Forcing with ultrafilters

Itay Neeman

Department of Mathematics University of California Los Angeles Los Angeles, CA 90095

www.math.ucla.edu/~ineeman

Sofia, August 2009

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Recent results

Use PageDown or the down arrow to scroll through slides.
Press Fsc when done.

Outline

Many forcing notions use ultrafilters to control the generic and limit its effects on the universe. We give several examples of such forcing notions, and end with a recent construction of a model where the tree property coexists with failure of the singular cardinal hypothesis.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

ording

Use of ultrafilters

Outline

Many forcing notions use ultrafilters to control the generic and limit its effects on the universe. We give several examples of such forcing notions, and end with a recent construction of a model where the tree property coexists with failure of the singular cardinal hypothesis.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

ecent results

Singular cardinal combinatorics

Joo or airrainte

ecent results

Many forcing notions use ultrafilters to control the generic and limit its effects on the universe. We give several examples of such forcing notions, and end with a recent construction of a model where the tree property coexists with failure of the singular cardinal hypothesis.

Singular cardinal combinatorics

Forcing

ecent results

Many forcing notions use ultrafilters to control the generic and limit its effects on the universe. We give several examples of such forcing notions, and end with a recent construction of a model where the tree property coexists with failure of the singular cardinal hypothesis.

Singular cardinal combinatorics

Forcing

Use of ultrafilters

ecent results

Many forcing notions use ultrafilters to control the generic and limit its effects on the universe. We give several examples of such forcing notions, and end with a recent construction of a model where the tree property coexists with failure of the singular cardinal hypothesis.

Singular cardinal combinatorics

Forcing

Use of ultrafilters

 \aleph_{α} , $\alpha \in \text{On lists the infinite cardinals in order.}$

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

 \aleph_{α} , $\alpha \in \text{On lists the infinite cardinals in order.}$

 \aleph_0

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

 \aleph_{α} , $\alpha \in \text{On lists the infinite cardinals in order.}$

 \aleph_0, \aleph_1

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

 \aleph_{α} , $\alpha \in \text{On lists the infinite cardinals in order.}$

$$\aleph_0, \aleph_1, \aleph_2$$

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

 \aleph_{α} , $\alpha \in \text{On lists the infinite cardinals in order.}$

$$\aleph_0, \aleph_1, \aleph_2, \ldots, \aleph_{\omega}$$

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

 \aleph_{α} , $\alpha \in \text{On lists the infinite cardinals in order.}$

$$\aleph_0, \aleph_1, \aleph_2, \ldots, \aleph_{\omega}, \aleph_{\omega+1}$$

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

 \aleph_{α} , $\alpha \in \text{On lists the infinite cardinals in order.}$

$$\aleph_0, \aleph_1, \aleph_2, \ldots, \aleph_{\omega}, \aleph_{\omega+1}, \ldots$$

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

$$\aleph_0, \aleph_1, \aleph_2, \ldots, \aleph_{\omega}, \aleph_{\omega+1}, \ldots$$

The cofinality of a cardinal κ is the least δ so that there exists $f : \delta \to \kappa$, cofinal. κ is singular if $Cof(\kappa) < \kappa$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

 \aleph_{α} , $\alpha \in On$ lists the infinite cardinals in order.

 $\aleph_0, \aleph_1, \aleph_2, \ldots, \aleph_{\omega}, \aleph_{\omega+1}, \ldots$

The cofinality of a cardinal κ is the least δ so that there exists $f: \delta \to \kappa$, cofinal. κ is singular if $Cof(\kappa) < \kappa$.

For example, $Cof(\aleph_{\omega}) = \omega$. \aleph_{ω} is singular.

$$\aleph_0, \aleph_1, \aleph_2, \ldots, \aleph_{\omega}, \aleph_{\omega+1}, \ldots$$

The cofinality of a cardinal κ is the least δ so that there exists $f : \delta \to \kappa$, cofinal. κ is singular if $Cof(\kappa) < \kappa$.

For example, $Cof(\aleph_{\omega}) = \omega$. \aleph_{ω} is singular.

 $^{\kappa}2$ is the set of functions from κ into $2 = \{0, 1\}$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

 \aleph_{α} , $\alpha \in On$ lists the infinite cardinals in order.

 $\aleph_0, \aleph_1, \aleph_2, \ldots, \aleph_{\omega}, \aleph_{\omega+1}, \ldots$

The cofinality of a cardinal κ is the least δ so that there exists $f: \delta \to \kappa$, cofinal. κ is singular if $Cof(\kappa) < \kappa$.

For example, $Cof(\aleph_{\omega}) = \omega$. \aleph_{ω} is singular.

 $^{\kappa}$ 2 is the set of functions from κ into 2 = {0, 1}. Equinumerous with $\mathcal{P}(\kappa)$.

 \aleph_{α} , $\alpha \in On$ lists the infinite cardinals in order.

 $\aleph_0, \aleph_1, \aleph_2, \ldots, \aleph_{\omega}, \aleph_{\omega+1}, \ldots$

The cofinality of a cardinal κ is the least δ so that there exists $f: \delta \to \kappa$, cofinal. κ is singular if $Cof(\kappa) < \kappa$.

For example, $Cof(\aleph_{\omega}) = \omega$. \aleph_{ω} is singular.

 κ 2 is the set of functions from κ into 2 = {0, 1}. Equinumerous with $\mathcal{P}(\kappa)$. $2^{\kappa} = \operatorname{Card}(^{\kappa}2)$.

 \aleph_{α} , $\alpha \in On$ lists the infinite cardinals in order.

 $\aleph_0, \aleph_1, \aleph_2, \ldots, \aleph_{\omega}, \aleph_{\omega+1}, \ldots$

The cofinality of a cardinal κ is the least δ so that there exists $f: \delta \to \kappa$, cofinal. κ is singular if $Cof(\kappa) < \kappa$.

For example, $Cof(\aleph_{\omega}) = \omega$. \aleph_{ω} is singular.

 $^{\kappa}$ 2 is the set of functions from κ into 2 = {0, 1}. Equinumerous with $\mathcal{P}(\kappa)$. $2^{\kappa} = \text{Card}(^{\kappa}2)$.

Continuum Hypothesis (CH): $2^{\aleph_0} = \aleph_1$

 \aleph_{α} , $\alpha \in On$ lists the infinite cardinals in order.

 $\aleph_0, \aleph_1, \aleph_2, \ldots, \aleph_{\omega}, \aleph_{\omega+1}, \ldots$

The cofinality of a cardinal κ is the least δ so that there exists $f: \delta \to \kappa$, cofinal. κ is singular if $Cof(\kappa) < \kappa$.

For example, $Cof(\aleph_{\omega}) = \omega$. \aleph_{ω} is singular.

 $^{\kappa}$ 2 is the set of functions from κ into 2 = {0, 1}. Equinumerous with $\mathcal{P}(\kappa)$. $2^{\kappa} = \text{Card}(^{\kappa}2)$.

Continuum Hypothesis (CH): $2^{\aleph_0} = \aleph_1 (= \aleph_0^+)$.

$$\aleph_0, \aleph_1, \aleph_2, \ldots, \aleph_{\omega}, \aleph_{\omega+1}, \ldots$$

The cofinality of a cardinal κ is the least δ so that there exists $f : \delta \to \kappa$, cofinal. κ is singular if $Cof(\kappa) < \kappa$.

For example, $Cof(\aleph_{\omega}) = \omega$. \aleph_{ω} is singular.

^κ2 is the set of functions from κ into 2 = {0, 1}. Equinumerous with $\mathcal{P}(\kappa)$. $2^{\kappa} = \text{Card}(^{\kappa}2)$.

Continuum Hypothesis (CH):
$$2^{\aleph_0} = \aleph_1 (= \aleph_0^+)$$
.

Singular Cardinal Hypothesis (SCH): If κ is singular, 2^{κ} is as small as it can be, subject to monotonicity and König's theorem (Cof(2^{κ}) > κ).

Singular cardinal combinatorics

rording

Jse of ultrafilters

$\aleph_0, \aleph_1, \aleph_2, \ldots, \aleph_\omega, \aleph_{\omega+1}, \ldots$

The cofinality of a cardinal κ is the least δ so that there exists $f: \delta \to \kappa$, cofinal. κ is singular if $Cof(\kappa) < \kappa$.

For example, $Cof(\aleph_{\omega}) = \omega$. \aleph_{ω} is singular.

 $^{\kappa}$ 2 is the set of functions from κ into 2 = {0, 1}. Equinumerous with $\mathcal{P}(\kappa)$. $2^{\kappa} = \text{Card}(^{\kappa}2)$.

Continuum Hypothesis (CH): $2^{\aleph_0} = \aleph_1 (= \aleph_0^+)$.

Singular Cardinal Hypothesis (SCH): If κ is singular, 2^{κ} is as small as it can be, subject to monotonicity and König's theorem (Cof(2^{κ}) > κ). For κ singular strong limit ($\tau < \kappa \rightarrow 2^{\tau} < \kappa$), $2^{\kappa} = \kappa^{+}$.

....

A tree is a partial order $(T; <_T)$ so that $(\forall x \in T)$ $\{z \mid z <_T x\}$ is wellordered by $<_T$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilter

The height of x in T is the order type of $\{z \mid z <_T x\}$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Use of ultrafilters

Recent result

A tree is a partial order $(T; <_T)$ so that $(\forall x \in T)$ $\{z \mid z <_T x\}$ is wellordered by $<_T$.

The height of x in T is the order type of $\{z \mid z <_{T} x\}$. The height of T is $\sup\{\operatorname{Height}(x)+1 \mid x \in T\}$.

Use of ultrafilters

Recent results

A tree is a partial order $(T; <_T)$ so that $(\forall x \in T)$ $\{z \mid z <_T x\}$ is wellordered by $<_T$.

The height of x in T is the order type of $\{z \mid z <_T x\}$. The height of T is $\sup\{\operatorname{Height}(x)+1 \mid x \in T\}$. Level α of T consists of $\{x \mid \operatorname{Height}(x)=\alpha\}$.

Recent results

A tree is a partial order $(T; <_T)$ so that $(\forall x \in T)$ $\{z \mid z <_T x\}$ is wellordered by $<_T$.

The height of x in T is the order type of $\{z \mid z <_T x\}$. The height of T is $\sup\{\operatorname{Height}(x)+1 \mid x \in T\}$. Level α of T consists of $\{x \mid \operatorname{Height}(x)=\alpha\}$.

T is a κ -tree if $Height(T) = \kappa$, and each level of T has size $< \kappa$.

A tree is a partial order $(T; <_T)$ so that $(\forall x \in T)$ $\{z \mid z <_T x\}$ is wellordered by $<_T$.

The height of x in T is the order type of $\{z \mid z <_T x\}$. The height of T is $\sup\{\operatorname{Height}(x) + 1 \mid x \in T\}$. Level α of T consists of $\{x \mid \operatorname{Height}(x) = \alpha\}$.

T is a κ -tree if $\operatorname{Height}(T) = \kappa$, and each level of T has size $< \kappa$.

The tree property at κ asserts that every κ tree has a cofinal branch.

A tree is a partial order $(T; <_T)$ so that $(\forall x \in T)$ $\{z \mid z <_T x\}$ is wellordered by $<_T$.

The height of x in T is the order type of $\{z \mid z <_T x\}$. The height of T is $\sup\{\operatorname{Height}(x)+1 \mid x \in T\}$. Level α of T consists of $\{x \mid \operatorname{Height}(x)=\alpha\}$.

T is a κ -tree if $\operatorname{Height}(T) = \kappa$, and each level of T has size $< \kappa$.

The tree property at κ asserts that every κ tree has a cofinal branch.

Holds at \aleph_0 (König).

Use of ultrafilters

Recent results

A tree is a partial order $(T; <_T)$ so that $(\forall x \in T)$ $\{z \mid z <_T x\}$ is wellordered by $<_T$.

The height of x in T is the order type of $\{z \mid z <_T x\}$. The height of T is $\sup\{\operatorname{Height}(x) + 1 \mid x \in T\}$. Level α of T consists of $\{x \mid \operatorname{Height}(x) = \alpha\}$.

T is a κ -tree if $\operatorname{Height}(T) = \kappa$, and each level of T has size $< \kappa$.

The tree property at κ asserts that every κ tree has a cofinal branch.

Holds at \aleph_0 (König). Fails at \aleph_1 (Aronszajn).

A tree is a partial order $(T; <_T)$ so that $(\forall x \in T)$ $\{z \mid z <_{\tau} x\}$ is wellordered by $<_{\tau}$.

The height of x in T is the order type of $\{z \mid z <_T x\}$. The height of T is sup{Height(x) + 1 | $x \in T$ }. Level α of T consists of $\{x \mid \text{Height}(x) = \alpha\}$.

T is a κ -tree if Height(T) = κ , and each level of T has size $< \kappa$.

The tree property at κ asserts that every κ tree has a cofinal branch.

Holds at \aleph_0 (König). Fails at \aleph_1 (Aronszajn). More generally fails at κ^+ if $\kappa^+ = \kappa$.

A tree is a partial order $(T; <_T)$ so that $(\forall x \in T)$ $\{z \mid z <_{\tau} x\}$ is wellordered by $<_{\tau}$.

The height of x in T is the order type of $\{z \mid z <_T x\}$. The height of T is sup{Height(x) + 1 | $x \in T$ }. Level α of T consists of $\{x \mid \text{Height}(x) = \alpha\}$.

T is a κ -tree if Height(T) = κ , and each level of T has size $< \kappa$.

The tree property at κ asserts that every κ tree has a cofinal branch.

Holds at \aleph_0 (König). Fails at \aleph_1 (Aronszajn). More generally fails at κ^+ if $\kappa^- = \kappa$. Can hold at \aleph_2 (Mitchell).

Tree property is a remnant of large cardinals.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilter

Tree property is a remnant of large cardinals.

Definition

 κ is measurable if it is the critical point of an elementary $\pi \colon V \to M$. $(\pi \upharpoonright \kappa = Id, \pi(\kappa) > \kappa.)$

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Tree property is a remnant of large cardinals.

Definition

 κ is measurable if it is the critical point of an elementary $\pi \colon V \to M$. ($\pi \upharpoonright \kappa = Id$, $\pi(\kappa) > \kappa$.)

Using the elementarity of π , can show κ is a cardinal,

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

 κ is measurable if it is the critical point of an elementary $\pi: V \to M$. $(\pi \upharpoonright \kappa = Id, \pi(\kappa) > \kappa$.)

Tree property is a remnant of large cardinals.

Using the elementarity of π , can show κ is a cardinal, is a limit cardinal.

Singular cardinal combinatorics

 κ is measurable if it is the critical point of an elementary $\pi: V \to M$. $(\pi \upharpoonright \kappa = Id, \pi(\kappa) > \kappa$.)

Using the elementarity of π , can show κ is a cardinal, is a limit cardinal, is a strong limit cardinal,

Singular cardinal combinatorics

 κ is measurable if it is the critical point of an elementary $\pi: V \to M$. $(\pi \upharpoonright \kappa = Id, \pi(\kappa) > \kappa$.)

Tree property is a remnant of large cardinals.

Using the elementarity of π , can show κ is a cardinal, is a limit cardinal, is a strong limit cardinal, and $Cof(\kappa) = \kappa$.

Singular cardinal combinatorics

irees

Tree property is a remnant of large cardinals.

Definition

 κ is measurable if it is the critical point of an elementary $\pi \colon V \to M$. ($\pi \upharpoonright \kappa = Id$, $\pi(\kappa) > \kappa$.)

Using the elementarity of π , can show κ is a cardinal, is a limit cardinal, is a strong limit cardinal, and $Cof(\kappa) = \kappa$.

Example

Suppose κ is measurable. Then every κ -tree has a cofinal branch.

Singular cardinal combinatorics

rorcing

Use of ultrafilters

 κ is measurable if it is the critical point of an elementary $\pi: V \to M$. $(\pi \upharpoonright \kappa = Id, \pi(\kappa) > \kappa$.)

Using the elementarity of π , can show κ is a cardinal, is a limit cardinal, is a strong limit cardinal, and $Cof(\kappa) = \kappa$.

Example

Suppose κ is measurable. Then every κ -tree has a cofinal branch.

Proof.

Let T be a κ -tree. Consider $\pi(T)$, a tree of height $\pi(\kappa) > \kappa$.

 κ is measurable if it is the critical point of an elementary $\pi \colon V \to M$. $(\pi \upharpoonright \kappa = Id, \pi(\kappa) > \kappa.)$

Tree property is a remnant of large cardinals.

Using the elementarity of π , can show κ is a cardinal, is a limit cardinal, is a strong limit cardinal, and $Cof(\kappa) = \kappa$.

Example

Suppose κ is measurable. Then every κ -tree has a cofinal branch.

Proof.

Let T be a κ -tree. Consider $\pi(T)$, a tree of height $\pi(\kappa) > \kappa$. Let x be a node on level κ of $\pi(T)$.

Singular cardinal combinatorics

orcing

Use of ultrafilters

 κ is measurable if it is the critical point of an elementary

Tree property is a remnant of large cardinals.

 $\pi: V \to M$. $(\pi \upharpoonright \kappa = Id, \pi(\kappa) > \kappa$.)

Using the elementarity of π , can show κ is a cardinal, is a limit cardinal, is a strong limit cardinal, and $Cof(\kappa) = \kappa$.

Example

Suppose κ is measurable. Then every κ -tree has a cofinal branch.

Proof.

Let T be a κ -tree. Consider $\pi(T)$, a tree of height $\pi(\kappa) > \kappa$. Let x be a node on level κ of $\pi(T)$. Look at the branch $b = \{z \mid z <_{\pi(T)} x\}$ of $\pi(T)$ leading to x.

Singular cardinal combinatorics

Trees

Tree property is a remnant of large cardinals.

Definition

 κ is measurable if it is the critical point of an elementary $\pi \colon V \to M$. $(\pi \upharpoonright \kappa = Id, \pi(\kappa) > \kappa.)$

Using the elementarity of π , can show κ is a cardinal, is a limit cardinal, is a strong limit cardinal, and $Cof(\kappa) = \kappa$.

Example

Suppose κ is measurable. Then every κ -tree has a cofinal branch.

Proof.

Let T be a κ -tree. Consider $\pi(T)$, a tree of height $\pi(\kappa) > \kappa$. Let x be a node on level κ of $\pi(T)$. Look at the branch $b = \{z \mid z <_{\pi(T)} x\}$ of $\pi(T)$ leading to x. Since $\kappa = \operatorname{Crit}(\pi)$ and $|\operatorname{Level}_{\alpha}(T)| < \kappa$ for $\alpha < \kappa$, T and $\pi(T)$ are "the same" on levels $\alpha < \kappa$.

Singular cardinal combinatorics

Forcing with

ultrafilters

I.Neeman

rcing

se of ulti

Using the elementarity of π , can show κ is a cardinal, is a

limit cardinal, is a strong limit cardinal, and $Cof(\kappa) = \kappa$.

Example

Suppose κ is measurable. Then every κ -tree has a cofinal branch.

Proof.

Let T be a κ -tree. Consider $\pi(T)$, a tree of height $\pi(\kappa) > \kappa$. Let x be a node on level κ of $\pi(T)$. Look at the branch $b = \{z \mid z <_{\pi(T)} x\}$ of $\pi(T)$ leading to x. Since $\kappa = \operatorname{Crit}(\pi)$ and $|\operatorname{Level}_{\alpha}(T)| < \kappa$ for $\alpha < \kappa$, T and $\pi(T)$ are "the same" on levels $\alpha < \kappa$. So b is a branch of T.

Singular cardinal combinatorics

Forcing with

ultrafilters

I.Neeman

Tree property at successor of singular

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilter

 κ is λ -supercompact if it is the critical point of an elementary $\pi\colon V\to M$ with $\pi(\kappa)>\lambda$ and ${}^\lambda M\subseteq M$ (M closed under λ sequences in V).

Singular cardinal combinatorics

Forcing

Use of ultrafilters

 κ is λ -supercompact if it is the critical point of an elementary $\pi\colon V\to M$ with $\pi(\kappa)>\lambda$ and ${}^\lambda M\subseteq M$ (M closed under λ sequences in V). κ is supercompact if it is λ -supercompact for all λ .

Singular cardinal combinatorics

orcing

Use of ultrafilters

 κ is λ -supercompact if it is the critical point of an elementary $\pi\colon V\to M$ with $\pi(\kappa)>\lambda$ and ${}^\lambda M\subseteq M$ (M closed under λ sequences in V). κ is supercompact if it is λ -supercompact for all λ .

Theorem (Magidor-Shelah)

Suppose τ is a singular limit of supercompact cardinals. Then every τ^+ -tree has a cofinal branch.

Singular cardinal combinatorics

orcing

Use of ultrafilters

 κ is λ -supercompact if it is the critical point of an elementary $\pi\colon V\to M$ with $\pi(\kappa)>\lambda$ and ${}^\lambda M\subseteq M$ (M closed under λ sequences in V). κ is supercompact if it is λ -supercompact for all λ .

Theorem (Magidor-Shelah)

Suppose τ is a singular limit of supercompact cardinals. Then every τ^+ -tree has a cofinal branch.

Get tree property at τ^+ .

Singular cardinal combinatorics

ording

Use of ultrafilters

Additional principles

 τ in rest of talk, always a singular cardinal of cofinality $\omega.$

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Additional principles

au in rest of talk, always a singular cardinal of cofinality ω .

A square sequence at τ^+ is a sequence $\langle \textit{\textbf{C}}_\xi \mid \xi < \tau^+ \rangle$ so that $\textit{\textbf{C}}_\xi$ is club in ξ , of order type $\leq \tau$, and the clubs cohere.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Additional principles

 τ in rest of talk, always a singular cardinal of cofinality $\omega.$

A square sequence at τ^+ is a sequence $\langle C_\xi \mid \xi < \tau^+ \rangle$ so that C_ξ is club in ξ , of order type $\leq \tau$, and the clubs cohere.

Let δ_i ($i < \omega$) be cofinal and increasing in τ .

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

-orcing

Use of ultrafilters

combinatorics

 τ in rest of talk, always a singular cardinal of cofinality ω .

A square sequence at τ^+ is a sequence $\langle C_{\xi} | \xi < \tau^+ \rangle$ so that C_{ξ} is club in ξ , of order type $\leq \tau$, and the clubs cohere.

Let δ_i ($i < \omega$) be cofinal and increasing in τ .

$$\prod \delta_i = \{ \text{functions } f \text{ so that } \mathrm{Dom}(f) = \omega \text{ and } f(i) \in \delta_i \}.$$

 τ in rest of talk, always a singular cardinal of cofinality $\omega.$

A square sequence at τ^+ is a sequence $\langle C_{\xi} \mid \xi < \tau^+ \rangle$ so that C_{ξ} is club in ξ , of order type $\leq \tau$, and the clubs cohere.

Let δ_i ($i < \omega$) be cofinal and increasing in τ .

$$\prod \delta_i = \{\text{functions } f \text{ so that } \mathrm{Dom}(f) = \omega \text{ and } f(i) \in \delta_i\}.$$

 $f <^* g$ iff f(i) < g(i) for all but finitely many i.

 τ in rest of talk, always a singular cardinal of cofinality ω .

A square sequence at τ^+ is a sequence $\langle C_{\xi} | \xi < \tau^+ \rangle$ so that C_{ξ} is club in ξ , of order type $\leq \tau$, and the clubs cohere.

Let δ_i ($i < \omega$) be cofinal and increasing in τ .

$$\prod \delta_i = \{ \text{functions } f \text{ so that } \mathrm{Dom}(f) = \omega \text{ and } f(i) \in \delta_i \}.$$

$$f <^* g$$
 iff $f(i) < g(i)$ for all but finitely many i .

A scale of length τ^+ in $\prod_{i < n} \delta_i$ is a sequence $\langle f_{\mathcal{E}} | \xi < \tau^+ \rangle$ which is <*-increasing and cofinal.

Other principles

Scales are central tools in Shalah's PCF theory. Eg:

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Other principles

Scales are central tools in Shalah's PCF theory. Eg:

Theorem (Shelah)

 $\exists A \subseteq \omega$ so that $\prod_{n \in A} \aleph_n$ carries a scale of length $\aleph_{\omega+1}$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Use of ultrafilter

Recent results

Scales are central tools in Shalah's PCF theory. Eg:

Theorem (Shelah)

 $\exists A \subseteq \omega$ so that $\prod_{n \in A} \aleph_n$ carries a scale of length $\aleph_{\omega+1}$.

Proof sketch.

Easy to construct $<^*$ -increasing $\vec{f} = \langle f_\xi \mid \xi < \aleph_{\omega+1} \rangle$ in $\prod_{n < \omega} \aleph_n$. Work goes into making sure \vec{f} has an exact upper bound (eub), that is a bound g so that \vec{f} is cofinal in g. One can then turn \vec{f} into a scale on $\prod_{i < \omega} \operatorname{Cof}(g(i))$. \square

Forcing

Use of ultrafilters

Recent results

Scales are central tools in Shalah's PCF theory. Eg:

Theorem (Shelah)

 $\exists A \subseteq \omega$ so that $\prod_{n \in A} \aleph_n$ carries a scale of length $\aleph_{\omega+1}$.

Proof sketch.

Easy to construct $<^*$ -increasing $\vec{f} = \langle f_{\xi} \mid \xi < \aleph_{\omega+1} \rangle$ in $\prod_{n < \omega} \aleph_n$. Work goes into making sure \vec{f} has an exact upper bound (eub), that is a bound g so that \vec{f} is cofinal in g. One can then turn \vec{f} into a scale on $\prod_{i < \omega} \operatorname{Cof}(g(i))$. \square

Definition

 $\alpha \leq \operatorname{Length}(\vec{f})$ with $\operatorname{Cof}(\alpha) > \omega$ is good for \vec{f} if $\vec{f} \upharpoonright \alpha$ has an eub of cofinality $\operatorname{Cof}(\alpha)$. Otherwise α is bad .

Use of ultrafilters

Recent results

Scales are central tools in Shalah's PCF theory. Eg:

Theorem (Shelah)

 $\exists A \subseteq \omega$ so that $\prod_{n \in A} \aleph_n$ carries a scale of length $\aleph_{\omega+1}$.

Proof sketch.

Easy to construct $<^*$ -increasing $\vec{f} = \langle f_\xi \mid \xi < \aleph_{\omega+1} \rangle$ in $\prod_{n < \omega} \aleph_n$. Work goes into making sure \vec{f} has an exact upper bound (eub), that is a bound g so that \vec{f} is cofinal in g. One can then turn \vec{f} into a scale on $\prod_{i < \omega} \operatorname{Cof}(g(i))$. \square

Definition

 $\alpha \leq \operatorname{Length}(\vec{f})$ with $\operatorname{Cof}(\alpha) > \omega$ is good for \vec{f} if $\vec{f} \upharpoonright \alpha$ has an eub of cofinality $\operatorname{Cof}(\alpha)$. Otherwise α is bad .

A scale of length τ^+ is good if it has a club of good points. Otherwise the scale is bad.

Other principles

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Singular cardinal combinatorics

Forcing

Use of ultrafilter

Recent result

Theorem (Shelah)

If there is a supercompact cardinal below τ , then every scale of length τ^+ is bad.

Singular cardinal combinatorics

rording

Use of ultrafilters

Recent results

Theorem (Shelah)

If there is a supercompact cardinal below τ , then every scale of length τ^+ is bad.

Theorem (Shelah)

If there is a square sequence at τ^+ , then every scale of length τ^+ is good.

Basic goal: Adjoin an object G to a model M of ZFC. Resulting extension M[G] is a model of ZFC.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Basic goal: Adjoin an object G to a model M of ZFC. Resulting extension M[G] is a model of ZFC.

G is a "new" subset of a set $\mathbb{P} \in M$. M[G] consists of all sets that can be constructed from elements of M using the new set G.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Jse of ultrafilters

Basic goal: Adjoin an object G to a model M of ZFC. Resulting extension M[G] is a model of ZFC.

G is a "new" subset of a set $\mathbb{P} \in M$. M[G] consists of all sets that can be constructed from elements of M using the new set G.

One way to describe elements of M[G]:

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Basic goal: Adjoin an object G to a model M of ZFC. Resulting extension M[G] is a model of ZFC.

G is a "new" subset of a set $\mathbb{P} \in M$. M[G] consists of all sets that can be constructed from elements of M using the new set G.

One way to describe elements of M[G]:

For each
$$\tau \in M$$
 define $\tau[G] = \{\sigma[G] \mid (\exists p \in G) \langle \sigma, p \rangle \in \tau\}.$

Basic goal: Adjoin an object G to a model M of ZFC. Resulting extension M[G] is a model of ZFC.

G is a "new" subset of a set $\mathbb{P} \in M$. M[G] consists of all sets that can be constructed from elements of M using the new set G.

One way to describe elements of M[G]:

For each
$$\tau \in M$$
 define $\tau[G] = \{\sigma[G] \mid (\exists p \in G) \langle \sigma, p \rangle \in \tau\}.$

Then
$$M[G] = \{\tau[G] \mid \tau \in M\}.$$

The fundamental theorem of forcing (Cohen) allows reasoning about M[G] from inside M. There is a relation \Vdash , definable in M, so that:

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Jse of ultrafilters

The fundamental theorem of forcing (Cohen) allows reasoning about M[G] from inside M. There is a relation \Vdash , definable in M, so that:

- 1. If $M[G] \models \varphi(\tau_1[G], \dots, \tau_n[G])$ then there is $p \in G$ so that $p \Vdash \varphi(\tau_1, \dots, \tau_n)$.
- 2. If $p \Vdash \varphi(\tau_1, \dots, \tau_n)$ then $M[G] \models \varphi(\tau_1[G], \dots, \tau_n[G])$ for all G so that $p \in G$.

The fundamental theorem of forcing (Cohen) allows reasoning about M[G] from inside M. There is a relation \Vdash , definable in M, so that:

- 1. If $M[G] \models \varphi(\tau_1[G], \dots, \tau_n[G])$ then there is $p \in G$ so that $p \Vdash \varphi(\tau_1, \dots, \tau_n)$.
- 2. If $p \Vdash \varphi(\tau_1, \dots, \tau_n)$ then $M[G] \models \varphi(\tau_1[G], \dots, \tau_n[G])$ for all G so that $p \in G$.

Requires some assumptions on \mathbb{P} and G:

The fundamental theorem of forcing (Cohen) allows reasoning about M[G] from inside M. There is a relation \Vdash , definable in M, so that:

- 1. If $M[G] \models \varphi(\tau_1[G], \dots, \tau_n[G])$ then there is $p \in G$ so that $p \Vdash \varphi(\tau_1, \dots, \tau_n)$.
- 2. If $p \Vdash \varphi(\tau_1, \dots, \tau_n)$ then $M[G] \models \varphi(\tau_1[G], \dots, \tau_n[G])$ for all G so that $p \in G$.

Requires some assumptions on \mathbb{P} and G: \mathbb{P} is a partially ordered set, G is a filter, and G meets every dense open subset of \mathbb{P} in M. (Such G are called generic over M.)

Work in M. Consider $\mathbb P$ consisting of all finite partial functions from $\aleph_2 \times \omega$ into $\{0,1\}$, ordered by extension: $r <_{\mathbb P} s$ iff r extends s.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Work in M. Consider $\mathbb P$ consisting of all finite partial functions from $\aleph_2 \times \omega$ into $\{0,1\}$, ordered by extension: $r <_{\mathbb P} s$ iff r extends s. Let G be generic over M.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Work in M. Consider \mathbb{P} consisting of all finite partial functions from $\aleph_2 \times \omega$ into $\{0,1\}$, ordered by extension: $r <_{\mathbb{P}} s$ iff r extends s. Let G be generic over M.

Since G is a filter, all partial functions $r \in G$ agree.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Work in M. Consider \mathbb{P} consisting of all finite partial functions from $\aleph_2 \times \omega$ into $\{0,1\}$, ordered by extension: $r <_{\mathbb{P}} s$ iff r extends s. Let G be generic over M.

Since *G* is a filter, all partial functions $r \in G$ agree. $\theta = \bigcup G$ is a function from $\aleph_2 \times \omega$ into $\{0, 1\}$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Work in M. Consider \mathbb{P} consisting of all finite partial functions from $\aleph_2 \times \omega$ into $\{0,1\}$, ordered by extension: $r <_{\mathbb{P}} s$ iff r extends s. Let G be generic over M.

Since *G* is a filter, all partial functions $r \in G$ agree. $\theta = \bigcup G$ is a function from $\aleph_2 \times \omega$ into $\{0, 1\}$.

For
$$\xi < \aleph_2$$
 define $\theta_{\xi}(n) = \theta(\xi, n)$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Work in M. Consider \mathbb{P} consisting of all finite partial functions from $\aleph_2 \times \omega$ into $\{0,1\}$, ordered by extension: $r <_{\mathbb{P}} s$ iff r extends s. Let G be generic over M.

Since *G* is a filter, all partial functions $r \in G$ agree. $\theta = \bigcup G$ is a function from $\aleph_2 \times \omega$ into $\{0, 1\}$.

For $\xi < \aleph_2$ define $\theta_{\xi}(n) = \theta(\xi, n)$. Then $\theta_{\xi} \in {}^{\omega}2$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Work in M. Consider \mathbb{P} consisting of all finite partial functions from $\aleph_2 \times \omega$ into $\{0,1\}$, ordered by extension: $r <_{\mathbb{P}} s$ iff r extends s. Let G be generic over M.

Since *G* is a filter, all partial functions $r \in G$ agree. $\theta = \bigcup G$ is a function from $\aleph_2 \times \omega$ into $\{0, 1\}$.

For
$$\xi < \aleph_2$$
 define $\theta_{\xi}(n) = \theta(\xi, n)$. Then $\theta_{\xi} \in {}^{\omega}2$.

Using genericity, θ is total, and $\xi \neq \zeta \rightarrow \theta_{\xi} \neq \theta_{\zeta}$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Work in M. Consider \mathbb{P} consisting of all finite partial functions from $\aleph_2 \times \omega$ into $\{0,1\}$, ordered by extension: $r <_{\mathbb{P}} s$ iff r extends s. Let G be generic over M.

Since *G* is a filter, all partial functions $r \in G$ agree. $\theta = \bigcup G$ is a function from $\aleph_2 \times \omega$ into $\{0, 1\}$.

For
$$\xi < \aleph_2$$
 define $\theta_{\xi}(n) = \theta(\xi, n)$. Then $\theta_{\xi} \in {}^{\omega}2$.

Using genericity, θ is total, and $\xi \neq \zeta \rightarrow \theta_{\xi} \neq \theta_{\zeta}$.

Conclusion: $M[G] \models 2^{\omega} \geq (\aleph_2)^M$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Work in M. Consider \mathbb{P} consisting of all finite partial functions from $\aleph_2 \times \omega$ into $\{0,1\}$, ordered by extension: $r <_{\mathbb{P}} s$ iff r extends s. Let G be generic over M.

Since *G* is a filter, all partial functions $r \in G$ agree. $\theta = \bigcup G$ is a function from $\aleph_2 \times \omega$ into $\{0, 1\}$.

For
$$\xi < \aleph_2$$
 define $\theta_{\xi}(n) = \theta(\xi, n)$. Then $\theta_{\xi} \in {}^{\omega}2$.

Using genericity, θ is total, and $\xi \neq \zeta \rightarrow \theta_{\xi} \neq \theta_{\zeta}$.

Conclusion: $M[G] \models 2^{\omega} \geq (\aleph_2)^M$.

Prove
$$(\aleph_2)^{M[G]} = (\aleph_2)^M$$
.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Work in M. Consider \mathbb{P} consisting of all finite partial functions from $\aleph_2 \times \omega$ into $\{0,1\}$, ordered by extension: $r <_{\mathbb{P}} s$ iff r extends s. Let G be generic over M.

Since *G* is a filter, all partial functions $r \in G$ agree. $\theta = \bigcup G$ is a function from $\aleph_2 \times \omega$ into $\{0, 1\}$.

For $\xi < \aleph_2$ define $\theta_{\xi}(n) = \theta(\xi, n)$. Then $\theta_{\xi} \in {}^{\omega}2$.

Using genericity, θ is total, and $\xi \neq \zeta \rightarrow \theta_{\xi} \neq \theta_{\zeta}$.

Conclusion: $M[G] \models 2^{\omega} \geq (\aleph_2)^M$.

Prove $(\aleph_2)^{M[G]} = (\aleph_2)^M$. Here fundamental theorem of forcing is crucial. Allows reasoning in M about $f : (\aleph_1)^M \to (\aleph_2)^M$ that belong to M[G]. Using properties of $\mathbb P$ in M show such f are not onto.

Changing 2^{κ}

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Changing 2^{κ}

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

ecent results

A cardinal κ is regular if $Cof(\kappa) = \kappa$.

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Recent results

A cardinal κ is regular if $Cof(\kappa) = \kappa$.

Method for changing the continuum ($^{\omega}2$) works for any regular κ .

Use of ultrafilters

Recent results

A cardinal κ is regular if $Cof(\kappa) = \kappa$.

Method for changing the continuum ($^{\omega}$ 2) works for any regular κ .

Force with $\mathbb P$ consisting of partial functions of size $<\kappa$ from $\kappa^{++} \times \kappa$ into $\{0,1\}$.

Use of ultrafilters

Recent results

A cardinal κ is regular if $Cof(\kappa) = \kappa$.

Method for changing the continuum ($^{\omega}$ 2) works for any regular κ .

Force with $\mathbb P$ consisting of partial functions of size $<\kappa$ from $\kappa^{++} \times \kappa$ into $\{0,1\}$. (Called $\mathrm{Add}(\kappa,\kappa^{++})$.)

Use of ultrafilters

Recent results

A cardinal κ is regular if $Cof(\kappa) = \kappa$.

Method for changing the continuum ($^{\omega}$ 2) works for any regular κ .

Force with $\mathbb P$ consisting of partial functions of size $<\kappa$ from $\kappa^{++} \times \kappa$ into $\{0,1\}$. (Called $\mathrm{Add}(\kappa,\kappa^{++})$.)

As before, get $(\kappa^{++})^M$ elements of κ^2 out of G.

Jse of ultrafilters

Recent results

A cardinal κ is regular if $Cof(\kappa) = \kappa$.

Method for changing the continuum ($^{\omega}2$) works for any regular κ .

Force with $\mathbb P$ consisting of partial functions of size $<\kappa$ from $\kappa^{++} \times \kappa$ into $\{0,1\}$. (Called $\mathrm{Add}(\kappa,\kappa^{++})$.)

As before, get $(\kappa^{++})^M$ elements of κ^2 out of G.

Further, M and M[G] have the same cardinals.

A cardinal κ is regular if $Cof(\kappa) = \kappa$.

Method for changing the continuum ($^{\omega}$ 2) works for any regular κ .

Force with \mathbb{P} consisting of partial functions of size $<\kappa$ from $\kappa^{++} \times \kappa$ into $\{0,1\}$. (Called $\mathrm{Add}(\kappa,\kappa^{++})$.)

As before, get $(\kappa^{++})^M$ elements of κ^2 out of G.

Further, M and M[G] have the same cardinals. Argument again uses fundamental theorem of forcing. Relies on the closure of $\mathbb P$ to show cardinals $\leq \kappa$ are preserved.

Consider $\mathbb P$ consisting of all finite partial functions from ω to $\kappa,$ ordered by extension.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Consider $\mathbb P$ consisting of all finite partial functions from ω to κ , ordered by extension.

Let G be generic over M.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Consider $\mathbb P$ consisting of all finite partial functions from ω to κ , ordered by extension.

Let *G* be generic over *M*.

Again, $\theta = \bigcup G$ is a function, this time from ω into κ .

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Consider $\mathbb P$ consisting of all finite partial functions from ω to κ , ordered by extension.

Let G be generic over M.

Again, $\theta = \bigcup G$ is a function, this time from ω into κ .

By genericity *G* is total (again), and onto.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Consider $\mathbb P$ consisting of all finite partial functions from ω to κ , ordered by extension.

Let *G* be generic over *M*.

Again, $\theta = \bigcup G$ is a function, this time from ω into κ .

By genericity *G* is total (again), and onto.

Conclusion: $M[G] \models Card(\kappa) = \omega$.

Consider $\mathbb P$ consisting of all finite partial functions from ω to κ , ordered by extension.

Let G be generic over M.

Again, $\theta = \bigcup G$ is a function, this time from ω into κ .

By genericity G is total (again), and onto.

Conclusion: $M[G] \models Card(\kappa) = \omega$.

Note

For κ of cofinality ω , $Add(\kappa, \kappa^{++})$ is not closed.

Consider $\mathbb P$ consisting of all finite partial functions from ω to κ , ordered by extension.

Let G be generic over M.

Again, $\theta = \bigcup G$ is a function, this time from ω into κ .

By genericity G is total (again), and onto.

Conclusion: $M[G] \models Card(\kappa) = \omega$.

Note

For κ of cofinality ω , Add (κ, κ^{++}) is not closed. will act like poset above, and collapse κ .

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Increasing the powerset of a singular cardinal will typically collapse it.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Increasing the powerset of a singular cardinal will typically collapse it.

There are ZFC theorems showing that certain changes involving singular cardinals are in fact impossible:

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Increasing the powerset of a singular cardinal will typically collapse it.

There are ZFC theorems showing that certain changes involving singular cardinals are in fact impossible:

Theorem (Silver)

SCH cannot fail for the first time at a cardinal of uncountable cofinality.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Increasing the powerset of a singular cardinal will typically collapse it.

There are ZFC theorems showing that certain changes involving singular cardinals are in fact impossible:

Theorem (Silver)

SCH cannot fail for the first time at a cardinal of uncountable cofinality.

Theorem (Solovay)

SCH holds above supercompacts.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Increasing the powerset of a singular cardinal will typically collapse it.

There are ZFC theorems showing that certain changes involving singular cardinals are in fact impossible:

Theorem (Silver)

SCH cannot fail for the first time at a cardinal of uncountable cofinality.

Theorem (Solovay)

SCH holds above supercompacts.

Theorem (Shelah)

Failures of SCH at \aleph_{ω} are limited: if $2^{\aleph_n} < \aleph_{\omega}$ for each n, then $2^{\aleph_{\omega}} < \aleph_{\omega_4}$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

An ultrafilter over a set S is a collection \mathcal{U} of non-empty subsets of S so that:

Forcing with ultrafilters

I.Neeman

Singular cardina combinatorics

orcing

Use of ultrafilters

An ultrafilter over a set S is a collection \mathcal{U} of non-empty subsets of S so that:

1. \mathcal{U} is closed upward. $X \in \mathcal{U}$ and $X \subseteq Y \to Y \in \mathcal{U}$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

An ultrafilter over a set S is a collection \mathcal{U} of non-empty subsets of S so that:

- **1.** \mathcal{U} is closed upward. $X \in \mathcal{U}$ and $X \subseteq Y \to Y \in \mathcal{U}$.
- **2.** \mathcal{U} is closed under intersections.

$$X,\,Y\in\mathcal{U}\to X\cap\,Y\in\mathcal{U}.$$

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

An ultrafilter over a set S is a collection \mathcal{U} of non-empty subsets of S so that:

- **1.** \mathcal{U} is closed upward. $X \in \mathcal{U}$ and $X \subseteq Y \to Y \in \mathcal{U}$.
- **2.** \mathcal{U} is closed under intersections.

$$X, Y \in \mathcal{U} \to X \cap Y \in \mathcal{U}.$$

3. For every $X \subseteq S$, either X or S - X belongs to \mathcal{U} .

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

An ultrafilter over a set S is a collection \mathcal{U} of non-empty subsets of S so that:

- **1.** \mathcal{U} is closed upward. $X \in \mathcal{U}$ and $X \subseteq Y \rightarrow Y \in \mathcal{U}$.
- **2.** \mathcal{U} is closed under intersections.

$$X, Y \in \mathcal{U} \to X \cap Y \in \mathcal{U}.$$

3. For every $X \subseteq S$, either X or S - X belongs to \mathcal{U} .

The ultrafilter is κ -complete if it is closed under all intersections of fewer than κ sets.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

An ultrafilter over a set S is a collection \mathcal{U} of non-empty subsets of S so that:

- **1.** \mathcal{U} is closed upward. $X \in \mathcal{U}$ and $X \subseteq Y \to Y \in \mathcal{U}$.
- **2.** \mathcal{U} is closed under intersections.

$$X, Y \in \mathcal{U} \to X \cap Y \in \mathcal{U}.$$

3. For every $X \subseteq S$, either X or S - X belongs to \mathcal{U} .

The ultrafilter is κ -complete if it is closed under all intersections of fewer than κ sets.

Example

Suppose κ is a measurable cardinals. Let $\pi\colon V\to M$ have critical point κ .

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

An ultrafilter over a set S is a collection \mathcal{U} of non-empty subsets of S so that:

- **1.** \mathcal{U} is closed upward. $X \in \mathcal{U}$ and $X \subseteq Y \rightarrow Y \in \mathcal{U}$.
- **2.** \mathcal{U} is closed under intersections.

$$X, Y \in \mathcal{U} \to X \cap Y \in \mathcal{U}.$$

3. For every $X \subseteq S$, either X or S - X belongs to \mathcal{U} .

The ultrafilter is κ -complete if it is closed under all intersections of fewer than κ sets.

Example

Suppose κ is a measurable cardinals. Let $\pi \colon V \to M$ have critical point κ . Define $\mathcal U$ over κ by $X \in \mathcal U \leftrightarrow \kappa \in \pi(X)$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

An ultrafilter over a set S is a collection \mathcal{U} of non-empty subsets of S so that:

- **1.** \mathcal{U} is closed upward. $X \in \mathcal{U}$ and $X \subseteq Y \rightarrow Y \in \mathcal{U}$.
- **2.** \mathcal{U} is closed under intersections.

$$X, Y \in \mathcal{U} \to X \cap Y \in \mathcal{U}.$$

3. For every $X \subseteq S$, either X or S - X belongs to \mathcal{U} .

The ultrafilter is κ -complete if it is closed under all intersections of fewer than κ sets.

Example

Suppose κ is a measurable cardinals. Let $\pi\colon V\to M$ have critical point κ . Define $\mathcal U$ over κ by $X\in \mathcal U \leftrightarrow \kappa\in \pi(X)$. Then $\mathcal U$ is a κ -complete ultrafilter.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

An ultrafilter over a set S is a collection \mathcal{U} of non-empty subsets of S so that:

- **1.** \mathcal{U} is closed upward. $X \in \mathcal{U}$ and $X \subseteq Y \rightarrow Y \in \mathcal{U}$.
- **2.** \mathcal{U} is closed under intersections.

$$X, Y \in \mathcal{U} \to X \cap Y \in \mathcal{U}.$$

3. For every $X \subseteq S$, either X or S - X belongs to \mathcal{U} .

The ultrafilter is κ -complete if it is closed under all intersections of fewer than κ sets.

Example

Suppose κ is a measurable cardinals. Let $\pi\colon V\to M$ have critical point κ . Define $\mathcal U$ over κ by $X\in\mathcal U\leftrightarrow\kappa\in\pi(X)$. Then $\mathcal U$ is a κ -complete ultrafilter.

Example

Suppose κ is λ -supercompact. Let $\pi \colon V \to M$ with $\operatorname{Crit}(\pi) = \kappa$ and $a = \pi'' \lambda \in M$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

An ultrafilter over a set S is a collection \mathcal{U} of non-empty subsets of S so that:

- **1.** \mathcal{U} is closed upward. $X \in \mathcal{U}$ and $X \subseteq Y \to Y \in \mathcal{U}$.
- **2.** \mathcal{U} is closed under intersections.

$$X, Y \in \mathcal{U} \to X \cap Y \in \mathcal{U}.$$

3. For every $X \subseteq S$, either X or S - X belongs to \mathcal{U} .

The ultrafilter is κ -complete if it is closed under all intersections of fewer than κ sets.

Example

Suppose κ is a measurable cardinals. Let $\pi\colon V\to M$ have critical point κ . Define $\mathcal U$ over κ by $X\in\mathcal U\leftrightarrow\kappa\in\pi(X)$. Then $\mathcal U$ is a κ -complete ultrafilter.

Example

Suppose κ is λ -supercompact. Let $\pi \colon V \to M$ with $\operatorname{Crit}(\pi) = \kappa$ and $a = \pi''\lambda \in M$. Define $\mathcal U$ over $\mathcal P_\kappa(\lambda)$

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

- subsets of S so that:
 - **1.** \mathcal{U} is closed upward. $X \in \mathcal{U}$ and $X \subseteq Y \to Y \in \mathcal{U}$.

2.
$$\mathcal{U}$$
 is closed under intersections. $X, Y \in \mathcal{U} \to X \cap Y \in \mathcal{U}$.

3. For every $X \subseteq S$, either X or S - X belongs to \mathcal{U} .

The ultrafilter is κ -complete if it is closed under all intersections of fewer than κ sets.

Example

Suppose κ is a measurable cardinals. Let $\pi: V \to M$ have critical point κ . Define \mathcal{U} over κ by $X \in \mathcal{U} \leftrightarrow \kappa \in \pi(X)$. Then \mathcal{U} is a κ -complete ultrafilter.

Example

Suppose κ is λ -supercompact. Let $\pi: V \to M$ with $Crit(\pi) = \kappa$ and $a = \pi''\lambda \in M$. Define \mathcal{U} over $\mathcal{P}_{\kappa}(\lambda)$ by $X \in \mathcal{U} \leftrightarrow a \in \pi(X)$.

I.Neeman

Forcing with ultrafilters

Use of ultrafilters

An ultrafilter over a set S is a collection \mathcal{U} of non-empty subsets of S so that:

- **1.** \mathcal{U} is closed upward. $X \in \mathcal{U}$ and $X \subseteq Y \to Y \in \mathcal{U}$. 2. *U* is closed under intersections.

 $X, Y \in \mathcal{U} \to X \cap Y \in \mathcal{U}$.

- **3.** For every $X \subseteq S$, either X or S X belongs to \mathcal{U} .
- The ultrafilter is κ -complete if it is closed under all intersections of fewer than κ sets.

Example

Suppose κ is a measurable cardinals. Let $\pi: V \to M$ have critical point κ . Define \mathcal{U} over κ by $X \in \mathcal{U} \leftrightarrow \kappa \in \pi(X)$. Then \mathcal{U} is a κ -complete ultrafilter.

Example

Suppose κ is λ -supercompact. Let $\pi: V \to M$ with $Crit(\pi) = \kappa$ and $a = \pi''\lambda \in M$. Define \mathcal{U} over $\mathcal{P}_{\kappa}(\lambda)$ by $X \in \mathcal{U} \leftrightarrow a \in \pi(X)$. Then \mathcal{U} is a κ -complete ultrafilter.

I.Neeman

Forcing with ultrafilters

Use of ultrafilters

Many uses of ultrafilters in forcing.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Many uses of ultrafilters in forcing. We concentrate on those that help change cofinalities.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Many uses of ultrafilters in forcing. We concentrate on those that help change cofinalities.

Let κ be measurable, and let $\mathcal U$ be $\kappa\text{-complete}$ ultrafilter over $\kappa.$

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Many uses of ultrafilters in forcing. We concentrate on those that help change cofinalities.

Let κ be measurable, and let $\mathcal U$ be κ -complete ultrafilter over κ .

Prikry forcing is the poset \mathbb{P} consisting of pairs $\langle s, X \rangle$ where s is a finite subset of κ and $X \subseteq \kappa$ belongs to \mathcal{U} .

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

rcing

Use of ultrafilters

Many uses of ultrafilters in forcing. We concentrate on those that help change cofinalities.

Let κ be measurable, and let \mathcal{U} be κ -complete ultrafilter over κ .

Prikry forcing is the poset $\mathbb P$ consisting of pairs $\langle s,X\rangle$ where s is a finite subset of κ and $X\subseteq \kappa$ belongs to $\mathcal U$. The order on $\mathbb P$ is defined by:

$$\langle t, Y \rangle < \langle s, X \rangle \leftrightarrow t$$
 extends $s, Y \subseteq X$, and $t - s \subseteq X$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Many uses of ultrafilters in forcing. We concentrate on those that help change cofinalities.

Let κ be measurable, and let \mathcal{U} be κ -complete ultrafilter over κ .

Prikry forcing is the poset \mathbb{P} consisting of pairs $\langle s, X \rangle$ where s is a finite subset of κ and $X \subseteq \kappa$ belongs to \mathcal{U} . The order on \mathbb{P} is defined by:

$$\langle t, Y \rangle < \langle s, X \rangle \leftrightarrow t$$
 extends s, $Y \subseteq X$, and $t - s \subseteq X$.

Given a generic G, set $g = \bigcup \{s \mid (\exists X) \langle s, X \rangle \in G\}$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Many uses of ultrafilters in forcing. We concentrate on those that help change cofinalities.

Let κ be measurable, and let \mathcal{U} be κ -complete ultrafilter over κ .

Prikry forcing is the poset \mathbb{P} consisting of pairs $\langle s, X \rangle$ where s is a finite subset of κ and $X \subseteq \kappa$ belongs to \mathcal{U} . The order on \mathbb{P} is defined by:

$$\langle t, Y \rangle < \langle s, X \rangle \leftrightarrow t$$
 extends s, $Y \subseteq X$, and $t - s \subseteq X$.

Given a generic G, set $g = \bigcup \{s \mid (\exists X) \langle s, X \rangle \in G\}$.

By genericity, g is cofinal in κ . It has order type ω .

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Many uses of ultrafilters in forcing. We concentrate on those that help change cofinalities.

Let κ be measurable, and let \mathcal{U} be κ -complete ultrafilter over κ .

Prikry forcing is the poset $\mathbb P$ consisting of pairs $\langle s,X\rangle$ where s is a finite subset of κ and $X\subseteq \kappa$ belongs to $\mathcal U$. The order on $\mathbb P$ is defined by:

$$\langle t, Y \rangle < \langle s, X \rangle \leftrightarrow t$$
 extends $s, Y \subseteq X$, and $t - s \subseteq X$.

Given a generic G, set $g = \bigcup \{s \mid (\exists X) \langle s, X \rangle \in G\}$.

By genericity, g is cofinal in κ . It has order type ω .

The clause $t - s \subseteq X$ above restricts g, and prevents it from coding any patterns of ordinals.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Many uses of ultrafilters in forcing. We concentrate on those that help change cofinalities.

Let κ be measurable, and let \mathcal{U} be κ -complete ultrafilter over κ .

Prikry forcing is the poset \mathbb{P} consisting of pairs $\langle s, X \rangle$ where s is a finite subset of κ and $X \subseteq \kappa$ belongs to \mathcal{U} . The order on \mathbb{P} is defined by:

 $\langle t, Y \rangle < \langle s, X \rangle \leftrightarrow t$ extends s, $Y \subseteq X$, and $t - s \subseteq X$.

Given a generic G, set $g = \bigcup \{s \mid (\exists X) \langle s, X \rangle \in G\}.$

By genericity, g is cofinal in κ . It has order type ω .

The clause $t - s \subseteq X$ above restricts g, and prevents it from coding any patterns of ordinals. g turns the cofinality of κ to ω , and does nothing else.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Magidor forcing is a generalization of Prikry forcing, designed to change cofinalities to $\lambda>\omega.$

Forcing with ultrafilters

I.Neeman

Singular cardina combinatorics

orcing

Use of ultrafilters

Magidor forcing is a generalization of Prikry forcing, designed to change cofinalities to $\lambda>\omega.$

Requires a measurable cardinal κ with many measurable cardinals below it.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

rcing

Use of ultrafilters

Magidor forcing is a generalization of Prikry forcing, designed to change cofinalities to $\lambda > \omega$.

Requires a measurable cardinal κ with many measurable cardinals below it. Will add, for example,

 $\mathbf{g} = \{ \alpha_{\xi} \mid \xi < \omega_{\mathbf{1}} \}$ increasing and cofinal.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Magidor forcing is a generalization of Prikry forcing, designed to change cofinalities to $\lambda > \omega$.

Requires a measurable cardinal κ with many measurable cardinals below it. Will add, for example, $g = \{\alpha_{\xi} \mid \xi < \omega_1\}$ increasing and cofinal.

Uses many local instances of Prikry forcing.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

ording

Use of ultrafilters

Magidor forcing is a generalization of Prikry forcing, designed to change cofinalities to $\lambda > \omega$.

Requires a measurable cardinal κ with many measurable cardinals below it. Will add, for example, $g = \{\alpha_{\mathcal{E}} \mid \xi < \omega_1\}$ increasing and cofinal.

Uses many local instances of Prikry forcing. In example above, $\sup\{\alpha_\xi\mid \xi<\omega\}$ is measurable, and $\{\alpha_\xi\mid \xi<\omega\}$ Prikry generic for it.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

neing

Use of ultrafilters

Magidor forcing is a generalization of Prikry forcing, designed to change cofinalities to $\lambda > \omega$.

Requires a measurable cardinal κ with many measurable cardinals below it. Will add, for example, $g = \{\alpha_{\xi} \mid \xi < \omega_1\}$ increasing and cofinal.

Uses many local instances of Prikry forcing. In example above, $\sup\{\alpha_\xi\mid \xi<\omega\}$ is measurable, and $\{\alpha_\xi\mid \xi<\omega\}$ Prikry generic for it. Similarly above $\omega.$

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Magidor forcing is a generalization of Prikry forcing, designed to change cofinalities to $\lambda > \omega$.

Requires a measurable cardinal κ with many measurable cardinals below it. Will add, for example, $g = \{\alpha_{\mathcal{E}} \mid \xi < \omega_1\}$ increasing and cofinal.

Uses many local instances of Prikry forcing. In example above, $\sup\{\alpha_\xi\mid \xi<\omega\}$ is measurable, and $\{\alpha_\xi\mid \xi<\omega\}$ Prikry generic for it. Similarly above ω .

Another forcing due to Magidor is diagonal Prikry forcing.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Magidor forcing is a generalization of Prikry forcing, designed to change cofinalities to $\lambda > \omega$.

Requires a measurable cardinal κ with many measurable cardinals below it. Will add, for example, $g = \{\alpha_{\xi} \mid \xi < \omega_1\}$ increasing and cofinal.

Uses many local instances of Prikry forcing. In example above, $\sup\{\alpha_\xi\mid \xi<\omega\}$ is measurable, and $\{\alpha_\xi\mid \xi<\omega\}$ Prikry generic for it. Similarly above ω .

Another forcing due to Magidor is diagonal Prikry forcing.

Fix ω measurable cardinals κ_n , with ultrafilters \mathcal{U}_n .

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Magidor forcing is a generalization of Prikry forcing, designed to change cofinalities to $\lambda>\omega$.

Requires a measurable cardinal κ with many measurable cardinals below it. Will add, for example, $g = \{\alpha_{\mathcal{E}} \mid \xi < \omega_1\}$ increasing and cofinal.

Uses many local instances of Prikry forcing. In example above, $\sup\{\alpha_\xi\mid \xi<\omega\}$ is measurable, and $\{\alpha_\xi\mid \xi<\omega\}$ Prikry generic for it. Similarly above ω .

Another forcing due to Magidor is diagonal Prikry forcing.

Fix ω measurable cardinals κ_n , with ultrafilters \mathcal{U}_n .

Use conditions $\langle \alpha_0, \ldots, \alpha_{n-1}, X_n, \ldots \rangle$, $\alpha_i \in \kappa_i$, $X_i \in \mathcal{U}_i$.

Magidor forcing is a generalization of Prikry forcing, designed to change cofinalities to $\lambda > \omega$.

Requires a measurable cardinal κ with many measurable cardinals below it. Will add, for example, $g = \{\alpha_{\xi} \mid \xi < \omega_1\}$ increasing and cofinal.

Use of ultrafilters

Uses many local instances of Prikry forcing. In example above, $\sup\{\alpha_{\xi} \mid \xi < \omega\}$ is measurable, and $\{\alpha_{\xi} \mid \xi < \omega\}$ Prikry generic for it. Similarly above ω .

Another forcing due to Magidor is diagonal Prikry forcing.

Fix ω measurable cardinals κ_n , with ultrafilters \mathcal{U}_n .

Use conditions $\langle \alpha_0, \ldots, \alpha_{n-1}, X_n, \ldots \rangle$, $\alpha_i \in \kappa_i, X_i \in \mathcal{U}_i$.

Adds a cofinal subset $\{\alpha_i \mid i < \omega\}$ of $\sup\{\kappa_n \mid n < \omega\}$,

Magidor forcing is a generalization of Prikry forcing, designed to change cofinalities to $\lambda > \omega$.

Requires a measurable cardinal κ with many measurable cardinals below it. Will add, for example,

 $g = \{\alpha_{\xi} \mid \xi < \omega_1\}$ increasing and cofinal.

Uses many local instances of Prikry forcing. In example above, $\sup\{\alpha_{\xi} \mid \xi < \omega\}$ is measurable, and $\{\alpha_{\xi} \mid \xi < \omega\}$ Prikry generic for it. Similarly above ω .

Another forcing due to Magidor is diagonal Prikry forcing.

Forcing with

ultrafilters

I.Neeman

Use of ultrafilters

Fix ω measurable cardinals κ_n , with ultrafilters \mathcal{U}_n .

Use conditions $\langle \alpha_0, \ldots, \alpha_{n-1}, X_n, \ldots \rangle$, $\alpha_i \in \kappa_i, X_i \in \mathcal{U}_i$.

Adds a cofinal subset $\{\alpha_i \mid i < \omega\}$ of $\sup\{\kappa_n \mid n < \omega\}$, and does nothing else.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Recall: Easy to change κ^2 for regular κ , without collapsing cardinals. Difficult for singular κ .

Forcing with ultrafilters

I.Neeman

Singular cardina combinatorics

orcing

Use of ultrafilters

Recall: Easy to change κ^2 for regular κ , without collapsing cardinals. Difficult for singular κ .

Ultrafilters provide a way to singularize a cardinal, without collapsing any cardinals.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Recall: Easy to change κ^2 for regular κ , without collapsing cardinals. Difficult for singular κ .

Ultrafilters provide a way to singularize a cardinal, without collapsing any cardinals.

Can be used to violate SCH.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Recall: Easy to change κ^2 for regular κ , without collapsing cardinals. Difficult for singular κ .

Ultrafilters provide a way to singularize a cardinal, without collapsing any cardinals.

Can be used to violate SCH.

Start with measurable κ .

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Recall: Easy to change κ^2 for regular κ , without collapsing cardinals. Difficult for singular κ .

Ultrafilters provide a way to singularize a cardinal, without collapsing any cardinals.

Can be used to violate SCH.

Start with measurable κ . κ is regular. Force to get a model M[G] satisfying $2^{\kappa} = \kappa^{++}$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Recall: Easy to change κ^2 for regular κ , without collapsing cardinals. Difficult for singular κ .

Ultrafilters provide a way to singularize a cardinal, without collapsing any cardinals.

Can be used to violate SCH.

Start with measurable κ . κ is regular. Force to get a model M[G] satisfying $2^{\kappa} = \kappa^{++}$. With some luck, κ still measurable in M[G].

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Recall: Easy to change κ^2 for regular κ , without collapsing cardinals. Difficult for singular κ .

Ultrafilters provide a way to singularize a cardinal, without collapsing any cardinals.

Can be used to violate SCH.

Start with measurable κ . κ is regular. Force to get a model M[G] satisfying $2^{\kappa} = \kappa^{++}$. With some luck, κ still measurable in M[G]. Then use Prikry forcing over M[G]. Get M[G][H] where:

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

ording

Use of ultrafilters

Can be used to violate SCH.

Start with measurable κ . κ is regular. Force to get a model M[G] satisfying $2^{\kappa} = \kappa^{++}$. With some luck, κ still measurable in M[G]. Then use Prikry forcing over M[G]. Get M[G][H] where:

- 1. $2^{\kappa} = \kappa^{++}$.
- **2.** $\operatorname{Cof}(\kappa) = \omega$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Can be used to violate SCH.

Start with measurable κ . κ is regular. Force to get a model M[G] satisfying $2^{\kappa} = \kappa^{++}$. With some luck, κ still measurable in M[G]. Then use Prikry forcing over M[G]. Get M[G][H] where:

- 1. $2^{\kappa} = \kappa^{++}$.
- **2.** Cof(κ) = ω .

Need to make sure κ remains measurable in M[G].

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Can be used to violate SCH.

Start with measurable κ . κ is regular. Force to get a model M[G] satisfying $2^{\kappa} = \kappa^{++}$. With some luck, κ still measurable in M[G]. Then use Prikry forcing over M[G]. Get M[G][H] where:

- 1. $2^{\kappa} = \kappa^{++}$.
- **2.** $\operatorname{Cof}(\kappa) = \omega$.

Need to make sure κ remains measurable in M[G]. Must start with stronger assumption on κ in M.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Can be used to violate SCH.

Start with measurable κ . κ is regular. Force to get a model M[G] satisfying $2^{\kappa} = \kappa^{++}$. With some luck, κ still measurable in M[G]. Then use Prikry forcing over M[G]. Get M[G][H] where:

- 1. $2^{\kappa} = \kappa^{++}$.
- **2.** Cof(κ) = ω .

Need to make sure κ remains measurable in M[G]. Must start with stronger assumption on κ in M. Supercompact certainly enough.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Can be used to violate SCH.

Start with measurable κ . κ is regular. Force to get a model M[G] satisfying $2^{\kappa} = \kappa^{++}$. With some luck, κ still measurable in M[G]. Then use Prikry forcing over M[G]. Get M[G][H] where:

- 1. $2^{\kappa} = \kappa^{++}$.
- **2.** $\operatorname{Cof}(\kappa) = \omega$.

Need to make sure κ remains measurable in M[G]. Must start with stronger assumption on κ in M. Supercompact certainly enough. Reduced by Woodin.

Forcing with ultrafilters

I.Neeman

ingular cardinal ombinatorics

orcing

Use of ultrafilters

Recall: Easy to change $^{\kappa}2$ for regular κ , without collapsing cardinals. Difficult for singular κ .

Start with measurable κ . κ is regular. Force to get a model M[G] satisfying $2^{\kappa} = \kappa^{++}$. With some luck, κ still

Ultrafilters provide a way to singularize a cardinal, without

collapsing any cardinals.

Can be used to violate SCH.

measurable in M[G]. Then use Prikry forcing over M[G]. Get M[G][H] where:

- 1. $2^{\kappa} = \kappa^{++}$.
- 2. $\operatorname{Cof}(\kappa) = \omega$.

Need to make sure κ remains measurable in M[G]. Must start with stronger assumption on κ in M. Supercompact certainly enough. Reduced by Woodin. Final optimal result obtained by Gitik.

lar cardinal

Forcing with

ultrafilters

I.Neeman

Use of ultrafilters

Recall: Easy to change κ 2 for regular κ , without collapsing cardinals. Difficult for singular κ .

Start with measurable κ . κ is regular. Force to get a

Ultrafilters provide a way to singularize a cardinal, without collapsing any cardinals.

Can be used to violate SCH.

model M[G] satisfying $2^{\kappa} = \kappa^{++}$. With some luck, κ still measurable in M[G]. Then use Prikry forcing over M[G]. Get M[G][H] where:

- 1. $2^{\kappa} = \kappa^{++}$.
- 2. $\operatorname{Cof}(\kappa) = \omega$.

Need to make sure κ remains measurable in M[G]. Must start with stronger assumption on κ in M. Supercompact certainly enough. Reduced by Woodin. Final optimal result obtained by Gitik. Gives an equiconsistency.

lar cardinal inatorics

Forcing with

ultrafilters

I.Neeman

Use of ultrafilters

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Method:

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Method: Using Prikry forcing to singularize a measurable cardinal κ with $2^\kappa=\kappa^{++}.$

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Method: Using Prikry forcing to singularize a measurable cardinal κ with $2^{\kappa}=\kappa^{++}$.

Is this the only way?

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Method: Using Prikry forcing to singularize a measurable cardinal κ with $2^{\kappa} = \kappa^{++}$.

Is this the only way?

Recall, tree property fails at κ^+ if ${}^{<\kappa}\kappa=\kappa$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Method: Using Prikry forcing to singularize a measurable cardinal κ with $2^{\kappa} = \kappa^{++}$.

Is this the only way?

Recall, tree property fails at κ^+ if ${}^{<\kappa}\kappa=\kappa$. In particular, fails at successors of measurable cardinals.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Method: Using Prikry forcing to singularize a measurable cardinal κ with $2^{\kappa} = \kappa^{++}$.

Is this the only way?

Recall, tree property fails at κ^+ if ${}^{<\kappa}\kappa=\kappa$. In particular, fails at successors of measurable cardinals.

Singularizing preserves this.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Method: Using Prikry forcing to singularize a measurable cardinal κ with $2^{\kappa} = \kappa^{++}$.

Is this the only way?

Recall, tree property fails at κ^+ if ${}^{<\kappa}\kappa=\kappa$. In particular, fails at successors of measurable cardinals.

Singularizing preserves this.

If method above is only way to violate SCH, then failure of SCH can only be obtained with failure of the tree property.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Method: Using Prikry forcing to singularize a measurable cardinal κ with $2^{\kappa} = \kappa^{++}$.

Is this the only way?

Recall, tree property fails at κ^+ if ${}^{<\kappa}\kappa=\kappa$. In particular, fails at successors of measurable cardinals.

Singularizing preserves this.

If method above is only way to violate SCH, then failure of SCH can only be obtained with failure of the tree property.

Question (Woodin, late 1980s)

Does failure of SCH at κ imply failure of the tree property at κ^+ ?

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Method: Using Prikry forcing to singularize a measurable cardinal κ with $2^{\kappa}=\kappa^{++}$.

Is this the only way?

Recall, tree property fails at κ^+ if ${}^{<\kappa}\kappa=\kappa$. In particular, fails at successors of measurable cardinals.

Singularizing preserves this.

If method above is only way to violate SCH, then failure of SCH can only be obtained with failure of the tree property.

Question (Woodin, late 1980s)

Does failure of SCH at κ imply failure of the tree property at κ^+ ?

Intended to test whether the only way to violate SCH is by singularizing a measurable cardinal κ where $^{\kappa}2 > \kappa^+$.

Forcing with ultrafilters

I.Neeman

ombinatorics

orcing

Use of ultrafilters

Question (Woodin, late 1980s)

Does failure of SCH at κ imply failure of the tree property at κ^+ ?

Intended to test whether the only way to violate SCH is by singularizing a measurable cardinal κ where $\kappa^2 > \kappa^+$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Question (Woodin, late 1980s)

Does failure of SCH at κ imply failure of the tree property at κ^+ ?

Intended to test whether the only way to violate SCH is by singularizing a measurable cardinal κ where $\kappa^2 > \kappa^+$.

Turns our there are other ways (Gitik–Magidor, starting with strong measurable where $^{\kappa}2=\kappa^{+}$).

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Question (Woodin, late 1980s)

Does failure of SCH at κ imply failure of the tree property at κ^+ ?

Intended to test whether the only way to violate SCH is by singularizing a measurable cardinal κ where $\kappa^2 > \kappa^+$.

Turns our there are other ways (Gitik–Magidor, starting with strong measurable where $^{\kappa}2=\kappa^{+}$). Did not settle test question.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Question (Woodin, late 1980s)

Does failure of SCH at κ imply failure of the tree property at κ^+ ?

Intended to test whether the only way to violate SCH is by singularizing a measurable cardinal κ where $\kappa^2 > \kappa^+$.

Turns our there are other ways (Gitik–Magidor, starting with strong measurable where $^{\kappa}2=\kappa^{+}$). Did not settle test question.

Over time, question gained a life of its own.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Question (Woodin, late 1980s)

Does failure of SCH at κ imply failure of the tree property at κ^+ ?

Intended to test whether the only way to violate SCH is by singularizing a measurable cardinal κ where $\kappa^2 > \kappa^+$.

Turns our there are other ways (Gitik–Magidor, starting with strong measurable where $^{\kappa}2=\kappa^{+}$). Did not settle test question.

Over time, question gained a life of its own.

Equivalent to: does tree property at κ^+ imply SCH at κ ?

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Question (Woodin, late 1980s)

Does failure of SCH at κ imply failure of the tree property at κ^+ ?

Intended to test whether the only way to violate SCH is by singularizing a measurable cardinal κ where $\kappa 2 > \kappa^+$.

Turns our there are other ways (Gitik–Magidor, starting with strong measurable where $^{\kappa}2=\kappa^{+}$). Did not settle test question.

Over time, question gained a life of its own.

Equivalent to: does tree property at κ^+ imply SCH at κ ?

Similar to other questions,

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

ording

Use of ultrafilters

Question (Woodin, late 1980s)

Does failure of SCH at κ imply failure of the tree property at κ^+ ?

Intended to test whether the only way to violate SCH is by singularizing a measurable cardinal κ where $\kappa^2 > \kappa^+$.

Turns our there are other ways (Gitik–Magidor, starting with strong measurable where $^{\kappa}2=\kappa^{+}$). Did not settle test question.

Over time, question gained a life of its own.

Equivalent to: does tree property at κ^+ imply SCH at κ ?

Similar to other questions, eg, does PFA imply SCH?

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Question (Woodin, late 1980s)

Does failure of SCH at κ imply failure of the tree property at κ^+ ?

Intended to test whether the only way to violate SCH is by singularizing a measurable cardinal κ where $\kappa 2 > \kappa^+$.

Turns our there are other ways (Gitik–Magidor, starting with strong measurable where $^{\kappa}2=\kappa^{+}$). Did not settle test question.

Over time, question gained a life of its own.

Equivalent to: does tree property at κ^+ imply SCH at κ ?

Similar to other questions, eg, does PFA imply SCH?

(Both PFA and tree property at successors of singulars are remnants of supercompacts, which imply SCH.)

Forcing with ultrafilters

I.Neeman

ingular cardinal ombinatorics

orcing

Use of ultrafilters

Violating SCH Question (Woodin, late 1980s)

Does failure of SCH at κ imply failure of the tree property at κ^+ ?

at κ^+ ? Intended to test whether the only way to violate SCH is by

singularizing a measurable cardinal κ where $\kappa 2 > \kappa^+$.

Turns our there are other ways (Gitik–Magidor, starting with strong measurable where $^{\kappa}2 = \kappa^{+}$). Did not settle test question.

Over time, question gained a life of its own.

Over time, question gained a me of its own.

Equivalent to: does tree property at κ^+ imply SCH at κ ?

Obstitute to other most because of the DEA book 20110

Similar to other questions, eg, does PFA imply SCH?

(Both PFA and tree property at successors of singulars are remnants of supercompacts, which imply SCH.)

Question on PFA answered in the positive.

ar cardinal natorics

ng

Forcing with

ultrafilters

I.Neeman

Use of ultrafilters

cent result

First cracks

Forcing with ultrafilters

I.Neeman

Singular cardina combinatorics

Forcing

Use of ultrafilters

First cracks

Gitik-Sharon, investigating combinatorial properties compatible with failure of the SCH, recently proved:

Theorem (Gitik–Sharon 2008)

(Assuming the existence of a supercompact cardinal.) There is a model with a cardinal κ so that:

- 1. $\operatorname{Cof}(\kappa) = \omega$.
- **2.** SCH fails at κ .
- **3.** Weak square fails at κ^+ .

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

ording

Use of ultrafilters

First cracks

Gitik-Sharon, investigating combinatorial properties compatible with failure of the SCH, recently proved:

Theorem (Gitik-Sharon 2008)

(Assuming the existence of a supercompact cardinal.) There is a model with a cardinal κ so that:

- 1. $\operatorname{Cof}(\kappa) = \omega$.
- **2.** SCH fails at κ .
- **3.** Weak square fails at κ^+ .

Weak square was considered a candidate for a principle that would (a) follow from failure of SCH at κ , and (b) imply failure of tree property at κ^+ .

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

nuing

Use of ultrafilters

Theorem (Gitik-Sharon 2008)

(Assuming the existence of a supercompact cardinal.) There is a model with a cardinal κ so that:

Gitik—Sharon, investigating combinatorial properties compatible with failure of the SCH, recently proved:

- 1. $\operatorname{Cof}(\kappa) = \omega$.
- **2.** SCH fails at κ .
- **3.** Weak square fails at κ^+ .

Weak square was considered a candidate for a principle that would (a) follow from failure of SCH at κ , and (b) imply failure of tree property at κ^+ .

Gitik–Sharon showed it does not follow from failure of SCH.

Related results

(Gitik–Sharon 2008, assuming the existence of a supercompact cardinal.)

There is a model with a cardinal κ so that:

- 1. $\operatorname{Cof}(\kappa) = \omega$.
- 2. SCH fails at κ .
- 3. Weak square fails at κ^+ .

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Related results

(Gitik–Sharon 2008, assuming the existence of a supercompact cardinal.)

There is a model with a cardinal κ so that:

- 1. $\operatorname{Cof}(\kappa) = \omega$.
- 2. SCH fails at κ .
- 3. Weak square fails at κ^+ .

Theorem (Cummings-Foreman)

In the Gitik-Sharon model, in fact have

(3') There is both a good scale and a bad scale on κ^+ .

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

(Gitik–Sharon 2008, assuming the existence of a supercompact cardinal.)

There is a model with a cardinal κ so that:

- 1. $\operatorname{Cof}(\kappa) = \omega$.
- 2. SCH fails at κ .
- 3. Weak square fails at κ^+ .

Theorem (Cummings-Foreman)

In the Gitik-Sharon model, in fact have

(3') There is both a good scale and a bad scale on κ^+ .

(3)' implies (3).

(Gitik–Sharon 2008, assuming the existence of a supercompact cardinal.)

There is a model with a cardinal κ so that:

- 1. $\operatorname{Cof}(\kappa) = \omega$.
- 2. SCH fails at κ .
- 3. Weak square fails at κ^+ .

Theorem (Cummings-Foreman)

In the Gitik-Sharon model, in fact have

(3') There is both a good scale and a bad scale on κ^+ .

(3)' implies (3).

Extended by Sinapova to other cofinalities:

(Gitik-Sharon 2008, assuming the existence of a supercompact cardinal.)

There is a model with a cardinal κ so that:

- 1. $\operatorname{Cof}(\kappa) = \omega$.
- 2. SCH fails at κ .
- 3. Weak square fails at κ^+ .

Theorem (Cummings-Foreman)

In the Gitik-Sharon model, in fact have

(3') There is both a good scale and a bad scale on κ^+ .

(3)' implies (3).

Extended by Sinapova to other cofinalities:

Theorem (Sinapova)

Can obtain the same, but with $Cof(\kappa) = \lambda$ (arbitrary λ).

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

Forcing

Use of ultrafilters

Gitik-Sharon model constructed by Diagonal Prikry forcing,

Forcing with ultrafilters

I.Neeman

Singular cardinal

orcing

Use of ultrafilters

Gitik–Sharon model constructed by Diagonal Prikry forcing, using supercompactness ultrafilters \mathcal{U}_n on $\mathcal{P}_\kappa(\kappa^{(+n)})$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Gitik–Sharon model constructed by Diagonal Prikry forcing, using supercompactness ultrafilters \mathcal{U}_n on $\mathcal{P}_{\kappa}(\kappa^{(+n)})$.

Resulting G singularizes κ and collapses $\lambda = \kappa^{(+\omega)}$ to κ .

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Gitik–Sharon model constructed by Diagonal Prikry forcing, using supercompactness ultrafilters \mathcal{U}_n on $\mathcal{P}_{\kappa}(\kappa^{(+n)})$.

Resulting G singularizes κ and collapses $\lambda = \kappa^{(+\omega)}$ to κ . $(\kappa^+)^{M[G]} = (\lambda^+)^M$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Gitik–Sharon model constructed by Diagonal Prikry forcing, using supercompactness ultrafilters \mathcal{U}_n on $\mathcal{P}_{\kappa}(\kappa^{(+n)})$.

Resulting G singularizes κ and collapses $\lambda = \kappa^{(+\omega)}$ to κ . $(\kappa^+)^{M[G]} = (\lambda^+)^M$.

In starting model, $^{\kappa}2 = \kappa^{(+\omega+2)} = \lambda^{++}$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Jse of ultrafilters

Gitik–Sharon model constructed by Diagonal Prikry forcing, using supercompactness ultrafilters \mathcal{U}_n on $\mathcal{P}_{\kappa}(\kappa^{(+n)})$.

Resulting *G* singularizes κ and collapses $\lambda = \kappa^{(+\omega)}$ to κ . $(\kappa^+)^{M[G]} = (\lambda^+)^M$.

In starting model, $^{\kappa}2 = \kappa^{(+\omega+2)} = \lambda^{++}$.

In end model: ${}^{\kappa}2 = (\lambda^{++})^{M} = (\kappa^{++})^{M[G]}$.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Jse of ultrafilters

Gitik–Sharon model constructed by Diagonal Prikry forcing, using supercompactness ultrafilters \mathcal{U}_n on $\mathcal{P}_{\kappa}(\kappa^{(+n)})$.

Resulting *G* singularizes κ and collapses $\lambda = \kappa^{(+\omega)}$ to κ . $(\kappa^+)^{M[G]} = (\lambda^+)^M$.

In starting model, $^{\kappa}2 = \kappa^{(+\omega+2)} = \lambda^{++}$.

In end model: ${}^{\kappa}2 = (\lambda^{++})^{M} = (\kappa^{++})^{M[G]}$.

Suppose $\tau > \kappa$ is a limit of supercompacts of cofinality ω . Recall (Magidor–Shelah) tree property holds at τ^+ if τ is a limit of supercompacts.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Gitik–Sharon model constructed by Diagonal Prikry forcing, using supercompactness ultrafilters \mathcal{U}_n on $\mathcal{P}_{\kappa}(\kappa^{(+n)})$.

Resulting *G* singularizes κ and collapses $\lambda = \kappa^{(+\omega)}$ to κ . $(\kappa^+)^{M[G]} = (\lambda^+)^M$.

In starting model, $\kappa^2 = \kappa^{(+\omega+2)} = \lambda^{++}$.

In end model: ${}^{\kappa}2 = (\lambda^{++})^{M} = (\kappa^{++})^{M[G]}$.

Suppose $\tau > \kappa$ is a limit of supercompacts of cofinality ω . Recall (Magidor–Shelah) tree property holds at τ^+ if τ is a limit of supercompacts.

Adapt Gitik–Sharon construction, replace $\lambda = \kappa^{(+\omega)}$ by τ .

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Gitik–Sharon model constructed by Diagonal Prikry forcing, using supercompactness ultrafilters \mathcal{U}_n on $\mathcal{P}_{\kappa}(\kappa^{(+n)})$.

Resulting *G* singularizes κ and collapses $\lambda = \kappa^{(+\omega)}$ to κ . $(\kappa^+)^{M[G]} = (\lambda^+)^M$.

In starting model, $^{\kappa}2 = \kappa^{(+\omega+2)} = \lambda^{++}$.

In end model: ${}^{\kappa}2 = (\lambda^{++})^{M} = (\kappa^{++})^{M[G]}$.

Suppose $\tau>\kappa$ is a limit of supercompacts of cofinality ω . Recall (Magidor–Shelah) tree property holds at τ^+ if τ is a limit of supercompacts.

Adapt Gitik–Sharon construction, replace $\lambda = \kappa^{(+\omega)}$ by τ .

Try to preserve Magidor-Shelah result.

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafi

Gitik–Sharon model constructed by Diagonal Prikry forcing, using supercompactness ultrafilters \mathcal{U}_n on $\mathcal{P}_{\kappa}(\kappa^{(+n)})$.

Resulting *G* singularizes κ and collapses $\lambda = \kappa^{(+\omega)}$ to κ .

 $(\kappa^+)^{M[G]} = (\lambda^+)^M$.

In starting model, $\kappa^2 = \kappa^{(+\omega+2)} = \lambda^{++}$.

In end model: ${}^{\kappa}2=(\lambda^{++})^{M}=(\kappa^{++})^{M[G]}$.

Suppose $\tau > \kappa$ is a limit of supercompacts of cofinality ω . Recall (Magidor–Shelah) tree property holds at τ^+ if τ is

a limit of supercompacts.

Adapt Gitik–Sharon construction, replace $\lambda = \kappa^{(+\omega)}$ by τ .

Try to preserve Magidor–Shelah result. (Two levels of preservation. First, $^{\kappa}2=\tau^{++}$, second *G*. Both pose difficulties.)

lar cardinal natorics

of ultrat

Forcing with

ultrafilters

I.Neeman

of ultr

Answer

Forcing with ultrafilters

I.Neeman

Singular cardinal combinatorics

orcing

Use of ultrafilters

Theorem (N.)

(Assuming the existence of ω supercompact cardinals.) There is a model with a cardinal κ so that:

- 1. $\operatorname{Cof}(\kappa) = \omega$.
- **2.** SCH fails at κ .
- **3.** The tree property holds at κ^+ .

Singular cardinal combinatorics

orcing

Use of ultrafilters

(Assuming the existence of ω supercompact cardinals.) There is a model with a cardinal κ so that:

- 1. $\operatorname{Cof}(\kappa) = \omega$.
- **2.** SCH fails at κ .
- **3.** The tree property holds at κ^+ .

Question

Can this be done with additional collapsing, so that κ becomes \aleph_{ω} ? Or even \aleph_{ω^2} ?

Singular cardinal combinatorics

orcing

Use of ultrafi