CLEARED TO STAGE RIGHT & THEN I WENT TO THE STAGE LEFT SIDE OF THE MAIN LIFT. [REDACTED] HAD SAID ON THE HEAD SET THAT ROY WAS HAVING A PROBLEM WITH THE CAT THEN [REDACTED] SAID SOMETHING LIKE ROY WAS DOWN, SO I YELLED TO THE CREW TO GRAB ANY ENTRY TRANSPORT AND TAKE IT DOWN STAGE AT THAT POINT I RAN TO THE STAGEMANAGER CONSOLE AND GRABBED THE CO2 FIRE EXTINGUISHER AND RAN TO THE GL OPENING WITH THE EXTINGUISHER WERE THE TRANSPORT WAS. I WENT TO THE UPSTAGE SIDE

[REDACTED]
OFFICER NAME / ID #

[REDACTED]
OFFICER SIGNATURE

GOVERNMENT EXHIBIT 3-CC Page 1 of 3 AFHS FORM 100 (MAY 01)

2

NARRATIVE CONTINUATION

DR# _____

PAGE 2 OF 3

CAT HAD DRAGED ROY TOO. I WENT TO THE UPSTAGE SIDE OF THE TRASPOT AND FIRED THE FIRE EXTINGUISHER AND LOOKED AROUND THE CORNER AND THE CAT DID NOT HAVE ROY. [REDACTED] HAD ROY BY THE LEGS AND HAD PULLED ROY DOWN STAGE. [REDACTED] AND I WERE WITH ROY, HE WAS LYING DOWN BLOOD WAS COMING OUT. ~~WE~~ I LIFTED ROYS HEAD UP TO SEE WHERE THE BLOOD WAS COMING FROM. DID NOT SEE ANY ON THE BACK OF THE NECK. LOOKED ON THE LEFT SIDE OF HIS NECK AND A LOT OF BLOOD WAS COMING OUT OF A WOUND. AT THAT POINT I PUT MY RIGHT HAND ON THAT WOUND. ROY WAS SAYING THAT HE COULD NOT BREATHE SS WE ROLLED ROY ON HIS RIGHT SIDE TO CLEAR ANY BLOOD THAT MIGHT BE HIS THROAT. DID NOT SEE ANY. WE ~~SET~~ ^{SET} ROY UP AND HE

[REDACTED]

OFFICER NAME

ID #

[REDACTED]

OFFICER SIGNATURE





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SECURITY DEPARTMENT
DR# _____
DATE 10/6/03
PAGE 3 OF 3

[REDACTED]

GUEST/EMPLOYEE STATEMENT

NAME: [REDACTED] ID# [REDACTED]

ADDRESS: [REDACTED]

HE WAS STILL HAVING A HARD TIME BREATHING
WE HAD TO HOLD ROY DOWN AND KEEP
~~REPRESSOR~~ OR HANDS ~~ON~~ ON THE WOUNDS, ~~THE~~
~~WOUNDS~~ WE CALLED TO GET SOME OXYGEN
WE TRIED TO PUT IT ON HIM, BUT HE WAS
REALLY COUGHING, SO WE HELD THE MASK CLOSE
TO HIS FACE, THE PARAMEDICS SHOWED UP THE
ONE GUY ASKED ME TO MOVE MY HAND. BLOOD
STARTED TO COME OUT, HE ASKED TO BUCKLEY
PUT MY HAND BACK ON THE NECK, THEY STARTED
PUTTING BANDAGES ON THE WOUNDS, WHEN THEY
FINISHED THAT WE LIFTED ROY UP ON TO THE
GURNIEY AND WE HAD TO CLEAR THE AIR HOSE
THAT WAS UNDER HIM AFTER THAT THEY LEFT
WITH ROY, I DID NOT SEE HIM ~~LEAVE~~ LEAVE
THE STAGE. AFTER THAT WE CLEANED UP
THE ~~MESS~~ MESS.

GOVERNMENT
EXHIBIT
3-00
Page 2 of 3
AFHS FORM 700 (MAR 91)

[REDACTED]

SECURITY OFFICER'S SIGNATURE AND ID#

[REDACTED]

GUEST/EMPLOYEE SIGNATURE



DR# _____

DATE 10/8/03

PAGE 1 OF 4

GUEST/EMPLOYEE STATEMENT

NAME: [REDACTED] ID# [REDACTED]

ADDRESS: [REDACTED]

Upon returning the Sarmote puppet to stage left - I walked over to the coffee machine area with the crew member I was following that evening. I heard him scream "Holy Fuck" as he looked at the monitor. I knew then something was wrong I didn't know what was wrong however. Upon hearing him say "Holy fuck" I immediately began running for the stage to see what had happened - I saw a lot of commotion & others running towards the stage as well, as I got on stage, I saw the cat & Roy come through the ball fringe - the cat was locked around Roy's neck & shoulder, I heard people screaming for a transport, at this point the cat & Roy were approximately 10' in front of me, I witnessed 1 crew member pulling the cats tail trying to get him off of Roy, as I took a few more steps Roy & the cat hit the ground, as I got even closer to them - a fire extinguisher came in at my feet & about 2' from Roy & the cat, the fire extinguisher was discharged

[REDACTED] SECURITY OFFICER'S SIGNATURE AND ID# [REDACTED] GUEST/EMPLOYEE SIGNATURE [REDACTED]

GOVERNMENT EXHIBIT
3-00
 Page 1 of 4
 APR 2000 FORM 700 (MAY 98)

NARRATIVE CONTINUATION

DR # _____

PAGE 2 OF 4

Briefly + then, only at that time, did the cat let go of him. Several people grabbed Roy + immediately started to help him, Roy was screaming that he couldn't breathe, at the time I saw 1 or 2 people laying Roy on his side, he was still screaming he couldn't breathe, I began screaming to lay him on his back + tilt his head back to keep his airway open - I screamed this 2 or 3 times before the action was taken. at that point the person who had his head/neck area was screaming for towels almost immediately towels were brought + pressure was applied to his neck wound, Roy was screaming for air - "I need air, I can't breathe" he said a few seconds later a "pump" with a mask was handed to us, 1 man placed the mask over Roy's nose + mouth + pumped the bag 2 or 3 times. ~~He~~ During this time I was holding Roy's

OFFICER NAME _____

ID # _____

OFFICER SIGNATURE _____

GOVERNMENT
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APR 20 1975 (244 41)

NARRATIVE CONTINUATION

DR # _____

PAGE 3 OF 4

right leg to keep him still, he kept screaming in pain & screamed at us to "let him go" we all forcefully said "we can't let you go" he kept struggling trying to get free but we held him in place, at this time I removed his white boots to try and make him more comfortable, it was about the time an oxygen bottle & mask were brought to us, someone then placed the mask over his nose & mouth he took 2 or 3 breaths and then pushed it away, almost as if it was making him sick, he continued to scream at us to "let him go" but we refused & made sure our grips were tight on him to keep him from moving, a few minutes went by & I re-assured him a few times that he was going to be O.K. - the paramedics were on the way, once the paramedics arrived they asked if he was on any medication & someone answered "yes - for blood pressure"

OFFICER NAME _____

ID # _____

OFFICER SIGNATURE _____



NARRATIVE CONTINUATION

DR # _____

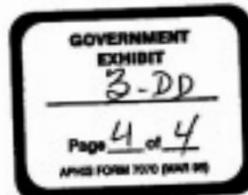
PAGE 4 OF 4

they then asked to see his neck, when the gentlemen removed his hand from Roy's neck, I saw what was about a 1" puncture which was "spurting" blood rather quickly - it seemed in sync with his rapidly beating heart, the paramedic then told him to re-apply pressure, the paramedic then wrapped some gauze around Roy's neck several times, then on the paramedics command we lifted Roy on to the stretcher, they strapped him down + wheeled him off towards the door - I stood back + tried to catch my breath + went on with some clean-up, mopping + proceeded to change clothes + clock-out for the night.

OFFICER NAME _____

ID # _____

OFFICER SIGNATURE _____



**Investigative Summary
03-3552 Roy Horn Injury**

Incident Summary

On Friday October 3, 2003, at approximately 8:20 p.m. White Tiger Montecore injured Roy Horn during the "Rapport" act of the Siegfried and Roy Show. Mr. Horn sustained critical injuries to his neck area and was transported by Southwest Ambulance to University Medical Center for treatment.

Investigation

Mirage Security staff conducted a follow-up investigation. Investigation included witness interviews, review of written statements and scene examination.

On Stage Events

Witnesses reported in sum and substance that on the date and time noted above, [REDACTED] prepared Montecore stage right for Horn and released a leashed Montecore to Horn on cue. Horn took Montecore on stage for the "Rapport" act of the show. Horn arrived at the Passarelle, released Montecore's leash and stopped on cue. Montecore continued to walk past his cue spot. Horn circled around to redirect and control Montecore. Montecore grabbed Horn's hand or forearm. Horn tapped Montecore on the nose with his microphone to persuade Montecore to release him. Montecore released Horn but quickly swiped Horn's left leg knocking him to the ground. Moments later, Montecore mounted Horn and bit him on his left neck area. Show staff came on stage to assist Horn. Montecore drug horn stage left through the ball fringe curtain. Approximately 15 minutes later, Siegfried returned to the stage and advised the audience that the remainder of the show was canceled.



Back Stage Events

Witnesses reported in sum and substance that Montecore came through the ball fringe curtain carrying Horn by the neck. Montecore ran in the direction of stage left panel 5 where an unoccupied animal transport was staged. Several persons, including Siegfried and [REDACTED] were pursuing Montecore as he passed through the ball fringe curtain. As Montecore reached the area of stage left panel 5, [REDACTED] grabbed Montecore by his tail to stop him from reaching the open transport. [REDACTED] straddled Montecore, grabbed Montecore's lips, rolled them onto Montecore's back teeth and applied pressure. Simultaneously, [REDACTED] sprayed Montecore with a CO2 canister (similar to a fire extinguisher). The combined efforts of [REDACTED] and [REDACTED] caused Montecore to release Horn. Montecore briefly tried to approach Horn again, but was pulled away by [REDACTED] and [REDACTED]. During the struggle to release Horn, [REDACTED] brought a first-aid bag to the scene. As Horn was released, [REDACTED] and [REDACTED] pulled Horn by his legs to the area of stage left 6. This action created an unobstructed path to the nearby transport. [REDACTED] was working a spotlight above the scene and shined his light onto the transport to help the handlers and Montecore see the transport. [REDACTED] then reported that Montecore became calm, was led by he and [REDACTED] into the transport and secured. [REDACTED] called Security, reported Horn's injury and requested paramedics. [REDACTED] used the Clark County Fire Department hotline, located in the Security Monitor Room, and requested paramedics. After Horn was pulled out of Montecore's path [REDACTED] and [REDACTED] began first aid. [REDACTED] removed his shirt and used it as bandage material to apply pressure to Horn's neck wound. Additional bandage material was used while waiting for paramedics to arrive on scene. While assisting Horn, universal precautions (gloves, etc.) were not used by [REDACTED]. [REDACTED] arrived on scene and provided oxygen for Horn at 15 liters per minute VIA non-rebreather mask. Clark County Paramedics arrived on scene approximately two minutes after being called. Southwest Ambulance arrived shortly afterwards. Horn was loaded onto a gurney and transported by Southwest Ambulance to University Medical Center for treatment. In addition to the actions noted above, there were numerous bystanders performing actions peripheral to the direct rescue and treatment of Horn.



Statements

As of the date of this summary, the following persons have surrendered written statements to the Security Department:

Feld Employee

Animal Handler

- [Redacted]

Mirage Employees

Stage Technicians

- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]

Sound

- [Redacted]
- [Redacted]
- [Redacted]

Follow Spot Operations

- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]

Wardrobe

- [Redacted]
- [Redacted]
- [Redacted]

Other

- [Redacted]
- [Redacted]
- [Redacted]

Security

- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]
- [Redacted]

[Redacted]

The Mirage
October 9, 2003



[REDACTED]

From: GUESTSERVICES-MCH
Sent: Friday, October 10, 2003 10:37 AM
To: [REDACTED]
Subject: FW: security

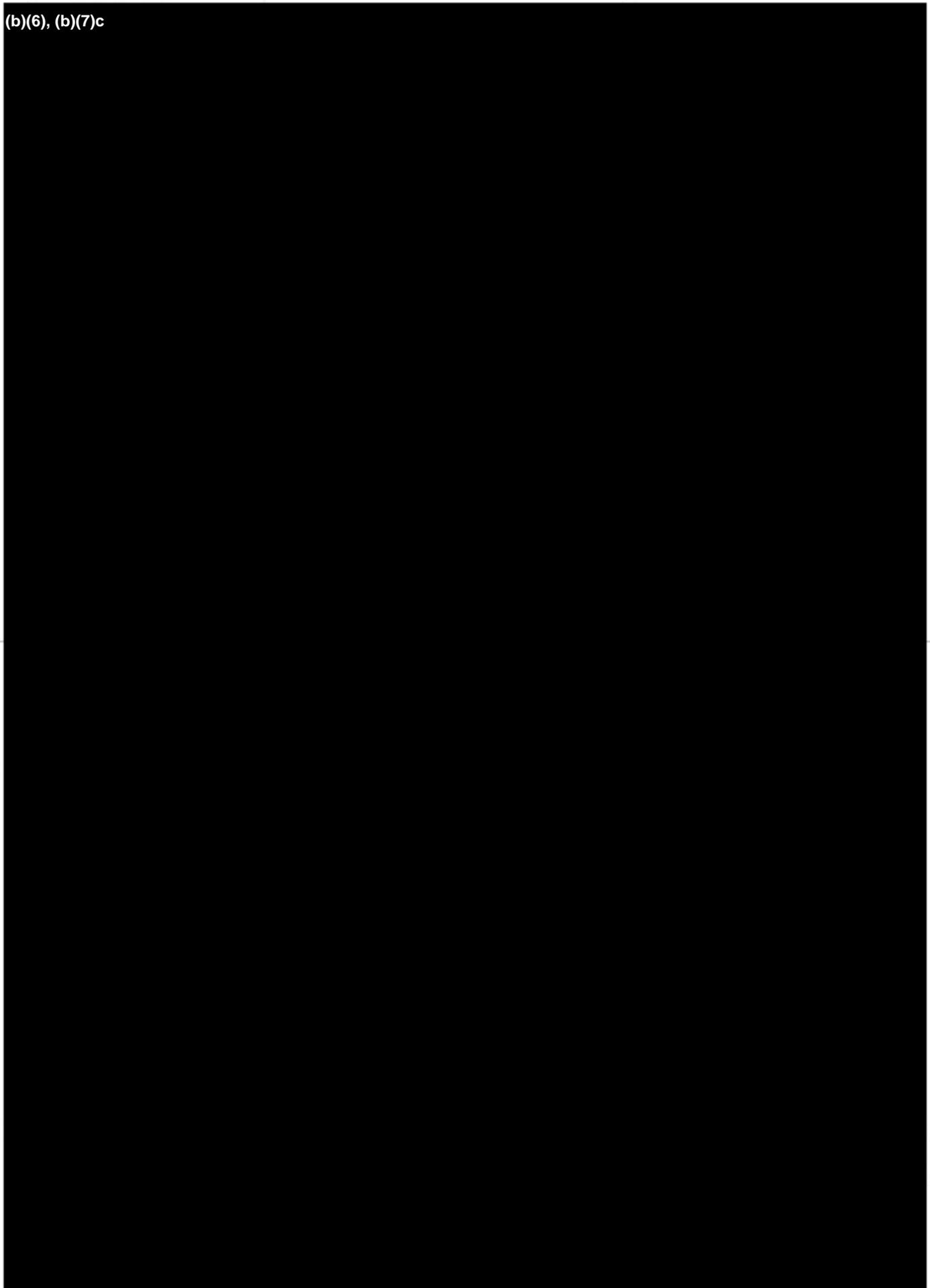
-----Original Message-----

From: [REDACTED]
Sent: Tuesday, October 07, 2003 4:02 PM
To: guestservices@mirage.com
Subject: security

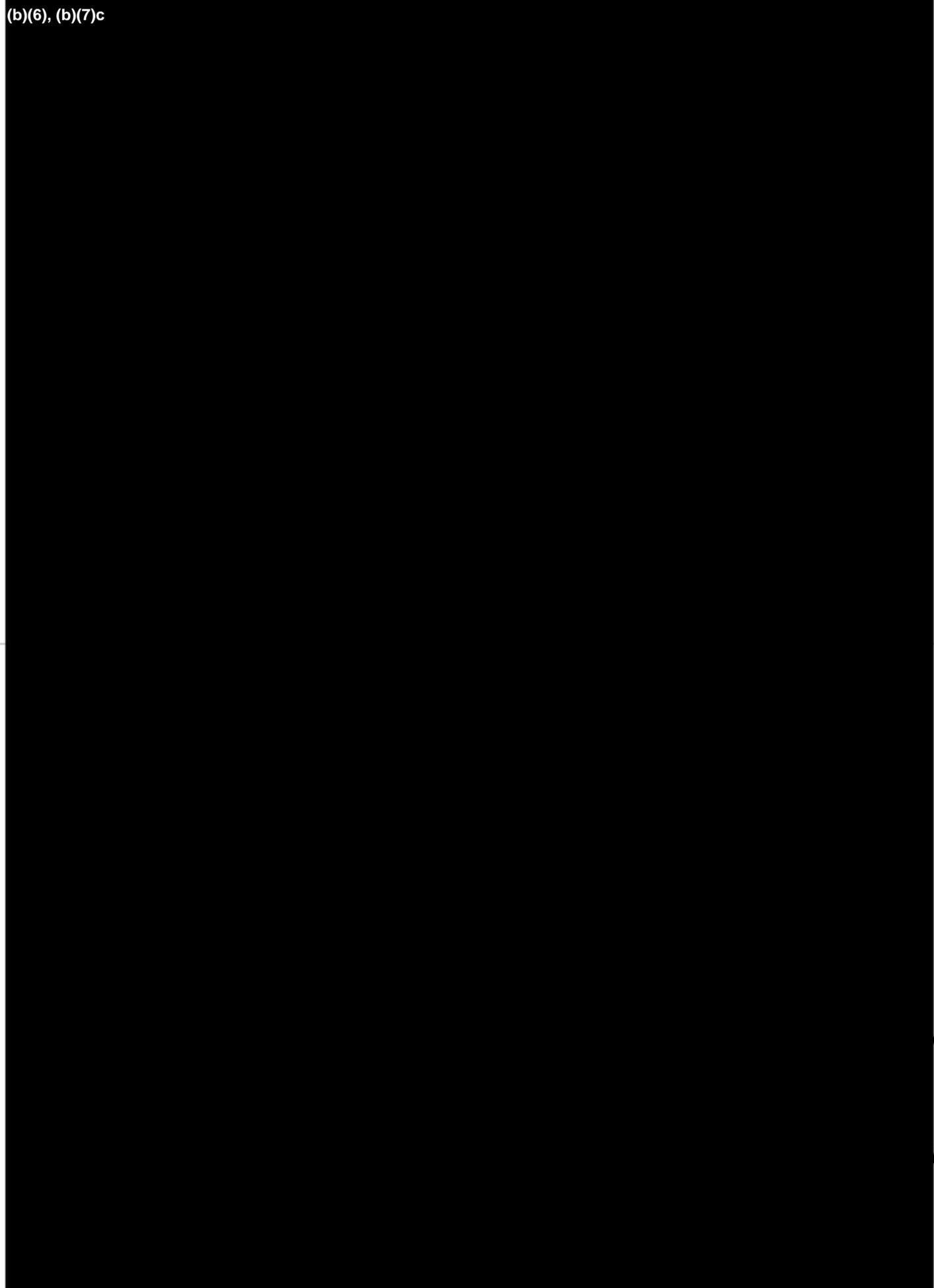
Folks: I'm borrowing a computer and just wanted to remind your excellent security that if there is audio & video of the tiger attack it should be analyzed for far-UV and or high ultra sonics ,as well as other triggers that might be the work of a terrorist aiming at a high profile GAY target.



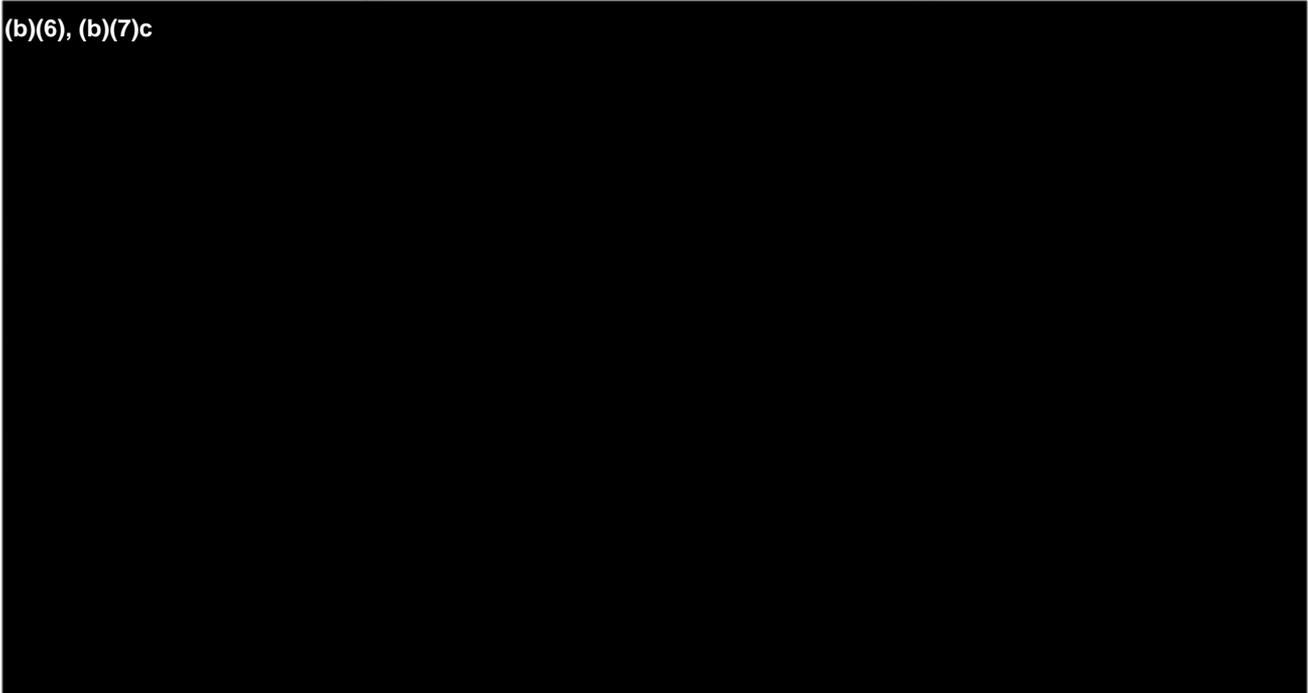
(b)(6), (b)(7)c



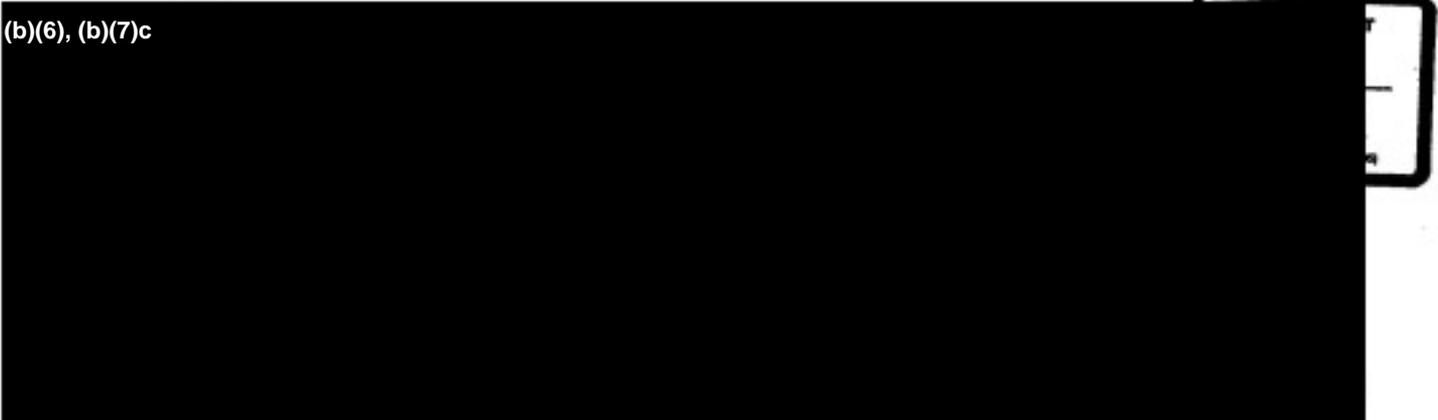
(b)(6), (b)(7)c



(b)(6), (b)(7)c



(b)(6), (b)(7)c



INTERVIEW LOG
 USDA, APHIS, IES

NAME AND ADDRESS OF PERSON(S) CONTACTED:

[REDACTED]

DATE: October 13, 2003

TYPE OF INTERVIEW (BY PHONE OR IN PERSON):

In Person

ORGANIZATION: USDA/IES

TELEPHONE NUMBER:

[REDACTED]

SUBJECT:

Animal attack at the Mirage Hotel, Las Vegas, Nevada

LOCATION OF INTERVIEW:

[REDACTED]

SUMMARY:

I Sandra M. Arthur spoke with [REDACTED] concerning the animal attack at the Mirage Hotel in Las Vegas, NV.

[REDACTED] informed me that his son, [REDACTED], last visit to Las Vegas, NV was 2 years ago. His son was not a witness to any animal attack incident because his son was in college [REDACTED] studying with his friends.

At the time of my visit to the [REDACTED] residence, [REDACTED] was out with his friends. [REDACTED] was very cooperative, and took my cellular number to have his son call me when he got home. About 30 minutes later, I received a call from [REDACTED] informing me that there must be hundreds of [REDACTED] in Brooklyn and that he was not in Las Vegas, NV recently. He did not witness any animal attack at the Mirage Hotel on 10/3/03. He spends most of his time studying with friends at [REDACTED]

[REDACTED] which makes him 23 yrs and not 25 as quoted in the New York Post Online Edition, dated 10/6/03.

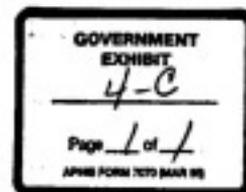
NAME OF PERSON DOCUMENTING INTERVIEW:

Sandra M. Arthur
 Investigator

SIGNATURE:

Sandra Arthur

DATE: 10/14/03





Animal and
Plant Health
Inspection
Service
Investigative and
Enforcement
Services

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EASTERN REGION
920 MAIN CAMPUS DRIVE
SUITE 200
RALEIGH, NC 27606

SANDRA M. ARTHUR
INVESTIGATOR, NY
CELLULAR No. (516) 637-8164
FAX No. (516) 379-9225

Memorandum

TO: J. David Neal

FROM: Sandra Arthur

cc: Terry Groce, ARD

DATE: 10/14/03

SUBJECT: Additional information request on NV04001-AC

Enclosed for your attention is the additional information requested above:

*Affidavit and copy of ticket stub was received from [REDACTED] An Interview Log
was done on [REDACTED] from [REDACTED]*

Please contact me if you need further information.



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**Reader's
Digest**



NEW RESEARCH

Foods That Harm, Foods That Heal

**Julianne
Moore**
Her
surprising
take on
family & fame



88

PAGE 94



Siegfried & Roy What Really Happened

April
2004 \$5.99

PAGE 138



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with Siegfried and Roy's white tiger in 1992, said the animals were like their children.

SIEGFRIED & ROY

STAGE FRIGHT

WHAT REALLY HAPPENED

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Page 1 of 4
APR 18 FORM 1970 (R&E)

FOR SIEGFRIED & ROY, the master illusionists whose mix of glitz, charm, and white tiger magic symbolizes the thrill of Las Vegas, October 3, 2003, started out as a day of celebration and ended as a night of terror.

At his lavish 59th birthday party in the Mirage Hotel theater that bears the duo's name, Roy Horn, the dark-haired half of the team, ushered in the early hours of the morning with 500 friends

BY ALANNA NASH



Roy, holding six-day-old cubs, slept with his tigers their first year.

and fellow entertainers. He had spent the evening table-hopping and dancing, and at midnight raised a glass to his partner, Siegfried Fischbacher, in celebration of their 44 years together.

"He was in great spirits," remembers impersonator Frank Merino, an invited guest. "All of his friends were kidding around with him, and he was making jokes and being very playful." One of the jibes was about his age and eventual retirement.

"I'll retire only when I can't do it anymore," Roy shot back in his heavy German accent, alluding to the physical strength necessary to swing on

ropes 30 feet above the audience and handle the 600-pound tigers that were the centerpiece of the act. To a man so fit and lithe, that day seemed a long way off. "It is incredibly dangerous, and we took Roy, this superman, for granted all of these years," says fellow Vegas magician Lance Burton.

But less than 24 hours later, Roy lay near death in the trauma unit of University Medical Center. Even in a town famous for risky wagers, few were betting he would survive the night. In 30,000 perfectly timed shows with elephants, lions, tigers, cheetahs, and sharp-beaked macaws, Siegfried & Roy had never had a serious mishap. Their act, seen by some 400,000 people each year, was a pastiche of Vegas razzle-dazzle: daredevil theatrics, illusions and, of course, animals.

The lions and tigers were Roy's domain, and his ability to communicate with them was marvelous and mysterious at the same time. Roy didn't so much train the animals as bond with them through a technique he called "affection conditioning," raising tiger cubs from birth and sleeping with them until they were a year old. "When an animal gives you its trust," Roy had said, "you feel like you have been given the most beautiful gift in the world."

But on the night of October 3, that trust was broken. Forty-five minutes into the show, at about 8:15 p.m., Roy led out Montecore, a seven-year-old white tiger born in Guadalajara, Mexico. The 380-pound cat became distracted by someone in the 1,500-

member crowd and broke his routine, straying toward the edge of the stage. With no barrier protecting the audience, Roy leapt to put himself between Montecore and the front row, only a few feet away. The tiger kept coming. Roy gave him a command to lie down, and Montecore refused, gripping the trainer's right wrist with his paw.

"He lost the chain [around the tiger's neck] and grabbed for it, but couldn't get it," says Tony Cohen, a Miami tourist who was sitting ten yards from the stage. With his free hand holding a wireless microphone, Roy tried repeatedly tapping Montecore on the head, the sound reverberating through the theater. "Release!" Roy commanded the tiger. "Release!"

Montecore relaxed his grip, but Roy had been straining to pull away, and fell backward over the tiger's leg. In an instant, Montecore was on top of him, clamping his powerful jaws around Roy's neck. Now Siegfried, standing nearby, ran across the stage yelling, "No, no, no!" But the tiger was resolute, and dragged his master 30 feet offstage "literally like a rag doll," as another witness recalls.

A couple of gasps went up in the crowd, though many people thought the incident was part of the act. "It

wasn't like he grabbed him viciously," says audience member Andrew Cushman. "He just grabbed him by the throat and walked offstage."

Siegfried would later say that Roy had fallen ill from the effects of blood pressure pills; Montecore, he insisted, realized something was wrong and was only trying to protect Roy. But animal behaviorists put little stock into that notion. They say it's more likely

that Montecore was on his way to delivering a killing bite, much as a tiger in the wild would bring down an antelope.

"They're predators, so who can really know what goes on in their minds?" says Kay Rosaire, who runs the Big Cat Encounter, a show near Sarasota, Florida. "Even though they're raised in captivity and they love us, some-

times their natural instincts just take over."

Some members of the show who witnessed the incident say the cat didn't necessarily mean to kill, but was confused by the break in the routine and angry at being disciplined. They believe the stress of the situation caused Montecore to turn on the man who had worked with him almost daily from the time he was six months old.

Whatever the cause, horrified stagehands backstage sprayed the tiger with

THEY'RE PREDATORS. WHO CAN KNOW WHAT GOES ON IN THEIR MINDS?

a fire extinguisher to get him to free Roy. When that failed, they beat the animal about the head with the butt end of the extinguisher. Montecore finally ran to his cage. The tiger, they later learned, had torn Roy's jugular vein, barely missing the carotid artery.

"There was a lot of blood," reports dancer Mike Davies. "A lot." Roy, still conscious, muttered, "Don't shoot the cat." A crew member managed to temporarily stop the severe bleeding, while cast members formed a prayer circle. Meanwhile, a trauma team assembled at University Medical Center, and as Roy labored to breathe, an ambulance screamed through the neon night. Before the story hit the papers, producer Kenneth Feld had canceled the 13-year-old show,

telling more than 200 cast members to look for other work. Siegfried & Roy, the most popular act in the history of Vegas, was apparently over.

The news spread quickly through the all-night community, and vigils sprang up at the hospital and at the Mirage. Along the Strip, few performers were more admired than these two, who met as young men working on a German cruise ship. When they brought their magic act to Vegas in 1967 they helped transform a town

then ruled by crooners, off-color comics and topless dancers. In 1988 they signed a record-breaking, five-year, \$57 million deal with casino developer Steve Wynn to stage a Broadway-meets-Barnum & Bailey extravaganza at the Mirage, then still under construction.

Soon they were Vegas royalty, living in an opulent compound they called the Jungle Palace, where a replica of the Sistine Chapel adorned the ceiling—as well as a separate 100-acre estate, Little Bavaria, outside of town. There, 63 tigers and 16 lions, none of them declawed, had the run of the properties, including Roy's bedroom and the pool. Roy meditated with at least one tiger every day.

While enormously wealthy, Siegfried and Roy were also incredibly generous. In particular, they were benefactors of the local police canine corps and the USO. As they told interviewers over the years, they were awed that, in Vegas, two sons of abusive, alcoholic fathers—both soldiers in Hitler's army—were able to achieve their dreams and so much more.

Siegfried, who was always somewhat wary of the big beasts, was the intense, quiet one, the consummate magician and technical wizard, the brain behind the disappearing acts.

**'IT HAS
ALWAYS BEEN
ABOUT
TOGETHER,'
SIEGFRIED
SAYS.**



In his first comments after the attack, Siegfried thanked fans for their support.

Roy had his own animal magnetism, and could command the big cats with the flick of a finger. Siegfried and Roy, says their friend Robin Leach, "are so closely intertwined they're like brothers. Without one, there isn't the other. They have an extraordinary relationship—the real meaning of the word *love*—that most people would want, particularly married couples." Or as Siegfried puts it, "It has always been about together."

At the hospital the night of the attack, Siegfried was in shock, recalled his friends Robert and Melinda Macy, who wrote a souvenir book *Gift for the Ages* with the pair. On the way to the trauma center, paramedics had stanchied Roy's massive blood loss, and he was immediately taken into

surgery. There, the medical team had to bring him back from the edge at least three times.

Shortly after 11:30 p.m., they wheeled him out of the unit and into another part of the hospital. But early the next morning, Roy suffered a "pretty big stroke," in the words of one physician, and was returned to surgery at 9:30, where doctors performed a large decompressive craniectomy, temporarily removing about a quarter of his skull to relieve swelling on his brain. (The excised portion was placed in a pouch in his abdomen to keep the bone tissue alive.) He suffered some paralysis on his left side, and his windpipe was crushed. Placed on a ventilator, he was unable to swallow or speak.



Yet amazingly, he responded to Steve Wynn only days later, squeezing his hand once for "yes" and twice for "no," and answering in the affirmative when asked if he could handle such an ordeal. He also indicated that he wanted to see his pug-nosed dog, Piaf, who was brought to the hospital for a visit. "It is all but miraculous that he is alive," his neurosurgeon, Dr. Derek Duke, told the press.

Late in October, Roy Horn was strong enough to be airlifted to the UCLA Medical Center, where he continued to make progress. And all the while, Siegfried stayed by his side. The first time he put a pen in Roy's palm, Fischbacher touchingly recounted that his friend wrote, "Siegfried, it is nice to hold your hand."

According to the duo's manager, Bernie Yuman, Roy was taken off the ventilator in mid-November. His cognitive skills were "intact, perfect," Yuman said. The entertainer was writing prolific notes, giving orders in them, even asking for a Madonna CD.

In the months since, the duo's camp has largely been silent, as has the show's producer, Kenneth Feld, owner of the Ringling Brothers and Barnum & Bailey Circus. Feld has troubles other than Roy's injuries: Animal

rights groups have loudly insisted that show tigers should be retired. And the U.S. Department of Agriculture did open an investigation into a possible violation of the Animal Welfare Act, since regulations call for sufficient distance between animals and the viewing public in live-animal shows. With the stakes so high, the Mirage is refusing to release a tape of the near-fatal performance. And the pair's

publicity machine is now hyping "The Father of the Pride," a computer-animated television sitcom set for next fall about the men and their gorgeous white tigers. Roy is said to be making plans for it.

While Siegfried and Roy's spokesmen can't promise that the live show will come back, if anyone can make a full recovery from such a horrendous blow, says Bob Macy, it is Roy. He is home now, although he reportedly suffered an infection in January requiring another hospitalization.

And it may not be his last. Because of the loss of blood and oxygen to the brain, physicians say that Roy could have experienced some irreversible paralysis and brain damage, and may always need assistance even with basic activities, including walking. Often in cases like Roy Horn's, a patient also exhibits residual effects of brain in-

ANIMAL RIGHTS GROUPS INSIST SHOW TIGERS BE RETIRED.

jury such as speech difficulties, memory problems, emotional instability, and impaired critical thinking skills.

"My impression is that he had a significant injury that may prevent any type of return to their act," says Catherine Cooper, MD, an anesthesiologist in Richmond, Va., who has studied stroke and brain injury cases. "His motor function is unlikely to improve substantially, and although his mental function is already better than initially feared, his neurological recovery will be a slow process, measured in very small accomplishments."

But they are evident. By late January, his tracheal tube was out, allowing him to talk and ask for two of his favorite foods, pistachio ice cream and Wiener schnitzel. His mobility, too, had improved. Siegfried reported that Roy was standing up, and Yuman hinted he could be walking soon.

Still, those bright signs might not be enough to ensure Roy's return to the stage, and he may finally be ready to take that retirement he spoke of at his birthday party. If so, he will likely find some way to contribute, his friends say, if only at the "Secret Garden," the lush animal habitat behind the Mirage where Montecore now paces and fixes his visitors with icy blue eyes.

As of February there were no plans to rename the Siegfried & Roy Theatre, but the Mirage was in negotiations to bring in another show. Meanwhile the illusionists' faces still grace the Mirage marquee, giving hope that the two will return someday.

Siegfried says he would never take another partner. There's no need, he says; Roy will be back. "Roy is bigger than life. He always explained to me, 'Life is full of miracles.'"

BROADCAST SNOOZE

Every summer, a local news channel promotes water safety. With a rare flair for understatement not seen in most news shows, the reporter cautioned: "Don't let drowning ruin your summer." CAROL K

I was watching my local television news show when I heard the anchorman opine that the troubles in Iraq could have been avoided with better planning. "After all," he said, "it's not rocket surgery." SHEILA ALEXANDER

A reporter and cameraman were on the scene when a terrible fire consumed a large building. With the smoldering building—now a heap of twisted steel and broken glass—acting as a backdrop, the reporter asked the fire chief, "Was the fire hot?" DALE McLEMORE



GOVERNMENT

EXHIBIT

5-B

Page 4 of 4

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October 20, 2003

J. David Neal
Senior Investigator
USDA, APHIS, IES
3658 E. Chipman Road
Phoenix, AZ 85040

RE: YOUR CORRESPONDENCE AND REQUEST OF OCTOBER 7, 2003

Dear Mr. Neal:

In regards to your correspondence and request of October 7, 2003, I have reviewed same and have obtained documentation you requested. In that regard please consider our reply as follows:

1. Your request #1, you have requested video and audio recordings of the performance conducted on October 3, 2003, we will provide you an opportunity in the course of your investigation only to review the tape. No copies will be produced in respect to my client, his friends and many fans worldwide who would suffer from a public display of the untimely event. Please contact my office with a time convenient to you and we will arrange for your review privately of the video/audio.
2. Your request #2 for animal records on "Montecore", including medical records from January 1, 2003 to present. Please find enclosed such documentation.
3. Your request #3 for statements from witnesses. Please find enclosed a written statement from [REDACTED] October 6, 2003.
4. Your request #4 concerning animal logs from October 2nd through October 4th, 2003. Please find enclosed such documentation.
5. Your request #5 list of employees and stagehands that were working on October 3, 2003 during the performance. Please find enclosed the list of S & R Production Company employees as requested.

Your correspondence requesting answers to questions 1, 2, and 3, the answer to such questions is no for all three.

In closing, we have provided you with the information that you have requested while you are in the process of your investigation of the incident. I am hopeful that this will assist you while you are completing this matter and should you need any other information, or in fact wish to view the video/audio recordings of the October 3, 2003 performance along the lines outlined above, please do not hesitate to contact me personally to arrange such viewing. I remain,

cc: [REDACTED]



ANIMAL SHOW LAYOUT

DATE: 10-3-03

CRYSTAL CHAMBER

Jahan (1st) 2nd
Jaipur 1st 2nd
Apollo 1st 2nd

TREE OF LIFE

Jahan (1st) 2nd
Sarmoti (1st) 2nd
Apollo 1st 2nd

TREE OF LIFE TO DOME

Sarmoti (1st) 2nd

REPORT

Mantacore (1st) 2nd
She-Ra 1st 2nd
Apollo 1st 2nd
Aka-Sha 1st 2nd

SIX LIONS ON THE ROCK

(1st) 2nd

BREAK AWAY

Tajmah (1st) 2nd
Atlas 1st 2nd
Jaipur 1st 2nd

TRANSPOSITION

Mantacore (1st) 2nd
Jaipur 1st 2nd

MIRROR BALL

Kashmir (1st) 2nd
She-Ra 1st 2nd
Titan 1st 2nd
Apollo 1st 2nd

FINALE DOME

Vision	1st	2nd	Quest	(1st)	2nd
Destiny	1st	2nd	Atlas	1st	2nd
Jaipur	(1st)	2nd	Titan	(1st)	2nd
Sarmoti	(1st)	2nd	Kuma	1st	2nd
Aka-Sha	1st	2nd	Gandara	1st	2nd
Sitarra	1st	2nd			



More 10-1-03

- OSAKA GOT A BONE @ END OF NIGHT
- TOKYO GOT A BOX & BONE @ END OF NIGHT
- RED & ORISSA GOT BOXES w/ STAVED BONES @ END OF NIGHT

10-2-03

~~Mugamb~~ Baghikara next to Mugamb for the morning
Atlas sm. Rub by nose.

Bombay 2nd shift Hab.

Sheer got me

Babies in the area

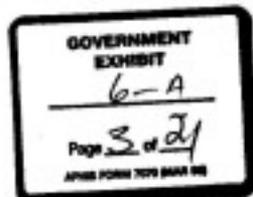
Slider open for Quest Division to visit next to Jaipur.

Whitening 2 after

10-3-03

ORISSA seemed to be out of season
SLIDER open between # 23 & # 24

Billed Prediction Quest Passion Destiny Joy Jaipur, Lewin Baroda & Tajmah.
Mull in S6 #2 AFTER NOON
RED 3rd Shift HABITAT



10-3-03 NIGHTS

- JIHAN, WENT AFTER TOKYO @ LOAD OUT.
- JAMIL & BARODA TO HABITAT / BLUE
& SAMSARA SACK TO COMPOUND
- TREATED JIHAN'S FOREHEAD
- BARBUL VISITED THEATER
- MR. ROY INJURED SEVERELY BY
MANTACORE DURING THE RESORT
ON STAGE.

10-4-03

- NOELE TO THE HABITAT
- BABIES & APOLLO OUT IN EXERCISE AREA FOR MIXING
- APOLLO OUT IN EX. #2
- Mystery & Secret Ratched
Mixed Vishnu Osissay Marwade Vishnu a little
pissy - Can get manou into Garden
Blue in ex 2 after hrs.
Lion Boys next to Ratched visited a little then
went outside & laid down

GOVERNMENT
EXHIBIT

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Page 4 of 21

APHS FORM 700 (MAY 88)

10-5-03

- Blue in ex area
- Lockout done 12:45pm - 9 Rooms in.
- Tajmah, Rewa to habitat
- Barada and Jaipur to compound
- 10-6-03

- KASHMIR TO THE HABITAT ~~2nd~~ SHIFT.
- NOELLE OUT IN EX # 2 AFTER HOURS.
- BOMBAY OUT IN EXERCISE AREA FOR THE AFTERNOON.
- Kashmir was nervous at first in habitat [REDACTED] set with her outside until she settled
- Bathed Pride Vision and Quest
- PV ~~at~~ next to Jaipur a couple hrs in AM. PV @ 1hr after feeding - going well.
- Asahi threw up her breakfast didn't eat dinner seems fine
- WHITE SEEMED TO HAVE RED SLOBBER IN P.M.
- OSAMA GOT A BONE

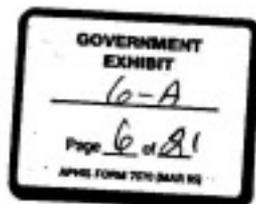


10-7-03

- * SAMARA AND BLUE TO THE HABITAT
- * TAJMAH & RENA BACK TO COMPOUND
- * APOLLO OUT IN EXERCISE AREA FOR THE AFTERNOON.
- * KASHMIR OUT IN S.G. EX. #4 AFTER HOURS.
- * ASABI BACK IN SEASON.
- * Boy for Osaka

10-8-03

- 2 shifts only @ habitat
- USDA here, looked at Manta Core
- [redacted] here & took blood from Manta Core. Checked eyes, ears, & mouth. looked at cat's paws etc. Pedaves taken.
- TIDAN slightly agitated today. Jumping at fence & talking alot.
- Boy for Tokyo
- Late night boy for OSAKA
- MANTACORE WAS OUT OF IT UNTIL ABOUT 8:30, THEN GOT UP & BECAME ACTIVE. LOOKS GOOD
- MANTAMA & KASHMIR GOT BOYES & SHAVEN BOYES



OCTOBER 2003
SAT 4TH

DAILY FOOD CHART

TEMPERATURE

NAME	S	AGE	Bus	MORNING	EVENING	TOTAL	MEDICATION	COMMENTS
Chico	M	25yr2m	X	1C1C+1B	2C+1H	5		
Sitarra	F	20yr6m	X	-S E E -	L O 6 -	6		
Nevada	M	17yr3m	X		8F	8	COSQ (BID)	
Vegas	F	17yr3m	X		8F	8		
Christmas	F	15yr10m	X		7½F	7½	COSQ + 20mg PRN (BID)	
Noele	F	15yr10m	X	2F	5F	7	COSQ (BID)	
Tokyo	M	14yr10m	X	3F	3F+3NB	7½	COSQ + 80mg PRN + 6.7mg PRN (BID)	
Osaka	M	14yr10m	X	1F+1NB	3F+3NB	8	COSQ (BID)	
Red	F	14yr3m	X		2F+4C	6		
White	F	14yr3m	X	2C	4F	6	COSQ + 60mg PRN (BID)	
Blue	M	14yr3m	X		8½F	8½		
Manchu	M	13yr6m	X		1F+1H	2	COSQ (BID)	
Mahatma	M	13yr1m	X		7F+3C	10	COSQ + 60mg PRN (BID)	
Samsara	F	13yr1m	X		6F	6	COSQ + 20mg PRN (BID)	
Bombay	M	11yr11m	X		13F	13	COSQ (BID)	
Barbul	M	11yr11m	X	3F	8F	11		
Agra	M	11yr11m	X	3F	8F	11		
Indus	M	11yr11m	X	2F	7F	9		
Boghkara	F	11yr4m	X		2C	2		
Kashmir	F	11yr1m	X		3½F+3½C	7	COSQ (BID)	
Rewa	M	11yr1m	X		9F	9	COSQ (BID)	
Jaipur	M	11yr1m	X	2F	6F	8	COSQ (BID)	
Tajmah	M	11yr1m	X		9F	9	COSQ (BID)	
Baroda	M	11yr1m	X		9F	9	7 KEFLEX (BID)	
Sarmoti	F	8yr10m	X		1F+4H	5		
Shaka	M	8yr10m	X		7F+2NB	9		
Mystery	M	8yr2m	X		8F	8		
Secret	M	8yr2m	X		5F+3NB	8		
Hope	F	8yr2m	X		5C	5		
Pride	M	7yr6m	X		5C+3F	8		
Joy	F	7yr6m	X		2H+3C	5	4 CHLOR (SID)	
Vishnu	M	7yr7m	X		9F	9		
Orissa	F	7yr5m	X		7½F	7½		
Narmada	F	7yr5m	X		6C	6		
Jahan	M	6yr10m	X		7F	7		
Mantacore	M	6yr10m	X		6½F	6½		
Shera	F	6yr10m	X		2F+4C	6		
Vision	M	6yr9m	X		3½F+3½C	7		
Quest	M	6yr9m	X		7F	7		
Destiny	F	6yr9m	X		2F+4C	6	4 CHLOR (SID)	

OCTOBER 2003
 FRI. 3RD

DAILY FOOD CHART

TEMPERATURE

NAME	S	AGE	Bus	MORNING	EVENING	TOTAL	MEDICATION	COMMENTS
Chico	M	25yr2m	X	1Ck+1B	2C+(1H)	3		
Sitarra	F	20yr6m		-SEE-	LOG	-		
Nevada	M	17yr3m	X		8F	8	COSQ (BID)	
Vegas	F	17yr3m	X		8F	8		
Christmas	F	15yr10m	X		7½F	6¾	COSQ + 20mg PRED (BID)	
Noele	F	15yr10m	X	2E	5F	7	COSQ (BID)	
Tokyo	M	14yr10m	X	3E	3F+3NB	4½	COSQ + 8 DOXYL + 6 TRYSW (BID)	
Osaka	M	14yr10m	X	1F+1NB	3F+3NB	8	COSQ (BID)	
Red	F	14yr3m	X		2F+4C	6		
White	F	14yr3m	X	2C	4F	6	COSQ + 60mg PRED (BID)	
Blue	M	14yr3m			8½F	8½		
Manchu	M	13yr6m	X		1F+1H	2	COSQ (BID)	
Mahatma	M	13yr1m			7F+3C	10	COSQ + 60mg PRED (BID)	
Samsara	F	13yr1m			6F	6	COSQ + 20mg PRED (BID)	
Bombay	M	11yr11m	X		13F	13	COSQ (BID)	
Barbul	M	11yr11m	X	3E	8F	11		
Agra	M	11yr11m	X	3E	8F	11		
Indus	M	11yr11m	X	2E	7F	9		
Boghkara	F	11yr4m	X		TAILS	-		
Kashmir	F	11yr1m	X		3½F+3½C	7	COSQ BID + 60mg PRED + 6 AMP (BID)	
Rewa	M	11yr1m	X		9F	9	COSQ (BID)	
Jaipur	M	11yr1m	X	2E	6F	8	COSQ (BID)	
Tajmah	M	11yr1m	X		9F	9	COSQ (BID)	
Baroda	M	11yr1m	X		9F	9	7 REFLEX (BID)	
Sarmoti	F	8yr10m	X		1F+4H	5		
Shaka	M	8yr10m	X		7F+2NB	9		
Mystery	M	8yr2m	X		8F	8		
Secret	M	8yr2m	X		5F+3NB	8		
Hope	F	8yr2m	X		5C	5		
Pride	M	7yr6m	X		5C+3F	8		
Joy	F	7yr6m	X		2H+3C	5	4 CHLOR (SID)	
Vishnu	M	7yr7m	X		9F	9		
Orissa	F	7yr5m	X		7½F	7½		
Narmada	F	7yr5m	X		6C	6		
Jahan	M	6yr10m	X		7F	7		
Mantacore	M	6yr10m	X		6½F	6½		
Shera	F	6yr10m	X		2F+4C	6		
Vision	M	6yr9m	X		3½F+3½C	7		
Quest	M	6yr9m	X		7F	7		
Destiny	F	6yr9m	X		2F+4C	6	4 CHLOR (SID)	

OCTOBER 2003

DAILY FOOD CHART

TEMPERATURE

THUR 2nd Thurs

NAME	S	AGE	Bus	MORNING	EVENING	TOTAL	MEDICATION	COMMENTS
Chico	M	25yr2m		2K+1BF	2C+1A	5		
Sitarra	F	20yr6m		—	5EE	6G		
Nevada	M	17yr3m			8F	8	Cosce (sin)	
Vegas	F	17yr3m			8F	8		
Christmas	F	15yr10m	X		7½F	7½	20 mg Pred + Cosce (sin)	
Noele	F	15yr10m	X	(2F)	5F (9)	6½	Cosce (sin)	
Tokyo	M	14yr10m	X	(3F)	3F+3NB (3)	6	8 Dexam + 6 fuproxyn + Cosce (sin)	
Osaka	M	14yr10m	X	(F+1NB)	3F+3NB	8	Cosce (sin)	
Red	F	14yr3m			2F+4L	6		
White	F	14yr3m		(2E)	4F	6	60mg Pred + Cosce (sin)	
Blue	M	14yr3m			8½F	8½		
Manchu	M	13yr6m			1F+1H+1A+1L	2+	Cosce (sin)	
Mahatma	M	13yr1m			7F+3L	10	60 mg Pred + Cosce (sin)	
Samsara	F	13yr1m			6F	6	20 mg Pred + Cosce (sin)	
Bombay	M	11yr11m	X	(3F)	13F	13	Cosce (sin)	
Barbul	M	11yr11m	X	(3F)	8F	11		
Agra	M	11yr11m	X	(3F)	8F	11		
Indus	M	11yr11m	X	(2F)	7F	9		
Boghkara	F	11yr4m			Humor			
Kashmir	F	11yr1m	X		Bones		Cosce (sin) + 60mg Pred + 6 Amp (sin)	
Rewa	M	11yr1m			9F	9	Cosce (sin)	
Jaipur	M	11yr1m	X	(2F)	8F	8	Cosce (sin)	
Tajmah	M	11yr1m			9F	9	Cosce (sin)	
Baroda	M	11yr1m	X		9F	9	7 Kaffex (sin)	
Sarmoti	F	8yr10m			1F+4H	5	Megestrol (sin)	
Shaka	M	8yr10m			7F+2NB	9		
Mystery	M	8yr2m	X		8F	8		
Secret	M	8yr2m	X		5F+3NB	8		
Hope	F	8yr2m	X		5L	5	Megestrol (sin)	
Pride	M	7yr6m	X		5L+3F	8		
Joy	F	7yr6m			Bones		Chloramphenicol + Megestrol (sin)	
Vishnu	M	7yr7m	X		9F	9		
Orissa	F	7yr5m			7½F	7½		
Narmada	F	7yr5m	X		6L	6		
Jahan	M	6yr10m	X		7F	7		
Mantacore	M	6yr10m	X		6½F	6½		
Shera	F	6yr10m	X		2F+4L	6	Megestrol (sin)	
Vision	M	6yr9m	X		3½F+3½L	7		
Quest	M	6yr9m	X		7F	7		
Destiny	F	6yr9m			Bones		Chloramphenicol + Megestrol (sin)	

ANIMAL TREATMENT SHEET

NAME Mantacore DRUG Keftex REASON Scrape to Chest
 DOSE- DIRECTIONS 5 Keftex BID

	Date:	Time:	Time:	Time:	Time:	Comments:
1.	6-20-03	10:00am	8:30			
2.	6-21	10:30	8:30			
3.	6-22	10:30	10:00			
4.	6-23	1030	9 PM			
5.	6-24	1030	9:00			
6.	6-25	10:00	10:00			
7.	6-26	1030	10:15			
8.	6-27	9:30	8:30			
9.	6-28	10:30	8:30			
10.	6-29	10:30	9:00			
11.						
12.						
13.						
14.						

DRUG _____ Dose-Directions _____

	Date:	Time:	Time:	Time:	Time:	Comments:
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
9.						
10.						
11.						
12.						
13.						
14.						

MAY 2003

TEMPERATURE

WED 14th

Vaccination CHART

NAME	S	AGE	Bus	MORNING	EVENING	TOTAL	MEDICATION	COMMENTS
NOT A FOIA DELETION								

All redactions on this page are pursuant to (b)(6) & (b)(7)(C)

ANTech Diagnostics
17672-A Cowan, Suite 200, Irvine, CA 92614 (800) 745-4725

Page: 1

Tel: [REDACTED]
Fax: [REDACTED]
Antech ID #: [REDACTED]

Reference No. IRAB48316868 Doctor [REDACTED] Client S-R Pet Name OAE Received 10/08/2003

Species Breed FELINE Sex M Pet Age 7 Years 06:05 Reported 10/09/2003

TEST PROCEDURE	RESULTS	ADULT REFERENCE RANGE	UNITS
Feline Total Health Check			
SUPERCHEM			
AST (SGOT)	15	10-100	IU/L
ALT (SCPT)	58	10-100	IU/L
T. BILIRUBIN	0.2	0.1-0.4	MG/DL
ALK PHOS	10	6-102	IU/L
GGT	1	1-10	IU/L
TOTAL PROTEIN	7.4	5.2-8.8	G/DL
ALBUMIN	3.6	2.5-3.9	G/DL
GLOBULIN	3.8	2.3-5.3	G/DL
A/G RATIO	0.9	0.35-1.5	
CHOLESTEROL	245 (H)	75-220	MG/DL
BUN	31	14-36	MG/DL
CREATININE	2.5 (H)	0.6-2.4	MG/DL
BUN/CREAT RATIO	12	4-33	
PHOSPHORUS	6.2	2.4-8.2	MG/DL
CALCIUM	9.7	8.2-10.8	MG/DL
GLUCOSE	97	64-170	MG/DL
AMYLASE	336	100-1200	IU/L
LIPASE	25	0-205	IU/L
SODIUM	150	145-158	MEQ/L
POTASSIUM	4.6	3.4-5.6	MEQ/L
NA/K RATIO	33	32-41	
CHLORIDE	119	104-128	MEQ/L
CPK	148	56-529	IU/L
TRIGLYCERIDE	76	25-160	MG/DL
OSMOLALITY, CALCULATED	316	299-330	mOSm/kg
MAGNESIUM	2.0	1.5-2.5	MEQ/L
COMPLETE BLOOD COUNT			
WBC	14.4	3.5-16.0	1000/UL
RBC	7.1	5.92-9.93	1000000/UL
HGB	13.1	9.3-15.9	G/DL
PCV	39	29-48	%
MCV	55	37-61	FL
MCH	18.4	11-21	PG
MCHC	34	30-38	%

COMMENT
RBC MORPHOLOGY NORMAL
Differential Absolute Percentage Reference Range
NEUTROPHILS 11808 (H) 82% 2500-8500 /UL



CONTINUED NEXT PAGE FINAL 10/10/2003 06:05 ACN# IRAB48316868

A N T E C H Diagnostics
 17672-A Cowan, suite 200, Irvine, CA 92614 (800) 745-4725

Page: 2

Reference No. IRAB48316868	Doctor [REDACTED]	Client S-R	Pet Name OAE	Received 10/08/2003
Species FELINE	Breed	Sex M	Pet Age 7 Years 06:05	Reported 10/09/2003

ADULT

TEST PROCEDURE	RESULTS	REFERENCE RANGE	UNITS
LYMPHOCYTES	1872	13%	1200-8000 /UL
MONOCYTES	432	3%	0-600 /UL
EOSINOPHILS	288	2%	0-1000 /UL
BASOPHILS	0	0%	0-150 /UL

PLATELET ESTIMATE

ADEQUATE

Platelet clumps present on slide; estimate reflects the contribution of clumped platelets. Clumping prevents accurate automated or manual platelet counting and falsely decreases the reported result, which reflects the MINIMUM platelet number. This is a common in vitro phenomenon, which can be induced by factors such as: tissue fluid from venipuncture, contact with glass, improper blood/anticoagulant ratio, and certain inflammatory or immune-mediated conditions.

T4 (RIA)

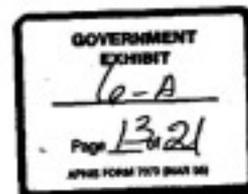
131. 131. T4 (RIA)	0.85	0.8-4.0	UG/DL
63. FELV (ELISA)	NEGATIVE	NEGATIVE	ANTIGEN
62. FIV (ELISA)	NEGATIVE	NEGATIVE	ELISA

FIV Interpretive Comment

Positive result indicates that antibody to Feline Immunodeficiency virus (FIV) is present. In kittens under 6 months old this may reflect passively acquired maternal antibodies; retesting is recommended after 6 months of age. Positive ELISA screening tests in cats over 6 months old indicate FIV infection but must be confirmed by Western Blot testing.

Negative result may indicate: 1) no FIV infection, or 2) FIV infection but inadequate time for seroconversion. Cats with potential exposure that test negative should be retested at least 3 to 4 months later. Kittens tested prior to 6 months old should be retested after 6 months whether their first test was positive or negative.

Equivocal result indicates a weak reaction (greater than the negative control, but less than the positive cutoff recommended by the manufacturer). FIV Western blot analysis is recommended for these cases.



FELINE CORONAVIRUS-IFA

FCV IFA AT 1:400 <1:400 <1:400 ANTIBODY

A positive FCV titer indicates exposure to a coronavirus. It does not differentiate between FIP, feline enteric coronavirus exposure, or

CONTINUED NEXT PAGE

FINAL 10/10/2003 06:05

ACN# IRAB48316868

A N T E C H Diagnostics

Page: 3

17672-A Cowan, Suite 200, Irvine, CA 92614 (800) 745-4725

Reference No. IRAB48316868	Doctor [REDACTED]	Client S-R	Pet Name OAE	Received 10/08/2003
-------------------------------	----------------------	---------------	-----------------	------------------------

Species	Breed	Sex	Pet Age	Reported
FELINE		M	7 Years 06:05	10/09/2003

ADULT

TEST PROCEDURE	RESULTS	REFERENCE RANGE	UNITS
----------------	---------	-----------------	-------

vaccination. Diagnosis of FIP should be based on history, physical examination, and other laboratory findings, including electrophoresis on effusions. FIP PCR testing on effusions, and/or FIP 7b ELISA testing on serum may be helpful in confirming FIP infection.

TOXOPLASMOSIS - IGG/IGM

TOXOPLASMOSIS - IGG	NEGATIVE
TOXOPLASMOSIS - IGM	NEGATIVE

TOXOPLASMOSIS INTERPRETATION

IgM negative, IgG negative

No serologic evidence of exposure to Toxoplasma gondii. However, some acutely infected cats are clinically ill prior to seroconversion. If clinical signs of toxoplasmosis are present, institute treatment and repeat serologic testing in 21 days to look for rising titers.

IgM >1:64, IgG negative or IgG >1:64

Results are most consistent with recent exposure or active Toxoplasma gondii infection. If clinical signs of Toxoplasmosis are present, institute treatment and repeat serologic testing in 21 days to look for rising titers.

IgM >1:256, IgG negative or IgG >1:64

Results are most consistent with active Toxoplasma gondii infection. No serologic test documents clinical toxoplasmosis, but IgM titers >1:256 have almost exclusively been detected in clinically ill cats.

IgM negative, IgG >1:64

Results are most consistent with chronic Toxoplasmosis. However, IgM titers decrease rapidly in some infected cats. If clinical signs of Toxoplasmosis are present, institute treatment and repeat serologic testing in 21 days to look for rising titers.

Report Notes:

A N T E C H Diagnostics
<<end of report>>

FINAL 10/10/2003 06:05

ACN# IRAB48316868



All redactions on this page are pursuant to (b)(6) & (b)(7)(c).

A N T E C H Diagnostics
17672-A Cowan, Suite 200, Irvine, CA 92614 (800) 745-4725

Page: 1

Tel: [REDACTED]
Fax: [REDACTED]
Antech ID #: [REDACTED]

Reference No. IRAB48316859	Doctor [REDACTED]	Client S-R	Pet Name MC96	Received 10/08/2003
Species FELINE	Breed	Sex M	Pet Age 7 Years 06:05	Reported 10/09/2003

TEST PROCEDURE	RESULTS	ADULT REFERENCE RANGE	UNITS
Feline Total Health Check			
SUPERCHEM			
AST (SGOT)	21	10-100	IU/L
ALT (SGPT)	49	10-100	IU/L
T. BILIRUBIN	0.2	0.1-0.4	MG/DL
ALK PHOS	10	6-102	IU/L
GGT	1	1-10	IU/L
TOTAL PROTEIN	7.4	5.2-8.8	G/DL
ALBUMIN	3.7	2.5-3.9	G/DL
GLOBULIN	3.7	2.3-5.3	G/DL
A/G RATIO	1.0	0.35-1.5	
CHOLESTEROL	245 (H)	75-220	MG/DL
BUN	36	14-36	MG/DL
CREATININE	2.6 (H)	0.6-2.4	MG/DL
BUN/CREAT RATIO	14	4-33	
PHOSPHORUS	6.4	2.4-8.2	MG/DL
CALCIUM	9.9	8.2-10.8	MG/DL
GLUCOSE	98	64-170	MG/DL
AMYLASE	334	100-1200	IU/L
LIPASE	25	0-205	IU/L
SODIUM	150	145-158	MEQ/L
POTASSIUM	4.6	3.4-5.6	MEQ/L
NA/K RATIO	33	32-41	
CHLORIDE	120	104-128	MEQ/L
CPK	159	56-529	IU/L
TRIGLYCERIDE	25	25-160	MG/DL
OSMOLALITY, CALCULATED	318	299-330	mOsm/kg
MAGNESIUM	2.2	1.5-2.5	mEq/L.
COMPLETE BLOOD COUNT			
WBC	14.9	3.5-16.0	1000/UL
RBC	6.9	5.92-9.93	1000000/UL
HGB	13.2	9.3-15.9	G/DL
PCV	38	29-48	%
MCV	55	37-61	FL
MCH	19.1	11-21	PG
MCHC	35	30-38	%
COMMENT			
RBC MORPHOLOGY	NORMAL		
Differential	Absolute	Percentage	Reference Range
NEUTROPHILS	12218 (H)	82%	2500-8500 /UL

GOVERNMENT
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 Page 12 of 21
 APHS FORM 706 (MAR 98)

CONTINUED NEXT PAGE

FINAL 10/10/2003 06:05

ACN# IRAB48316859

All redactions on this page are pursuant to (b)(6) & (b)(7)(c).

A N T E C H Diagnostics
17672-A Cowan, Suite 200, Irvine, CA 92614 (800) 745-4725

Page: 2

Reference No.	Doctor	Client	Pet Name	Received
IRAB48316859	[REDACTED]	S-R	MC96	10/08/2003
Species	Breed	Sex	Pet Age	Reported
FELINE		M	7 Years 06:05	10/09/2003

ADULT

TEST PROCEDURE	RESULTS	REFERENCE RANGE	UNITS
LYMPHOCYTES	1937	134	1200-8000 /UL
MONOCYTES	447	34	0-600 /UL
EOSINOPHILS	298	24	0-1000 /UL
BASOPHILS	0	04	0-150 /UL

PLATELET ESTIMATE

ADEQUATE

Platelet clumps present on slide; estimate reflects the contribution of clumped platelets. Clumping prevents accurate automated or manual platelet counting and falsely decreases the reported result, which reflects the MINIMUM platelet number. This is a common in vitro phenomenon, which can be induced by factors such as: tissue fluid from venipuncture, contact with glass, improper blood/anticoagulant ratio, and certain inflammatory or immune-mediated conditions.

T4 (RIA)

131. T4 (RIA)	1.17	0.8-4.0	UG/DL
63. FELV (ELISA)	NEGATIVE	NEGATIVE	ANTIGEN
62. FIV (ELISA)	NEGATIVE	NEGATIVE	ELISA

FIV Interpretive Comment

Positive result indicates that antibody to Feline Immunodeficiency virus (FIV) is present. In kittens under 6 months old this may reflect passively acquired maternal antibodies: retesting is recommended after 6 months of age. Positive ELISA screening tests in cats over 6 months old indicate FIV infection but must be confirmed by Western Blot testing.

Negative result may indicate: 1) no FIV infection, or 2) FIV infection but inadequate time for seroconversion. Cats with potential exposure that test negative should be retested at least 3 to 4 months later. Kittens tested prior to 6 months old should be retested after 6 months whether their first test was positive or negative.

Equivocal result indicates a weak reaction (greater than the negative control, but less than the positive cutoff recommended by the manufacturer). FIV Western blot analysis is recommended for these cases.



FELINE CORONAVIRUS-IFA

FCV IFA AT 1:400	<1:400	<1:400	ANTIBODY
------------------	--------	--------	----------

A positive FCV titer indicates exposure to a coronavirus. It does not differentiate between FIP, feline enteric coronavirus exposure, or

CONTINUED NEXT PAGE

FINAL 10/10/2003 06:05

ACN# IRAB48316859

A N T E C H Diagnostics
17672-A Cowan, Suite 200, Irvine, CA 92614 (800) 745-4725

Page: 3

Reference No.	Doctor	Client	Pet Name	Received
IRAB48316859	[REDACTED]	S-R	MC96	10/08/2003

Species Breed Sex Pet Age Reported
FELINE M 7 Years 06:05 10/09/2003

ADULT

TEST PROCEDURE RESULTS REFERENCE RANGE UNITS
vaccination. Diagnosis of FIP should be based on history, physical examination, and other laboratory findings, including electrophoresis on effusions. FIP PCR testing on effusions, and/or FIP 7b ELISA testing on serum may be helpful in confirming FIP infection.

TOXOPLASMOSIS - IGG/IGM

TOXOPLASMOSIS - IGG NEGATIVE
TOXOPLASMOSIS - IGM NEGATIVE

TOXOPLASMOSIS INTERPRETATION

IgM negative, IgG negative

No serologic evidence of exposure to Toxoplasma gondii. However, some acutely infected cats are clinically ill prior to seroconversion. If clinical signs of toxoplasmosis are present, institute treatment and repeat serologic testing in 21 days to look for rising titers.

IgM >1:64, IgG negative or IgG >1:64

Results are most consistent with recent exposure or active Toxoplasma gondii infection. If clinical signs of Toxoplasmosis are present, institute treatment and repeat serologic testing in 21 days to look for rising titers.

IgM >1:256, IgG negative or IgG >1:64

Results are most consistent with active Toxoplasma gondii infection. No serologic test documents clinical toxoplasmosis, but IgM titers >1:256 have almost exclusively been detected in clinically ill cats.

IgM negative, IgG >1:64

Results are most consistent with chronic Toxoplasmosis. However, IgM titers decrease rapidly in some infected cats. If clinical signs of Toxoplasmosis are present, institute treatment and repeat serologic testing in 21 days to look for rising titers.

Report Notes:

A N T E C H Diagnostics
<<end of report>>

FINAL 10/10/2003 06:05

ACN# IRAB48316859



ANIMAL MEDICAL PROCEDURE

NAME: Mantacore

PROCEDURE DATE: Wednesday, October 8, 2003

YEARS/MONTHS: 6 yrs/10 mos.
DOB: 12/26/96

SEX: M

TYPE: White Striped Tiger

WEIGHT: 381 lbs.

PROCEDURE: Physical Exam

LAST FOOD & WATER:

CURRENT MEDICATION:

ATTENDING PHYSICIAN: (b)(6), (b)(7)c

START TIME: 1:48 pm

COMPLETION TIME:



NAME: Mantacore

PHYSICAL EXAM

HAIR - COAT: Normal soft fur - No flaking of skin

NAF @ this time, W?

EENT (EYES, EARS, NOSE, THROAT): *Left eye - Star cataract & early stage - Retina Normal - Nucleus normal up to 1/2 way out*
Right eye - Normal lens - Retina Normal - Nucleus Normal - optic disc Normal

EXTREMITIES (ELBOWS):

- RF Elbow nearly normal skin pads good
- LF Elbow normal skin impairment pads normal
- RR pads - Normal NLS
- LR pads Normal - NLS

PADS:

- RF N
- LF N
- RR N
- LR N



NAME: Mantecore

CLINICAL PATHOLOGY

BLOOD PANEL: *yes - full panel*

CULTURES: *NONE*

URINALYSIS: *Bladder empty - (cystocentesis attempted)*

BIOPSIES: *NONE*

TAIL: *Normal*

REPRO EXAM: *- normal*

DENTAL EXAM: *teeth look good / Throat + tongue normal
clear mucous membranes.*



All redactions on this page are pursuant to (b)(6) & (b)(7)(c).

NAME: Mantacore

ANESTHESIA: Tuzh

380 + 100.0y intramuscular
med w-

HEART RATE: 110

RESP. RATE 20 under a mask



RECTAL TEMP: 100³

RADIOGRAPHS: NONE

REMARKS:

Normal excretion -
all left eye -
no abs found @ this time



GOVERNMENT
EXHIBIT
10-A
Page 2 of 21
APR 98 FORM 700 (MAY 95)

MORAN & ASSOCIATES

AN ASSOCIATION OF PROFESSIONAL CORPORATIONS
ATTORNEYS AT LAW
630 SOUTH 4TH STREET
LAS VEGAS, NEVADA 89101

JOHN T. MORAN, JR.
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JEFFERY A. BENDAVID
SHAWN R. HUGGINS
J. T. MORAN III

TELEPHONE (702) 384-8424

TELECOPIER (702) 384-6568

MORANLAWFIRM.COM

December 1, 2003

PERSONAL AND CONFIDENTIAL

J. David Neal
Senior Investigator
USDA, APHIS, IES
3658 E. Chipman Road
Phoenix, AZ 85040

RE: INCIDENT AT MIRAGE SHOW ROOM/ROY HORN

Dear Mr. Neal:

It has been some time since we last communicated relative to your investigation of the incident that occurred at the Mirage Hotel involving my client, Mr. Horn. As you know, we have cooperated fully and thoroughly with you and your office and have provided you with responses to all of your requests for information. It is my understanding that you have completed your report at this time and by this correspondence I am requesting a copy of same for our files and review. Could you advise me when I may be able to obtain a copy of this report, as well as whether or not you need any other update, information, or for us to provide any other items for your consideration. Thanking you in advance for your cooperation and I look forward to receiving a copy of the report together with your response. I remain,

(b)(6), (b)(7)c



GOVERNMENT
EXHIBIT

6-B

Page 1 of 1

APHIS FORM 7570 (MAR 02)



COPY

December 9, 2003

United States
Department of
Agriculture

Marketing and
Regulatory
Programs

Animal and
Plant Health
Inspection
Service

Investigative and
Enforcement Services

Subject: Request for Report

To: Teresa Lorenzano
Deputy Director, IES
Riverdale, Maryland

2150 Centre Ave.,
Bldg. B-3W10
Fort Collins, CO
80526-8116

970/494-7485
970/494-7487 (fax)

The following request was received from a (b)(7)c who represents Roy Horn of Siegfried and Roy, Las Vegas, Nevada. (b)(7)c is requesting a copy of the report involving his client and a tiger attack that occurred in October 2003. David Neal is the IES Investigator working on this investigation and to date, the investigation is not completed. David is awaiting results of a subpoena and information from the Las Vegas Law Enforcement community. It is not clear at this point if David's final report will support a violation of the AWA but someone should respond to (b)(7)c and explain the process to him in regards to his obtaining a copy of David's report.

If you have any questions, please give me a call.

Michael Ray
Assistant Regional Director, IES
Western Region
Fort Collins, CO

Cc: David Neal, Sr. Investigator, AZ





United States
Department of
Agriculture

Marketing and
Regulatory
Programs

Animal and
Plant Health
Inspection
Service

Investigative and
Enforcement Services

2150 Centre Ave.,
Bldg. B-3W10
Fort Collins, CO
80526-8116

970/494-7485
970/494-7487 (fax)

COPY

November 3, 2003

Subject: Request for Animal Care Subpoena – Nevada Case NV04001-AC (Siegfried
and
Roy)

To: Teresa Lorenzano
Deputy Director, IES
Riverdale, MD

A request for an administrative subpoena is being requested by Senior Investigator J. David Neal in regards to an Animal Care Investigation being conducted. On or about October 3, 2003, a large, white Tiger attacked Mr. Roy Horn dba Siegfried and Roy, Las Vegas, Nevada.

An in-house video tape was made during the act showing the actual attack on Mr. Horn. The attorney for Siegfried and Roy has declined to make a copy of this tape available to IES for use in the investigative report. The following information is being requested thru an administrative subpoena.

Copies of all video tapes, photographs, written statements, and audio recordings made and currently held by representatives of the Siegfried and Roy Show, MGM Mirage Hotel personnel documenting the white tiger attack of Roy Horn and copies of all video tapes, photographs, written statements, and audio recordings made by backstage personnel and or the audience documenting the white tiger attack on Roy Horn during the October 3, 2003 Siegfried and Roy performance at the Mirage Hotel, Las Vegas, Nevada.

Attorney for Siegfried and Roy: (b)(7)c
Attorney at Law
630 South 4th Street
Las Vegas, NV 89101
702-384-8424

President, MGM Mirage: (b)(7)c
MGM Mirage
3400 S. Las Vegas Blvd.
Las Vegas, NV 89109
702-791-7111
702-792-7676 (fax)
secretgarden@mirage.com



Once the Subpoena is issued, it should be sent to J. David Neal at 3658 Chipman Road, Phoenix, AZ 85040 for service as soon as possible as this is considered a HIGH Priority investigation.

If you have any questions, please contact me or David Neal.

Michael Ray
Assistant Regional Director, IES
Western Region

Cc: J. David Neal, Senior Investigator, IES, AZ



SUBPOENA DUCES TECUM

UNITED STATES OF AMERICA

UNITED STATES DEPARTMENT OF AGRICULTURE

To: (b)(7)c
Attorney at Law
630 South 4th Street
Las Vegas, NV 89101

You are hereby required to appear before Mr. J. David Neal and/or other authorized representatives of the Secretary of Agriculture of the United States, upon service of this subpoena on the day of 12th December, 2003, at your place of business, listed above at 630 South 4th Street, Las Vegas, NV 89101 at 10:00 a.m., local time, on that day to produce and give access for examination and copying by Mr. Neal and/or any other authorized representative of the Secretary of Agriculture the records identified below.

Records including but not limited to all written statements, photographs, audio recordings, and video tapes documenting the white tiger attack of Roy Horn that were made and/or currently held by representatives of the Siegfried and Roy Show, MGM Mirage Hotel personnel including backstage personnel, and/or the audience during the October 3, 2003, Siegfried and Roy performance.

These materials are essential in connection with an investigation by the Secretary of Agriculture concerning possible violations of the Animal Welfare Act, as amended (7 U.S.C. §§ 2131 *et seq.*) and the regulations and standards issued under (9 C.F.R. §§ 1.1 *et seq.*).



This subpoena is issued pursuant to section 16(c) of the Animal Welfare Act, as amended (7 U.S.C. § 2146(c)), and section 1.29 of the Administrative Regulations of the United States Department of Agriculture (7 C.F.R. § 1.29).

Fail Not At Your Peril.

Done at Washington, D.C.

This 21 day of November, 2003

Kevin Shea

Administrator

Animal and Plant Health Inspection Service

OGC Concurrence:

cc:

T. Fordahl, IES-WR, Fort Collins, CO

D. Neal, IES-WR, AZ

APHIS:IES:ARChristian:JFCruz:jfc:734-734-0012:11/18/03



(Please Print)

UNITED STATES DEPARTMENT OF AGRICULTURE

CERTIFICATE OF PERSONAL SERVICE

This is to certify that on December 2, 2003 at 1:58 P.M. (exact time and time zone)

I served personally upon [REDACTED]
(name and title of individual and name of firm) at his office/residence at _____

the following document(s): Subpoena Duces Tecum

Present mailing address of the person served:
1630 South 4th Street
Las Vegas, NV. 89101

I certify that this is an original document:

Date: December 2, 2003

Witnessed by: [REDACTED]
Title: Office Manager



MORAN & ASSOCIATES
AN ASSOCIATION OF PROFESSIONAL CORPORATIONS
ATTORNEYS AT LAW
630 SOUTH 4TH STREET
LAS VEGAS, NEVADA 89101

JOHN T. MORAN, JR.
JILL M. LYNNE
LEW BRANDON, JR.
JEFFERY A. BENDAVID
SHAWN R. HUGGINS
J. T. MORAN III

TELEPHONE (702) 384-8424

TELECOPIER (702) 384-6568

MORANLAWFIRM.COM

December 12, 2003J

David Neal
Senior Investigator
USDA, APHIS, IES
3658 E. Chipman Road
Phoenix, AZ 85040

RE: SUBPOENA DUCES TECUM ISSUED TO (b)(7)(C)

David
Dear Mr. Neal:

I am in receipt of your Subpoena Duces Tecum concerning "all written statements, photographs, audio recordings and video tapes" relative to the incident, which is the subject matter of your subpoena. First of all, we have cooperated thoroughly and completely with all of your requests throughout your investigation. Our cooperation with you and other members of your staff have included allowing you access to stage areas, animals, veterinarian reports, as well as all written statements and information as requested by you. Additionally, you were allowed to review a DVD setting forth the incident at the Mirage with the additional offer that you and your staff could review same at any time in the future in the course of your investigation.

Secondly, it is clear to me that all of our prior cooperation with you and your office has resulted in absolutely nothing other than your ill advised subpoena duces tecum to me, which I must say is very surprising based on the level of cooperation we have given you. Your conduct at this late date in issuing a subpoena as outlined above certainly causes me to re-evaluate the credibility of you and your office and the working relationship that we had established throughout the course of this matter.

Thirdly, I am surprised that you would issue this subpoena duces tecum to me personally since you are well aware of the fact that I do not possess any tapes or DVDs whatsoever concerning this incident which you seek untimely at this juncture. Arrangements were made at your request to those individuals and business establishments that have access and possession of such performance and therefore no further information is in my possession that has not already been provided to you in the past. As you may know, it is impossible to produce something that has already been produced to you voluntarily, as



well as no production can be made with materials that are not in my possession. My suggestion to you is to look to other sources since you have all the materials that are in possession of my offices.

(b)(7)c

A large black rectangular redaction box covering several lines of text.

cc: (b)(6), (b)(7)c

A black rectangular redaction box covering the names of the recipients in the carbon copy list.



United States
Department of
Agriculture

Marketing and
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Investigative and
Enforcement Services

2150 Centre Ave.,
Bldg. B-3W10
Fort Collins, CO
80526-8116

970/494-7485
970/494-7487 (fax)

January 16, 2004

Subject: Request for Animal Care Subpoena – Nevada Case NV04001-AC (Siegfried and Roy)

To: Teresa Lorenzano
Deputy Director, IES
Riverdale, MD

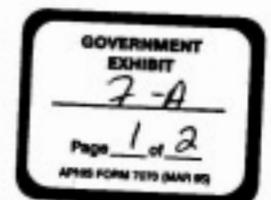
A request for an administrative subpoena is being requested by Senior Investigator J. David Neal in regards to an Animal Care Investigation being conducted. On or about October 3, 2003, a large, white Tiger attacked Mr. Roy Horn dba Siegfried and Roy, Las Vegas, Nevada.

An in-house video tape was made during the act showing the actual attack on Mr. Horn. The attorney for Siegfried and Roy has declined to make a copy of this tape available to IES for use in the investigative report. The following information is being requested thru an administrative subpoena.

Copies of all video tapes, photographs, written statements, and audio recordings made and currently held by representatives of the Siegfried and Roy Show, MGM Mirage Hotel personnel documenting the white tiger attack of Roy Horn and copies of all video tapes, photographs, written statements, and audio recordings made by backstage personnel and or the audience documenting the white tiger attack on Roy Horn during the October 3, 2003 Siegfried and Roy performance at the Mirage Hotel, Las Vegas, Nevada.

The subpoena should be issued to: (b)(7)c
Manager
3600 S. Las Vegas Blvd.
Las Vegas, NV 89109.

Once the Subpoena is issued, it should be sent to J. David Neal at 3658 Chipman Road, Phoenix, AZ 85040 for service as soon as possible as this is considered a HIGH Priority investigation.

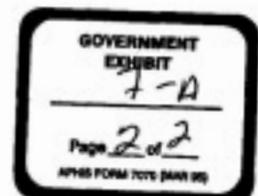


If you have any questions, please contact me or David Neal.

Michael Ray

Michael Ray
Assistant Regional Director, IES
Western Region

Cc: J. David Neal, Senior Investigator, IES, AZ



MIRAGE

FEB 12 2004

MARK RUSSELL

SUBPOENA DUCES TECUM

UNITED STATES OF AMERICA

UNITED STATES DEPARTMENT OF AGRICULTURE

To: (b)(7)c
Manager
3400 S. Las Vegas Blvd.
Las Vegas, NV 89101

You are hereby required to appear before Mr. J. David Neal and/or other authorized representatives of the Secretary of Agriculture of the United States, upon service of this subpoena on the day of 19 February, 2004, at your place of business, listed above at 3600 S. Las Vegas Blvd, Las Vegas, NV 89101 at 11:00 a.m., local time, on that day to produce and give access for examination and copying by Mr. Neal and/or any other authorized representative of the Secretary of Agriculture the records identified below.

Records including but not limited to all video tapes, photographs, written statements, and audio recordings made and/or currently held by representatives of the Siegfried and Roy Show, MGM Mirage Hotel personnel documenting the white tiger attack of Roy Horn and copies including but not limited to all video tapes, photographs, written statements, and audio recordings made by backstage personnel and/or the audience documenting the white tiger attack on Roy Horn during the October 3, 2003 Siegfried and Roy performance at the Mirage Hotel, Las Vegas, Nevada.

These materials are essential in connection with an investigation by the Secretary of Agriculture concerning possible violations of the Animal Welfare Act, as amended (7 U.S.C. §§ 2131 *et seq.*) and the regulations and standards issued under (9 C.F.R. §§ 1.1 *et seq.*).

This subpoena is issued pursuant to section 16(c) of the Animal Welfare Act, as amended (7 U.S.C. § 2146(c)), and section 1.29 of the Administrative Regulations of the United States Department of Agriculture (7 C.F.R. § 1.29).

Fail Not At Your Peril.

Done at Washington, D.C.

This 10 day of February, 2004

W. R. DeLoach
Administrator



NEVADA SECRETARY OF STATE

THIS DATA IS FOR INFORMATIONAL PURPOSES ONLY

**CERTIFICATION CAN ONLY BE OBTAINED THROUGH THE ISSUING
GOVERNMENT AGENCY**

NEVADA SECRETARY OF STATE

Company Name: MIRAGE CASINO-HOTEL, THE

Type: REGULAR (DOMESTIC)

Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)

Standing: CURRENTLY IN GOOD STANDING

Status Date: 10/10/2003

Filing Date: 9/30/1986

Duration: PERPETUAL

State or Country of Incorporation: NEVADA

Purpose: ALL LEGAL ACTIVITIES; HAS NO GAMING

Registered Agent:

Status: ACCEPTED

Creation Date: 1/23/2002

Companies Represented: 37

Companies in Good Standing: 30

Registered Office:

3600 LAS VEGAS BLVD SOUTH
LAS VEGAS, NV 89109

Additional Information: Consent to Use Name Date: 2/23/1988

Filing Number: C6849-1986

Annual Report:

Date Due: 2004

Report Date: 10/10/2003

Comments: ANNUAL LIST OF OFFICERS; Number of Officers Reported: 3

Stock Information:

Number of No Par Shares: 1,000



Type: LIMITED LIABILITY COMPANY (DOMESTIC)

Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)

Standing: CURRENTLY IN GOOD STANDING

Status Date: 8/5/2003

Filing Date: 7/13/2000

Duration: PERPETUAL

Expiration Date: 7/13/2500

State or Country of Incorporation: NEVADA

Purpose: ALL LEGAL ACTIVITIES; FED/1CC; HAS NO GAMING

Registered Agent:

[REDACTED]

Status: ACCEPTED

Creation Date: 1/23/2002

Companies Represented: 37

Companies in Good Standing: 30

Registered Office:

3600 LAS VEGAS BLVD SOUTH
LAS VEGAS, NV 89109

Members, Managers, Partners:

[REDACTED]

MANAGER

3600 S LAS VEGAS BLVD S
LAS VEGAS, NV 89109
Added Date: 7/13/2000

[REDACTED]

MANAGER

3600 S LAS VEGAS BLVD S
LAS VEGAS, NV 89109
Added Date: 8/9/2001

[REDACTED]

MANAGER

3600 S LAS VEGAS BLVD S
LAS VEGAS, NV 89109
Added Date: 8/9/2001



10007

♦ Source Document(s)

Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE NY COUNTY CLERK

Creditor(s): MGM GRAND HOTEL INC 3799 LAS VEGAS BLVD LAS VEGAS NV

Debtor(s): [REDACTED]

Amount: \$255,185

Type: JUDGMENTS DOCKET

Filing Date: 09/20/1996

Release Date: 09/20/2016

Filing Number: 96CV00312H

Attorney: [REDACTED] - 271 MADISON AVE SUITE 1108 NY NY 10016

♦ Source Document(s)

Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE QUEENS COUNTY CLERK

Creditor(s): MGM GRAND HOTEL INC 3799 LAS VEGAS BLVD LAS VEGAS NV

Debtor(s): [REDACTED]

Amount: \$255,185

Type: JUDGMENTS DOCKET

Filing Date: 09/20/1996

Release Date: 09/20/2016

Filing Number: D032612/96

Attorney: [REDACTED] - 271 MADISON AVE STE 1108 NEW YORK NY

♦ Source Document(s)

Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE QUEENS COUNTY CLERK

Creditor(s): THE MIRAGE CASINO HOTEL 3400 LAS VEGAS BLVD LAS VEGAS NV

Debtor(s): [REDACTED]

Amount: \$17,884

Type: JUDGMENTS DOCKET

Filing Date: 03/21/1996

Release Date: 03/21/2016

Filing Number: DO18294-96

Attorney: [REDACTED] - 7 DEY NEW YORK NY

♦ Source Document(s)

Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE KINGS COUNTY CLERK

Creditor(s): THE MIRAGE CASINO AND HOTEL 3400 LAS VEGAS BLVD LAS VEGAS NV 89101

Debtor(s): [REDACTED]

Amount: \$29,515

Type: JUDGMENTS DOCKET

Filing Date: 02/22/1996

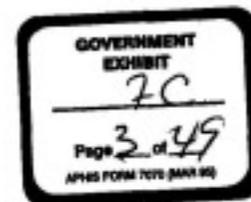
Release Date: 02/22/2016

Filing Number: 50699/95

Attorney: CYE E ROSS - 150 NASSAU ST NY NY 10038

♦ Source Document(s)

Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE NY COUNTY



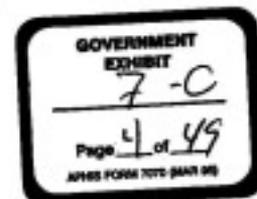
Debtor(s) [REDACTED]
Amount: \$38,890
Type: JUDGMENTS DOCKET
Filing Date: 11/03/1999
Release Date: 11/03/2019
Filing Number: 17433/99
Attorney: [REDACTED] - 271 MADISON AVENUE NEW YORK NY 10016
♦ [Source Document\(s\)](#)

Jurisdiction: JUDGMENTS FROM NEW JERSEY SUPERIOR COURT
Creditor(s): MGM GRAND HOTEL
Debtor(s) [REDACTED]
Amount: \$88,713
Type: JUDGMENT
Filing Date: 02/09/1999
Filing Number: DJ-047428-1999
Attorney: [REDACTED]
♦ [Source Document\(s\)](#)

Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE QUEENS COUNTY CLERK
Creditor(s): MGM GRAND HOTEL INC. 3799 LAS VEGAS BLVD LAS VEGAS NV
Debtor(s) [REDACTED]
Amount: \$87,134
Type: JUDGMENTS DOCKET
Filing Date: 10/16/1998
Release Date: 10/16/2018
Filing Number: D019631/98
Attorney: [REDACTED] 271 MADISON AVE NEW YORK NY 10016
♦ [Source Document\(s\)](#)

Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE NY COUNTY CLERK
Creditor(s): MGM GRAND HOTEL INC 3799 LAS VEGAS BLVD S LAS VEGAS NV 89109
Debtor(s) [REDACTED]
Amount: \$112,956
Type: JUDGMENTS DOCKET
Filing Date: 07/29/1997
Release Date: 07/29/2017
Filing Number: 18MS302
Attorney: [REDACTED] - 271 MADISON AVE NY NY 10016
♦ [Source Document\(s\)](#)

Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE NY COUNTY CLERK
Creditor(s): MGM GRAND HOTEL INC. 3799 LAS VEGAS BLVD LAS VEGAS NV
Debtor(s) [REDACTED]
Amount: \$2,327
Type: JUDGMENTS DOCKET
Filing Date: 12/10/1996
Release Date: 12/10/2017
Filing Number: 034546-96
Attorney: ROSS,SUCHOFF,EGERT,HANKIN,MAIDENBAUM ETC - 195 B'WAY NY NY



Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE RICHMOND COUNTY CLERK

Creditor(s): MGM GRAND HOTEL INC 3799 LAS VEGAS BLVD LAS VEGAS NV

Debtor(s): [REDACTED]

Amount: \$46,229

Type: JUDGMENTS DOCKET

Filing Date: 04/18/2000

Release Date: 05/22/2020

Filing Number: 121588/99

◆ [Source Document\(s\)](#)

Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE QUEENS COUNTY CLERK

Creditor(s): MGM GRAND HOTEL, INC 3799 LAS VEGAS BLVD SOUTH LAS VEGAS NEVADA

Debtor(s): [REDACTED]

Amount: \$35,285

Type: JUDGMENTS DOCKET

Filing Date: 04/12/2000

Release Date: 04/12/2020

Filing Number: 23263/99

Attorney: [REDACTED] 271 MADISON AVENUE STE 1108 NEW YORK NY 10016

◆ [Source Document\(s\)](#)

Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE KINGS COUNTY CLERK

Creditor(s): MGM GRAND HOTEL INC 3799 LAS VEGAS BLVD S LAS VEGAS NV

Debtor(s): [REDACTED]

Amount: \$71,718

Type: JUDGMENTS DOCKET

Filing Date: 02/25/2000

Release Date: 02/25/2020

Filing Number: 31397/99

Attorney: [REDACTED] - 271 MADISON AVE SUITE 1108 NY NY 10016

◆ [Source Document\(s\)](#)

Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE KINGS COUNTY CLERK

Creditor(s): MGM GRAND HOTEL INC 3799 LAS VEGAS BLVD LAS VEGAS NV

Debtor(s): [REDACTED]

Amount: \$30,026

Type: JUDGMENTS DOCKET

Filing Date: 01/20/2000

Release Date: 01/20/2020

Filing Number: 39950/99

Attorney: [REDACTED] - 271 MADISON AVE NY NY 10016

◆ [Source Document\(s\)](#)

Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE KINGS COUNTY CLERK

Creditor(s): MGM GRAND HOTEL INC 3799 LAS VEGAS BLVD LAS VEGAS NV



89109
Debtor(s): [REDACTED]
Amount: \$165,178
Type: JUDGMENTS DOCKET
Filing Date: 10/07/2001
Release Date: 01/11/1922
Attorney: GOLDMAN FRIER & ALTESMAN - 7 DEY STREET 8 FL NEW YORK NY 10007
♦ [Source Document\(s\)](#)

Jurisdiction: JUDGMENTS FROM NEW JERSEY SUPERIOR COURT
Creditor(s): MGM GRAND HOTEL INC
Debtor(s): [REDACTED]
Amount: \$34,537
Type: JUDGMENT
Filing Date: 04/10/2001
Filing Number: DJ-060706-2001
Attorney: [REDACTED]
♦ [Source Document\(s\)](#)

Jurisdiction: JUDGMENTS FROM NEW JERSEY SUPERIOR COURT
Creditor(s): MGM GRAND HOTEL INC
Debtor(s): [REDACTED]
Amount: \$55,550
Type: JUDGMENT
Filing Date: 04/10/2001
Filing Number: DJ-060720-2001
Attorney: [REDACTED]
♦ [Source Document\(s\)](#)

Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE QUEENS COUNTY CLERK
Creditor(s): MGM GRAND HOTEL INC., ETAL 3799 LAS VEGAS BLVD SO. LAS VEGAS NV
Debtor(s): [REDACTED]
Amount: \$48,566
Type: JUDGMENTS DOCKET
Filing Date: 10/11/2000
Release Date: 10/11/2020
Filing Number: 5053/00
Attorney: [REDACTED] P.C. - 271 MADISON AVE -STE 1108 NEW YORK NY 10016
♦ [Source Document\(s\)](#)

Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE KINGS COUNTY CLERK
Creditor(s): MGM GRAND HOTEL INC 3799 LAS VEGAS BLVD LAS VEGAS NV
Debtor(s): [REDACTED]
Amount: \$46,609
Type: JUDGMENTS DOCKET
Filing Date: 06/08/2000
Release Date: 06/08/2020
Filing Number: 40727/99
Attorney: [REDACTED] - 271 MADISON AVE NY NY 10016
♦ [Source Document\(s\)](#)

Jurisdiction: JUDGMENTS FROM NEW JERSEY SUPERIOR COURT
Creditor(s): GNLV CORPORATION
Debtor(s): [REDACTED]
Amount: \$8,907
Type: JUDGMENT
Filing Date: 02/19/2003
Filing Number: DJ-046294-2003
Attorney: NUDELMAN NUDELMAN & ZIERING
♦ [Source Document\(s\)](#)

Jurisdiction: JUDGMENTS STATE OF PENNSYLVANIA
Creditor(s): MGM GRAND HOTEL LLC
Debtor(s): [REDACTED]
Amount: \$25,227
Type: JUDGMENT
Filing Date: 10/18/2002
Filing Number: 021002049
♦ [Source Document\(s\)](#)

Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE RICHMOND COUNTY CLERK
Creditor(s): THE MIRAGE CASINO HOTEL POBOX 7777 LAS VEGAS NV 89177
Debtor(s): [REDACTED]
Amount: \$84,021
Type: JUDGMENTS DOCKET
Filing Date: 06/10/2002
Release Date: 06/10/2022
Filing Number: 10211/02
♦ [Source Document\(s\)](#)

Jurisdiction: JUDGMENTS FROM NEW JERSEY SUPERIOR COURT
Creditor(s): MGM GRAND HOTEL LLC
Debtor(s): [REDACTED]
Amount: \$66,151
Type: JUDGMENT
Filing Date: 02/05/2002
Filing Number: DJ-031313-2002
Attorney: [REDACTED]
♦ [Source Document\(s\)](#)

Jurisdiction: JUDGMENTS FROM NEW JERSEY SUPERIOR COURT
Creditor(s): DESTRON INC MGM GRAND HOTEL LLC
Debtor(s): [REDACTED]
Amount: \$50,025
Type: JUDGMENT
Filing Date: 01/31/2002
Filing Number: DJ-026001-2002
Attorney: BOURNE NOLL & KENYON
♦ [Source Document\(s\)](#)

Jurisdiction: JUDGEMENTS AND LIEN FILINGS FROM THE OFFICE OF THE QUEENS COUNTY CLERK
Creditor(s): MIRAGE CASINO - HOTEL 3400 LAS VEGAS BLVD S LAS VEGAS NV

Creditor(s): [REDACTED]
Debtor(s): [REDACTED]
Type: CIVIL NEW FILING
Filing Date: 08/10/1993
Filing Number: 934134611
♦ Source Document(s)

Jurisdiction: STATE OF NEVADA - CLARK COUNTY JUSTICE COURT
Creditor(s): LEE BEHREN SILKS
Debtor(s): DESIGN TRENDS INC
Amount: \$703
Type: CIVIL JUDGMENT
Filing Date: 02/22/1993
Filing Number: 92C002909
♦ Source Document(s)

Jurisdiction: JUDGMENTS PHILADELPHIA COUNTY
Creditor(s): REMINGTON LAMP CO
Debtor(s): DESIGN CENTER
Amount: \$2,411
Type: JUDGMENT
Filing Date: 02/05/1993
Filing Number: 92123030360
♦ Source Document(s)

Jurisdiction: STATE OF NEVADA - CLARK COUNTY JUSTICE COURT
Creditor(s): MAITLAND SMITH USA INC
Debtor(s): DESIGN TRENDS INC
Amount: \$3,331
Type: CIVIL JUDGMENT
Filing Date: 12/14/1992
Filing Number: 92C002400
♦ Source Document(s)

Jurisdiction: STATE OF NEVADA - CLARK COUNTY JUSTICE COURT
Creditor(s): CANDELLA LIGHTING CO INC
Debtor(s): DESIGN TREND INC
Amount: \$2,064
Type: SMALL CLAIMS JUDGMENT
Filing Date: 11/02/1992
Filing Number: 92A005757
♦ Source Document(s)

Jurisdiction: JUDGMENTS FROM NEW JERSEY SUPERIOR COURT
Creditor(s): MIRAGE CASINO HOTEL
Debtor(s): [REDACTED]
Amount: \$120,000
Type: JUDGMENT
Filing Date: 04/24/2003
Filing Number: DJ-097558-2003
Attorney: MCCARTHY & SCHATZMAN
♦ Source Document(s)



Filing Date: 09/22/1998
Filing Number: 98092201174
♦ [Source Document\(s\)](#)

Jurisdiction: STATE OF NEVADA - CLARK COUNTY DISTRICT COURT
Creditor(s): CLARK COUNTY SCHOOLS FEDERAL C U
Debtor(s): DESIGN TRENDS INC
Amount: \$162,179
Type: CIVIL JUDGMENT
Filing Date: 08/29/1996
Filing Number: A352886
♦ [Source Document\(s\)](#)

Jurisdiction: JUDGMENTS STATE OF PENNSYLVANIA
Creditor(s): [REDACTED]
Debtor(s): MIRAGE CASINO HOTEL
Amount: \$5,000
Type: JUDGMENT
Filing Date: 03/11/1996
Filing Number: 950701169
♦ [Source Document\(s\)](#)

Jurisdiction: STATE OF NEVADA - CLARK COUNTY DISTRICT COURT
Creditor(s): [REDACTED]
Debtor(s): MIRAGE CASINO HOTEL
Amount: \$1,539
Type: CIVIL JUDGMENT RELEASE
Filing Date: 02/09/1996
Release Date: 03/14/1996
Filing Number: A348138
♦ [Source Document\(s\)](#)

Jurisdiction: STATE OF NEVADA - CLARK COUNTY JUSTICE COURT
Creditor(s): [REDACTED]
Debtor(s): THE MIRAGE CASINO HOTEL
Amount: \$500
Type: SMALL CLAIMS JUDGMENT RELEASE
Filing Date: 12/07/1994
Release Date: 12/28/1994
Filing Number: 94A004425001
♦ [Source Document\(s\)](#)

Jurisdiction: STATE OF NEVADA - CLARK COUNTY DISTRICT COURT
Creditor(s): [REDACTED]
Debtor(s): MIRAGE CASINO HOTEL
Amount: \$24,844
Type: CIVIL JUDGMENT RELEASE
Filing Date: 03/02/1994
Release Date: 03/02/1994
Filing Number: A315434
♦ [Source Document\(s\)](#)

Jurisdiction: STATE OF TEXAS - HARRIS DISTRICT COURT



JUDGMENT INFORMATION

Jurisdiction: CLARK COUNTY DISTRIC
Creditor(s) [REDACTED]
Debtor(s): MGM GRAND INC
Amount: \$8250
Type: JUDG-FILED
Filing Date: 05/15/2001
Filing Number: A410796
♦ Source Document(s)

Jurisdiction: CLARK COUNTY DISTRIC
Creditor(s) [REDACTED]
Debtor(s): GOLDEN NUGGET MANUFACTURING
Amount: \$1397
Type: JUDG-SAT
Filing Date: 01/19/2001
Filing Number: A369877
♦ Source Document(s)

Jurisdiction: NEW YORK COUNTY CLERK, NEW YORK
Creditor(s): MGM GRAND HOTEL INC
Debtor(s): MGM GRAND HOTEL CASINO
Amount: \$46,229
Type: JUDGMENT
Filing Date: 04/18/2000
♦ Source Document(s)

Jurisdiction: NEW YORK COUNTY REG
Creditor(s): MGM GRAND HOTEL INC
Debtor(s): MGM GRAND INC
Amount: \$46229
Type: JUDG-FILED
Filing Date: 04/18/2000
Filing Number: 001273570
♦ Source Document(s)

Jurisdiction: STATE OF NEVADA - CLARK COUNTY RECORDER (RD)
Creditor(s): STATE OF NEVADA
Debtor(s): THE DESIGN CENTER (dba)
Amount: \$8,971
Type: STATE TAX LIEN RELEASE
Filing Date: 09/22/1998
Release Date: 10/15/1998
Filing Number: 98101500559
♦ Source Document(s)

Jurisdiction: STATE OF NEVADA - CLARK COUNTY RECORDER (RD)
Creditor(s): STATE OF NEVADA
Debtor(s): THE DESIGN CENTER (dba)
Amount: \$8,971
Type: STATE TAX LIEN



FEIN:

NV, C4123-1988
NV, C4547-1981
NV, C508-1949
NV, C6849-1986
NV, C7671-1997
NV, C8464-1983
NV, C8972-1991
NV, LLC2427-2001
NV, LLC6875-2000
NY, 3260
NY, 614960
TX, 0005797706
08-8021532
08-8135579
22-0264242
78-3103545
86-0863207
88-0058016
88-0108587
88-0122788
88-0135579
88-0173596
88-0215232
88-0224157
88-0230514
88-0237066
88-0260416
88-0276650
88-0279092
88-0303101
88-0303364
88-0339740
88-0354792
88-0368826
88-0373099
91-1829052
91-1829057
94-3373856
94-6645530

◆ Source Document(s)

◆ Source Document(s)

BANKRUPTCY INFORMATION

United States Bankruptcy Court: UNITED STATES BANKRUPTCY COURT
Petitioner: DESIGN TRENDS INC
Case Number: 000000009321271
Filing Date: 05/23/1994
Type: BK-7-DISM
◆ Source Document(s)



Experian:	60-322-9261 60-380-6563 60-529-9478 61-109-3998 Y00000995 Y00231058 Y00447987 Y00884854 Y00990302 Y01671176 Y01702816 Y01709347 Y01987398 Y02056316 Y02089459 Y02104420 Y02145290 Y02263286 Y02553704 Y02711238 Y02940176 Y03842462 Y04692663 Y04916454	♦ <u>Source Document(s)</u>
ABI No:	403968464 476248133 529741985 563763432 808058473 856154422	♦ <u>Source Document(s)</u>
Natl. Reg. Pub. No:	59110-000 59110-001 59110-004 59110-005 59110-010 59110-011 59110-012 59110-013 59110-014	● <u>Source Document(s)</u>
CUSIP: State ID(s):	552953101 AZ, F00415946 CA, 1594364 IA, 141502 LA, 392456 MS, 686820 MS, 686821 MS, 687310 MS, 692051 NV, C10871-1989 NV, C1348-1974 NV, C1443-1998 NV, C22575-1998 NV, C3546-1982	● <u>Source Document(s)</u> ● <u>Source Document(s)</u>

701111	
701111	RESORTS
723106	
738999	
792903	
799302	CASINOS
864121	
999977	
45220101	
58120310	
59470100	
65529901	
70110300	
70110301	
73199901	
87419902	
99990001	
99992222	
99998888	
2ND SIC:	
4512	AIR TRANSPORTATION, SCHEDULED
5812	EATING PLACES
7011	- Hotels and motels
7011	HOTELS, MOTELS, & TOURIST COURTS
7999	AMUSEMENT RECREATION SERVICES, NE
8742	MANAGEMENT CONSULTING SERVICES
581208	RESTAURANTS
651201	SHOPPING CENTERS & MALLS
701101	HOTELS & MOTELS
723106	BEAUTY SALONS
729935	WEDDING CHAPELS
799302	CASINOS
799919	CARNIVALS
874213	MARKETING PROGRAMS & SERVICES

♦ Source Document(s)

Company ID Numbers:

DUNS No:	00-471-5566
	00-485-3052
	00-697-0883
	03-417-5625
	03-875-7993
	04-960-2365
	06-206-9968
	06-457-5475
	07-362-4694
	09-685-5853
	15-899-9164
	17-578-1913
	17-957-3472
	18-405-0417
	18-789-3516
	60-205-2706



FOR SERVING SOS MAIL TO
P O BOX 610 LAS VEGAS, NV 89125
♦ Source Document(s)

MIRAGE RESORTS, INCORPORATED
3400 LAS VEGAS BLVD S LAS VEGAS, NV 89109
♦ Source Document(s)

[REDACTED]
3799 LAS VEGAS BLVD SO. LAS VEGAS, NV 89109
● Source Document(s)

[REDACTED]
200 N CONGRESS ST #310 JACKSON, MS 39202
♦ Source Document(s)

LIONEL SAWYER & COLLINS
1700 B OF A PLAZA 300 S. 4TH ST. LAS VEGAS, NV 89101
♦ Source Document(s)

[REDACTED]
3155 W. HARMON LAS VEGAS, NV 89103
♦ Source Document(s)

Industry Information:

SIC Codes:

1522	- General Contractors -- Residential Buildings, Other Than Single-Family
1799	(SPECIAL TRADE CONTRACTORS, NEC)
4512	AIR TRANSPORTATION, SCHEDULED
5611	
5621	WOMENS READY-TO-WEAR STORES
5713	
5947	
6514	
7000	HOTELS & OTHER LODGING PLACES
7011	
7011	(HOTELS AND MOTELS)
7011	- Hotels and Motels
7011	HOTELS, MOTELS, & TOURIST COURTS
7231	BEAUTY SHOPS
7311	
7336	COMMERCIAL ART AND GRAPHIC DESIGN
7389	BUSINESS SERVICES, NEC
7929	(ENTERTAINERS & ENTERTAINMENT GROUPS)
7999	(AMUSEMENT AND RECREATION, NEC)
7999	AMUSEMENT RECREATION SERVICES, NE
8721	ACCOUNTING, AUDITING, BOOKKPNG SV
8742	- Management Consulting Services
9999	NONCLASSIFIABLE ESTABLISHMENTS
399903	
571217	
701101	HOTELS & MOTELS

GOVERNMENT
EXHIBIT

zc

Page 14 of 99

APHS FORM 700 (MAY 98)

[REDACTED]

See_Source_Document(s)

[REDACTED]

[REDACTED] DIRECTOR
[REDACTED] Gen Counsel & Sr VP

[REDACTED]

UNDETERMINED

[REDACTED]

VP MARKETING
VP SALES

WADE, DANIEL M, CHAIRMAN
[REDACTED] DIRECTOR

[REDACTED]

PRES-COO
VP MARKETING

[REDACTED]

H, MANAGER
H, PRES-CEO
S, DIRECTOR
N A, CHAIRMAN

[REDACTED]

Pres & COO
Pres & Chief Oper Officer
Mirage
CEO

♦ Source Document(s)

Registered Agent(s):

C T CORPORATION SYSTEM
3225 N CENTRAL AVE PHOENIX, AZ 85012

• Source Document(s)

C T CORPORATION SYSTEM
818 WEST SEVENTH STREET LOS ANGELES, CA 90017

♦ Source Document(s)

CT CORPORATION SYSTEM
645 LAKELAND EAST DR STE 101 FLOWOOD, MS 39232-9099

• Source Document(s)

[REDACTED]

3600 LAS VEGAS BLVD S. LAS VEGAS, NV 89109

• Source Document(s)

[REDACTED]

3600 LAS VEGAS BLVD SOUTH LAS VEGAS, NV 89109

• Source Document(s)

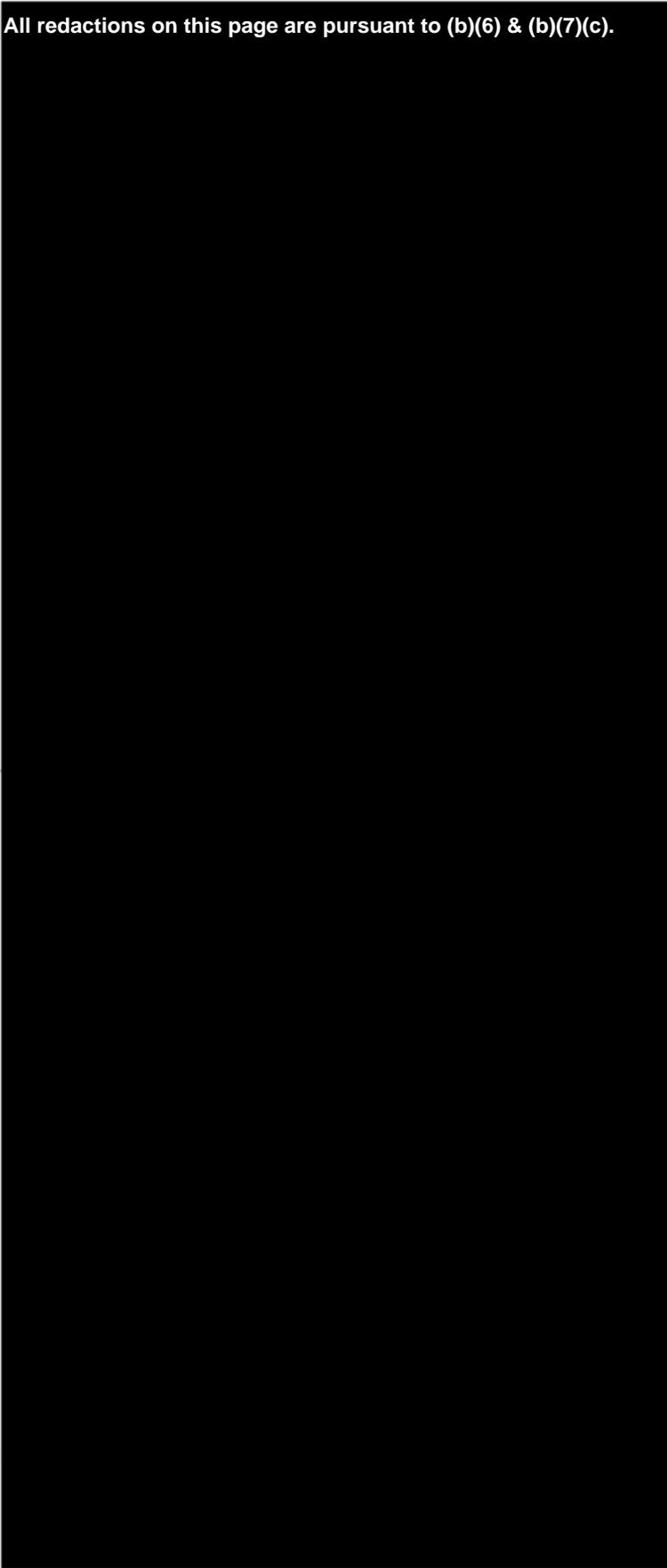
REGISTERED AGENT REVOKED

♦ Source Document(s)



[REDACTED] VICE PRESIDENT
 [REDACTED] CEO
 [REDACTED] DIRECTOR
 [REDACTED] PRESIDENT
 [REDACTED] Pres, CFO & Treas
 [REDACTED] Pres & CEO
 [REDACTED], CEO-MGM Grand Resorts, LLC & Pres-MGM Grand Resort
 [REDACTED] Sr VP-Casino Opers
 [REDACTED] PRES
 [REDACTED] DIRECTOR
 [REDACTED] CHAIRMAN
 [REDACTED] CHAIRMAN
 [REDACTED] PRES
 [REDACTED], PURCHASING AGENT
 [REDACTED] GENERAL COUNSEL
 [REDACTED] DIRECTOR
 MIRAGE RESORTS
 MIRAGE RESORTS, INCORPORATED
 [REDACTED] Pres & CEO
 N/A
 [REDACTED] VP FINANCE
 PRENTICE-HALL CORPORATION
 [REDACTED] VP & Sr Counsel
 [REDACTED] PURCHASING AGENT
 [REDACTED] CONTROLLER
 [REDACTED] DIRECTOR
 [REDACTED] PRES
 [REDACTED], VP ACCT
 [REDACTED] SR VP
 [REDACTED] DIRECTOR
 [REDACTED] DIRECTOR
 [REDACTED] VP-Pur
 [REDACTED] P-MGM Worldwide Entertainment
 [REDACTED] Pres & CEO
 [REDACTED] CEO-Mirage Resorts, Inc & Pres-Mirage Resorts, Inc
 [REDACTED] Pres & CEO
 [REDACTED] MANAGER
 [REDACTED]
 [REDACTED] VP CORP COMMUNICATION
 [REDACTED] PRESIDENT
 [REDACTED] CHB, PRES & CEO+
 [REDACTED] VP HUMAN RESOURCES

All redactions on this page are pursuant to (b)(6) & (b)(7)(c).



◆ Source Document(s)

Sales: \$58237000

◆ Source Document(s)

Sales: \$1520000

◆ Source Document(s)

Sales: \$250000

◆ Source Document(s)

Sales: \$2000000000

◆ Source Document(s)

Sales: \$50000000

◆ Source Document(s)

Sales: \$32240000

◆ Source Document(s)

Parent Company:

MGM Mirage, Inc.

3600 Las Vegas Blvd S Las Vegas , NV 89109-4303 US

◆ Source Document(s)

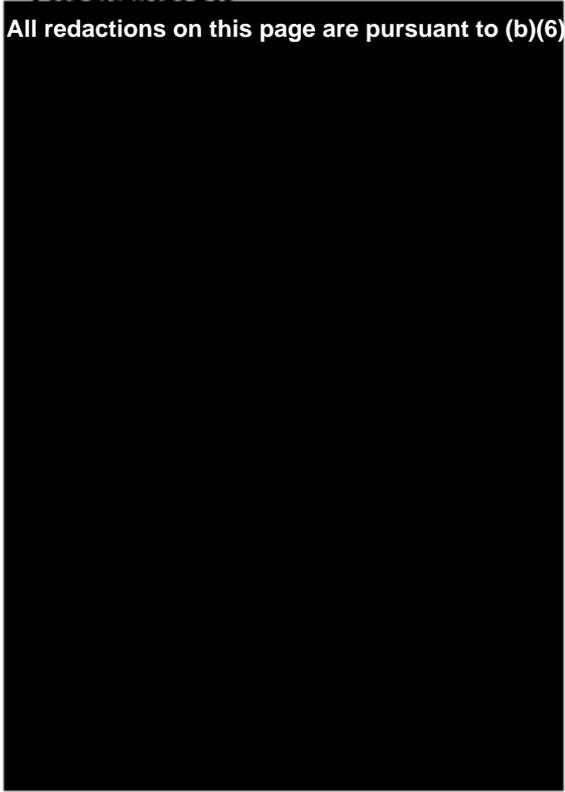
Mirage Resorts Incorporated

3400 Las Vegas Blvd S Las Vegas , NV 89109-8923 US

◆ Source Document(s)

Executive(s):

All redactions on this page are pursuant to (b)(6)



◆ Source Document(s)

Name: MIRAGE RESORTS, INCORPORATED
State of Incorporation: NV
Incorporation No: C508-1949
Duration: PERPETUAL
Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)
Type: REGULAR (DOMESTIC)
◆ Source Document(s)

Name: THE DESIGN CENTER
Type: SOLE PROPRIETOR
◆ Source Document(s)

Name: THE MIRAGE
Incorporation No: 141502
Status: ACTIVE
Type: TRADEMARK
◆ Source Document(s)

Name: THE MIRAGE CASINO & HOTEL
Type: SUBSIDIARY - BRANCH - PRIVATE COMPANY
◆ Source Document(s)

Name: TYOH ADVERTISING, INC
State of Incorporation: NV
Incorporation No: 614960
Status: INACTIVE
Type: FOREIGN BUSINESS
◆ Source Document(s)

Name: DESIGN TRENDS INC
Type: SOLE PROPRIETOR
◆ Source Document(s)

Ticker: MGG
Exchange: EXCHANGE: NYS
◆ Source Document(s)

Sales: \$500000
◆ Source Document(s)

Ticker: MGG
Exchange: New York Stock Exchange (NYSE)
◆ Source Document(s)

Ticker: MGG
Exchange: EXCHANGE: New York Stock Exchange (NYSE)
◆ Source Document(s)

Sales: \$4000000



Filing Number: LLC6671-2000

Annual Report:

Date Due: 2004

Report Date: 8/5/2003

Comments: ANNUAL LIST OF OFFICERS; Number of Officers Reported: 4

History:

File Date: 1/23/2002

Comments: [REDACTED] 3600 LAS VEGAS BLVD SOUTH LAS VEGAS NV 89109 MDM

Transaction: RESIDENT AGENT RESOLUTION

File Date: 9/29/2000

Comments: BELLAGIO MERGER SUB, LLC DMFB !M 00001

Transaction: CHANGE NAME

File Date: 9/29/2000

Comments: ARTICLES OF MERGER FILED MERGING BELLAGIO, A (NV) CORPORATION, #C9771-92, INTO THIS COMPANY. EFFECTIVE DATE: 9/30/00. AMENDED NAME OF SURVIVOR WITHIN MERGER. (2)PGS. DMF

Transaction: MERGER

File Date: 8/31/2000

Comments: AMENDED & RESTATED ARTICLES FILED AMENDING MANAGERS, ARTICLE 4 AND 5. 4PGS. AJW

Transaction: OTHER AMENDMENT

File Date: 8/16/2000

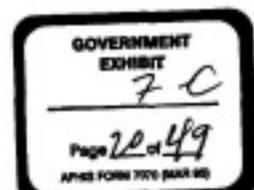
Comments: CORPORATION TRUST COMPANY OF NEVADA 6100 NEIL ROAD #500 RENO NV 89511 SDD

Transaction: RESIDENT AGENT RESOLUTION

[REDACTED]

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[REDACTED]



SOURCES

♦ All Original Sources

Experian Business Reports	4 ♦ <u>Source Document(s)</u>
Judgment and Lien Filings	2 ♦ <u>Source Document(s)</u>
NJ Judgment and Lien Filings	1 ♦ <u>Source Document(s)</u>
NV Boat Registrations	1 ♦ <u>Source Document(s)</u>
NV Deed Transfers - Selected Counties	1 ♦ <u>Source Document(s)</u>
NV Secretary of State Limited Partnership Information	3 ♦ <u>Source Document(s)</u>
NV Tax Assessor Records - Clark County	1 ♦ <u>Source Document(s)</u>
NV Uniform Commercial Code Lien Filings	3 ♦ <u>Source Document(s)</u>
NYC Judgment and Lien Filings	1 ♦ <u>Source Document(s)</u>
Occupational Safety and Health Administration Inspection Reports	5 ♦ <u>Source Document(s)</u>
US Business Directory	1 ♦ <u>Source Document(s)</u>
USFIND - Business Locator (Area Code Region 7)	14 ♦ <u>Source Document(s)</u>

Load Date: 08/31/2003

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Terms: [Source Document\(s\)](#)

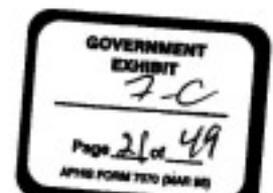
NEVADA SECRETARY OF STATE

THIS DATA IS FOR INFORMATIONAL PURPOSES ONLY

**CERTIFICATION CAN ONLY BE OBTAINED THROUGH THE ISSUING
GOVERNMENT AGENCY**

NEVADA SECRETARY OF STATE

Company Name: BELLAGIO, LLC



Las Vegas, NV 89101-4527

◆ Source Document(s)

◆ LIGHT LAS VEGAS LLC

3600 Las Vegas Blvd S

Las Vegas, NV 89109-4303

◆ Source Document(s)

◆ M K B COMPANY

PO Box 7700

Las Vegas, NV 89177-0700

◆ Source Document(s)

◆ MIRAGE RESORTS INCORPORATED

3400 Las Vegas Blvd S

Las Vegas, NV 89109-8923

◆ Source Document(s)

◆ MR REALTY

3600 Las Vegas Blvd S

Las Vegas, NV 89109-4303

◆ Source Document(s)

◆ N F S FINANCIAL INC

PO Box 19733

Irvine, CA 92623-9733

● Source Document(s)

◆ VICTORIA PARTNERS

NV

◆ Source Document(s)

◆ WMS GAMING INC

800 S Northpoint Blvd

Waukegan, IL 60085-8211

● Source Document(s)



All redactions on this page are pursuant to (b)(6) & (b)(7)(c).

3600 Las Vegas Blvd S
Las Vegas, NV 89109-4303
♦ Source Document(s)

[Redacted]

NV
♦ Source Document(s)

[Redacted]

NV
♦ Source Document(s)

[Large Redacted Block]

GOVERNMENT
EXHIBIT
7-C
Page 23 of 49
APR 18 FORM 7010 (MAY 16)

Manufacturer: ISUZU
Model: Passenger Car
Body Style: TV
♦ Source Document(s)

State: NV
County/FIPS: Clark
VIN: JALC4B14X17003803
Model Year: 01/2001
Manufacturer: ISUZU
Model: Passenger Car
Body Style: TC
♦ Source Document(s)

Boat Registration(s):

State: NV
Registration Number: NV5245KF
Vessel Manufacturer: RIVA
Vessel Year: 01/1978
Hull Identification Number: RVL280011178
Length(Ft-in): 29
♦ Source Document(s)

Roles and Occurrences in Data:

Creditor:	2
Debtor:	6
Bankruptcy Petitioner:	0
Other Bankruptcy Party:	0
Judgment:	4
Registered Agent:	0
Name Variation(s):	11
Real Property Owner:	2
Aircraft Owner:	0
Motor Vehicle Owner:	35
Boat Owner:	1
FID Holder:	0

ASSOCIATED ENTITIES

♦ DISCOVER FINANCIAL SERVICES
2500 Lake Cook Rd
Deerfield, IL 60015-3851
♦ Source Document(s)

(b)(6), (b)(7)c
271 Madison Avenue Ste 1108
New York, NY 10016
♦ Source Document(s)

♦ LA BONITA GROCERY
2405 E Ogden Ave



Model Year: 01/2003
Manufacturer: CHEVROLET TRUCK
Model: C/K1500 Silverado
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: 3GNFK16T01G254176
Model Year: 01/2001
Manufacturer: CHEVROLET TRUCK
Model: Suburban
Body Style: T2
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: 4GTJ7C1372J700779
Model Year: 01/2002
Manufacturer: ISUZU
Model: Passenger Car
Body Style: TT
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: 4KNFT1922XL160005
Model Year: 01/1999
Manufacturer: TOWMASTER
Model: Trailer Heavy Haul
Body Style: 4W
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: 4XWPJ1821XN002430
Model Year: 01/1999
Manufacturer: BEACHCOMBER TRAILERS
Model: Trailer Utility
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: JALB4B142X7004514
Model Year: 01/1999
Manufacturer: ISUZU
Model: Passenger Car
Body Style: TS
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: JALC4B14317003657
Model Year: 01/2001



Manufacturer: LINCOLN
Model: Town Car/Continental
Body Style: SEDAN
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: 1L1FM81W71Y673819
Model Year: 01/2001
Manufacturer: LINCOLN
Model: Town Car/Continental
Body Style: SEDAN
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: 1L1FM81W71Y673822
Model Year: 01/2001
Manufacturer: LINCOLN
Model: Town Car/Continental
Body Style: SEDAN
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: 1L1FM81W91Y636416
Model Year: 01/2001
Manufacturer: LINCOLN
Model: Town Car/Continental
Body Style: SEDAN
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: 1L1FM81WX1Y673832
Model Year: 01/2001
Manufacturer: LINCOLN
Model: Town Car/Continental
Body Style: SEDAN
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: 2FMZA51U2WBD94106
Model Year: 01/1998
Manufacturer: FORD TRUCK
Model: Windstar
Body Style: WAGON
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: 2GCEC19T231152872



Model: Town Car/Continental
Body Style: SEDAN
♦ Source Document(s)

State: NV
County/FIPS: Clark
VIN: 1L1FM81W11Y673816
Model Year: 01/2001
Manufacturer: LINCOLN
Model: Town Car/Continental
Body Style: SEDAN
● Source Document(s)

State: NV
County/FIPS: Clark
VIN: 1L1FM81W21Y673825
Model Year: 01/2001
Manufacturer: LINCOLN
Model: Town Car/Continental
Body Style: SEDAN
● Source Document(s)

State: NV
County/FIPS: Clark
VIN: 1L1FM81W31Y673817
Model Year: 01/2001
Manufacturer: LINCOLN
Model: Town Car/Continental
Body Style: SEDAN
♦ Source Document(s)

State: NV
County/FIPS: Clark
VIN: 1L1FM81W31Y673820
Model Year: 01/2001
Manufacturer: LINCOLN
Model: Town Car/Continental
Body Style: SEDAN
● Source Document(s)

State: NV
County/FIPS: Clark
VIN: 1L1FM81W51Y673821
Model Year: 01/2001
Manufacturer: LINCOLN
Model: Town Car/Continental
Body Style: SEDAN
● Source Document(s)

State: NV
County/FIPS: Clark
VIN: 1L1FM81W61Y673827
Model Year: 01/2001



Model: C/K1500 Silverado
Body Style: TRUCK
♦ Source Document(s)

State: NV
County/FIPS: Clark
VIN: 1H9US16212T354615
Model Year: 01/2002
Manufacturer: HI ROLLIN TRAILERS
Model: Trailer Heavy Haul
♦ Source Document(s)

State: NV
County/FIPS: Clark
VIN: 1HTSDAAM6XH649059
Model Year: 01/1999
Manufacturer: NAVISTAR INTERNATIONAL
Model: 4900
Body Style: TS
♦ Source Document(s)

State: NV
County/FIPS: Clark
VIN: 1HVBEABM22H516911
Model Year: 01/2002
Manufacturer: NAVISTAR INTERNATIONAL
Model: Heavy Truck Tractor
Body Style: TB
♦ Source Document(s)

State: NV
County/FIPS: Clark
VIN: 1L1FM81W01Y673824
Model Year: 01/2001
Manufacturer: LINCOLN
Model: Town Car/Continental
Body Style: SEDAN
♦ Source Document(s)

State: NV
County/FIPS: Clark
VIN: 1L1FM81W01Y673838
Model Year: 01/2001
Manufacturer: LINCOLN
Model: Town Car/Continental
Body Style: SEDAN
♦ Source Document(s)

State: NV
County/FIPS: Clark
VIN: 1L1FM81W0YY858210
Model Year: 01/2000
Manufacturer: LINCOLN



Model: F800
Body Style: PICKUP
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: 1FDWF36PX3EC28461
Model Year: 01/2003
Manufacturer: FORD TRUCK
Model: F350
Body Style: PICKUP
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: 1FTRW07613KB50997
Model Year: 01/2003
Manufacturer: FORD TRUCK
Model: F150
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: 1FTSW30S63EC64891
Model Year: 01/2003
Manufacturer: FORD TRUCK
Model: F350
Body Style: TRUCK
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: 1FTZF1723XKA54906
Model Year: 01/1999
Manufacturer: FORD TRUCK
Model: F150
Body Style: TP
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: 1FTZF1727XKA54946
Model Year: 01/1999
Manufacturer: FORD TRUCK
Model: F150
Body Style: TP
♦ [Source Document\(s\)](#)

State: NV
County/FIPS: Clark
VIN: 1GCGK13U62F210325
Model Year: 01/2002
Manufacturer: CHEVROLET TRUCK



Sale Price: \$1,600,000
Assessor's Parcel Number: 162-20-601-001
♦ Source Document(s)

State: NV
County/FIPS: CLARK
Seller: VICTORIA PARTNERS
Sale Date: 12/28/1998
Sale Price: \$50,000
Assessor's Parcel Number: 162-20-701-020
♦ Source Document(s)

ASSETS

Other Property

Motor Vehicle Registration(s):

State: NV
County/FIPS: Clark
VIN: 1B4GP25312B718816
Model Year: 01/2002
Manufacturer: DODGE TRUCK
Model: Caravan
Body Style: WAGON
♦ Source Document(s)

State: NV
County/FIPS: Clark
VIN: 1B4GP25332B718817
Model Year: 01/2002
Manufacturer: DODGE TRUCK
Model: Caravan
Body Style: WAGON
♦ Source Document(s)

State: NV
County/FIPS: Clark
VIN: 1B4GP25352B718818
Model Year: 01/2002
Manufacturer: DODGE TRUCK
Model: Caravan
Body Style: WAGON
♦ Source Document(s)

State: NV
County/FIPS: Clark
VIN: 1FDNF80C1WVA27616
Model Year: 01/1998
Manufacturer: FORD TRUCK



Release Date: 09/21/2021
Filing Number: 12587/01
Attorney: ERIC STREICH PC - 271 MADISON AVE STE 1108 NEW YORK NY 10016
♦ Source Document(s)

LIEN INFORMATION

Jurisdiction: NV UCC RECORD
Debtor(s): BELLAGIO
Secured Parties: WMS GAMING INC
Filing Number: 2001002896-3
Filing Date: 08/16/2001
Type: INITIAL FILING
Expiration Date: 08/16/2006
♦ Source Document(s)

Jurisdiction: NV UCC RECORD
Debtor(s): BELLAGIO
Secured Parties: WMS GAMING INC
Filing Number: 2002025558-6
Filing Date: 09/26/2002
Type: INITIAL FILING
Expiration Date: 09/26/2007
♦ Source Document(s)

Jurisdiction: SECRETARY OF STATE O
Debtor(s): BELLAGIO
Filing Number: 9919240
Filing Date: 12/17/1999
Type: UCC-FILED
♦ Source Document(s)

Jurisdiction: NV UCC RECORD
Debtor(s): GOLDEN NUGGET MANUFACTURING - BELLAGIO
Secured Parties: W M S GAMING INC
Filing Number: U9919240D000
Filing Date: 12/17/1999
Type: INITIAL FILING
Expiration Date: 12/17/2004
♦ Source Document(s)

ASSETS

Real Property

Property Address: 3680 S LAS VEGAS BLVD, PARADISE TOWN, NV
State: NV
County/FIPS: CLARK
Estimated Roll Certification Date: 01/01/2003



◆ Source Document(s)

Company ID Numbers:

DUNS No: 02-281-5570
Experian: Y03966035
Y04052804
Y05425265
Y17532462
ABI No: 997795224
State ID(s): NV, C9771-1992
NV, LLC6671-2000

◆ Source Document(s)

◆ Source Document(s)

◆ Source Document(s)

◆ Source Document(s)

JUDGMENT INFORMATION

Jurisdiction: STATE OF NEVADA - CLARK COUNTY DISTRICT COURT

Creditor(s): [REDACTED]

Debtor(s): BELLAGIO

Amount: \$100,000

Type: CIVIL JUDGMENT RELEASE

Filing Date: 10/21/2002

Release Date: 11/07/2002

Filing Number: A417243

◆ Source Document(s)

Jurisdiction: STATE OF NEVADA - CLARK COUNTY JUSTICE COURT

Creditor(s): [REDACTED]

Debtor(s): BELLAGIO

Amount: \$250

Type: SMALL CLAIMS JUDGMENT

Filing Date: 05/31/2000

Filing Number: 00A001715001

◆ Source Document(s)

Jurisdiction: JUDGMENTS FROM NEW JERSEY SUPERIOR COURT

Creditor(s): BELLAGIO LLC

Debtor(s): [REDACTED]

Amount: \$75,545

Type: JUDGMENT

Filing Date: 06/27/2002

Filing Number: DJ-145765-2002

Attorney: BOURNE NOLL KENYON

◆ Source Document(s)

Jurisdiction: JUDGMENTS AND LIEN FILINGS FROM THE OFFICE OF THE QUEENS COUNTY CLERK

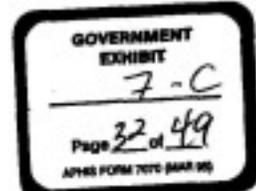
Creditor(s): BELLAGIO 3600 LAS VEGAS BLVD SOUTH LAS VEGAS NEV

Debtor(s): [REDACTED]

Amount: \$62,439

Type: JUDGMENTS DOCKET

Filing Date: 09/21/2001



All redactions on this page are pursuant to (b)(6) & (b)(7)(c).

◆ Source Document(s)

*** POSTAL STANDARD ***◆ 2300 S Casino Dr , Laughlin, NV 89029-1520
County/FIPS: Clark
MSA: Las Vegas, NV-AZ - 4120

PROFILE INFORMATION

Name: BELLAGIO
State of Incorporation: NV
Incorporation No: C9771-1992
Duration: PERPETUAL
Status: MERGE / DISSOLVED
Type: REGULAR (DOMESTIC)
◆ Source Document(s)

Name: BELLAGIO, LLC
State of Incorporation: NV
Incorporation No: LLC6671-2000
Duration: PERPETUAL
Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)
Type: LIMITED LIABILITY COMPANY (DOMESTIC)
◆ Source Document(s)

Executive(s):

[REDACTED]

MIRAGE RESORTS

[REDACTED]

◆ Source Document(s)

Registered Agent(s):

[REDACTED]

3600 LAS VEGAS BLVD SOUTH LAS VEGAS, NV 89109

◆ Source Document(s)

[REDACTED]

3600 LAS VEGAS BLVD SOUTH LAS VEGAS, NV 89109

◆ Source Document(s)

Industry Information:

SIC Codes:

- 7000 HOTELS & OTHER LODGING PLACES
- 7011 (HOTELS AND MOTELS)
- 799302 CASINOS
- 2ND SIC:
- 581208 RESTAURANTS
- 701101 HOTELS & MOTELS
- 723106 BEAUTY SALONS
- 874213 MARKETING PROGRAMS & SERVICES



♦ Source Document(s)

*** POSTAL STANDARD *** ♦ 3600 Las Vegas Blvd S , Las Vegas, NV 89109-4303

County/FIPS: Clark

MSA: Las Vegas, NV-AZ - 4120

*** ORIGINAL ***

3608 Las Vegas Blvd S, **Las Vegas, NV 89109-4303**

♦ Source Document(s)

3680 S Las Vegas Blvd, Paradise Town, **NV**

♦ Source Document(s)

*** POSTAL STANDARD *** ♦ 3608 Las Vegas Blvd S, Las Vegas, NV 89109-4303

County/FIPS: Clark

MSA: Las Vegas, NV-AZ - 4120

♦ Owner Indication

*** ORIGINAL ***

3650 Las Vegas Blvd S, **Las Vegas, NV 89109-4303**

♦ Source Document(s)

*** POSTAL STANDARD *** ♦ 3650 Las Vegas Blvd S, Las Vegas, NV 89109-4303

County/FIPS: Clark

MSA: Las Vegas, NV-AZ - 4120

*** ORIGINAL ***

PO Box 7700, **Las Vegas, NV 89177**

♦ Source Document(s)

PO Box 7700, **Las Vegas, NV 89177-0700**

♦ Source Document(s)

*** POSTAL STANDARD *** ♦ PO Box 7700 , Las Vegas, NV 89177-0700

County/FIPS: Clark

MSA: Las Vegas, NV-AZ - 4120

*** ORIGINAL ***

PO Box 98805, **Las Vegas, NV 89193-8805**

♦ Source Document(s)

*** POSTAL STANDARD *** ♦ PO Box 98805 , Las Vegas, NV 89193-8805

County/FIPS: Clark

MSA: Las Vegas, NV-AZ - 4120

*** ORIGINAL ***

2300 S Casino Dr, Laughlin, **NV 89029-1520**



702-693-7700
702-693-7722
702-693-8080
702-693-8222
FAX: 702-693-8626

- ♦ Source Document(s)
- ♦ Source Document(s)
- ♦ Source Document(s)
- ♦ Source Document(s)
- ♦ Source Document(s)

Address Variation(s):

*** ORIGINAL ***

3400 Las Vegas Blvd S, **Las Vegas, NV 89109-8923**
♦ Source Document(s)

3400 S Las Vegas Blvd, **Las Vegas, NV 89019**
♦ Source Document(s)

*** POSTAL STANDARD *** ♦ 3400 Las Vegas Blvd S , Las Vegas, NV 89109-8923

County/FIPS: Clark
MSA: Las Vegas, NV-AZ - 4120

*** ORIGINAL ***

3600 Las Vegas Blvd South, **Las Vegas Nev,**
♦ Source Document(s)

3600 Las Vegas Blvd, **Las Vegas, NV 89109**
♦ Source Document(s)

3600 Las Vegas Blvd S, **Las Vegas, NV 89109**
♦ Source Document(s)

3600 Las Vegas Blvd S, **Las Vegas, NV 89109-4303**
♦ Source Document(s)

3600 Las Vegas Blvd S, **Las Vegas, NV 89109-4339**
♦ Source Document(s)

3600 Las Vegas Blvd South, **Las Vegas, NV 89109**
♦ Source Document(s)

3600 Las Vegas Bv, **Las Vegas, NV 89109**
♦ Source Document(s)

3600 Las Vegas S Blvd, **Las Vegas, NV 89103**
♦ Source Document(s)

3600 S Las Vegas Blvd, **Las Vegas, NV 89109**
♦ Source Document(s)

3600 S Las Vegas Bv, **Las Vegas, NV 89101**
♦ Source Document(s)

3600 S Las Vegas Bv, **Las Vegas, NV 89109**



BELLAGIO, LLC

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BUSINESS SUMMARY REPORT

BELLAGIO, LLC

♦ 3600 Las Vegas Blvd S
Las Vegas, NV 89109-4303
County/FIPS: Clark

[Click to visualize this report](#)

Addresses	Associated Entities	Bankruptcy	Judgments
Licenses	Liens	Names	Other Property
Profile Info	Real Property	Sources	Telephone

Name Variation(s)/DBA(s):

BELLAGIO	♦ Source Document(s)
BELLAGIO - LIGHTS	♦ Source Document(s)
BELLAGIO HOTEL	♦ Source Document(s)
BELLAGIO HOTEL & CASINO	♦ Source Document(s)
BELLAGIO HOTEL AND CASINO	♦ Source Document(s)
BELLAGIO LEASE	♦ Source Document(s)
BELLAGIO LLC	♦ Source Document(s)
BELLAGIO RESORTS	♦ Source Document(s)
BELLAGIO THE RESORT	♦ Source Document(s)
BELLAGIO, LLC	♦ Source Document(s)
HOTEL BELLAGIO	♦ Source Document(s)

Telephone:

702-298-8007	♦ Source Document(s)
702-650-9459	♦ Source Document(s)
702-693-7111	♦ Source Document(s)
702-693-7155	♦ Source Document(s)
702-693-7223	♦ Source Document(s)
702-693-7444	♦ Source Document(s)



3600 S LAS VEGAS BLVD S
LAS VEGAS, NV 89109
Added Date: 8/9/2001

[REDACTED]
MANAGER
3600 S LAS VEGAS BLVD S
LAS VEGAS, NV 89109
Added Date: 8/9/2001

Filing Number: LLC6671-2000

Annual Report:

Date Due: 2004
Report Date: 8/5/2003
Comments: ANNUAL LIST OF OFFICERS; Number of Officers Reported: 4

History:

File Date: 1/23/2002
Comments: [REDACTED] 3600 LAS VEGAS BLVD SOUTH LAS VEGAS NV 89109 MDM
Transaction: RESIDENT AGENT RESOLUTION

File Date: 9/29/2000
Comments: BELLAGIO MERGER SUB, LLC DMFB !M 00001
Transaction: CHANGE NAME

File Date: 9/29/2000
Comments: ARTICLES OF MERGER FILED MERGING BELLAGIO, A (NV) CORPORATION,
#C9771-92, INTO THIS COMPANY. EFFECTIVE DATE: 9/30/00. AMENDED NAME OF
SURVIVOR WITHIN MERGER. (2)PGS. DMF
Transaction: MERGER

File Date: 8/31/2000
Comments: AMENDED & RESTATED ARTICLES FILED AMENDING MANAGERS, ARTICLE 4 AND
5. 4PGS. AJW
Transaction: OTHER AMENDMENT

File Date: 8/16/2000
Comments: CORPORATION TRUST COMPANY OF NEVADA 6100 NEIL ROAD #500 RENO NV
89511 SDD
Transaction: RESIDENT AGENT RESOLUTION



NEVADA SECRETARY OF STATE

THIS DATA IS FOR INFORMATIONAL PURPOSES ONLY

**CERTIFICATION CAN ONLY BE OBTAINED THROUGH THE ISSUING
GOVERNMENT AGENCY**

NEVADA SECRETARY OF STATE

Company Name: BELLAGIO, LLC

Type: LIMITED LIABILITY COMPANY (DOMESTIC)

Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)

Standing: CURRENTLY IN GOOD STANDING

Status Date: 8/5/2003

Filing Date: 7/13/2000

Duration: PERPETUAL

Expiration Date: 7/13/2500

State or Country of Incorporation: NEVADA

Purpose: ALL LEGAL ACTIVITIES; FED/1CC; HAS NO GAMING

Registered Agent:

[REDACTED]
Status: ACCEPTED
Creation Date: 1/23/2002
Companies Represented: 37
Companies in Good Standing: 30

Registered Office:

3600 LAS VEGAS BLVD SOUTH
LAS VEGAS, NV 89109

Members, Managers, Partners:

[REDACTED]
MANAGER
3600 S LAS VEGAS BLVD S
LAS VEGAS, NV 89109
Added Date: 7/13/2000

[REDACTED]
MANAGER

All redactions on this page are pursuant to (b)(6) & (b)(7)(c).



Franchise Index	2 ♦ Source Document(s)
IA Secretary of State Corporation, LLC, LP and LLP Filings	1 ♦ Source Document(s)
Inactive Business Database	1 ♦ Source Document(s)
Judgment and Lien Filings	12 ♦ Source Document(s)
LA Secretary of State Corporation, LLC, LP and LLP Filings	1 ♦ Source Document(s)
MS Secretary of State Corporation, LLC, LP and LLP Filings	4 ♦ Source Document(s)
NJ Judgment and Lien Filings	7 ♦ Source Document(s)
NV Secretary of State Limited Partnership Information	14 ♦ Source Document(s)
NV Uniform Commercial Code Lien Filings	148 ♦ Source Document(s)
NY Judgment and Tax Lien Filings	1 ♦ Source Document(s)
NY Secretary of State Corporation, LP LLP and LLC Filings	2 ♦ Source Document(s)
NYC Judgment and Lien Filings	41 ♦ Source Document(s)
Occupational Safety and Health Administration Inspection Reports	92 ♦ Source Document(s)
PA Judgment and Lien Filings	4 ♦ Source Document(s)
Standard Directory of Advertisers and Int'l Advertisers	1 ♦ Source Document(s)
TX Secretary of State Corporation and Limited Partnership Information	1 ♦ Source Document(s)
US Business Directory	5 ♦ Source Document(s)
USFIND - Business Locator (Area Code Region 7)	15 ♦ Source Document(s)
Load Date: 09/02/2003	

Source: [Public Records > / . . . / > Business Summary Reports](#)

Terms: **MIRAGE RESORTS INCORPORATED**

View: Full

Date/Time: Wednesday, December 17, 2003 - 5:38 PM EST

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Tail Number: 721MM
Manufacturer: MCDONNELL DOUGLAS
Model/Series: DC-9-87
Year: 01/1989
Serial Number: 49767
Type: FIXED WING MULTI-ENGINE
Number of Engines: 2
♦ Source Document(s)

Professional License(s):

There are 211 Professional Licenses on file.

ASSOCIATED ENTITIES

There are 525 person and 373 business documents on file.

SOURCES

♦ All Original Sources

America's Corporate Finance Directory	1 ♦ <u>Source Document(s)</u>
AZ Corporation Commission, Corporations Division Corporation and LLC Filings	1 ♦ <u>Source Document(s)</u>
AZ Uniform Commercial Code Lien Filings	3 ♦ <u>Source Document(s)</u>
Bankruptcy Filings	1 ♦ <u>Source Document(s)</u>
CA Secretary of State Corporation Information	1 ♦ <u>Source Document(s)</u>
D&B Federal Employer Identification Numbers	90 ♦ <u>Source Document(s)</u>
DE Uniform Commercial Code Lien Filings	2 ♦ <u>Source Document(s)</u>
Directory of Corporate Affiliations	11 ♦ <u>Source Document(s)</u>
Experian Business Reports	36 ♦ <u>Source Document(s)</u>
Federal Aviation Administration Aircraft Registrations	2 ♦ <u>Source Document(s)</u>
Federal Communications Commission Licenses	197 ♦ <u>Source Document(s)</u>
Fictitious Business Name Information	12 ♦ <u>Source Document(s)</u>



Type: REGULAR (DOMESTIC)

◆ [Source Document\(s\)](#)

Name: MGM MIRAGE RETAIL

State of Incorporation: NV

Incorporation No: C1443-1998

Duration: PERPETUAL

Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)

Type: REGULAR (DOMESTIC)

● [Source Document\(s\)](#)

Name: MGM MIRAGE, INC

Year Started: 01/1986

State of Incorporation: DE

Type: PARENT - PUBLIC COMPANY

◆ [Source Document\(s\)](#)

Name: MIRAGE CASINO-HOTEL, THE

State of Incorporation: NV

Incorporation No: C6849-1986

Duration: PERPETUAL

Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)

Type: REGULAR (DOMESTIC)

◆ [Source Document\(s\)](#)

Name: MIRAGE RESORTS FAMILY SCHOLARSHIP PROGRAM

State of Incorporation: NV

Incorporation No: C7671-1997

Duration: 50 YEARS

Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)

Type: NON-PROFIT N.R.S. Chapter: 82.006 - 82.541

◆ [Source Document\(s\)](#)

Name: MIRAGE RESORTS INC

Type: CORPORATION

◆ [Source Document\(s\)](#)

Name: MIRAGE RESORTS INCORPORATED

State of Incorporation: NV

Incorporation No: 686821

Status: GOOD STANDING

Type: CORPORATION (PROFIT)

◆ [Source Document\(s\)](#)

Name: MIRAGE RESORTS INCORPORATED

Year Started: 01/1946

Type: PARENT -

● [Source Document\(s\)](#)

Name: MIRAGE RESORTS INCORPORATED

Year Started: 01/1946

State of Incorporation: NV

Type: SUBSIDIARY - BRANCH - PRIVATE COMPANY



Name: MGM GRAND HOTEL, INC
Year Started: 01/1989
State of Incorporation: NV
Type: SUBSIDIARY - BRANCH - PRIVATE COMPANY
♦ [Source Document\(s\)](#)

Name: MGM GRAND HOTEL, LLC
State of Incorporation: NV
Incorporation No: LLC6875-2000
Duration: PERPETUAL
Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)
Type: LIMITED LIABILITY COMPANY (DOMESTIC)
♦ [Source Document\(s\)](#)

Name: MGM GRAND MERCHANDISING, INC
Type: SUBSIDIARY - BRANCH - PRIVATE COMPANY
♦ [Source Document\(s\)](#)

Name: MGM GRAND RESORTS, LLC
State of Incorporation: NV
Incorporation No: LLC2427-2001
Duration: PERPETUAL
Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)
Type: LIMITED LIABILITY COMPANY (DOMESTIC)
♦ [Source Document\(s\)](#)

Name: MGM GRAND, INC
State of Incorporation: DE
Incorporation No: 687310
Status: CHANGE OF NAME
Type: CORPORATION (PROFIT)
♦ [Source Document\(s\)](#)

Name: GNL CORP
Type: SUBSIDIARY - BRANCH - PRIVATE COMPANY
♦ [Source Document\(s\)](#)

Name: MGM MIRAGE
State of Incorporation: DE
Incorporation No: 692051
Status: GOOD STANDING
Type: CORPORATION (PROFIT)
♦ [Source Document\(s\)](#)

Name: MGM MIRAGE DESIGN GROUP
Type: SUBSIDIARY - BRANCH - PRIVATE COMPANY
♦ [Source Document\(s\)](#)

Name: MGM MIRAGE DESIGN GROUP
State of Incorporation: NV
Incorporation No: C22575-1998
Duration: PERPETUAL
Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)



Name: GOLDEN NUGGET HOTEL
Type: SUBSIDIARY - BRANCH - PRIVATE COMPANY
♦ [Source Document\(s\)](#)

Name: GOLDEN NUGGET HOTEL & CASINO
Type: SOLE PROPRIETOR
♦ [Source Document\(s\)](#)

Name: GOLDEN NUGGET LTD
State of Incorporation: NV
Incorporation No: C3546-1982
Duration: PERPETUAL
Status: DISSOLVED
Type: REGULAR (DOMESTIC)
♦ [Source Document\(s\)](#)

Name: GOLDEN NUGGET MANUFACTURING CORP
State of Incorporation: NV
Incorporation No: C8464-1983
Duration: PERPETUAL
Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)
Type: REGULAR (DOMESTIC)
♦ [Source Document\(s\)](#)

Name: GOLDEN NUGGET, INC
State of Incorporation: NV
Incorporation No: 0005797706
Duration: PERPETUAL
Status: WITHDRAWN
Type: FOREIGN BUSINESS CORPORATION
♦ [Source Document\(s\)](#)

Name: MGM GRAND DEVELOPMENT, INC
Type: SUBSIDIARY - BRANCH - PRIVATE COMPANY
♦ [Source Document\(s\)](#)

Name: MGM GRAND HOTEL FINANCE CORP
State of Incorporation: NV
Incorporation No: C8972-1991
Duration: PERPETUAL
Status: DISSOLVED
Type: REGULAR (DOMESTIC)
♦ [Source Document\(s\)](#)

Name: MGM GRAND HOTEL, INC
State of Incorporation: NV
Incorporation No: C10871-1989
Duration: PERPETUAL
Status: MERGE / DISSOLVED
Type: REGULAR (DOMESTIC)
♦ [Source Document\(s\)](#)



Name: BALLY'S GRAND, INC
Date of Incorporation: 12/26/1919
State of Incorporation: DE
Incorporation No: 3260
Duration: PERPETUAL
Status: INACTIVE
Type: FOREIGN BUSINESS
◆ Source Document(s)

Name: GNL, CORP
State of Incorporation: NV
Incorporation No: C4123-1988
Duration: PERPETUAL
Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)
Type: REGULAR (DOMESTIC)
◆ Source Document(s)

Name: GNL, CORP
State of Incorporation: NV
Incorporation No: F00415946
Duration: PERPETUAL
Status: ACTIVE/IN GOOD STANDING
Type: CORPORATION (PROFIT)
◆ Source Document(s)

Name: GNLV CORP
State of Incorporation: NV
Incorporation No: 686820
Status: GOOD STANDING
Type: CORPORATION (PROFIT)
◆ Source Document(s)

Name: GNLV, CORP
State of Incorporation: NV
Incorporation No: C1348-1974
Duration: PERPETUAL
Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)
Type: REGULAR (DOMESTIC)
◆ Source Document(s)

Name: GOLDEN NUGGET
Incorporation No: 392456
Status: EXPIRED REGISTRATION
Type: OTHER (TRADEMARK)
◆ Source Document(s)

Name: GOLDEN NUGGET AVIATION CORP
State of Incorporation: NV
Incorporation No: C4547-1981
Duration: PERPETUAL
Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)
Type: REGULAR (DOMESTIC)
◆ Source Document(s)



Comments: BURMAL REALTY CORP. B 001
Transaction: CHANGE NAME

Source: [Sources](#) > / . . . / > [Allsrc](#)

Terms: **Source Document(s)**

View: Full

Date/Time: Wednesday, December 17, 2003 - 5:40 PM EST

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Terms: **MIRAGE RESORTS INCORPORATED**

MGM MIRAGE, INC

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BUSINESS SUMMARY REPORT

MGM MIRAGE, INC

♦ [3400 Las Vegas Blvd S](#)
Las Vegas, NV 89109-8923
County/FIPS: Clark

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[Addresses](#)

[Licenses](#)

[Profile Info](#)

[Associated
Entities](#)

[Liens](#)

[Real Property](#)

[Bankruptcy](#)

[Names](#)

[Sources](#)

[Judgments](#)

[Other Property](#)

[Telephone](#)



Transaction: MERGER

File Date: 11/10/1998

Comments: [REDACTED] MJM 3400 LAS VEGAS BLVD SO LAS VEGAS NV 89109 MJM
BRUCE A. LEVIN MJM MJM

Transaction: RESIDENT AGENT REMOVAL

File Date: 8/16/1990

Comments: [REDACTED] 129 E. FREMONT ST LAS VEGAS NV 89101 F B

Transaction: RESIDENT AGENT REMOVAL

File Date: 5/31/1989

Comments: CAPITAL STOCK WAS 1000

Transaction: CHANGE CAPITAL

File Date: 4/25/1989

Comments: GOLDEN NUGGET-STRIP CORP. B 004

Transaction: CHANGE NAME

File Date: 2/23/1988

Comments: GNS CORP. B u 003

Transaction: CHANGE NAME

File Date: 9/28/1987

Comments: UNITED STATES CORPORATION CO. 502 E. JOHN - ROOM E P. O. BOX 1867
CARSON CITY NV 89701

Transaction: RESIDENT AGENT RESOLUTION

File Date: 8/25/1987

Comments: PALM BAY CORP. BOe 002

Transaction: CHANGE NAME

File Date: 8/5/1987

Comments: AGREEMENT OF MERGER: MERGING SSD, INC., (A NEVADA CORP.), #8195-86,
INTO THIS CORP...

Transaction: OTHER AMENDMENT

File Date: 5/18/1987

Comments: ARTICLE III-PURPOSES ADDING ARTICLE X ADDING ARTICLE XI-DIRECTORS
LIABILITY

Transaction: OTHER AMENDMENT

File Date: 4/28/1987



Officers, Directors:

[REDACTED]
PRESIDENT
P.O. BOX 7777
3400 LAS VEGAS BLVD. SOUTH
LAS VEGAS, NV 89109
Added Date: 10/22/1086

[REDACTED]
SECRETARY
P.O. BOX 7777
3600 LAS VEGAS BLVD. SOUTH
LAS VEGAS, NV 89109
Added Date: 10/22/1086

[REDACTED]
TREASURER
P.O. BOX 7777
3600 LAS VEGAS BLVD. SOUTH
LAS VEGAS, NV 89109
Added Date: 10/22/1086

[REDACTED]
DIRECTOR
555 5 TH AVENUE
NEW YORK, NY 10017

History:

File Date: 5/13/2003
Comments: CERTIFICATE OF MERGER FILED MERGING GNS FINANCE CORP., A (NV)
CORPORATION, #C 1868-88 INTO THIS CORPORATION. (2) PGS. DEG
Transaction: MERGER

File Date: 1/23/2002
Comments: [REDACTED] 3600 LAS VEGAS BLVD SOUTH LAS VEGAS NV 89109 MDM
Transaction: RESIDENT AGENT RESOLUTION

File Date: 7/24/2000
Comments: [REDACTED] PO BOX 7700 LAS VEGAS NV 89109 RAA
Transaction: RESIDENT AGENT RESOLUTION

File Date: 5/31/2000
Comments: ARTICLES OF MERGER FILED MERGING MGM ACQUISITION CO. #16, A (NV)
CORPORATION, #C8517-00, INTO THIS CORPORATION. (3)PGS. DMF



(b)(7)c

Vice President/General Counsel



VIA FEDERAL EXPRESS

February 18, 2004

J. David Neal, Senior Investigator
U.S. Department of Agriculture
Investigative & Enforcement Services
3658 E. Chipman Road
Phoenix, AZ 85040

RE: Subpoena

Dear Mr. Neal:

Enclosed are the materials we believe are responsive to your subpoena. The CD is a phone message after the fact describing what may have happened to the tiger. The tape shows a few seconds of Roy Horn being taken away, it does not show any of the events which occurred on stage.

If you have any questions or require additional information, please contact me at your convenience.

Sincerely,

(b)(7)c

Vice President/General Counsel

(b)(7)c

Enclosures (Reports, CD and Video Tape)



SUBPOENA DUCES TECUM
UNITED STATES OF AMERICA
UNITED STATES DEPARTMENT OF AGRICULTURE

To: (b)(7)c
Bernie Yuman Management
630 S 4th Street, Suite 400
Las Vegas, Nevada 89101

You are hereby required to appear before Mr. J. David Neal, Investigator, Investigative and Enforcement Services, and/or other authorized representatives of the Secretary of Agriculture of the United States, within 10 days after the service of this subpoena. You are required to produce copies of and relinquish the records identified below.

Any and all documents, records, and copies, including, but not limited to:

1. DVD recordings, video tapes, photographs, written statements, and audio recordings made and/or held by any representatives of the Siegfried and Roy Show documenting the white tiger attack of Roy Horn during the Siegfried and Roy performance held on October 3, 2003, at the Mirage Hotel, Las Vegas, Nevada.
2. DVD recordings, video tapes, photographs, written statements and audio recordings made and/or held by any MGM Mirage Hotel personnel documenting the white tiger attack of Roy Horn during the Siegfried and Roy performance held on October 3, 2003, at the Mirage Hotel, Las Vegas, Nevada.

These materials are essential in connection with an investigation by the Secretary of Agriculture concerning possible violations of the Animal Welfare Act, as amended (7 U.S.C. §§ 2131 *et seq.*) and the regulations and standards issued under (9 C.F.R. §§ 1.1 *et seq.*).



This subpoena is issued pursuant to section 16(c) of the Animal Welfare Act, as amended (7 U.S.C. § 2146(c)), and section 1.29 of the Administrative Regulations of the United States Department of Agriculture (7 C.F.R. § 1.29).

Fail Not At Your Peril.

Done at Washington, D.C.

This 9 day of April, 2004

Kevin Shea

Administrator

Animal and Plant Health Inspection Service



UNITED STATES DEPARTMENT OF AGRICULTURE

CERTIFICATE OF PERSONAL SERVICE

This is to certify that on 15 April, 2004 at 11:41 PD (exact time and time zone)

I served personally upon 

(name and title of individual and name of firm) at his office/residence at 2275
Executive Assistant to Bernice Jensen, Management
Corporate Circle Drive Suite 210 - Henderson NV 89074

the following document(s): Subpoena Duces Tecum

Present mailing address of the person served:

I certify that this is an original document:

~~James Johnson~~
2275 Corporate Circle Drive Suite 210
Henderson, NV 89074 -



Date: 15 APRIL - 2004

Witnessed by: 
Title: 

Case No. NV04001-AC



MORAN & ASSOCIATES

AN ASSOCIATION OF PROFESSIONAL CORPORATIONS

ATTORNEYS AT LAW

690 SOUTH 4TH STREET
LAS VEGAS, NEVADA 89101

All redactions on this page are pursuant to (b)(7)(c).

JOHN T. MORAN, JR.
JILL M. LYNNE
LEW BRANDON, JR.
JEFFERY A. BENDAVID
SHAWN R. HUGGINS
J. T. MORAN III

TELEPHONE (702) 384-8424

TELECOPIER (702) 384-6568

MORANLAWFIRM.COM

April 16, 2004

CERTIFIED MAIL

David Neal
Senior Investigator
USDA, APHIS, IES
3658 E. Chipman Road
Phoenix, AZ 85040

RE: SUBPOENA DUCES TECUM ISSUED TO [REDACTED] BERNIE
YUMAN MANAGEMENT

Dear ^{David} Mr. Neal:

I hope this correspondence finds you well and this will confirm that I am in receipt of a copy of a Subpoena Duces Tecum to my clients, [REDACTED] Bernie Yuman Management. The subpoena issued out of your office seeks video/audio recordings held by the representatives of the Siegfried & Roy show held on October 3, 2003, as well as the same materials, etal. held by the MGM Mirage Hotel personnel for the same date and location. I have made inquiry of my clients as outlined above concerning compliance and production pursuant to your subpoena and I have ascertained that there are no materials in their possession that are requested by the subpoena. Concerning the items sought from the MGM Mirage Hotel personnel, I would suggest as I have in prior correspondence that your efforts should be directed to them personally, as I do not represent them in any capacity relative to this matter and have not had any discussions with them as well. As you are well aware my clients and my offices have cooperated with you and your representatives throughout these proceedings, however, we cannot produce items sought that are not in our possession.

Wishing you well.

cc: [REDACTED]



BERNIE YUMAN MANAGEMENT

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BUSINESS SUMMARY REPORT

BERNIE YUMAN MANAGEMENT

♦ 630 S 4th St Ste 400
Las Vegas, NV 89101-6604
County/FIPS: Clark

[Click to visualize this report](#)

Addresses	Associated Entities	Bankruptcy	Judgments
Licenses	Liens	Names	Other Property
Profile Info	Real Property	Sources	Telephone

Name Variation(s)/DBA(s):
BERNIE YUMAN MANAGEMENT

♦ [Source Document\(s\)](#)

Telephone:
702-258-0111

♦ [Source Document\(s\)](#)

Address Variation(s):

*** ORIGINAL ***

2275 Corporate Cir #210, Henderson, **NV 89074-7720**

♦ [Source Document\(s\)](#)

*** POSTAL STANDARD ***

♦ 2275 Corporate Cir Ste 210, Henderson, **NV 89074-7720**

County/FIPS: Clark

MSA: Las Vegas, NV-AZ - 4120

*** ORIGINAL ***

Suite 400 630 South Fourth St, **Las Vegas, NV 89101**

♦ [Source Document\(s\)](#)

*** POSTAL STANDARD ***

♦ 630 S 4th St Ste 400, **Las Vegas, NV 89101-6604**



County/FIPS: Clark
MSA: Las Vegas, NV-AZ - 4120

*** ORIGINAL ***

6769 W Charleston Blvd, **Las Vegas, NV** 89146-9074

◆ Source Document(s)

*** POSTAL STANDARD ***

◆ 6769 W Charleston Blvd, **Las Vegas, NV** 89146-9074

County/FIPS: Clark
MSA: Las Vegas, NV-AZ - 4120

PROFILE INFORMATION

Name: BERNIE YUMAN MANAGEMENT
State of Incorporation: NV
Incorporation No: C3249-2000
Duration: PERPETUAL
Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)
Type: REGULAR (DOMESTIC)
◆ Source Document(s)

Executive(s):



CT CORPORATION SYSTEM
◆ Source Document(s)

Registered Agent(s):



SUITE 400 630 SOUTH FOURTH STREET LAS VEGAS, NV 89101
◆ Source Document(s)

Company ID Numbers:

State ID(s): NV, C3249-2000 ◆ Source Document(s)

Roles and Occurrences in Data:

Creditor:	0
Debtor:	0
Bankruptcy Petitioner:	0
Other Bankruptcy Party:	0
Judgment:	0
Registered Agent:	0
Name Variation(s):	1
Real Property Owner:	0
Aircraft Owner:	0
Motor Vehicle Owner:	0



All redactions on this page are pursuant to (b)(6) & (b)(7)(c).

Boat Owner: 0
FID Holder: 0

ASSOCIATED ENTITIES

♦ CT CORPORATION SYSTEM
6100 Neil Rd
Reno, NV 89511-1132
♦ Source Document(s)

[Redacted]
Las Vegas, NV 89101-6604
♦ Source Document(s)

♦ [Redacted]
2275 Corporate Cir # 210
Henderson, NV 89074-7720
♦ Source Document(s)

SOURCES

♦ All Original Sources

NV Secretary of State Limited Partnership Information 1 ♦ Source Document(s)
NV Tax Assessor Records - Clark County 1 ♦ Source Document(s)
USFIND - Business Locator (Area Code Region 7) 1 ♦ Source Document(s)

Load Date: 11/30/2003



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**CERTIFICATION CAN ONLY BE OBTAINED THROUGH THE ISSUING
GOVERNMENT AGENCY**

NEVADA SECRETARY OF STATE

Company Name: BERNIE YUMAN MANAGEMENT



Type: REGULAR (DOMESTIC)

Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)

Standing: CURRENTLY IN GOOD STANDING

Status Date: 2/19/2004

Filing Date: 2/7/2000

Duration: PERPETUAL

State or Country of Incorporation: NEVADA

Purpose: ALL LEGAL ACTIVITIES; \$125/PU/5CC; HAS NO GAMING

Registered Agent:

Status: ACCEPTED

Creation Date: 3/30/2001

Companies Represented: 53

Companies in Good Standing: 17

Registered Office:

SUITE 400

630 SOUTH FOURTH STREET

LAS VEGAS, NV 89101

Filing Number: C3249-2000

Annual Report:

Date Due: 2005

Report Date: 2/19/2004

Comments: ANNUAL LIST OF OFFICERS; Number of Officers Reported: 3

Stock Information:

Shares Issued: 2

Par Value: \$ 1.00

Total Capital: \$ 2,000

Officers, Directors:



2275 CORPORATE CIRCLE DR #210
HENDERSON, NV 89014
Added Date: 2/26/2001

[REDACTED]
SECRETARY
2275 CORPORATE CIRCLE DR #210
HENDERSON, NV 89014
Added Date: 2/26/2001

[REDACTED]
TREASURER
2275 CORPORATE CIRCLE DR #210
HENDERSON, NV 89014
Added Date: 2/26/2001

CT CORPORATION SYSTEM
DIRECTOR
#500
6100 NEIL RD.
RENO, NV 89511

History:

File Date: 3/30/2001

Comments: [REDACTED] #C 6769 W. CHARLESTON BLVD. LAS VEGAS NV 89102 DMM

Transaction: RESIDENT AGENT RESOLUTION

File Date: 2/26/2001

Comments: REINSTATED/REVOKED - 01/01/2001 - CK 12210 FOR \$150 PD FOR YEARS 00-01 ONLY RXS

Transaction: OTHER AMENDMENT

[REDACTED]
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SUBPOENA DUCES TECUM

UNITED STATES OF AMERICA

UNITED STATES DEPARTMENT OF AGRICULTURE

To: Custodian of Records
Feld Entertainment
2675 Windmill Parkway
Henderson, NV 89074

You are hereby required to appear before Mr. J. David Neal, Investigator, Investigative and Enforcement Services, and/or other authorized representatives of the Secretary of Agriculture of the United States, within 10 days after service of this subpoena. You are required to produce copies of and relinquish the records identified below.

Any and all documents, records, copies, including, but not limited to:

1. DVD recordings, video tapes, photographs, written statements, and audio recordings made and/or held by any representatives of the Siegfried and Roy Show documenting the white tiger attack of Roy Horn during the Siegfried and Roy performance held on October 3, 2003, at the Mirage Hotel, Las Vegas, Nevada.
2. DVD recording, video tapes, photographs, written statements and audio recordings made and/or held by any MGM Mirage Hotel personnel documenting the white tiger attack of Roy Horn during the Siegfried and Roy performance held on October 3, 2003, at the Mirage Hotel, Las Vegas, Nevada.

These materials are essential in connection with an investigation by the Secretary of Agriculture concerning possible violations of the Animal Welfare Act, as amended (7 U.S.C. §§ 2131 *et seq.*) and the regulations and standards issued under (9 C.F.R. §§ 1.1 *et seq.*).



UNITED STATES DEPARTMENT OF AGRICULTURE
CERTIFICATE OF PERSONAL SERVICE

This is to certify that on 15 APR, 2004 at 2:43 PDT (exact time and time zone)

I served personally upon (b)(7)c

(name and title of individual and name of firm) at his office/residence at General Manager
Feld Entertainment, Inc.

the following document(s): Subpoena Duces Tecum

Present mailing address of the person served:

(b)(7)c

I certify that this is an original document:

J. Davion Neal, JR Am

Date: 15 APRIL 2004
702-736-3601

Witnessed by: DLS JCVm (DAVID G. KAMINSKY, DVM)
Title: VMO (USDA- ANIMAL CARE)

Client No NV 64001-Ae



SUBPOENA DUCES TECUM

UNITED STATES OF AMERICA

UNITED STATES DEPARTMENT OF AGRICULTURE

To: (b)(7)c Attorney
Feld Entertainment
8607 Westwood Center Drive
Vienna, VA 22182

You are hereby required to produce copies of and relinquish the records identified below, within 10 days after service of this subpoena, by authority of the Secretary of Agriculture of the United States.

Any and all documents, records, copies, including, but not limited to:

1. DVD recordings, video tapes, photographs, written statements, and audio recordings made and/or held by any representatives of the Siegfried and Roy Show documenting the white tiger attack of Roy Horn during the Siegfried and Roy performance held on October 3, 2003, at the Mirage Hotel, Las Vegas, Nevada.
2. DVD recording, video tapes, photographs, written statements and audio recordings made and/or held by any MGM Mirage Hotel personnel documenting the white tiger attack of Roy Horn during the Siegfried and Roy performance held on October 3, 2003, at the Mirage Hotel, Las Vegas, Nevada.

The records identified above are to be forwarded to Mr. J. David Neal, Investigator, Investigative and Enforcement Services at this address:

USDA, APHIS, IES
Karnal Bunt Task Force
3658 East Chipman Road
Phoenix, AZ 85040
(602) 871-1962



These materials are essential in connection with an investigation by the Secretary of Agriculture concerning possible violations of the Animal Welfare Act, as amended (7 U.S.C. §§ 2131 *et seq.*) and the regulations and standards issued under (9 C.F.R. §§ 1.1 *et seq.*).

This subpoena is issued pursuant to section 16(c) of the Animal Welfare Act, as amended (7 U.S.C. § 2146(c)), and section 1.29 of the Administrative Regulations of the United States Department of Agriculture (7 C.F.R. § 1.29).

Fail Not At Your Peril.

Done at Washington, D.C.

This 9 day of April, 2004

Kevin Shea

Administrator

Animal and Plant Health Inspection Service



(PLEASE PRINT)

UNITED STATES DEPARTMENT OF AGRICULTURE

CERTIFICATE OF PERSONAL SERVICE

This is to certify that on April 14, 2004 at 2:30 PM EST (exact time and time zone)

(b)(6), (b)(7)c

I served personally upon (b)(7)c Attorney, Feld Entertainment

(name and title of individual and name of firm) at his office/residence at 8607 Westwood Center Drive, Vienna, VA 22182

the following document(s): Subpoena Duces Tecum

Present mailing address of the person served:

same as above

I certify that this is an original document:

Francis L. Kayser Francis L. Kayser
Senior Investigator
USDA

Date:

4/14/04

Witnessed by:

Francis L. Kayser

Title:

Senior Investigator, USDA, APHIS, JES



7003 3110 0004 5696 6434

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Postage	\$
Certified Fee	2.30
Return Receipt Fee (Endorsement Required)	1.75
Restricted Delivery Fee (Endorsement Required)	
Total Postage & Fees	\$ 4.05



Sent To: (b)(7)c *Feld Enterprises*

Street, Apt. No., or PO Box No. *8607 Westwood Center Dr.*

City, State, ZIP+4 *Vienna, VA*

PS Form 3800, June 2002 See Reverse for Instructions

GOVERNMENT
EXHIBIT
9-E
Page 1 of 1
APR 95 FORM 7570 (2004 95)



Animal and
Plant Health
Inspection
Service

Investigative and Enforcement Services
Eastern Regional Office
920 Main Campus Drive, Suite 200
Raleigh, NC 27606

Memorandum

TO: William T. Groce, ARD, IES Eastern Region

FROM: Frank Keyser, Senior Investigator, WV/MD/DE/DC

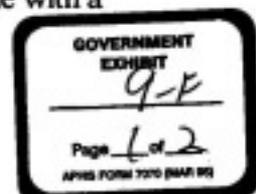
cc: *Teresa Lorenzano, IES Deputy Director, Riverdale*
David Neal, Senior Investigator, AZ

DATE: April 15, 2004

SUBJECT: Service of Subpoena duces tecum upon (b)(7)c
Attorney for Feld Entertainment, Vienna VA

On April 14, 2004, I visited the Feld Entertainment corporate offices located at 8607 Westwood Center Drive, Vienna, VA 22182, to serve a Subpoena duces tecum on (b)(7)c Attorney for Feld Entertainment. Upon entry of the building I noticed signs for Ringling Brothers Circus and Feld Entertainment posted outside and around the lobby. As I was looking at a marquis of offices on the wall a security guard posted at a station desk next to the elevator asked if he could help me. I identified myself as Frank Keyser, with the US Dept. of Agriculture, and said I needed to see (b)(7)c with Feld Entertainment. I asked if he was in this building. The guard responded that (b)(7)c was in the building, and he asked if I had an appointment. I said I did not. The guard stated that (b)(7)c does not see anyone without an appointment. I asked if he could call him and let he know that I was there. He said that (b)(7)c does not take phone calls from anyone and that I would have to call a specific number to set up an appointment. I said I had some documents that I needed to deliver to (b)(7)c. The guard asked what kind of documents. I said I could not tell him and that the information was for (b)(7)c only. He said I would have to call the telephone number and set up an appointment. I asked if there was anyone else in the building, a government liaison or anyone else, who I could meet with. He told me I would have to call the number. I asked him to give me the number and as he was writing it down I noticed there was a sign in log sheet on the desk counter in front of him that was titled, "Feld Entertainment" at the top. When he handed me the telephone number I asked the guard his name. He said it was (b)(6), (b)(7)c. I asked him if he worked for Feld Entertainment. He said he works for a security company that was contracted by Feld Entertainment to provide security at the building. I asked if I could use his phone and he said "you have a cell phone, don't you".

I left the building without serving the subpoena and went back to my GOV where I called IES Deputy Director, Teresa Lorenzano. I explained that the security guard was not assisting me at all with getting in touch with (b)(7)c and that he would only provide me with a

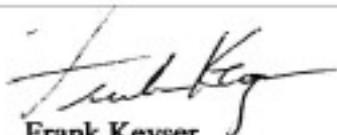


general number to Feld Entertainment for public relations. I asked Teresa if she could call USDA, OGC and find out if it would be appropriate to serve the subpoena on the Security Guard since he would not cooperate in calling (b)(7)c office in the building to put me in touch with him or anyone else. (I did not want to call the PR office because it I was concerned that they would ask my business, and then (b)(7)c would try to avoid having the subpoena served on him.) I stayed on the line while Teresa called OGC. A few minutes later she came back on the line and said she had spoken with OGC Attorney Colleen Carroll. Teresa asked if the building belonged to Feld Entertainment. I said I did not know that for sure, but I told her there were signs outside and inside that indicated it was the office for Ringling Bros., and that the sign in sheet indicated the offices of Feld Entertainment were located in the building. Furthermore, I told her that the security guard told me (b)(7)c office was located in the building. Teresa told me that Colleen said under the circumstances it would be appropriate to serve the subpoena on the security guard and to make out the Certificate of Personal Service indicating the name of the security guard. She added that I should send a copy of the Subpoena to the address of (b)(7)c indicated by certified mail to that location.

I returned to the security guard station inside of the lobby of 8607 Westwood Center Drive, and I told the guard, (b)(6), (b)(7)c that I was leaving the subpoena for (b)(7)c and that he needed to see to it that (b)(7)c gets it. I then left the building as (b)(6), (b)(7)c reading it.

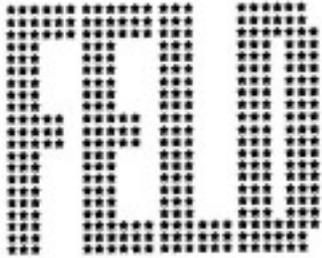
On April 15, 2004, I mailed the copy of the Subpoena to (b)(7)c Attorney, 8607 Westwood Center Drive, Vienna, VA 22182.

I sent a copy of the subpoena and service letter to IES Headquarters in Riverdale, MD.



Frank Keyser
Senior Investigator
USDA, APHIS, IES





ENTERTAINMENT, INC.

April 28, 2004

VIA FEDERAL EXPRESS

(b)(7)c
Vice President
and Corporate Counsel

Mr. J. David Neal
Senior Investigator
U.S. Department of Agriculture
APHIS
Investigative & Enforcement Services
3658 E. Chipman Road
Phoenix, AZ 85040

Dear Mr. Neal:

This is in response to the Subpoenas Duces Tecum served on Feld Entertainment, Inc., both at its address at 2675 Windmill Parkway, Henderson, Nevada, 89074 and 8607 Westwood Center Drive, Vienna, Virginia, 22182. As you will recall, during our telephone conversation on Saturday April 24, 2004, you granted an extension of time by which Feld Entertainment would be required to produce the requested records.

Enclosed is a photocopy of a document entitled "Guest/Employee Statement." This statement of (b)(6), (b)(7)c is the only document responsive to the Subpoenas.

(b)(7)c

(b)(7)c





United States
Department of
Agriculture

Marketing and
Regulatory
Programs

Animal and
Plant Health
Inspection
Service

Animal Care

EXPIRATION DATE: NOVEMBER 19, 2004

This is to certify that

S & R PRODUCTIONS
DBA: SIEGFRIED & ROY

is a licensed
under the

CLASS C EXHIBITOR

Animal Welfare Act

(7 U.S.C. 2131 et seq.)

Certificate No.

88-C-0006

Customer No.

3421

Deputy Administrator

GOVERNMENT
EXHIBIT
10
Page 1 of 1
APHS FORM 7007 (NOV 99)

U.S. DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

APPLICATION FOR LICENSE

(TYPE OR PRINT)

LICENSE RENEWAL

DO NOT USE THIS SPACE - OFFICIAL USE ONLY

SEND THE COMPLETED FORM TO 2150 Centre Ave, Building B
Mailstop # 3w11
Fort Collins, CO 80526 8117
Telephone: (970) 494-7478

WE-4-0006, CK 30269

CERTIFICATE / CUSTOMER NO.	RENEWAL DATE	FEES	
		AMOUNT	DATE RECE
CERT: 88-C-0006 CUST: 3421	19-NOV-2003	\$235.00	27-OCT

1. NAME(S) OF LICENSEE(S) AND MAILING ADDRESS

S & R Productions
Siegfried & Roy
1639 N. Valley Drive
Las Vegas, NV 89108

Telephone: (702)647-2225

2. ALL BUSINESS (Site) LOCATIONS HOUSING ANIMALS; INCLUDE DIRECTIONS TO EACH LOCATION (P.O. Box not acceptable)

1639 N. Valley Drive
Las Vegas, NV 89108
County: Clark
Telephone: (702)647-2225



3. LIST PERSONS 18 YEARS OF AGE OR OLDER AUTHORIZED TO CONDUCT BUSINESS. RESPONSIBLE OFFICIAL SIGNING BLOCK 10 SHOULD BE LISTED IN THIS BLOCK.

(b)(6), (b)(7)c

4. (A) PREVIOUS USDA LICENSE NUMBER (if any)

(B) ACTIVE USDA CERTIFICATE NUMBER IN WHICH YOU HAVE AN INTEREST

88-C-0006

6. DATE OF LAST 12-MONTH BUSINESS YEAR (CALENDAR OR FISCAL)

FROM	TO
01-JAN-2002	31-DEC-2002

7. TYPE OF ORGANIZATION

- Individual Corporation Partnership
 Other (Specify) _____

8. DEALERS ONLY. Class A or Class B licensees must complete this Block. (Class C Exhibitors go to Block 9)

CLASS A (BREEDER) - LINE 'D' = 1/2 OF LINE 'C'
CLASS B (DEALER) - LINE 'D' = LINE 'C' LESS THE AMOUNT PAID FOR THE ANIMAL(S)

A. TOTAL NO. OF ANIMALS PURCHASED IN THE LAST BUSINESS YEAR	(b)(6), (b)(7)c
B. TOTAL NO. OF ANIMALS SOLD IN THE LAST BUSINESS YEAR	
C. TOTAL GROSS DOLLAR AMOUNT DERIVED FROM REGULATED ACTIVITIES (SALES, BOOKING FEES, COMMISSIONS, ETC.)	
D. DOLLAR AMOUNT ON WHICH FEE IS BASED (SECTIONS 2.6 AND 2.7)	

9. CLASS C EXHIBITORS ONLY. (Number of animals holding now or held during the last business year, whichever is greater.)

DOGS	NONHUMAN PRIMATES	RODENTS (Do not include lab rats or mice)	WILD/EXOTIC HOOFSTOCK
CATS	MARINE ANIMALS	BEARS	
GUINEA PIGS	FARM ANIMALS	WILD/EXOTIC MAMMALS (NOT LISTED ELSEWHERE)	
HAMSTERS	WILD/EXOTIC CANINES	TOTAL (ALL ANIMALS LISTED IN BLOCK 9)	57
RABBITS	WILD/EXOTIC FELINES		57

CERTIFICATION

I hereby make application for a license under the Animal Welfare Act 7 U.S.C. 2131 et seq. I certify that the information provided herein is true and correct to the best knowledge. I hereby acknowledge receipt of and certify to the best of my knowledge I am in compliance with all the regulations and standards in 9 CFR, Subpart A, P. 2 and 3. I certify that I am 18 years of age or older.

OCT 27 2003

(b)(6), (b)(7)c

12. SOCIAL SECURITY OR TAX IDENTIFICATION NUMBER
88-0142235

13. DATE
10/9/03

Revised Additional Site Addresses for Customer:

Site 002: S & R Productions/Mirage Hotel
3400 Las Vegas Blvd. So.
Las Vegas, NV 89109

Contact Person: [REDACTED]

Phone Number: (702) 647-2225

Site 003: S & R Productions (Private Retreat)
5050 No. Rainbow Blvd.
Las Vegas, NV 89130

Contact Person: [REDACTED]

Phone Number: (702) 647-2225

Site 004: Cincinnati Zoo & Botanical Garden
3400 Vine Street
Cincinnati, OH 45220

Contact Person: [REDACTED]

Phone Number: (503) 281-4701

Site 004: Toledo Zoo
P.O. Box 140130
Toledo, OH 43614

Contact Person: [REDACTED]

Phone Number: (419) 385-5721



October 29, 2003

S & R Productions
Siegfried & Roy
1639 N. Valley Drive
Las Vegas, NV 89108

Customer No: 3421
Certificate No: 88-C-0006
Renewal Date: 11/19/2004
RE: ACKNOWLEDGEMENT OF RENEWAL

Dear Licensee:

Thank you for submitting your Animal Welfare Act (AWA) license renewal documents and applicable fees. Enclosed is a copy of the renewal form and a new certificate indicating that your AWA license has been renewed for another year.

As a reminder, you should file your application for renewal and pay your licensing fees on or before the expiration date each year. We will send you a renewal notice again next year about 60 days before the expiration date of your license. If you cease conducting regulated activities, you may cancel your license at any time by notifying us, in writing, that you wish to terminate your license.

The law also requires that you notify us, by certified mail, of any change in the name, address, location, management and control or ownership of your business within 10 days after such a change has occurred.

Please be advised that your facility records must be kept current and they are subject to review by APHIS Officials during compliance inspections. You may order record keeping forms from this office free of charge.

We appreciate your efforts in complying with the Animal Welfare Act. Contact this office at 970-494-7468 if you have any questions regarding this letter or the Animal Welfare Act.

Sincerely,

Robert M. Gibbens
Regional Director - Animal Care
Western Region

cc: Dale W. Howard, D V M
cc: Joseph Kovach, A C I
cc: Scott Price, D V M

Enclosures



S & R Productions
Siegfried & Roy
1639 N. Valley Drive
Las Vegas, NV 89108

October 29, 2003

Customer No: 3421
Certificate No: 88-C-0006

RE: ACKNOWLEDGMENT OF NEW SITE

Dear Licensee:

Thank you for notifying us of your need for an additional site under your Animal Welfare Act (AWA) license. This site, number 005 has been added to your current license. In order for you to conduct covered activities from your new site, it must first be inspected and in compliance with the AWA. The inspector for your area will contact you to schedule a prelicense inspection. If your inspector has not contacted you within 10 days, please contact this office.

Under the regulations of the AWA, your license is not valid at the new site until an inspection determines that it is in compliance, although you may continue at your original site(s). If covered activities are conducted at a site which has not been approved, it is a violation of the AWA and subject to legal action.

We appreciate your efforts in complying with the Animal Welfare Act. Contact this office at 970-494-7468 if you have any questions regarding this letter or the Animal Welfare Act.

Sincerely,

Robert M. Gibbens
Regional Director - Animal Care
Western Region

cc: Dale W. Howard, D V M
cc: Joseph Kovach, A C I
cc: Scott Price, D V M





State of California - The Resource Agency
DEPARTMENT OF FISH AND GAME

RESTRICTED SPECIES PERMIT NO. 1852

EXPIRES: 10/03/2004

NONRESIDENT EXHIBITING

ATTN: (b)(6), (b)(7)c
SIEGFRIED & ROY ENTERPRISES
1639 N VALLEY DR
LAS VEGAS NV 89108

AUTHORIZATION(S):

NONRESIDENT EXHIBITING AUTHORIZATIONS

May import, export, transport, and possess only those species listed on the attached Department approved permit inventory for commercial exhibition purposes.

LOCATION(S) OF ANIMALS:

1639 N VALLEY DR, LAS VEGAS, NV 89108

CONDITION(S):

NONRESIDENT EXHIBITING CONDITIONS

The permittee may add new species to the inventory only with prior written approval of the Department.

Any animal imported or exported must meet applicable federal and state agency standards for diseases.

The permittee must provide the Department a copy of their itinerary prior to entry into California. A copy of the itinerary must be attached to this permit.

Permittees shall not transport or possess wild animals within the state for periods exceeding their exhibiting itinerary unless they provide facilities which meet the caging standards of section 671.3, Title 14, of the California Code of Regulations for each animal listed on the permit.

All programs/presentations utilizing detrimental species shall include information explaining the reasons for listing such animals as detrimental in California.

This permit or a copy must be in the possession of any person in possession of animals and must be immediately presented on demand by any enforcement officer.

RESIDENT AND NONRESIDENT ELEPHANT EXHIBITING CONDITIONS (WIL 06/1998)

1. Any elephant(s) imported into California must be accompanied by a current interstate health certificate from a federally accredited veterinarian stating that the veterinarian has examined the elephant(s) and has found that they are not exhibiting any signs or symptoms of any infectious or contagious disease.
2. Pre-employment and annual tuberculin (TB) testing (mantoux skin test) of the elephant handlers and caretakers is required with appropriate follow up on all individuals testing positive. For those handlers and caretakers with a past positive skin test, annual symptom screening (and chest radiographs, if indicated) is required. All human TB testing and follow up should be done in consultation with your local or state public health agency to be sure that established tuberculosis guidelines are followed.
3. Routine annual bacteriologic culturing of individual elephants for tuberculosis is required. This culturing consists of three samples from the trunk taken on separate days within a one-week period under the direct supervision of a licensed veterinarian, as described in the U.S. Dept. of Agriculture (USDA) guidelines*. Samples are to be submitted to the National Veterinary Service Laboratory, 1800 Dayton Road, Ames, IA 50011, or a public health laboratory. Trunk culture results must be submitted to the California Department of Fish and Game, License and Revenue Branch (CDFGLRB), 3211 S Street, Sacramento, CA 95816, upon renewal of permit.
4. The mousing and dismounting of an elephant by the public shall be conducted in such a way that contact with the face and trunk of the elephant is prevented.
5. Feeding or contact with the elephant's trunk or face by members of the public will be allowed under certain conditions based on the elephant's trunk culture results and status of previous exposure to tuberculosis. Four categories of elephants (based on the USDA management groups for the control of tuberculosis in elephants*) and the requirements for each, before such contact, are presented here:
 - a. Group A (negative trunk culture and no known exposure to a culture positive elephant during the previous 5 years) - face and trunk contact allowed with quarterly (every 3 months) negative culture results.



DISCLAIMER:

THIS PERMIT DOES NOT SUPERCEDE APPLICABLE LAWS OF ANY OTHER LOCAL, STATE, OR FEDERAL AGENCY.

ISSUED BY:		Original Signed by Kathi Kline		DATE:	09/30/2003	PHONE NO.:	(916) 227-1305
COPIES TO:	LRB	WR	FOOD & AG	HEALTH SERVICES	USDA		



EXPIRES: 10/03/2004

NONRESIDENT EXHIBITING

ATTN: (b)(6), (b)(7)c
SIEGFRIED & ROY ENTERPRISES
1639 N VALLEY DR
LAS VEGAS NV 89108

- CONDITIONS CONTINUED -

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- b. Group B (negative trunk culture and exposure to a culture positive elephant within the previous 5 years) - no face or trunk contact for 6 months after first trunk culture; if 2 quarterly negative culture were obtained, face and trunk contact can resume with follow up quarterly negative culture results.
- c. Group C (negative trunk culture and exposure to a culture positive elephant within the previous 12 months); there are 2 options for elephants in this group:
 - I. If elephant is treated for tuberculosis - no face or trunk contact for 6 months after first trunk culture following the start of treatment; after treatment has been completed and if 2 quarterly negative cultures are obtained, face and trunk contact can resume with follow up quarterly negative culture results.
 - II. If elephant is not treated for tuberculosis - no face or trunk contact for 12 months and negative culture results are required; after this, face and trunk contact can resume with follow up quarterly negative culture results.
- d. Group D (tuberculosis culture positive) - no face or trunk contact until 6 months post-treatment with quarterly negative culture results (2 negative cultures 3 months apart); after this, face and trunk contact can resume with follow up quarterly negative culture results.

Since a negative culture does not guarantee that an elephant is free of tuberculosis, it is strongly recommended that public contact with the face or trunk is minimized as much as possible and that handlers and caretakers take appropriate precautions.

6. The exhibitor must notify the CDFG/LRB within 48 hours of any change in the health status, indicative of tuberculosis, of the Elephant(s). USDA guidelines* must be followed for any elephant which has been previously diagnosed as culture positive for tuberculosis or has been exposed to a tuberculosis culture positive elephant, and such elephant must have current approval for travel by USDA, prior to its importation into California and/or exhibition. The exhibitor must provide the USDA approval documentation to CDFG/LRB.

*Guidelines for the Control of Tuberculosis in Elephants, USDA/APHIS, 1/96





ATTN: (b)(6), (b)(7)c
SIEGFRIED & ROY ENTERPRISES
1639 N VALLEY DR
LAS VEGAS NV 89108

Listed below are the animals you are currently authorized to possess. You may not add new species to your inventory without prior written approval from the Department of Fish and Game.

COMMON NAME	SCIENTIFIC NAME	NO.	SEX	AGE		TBA*	NS**
				YRS	MOS		
ELEPHANT, ASIATIC	(ELEPHAS MAXIMUS (NO SUBSP))	1	F	55	0		
LEOPARD X JAGUAR	(PANTHERA PARDUS X PANTHERA ONCA)	1	M	25	0		
LEOPARD, INDIAN	(PANTHERA PARDUS FUSCA)	1	F	2	4		
LEOPARD, INDIAN	(PANTHERA PARDUS FUSCA)	1	F	11	7		
LEOPARD, INDIAN	(PANTHERA PARDUS FUSCA)	1	M	2	1		
LEOPARD, SNOW	(PANTHERA UNCA)	1	M	13	5		
LION, MASAI	(PANTHERA LEO MASSAICUS)	1	F	2	4		
LION, MASAI	(PANTHERA LEO MASSAICUS)	1	F	5	8		
LION, MASAI	(PANTHERA LEO MASSAICUS)	1	F	7	4		
LION, MASAI	(PANTHERA LEO MASSAICUS)	1	F	8	0		
LION, MASAI	(PANTHERA LEO MASSAICUS)	1	F	8	8		
LION, MASAI	(PANTHERA LEO MASSAICUS)	2	F	6	8		
LION, MASAI	(PANTHERA LEO MASSAICUS)	1	M	7	4		
LION, MASAI	(PANTHERA LEO MASSAICUS)	1	M	8	8		
LION, MASAI	(PANTHERA LEO MASSAICUS)	2	M	8	0		
LION, MASAI	(PANTHERA LEO MASSAICUS)	3	M	2	4		
LION, MASAI	(PANTHERA LEO MASSAICUS)	4	M	6	0		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	1	F	1	11		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	1	F	11	0		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	1	F	13	0		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	1	F	17	1		

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EXHIBIT
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APHIS FORM 7079 (MAR 92)



COMMON NAME	SCIENTIFIC NAME	NO.	SEX	AGE		TBA*	NS**
				YRS	MOS		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	1	F	20	5		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	2	F	14	1		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	2	F	15	8		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	3	F	7	0		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	1	M	1	4		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	1	M	2	1		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	1	M	2	3		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	1	M	12	1		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	1	M	14	1		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	1	M	17	1		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	2	M	1	11		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	3	M	7	0		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	3	M	14	8		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	4	M	11	0		
TIGER, BENGAL	(PANTHERA TIGRIS TIGRIS)	4	M	11	8		
***** NO SPECIES SHOULD APPEAR BELOW THIS LINE *****							

* To Be Acquired ** Native Species



All redactions on this page are pursuant to (b)(6) & (b)(7)(c).



Dean Heller
Nevada Secretary of State
Corporate Information



Name: SIEGFRIED & ROY ENTERPRISES, INC.

Type: Corporation	File Number: C1788-1987	State: NEVADA	Incorporated On: March 13, 1987
Status: Current list of officers on file		Corp Type: Regular	
Resident Agent:	[REDACTED] (Accepted)		
Address:	630 SOUTH FOURTH STREET		
	SUITE 400		
	LAS VEGAS	NV	89101-
President:	[REDACTED]		
Address:	1639 NORTH VALLEY DRIVE		
	LAS VEGAS	NV	89108-
Secretary:	[REDACTED]		
Address:	1639 NORTH VALLEY DRIVE		
	LAS VEGAS	NV	89108-
Treasurer:	[REDACTED]		
Address:	1639 NORTH VALLEY DRIVE		
	LAS VEGAS	NV	89108-

Return to search menu	Search for another Resident Agent
Search for another Officer	Search for another Corporation

GOVERNMENT EXHIBIT
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 APNS FORM 700 (MAR 00)

9/2/2004

NEVADA SECRETARY OF STATE

THIS DATA IS FOR INFORMATIONAL PURPOSES ONLY

**CERTIFICATION CAN ONLY BE OBTAINED THROUGH THE ISSUING
GOVERNMENT AGENCY**

NEVADA SECRETARY OF STATE

Company Name: SIEGFRIED & ROY ENTERPRISES, INC.

Type: REGULAR (DOMESTIC)

Status: ACTIVE (CURRENT LIST OF OFFICERS ON FILE)

Standing: CURRENTLY IN GOOD STANDING

Status Date: 3/25/2003

Filing Date: 3/13/1987

Duration: PERPETUAL

State or Country of Incorporation: NEVADA

Purpose: ALL LEGAL ACTIVITIES; HAS NO GAMING

Registered Agent:

Status: ACCEPTED

Creation Date: 5/16/1995

Companies Represented: 53

Companies in Good Standing: 17

Registered Office:

SUITE 400

630 SOUTH FOURTH STREET

LAS VEGAS, NV 89101

Filing Number: C1788-1987

Annual Report:

Date Due: 2004

Report Date: 3/25/2003

Comments: ANNUAL LIST OF OFFICERS; Number of Officers Reported: 3



Stock Information:

Number of No Par Shares: 2,500

Officers, Directors:

[REDACTED]
PRESIDENT

1639 NORTH VALLEY DRIVE

LAS VEGAS, NV 89108

Added Date: 6/2/1987

[REDACTED]
SECRETARY

1639 NORTH VALLEY DRIVE

LAS VEGAS, NV 89108

Added Date: 6/2/1987

[REDACTED]
TREASURER

1639 NORTH VALLEY DRIVE

LAS VEGAS, NV 89108

Added Date: 6/2/1987

SIEGFRIED FISCHBACHER

DIRECTOR

1639 NORTH VALLEY DRIVE

LAS VEGAS, NV 89108

History:

File Date: 5/16/1995

Comments: [REDACTED] SUITE C 6769 W. CHARLESTON BLVD LAS VEGAS NV 89102 JAH

Transaction: RESIDENT AGENT RESOLUTION

File Date: 3/20/1995

Comments: [REDACTED] SUITE ONE 325 SOUTH MARYLAND PKWY. LAS VEGAS NV 89101 ALH

Transaction: RESIDENT AGENT RESOLUTION



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Siegfried & Roy®:

Entertainers, Illusionists and Conservationists

Siegfried & Roy®: The names alone conjure images of illusion and magic. For more than 25 years, they have set the standard for live amusement in the entertainment industry, dazzling audiences with magical feats no other performer dares to attempt. They command the world's attention with their performances in which fantasy and reality blur into one breathtaking experience.

Irvin Feld discovered *Siegfried & Roy* performing a magic act at the Frontier Hotel in 1977. In 1980, television viewers around the world got their first introduction to the pair when they starred in two Feld-produced NBC specials. Feld later signed an agreement with the pair in 1981 to produce their show *Beyond Belief* and, in turn, lend the company's entertainment knowledge and corporate backing to enhance the performance. Steve Wynn signed *Siegfried & Roy* to a \$58-million contract at The Mirage Hotel in 1987 after they contributed \$35 million of their own money to create their show.

Master illusionists *Siegfried & Roy* have astonished sold-out audiences at The Mirage Hotel in Las Vegas since their first performance there in 1990. Each week they entertain more than 10,000 people with their magic, pyrotechnic phenomena and magnificent exotic animals. Proving that their personal bet paid off, *Siegfried & Roy's* show has generated more than \$1 billion at the box office, and the team has made their mark on Las Vegas and the entertainment industry. Because they were the first act to encourage parents to bring children to their shows, they are credited with beginning the makeover of Las Vegas into a family resort. *Siegfried & Roy* are two-time winners of the American Academy of Musical Arts "Magicians of the Year" Award and three-time winners of Las Vegas's "Entertainers of the Year" award. Commemorating the duo's 10-year anniversary in Las Vegas, Mayor William H. Briane proclaimed an official *Siegfried & Roy Day*. They are also recipients of the Cross of the Merit of the Federal Republic of Germany, an honor ordinarily reserved for diplomats or governmental dignitaries.

Responding to mass fan mail from around the world, the pair also decided to write an autobiography in 1993, *Siegfried & Roy: Mastering the Impossible*, which was an instant best seller. The pair's latest venture is an IMAX 3-Dimensional documentary-style movie, *Siegfried & Roy: The Magic Box*, that debuted in late 1999. The 50-minute film is narrated by actor Anthony Hopkins and directed by Brett Leonard.

The Beginning of Magic

Siegfried Fischbacher and Roy Uwe Ludwig Horn attained their level of success by relentlessly pursuing their dreams until they became a reality. Their journey to success began in the post-World War II Germany, where the two young boys discovered their life's passion - a world in which to escape. Siegfried began learning the art of magic tricks, while Roy developed a special kinship with animals following an incident where a dog rescued him from quicksand. Each followed their separate paths while growing up

<http://www.feldentertainment.com/pr/presskit/SR.htm>



9/1/2004

until fate placed them together aboard a German cruise ship in 1960 where Siegfried was performing magic acts. Roy was working as a steward on the ship and had stowed his pet cheetah onboard. During one of Siegfried's magic shows, Roy asked Siegfried if he could make his cheetah disappear. Thus was the beginning of an incredibly successful pair called *Siegfried & Roy*.

The Magic of Success

Siegfried & Roy's first performances together were on the cruise ship where they met, in cabarets, nightclubs and vaudeville houses. It wasn't until a stunning performance before Prince Rainier and Princess Grace of Monaco at Monte Carlo's Sporting Club that they were launched into superstardom. Seeing the success that *Siegfried & Roy* experienced in Europe, Las Vegas recruited the pair to the United States in the mid 1970s.

Conserving a Passion

Offstage, *Siegfried & Roy* are committed to wildlife conservation and share their jungle-style Las Vegas home with members of their animal family. In 1986, they began a breeding program for their Royal White Tigers of Nevada, in conjunction with the Zoological Society of Cincinnati. While in *Siegfried & Roy's* program, white tiger quintuplets were born in 1992, thus marking a miraculous moment in terms of the preservation of an almost extinct species.

Siegfried & Roy expanded their conservation efforts in early 1995 with the undertaking of a new venture - saving the nearly extinct White Lions of Timbavati, Africa. In cooperation with the Johannesburg Zoological Gardens, they brought two cubs to Las Vegas with the hopes of a successful breeding program equal to that of the Royal White Tigers of Nevada. Several white lion cubs have been born into the care of *Siegfried & Roy's* program.

As part of their continuing dedication to animals, the illusionists also created an enduring sanctuary, The Secret Garden, in The Mirage Hotel where the animals' living quarters are on public display. Each year, visitors to Las Vegas are not only impressed by *Siegfried & Roy's* stage performance, but also by The Secret Garden's portal into the magic of nature and how these magnificent animals live and interact with each other.

Updated 8/1/01

