

Additions underlined  
Deletions [bracketed]

## NYSE Arca Equities

### FEES AND CHARGES

Effective Date: [~~June 3~~]July 1, 2024

#### NYSE ARCA MARKETPLACE: TRADE RELATED FEES AND CREDITS<sup>1</sup>

##### EXCHANGE TRANSACTIONS

##### ETP HOLDERS AND MARKET MAKERS<sup>2</sup>

Round Lots and Odd Lots (Per Share Price \$1.00 or Above and Per Share Price Below \$1.00)

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<sup>1</sup> Trade activity on days when the market closes early and on the date of the annual reconstitution of the Russell Investments Indexes does not count toward volume tiers. For purposes of determining trade related fees and credits based on ADV and US CADV (as defined below), the Exchange may exclude any day that (1) the Exchange is not open for the entire trading day and/or (2) a disruption affects an Exchange system that lasts for more than 60 minutes during regular trading hours. For purposes of calculating an ETP Holder's qualifications for fees and credits that pertain to providing liquidity, the Exchange will calculate an ETP Holder's equity volume and total equity CADV twice for the following pricing tiers:

- Adding Tiers
- Limit Non-Display Step Up Tier
- Tape C Tiers for Adding
- Tape B Tiers

First, the Exchange will calculate an ETP Holder's equity volume and total equity CADV inclusive of volume that consists of executions in securities priced less than \$1. Second, the Exchange will calculate an ETP Holder's equity volume and total equity CADV exclusive of volume that consists of executions in securities priced less than \$1. The Exchange will then assess which of these two calculations would qualify the ETP Holder for the most advantageous fees and credits for the month and then it will apply those to the ETP Holder.

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