

UNITED STATES OF AMERICA

Before the

SECURITIES AND EXCHANGE COMMISSION

SECURITIES EXCHANGE ACT OF 1934

Release No. 101222 / October 1, 2024

WHISTLEBLOWER AWARD PROCEEDING

File No. 2025-1

In the Matter of the Claim for Award

in connection with

Redacted

Notice of Covered Action ^{Redacted}

ORDER DETERMINING WHISTLEBLOWER AWARD CLAIM

The Claims Review Staff (“CRS”) issued a Preliminary Determination recommending that ^{Redacted} (“Claimant”) receive a whistleblower award of ^{***} percent (^{***} %) of the amounts collected in the above-referenced Covered Action (“Covered Action”), equal to a payment of more than \$1.8 million.¹

The recommendation of the CRS is adopted. The record demonstrates that Claimant voluntarily provided original information to the Commission and that this original information led to the successful enforcement of the Covered Action.²

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¹ The CRS also denied the award claim of another individual, who did not contest the denial, which is now considered to be a final order of the Commission.

² See Exchange Act Section 21F(b)(1), 15 U.S.C. § 78u-6(b)(1); Exchange Act Rule 21F-3, 17 C.F.R. § 240.21F-3.

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In coming to this conclusion, the Commission considered that Claimant provided significant information alerting Enforcement staff to the underlying conduct, prompting the opening of the investigation, and thereafter provided continuing helpful assistance, including communicating with staff, identifying key witnesses and documents, and saving staff time and resources.

Accordingly, it is hereby ORDERED that Claimant shall receive an award of *** percent (** %) of the monetary sanctions collected in the Covered Action.

By the Commission.

Vanessa A. Countryman
Secretary

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