

Macquarie Asia Securities Limited

A Member of the Macquarie Group

Regulated by the Securities and Futures Commission

Level 22, One International Finance Centre
1 Harbour View Street
Central
HONG KONG

Telephone (852) 3922 1888

Internet www.macquarie.com

August 2021

NOTICE FOR CANADIAN CLIENTS

Reliance on International Dealer Exemption

Macquarie Asia Securities Limited (“MASL” or the “Firm”) is an entity licensed with the Hong Kong Securities & Futures Commission (“SFC”) to deal in securities and relies upon the international dealer exemption in dealing with Canadian clients and hereby provides the regulatory disclosure required under section 8.18(4)(b) of National Instrument 31-103 (Registration Requirements, Exemptions and Ongoing Registrant Obligations). The disclosure is as follow:

- MASL is not registered in Canada.
- MASL’s principal place of business is in Hong Kong.
- There may be difficulty enforcing legal rights against MASL because it resides outside of Canada and all of its assets are situated outside of Canada.
- MASL’s agent for service of process in applicable province in Canada is as follow:

Ontario

c/o Stikeman Elliot LLP
5300 Commerce Court West
199 Bay Street
Toronto, ON M5L 1B9

Quebec

c/o Stikeman Elliot LLP
1155 René-Lévesque
Blvd. West,
41st Floor
Montréal, QC H3B 3V2

Other than Macquarie Bank Limited ABN 46 008 583 542 (MBL), any Macquarie Group entity noted on this page is not an authorised deposit-taking institution for the purposes of the Banking Act 1959 (Commonwealth of Australia). That entity’s obligations do not represent deposits or other liabilities of MBL. MBL does not guarantee or otherwise provide assurance in respect of the obligations of that entity, unless noted otherwise.

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