

### EXHIBIT 3

**The information contained in this Exhibit 3 is subject to exemption from mandatory disclosure under Exemptions #4 and #8 of the Freedom of Information Act because the information concerns (i) trade secrets and commercial information that is privileged or confidential and (ii) the supervision of The Depository Trust Company, Fixed Income Clearing Corporation, and National Securities Clearing Corporation (collectively, the “Clearing Agencies”), which are financial institutions. This Exhibit 3 contains one or more electronic files embedded in a one-page document for filing efficiency, as listed below. The information contained in the embedded file or files is not intended for public disclosure. Accordingly, this Exhibit 3 has been redacted and confidential treatment requested pursuant to 17 CFR 240.24b-2. An unredacted version was filed separately and confidentially with the Securities and Exchange Commission. Notwithstanding the request for confidential treatment, the Clearing Agencies believe the substance of this Exhibit 3 is clearly and adequately described in the accompanying Exhibit 1A and Form 19b-4 narrative to the advance notice filing, thus allowing for meaningful public comment.**

Embedded File(s):

1. Proposed Transition Schedule of Core C&S Systems to Move to Cloud; 1 page
2. Change Management Policy; 9 pages
3. Technology Capacity and Demand Assessment Policy; 8 pages
4. Clearing Agency Risk Management Framework; 19 pages
5. Whitepaper-1; 30 pages
6. Whitepaper-2; 17 pages
7. Enterprise Agreement with Attachments (Cloud Agreement and Amd. No. 1); 16 pages
8. Amendment No. 2 to Enterprise Agreement; 7 pages
9. Amendment No. 3 to Enterprise Agreement; 3 pages
10. Amendment No. 4 to Enterprise Agreement; 3 pages
11. Amendment No. 5 to Enterprise Agreement; 3 pages
12. Amendment No. 6 to Enterprise Agreement; 3 pages
13. Amendment No. 7 to Enterprise Agreement; 4 pages
14. Amendment No. 8 to Enterprise Agreement; 5 pages
15. Amendment No. 9 to Enterprise Agreement; 5 pages

16. DTCC Reg. SCI Addendum; 23 pages
17. DTCC Global Business Continuity and Resilience Policy; 9 pages
18. DTCC System Delivery Policy; 9 pages
19. IT Architecture Policy; 7 pages
20. New Initiatives Policy; 22 pages
21. OTR CS&TRM Procedure – Application Penetration Test; 15 pages
22. DTCC Information Security – Systems Acquisition Development and Maintenance Policy and Control Standards; 20 pages
23. DTCC Information Security – Communications and Operations Policy and Control Standards; 56 pages
24. SLA Compendium; 5 pages
25. DTCC Legal Review of Third Party Vendor Contracts Policy; 19 pages
26. DTCC Corporate Risk Management Policy; 118 pages
27. Operational Response Capabilities Matrix; 4 pages
28. Fedwire Funds Protracted Outage Procedures; 33 pages
29. OTR TRM Core Process Procedure – Security Configuration Violation Rules; 14 pages
30. DTCC Information Security – Information Security Management Policy and Control Standards; 15 pages
31. DTCC Information Security – Risk Management Policy and Control Standards; 16 pages
32. DTCC Third Party Risk Procedures; 64 pages
33. Enterprise Program Management Office Policy; 13 pages
34. Enterprise Program Management Office Procedure; 19 pages
35. Internal Audit Department Policies and Procedures; 97 pages
36. DTCC Information Security – Asset Security Policy and Control Standards; 36 pages
37. DTCC Information Security – Monitoring and Incident Management Policy and Control Standards; 18 pages
38. DTCC Information Security – Asset Access Control Policy and Standards; 43 pages

39. DTCC Data Risk Management Policy; 13 pages
40. DTCC Information Security – Public Key Infrastructure Policy and Control Standards; 39 pages
41. DTCC Third Party Risk Governance & Monitoring Procedures; 27 pages
42. Third Party Risk – Technology and Resilience Procedures; 11 pages
43. DTCC Third Party Risk Policy; 20 pages
44. DTCC Third Party Risk CriticalPlus Program Procedures; 15 pages
45. Internal Audit Report DTCC Cloud Platform; 12 pages
46. IT-Q4 2023 Risk Tolerance; 16 pages
47. Amendment to Private Pricing Addendum S3 Capacity; 3 pages
48. DTCC Information Security – Business Continuity Policy and Control Standards; 9 pages
49. Incident Management Policy; 6 pages
50. Mutual Nondisclosure Agreement; 2 pages
51. Clearing Agencies’ Responses to SEC RFI Re Cloud Proposal; 19 pages
52. Failure Scenario(s) – SEC Advance Notice; spreadsheet file

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