



INTERNATIONAL ORGANIZATION OF
SECURITIES COMMISSIONS (IOSCO)

WORLD INVESTOR WEEK 2023
PUBLIC REPORT

TABLE OF CONTENTS

INTRODUCTION.....	4
THE IOSCO WORLD INVESTOR WEEK.....	5
WIW 2023 RESULTS.....	6
DASHBOARD: WIW 2023 in Numbers.....	7
SUPPORTERS	8
HOW TO PARTICIPATE.....	14
ABOUT IOSCO.....	14
CONTACT US:	15
REPORTS OF THE PARTICIPATING JURISDICTIONS	16
ANGOLA.....	17
ARGENTINA	22
BAHAMAS.....	31
BANGLADESH.....	36
BELGIUM.....	43
BRAZIL	47
CANADA (ONTARIO).....	58
CANADA (QUEBEC)	64
CHILE	68
CHINA	71
CHINESE TAIPEI.....	81
ECUADOR.....	87
EGYPT.....	90
EL SALVADOR.....	96
FRANCE	98
GERMANY.....	101
GIBRALTAR.....	103
HONG KONG.....	109
INDIA	112
IRAN	136
IRELAND	140
ITALY.....	142
JAPAN.....	146

KINGDOM OF BAHRAIN	151
KINGDOM OF SAUDI ARABIA	154
LITHUANIA	159
LUXEMBOURG	162
MOROCCO	165
NEPAL.....	170
PAKISTAN	181
POLAND	199
PORTUGAL.....	202
REPUBLIC OF KOREA.....	209
ROMANIA	213
SINGAPORE	221
SOUTH AFRICA.....	230
SPAIN.....	238
SWEDEN	243
THAILAND	245
TRINIDAD AND TOBAGO.....	253
TUNISIA.....	256
TURKEY.....	259
UNITED STATES	261
Financial Planning Standards Board Ltd.(FPSB)	264
International Federation of Accountants (IFAC).....	266
International Forum for Investor Education (IFIE).....	271
Annex 1 – WIW 2023 Global Events	277
Annex 2 – WIW 2023 Regional Events	285
Annex 3 – IOSCO Committee on Retail Investors (Committee 8 members)	288

INTRODUCTION

Investor protection is one of the key priorities of the International Organization of Securities Commissions (IOSCO). The World Investor Week (WIW) is an initiative of IOSCO¹ to raise awareness about the importance of investor education and protection. The WIW is conducted in the week that covers the first Monday of October of each year, although the participating jurisdictions are allowed to conduct WIW-related activities in any other week of October or November. During the WIW, a diversity of outreach activities is carried out by participating IOSCO member jurisdictions.

By using the IOSCO network to facilitate a coordinated and, therefore, more powerful approach, the IOSCO WIW also provides a framework for individual jurisdictions to publicize their investor education and protection initiatives and to deliver more tailored messaging within their jurisdictions.

In October 2023, IOSCO, with the support of other organizations, carried out the seventh edition of WIW and achieved outstanding results, surrounded by different developments in the market, such as increased digitalization, the emergence of new products and services, or an increasing participation of retail investors in the capital markets around the world.

In this context, the 2023 campaign focused on three main themes: Investor Resilience, Crypto Assets, and Sustainable Finance, which the IOSCO Board has identified as particularly relevant given current and expected global market conditions. Other themes cover Frauds and Scams Prevention, Basics of Investing, and Technology and Digital Finance.

This report compiles the information provided by the different participants in the 2023 campaign. The report describes real examples of the variety of activities organized and conducted by different participating jurisdictions in 2023, thus providing a useful guide to the range of activities that participating jurisdictions could undertake in future WIW campaigns, but also translate into their current or future financial education and investor protection initiatives.

IOSCO members and interested stakeholders are invited to participate in the WIW and the forthcoming WIW campaign of 2024. Please feel free to visit the WIW website (<https://www.worldinvestorweek.org>) and contact us at: worldinvestorweek@iosco.org

¹ The International Organization of Securities Commissions (IOSCO) is the international body that brings together the world's securities regulators and is recognized as the global standard setter for the securities sector. IOSCO develops, implements and promotes adherence to internationally recognized standards for securities regulation.

THE IOSCO WORLD INVESTOR WEEK

The IOSCO World Investor Week is a week-long, global campaign to raise awareness of the importance of investor education and protection, and to highlight the various initiatives of securities regulators in these two critical areas.

WIW has two primary objectives, namely (i) to disseminate key messages that support investor education, investor protection and financial literacy; and (ii) to foster learning opportunities for investors. The campaign also aims at strengthening collaboration among IOSCO members on investor education and protection initiatives and raising IOSCO's visibility among key international stakeholders.

The WIW 2023 was conducted from 2 to 8 October, although some jurisdictions conducted WIW-related activities in other weeks of October and November 2023. The key messages of the IOSCO WIW campaign in 2023 were based on three themes: 1) Investor Resilience, 2) Crypto Assets and 3) Sustainable Finance. These themes were complemented by three other key messages related to Frauds and Scams Prevention, Basics of Investing, and Technology and Digital Finance.

IOSCO securities regulators and other IOSCO members from six continents undertook a range of activities, such as offering investor-focused information, promoting contests to increase awareness of investor education initiatives, organizing workshops and conferences, and conducting local/national campaigns in their jurisdictions, including through digital or virtual means.

The dedicated campaign website (<http://www.worldinvestorweek.org>) was also used by the participants to promote their activities. The IOSCO Committee 8 (C8) and its C8 WIW Working Group coordinated different global events or webinars. A summary of Global and Regional events held in WIW 2023 is presented in Annex 1 and 2 of this report.

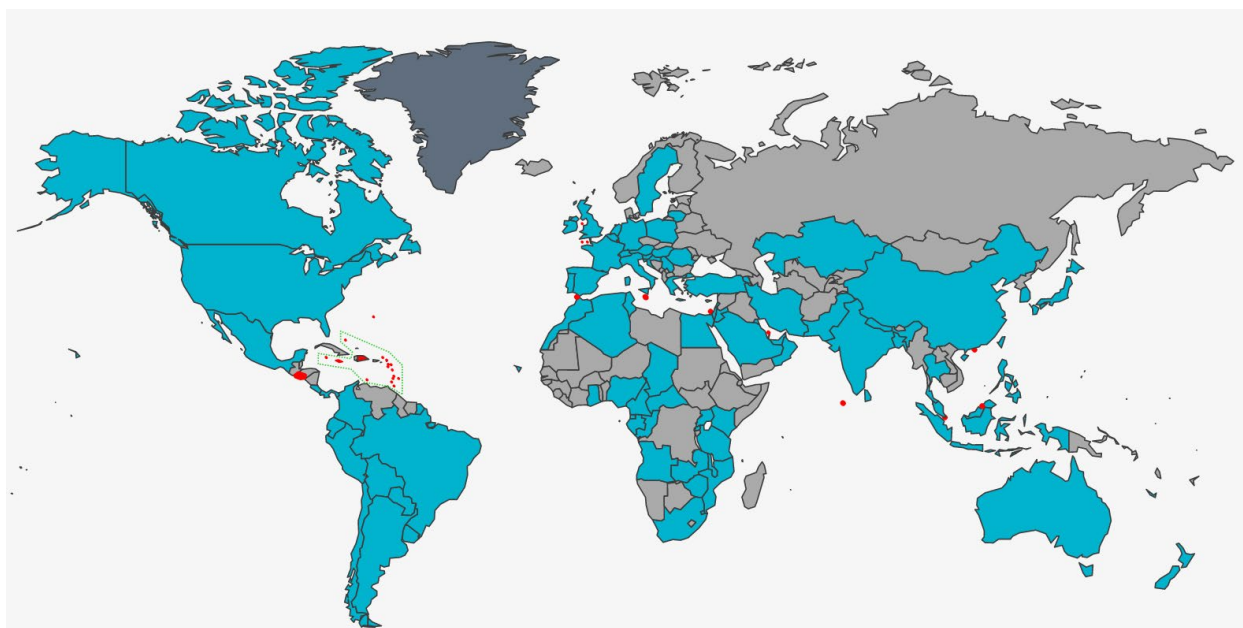
A total of 118 jurisdictions participated in WIW 2023. Different global and regional organizations endorsed or supported WIW (see next section on "Supporters").

At the national level, in addition to the securities regulators, other participants supported the 2023 WIW campaign, such as self-regulatory organizations, industry associations and market participants, other financial authorities and different stakeholders (e.g. universities, schools).

WIW 2023 RESULTS

The overall WIW results of the campaign 2023 that are presented in this section are based on the survey responses provided by the national WIW coordinators on a voluntary basis. In addition, national coordinators were expected to prepare a public report on the campaign in their jurisdictions. The local public reports are part of this Public Report.

The map below shows the participating jurisdictions in blue².



Participating jurisdictions of WIW 2023. Source: <https://www.worldinvestorweek.org/?p=participants>

² Algeria, Angola, Anguilla, Antigua & Barbuda, Argentina, Armenia, Australia, Austria, Bahamas, Bahrain, Bangladesh, Barbados, Belgium, Bermuda, Bolivia, Brazil, British Virgin Islands, Brunei, Cabo Verde, Cameroon, Canada, Cayman Islands, Central African Republic, Chad, Chile, China, Colombia, Congo, Costa Rica, Croatia, Curacao & Saint Martin, Cyprus, Dominica, Dominican Republic, Ecuador, Egypt, El Salvador, Equatorial Guinea, Eswatini, France, Gabon, Germany, Ghana, Gibraltar, Greece, Grenada, Guernsey, Hong Kong, Hungary, India, Indonesia, Iran, Ireland, Isle of Man, Israel, Italy, Jamaica, Japan, Jersey, Jordan, Kazakhstan, Kenya, Korea (Republic of), Kuwait, Lebanon, Lithuania, Luxembourg, Malawi, Malaysia, Maldives, Malta, Mauritius, Mexico, Montserrat, Morocco, Mozambique, Nepal, Netherlands (The), New Zealand, Nigeria, Oman, Pakistan, Palestine, Panama, Paraguay, Peru, Philippines, Poland, Portugal, Qatar, Romania, Rwanda, Saudi Arabia, Serbia, Singapore, Slovenia, South Africa, Spain, Sri Lanka, St. Kitts & Nevis, St. Lucia, St. Vincent & the Grenadines, Sweden, Switzerland, Chinese Taipei, Tanzania, Thailand, Trinidad and Tobago, Tunisia, Türkiye, Uganda, United Arab Emirates, United Kingdom, United States, Uruguay, Zambia, Zimbabwe.

DASHBOARD: WIW 2023 in Numbers

Participating Jurisdictions 

118

Regional and Global Orgs. 

12

New Participants 

- IOSCO Members : **8**
- Non IOSCO Members : **2**
- Global Organizations : **2**

- Algeria - Bolivia - Malawi - Oman - Switzerland
Cabo Verde - Mauritius - Uganda
- Manitoba - Nicaragua
- IESBA - IAASB

NUMBER OF EVENTS

4,870

Compared to previous year
Increase
(263%)

PEOPLE REACHED

730M

(%) increase over 2022
164%

TV Shows & Radio Programs -
accounted for
99.8%

SOCIAL MEDIA POSTS

2,879

(%) increase over 2022
3%

Number of **followers**
9,841,624

SUPPORTERS

WIW 2023 continued attracting more supporters. As in the previous editions, major multilateral organizations supported and endorsed WIW 2023. That was the case of the G20 (Presidency of India), the World Bank Group, the Organization for Economic Cooperation and Development (OECD), the Inter-American Development Bank (IDB), the Sustainable Stock Exchange Initiative (the SSE Initiative), the International Network of Financial Services Ombudsman Schemes (the INFO Network), the International Financial Reporting Standards Foundation (the IFRS Foundation), the International Forum for Investor Education (IFIE) and the IFIE Americas Caribbean Working Group, the Global Financial Literacy Excellence Center (GFLEC), the International Federation of Accountants (IFAC), the World Federation of Exchanges (WFE), the European Fund and Asset Management Association (EFAMA), The International Ethics Standards Board for Accountants (IESBA) and The International Auditing and Assurance Standards Board (IAASB).

In addition, other global and regional organizations participated in WIW 2023, namely, the CFA Institute, Financial Planning Standards Board Ltd (FPSB), the IOSCO Asia Pacific Hub and the Union of Arab Securities Authorities (UASA).

G20

The Group of Twenty (G20) is the premier forum for its members' international economic cooperation and decision-making. Its membership comprises 19 countries plus the European Union. G20 leaders meet annually. In addition, Finance Ministers and Central Bank Governors meet regularly during the year to discuss ways to strengthen the global economy, reform international financial institutions improve financial regulation and implement the key economic reforms that are needed in each member economy.

World Bank Group

The World Bank promotes long-term economic development and poverty reduction by providing technical and financial support to help countries implement reforms or projects. With 189 member countries, the World Bank Group is a unique global partnership: five institutions working for sustainable solutions that reduce poverty and build shared prosperity in developing countries. The World Bank is a vital source of financial and technical assistance to developing countries around the world. This supports a wide array of investments in such areas as education, health, public administration, infrastructure, financial and private sector development, agriculture, and environmental and natural resource management. Some of its projects are co-financed with governments, other multilateral institutions, commercial banks, export credit agencies, and private sector investors.

OECD

The Organization for Economic Co-operation and Development (OECD) is an international organization that works to build better policies for better lives. Its goal is to shape policies that foster prosperity, equality, opportunity, and well-being for all. The OECD provides a forum in which governments, policy makers, and citizens can work together to share experiences and seek solutions to common problems. It works with governments to understand what drives economic, social and environmental change.

The OECD form a like-minded community, committed to the preservation of individual liberty, the values of democracy, the rule of law and the protection of human rights. It believes in the value of open, trading, competitive, sustainable and transparent market economies. The OECD will continue to support countries, within and outside the OECD, to develop policies together that promote sustainable and inclusive economic growth.

IDB

The Inter-American Development Bank works to improve the lives of people in Latin America and the Caribbean. Through financial and technical support for countries working to reduce poverty and inequality, it helps improve health and education, and advance infrastructure. IDB's aim is to achieve development in a sustainable, climate-friendly way. The Bank's current core objectives include three development challenges – reducing poverty and inequality, addressing climate change and bolstering sustainable growth – and seven areas of operational focus, including Biodiversity, Natural Capital and Climate Action; Institutional Capacity, Rule of Law and Citizen Security; and Gender Equality and Inclusion of Diverse Population Groups.

SSE

The Sustainable Stock Exchange is a UN Partnership Program of the UN Conference on Trade and Development (UNCTAD), the UN Global Compact, the UN Environment Program Finance Initiative (UNEP FI), and the Principles for Responsible Investment (PRI). Launched in 2009 by the UN Secretary-General, it convenes Partner Exchanges from around the world who join the SSE by signing a voluntary public commitment. SSE has a vision of a world where capital market signals align with public policy goals on sustainable development.

Its mission is to build the capacity of stock exchanges and securities market regulators to promote responsible investment in sustainable development and advance corporate performance on environmental, social and governance issues.

INFO Network

The International Network of Financial Services Ombudsman Schemes (the INFO Network) is the worldwide association for financial services ombudsmen — independent and impartial out-of-court schemes and offices that resolve complaints brought by consumers (and, in some cases, small businesses) against banks, insurers and/or other financial services providers. Formalized in 2007, the INFO Network facilitates cooperation among its members to build expertise in external dispute resolution by exchanging experiences and information in areas such as scheme/office structures, functions and governance models; scheme/office codes of conduct; use of information technology; handling of systemic issues; cross border referral of complaints; and staff training and continuing education.

IFRS

The IFRS Foundation is a not-for-profit, public interest organization established to develop a single set of high-quality, understandable, enforceable and globally accepted accounting standards — IFRS Standards — and to promote and facilitate adoption of the standards. IFRS Standards are set by the IFRS Foundation's standard-setting body, the International Accounting Standards Board.

Accounting standards are a set of principles companies follow when they prepare and publish their financial statements, providing a standardized way of describing the company's financial performance. Publicly accountable companies (those listed on public stock exchanges) and financial institutions are legally required to publish their financial reports in accordance with agreed accounting standards.

IFIE

The International Forum for Investor Education — IFIE — is a unique alliance chartered in 2005 that brings together private sector and public sector providers of investor education to improve the effectiveness of investor education programs around the world. The Forum serves as a clearinghouse for the exchange of information and ideas among providers of investor education from different jurisdictions, including organizations that are just developing or planning to develop investor education programs.

GFLEC

The Global Financial Literacy Excellence Center (GFLEC) envisions a world in which individuals have the financial knowledge they need to fully participate in the economy and build secure futures. In working toward that vision, GFLEC has positioned itself as the world's leading incubator for financial literacy research, policy, and solutions.

GFLEC launched in 2011 at the George Washington University School of Business in Washington, DC. Since then, it has pioneered breakthrough tools to measure financial literacy, developed and advised on educational programs, and crafted policy guidelines aimed at advancing financial knowledge in the United States and around the globe.

International Federation of Accountants (IFAC)

IFAC is the global organization for the accountancy profession, comprising 180 member and associate organizations in 135 jurisdictions. With its member organizations, IFAC serves the public interest by enhancing the relevance, reputation, and value of the global accountancy profession. IFAC supports the development, adoption, and implementation of high-quality international standards.

World Federation of Exchanges (WFE)

The WFE is the global industry group for exchanges and clearing houses (CCPs) around the world. It represents over 250 market-infrastructures ranging from those that operate the largest financial centers to those that run frontier markets. Founded in 1961, the Federation was set up to contribute to “the development, support and promotion of organized and regulated securities markets in order to meet the needs of the world's capital markets in the best interests of their users.”

Members of WFE include over 50 distinct CCP clearing services including stand-alone CCPs. WFE exchanges are home to nearly 60,000 listed companies, and the market capitalization of these entities is over \$122.94 trillion; around \$162.04 trillion in trading annually passes through WFE members (at the end of 2021). The WFE seeks to ensure regulatory outcomes that foster well-functioning capital markets and reinforce systemic stability in addition to developing markets.

EFAMA

EFAMA, the European Fund and Asset Management Association, is the voice of the EUR 31tn European investment management industry, what makes them the natural interlocutor of the EU institutions for all issues relevant to their sector. EFAMA supports open and well-functioning global capital markets and engages with international standard setters and relevant third country authorities on a wide range of issues.

Since its establishment, EFAMA has been fully supportive of the EU project and working hard towards helping the EU achieve its objectives, whether in terms of single market, consumer protection or more recently CMU and sustainable finance.

CFA Institute

CFA Institute is the premier global association for investment management professionals. It stands for creating an environment where investors' interests come first, markets function at their best, and economies grow. The mission of CFA Institute is served by generating value for core investment management professionals and engaging with the core investment management industry to advance ethics, market integrity, and professional standards of practice, which collectively contribute value to society.

CFA Institute seeks to set professional standards for investment management practitioners and broadly engage other finance professionals through their interests and interactions with the investment management industry. Improving outcomes for investors advances our social mission and benefits members through greater demand for educated and ethical investment management professionals.

FPSB

Financial Planning Standards Board Ltd. (FPSB) manages, develops and operates certification, education and related programs to benefit the global community by establishing, upholding and promoting worldwide professional standards in financial planning.

FPSB demonstrates its commitment to excellence with the marks of professional distinction – CFP, CERTIFIED FINANCIAL PLANNER and CFP Logo Mark – which it owns outside the United States. FPSB and its global network of affiliate organizations administer CFP and other certification programs in the following 27 territories: Australia, Austria, Brazil, Canada, Chinese Taipei, Colombia, France, Germany, Hong Kong, India, Indonesia, Ireland, Israel, Japan, Malaysia, the Netherlands, New Zealand, People's Republic of China, Peru, Republic of Korea, Singapore, South Africa, Switzerland, Thailand, Türkiye, the United Kingdom and the United States. At the end of 2022, there were 213,002 CFP professionals worldwide.

IOSCO Asia Pacific Hub

The objective of the IOSCO Asia Pacific Hub is to strengthen the regulatory and supervisory capacity of securities regulators in the Asia Pacific region. The Hub is intended to respond to a growing demand among IOSCO members for enhanced capacity building, given the increasingly complex market environment, growing financial and technological innovation and rapidly expanding cross-border activity.

The IOSCO Asia Pacific Hub offers education and training programs and technical assistance to address the capacity building needs of IOSCO members in the Asia Pacific region. The Hub conducts seminars, workshops and other capacity building activities in Malaysia, and elsewhere in the region.

UASA

Established in 2007, the Union of Arab Securities Authorities "UASA" is a not-for profit Union for Securities Authorities with an independent legal personality. The Members of the Union are the Arab Securities Authorities and markets Regulators. United Arab Emirates shall be the headquarters of the Union.

The UASA objectives are to upgrade the legislative and regulatory level of Arab securities markets with a view to achieving fairness, efficiency and transparency. Unify efforts towards achieving effective levels of oversight over transactions in the Arab securities markets and to ensure coordination and cooperation among members to achieve maximum harmony and consistency with regard to relevant laws and regulations applicable in the Member States are main goals of the UASA.

The Union aims also to overcome difficulties facing investment in the Arab securities markets, and to expand the investment base, diversify its tools and promote the culture of investing in the Arab securities markets. Promoting the concepts of disclosure, transparency and governance in addition to the application of the best international standards and practices are also important objectives of the UASA.

IESBA

The IESBA is an independent global standard-setting board. The IESBA's mission is to serve the public interest by setting ethics (including independence) standards as a cornerstone to ethical behavior in business and organizations, and to public trust in financial and non-financial information that is fundamental to the proper functioning and sustainability of organizations, financial markets and economies worldwide.

The board also supports the global adoption and implementation of its standards, promotes good ethical practices globally, builds and strengthens working relationships with stakeholders, and fosters international debate on ethical issues.

IAASB

The International Auditing and Assurance Standards Board (IAASB) is an independent standard-setting body that serves the public interest by setting high-quality international standards for auditing, quality control, review, other assurance, and related services, and by facilitating the convergence of international and national standards. In doing so, the IAASB enhances the quality and uniformity of practice throughout the world and strengthens public confidence in the global auditing and assurance profession.

The IAASB follows a rigorous due process in developing its pronouncements. Input is obtained from a wide range of stakeholders including the IAASB's Consultative Advisory Group national auditing standard setters, IFAC member bodies and their members, regulatory and oversight bodies, firms, governmental agencies, investors, preparers, and the general public. Exposure Drafts of proposed pronouncements are posted on the website and comments are invited; final pronouncements are accompanied by a Basis for Conclusions with respect to comments received. The Public Interest Oversight Board (PIOB) oversees the work of the IAASB and its CAG to ensure that the activities of the IAASB follow due process and are responsive to the public interest.

The IAASB is dedicated to operating as transparently as possible. IAASB meetings are open to the public and meeting agendas, agenda papers, and meeting highlights are posted on the website. In addition, the website includes project histories, audio recordings of the IAASB meetings, IAASB Exposure Drafts and all comments made on those drafts by stakeholders.

HOW TO PARTICIPATE

WIW 2023 took place during the week of October 2nd, with participating jurisdictions having the flexibility to organize their activities around this week or any other week in October and November, in order to accommodate any conflict with dates in a particular jurisdiction (e.g. national holidays). Organizations interested in joining WIW are invited to contact the national coordinator in their jurisdiction or the IOSCO C8 WIW Working Group³ at worldinvestorweek@iosco.org

The list of participating jurisdictions and the respective leading organization is available online at <https://www.worldinvestorweek.org/?p=participants#Participants>

The WIW website (<http://www.worldinvestorweek.org>) contains useful information for participating jurisdictions and supporting organizations, including press releases and the WIW Implementation Guide.

ABOUT IOSCO

The International Organization of Securities Commissions (IOSCO) is the international body that brings together the world's securities regulators and is recognized as the global standard setter for the securities sector. IOSCO develops, implements, and promotes adherence to internationally recognized standards for securities regulation. It works intensively with the G20 and the Financial Stability Board (FSB) on the global regulatory reform agenda.

IOSCO members have resolved:

- to cooperate in developing, implementing and promoting adherence to internationally recognized and consistent standards of regulation, oversight and enforcement in order to protect investors, maintain fair, efficient and transparent markets, and seek to address systemic risks;
- to enhance investor protection and promote investor confidence in the integrity of securities markets, through strengthened information exchange and cooperation in enforcement against misconduct and in the supervision of markets and market intermediaries; and
- to exchange information at both global and regional levels on their respective experiences in order to assist the development of markets, strengthen market infrastructure and implement appropriate regulation.

IOSCO believes the need for investor education and financial literacy has never been greater than today. As the financial marketplace continues to evolve and innovate, investment products are becoming increasingly complex and financial services increasingly diverse. Retail investors require a greater understanding of key financial concepts to comprehend and evaluate the choices available to them and to avoid financial fraud. Strengthening investor education and financial literacy programs also is essential at a time when responsibility for saving and investing for retirement is shifting from the employer to the individual in many jurisdictions.

CONTACT US:

<http://www.worldinvestorweek.org/contact.php>

worldinvestorweek@iosco.org

³ The C8 WIW is led by CVM - Brazil. Members of the WIW Working Group in 2023 included: Comissão do Mercado de Capitais (ANGOLA), Comisión Nacional de Valores (ARGENTINA), Australian Securities and Investments Commission (AUSTRALIA), Comissão de Valores Mobiliários (BRAZIL), China Securities Regulatory Commission (CHINA), Bundesanstalt für Finanzdienstleistungsaufsicht (GERMANY), Securities and Futures Commission (HONG KONG SAR), Indonesia Financial Services Authority (INDONESIA), Israel Securities Authority (ISRAEL), Commissione Nazionale per le Società e la Borsa (ITALY), Financial Services Agency (JAPAN), Japan Securities Dealers Association (JAPAN), Jersey Financial Services Commission (JERSEY), Securities Commission (MALAYSIA), Comisión Nacional Bancaria y de Valores (MEXICO), Ontario Securities Commission (ONTARIO), Autorité des marchés financiers (QUEBEC), Financial Supervisory Authority (ROMANIA), Capital Market Authority (SAUDI ARABIA), Comisión Nacional del Mercado de Valores (SPAIN), Financial Industry Regulatory Authority (UNITED STATES OF AMERICA), Securities and Exchange Commission (UNITED STATES OF AMERICA), with the support of the IOSCO General Secretariat.

REPORTS OF THE PARTICIPATING JURISDICTIONS

ANGOLA

WORLD INVESTOR WEEK 2023

National Coordinator: Angola, Comissão do Mercado de Capitais (CMC).

Other IOSCO members: South Africa, Botswana, Mozambique, Namibia, Seychelles, Zambia, Zimbabwe, Tanzania, Cape Verde, Kenya.

Other participants: Ministry of Finance-MINFIN; Banco Nacional de Angola-BNA (central bank); Angolan Insurance Regulatory and Supervisory Agency (ARSEG); Angolan Debt and Securities Exchange (BODIVA).

The activities held for the 2023 WIW in Angola, took place from the 2nd to the 8th of October.

The initiative took place in a virtual format. Below are listed the main activities:

- Interviews in radio, television and newspapers;
- *Webinars*;
- Creation of educational games for the dedicated website;
- Dissemination of flyers on social networks;
- Dissemination of WIW content on digital platforms;
- Use of WIW logo and message in institutional e-mail; and
- Coordination of WIW 2023 activities in the region (Africa), in accordance with the IOSCO guideline.

EVENTS

- **Opening message and kick-off event**

As the regional coordinator, the CMC launched the World Investor Week for neighboring countries. The launch event¹ was held virtually, and included a welcome video, webinars, and remarks from CMC leadership.

¹ Our regional counterparts were invited to attend.

Image no. 1 – Launch Event²



- **Magazine articles**

Two articles were written for local newspapers. These served to disseminate relevant content within the scope of World Investor Week. The first, focused on decentralized finance, while a second emphasized the promotion of Capital Markets.

Image no.2 - Article



- **Radio and television debate programs**

We led five appearances on TV and radio, during which, insights on specific topics related to financial education were shared, as outlined in the table below:

² [Link to Video](#)

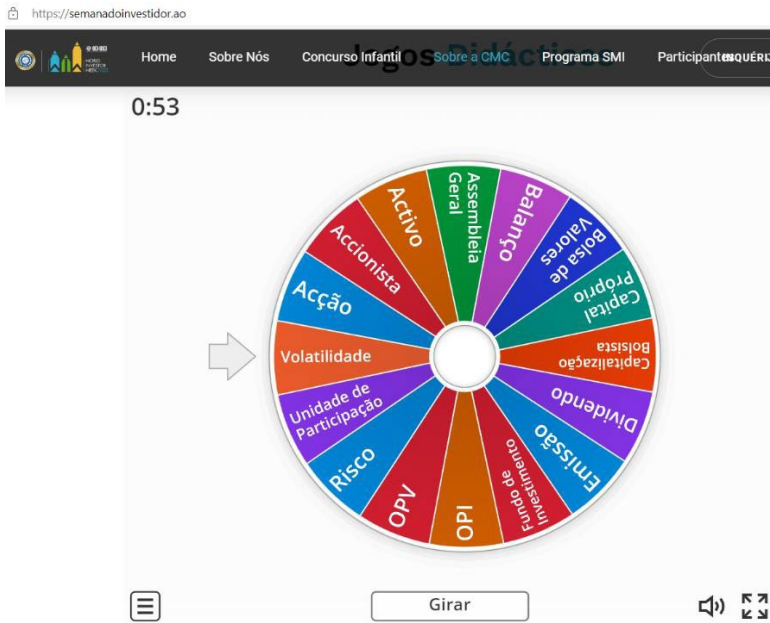
Image no.3 – TV & Radio³

TV & RÁDIO PROGRAMS			
Webinar	Date	Objective	Participants
Janela Aberta - TPA	02/10/2023	The importance of financial education was emphasized, as it enables investors to understand the risks associated with investments. We took the opportunity to convey the need to make decisions based on available information. Additionally, we discussed the growing importance of digital financial literacy, highlighting concepts such as cryptocurrencies, online investment platforms, and cybersecurity. Warning signs related to online investments were also addressed.	António Van-Dúnem
Tempo Certo - TPA	02/10/2023		Sydney Teixeira
Tarde é Nossa - Zimbo	03/10/2023		Djanira Arrulo
Programa Azimute - RNA	03/10/2023		António Van-Dúnem
Programa Vector - LAC	05/10/2023		António Van-Dúnem Kambas do Kitadi

- **Games**

In this edition of WIW, we introduced games on our dedicated website⁴, allowing our target audience to learn while they play. Gamification research indicates that the attention span and engagement of users may increase while playing.

Image no.4 – Word Games




³ There is no publicly available information (ratings) on television and radio viewership in our jurisdiction.

⁴ Link to our WIW website ([Semana Mundial do Investidor 2023 – CMC \(semanadoinvestidor.ao\)](https://semanadoinvestidor.ao))

- **Webinars**

The webinars were directed at a diverse target audience⁵, and discussed matters about investor education, World Investor Week, and trending market topics. The five webinars that took place are shown below:

Image no.4 - Webinars

 WEBINARS				
Webinar	Date	Goal/outcome	Moderator	Views (as of November)
Financial Intermediaries in Capital Markets	02/10/2023	Ensure that investors and potential investors understand what intermediaries are, and their role. Additionally, we want to ensure that investors are familiar with the new operating model of the Angolan Capital Markets	Juceline Paquete	617
The Importance of Financial Education in Investor Protection	02/10/2023	To understand the importance of financial literacy as protection for investors against the complexities of financial products and services, in order to make them more resilient.	Cristina Miguel	333
Digital Assets	04/10/2023	To discuss the process of adapting to the new reality (digital era), as well as the importance of digital influencers and their relationship with regulators	Daniel Chipenda	486
Sustainable Finance and Investor Resilience	05/10/2023	To discuss sustainable finance and its impact on investor resilience.	Ondina Martins	479
The Angolan Stock Market	06/10/2023	To illustrate the growth and diversity within our market, showcasing to investors the various avenues for investment. Show that capital markets can serve as an alternative to conventional financing methods.	Johny Soki	704

- **Costs**

At the current Exchange rate, the total cost for WIW 2023 comes to about **USD 6,500 (six thousand, five hundred dollars)**.

CONCLUSIONS

We conclude that the 2023 WIW was a very successful -, as we were able to meet our pre campaign goals concerning –the number of people reached, while staying within the budget. The activities had a positive impact, as indicated by the feedback we received.

⁵ Over **2,600 people** viewed our webinars. Link to webinars: [webinar 1](#), [webinar 2](#), [webinar 3](#), [webinar 4](#), [webinar 5](#).

ARGENTINA

WORLD INVESTOR WEEK 2023

National Coordinator: **Comisión Nacional de Valores (CNV) of Argentina**

Other IOSCO members: N/A

Other participants: **Markets, Stock Exchanges, agents, rating agencies, capital market participants and their representative chambers, professional associations, foundations and universities**

The Comisión Nacional de Valores (CNV) of Argentina has celebrated the World Investor Week 2023 (“Semana Mundial de las Inversoras y los Inversores 2023”) from October 2nd to 8th, with special emphasis on four major thematic areas: investor protection (prevention of fraud and scams) associated with the promotion of financial inclusion with a gender perspective, sustainable finance, fintech and digital assets, and basic notions for investments.

This year, the World Investor Week in Argentina had the support of more than 36 public and private sector organizations, as well as educational institutions and other civil society entities, who participated through the various activities of the program.

The different presentations and activities had the firm purpose of continuing to develop and expand the capital market through financial education and the protection of retail investors, acknowledging the impact of innovation and new technologies on capital markets, and taking into account a gender and sustainable finance perspective, aimed both at the general public as well as more sophisticated investors.

The official opening ceremony was held on October 2nd. The panel was led by the President of the CNV, Sebastián Negri, a member of the Board of the CNV, Mónica Erpen and CNV officials that work in areas related to investor protection, innovation and gender.

The Argentina WIW’s agenda was promoted on the website <http://www.cnv.gov.ar/semanamundialdelinversor> and on the CNV’s social networks (Instagram, Twitter and LinkedIn).

Along the WIW were held several online conferences and workshops covering a wide range of topics, such as SME participation in capital markets, IT & cybersecurity on capital markets, prevention of fraud and scams, personal finances, investors’ education and sustainable finance.

Thirty-nine activities were performed live and recorded throughout the week, many of which remain available today on public access video platforms (Youtube).

For the fourth time, due to the pandemic and the epidemiological context, mostly all the activities were carried out online, which allowed the number of attendees to be expanded throughout the country.

Photo gallery

Opening act



Some CNV publications



Publications of the key messages of the SMI 2023



Flyers of participating institutions



Semana Mundial de las Inversoras y los Inversores (SMI) 2023

Finanzas Sostenibles (Bonos SVS) y protecciones en el mercado de capitales para inversores minoristas

Rocío Carrica
Socia

Juan Sanguinetti
Asociado

5 de Octubre | 9 horas | Webinar gratuito

TCA TANORA CASSAGNE CNV Comisión Nacional de Valores

UB CIENCIAS ECONÓMICAS

SEMANA MUNDIAL DE LOS INVERSORES 2023

CENTRAL BANK DIGITAL CURRENCIES (CBDCS): THE FUTURE OF MONEY (TRADUCCIÓN SIMULTÁNEA)

2 DE OCTUBRE, 11 H
Modalidad virtual

Expositor:
AMJAD PIROTTI PH.D.

Modérador:
Mag. Mariano Merlo

Actividad conjunta con la Especialización en Análisis Financiero, la Maestría en Gestión FinTech y las carreras de Grado de la Facultad de Ciencias Económicas.

Informes:
mariano.merlo@comunidad.ub.edu.ar

Otorga 5 créditos

ppi Empresa **EMIS** Cotizaciones Research Inversiones Servicios Ingresar Abrir tu cuenta

21.09.2023

Viví la Semana Mundial del Inversor 2023 con PPI

Del 2 al 8 de octubre, nos unimos a la celebración global organizada por la OICV-IOSCO y la CNV. Anotate en nuestras charlas y disfrutá de los contenidos especiales en nuestras redes sociales ¡En la SMI, el protagonista sos vos!

Compartir

Entre el 2 y el 8 de octubre, se llevará a cabo una nueva edición de la **Semana Mundial del Inversor (SMI)**, un evento realizado por la OICV-IOSCO y la CNV. ¡No te pierdas nuestras charlas online!

- Mis primeros pasos en el mundo de las inversiones, con Agustín Savi, Analista de Educación Financiera de PPI. Lunes 2-10, a las 17:00. [inscríbete acá](#)
- Estrategias de inversión en tiempos inciertos, con Lautaro Franco, Gerente del Segmento Digital de PPI. Miércoles 4-10, a las 17:00. [inscríbete acá](#)

Además, durante toda esa semana, encontrá en nuestras redes sociales los contenidos especiales que pensamos para vos: educación financiera, videos, podcast, recomendaciones, tiradas, sorteos y mucho más. ¡No te quedes afuera!

¡Te esperamos!

ORGANIZA: ohana CHII IPAY CNV

INVERSIONES WORKSHOP ONLINE

RODRIGO ACEVEDO
Director Comercial

CARTERAS ADMINISTRADAS

AGENDA

¿Puedo invertir en el mercado sin tener experiencia?
¿En que activos estaré invertido?
¿Qué rendimientos puedo esperar?
¿Es seguro? ¿Qué respaldo tengo?

CLUB DE INVERSORES

Martes 3 de octubre 17 a 18 pm. Online

MÁS INFO EN NUESTRAS REDES

ohana.dig www.ohana.digital

Online activities

Comunicación en Redes Sociales Del 2 al 6 octubre

Ejemplo:

6



YouTube interface showing a search for '¿Qué es el Mercado de Capitales y para qué me sirve?' (What is the Capital Market and for what does it serve?).

CONOCÉ EL MERCADO DE CAPITALES, PARTE I

Bolsas y Mercados Argentinos S.A. BYMA


13 videos • 58.318 vistas • Se actualizó por última vez...

Reproducir... Aleatorio

Conocé el Mercado de Capitales, Parte I, es un curso online y gratuito de BYMA para aprender los conceptos básicos sobre finanzas e inversiones junto a especialistas.

Una iniciativa BYMA-FTMCA para fortalecer el

- 1 **Taller 1 - Introducción al Mercado de Capitales**
Bolsas y Mercados Argentinos S.A. BYMA • 25 k vistas • hace 7 meses
- 2 **Taller 2 - Alternativas de Inversión**
Bolsas y Mercados Argentinos S.A. BYMA • 19 k vistas • hace 7 meses
- 3 **Taller 3 - Productos de renta variable y derivados**
Bolsas y Mercados Argentinos S.A. BYMA • 15 k vistas • hace 7 meses
- 4 **Taller 4 - Productos de Renta Fija y Caución**
Bolsas y Mercados Argentinos S.A. BYMA • 11 k vistas • hace 7 meses
- 5 **Taller 5 - Fondos Comunes de Inversión (FCI)**
Bolsas y Mercados Argentinos S.A. BYMA • 8,5 k vistas • hace 7 meses
- 6 **Taller 6 - ¿CÓMO ARMO MI CARTERA DE INVERSIÓN?**
Bolsas y Mercados Argentinos S.A. BYMA • 8,3 k vistas • hace 7 meses



(vivo)

INCLUSIÓN FINANCIERA


La inclusión financiera es el estado en el cual todos los adultos tienen acceso efectivo a los siguientes productos de servicios financieros formales: cuentas, créditos, pagos, seguros e inversiones (G20's Global Partnership for Financial Inclusion).

Acceso Efectivo: implica una **prestación de servicios conveniente y responsable** que responda a las necesidades de los clientes excluidos y/o **desatendidos**, a un costo asequible para los clientes y sostenible para los **proveedores formales**.

La demostración de ese acceso efectivo es el **uso** de los productos financieros.

El mercado de capitales puede acompañar los procesos de inclusión financiera en la medida en que las personas y empresas excluidas o sub-atendidas pueden acceder a productos de inversión que satisfacen sus necesidades, potencian su uso y encuentran nuevas formas de financiamiento.

2





(vivo)


 @seminariosiosco
  @seminariosiosco

Índice de sustentabilidad

Evalúa anualmente el desempeño de las emisoras en cuatro pilares:



- Ambiental
- Social
- Gobierno Corporativo
- Desarrollo (contribución a los ODS).

Otorgándoles mayor visibilidad en el plano regional e internacional.



Vivo en **Youtube**

Jueves 05/10 17:30 hs

Tu primer Portafolio de inversión

Ya arrancamos

Tu primer Portafolio de inversión

Primero

¿Cómo arrancó?

- Conocer su perfil de riesgo de inversor
 - Realizar test del inversor
 - Comenzar con montos bajos para probar
- Definir un objetivo ¿para qué?
- Definir un horizonte de inversión

Tu primer Portafolio de inversión

Local

Merval USD

En el marco de la Semana Mundial del Inversor (SMI)

Charla abierta: “Mecanismos de resguardo del inversor en los mercados de capitales de Argentina: situación actual”

A cargo de: **CPN Ma. Paula Pioli**
Directora y gerente general de

Viernes 6/10 10:00 Hs.

Logos: INTEGRAR, CNV Comisión Nacional de Valores, FORMACIÓN BCSF, zoom

Instituciones

Que participan de Manera Directa:

- ✓ Comisión Nacional de Valores.
- ✓ Mercados.
- ✓ Agentes.
- ✓ Caja de Valores.

Otras Instituciones:

- ✓ Calificadoras de Riesgo.
- ✓ Sociedades de Garantía Recíprocas.
- ✓ Unidad de Información Financiera.

Logos: INTEGRAR, CNV Comisión Nacional de Valores, FORMACIÓN BCSF, zoom



BAHAMAS

WORLD INVESTOR WEEK 2023

National Coordinator: [Securities Commission of The Bahamas \(SCB\)](#)
Other IOSCO members: [Bahamas International Securities Exchange](#)
Other participants: [NA](#)

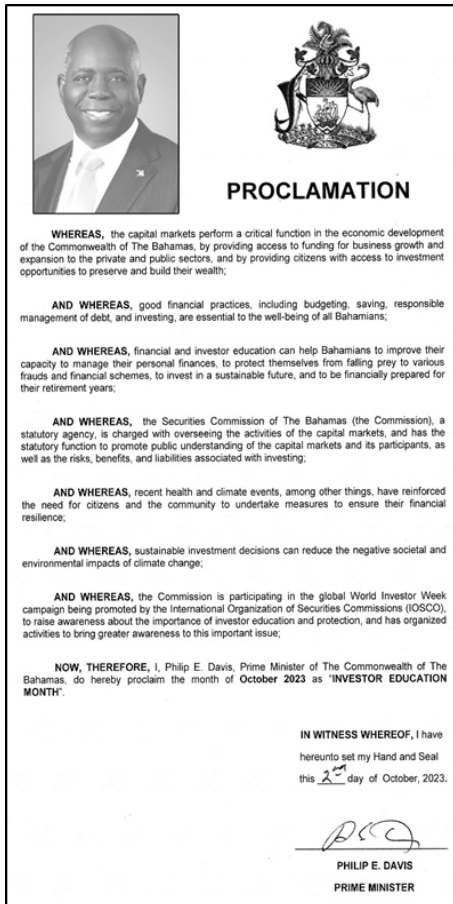
The Securities Commission of The Bahamas (SCB/the Commission) regulates and oversees investment funds, securities, the capital markets, financial and corporate service providers and digital assets and registered exchanges in The Bahamas.

The SCB’s official 2023 World Investor Week celebration was observed 2-8 October and focused on the global campaign’s themes of investor resilience, crypto assets and sustainable finance.

October 2023 Proclaimed “Investor Education Month” in The Bahamas

The month of October was designated “Investor Education Month” in The Bahamas by Prime Minister Philip Davis, KC. The proclamation served to increase awareness of investing in the capital markets, the Commission’s role in its regulation, and to emphasize the importance of financial literacy and investor education in empowering the public to make sound investment decisions. The proclamation appeared in local newspapers and on the SCB’s website and social media pages (Facebook, LinkedIn, Twitter, and Instagram).

View the proclamation here: <https://bit.ly/IEMonth2023>



Make Money Moves: A financial literacy program for teen mothers

The Securities Commission of The Bahamas launched a partnership with the Andrea Archer Institute to facilitate quarterly financial literacy training to enrolled students over the next year (2023-2024). The Andrea Archer Institute provides education opportunities and training to assist new and existing teen mothers experiencing difficulties completing school due to pregnancy. The first training took place on Wednesday 4 October. Topics included budgeting, saving, discerning between needs and wants, and debt management, among others.



From left: Nathaniel Butler CFP, Co-Founder, Drewber Solutions; Tina Wright, Securities Commission of The Bahamas; Patrice Miller, Principal, Andrea Archer Institute; LaDashia Johnson-Burrows, Securities Commission of The Bahamas; Maud Smith, Drewber Solutions

This initiative aims to equip teen mothers with the practical skills and tools necessary to make sound financial decisions.

Investor Education Seminar

To promote financial literacy and resilience among regulatory staff, while fostering a culture of financial awareness within our industry, the Securities Commission of The Bahamas hosted an Investor Education seminar on Thursday 5 October. The event was open to staff of the financial services regulators within the Poinciana House complex, where the Commission is located, as well as the Financial Intelligence Unit. This seminar consisted of four sessions:

1. Let's Get Investing - Fundamental Principles
2. Preparing For Retirement
3. Cryptocurrency – Protect Yourself
4. The Role of Home Ownership in Wealth Building Panel Discussion

Nathaniel Butler CFP, Co-Founder, Drewber Solutions, speaks at the Investor Education seminar hosted by the Securities Commission of The Bahamas



Launch of the Investor Education Newsletter Mailing List

During World Investor Week, the Commission launched a mailing list subscribing interested persons to the Investor Education newsletter, the “ie”. This quarterly newsletter will provide financial literacy tips and insights to novice investors and persons interested in shoring up their financial literacy skills.

IFIE Americas Chapter, Caribbean Working Group (CWG) Member Showcase



The Securities Commission of The Bahamas participated with CWG members in a showcase highlighting the most effective and impactful initiatives launched during previous World Investor Week celebrations. A regional showcase describing initiatives by each CWG jurisdiction was created and can be found here.

<https://www.scb.gov.bs/wp-content/uploads/2023/12/IFIE-CWG-WIW-2023-Showcase-F.pdf>

IFIE Caribbean Working Group World Investor Week 2023 Showcase report cover.

Are You a Smart Investor T-shirt Day

The Commission held a T-shirt day for its staff to help raise awareness of the global celebration of World Investor Week. Staff members wore T-shirts featuring a QR code linking to investor education content on the Commission's website.

Overall, the Securities Commission of The Bahamas is very satisfied with the 2023 World Investor Week celebrations. We look forward to fostering meaningful connections and to advancing Financial Literacy and Investor Education in The Bahamas.

BANGLADESH

WORLD INVESTOR WEEK 2023

National Coordinator: [Bangladesh Securities and Exchange Commission \(BSEC\)](#)

Other IOSCO members: [N/A](#)

Other participants: [Dhaka Stock Exchange Ltd. \(DSE\)](#), [Chittagong Stock Exchange PLC \(CSE\)](#), [Central Depository Bangladesh Ltd \(CDBL\)](#), [Central Counterparty Bangladesh Limited \(CCBL\)](#), [Bangladesh Academy for Securities Markets \(BASM\)](#), [Bangladesh Institute of Capital Market \(BICM\)](#), [DSE Brokers Association \(DBA\)](#), [Bangladesh Merchant Bankers Association \(BMBA\)](#), [Association of Asset Management Companies and Mutual Funds \(AAMC&MF\)](#), [Association of Credit Rating Agencies of Bangladesh \(ACRAB\)](#), [Bangladesh Association of Publicly Listed Companies \(BAPLC\)](#) and [Capital Market Stabilization Fund \(CMSF\)](#) .

Bangladesh Securities and Exchange Commission (BSEC) has successfully observed the 7th ‘World Investor Week 2023’ from 2nd October to 12th October 2023 (excluding weekends and national holidays) in accordance with the declaration of International Organization of Securities Commissions (IOSCO). The inauguration ceremony of ‘World Investor Week 2023’ was held in BSEC premises on 2nd October 2023. BSEC and other capital market participants arranged week-long programs focusing WIW campaign main themes namely Investor Resilience, Crypto Assets and Sustainable Finance. Capital market stakeholders, intermediaries, stakeholders’ associations & wide range of investors attended the mentioned programs.

This year BSEC observed WIW-2023 programs in both physical and virtual format. Almost 4137 (four thousand one hundred thirty seven) number of participants attended the week-long programs which is over 30% higher in number than that of year 2022. Several panel discussions were held during the sessions where the capital market experts and experienced dignitaries shared their views, and experiences with the audiences. Separate keynote papers were also presented in the programs. There were question-and-answer sessions in which a large number of general investors and stakeholders took part.

A brief of the week-long program of BSEC and its stakeholders is presented below:

Date	Organized by	Program title	Program details
02 October 2023 (Opening ceremony)	Bangladesh Securities and Exchange Commission	Investor resilience	Physical program arranged in BSEC premises. Over 440 participants attended the program both physically and virtually
11 October 2023	Bangladesh Association of Publicly Listed Companies (BAPLC)	Crypto Assets and Financial Technology: Familiarization in Bangladesh	Over 488 participants attended the program virtually
12 October 2023 (Closing ceremony)	Jointly organized by Dhaka Stock Exchange Ltd. (DSE) and DSE Brokers Association (DBA)	Sustainability Practice and Untapped Investment Opportunities	Physical program arranged at DSE Training Academy, DSE Tower, Nikunja2, Dhaka. Over 1552 participants attended the program both physically and virtually.

The following notable topics and recommendations were addressed during this week-long program organized by BSEC and its stakeholders.

Topics discussed:

1. Potential sustainable agribusiness sector, sustainable Cottage, Micro, Small and Medium Enterprise (CMSME), and the prospect of transition financing in Bangladesh for the coming days ahead;
2. The significance of data and challenges of dealing with frauds and scams to protect the assets of investors;
3. The significance of collaborating with GRI (Global Reporting Initiatives) and active engagement of stock exchanges to promote improved ESG (Environmental, Social and Governance) disclosures and performance among listed companies to achieve SDG's;
4. Concepts on blockchain-based securitization, trade matching & confirmation, clearing & settlement and central clearing solutions for Bangladesh.

Given importance on the following matters:

1. The existence of an efficient and ICT-based complaint redress ecosystem for ensuring a fair and secure investment environment in the capital market of Bangladesh;
2. Sustainable reporting for good governance and transparency in a company. Among the 356 listed companies on the Dhaka Stock Exchange, 14 companies adhere to GRI (Global Reporting Initiatives) frameworks. In this context, a recommendation for making sustainability reporting an integral part of the annual report is expected;
3. Providing necessary workshops, technical sessions and 360 degree support for publishing sustainability reports by joint initiatives of the stock exchange and GRI;
4. Issuance of green bonds for government green energy projects and encouraging MNCs and government-owned companies and funds to invest in Green bonds;
5. Promotion of blue economy by issuing blue bonds with government projects;
6. Promotion of social bonds by government agencies;
7. Enabling top-quality issuers to encourage foreign ESG funds to invest;
8. Exchanges together with its partners to strengthen ESG initiatives and focus more on ESG compliance factors;
9. Tax incentives for both ESG issuer and investor;
10. The issuance of Green Mutual funds and Green ETFs for ESG-focused investors.

Recommendations placed for:

1. Formation of a joint committee, chaired by the BSEC in participation with Bangladesh Bank (BB), National Board of Revenue (NBR) and Ministry of ICT for formulating regulatory framework for creating, owning, transferring, trading and taxation related to Cryptocurrencies, Tokenized assets, DeFis and DApps;
2. The formation of a technical committee for the issuance of digital bonds using Blockchain technology;
3. Introducing sustainable bonds to meet the long-term development financing needs of the country;
4. Evidence-based policy decisions when bonds are floated in the future;
5. Exploring the usage of GRI services such as Materiality Disclosure and Content Index and participation of listed companies in GRI community;
6. Exploring minimum ESG investing provision for each private equity/ venture capital fund registered under BSEC;
7. Incorporating green rating provisions in credit rating rules to ensure credibility to the ESG investors;
8. Introducing a new product titled Real Estate Investment Trust (REIT);
9. Incorporating knowledge content regarding money management, budgeting, and retirement planning for general investors in the BSEC's financial literacy strategy.



Emeritus Professor Nazrul Islam, Former Chairman, University Grants Commission of Bangladesh, attended the opening ceremony of WIW 2023 as Chief Guest



Chairman of Bangladesh Securities and Exchange Commission, Professor Shibli Rubayat-Ul-Islam presided over the opening ceremony of the WIW2023



Honorable Commissioner Dr. Shaikh Shamsuddin Ahmed was present as Guest of Honor at WIW 2023 program arranged by Association of Asset Management Companies and Mutual Funds on October 03, 2023 at Conference Hall, Shailopropat, Parjatan Bhaban, Aargaon, Dhaka



Dr. Hasan Mahmud, MP & Honorable minister, Ministry of Information and Broadcasting, Govt. of the People Republic of Bangladesh attended WIW 2023 program October 05, 2023, organized by Bangladesh Merchant Bankers Association at University of Dhaka (Faculty of Business Studies)



Closing program of WIW 2023 organized at DSE Training Academy, DSE Tower, Nikunja2, Dhaka

BELGIUM

WORLD INVESTOR WEEK 2023

National Coordinator: [Financial Services and Markets Authority \(FSMA\)](#)

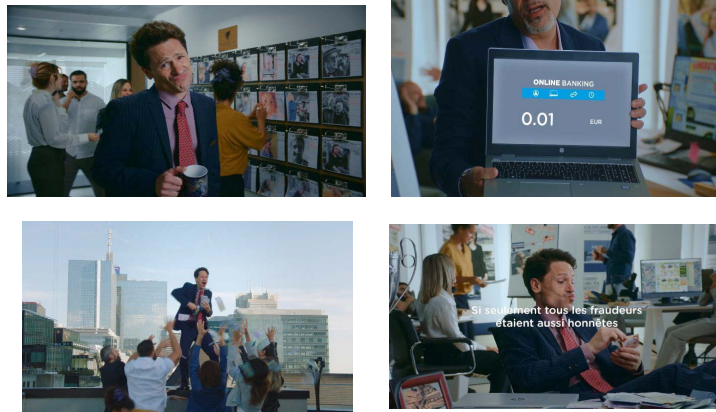
Other IOSCO members: [n/a](#)

Other participants: [n/a](#)

During the seventh edition of World Investor Week, the FSMA carried out various activities:

- The FSMA launched a nationwide anti-fraud campaign aimed at raising awareness about investment fraud and fraudulent providers. A series of tongue-in-cheek videos^[1] and online ads in both Dutch and French armed the public with tips on how to avoid traps set by scammers as well as how to remain critical of fraudulent offers. Audiences were also encouraged to take the fraud test on www.wikifin.be, the FSMA's financial education website. The campaign ran for six weeks, over the course of which it successfully reached nearly 3.7 million impressions across various platforms such as TV-on-demand, Facebook, Instagram and YouTube.
- The campaign led to an 80% increase in the number of consumer questions the FSMA received in October and November 2023, as compared to the same period the previous year. These included questions from consumers who had doubts about a possibly fraudulent offer as well as reports of actual cases of fraud. This considerable increase emphasizes the importance of raising awareness of investment fraud and attests to the trust that consumers place in the FSMA.
- The launch of the anti-fraud campaign also saw great interest from the Belgian press. The campaign featured in nearly 40 newspapers, online news articles, and television and radio newscasts.
- In addition to the campaign, the FSMA also actively posted a series of organic posts on its LinkedIn and Twitter accounts, drawing investors' attention to investment fraud and the basic principles of investing without taking undue risks while also covering topics such as recovery room fraud and cryptocurrencies.
- In his capacity as IOSCO Chair, the FSMA's Chairman Jean-Paul Servais delivered a prerecorded message for the Ring the Bell for Financial Literacy ceremony in Warsaw (Poland): <https://www.youtube.com/watch?v=dtvBEDplzSQ>
- On the occasion of the launch of WIW and the EU/OECD framework for youth financial literacy, the FSMA welcomed Carmine Di Noia, Director for Financial and Enterprise Affairs at the OECD, at the Wikifin Lab, the FSMA's interactive center for financial education. Mr. Servais and Mr. Di Noia jointly recorded a [video message](#) to mark the occasion.

- Throughout the week, the FSMA prominently featured the WIW logo on its homepage and disseminated two press releases announcing the initiative in Dutch, French and English (www.fsma.be).



Stills from the main campaign video 'If only all frauds were that honest'



Selection of social media ads



Video message by Jean-Paul Servais during the Ring the Bell ceremony in Warsaw, Poland



The FSMA's awareness campaign featuring on Belgian television

[1] Main video NL (30"): <https://youtu.be/rCf-fMe6Y> - Crypto NL (15"): <https://youtu.be/3ZpysLMrutM> - Recovery room NL (15"): <https://youtu.be/NnchQVutE04> - Boiler room NL (15"): https://youtu.be/9bEtAq0_Og4
Main video FR (30"): <https://youtu.be/PdJGUtTtZK0> - Crypto FR (15"):
<https://youtu.be/EhqWN6CdQD4> - Recovery room FR (15"): <https://youtu.be/Z8QAFiG5iPo> -
Boiler room FR (15"): <https://youtu.be/iRi8AJNVdL4>

BRAZIL

WORLD INVESTOR WEEK 2023

National Coordinator: [Comissão de Valores Mobiliários \(CVM Brazil\)](#)

Other IOSCO members: [Banco Central do Uruguai](#), [Comissão Nacional Bancária e de Valores do México](#)

Other participants: [B3 - Brasil Bolsa Balcão](#), [BID](#), [LAB - Financial Innovation Lab](#), [ABCripto](#), [ANBIMA](#), [PLANEJAR](#), [MEC](#), [S&P](#), [SEBRAE](#), [SICOOB](#), [CRESOL](#), [ABAI](#), [Fundação MUDES](#)

Campaign Dates (From/To): [Oct 2nd, 2023](#) – [Oct 8th, 2023](#)

The IOSCO World Investor Week 2023 (WIW) campaign focused on investor resilience; crypto assets; and sustainable finance. This year's campaign was complemented by the following themes: fraud and scams prevention; basics of investing; and technology and digital finance.

The initiatives targeted different segments of the population: investors; potential investors; market professionals, entrepreneurs; startups; young people and teenagers; as well as vulnerable groups and minorities.

On October 2nd, the Ring the Bell Ceremony at B3 marked the launch of the event, with the participation of the Chairman of the Securities and Exchange Commission Brazil (CVM), João Pedro Nascimento, the Vice President of Products and Customers of B3, Juca de Andrade, and the Superintendent of Financial Services of the Central Bank of Uruguay, Juan Pedro Cantera.

In addition, Lucia Buenrostro, Chairwoman and Vice President for Regulatory Policy of the National Banking and Securities Commission of Mexico, was among the esteemed guests who participated in the ceremony. She connected with the audience virtually and delivered a speech on the importance of the WIW Campaign to disseminate financial education initiatives.



The CVM's Chairman praised the 7th edition of the WIW and said that the campaign continues to improve financial education and democratization of the Capital Markets. João Pedro Nascimento also highlighted the unprecedented action in this year's edition with the WIW Launch for the Inter America Region being held at Christ the Redeemer Statue, in Rio de Janeiro.

Altogether, 1,273 initiatives were carried out in Brazil and the total reach was 3.1 million people. The initiatives had the following distribution:

- 880 events (live broadcast events, webinars, lectures, podcasts, and training) with a direct reach of 113,904 people.
- 156 advisory/financial clinic sessions (individual and/or group meetings) with a direct reach of 864 people; and
- 237 marketing actions (social media posts, broadcasting on radio/TV and e-mail marketing) with an indirect reach of 3,031,016 people.

World Investor Week in Brazil was promoted by 59 institutions from various segments: regulators, banks, brokerage firms, cooperatives, associations, fintechs, private companies, private entities, universities, schools, public agencies, and consultancies.

Several actions and events were launched during the IOSCO WIW 2023 Campaign:

- Debates on financial education after the launch event of WIW Brazil.
- Ceremony at the Christ the Redeemer Statue.
- Launch of podcast episodes on Crypto Economy
- Launch of educational video series on Day Trade.
- Launch of the CVM Sustainable Finance Action Plan for 2023-2024.
- Various webinars on sustainable finance promoted by the Financial Innovation Laboratory (LAB).
- Global webinar on investor education and investor protection in the context of digitalization.
- Webinars on financial fraud and behavioral biases.
- Financial and entrepreneurial education courses on the *Se Liga Finanças ON* Platform.
- Personal finance and sustainability training for children.
- Webinar on the impacts of the new regulatory framework of investment advisors on retail investors
- Lectures on financial education and investments for teenagers, youth, and adults.

1. Debates on financial education during the launch event of WIW Brazil

Right after the Ring the Bell Ceremony, several panels on financial education were held in the B3 auditorium. At the opening of the lecture cycle, Nathalie Vidual, CVM's Director of Investor Protection and Assistance, emphasized the CVM's choice to work on financial literacy:

“We understand that financial literacy is a path to achieving long-awaited financial resilience. We know that Brazil has a structural education gap, which leads to low levels of financial literacy, especially among economically vulnerable groups. We cannot expect these young, future adults, to make intelligent financial decisions if we don't provide them with basic knowledge. The CVM wants to guarantee adequate financial literacy, and therefore continues to encourage financial education initiatives, such as the World Investor Week Campaign.”

Nathalie Vidual, Director of Investor Protection and Assistance at CVM

The panels consisted of the following themes:

- Financial education for people living on the peripheries (favela).
- Financial education: how to start practicing it.
- The Common National Curricular Base (BNCC) and the challenges of the Ministry of Education (MEC) in implementing financial education in schools.
- Financial Education Program in the Brazilian School System— The Program and its applicability/presentation of cases on the topic (SEBRAE).
- The Future of Education (Google).

2. Ceremony at the Christ the Redeemer Statue



On the night of October 2nd, Christ the Redeemer Statue was illuminated in blue, green, and yellow lights, representing the colors of World Investor Week.



On the morning of October 3rd, the Sanctuary of Christ the Redeemer Statue hosted an unprecedented event: a ceremony at the Archdiocesan Sanctuary of Christ the Redeemer to launch World Investor Week (WIW 2023) in the Inter-American Region. The ceremony was attended by representatives from the CVM, B3, and the Superintendent of Financial Services of the Central Bank of Uruguay, Juan Pedro Cantera.

3. Launch of podcast episodes on Crypto Economy

The CVM and the Brazilian Crypto Economy Association (ABCripto) launched four podcast episodes during World Investor Week 2023 focusing on the crypto economy.

The episodes were presented by Paulo Portinho, Assistant Director of Financial Education and Inclusion at CVM, and Bernardo Srur, CEO of ABCripto. The objective was to disseminate information on crypto origins, crypto fundamentals, regulation applied to crypto assets, and mechanisms to avoid cryptocurrency scams.

The episodes are available on the audio platforms and YouTube channels of ABCripto and CVM (@CVMeducacional). The initiative is part of a technical cooperation agreement signed this year between the institutions, intending to develop actions on financial education, in addition to the idealization of campaigns and educational materials to retail investors on new financial technologies, especially decentralized finance (DeFi) and other applications related to crypto economy, blockchain and investments in digital assets.

Podcast Episodes



Episode 1: Crypto-Origins

- Origin of crypto economy. First experiences, actors, events, and motivations that gave rise to this new ecosystem that brings challenges and opportunities to the traditional market.
- Guest: Courtney Guimarães, Chief Scientist at AVANADE



Episode 2: Crypto-Fundamentals

- Foundations, bases, concepts, and jargon of crypto economy.
- Guest: Marco Carnut, Chief Technology Officer at Zro. Bank.



Episode 3: Crypto assets within the regulated market

- How to adapt crypto assets to the capital markets rules and good practices, following guidelines from the Securities Regulator and self-regulator. How to benefit from the competitive advantages of regulated crypto assets.
- Guests: Bruno Gomes, Director of Securitization and Agribusiness at CVM, and Rodrigo Monteiro, Director of Institutional Relations at ABCripto.



Episode 4: Crypto all that glitters is not gold

- How to mitigate risks and provide best practices guidance to prevent fraud, ensuring security, investor trust and market integrity.
- Guests: Renata Mancini, Head of Compliance at Ripio Brazil, and Denis Rocho, CEO of Declare Crypto.

4. Launch of educational video series on Day Trade.



The CVM launched an educational series on Day Trade, consisting of three videos presented by Paulo Portinho, Assistant Director of Financial Education and Inclusion, who explained the myths, truth, and the odds about Day Trade when used to generate income.

The first video presents the results of a joint research conducted in 2019 by the CVM and the Getúlio Vargas Foundation (FGV), which covered 130,000 people who carried out regular Day Trade operations between 2012 and 2017.

The second video in the series approaches the topic based on statistical theory (an experiment known as: “gambler's ruin”) and the third video comments on points that were not captured by research and theory, but that demonstrate the risks of carrying out Day Trade operations to complement or generate income.

The series is available on the @cvmeducacional account, on YouTube platform.

“The objective of this series is to show investors the risks of ‘Day Trade for a living’, as this practice of carrying out regular Day Trade operations to generate income is usually called. With information and knowledge, investors can better analyze the practice and make safer and more conscious decisions, without the influence of colleagues and third parties. It is very important to note that the series does not address other Day Trade strategies, such as swing trade, sporadic day trade, or long short strategies”.

Paulo Portinho, Assistant Director of Financial Education and Inclusion at CVM

5. Launch of the CVM’s Sustainable Finance Action Plan for 2023-2024

The Securities and Exchange Commission of Brazil (CVM) launched its **Sustainable Finance Action Plan** in October 2023. The Action Plan is an executive document consisting of five key pillars which translate into 17 initiatives that must be concluded by the end of this year.



The scope of the Action Plan includes:

- Regulatory initiatives, to improve transparency and disclosure requirements. The endorsement of the IFRS S1 and S2 (Sustainability Standards) was part of the Action Plan.
- Other initiatives are related to thematic supervision to give guidance and provide best practices orientation to improve data quality and integrity.
- Initiatives to scale up sustainable finance products. This includes the integration of ESG factors into sustainability policies. The development of new products and guidance on the use of the Blended Finance mechanism
- Capacity Building Programs.

Access the [Plan](#) and check out its 17 sustainable initiatives for the 2023 – 2024 period.

6. Financial Innovation Laboratory (LAB) webinar series



The LAB (<http://www.labinovacaofinanceira.com/>) promoted a series of webinars focusing on financial innovation and sustainable finance, such as:

- Regtech and contributions to the Taxonomy: main trends and opportunities.
- Use of Distributed Ledger Technology in the ESG agenda.
- Sustainable market development in LAC: taxonomies and the Green Securities Transparency Platform.
- Perspectives for sustainable funds in Brazil.
- Regulation of the cryptocurrency market.
- Prevention of electronic fraud: protection strategies and measures.
- How to achieve your investment objectives in the face of adversity.

- How to use ESG aspects when choosing financial investments.
- Taxonomy for sustainable finance.
- Infrastructure in carbon markets: the origination, registration, and negotiation of carbon credits.
- How to align your portfolio with a net-zero trajectory: metrics for evaluating the goals and credibility of transition plans.
- Green taxonomy and disclosure of information in the capital market.
- LAB Track: Climate and Biodiversity in Finance -> Agricultural biodiversity: financial innovations for the sector.
- Sustainable Finance Disclosure Regulation (SFDR) opportunity for Brazilian resource managers.
- What is a high-quality environmental disclosure? A balance sheet to promote best practices.

7. Global webinar on investor education and investor protection in the context of digitalization



The digital transformation brought significant changes to the financial landscape, creating opportunities and challenges for consumers, investors, and regulators. This international webinar discussed the benefits and risks associated with digital financial services and digital assets.

The speakers shared their insights and experiences on how to adopt responsible financial behaviors in the context of digitalization.

The webinar was moderated by Nathalie Vidual, Director of Investor Protection and Assistance, CVM Brazil. The panelists were:

- Daniel Maeda, Director, Office of Institutional Investor Supervision – CVM – Brazil
- Gerard Fitzpatrick, Senior Executive Leader, International at ASIC – Australia
- Dr Joseph Lee, reader in law in the school of law at the University of Manchester UK
- Dr. Linda Biek, Director, IESBA (International Ethics Standards Board for Accountants)

8. Webinars on financial fraud and behavioral biases.





The Securities and Exchange Commission of Brazil (CVM) and the Brazilian Financial Planning Association (PLANEJAR) promoted a [webinar on pyramids and frauds](#) at WIW 23.

Frauds always have similar characteristics. They are filled with promises of guaranteed earnings and unreasonably high short-term profits. It often points out an asset that arouses great curiosity or that is highly desired. Where to check if the transaction is legitimate? How can we learn from past experiences and be aware of new types of financial scams?



[How does your brain influence investment decisions?](#) This was the topic of another webinar sponsored by the CVM at WIW 2023.

The event addressed the main factors and behavioral biases that influence investors in investment decision-making.

Finally, the event made available for complementary reading the [CVM Behavioral Series](#) material that addresses financial behavior from different perspectives: investor; saver and consumer.

9. Financial and entrepreneurial education courses on the Se Liga Finanças ON Platform



The Sicoob Institute promoted courses on financial education and entrepreneurial education during World Investor Week on the [Se Liga Finanças ON](#) Platform. Hundreds of users benefited from the platform's two free online courses: Personal Finance and Finance for Individual Micro Entrepreneur.

The Personal Finance course covered the following topics: relationship with money; personal budget; debt and indebtedness; life plans; basics of economics; and introduction to investments.

Regarding the Finance for Individual Micro Entrepreneur course, the topics covered are concepts; macroeconomic indicators; financial management; and credit for Individual Micro Entrepreneur.

10. Personal finance and sustainability training for children



The Sicoob Institute makes [Financial Collection Books \(Coleção Financinhas\)](#) available to children and young people from 6 to 14 years old in Portuguese, English, Spanish and Pomeranian versions.

In a playful and intelligent way, the stories address concepts about financial education and sustainability and present reflections that contribute to the formation of well-informed generations able to make conscious, sustainable, and responsible decisions regarding the

use of money.

In addition to classroom activities, students also apply knowledge in everyday situations, making the learning process more efficient when it comes to the use of money and conscious management of resources.

11. Webinar on the impacts of the new regulatory framework on investment advisors to retail investors



On October 3rd, CVM, the Brazilian Association of Investment Advisors (ABAI), and its associate Liberta Investimentos held a [webinar](#) to address several enhancements to the CVM Resolutions 178 and 179 and their impact on the investment advisory industry, such as the end of exclusivity, director responsible for the activity, business partnership and investor transparency, among other news.

12. Lectures on financial education and investments for young apprentices and university students

The CVM, in partnership with the MUDES Foundation and PLANEJAR, promoted five lectures on financial education for young apprentices from the MUDES Foundation. The lectures were held by certified financial planners (CFPs) from PLANEJAR.

In addition, CVM, ANBIMA and partner universities organized a series of lectures on investments and financial fraud for university students. Altogether, five universities participated in the initiative: Mauá University; Federal University of Uberlândia; Mackenzie University; Unicesumar; and Federal University of Mato Grosso.



Cresol also promoted several initiatives on financial education and investments at the WIW 2023. A series of lectures on financial education was conducted by Cresol Goiás for 450 teenagers and young people from the municipal schools of the Orizona school system - GO. This initiative was developed in collaboration with the Local Department of Education as an integral part of the project “Economy and Donation: Attitudes that can save the Planet”.

In addition, lectures on financial education and investments were held for adults at the State University of Goiás (UEG) — Silvânia Unit.

CANADA (ONTARIO)

WORLD INVESTOR WEEK 2023

National Coordinator: [Ontario Securities Commission \(OSC\)](#)

Other IOSCO members: [Autorité des marchés financiers \(AMF\)](#) – national co-lead

Other participants: [Canadian Investment Regulatory Organization \(CIRO\)](#)

The OSC also published enhanced articles, videos and other resources about topics that included crypto assets, how inflation impacts investing, ESG investing and the impact of ‘finfluencers’ on retail investors. The need for unbiased investor education has never been greater as Canadians face increasingly complex financial challenges. The OSC’s free, unbiased education and outreach resources give people the tools to make more informed financial decisions.

Investors were encouraged to join several free events hosted by the OSC including in-person seminars, a social media chat and a Telephone Townhall. The OSC promoted the relaunch of its leading investor education website, [GetSmarterAboutMoney.ca](#), new [videos](#) focused how to avoid fraud, and the Canadian Securities Association’s [national registrant search tool](#) for checking the registration of people and businesses selling investment products and providing financial advice.

World Investor Week coincided with Investor Education Month activities in Canada. Highlights of the OSC’s World Investor Week 2023 activities included:

News Release

The OSC published a [news release](#) to announce its free investor education and outreach activities for World Investor Week (and Investor Education Month) in October.

OSC in the Community events

OSC in the Community hosted several in person events that started during World Investor Week and ran until the end of October. The OSC hosted a Telephone Townhall on October 5 focused on investor resilience, crypto assets, and sustainable finance. Telephone Townhalls are like a call-in radio show. Investors can ask questions live on the air and OSC staff provide answers and educational resources.

The OSC in the Community outreach program takes the OSC’s important regulatory mandate from Bay Street to Main Street. OSC staff present to community groups and organizations about making informed financial decisions and avoiding investment fraud. It also gives retail investors an opportunity to ask questions and provide feedback on their experience as an investor in Ontario.



OSC in the Community event

Investor News Newsletter

The OSC published an edition of its [Investor News](#) newsletter in English and French during World Investor Week. The top stories for that issue included: [Promoting Investor Education Month](#), [Understanding fund Facts](#), and [How to make a financial plan](#). The fifth most clicked newsletter link was to a new video called [What is ESG investing?](#)



Investor News promoted the complete calendar of investor education activities. Investor News has informative articles with the latest investor education resources, fraud prevention tips, regulatory updates and investor warnings.

Twitter Chat

The OSC hosted a Twitter chat that focused on spotting and avoiding investment scams. There were 14 special guests from law enforcement, regulatory organizations, self-regulatory organizations, industry associations and community groups. The one-hour event brought together the themes of World Investor Week under the hashtag, #FraudChat, which generated more than six million impressions. Participants amplified key messages and shared important tips and resources.



Seen 6.1M times

14 special guests

1 hour event



The OSC hosted a #FraudChat on Twitter.

Social Media

A comprehensive social media education plan was developed for the OSC’s investor education Facebook and Twitter accounts. It included 62 social media posts with IOSCO World Investor Week key messages and over 164k total impressions. The resources shared during the week included financial calculators and quizzes, articles, educational videos, and tips for investors.

Seen 164k times

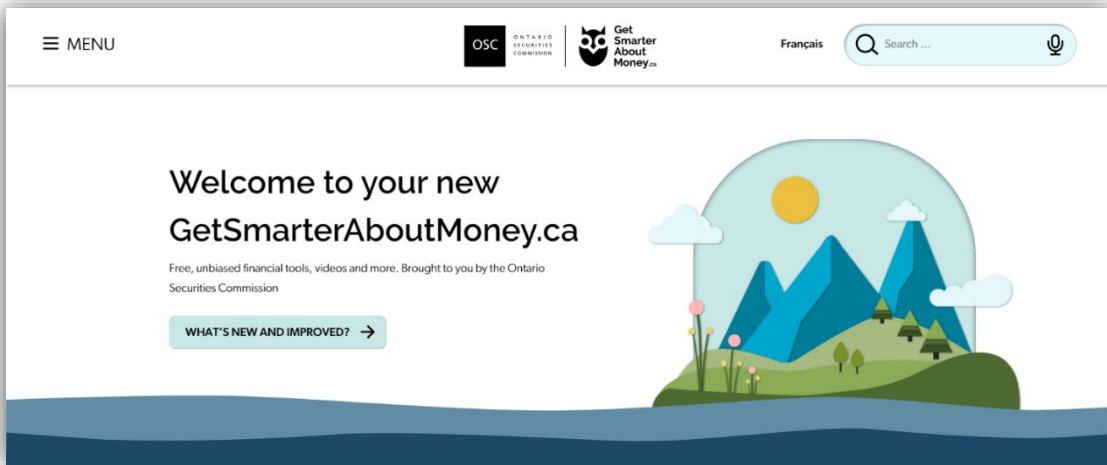
X (Twitter), Facebook, and LinkedIn social media analytics for World Investor Week.

Social Media Channel	Number of posts	Impressions/Reach	Engagement Rate
X (Twitter)	56	159,744	3.90%
Facebook	6	4,557	0.79%
LinkedIn	1	29,000	0.77%

GetSmarterAboutMoney.ca relaunch

To emphasize the importance of the World Investor Week themes, the OSC shared resources from its newly relaunched investor education website, [GetSmarterAboutMoney.ca](https://www.getsmarteraboutmoney.ca). This included sharing articles and other resources focused on investor resilience, crypto assets, sustainable finance, and fraud prevention.

GetSmarterAboutMoney.ca now includes new artificial intelligence tools to curate content for users, the latest accessibility features to exceed WCAG2.2 guidelines and significant design innovations such as a text-to-voice reader and on-site voice search. New content was also added, including a section about the [psychology of investing](#) to help people understand what may be influencing their financial decision making. The [Investing Basics – Getting Started learning path](#) was featured to help new investors learn the basics of investing.



Recently relaunched GetSmarterAboutMoney.ca

To help educate investors about crypto, the OSC promoted a [new video](#) about how to spot and avoid crypto scams. To increase sustainable finance awareness the OSC launch two new videos about [environmental, social and governance](#) (ESG) investing and [greenwashing](#). With inflation being top of mind for investors, the OSC also promoted materials about the importance of [saving](#) and [investing](#) during inflation. The Director of the Investor Office also highlighted the importance of investor education as innovations in AI technology leads to new business opportunities for the financial industry and potential benefits for investors, but also posing challenges and risks that need to be addressed in an effective way through an [AI translated video](#) that enabled him to speak Spanish, Mandarin, German, Hindi, Italian, and Japanese.



"How to spot and avoid crypto scams" video



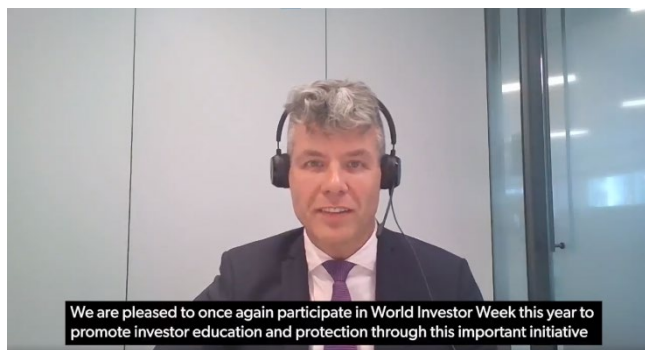
"What is greenwashing?" video



"Saving during inflation" video



"Investing during inflation" video



"AI translation" video

CANADA (QUEBEC)

WORLD INVESTOR WEEK 2023

National Coordinator: [AMF Quebec](#)

Other IOSCO members: [n/a](#)

Other participants: [n/a](#)

Campaign Date: [Oct 2 – Oct 8](#)

During IOSCO-WIW 2023, AMF Quebec has increased its presence on social media by sharing IOSCO-WIW key messages to investors. Posts were related to investor resilience, sustainable finance, the risks associated with crypto assets, as well as the risk of fraud.

IOSCO-WIW Global Webinar on Investor Resilience moderated by the AMF Quebec

AMF-Quebec has taken an active role in the Global Webinar on Investor Resilience. Mr. Camille Beaudoin, Director of Financial Education Expertise and Partnerships has moderated the event. Distinguished speakers have covered the Investor Resilience in the context of Regulatory response (Gerard Fitzpatrick, ASIC), financial well-being recent work at the OECD (Chiara Monticone), some recent FINRA Foundation (Gary Mottola) research showing that new investors who joined the markets in 2020 remained active investors during a volatile period (2020-2022), and the role of professional financial planning as a pathway to improving financial resilience (Eloise Duncan, Financial Resilience Institute).

Webinar on Financial Education tools & programs addressed to Financial Advisers.

Financial advisers remain the first reference for investors wishing to increase their financial literacy. Under the leadership of Anne-Bianca Morissette, AMF Quebec has developed a webinar specifically designed to inform financial advisers of all the financial education tools and programs they can use and relay to their clients. This webinar offers 1 continuous training unit and is free of charge. AMF Quebec Launched the webinar during IOSCO-WIW 2023. This webinar was a huge success in terms of participation and is now offered on a regular basis throughout the year.

Social media

Through social media, AMF Quebec encouraged investors to inform themselves by consulting its related tools and Web contents:

- [Tips for better investments](#)
- [Register of firms and individuals authorized to practice](#)
- [Cryptoassets: What you need to know](#)
- [List of platforms registered with the AMF](#)
- [Fraud prevention](#)

Active WIW 2023 campaign on social media (Facebook, Twitter/X, LinkedIn)

Facebook and LinkedIn cover image during WIW 2023:



A few social media posts during WIW 2023:



X

Social media results for WIW 2023

	Facebook	Twitter/X	LinkedIn	Total
Impressions (Number of times the WIW content has been displayed)	4,892	1,148	3,167	9,207
Clicks	128	11	85	224

AMF Quebec has enjoyed taking part to the WIW IOSCO's working group and enjoyed as well promoting IOSCO WIW 2023 among investors.

The AMF Quebec invited Canadian provincial and territorial Securities commissions to support IOSCO-WIW. Among them, the [Manitoba Securities Commission](#) and the [New-Brunswick Financial Consumer Services Commission \(French\)](#) gladly accepted the invitation.

AMF Quebec is looking forward to IOSCO WIW 2024 edition.

CHILE

WORLD INVESTOR WEEK 2023

National Coordinator: [Comisión para el Mercado Financiero \(CMF\)](#)

Other IOSCO members: [n/a](#)

Other participants: [Ministerio de Hacienda](#) [SERNAC](#) [Banco Central de Chile](#)

During last year's World Investor Week, the CMF launched a dedicated website for the 2023 World Investor Week, offering valuable resources that highlight the themes of financial resilience and sustainable finance. This initiative provided a platform for individuals to access information and resources aimed at improving financial literacy and understanding of sustainable financial practices.

The inauguration saw the participation of distinguished guests, including the President of the Commission, Solange Berstein, highlighting the importance of the occasion. Additionally, the event was graced by the presence of key figures such as the Minister of Finance, Mario Marcel, the General Treasurer of the Republic, Hernan Nobizelli, and the National Director of SERNAC, Andrés Herrera, among others.



This assembly of authorities underscored the collaborative effort to enhance financial literacy and protect investors, reflecting the CMF's proactive approach in fostering a more informed and financially savvy community.

Semana Mundial del Inversionista (World Investor Week, WIW)



Compartir:     

Desde el 2 al 8 de octubre de 2023 se celebra la séptima edición de la Semana Mundial del Inversionista (**World Investor Week, WIW**), iniciativa promovida a nivel internacional por la Organización Internacional de Comisiones de Valores (IOSCO, por sus siglas en inglés) y de la cual participa la Comisión para el Mercado Financiero.

La Semana Mundial del Inversionista busca promover la educación financiera y protección de los inversionistas y en quienes estén interesados en comenzar a invertir, incentivando una mayor conciencia, por parte de las personas, de los riesgos y alternativas que deben considerar al momento de invertir. Este año en particular, el foco de la Semana Mundial del Inversionista está en la **resiliencia financiera** y las **finanzas sostenibles**.



 Evalúa nuestro contenido 

CHINA

WORLD INVESTOR WEEK 2023

National Coordinator: China Securities Regulatory Commission (CSRC)

Other IOSCO members: n/a

Other participants: n/a

In order to improve investor literacy in securities and futures investment, deepen international exchanges and cooperation, and further consolidate the experience of investor education and protection, the China Securities Regulatory Commission (CSRC), as a member of the IOSCO Committee 8, held the 2023 campaign for the World Investor Week (WIW) around the two themes of "investor resilience" and "sustainable finance" in October 2023, organizing all CSRC local bureaus and affiliated entities as well as relevant market entities to participate.

The campaign saw nearly 22,000 activities carried out and an accumulated participation of more than 42.92 million. Nearly 10,600 kinds of original investor education products were produced, 830,000 original physical investor education products were distributed, and over 545 million clicks were made on the electronic version of products, creating favorable educational results.

I. Organizing knowledge popularization activities focusing on investor resilience

The participating entities organized various publicity activities, to help investors enhance awareness against illegal securities and futures activities and get a better understanding of concepts such as investor appropriateness and rational investment. The aim is to guide investors to comprehensively know their rights, actively exercise their rights, and protect their rights according to law, so as to improve their overall experience investing in the market.

Firstly, display relevant risks to raise awareness. Special columns and investor education zones were set up to show common fraudulent activities such as stock recommendation by unqualified personnel, fabrication and dissemination of disinformation for market manipulation, as well as insider trading, through various forms such as comic and financial knowledge quizzes. Besides, special programs were co-produced with local radio and television stations and newspapers, and were transmitted through platforms such as social media, so that a broader audience could be reached and be alerted of those fraudulent risks.

Secondly, organize interactive sessions to further build capacities. Lectures, investor salons, fun pop quizzes and game events were organized to help investors build capacities such as risk identification. There were also trainings organized specially for dispute mediation, which were co-produced with local courts, to dive deep into real life dispute cases and help investors better grasp the ways of preventing such disputes. Also, case analysis were made around investors' exercise of rights so as to guide investors to protect their own rights in a lawful and orderly way.

Thirdly, organize activities targeted at investment appropriateness, echoing the relevant new requirements under the registration-based IPO reform in China's capital market. Through symposiums, special training and other means, market institutions were guided to actively explain appropriateness related information to investors for them to better know the risks and protect themselves.

At the same time, animation videos were produced to help illustrate the newly emerged requirements and necessity of appropriateness matching due to the market-wide registration-based IPO reform, to guide investors to select financial products that match their own risk capacity, and further deepen the mindset of "Caveat Emptor".

Fourthly, embed investor education contents in the school curriculum. The CSRC has all along cooperated with universities to open courses relating to capital market investment and risk management. This time, the topics of 2023 WIW were also added to the relevant courses to help students further establish a correct view of financial investment and shun financial fraud risks.

Fifthly, extend the radius of publicity based on daily supervision and enforcement activities. The CSRC will guide relevant entities to conduct ongoing investor education in the process of case investigation and use typical cases for deterrence and education, so that the relevant parties involved in this process could all be covered by the educational activities.

II. Organizing activities centered on sustainable finance

Combining with the CSRC's work on sustainable finance, the participating entities organized various investor education activities focusing on sustainable finance, such as popularization of relevant knowledge, organizing tours to listed companies in the green industry, and holding training sessions for investors on reading ESG reports of listed companies, so as to deepen the understanding and consensus of investors on China's green finance.

Firstly, popularize knowledge on sustainable finance and enhance the awareness of green investment based on the overall logic of value investing. The organizers produced investor education products such as on-site posters to help investors understand the basic concepts and methods of ESG investment. Besides, listed companies, market institutions and ESG experts were invited together to discuss hot topics in this area, to help build up an industry consensus.

Secondly, organize tours to listed green companies and improve investor relations management in this area. The CSRC entities organized a series of activities under the project "Go Inside Listed Companies" to help investors understand the current situation of China's green industry development by communicating with company high management and paying on-site visits to production bases. They also organized several listed companies to convene ESG thematic training sessions, during which the companies answered questions from investors on ESG and other related issues, responded to some market concerns, and helped investors read social responsibility reports and ESG reports of listed companies.

Thirdly, produce green finance radio programs and promote the implementation of the concept of sustainable development. Focusing on four themes of "green finance", "green industry equity financing", "what is green bonds", and "the development of the green bond market", the CSRC, jointly with the Voice of Economy of CCTV (China Central Television), produced four issues of radio programs called "Into Green Finance", which made targeted explanations on the concept of green

finance and its development mode, the development of green industry equity financing, and the characteristics of green bond products and their development. The accumulated tuning-in reached 1.6 million times.

III. Exploration of new models and themes for investor education

During the WIW, all the CSRC entities actively innovated the forms of investor education and carried out a series of special activities with high quality. For example:

(1) Cooperate with the CCTV Financial Program Center to jointly organize the "Voice of the Economy - Investor Education in 100 Cities" kick-off ceremony, where guests deeply discussed two major themes of "the status of China's investor education and the road to high-quality development", "investor resilience and sustainable finance";

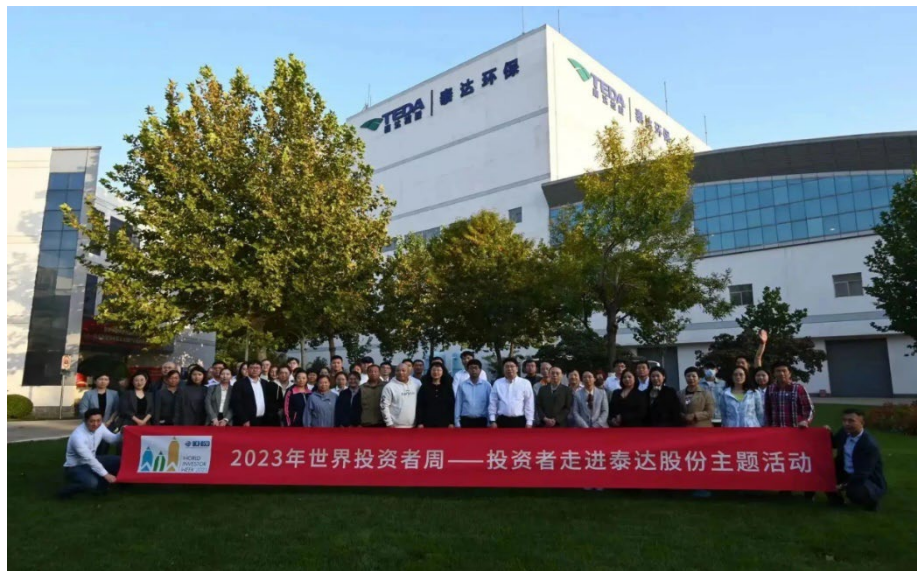
(2) Echoing the 10th anniversary of "The Belt and Road Initiative", in multiple educative sessions, the role of the capital market in serving the high-quality development of "The Belt and Road Initiative" was highlighted;

(3) Cooperating with Xinhua Distribution Group, the CSRC invited university professors and chief editors of financial publications to recommend financial books for investors, focusing on topics of "investor's risk resilience" and "the impact of financial thinking on investors".

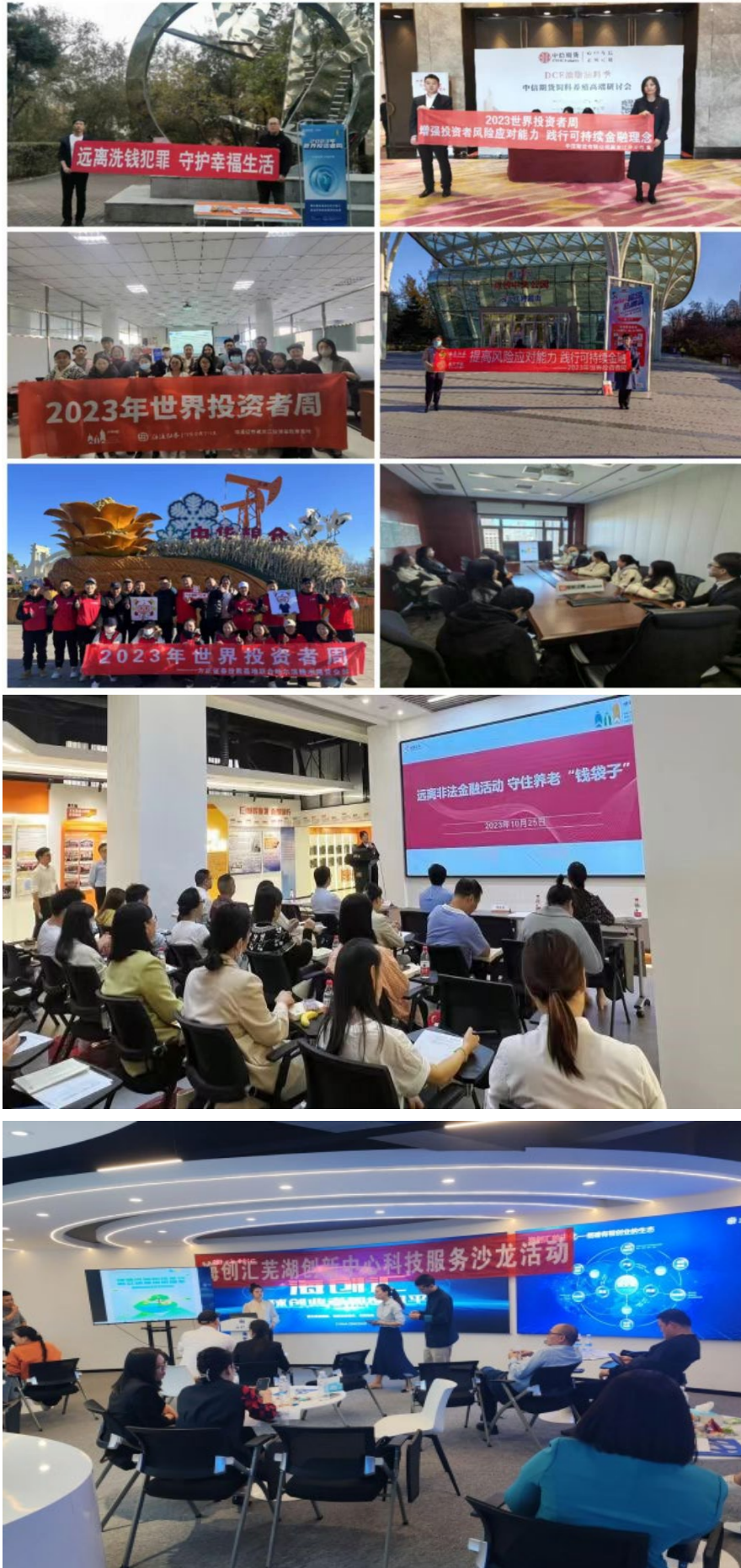
(4) Go into the communities to carry out "Chongyang Festival"(traditional Chinese festival for the elderly) activities, to offer special help to the elderly groups to be vigilant to financial scams such as those conducted in the name of "elderly life support", and guard their "money bags" safe.

Going ahead, the CSRC will persistently popularize the knowledge of securities and futures investment, strengthen the exchange and sharing of investor education experience, and continuously enhance investors' trust and confidence in the market.

Selected Photographs of CSRC World Investor Week 2023 Activities:







方正证券 | 投资者教育基地
 FOUNDER SECURITIES | INVESTOR EDUCATION BASE

世界投资者周

I-O-I
 INVESTOR
 WEEK 2023

10月26日，方正证券投教基地参加了由湖南证监局、湖南省证券业协会、株洲市中级人民法院、湖南工业大学等单位组织，围绕“投资者风险应对能力”和“可持续金融”开展的“2023年世界投资者周”宣传教育活动。以游园打卡集赞的方式吸引高校师生踊跃参与活动，为他们科学揭示各类金融产品风险，引导他们识别和防范非法证券活动，普及投资者适当性管理相关法律法规和金融基础知识。

广东金融学院
 兴业证券 | 投资者教育基地
 XINGYE SECURITIES | INVESTOR EDUCATION BASE
 中国工商银行
 绿色·数字金融社群

“2023年世界投资者周” 探秘北交所投资者适当性新规

主办单位：兴业证券、广东金融学院、方正证券股份有限公司
 协办单位：海联华宇北交所研究中心、兴业证券投资者教育基地、绿色·数字金融社群（南京学院）
 2023年10月

2023闽江学院“兴业证券杯”金融创新大赛暨工行杯大学生金融科技创新选拔赛





CHINESE TAIPEI

WORLD INVESTOR WEEK 2023

National Coordinator: Financial Supervisory Commission (FSC), Chinese Taipei

Other IOSCO members: Taiwan Stock Exchange Corp. (TWSE); Taipei Exchange (TPEX); Taiwan Futures Exchange (TAIFEX)

Other participants: Securities & Futures Institute (SFI); Securities and Futures Investors Protection Center (SFIPC); Taiwan Depository & Clearing Corporation (TDCC); Taiwan Securities Association (TSA); Securities Investment Trust and Consulting Association (SITCA), Chinese National Futures Association (CNFA)

Campaign Dates: Oct 2 – Oct 8

The FSC of Chinese Taipei participated in the 2023 World Investor Week (WIW) held by the International Organization of Securities Commissions (IOSCO). The aim of the event was, through the participation of competent authorities around the world, to educate investors and enhance their self-protection competencies.

The FSC has played an active and important role in educating the public to pay attention to investment risks in a rapidly changing market due to inflation, war, and geopolitics. The themes of this year's WIW are investor resilience, crypto assets, sustainable finance, fraud and scam prevention, basics of investing and technology, and digital finance, etc. Investor education events and activities, targeting the general public, college students, seniors, indigenous people, new immigrants, and financial practitioners, were planned by the SFI and held in cooperation with other participants. More than 933 thousand people were reached. Key achievements are as follows.

- The number of investor education activities held during the WIW week, including financial knowledge and investment lectures, online quizzes with prizes, and investor rights and interests conferences, totaled 51.
- Investor education and protection related information and documents were posted on dedicated webpages, including TWSE "Investor Knowledge Network", TPEX "2023 Anti-investment scams promotion activities", TAIFEX "Learning Futures during Quarantine Time Website", TDCC "Fund Clear platform" and CNFA "Legal futures trading".
- Published investor education and promotional documents, such as manuals, posters, and e-book education documents, including "2023 Investment Rights" promotional materials, securities knowledge comics, and publication of promotional articles related to investor rights in financial magazines, etc.
- To raise public awareness of the WIW, SFI hosted online promotional activities through social media (Facebook) during October.

Active WIW 2023 campaign on Website and social media:

Events were launched during IOSCO WIW 2023, such as:

No	Events	Describe
1	<p>2023 International Asset Management Forum II</p> 	<p>In response to the "2023 World Investor Week" activities, the 2023 International Asset Management Forum II focused on "resilience VS digitalization VS sustainability, creating a new future for investment and financial management". TWSE, SITCA, FPAT, etc. jointly held the forum and invited finance scholars from world-renowned financial institutions and domestic financial industry professionals to exchange cutting-edge investment knowledge and strategies opinions, enhance participants' financial discernment capabilities, and lead everyone to face the dual challenges of digitalization and sustainable finance.</p>
2	<p>2023 Financial Elite Camp Award Ceremony</p>  	<p>TPEX organized elite seed cultivation camps for college students to enhance student's ability to identify investments and financial management and held award ceremonies.</p>

3 "Futures knowledge that young people must possess" general course



To promote the knowledge of futures, TAIFEX and National Chengchi University held “The knowledge of futures for the youth classes”.

4 Visit to Taiwan Stock Museum



Taking the history of the emergence and evolution of stocks as its theme, the Taiwan Stock Museum displayed the close relationship between the stock market and Taiwan's economic development and provided young students with an understanding of the evolution of stocks.

5 Published article in “Business Today”; “Marbo Weekly”



SFIPC published articles in various print media for advocating various topics relating to investor protection and reminding investors to develop correct investment concepts and be aware of their legal rights.

In summary, driven by the cooperation of all participants, the intended goals of this year's WIW campaign were reached and further progress in investor education and protection were made.

ECUADOR

WORLD INVESTOR WEEK 2023

National Coordinator: [Superintendencia de Compañías, Valores y Seguros - Ecuador](#)

Other IOSCO members: [n/a](#)

Other participants: [Bolsa de Valores de Guayaquil](#), [Universidad de Especialidades Espíritu Santo](#), [Pontificia Universidad Católica del Ecuador, Sede Manabí](#), [Escuela Superior Politécnica del Litoral](#), [Consortio de las Cámaras de la Producción de Tungurahua](#), [Universidad Técnica de Ambato](#), [Universidad de Cuenca](#)

The Superintendencia de Compañías, Valores y Seguros - Ecuador participated in the 2023 World Investor Week (WIW) held by the International Organization of Securities Commissions (IOSCO).

Some of the initiatives carried out during the WIW 2023 are presented below:

Twitter

https://x.com/superciasec/status/1707763219295543677?s=12&t=d6h50fGJ50rf8t_PtRFobQ

https://x.com/superciasec/status/1708896926194696346?s=12&t=d6h50fGJ50rf8t_PtRFobQ

https://x.com/superciasec/status/1708876946304561195?s=12&t=d6h50fGJ50rf8t_PtRFobQ

https://x.com/superciasec/status/1709235046152843604?s=12&t=d6h50fGJ50rf8t_PtRFobQ

https://x.com/superciasec/status/1709669992395055560?s=12&t=d6h50fGJ50rf8t_PtRFobQ

https://x.com/superciasec/status/1709691440618438806?s=12&t=d6h50fGJ50rf8t_PtRFobQ

https://x.com/superciasec/status/1709947227341234479?s=12&t=d6h50fGJ50rf8t_PtRFobQ

https://x.com/superciasec/status/1710370763994210346?s=12&t=d6h50fGJ50rf8t_PtRFobQ

Instagram

<https://www.instagram.com/p/CxqboDxumMx/?igshid=MTc4MmM1Yml2Ng==>

<https://www.instagram.com/p/Cx6gfELsJPF/?igshid=MTc4MmM1Yml2Ng==>

<https://www.instagram.com/p/CyEYoueO4Lf/?igshid=MTc4MmM1Yml2Ng==>



supercias • Seguir



supercias Así dimos inicio a la séptima edición de la #SemanaDelInversionista, en la @uees_ec 🇪🇨🇵🇪

Inauguramos la jornada con el tradicional toque de campana oficiado por la Bolsa de Valores Guayaquil 🚩

Durante sus palabras de bienvenida, el superintendente Marco López Narváez destacó la importancia de que los estudiantes conozcan las



38 curtidas

2 de outubro de 2023

Transmisión en vivo

<https://www.facebook.com/universidadespíritusanto>

https://www.facebook.com/universidadespíritusanto/videos/5479949042130104/?extid=CL-UNK-UNK-UNK-AN_GK0T- GK1C&mibextid=ZbWKwL



EGYPT

WORLD INVESTOR WEEK 2023

National Coordinator: [Financial Regulatory Authority \(FRA\)](#)

Other IOSCO members: [n/a](#)

Other participants: [n/a](#)

The Financial Regulatory Authority (FRA) conducted several activities in celebration of World Investor Week 2023 from the 2nd of October till the 8th of October to raise awareness about FRA's role as a regulator of the non-banking financial sector including the capital market and to disseminate investor education and protection messages.

During the course of the week, FRA carried out different activities in cooperation with other institutions, including:

1. Awareness Sessions to University Students:

The British University in Egypt:

The Financial Regulatory Authority (FRA) commenced the World Investor Week 2023 week by giving an awareness session to students from the British University of Cairo (BUE), highlighting the role of FRA and its role in regulating the non-banking activities in Egypt, including the capital market, insurance, mortgage, consumer finance, factoring, leasing, and SMEs. The session was attended by 50 students from different majors.

King Salman University - Sharm Elsheikh:

FRA visited King Salman University where an awareness session about the role of FRA and the NBF activities was given by Dr. Mohamed Abdel Aziz, Assistant Chairman of FRA. The session was attended by 36 students and 10 professors.

Arab Academy for Science, Technology and Maritime Transport:

FRA hosted Arab Academy for Science, Technology and Maritime Transport (Alexandria branch) students and an awareness session was given about FRA's role and non-banking financial activities. The session was attended by 47 students of the academy.

Awareness Session to Assuit University Students:

In addition, FRA gave an awareness session to Assuit University Students at FRA's premises.

Topics included in the sessions:

- FRA role, a brief about capital market and insurance industries.
- How to Finance your projects using the Non-Banking Financial Instruments. (Figures 1&2)

2. Joining “Ring the Bell” Opening Ceremony for the World Investor Week:

The Financial Regulatory Authority (FRA) joined the "Ring the Bell" opening ceremony of the seventh World Investor Week at the Egyptian Exchange (EGX). The ceremony was attended by Mr. Ahmed Elsheikh, Chairman of the Egyptian Exchange, along with Mr. Mohamed Elsayad, Vice Chairman of FRA, in addition to several Egyptian ministers, university heads, and capital market's unions. (Figure 3)

3. Participation in the "Business Ya Shabab" Exhibition

FRA has participated in an exhibition organized by the Ministry of Youth and Sports "Business Ya Shabab" which was held from 28 September until 4 October, at Cairo International Stadium. The Exhibition was visited by 500 visitors, and around 4000 awareness booklets were distributed. (Figure 4)

4. Organizing Awareness Days for FRA Employees' Children

FRA organized several days of awareness for its employees' children, where children were divided into three age groups; each group attended a different day.

The first day was designed for children aged 9 - 12 years, where they enjoyed playing awareness boards and card games.

The second day was for middle and high school students, who had sessions about NBFIs.

The third day was for university students who had sessions about NBFIs and had a tour at the Egyptian Exchange (EGX). (figure 5)

5. Launching Social Media Platforms for Financial Awareness and Knowledge hub

FRA launched its official awareness and knowledge hub page on Facebook and "X". Several awareness posts were published on FRA's different social media platforms during World Investor Week.

Facebook

Financial Literacy Knowledge Hub

Financial Literacy Knowledge Hub

56 likes · 61 followers

A Scientific and Knowledge Platform that aims to create financial knowledge, culture, and awareness.

invest.org.eg

Message Liked

Posts About Photos Mentions

Details

- Page · Financial service
- Cairo, Egypt
- 10660

Financial Literacy Knowledge Hub

4 Oct ·

الرقابة المالية تشارك للعام السادس على التوالي في أسبوع المستثمر العالمي #ioscowi2023 WIW2023

المشاركة في افتتاح جلسة التداول بالبورصة الم... See more...

The post features two images: the top one shows a group of officials on a stage during a ceremony, with a banner in Arabic that reads 'احتفالية توزيع شهادات برنامج تدريب التوسيع المالي المعتمد من هيئة الرقابة المالية للتأهيل المهني والاداري' (Ceremony of awarding certificates for the financial literacy training program approved by the Financial Regulatory Authority for professional and administrative qualification). The bottom image shows a meeting or seminar in progress.

X Page

Financial Literacy Knowledge Hub

@Finlitfra

The Financial Literacy Knowledge Hub is a scientific and knowledge platform that aims to create financial knowledge, culture, and awareness.

Joined October 2023

8 Following 2 Followers

Posts Replies Media Likes

Financial Literacy Knowled... · 15/10/2023

68.1 مليار جنيه إجمالي التمويل الممنوح من الجهات الخاضعة لرقابة الهيئة العامة للرقابة المالية يوليو 2023

The X post includes an infographic titled 'مؤشرات أداء الأنشطة المالية غير المصرفية الخاضعة لرقابة الهيئة العامة للرقابة المالية لشهر يوليو 2023' (Financial Literacy Knowledge Hub). The infographic displays various financial metrics and trends, with a prominent figure of 68.1 billion Egyptian pounds for total financing granted by regulated entities in July 2023. It also includes a flowchart for 'مؤشرات الأداء' (Performance Indicators).

6. Media Coverage

a) Facebook	6 posts
b) LinkedIn	6 posts
c) Instagram	6 posts



How to Finance your projects using the Non-Banking Financial Instruments. (Figure1)



"Ring the Bell" opening ceremony. (Figure 3)



"Business Ya Shabab" Exhibition (figure 4)



Awareness Day for FRA Employees' Children (figure 5)

EL SALVADOR

WORLD INVESTOR WEEK 2023

National Coordinator: [Superintendencia del Sistema Financiero de El Salvador](#)

Other IOSCO members: [n/a](#)

Other participants: [Bolsa de Valores de El Salvador](#)

As part of the activities developed within the framework of WIW 2023, the Superintendencia del Sistema Financiero de El Salvador carried out the activities detailed below:

TED conference series

On November 7, a series of TED conferences was held where students participated, who had the opportunity to learn about sustainable finance and green bonds, as well as learn about the future of payment methods. The testimonial called: From saver to investor was also presented.

Webinars aimed at Salvadorans living abroad

Two webinars were developed with the topics: "First steps to invest in the Stock Market in El Salvador, invest in your future, invest in your country", benefiting 46 Salvadorans living in San Francisco, United States and in Seville.

Conferences through Landing Page

Four conferences were held aimed at the general public that included the topics: Prevention of fraud in the Stock Market; Use of new technologies in the Stock Market; Making informed investment decisions; and Integration of the Salvadoran Stock Market with the Central American Markets. These initiatives were conducted by specialists from the El Salvador Stock Exchange and the Superintendencia del Sistema Financiero, benefiting 602 people.

University Forum "Plan your future"

On November 9, the Panel "Plan your future" was held at Gerardo Barrios University with the following topics: The importance of the stock market; Informed decision-making; and Green Finance. In total, 105 students were impacted.

A total of 940 people benefited from the activities carried out, of which 506 were women and 434 were men.

Total amount invested: \$7,342.15

FRANCE

WORLD INVESTOR WEEK 2023

National Coordinator: Autorité des marchés financiers, France

Other IOSCO members: n/a

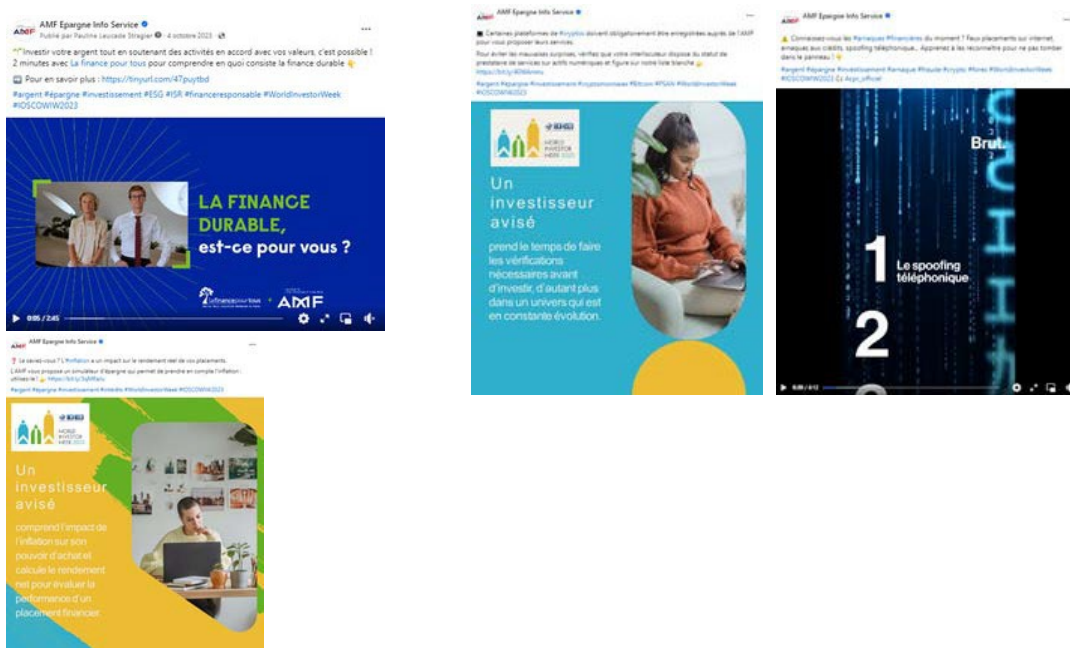
Other participants: AFG, AMAFI, ANACOFI, ANSA, Better Finance, Boursorama, Bourse Direct, CNECF, CNCGP, Easy Bourse, F2iC, la Finance pour tous, Institut National de la Consommation (INC), Marchés Gagnants, Nextwise, Orange, Tout sur mes finances

The Autorité des marchés financiers (AMF) and its partners participated actively in the seventh edition of “World Investor Week” (WIW).

The AMF published articles to inform about the launch of the 4th session of its MOOC, in partnership with the National Institute for Consumer Affairs (INC), and presenting the French initiatives for this new edition of the WIW.

The AMF found partners to support the initiative. Partners also had to communicate on this week with their internal public (banks, asset-management companies, teachers, members...) and retail investors in general.

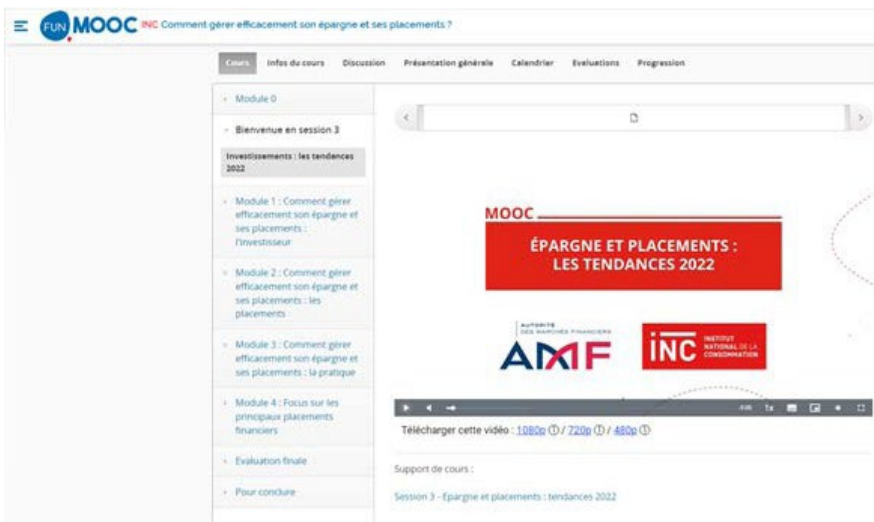
The AMF posted two posts every day on its Facebook page during the week, mostly with the templates sent. 140,642 people saw our posts and ads on social media during the week, with more than 1,000 clicks, reactions, comments or shares. The AMF also posted some posts on its X, LinkedIn and Instagram accounts.



The AMF created specific content and organized several events during this week:

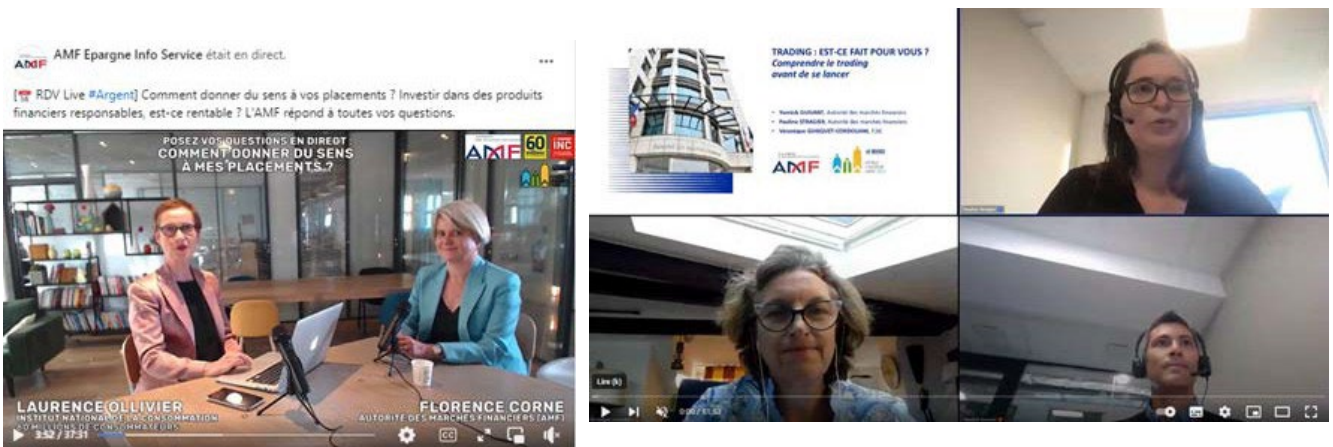
- Opening of a 4th session of our MOOC with the INC “How to manage your savings and investments effectively?”

3 educational objectives: train on methods and good practices on savings and investments; know and understand the different savings and investment products; and raise awareness on the risk of scams. It is composed of 4 modules of one week. Participants had until 4th December to complete the evaluations. 8,000 people registered to follow this online course.



- Various events for retail investors:
 - A webinar followed by 180 participants (122 replays) about trading;
 - A Facebook live about sustainable finance followed by 50 participants and replayed around more than 2,700 times, organized with the INC.

Other national participants organized 15 events reaching about 1 500 people. They posted 48 publications on social media generating 7,365 clicks, reactions, comments or shares.



GERMANY

WORLD INVESTOR WEEK 2023

National Coordinator: [BaFin, Bundesanstalt für Finanzdienstleistungsaufsicht](#)

Other IOSCO members: [n/a](#)

Other participants: [n/a](#)

During the October and November 2023 campaign, the Federal Financial Supervisory Authority (Bundesanstalt für Finanzdienstleistungsaufsicht - BaFin) released a [BaFin - podcast episode](#) with the former Chief Executive Director of Insurance and Pension Funds Supervision, Dr Frank Grund, providing information about important insurances and the role of insurance supervision in consumer protection.

Moreover, during the campaign week, BaFin experts participated in a digital prevention meetup in which consumers were informed about how to check their individual insurance status (“insurance check”). Among other things, the experts explained how often and regularly consumers should check their cover.

During the campaign week, BaFin was also represented with a stand at the “Börsentag in Hamburg” on 11 November 2023. BaFin experts were on hand to answer investors’ questions in person.

The activities were published on BaFin's social media channels - including Instagram and LinkedIn.



GIBRALTAR

WORLD INVESTOR WEEK 2023

National Coordinator: [Gibraltar Financial Services Commission \(GFSC\)](#)

Other IOSCO members: [n/a](#)

Other participants: [n/a](#)

This year's GFSC participation in World Investor Week (WIW) began on Monday the 6th of November and concluded on Friday 10th of November.

Utilizing the GFSC's social media platform and Gibraltar's press outlets, we effectively promoted key messages regarding scam awareness and our event to the public. Our promotional efforts spanned on various social media channels including Facebook, Instagram, and Twitter. As well as advertising through numerous local press mediums such as TV and newspapers to promote our "Think SMART" messaging (please refer to the attached poster).

We carried out a 60-minute presentation at the University of Gibraltar, primarily attended by senior citizens eager to enhance their awareness of scams and their identifying traits. Alongside the seminar, we organized a tea and coffee service, fostering discussions on scams and offering participants an opportunity to ask questions.

Our presentation aimed to reach a broad demographic, emphasizing that susceptibility to fraud knows no age boundaries or level of awareness. We covered diverse topics such as cyber security, safe online shopping practices, recognizing red flags, identifying clone websites, and avoiding scams.

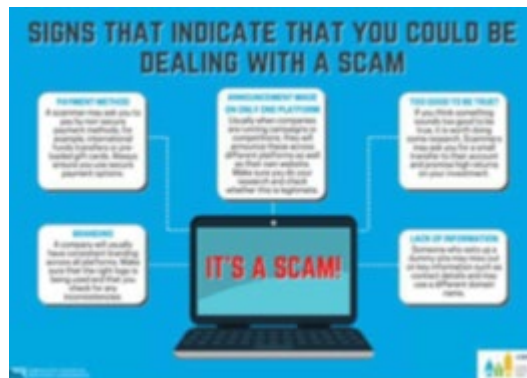
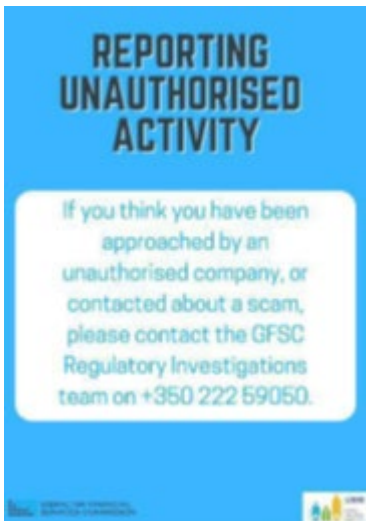
During the tea and coffee session, we displayed numerous posters and leaflets to provide attendees with additional insights into scams and precautionary measures. Furthermore, we distributed notepads (incorporating our "Think SMART" message) and pencils, complemented by a focused information leaflet.

Through the course of this week, we published 3 different posts on social media to advertise our event and keep our consumers informed. We also circulated one of our infographics encouraging individuals to 'Think SMART'.

Furthermore, the Gibraltar Chronicle published an article during WIW, accompanied by a poster which advertised our event. The featured article was an interview with our Director of Specialist Regulation, Heidi Bocarisa, and Business Delivery Officer, Lauren Sacramento who gave insight into the purpose of WIW and what we would be doing as a jurisdiction throughout the week. We also had an interview with GBC (Gibraltar's Broadcasting Corporation) to promote our event and encourage individuals to come to our seminar, while also discussing our objectives for this year's WIW.

The 2023 World Investor Week seminar proved highly successful, engaging an enthusiastic audience eager to deepen their understanding of scams. Overall, the feedback received from consumers throughout our campaign was positive.

Posters and leaflets



Social media posts



**WANT TO BECOME
MORE SCAM SAVVY?
COME JOIN US!**

Friday 10th November at 10:30AM at
the University of Gibraltar

If you want to learn more about how to be safe when online shopping and banking, then this seminar is for you!
Tea, coffee and biscuits will be available (and some freebies!)

 GIBRALTAR FINANCIAL
SERVICES COMMISSION



 GIBRALTAR FINANCIAL
SERVICES COMMISSION

NOTIFICATION

Four days to go until the GFSC scam awareness seminar!
Join us on Friday at 10:30am at the University of Gibraltar



Our event



HONG KONG

WORLD INVESTOR WEEK 2023

National Coordinator: Investor and Financial Education Council

Other IOSCO members: Hong Kong Exchanges and Clearing Limited (HKEX)

Other participants: Financial Services and the Treasury Bureau, the Government of the Hong Kong Special Administrative Region (FSTB), Securities and Futures Commission (SFC), CFA Institute, CFA Society Hong Kong

Campaign Dates (From/To): 2 – 8 October 2023

The Investor and Financial Education Council (IFEC), together with various key stakeholders, offered a number of investor education resources, activities, and events to promote the following key education messages:

- Sustainable investing
- Virtual assets
- Investor resilience

Activities and Events

- **Strike the Gong for Financial Literacy cum panel discussion on sustainable investing**

IFEC and HKEX co-hosted the event on 4 October 2023. Mr Tim Lui, the Chairman of the SFC, and Ms Vanessa Lau, Group Chief Financial Officer of HKEX officiated the ceremony and delivered key note remarks related to sustainable investing.

Following the ceremony, a panel discussion titled "Understand the Financial and ESG Benefits of Sustainable Investing" was co-hosted by IFEC, CFA Institute, and CFA Society Hong Kong. Representatives from a listed company, an index company, and an asset management company discussed the financial return and ESG benefits of sustainable investing. The video of the panel discussion is available on IFEC's YouTube channel for public viewing.



- **Facebook interview on virtual assets**

IFEC organized a Facebook interview on 6 October 2023 on virtual assets. Featuring Mr Christopher Hui, Secretary for Financial Services and the Treasury and Ms Elizabeth Wong, Director of Licensing and Head of the Fintech Unit of the SFC, the Facebook interview provided an update on the latest regulatory developments on virtual assets and the key considerations for investing in this asset class from an investor protection point of view. The video recorded over 390,000 views as of 31 October 2023.



Resources and promotion

- **Communications**

A press release was issued on 18 September 2023 to call for public participation in WIW. E-newsletters were sent to IFEC subscribers to promote the WIW activities and resources in September and October 2023.

- **Social media promotion**

IFEC published 7 Facebook and Instagram posts to promote WIW 2023 and the related activities and educational resources. These social media posts recorded more than 3,600 reactions or likes as of the end of October 2023.

- **Dedicated portal “Enhance understanding of emerging investment trends and risks”**

Dedicated portal featuring a variety of investor and financial education resources on investor resilience, sustainable investing, and virtual assets. It also provides details of activities and events related to WIW 2023.

INDIA

WORLD INVESTOR WEEK 2023

National Coordinator: [Securities and Exchange Board of India \(SEBI\)](#)

Other IOSCO members: [International Financial Services Centres Authority \(IFSCA\)](#), [BSE Limited \(BSE\)](#), [Multi Commodity Exchange of India Limited \(MCX\)](#), [National Stock Exchange of India Limited \(NSE\)](#)

Other participants: [Investor Associations \(IAs\)](#), [Securities Market Trainers \(SMARTs\)](#), [Metropolitan Stock Exchange of India Ltd. \(MSE\)](#), [National Securities Depository Ltd. \(NSDL\)](#), [Central Depository Services \(India\) Ltd. \(CDSL\)](#), [National Commodity & Derivatives Exchange Ltd. \(NCDEX\)](#), [Association of Mutual Funds in India \(AMFI\)](#), [Association of National Exchanges Members of India \(ANMI\)](#), [BSE Brokers Forum of India \(BBF\)](#), [Commodity Participants Association of India \(CPAI\)](#), [National Institute of Securities Markets \(NISM\)](#).

Through our international participation in IOSCO, SEBI coordinated the 7th edition of World Investor Week (WIW) - 2023, which was held in India from October 09-15, 2023.

The 2023 edition of World Investor Week witnessed participation by Market Infrastructure Institutions (MIIs), viz. stock exchanges, depositories, commodity derivative exchanges, trade bodies like Association of National Exchanges Members of India (ANMI), Association of Mutual Funds of India (AMFI), entities impanelled/recognized by SEBI to conduct Investor Awareness Programs (IAPs) and other bodies like National Institute of Securities Markets (NISM) and International Financial Services Centres Authority (IFSCA).

With the primary objectives of disseminating key messages that further investor education and protection, as well as financial literacy and fostering learning opportunities for investors, the celebration focused on reaching out to the target audience through various media (both offline and online) in a country with diverse demographic characteristics like India.

During the week, the efforts were directed to reach out to different segments of the society including Women, farmers, students, teachers, police, army and defence personnel, professionals, corporate employees, government employees (Railways, Medical Department, Transport Department etc.), Farmer Producer Organisations, Value chain participants of Commodities Derivatives Markets, Medium, Small and Micro Enterprises (MSME's), Self-help groups, Gram Panchayats, associations (Jewellers' Associations, Manufacturers and Industrial Associations, etc.), Think-tanks, economists and researchers etc.

The week also witnessed the launch of several unique investor awareness related initiatives of MIIs, like launch of podcasts series, Learning on Wheels campaign, Investor Expo, Human Chain, Bharat Nivesh Run – A step towards health and wealth, Commodity Insights Yearbook, Sustainability Pledge Campaign, dedicated social media campaign on not to invest based on advice by influencers, etc.

To cater to the diverse audience, different media like TV, Radio, Print and Social Media platforms viz. YouTube, Facebook, X, LinkedIn, WhatsApp, etc. were used where awareness campaigns were run.

Various activities undertaken and several events organized during the week are as follows:

A. Display of Banners about celebration of WIW - 2023

To highlight the celebration of WIW-2023, the bilingual banners (in English and Hindi) were designed and exhibited offline (all offices of SEBI viz. Head office in Mumbai, four regional offices in New Delhi, Chennai, Kolkata and Ahmedabad located in different states in India, offices of MIIs and other participating organisations) as well as online (SEBI website, SEBI investor website (<https://investor.sebi.gov.in/events.html>) and websites of MIIs and other participating organisations). The format of the banners displayed is as follows:



Image: Format of Banner of WIW – 2023 celebration in India



Image: Display of Banner about celebration of WIW – 2023 in India

B. Bilingual Tickers about celebration of WIW – 2023

Bilingual Tickers (in English and Hindi) about celebration of WIW were run on SEBI website, SEBI investor website and websites of MIIs and other participating organisations. The format of these tickers is as follows:

Ticker in English –

**“World Investor Week October 09-15, 2023
being celebrated under aegis of IOSCO and SEBI.”**

Ticker in Hindi –

**“विश्व निवेशक सप्ताह (09-15 अक्टूबर, 2023) - आयस्को तथा सेबी की छत्रछाया में
मनाया जा रहा है”**

C. Development of Microsite about celebration of WIW – 2023

To provide a one-stop information solution to all the prospective/existing investors about the activities and events planned during the World Investor Week 2023, educational material, Chairperson message, Key messages, etc. a micro-site was developed and hosted on SEBI Investor Website as a part of WIW – 2023 celebrations. This microsite also contained links of websites of other participating organizations.

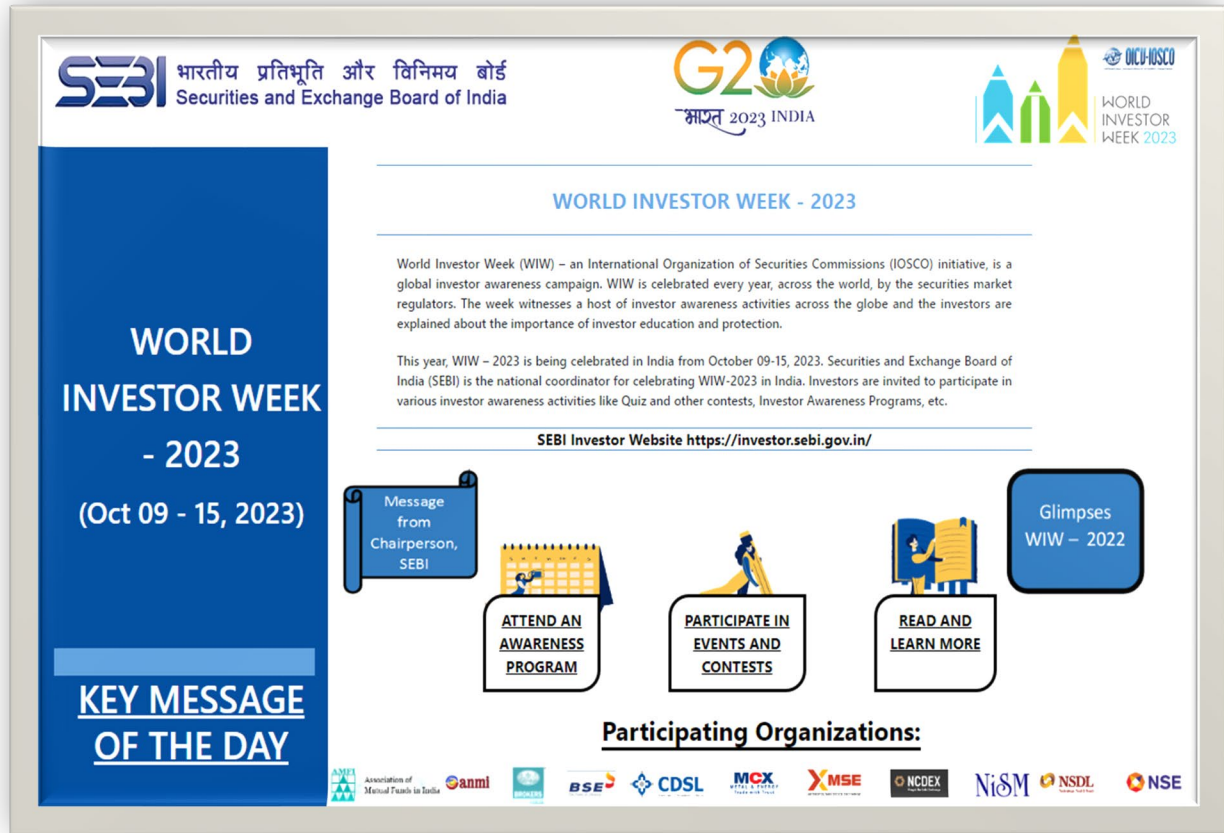


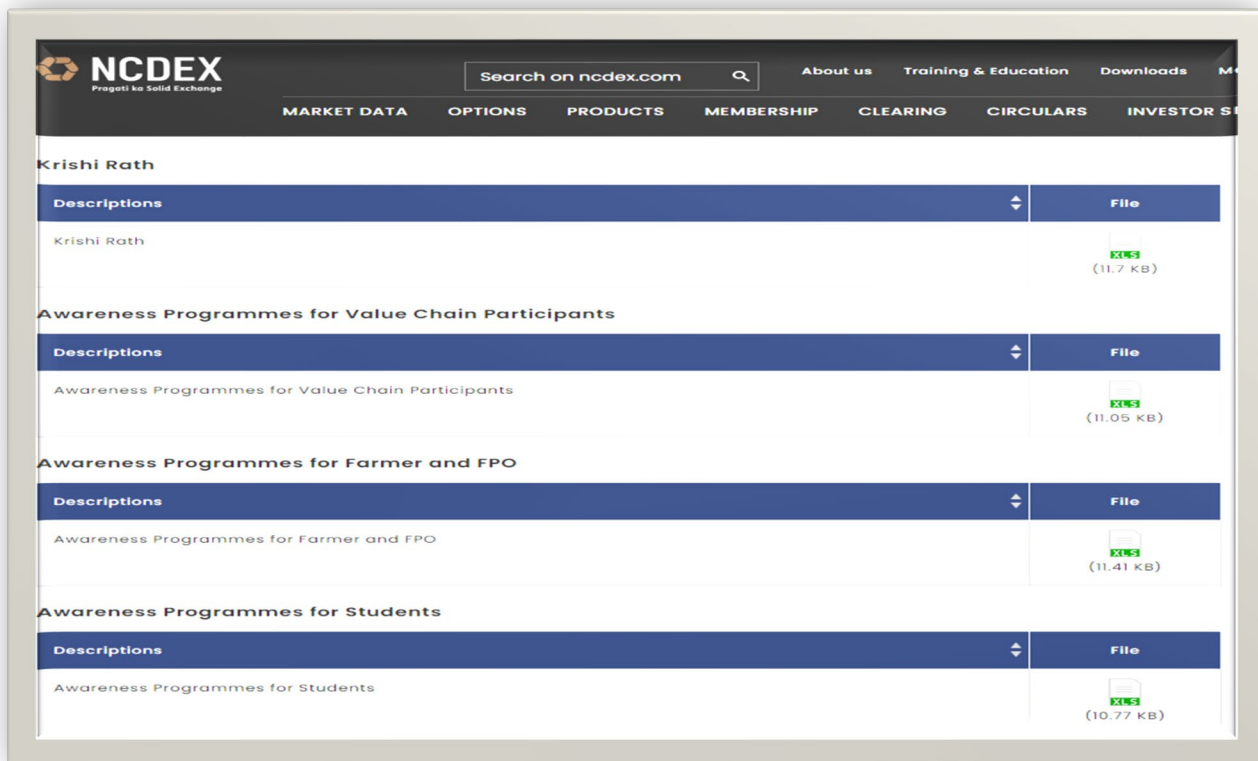
Image: Micro Site of WIW – 2023 celebrations in India

The key messages that were published daily during the week are as follows:

Date	Key Message
October 09, 2023	A smart investor understands that risk exists in all investments.
October 10, 2023	A smart investor considers whether an investment's stated approach to sustainable finance matches the investor's investment goals, objectives, risk tolerance and preferences.
October 11, 2023	A small investor avoids "get rich quick" and "can't lose" schemes; if it looks too good to be true, it probably is.
October 12, 2023	A small investor is cautious of anyone who tries to pressure into making hurried investment decisions.
October 13, 2023	A smart investor never discloses personal information on an unexpected call or other communication.

October 14, 2023	A smart investor understands why he/she is putting the money into
October 15, 2023	A smart investor assesses the impact of fees when choosing an investment.

In addition, a few of the participating organisations also created dedicated webpages to highlight the celebration of World Investor Week and disseminating the information on the various events planned during the week.



D. Message from Chairperson, SEBI

A special bilingual message (in English and Hindi) from Ms. Madhabi Puri Buch, Chairperson, SEBI, was displayed on the SEBI website and SEBI Investor website. Chairperson, SEBI, in her message during WIW-2023 said “A well informed investor is the best protected investor. With this core belief, SEBI has been working towards investor awareness and education to facilitate investor protection. The investors are advised to invest for long run and diversify the portfolio and not rely on assured returns or profits, free stock tips, unsolicited investment advice etc. Investors should always verify the accuracy of investment related information in this digital world and carry out due diligence before investing.”



Image: Teaser and post of World Investor Week 2023 on social media

G. Ring the Bell Ceremonies during WIW - 2023

As a part of the WIW-2023 celebrations, “Ring the Bell” ceremonies were organized by MSEI Ltd., NSE Ltd. and BSE Ltd. The senior officials from SEBI and the exchanges participated in these ceremonies. The ceremony organized by MSEI Ltd. was dedicated to Gen Z and Millennials. These ceremonies also witnessed various launch ceremonies and other investor awareness related initiatives undertaken by participating organisations. Some of such initiatives were like organizing Investor Expo, launch of National Level Quiz for Institute of Company Secretaries of India (ICSI) students, Nivesh Champion and Investor inspiration contests, launch of a series of street plays etc. These ‘Ring the Bell’ ceremonies were also telecast live on YouTube and other platforms.



Image: Ring the Bell Ceremony by Mr. Ananth Narayan G., Whole Time Member, SEBI at NSE on October 09, 2023

Image: Ring the Bell Ceremony by Mr. Ananth Narayan G., Whole Time Member, SEBI at MSEI on October 09, 2023



Image: Ring the Bell Ceremony by Mr. G.P. Garg, Executive Director, SEBI at BSE on October 09, 2023

H. Key messages on themes of celebration of WIW – 2023

In line with IOSCO key messages, 2 broad themes of key messages – ‘Investor Resilience’ and ‘Sustainable Finance’ were focused upon for WIW 2023. These themes were shared with all the MIIs and participating organisations. Accordingly, the participating organisations created the content to be uploaded on social media and shared with investors.



Image: Key Messages circulated by MIIs

I. Investor Awareness Programs

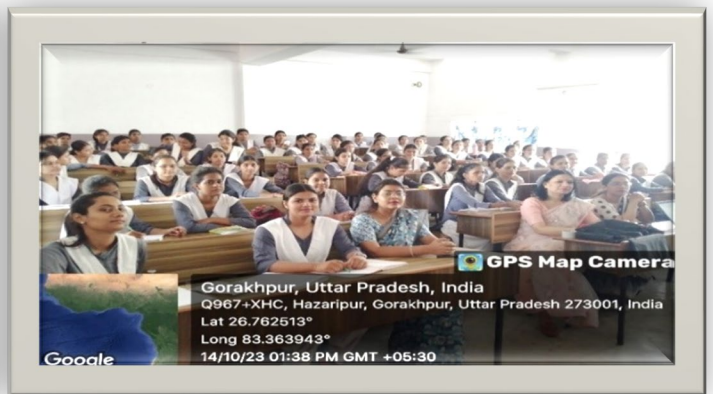
Investor Awareness Programs are conducted jointly by SEBI with the Stock Exchanges (NSE, BSE and MSE); Commodity derivative exchanges (MCX and NCDEX) and Depositories (NSDL and CDSL). In order to make people aware about the upcoming Investor Awareness Programs (IAPs) and ensure good participation, the key details of the awareness programs to be conducted during WIW-2023 were circulated through dedicated website, social media channels like YouTube, Facebook, Instagram, LinkedIn, X etc.

These programs were conducted covering basic concepts related to securities market like introduction to securities market, KYC Procedures, investing in IPOs, investing in Rights issues, mutual fund investments, investor grievance redressal mechanism, trading and settlement mechanism, role of regulators, etc. with the message to be aware of unsolicited stock tips/assured returns, online frauds, precautions to be taken while investing online etc. A total of 3,021 such Investor Awareness Programs were conducted both offline and online across the country covering over 185 thousand participants from various target groups.

Some of the glimpses of such investor awareness programs are as below:



Image (top and below): Glimpses of different investor awareness programs conducted during WIW – 2023



J. Unique Initiatives

During WIW-2023, a host of unique initiatives were undertaken for creating investor education and awareness. Some of these unique initiatives are given below:

i. Learning on Wheels Campaign:

As a part of World Investor Week 2023 celebrations, a unique initiative – Learning on Wheels campaign was carried out. Under this initiative, a double decker bus was covered with the banners flashing messages on celebration of World Investor Week 2023, investor awareness messages on goal based investing, Mutual Funds etc. With an idea to catch the attention of the office-goers, who may be existing or potential investors, free pick and drop service



close to office was offered. During the ride, brief investor awareness session including Q&A session was organised for the on-boarders. Informative messages in the form of videos created on investor awareness were also run on the screens placed inside the bus.

ii. Investor Expo:

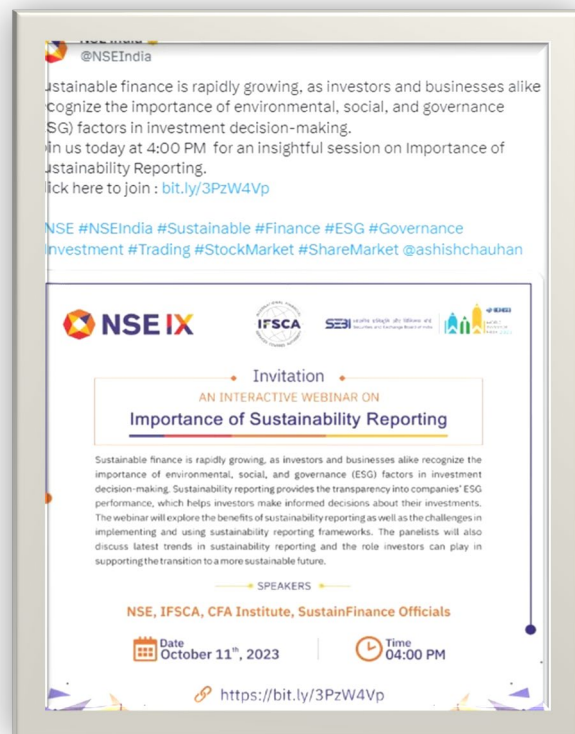
With the theme 'Millennials and Responsible Investing', an Investor Expo was organised in Mumbai to bridge generations and backgrounds, foster an environment of mentorship and knowledge exchange between financial experts and the dynamic millennial generation. Approximately 1700 university students, spanning various academic disciplines, attended this event in person while 300 students attended virtually. A panel discussion titled 'Empowering



Bharat: The Journey of Resilience and Responsible Investing' was also conducted which featured insights from industry stalwarts. In order to guide millennials on their journey to investment, Keynote session on 'Millennial Investing and Exploring Career Tracks in the Capital Markets' and 'Hedging and Risk Management' were also organised. Activities like the Virtual Trading Competition and on the spot quizzes made this event more engaging. The event also featured stalls promoting investor education and awareness from the Mutual Funds, Retail Brokerages, and Wealth Management sectors.



iii. Webinar on ‘Importance of Sustainability Reporting’ by Market experts:



iv. Krishi Rath:

An on the ground Investor Awareness series dedicated for farmers was launched under ‘Krishi Rath: Ab Bhav ki Kunji Apne Haath’. The vans travelled to hinterlands sensitizing the stakeholders including local Farmer Producer Organizations (FPOs), farmers, value chain partners about how they can participate in commodity derivatives alongside guiding them with effective financial and trade practices. During the week, Krishi Raths covered 1,254 kilometers covering 35 districts in 3 states across India. The panel discussions were also organized at key mandi locations.



v. Human Chain: To mark the beginning of the World Investor Week and emphasise the strength and unity of investors in creating a vibrant financial ecosystem, a human chain was formed by students and general investors in Mumbai.



vi. India Investor Show 2023:

India Investor Show 2023 was organized on October 10, 2023, by BSE Brokers’ Forum – International Forum for Investor Education (BBF-IFIE) catering to both Indian and global investors. In this virtual event, senior SEBI officials and various other market dignitaries addressed the participants. The program successfully united policymakers, industry leaders, and investors on a common platform to engage in discussions, and deliberations, and to chart the future course of financial markets. This event witnessed participation by various participating organisations like Exchanges, Depositories, Clearing Corporations, India International Exchange (India INX), NSE



International Exchange (NSE IX) and knowledge partners like National Institute of Financial Management (NIFM), National Institute of Securities Markets (NISM) etc.

vii. Release of Commodity Insights Year Book and Disseminating Findings of Research Studies in the Commodity Ecosystem:



The Commodity Insights Yearbook was released by senior SEBI officials, MIIs and NISM. With the theme as ‘Agricultural Commodities’, the yearbook features articles on agricultural commodities, recent trends and developments. The yearbook was widely circulated among market stakeholders, academic institutions, think tanks and policymaking bodies and is freely available for download online. During the event, presentations on findings of the two research studies on the Indian commodity ecosystem

were also made by the researchers and academicians. The title of the studies were – Facilitating Institutional Participation in India’s Commodity Derivatives Market and Suspension of Commodity Derivative Contracts and Impact on Price Discovery.

viii. Bharat Nivesh Run – A step towards health and wealth:

To spread investor awareness across the country, Bharat Nivesh Run was organized during the week. The run was organized in two modes – Virtual and Physical with a message to combine physical wellbeing with financial wellbeing. For the participants of the virtual run, a separate app was developed on which the daily investor awareness based quiz were uploaded and participants were supposed to complete the required number of steps over the 6 (six) days. On the last day of the WIW-2023, the physical run was organised across 5 different cities where officials from SEBI and industry were present and addressed the participants.



ix. Commodity ka Tyohaar:

To connect with farmers, traders and stockists and elevate their understanding on using market driven tools to manage price risk, Commodity ka Tyohaar was celebrated at 23 (twenty-three) mandi locations across India. Under this initiative, the participants were addressed on various topics like price risk management, Electronic Negotiable Warehouse Receipt (eNWRs), quality & assaying standards, Investor Dos & Don'ts etc. This celebration witnessed the participation of nearly 6,900 participants.



x. Street Plays/Skit:

In order to reach out to masses at grass root level, street plays were organized by MIIs. During the week, total of 40 street plays/skits were played at prominent locations. These plays were aimed at sensitizing the investors about unsolicited stock tips, the investment in unregulated investment schemes, online financial frauds, dos and don'ts of investing and precautions to be kept in mind while making investments in the securities markets.



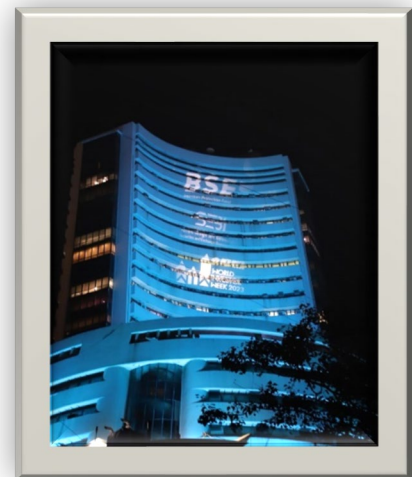
xi. Sustainability Pledge Campaign:

The banners containing the Sustainability Pledge were placed at prominent buildings at GIFT IFSC during the course of week. This initiative was undertaken in order to increase investor awareness and commitment about investing in companies that care about tackling climate change.



xii. BSE building illumination and display of WIW banner on building:

The iconic building of Phiroze Jeejeebhoy Towers, housing BSE Ltd., was illuminated during the WIW – 2023. A huge banner of WIW – 2023 was displayed on the top of the building. This initiative gathered a huge amount of attention from investors and was able to create a widespread awareness about the celebration of WIW – 2023.



xiii. Investisiya 2023:

A mega event with the theme – ‘Investor Resilience and Sustainable Finance’ was organized in Mumbai which witnessed the participation by regulator, industry experts, educational institutions and students. Panel discussions on Investor Resilience and Digital Finance, Investor Resilience & A Smart Investor etc. were among key highlights of this event.

Some of the glimpses of these Quiz and other Contests are given below:



L. Standardised PowerPoint Presentations on various themes related to Indian Securities Market

Standardised presentations on investor awareness and education were prepared and updated. These presentations are available to facilitate the trainers who address the investors during the Investor Awareness Programs. These presentations are also available on SEBI investor website for public to read. Following are the topics on which the powerpoint presentations were updated -

- i. PPT-1: Introduction to Securities Market
- ii. PPT-2: KYC Procedure (Opening of Trading and Demat Account)
- iii. PPT-3: How to Invest in IPO?
- iv. PPT-4: How to Invest in Rights Issue?
- v. PPT-5: Corporate Actions- Dividends, Bonus, etc.
- vi. PPT-6: How to Buy and Sell shares in stock exchange?
- vii. PPT-7: Depository Services (availed by demat account holder)
- viii. PPT-8: Introduction to Mutual Fund Investing
- ix. PPT-9: Investor Grievance Redressal Mechanism
- x. PPT-10: Buyback and Open Offer of Shares
- xi. PPT-11: Introduction to REITS
- xii. PPT-12: Introduction to InvITs
- xiii. PPT-13: Introduction to Exchange Traded Funds (ETFs)
- xiv. PPT-14: Investment by Non Resident Indians (NRIs) in Indian Securities Market

M. Media Campaigns –Social Media/ TV Channels/ Print Media

During the WIW-2023, the extensive use of digital media was made. Following hashtags in line with IOSCO hashtags, were also shared with MIIs and participating organisations, which were used on social media posts -

#IOSCOWIW2023
WorldInvestorWeekIndia

Some of the special media campaigns that were conducted during WIW – 2023 are:

a) Videos on Investor Awareness:

Keeping in view the evolving role of social media and to harness the same for the investor awareness, video campaigns were run on social media channels like Youtube, Instagram, X, Facebook, LinkedIn. Various topics like assured returns, do's and don'ts while investing, social media influencers promoting assured returns in the garb of education etc. were covered under these campaigns. Creative term like 'Finfluenza' was coined to caution investors from investing based on stock tips from social media influencers, often assuring guaranteed or exponential returns. The links to these creatives were also shared through emailers and WhatsApp to the existing investors. Additionally, the reels/shorts were also created by the participating organisations on investor awareness and education based messages.

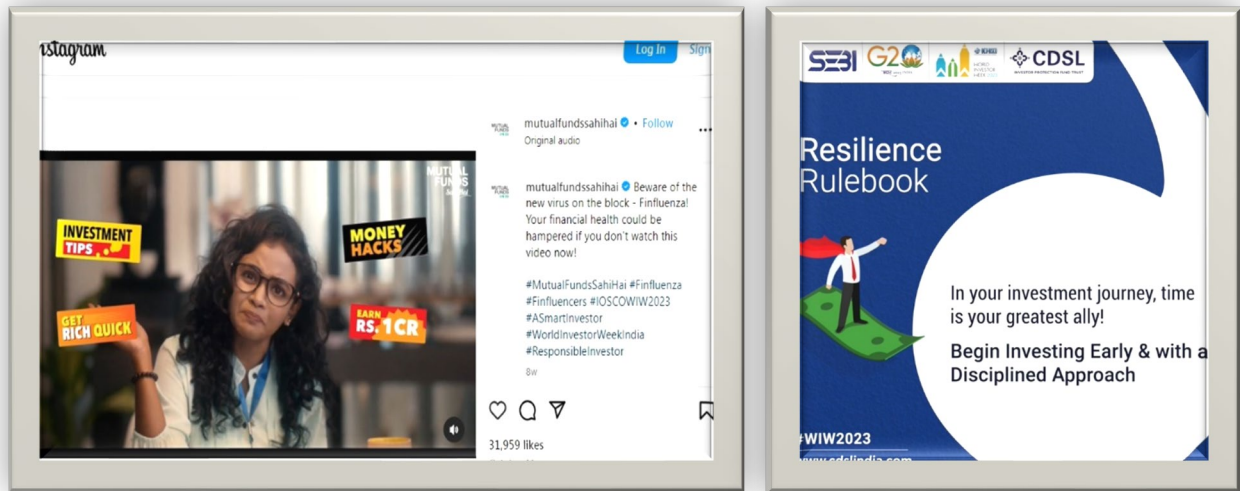


Image: Videos, reels/shorts uploaded on social media

Apart from this, the video messages on investor awareness from Management of the exchanges were also shared on their social media accounts.

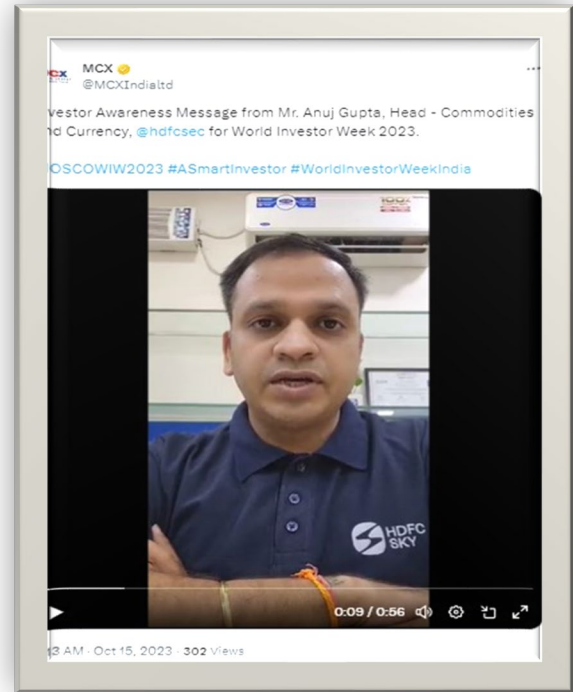


Image: Video bytes on investor awareness from Management of Exchanges

b) Podcasts:

To provide the investor awareness based content targeted for the diverse investors, podcasts by market experts on the various themes like Financial podcast for Beginners, Millennials and Gen Z, What should be your F.I.R.E. (Financial Independence Retire Early) number, Khud Bano Apne Investment ki Pehchaan, a smart investor does not act upon unsolicited investment offers, etc. were created. These podcasts were hosted on platforms like Youtube and music app - Spotify.



Image: Podcasts on social media platforms

c) Online Infographics:

During the entire week, a series of online infographics were posted by MIIs on social media. These infographics talked about the myths v/s facts, investment mantras, jargons of the market, precautions to be taken while investing in the markets etc.



Image (top and bottom): Infographics posted on Social Media



d) Campaign through digital media:

The Investor awareness messages were telecast on various Television channels covering topics like accessing commodity markets through Institutional Participants, Do's and Don'ts of investing in the stock market, etc.

Awareness about precautionary messages and celebration of WIW – 2023 was also created by sending text messages and emails. Over 100 million emails and SMS were sent with key messages and educational articles, generating awareness. Print advertisements were also published in the English, Hindi and other regional languages newspapers.



Image: Investor awareness through Print media

N. Snapshot:

A snapshot of the reach through digital media and other platforms, during WIW – 2023, is given below:

Social Media Platform	Views (in Million)
X (views)	5.77
Facebook (views)	18.69
Instagram (views)	25.80
Youtube (views)	5.28
LinkedIn (views)	1.92
Total Views on social media	57.46

Other platforms	Reach (in million)
SMS Sent	3.78
Emails sent	99.69
Online resources (Page Views)	0.63
TV	136.82
Radio	24.94
Newspaper	5.36
Total reach	271.22

As mentioned above, campaign on social media and other platforms garnered more than 328 million views and reach. Further, nearly 242 thousand people attended or participated in Investor awareness programs and quiz or other contests. This week long campaign resulted in reach of around 329 (three hundred and twenty-nine) million through investor awareness programs, print media, TV, radio, social media etc.

Conclusion

Due to evolution of technology and its impact on our day to day lives, the modes of investor education and awareness have undergone a shift. Keeping this in view, for the celebration of WIW-2023, a balanced mix of online as well as offline activities and events was focused upon. WIW-2023 received avid response and served as a stepping stone for many budding investors. During WIW – 2023, over 185,000 participants were reached directly through more than 3,000 Investor Awareness Programs and more. The campaign had a reach of around 329 million through various investor awareness initiatives which were taken in India during WIW – 2023, like social and other media channels, etc.

IRAN

WORLD INVESTOR WEEK 2023

National Coordinator: Securities and Exchange Organization of Iran

Other IOSCO members: n/a

Other participants: Tehran Stock Exchange (TSE), Iran Mercantile Exchange (IME), Iran Fara Bourse (IFB), Central Securities Depository of Iran (CSDI), Securities and Exchange Management Education Development Company (SEMEDCO)

Campaign Date: Oct 2 – Oct 8

The Securities and Exchange Organization of Iran (SEO) joined the seventh global campaign of World Investor Week (WIW) within the week ending on the 8th of October. It's the third time the SEO has attended the event in line with the objectives of campaign which are protecting investors and promoting financial literacy with other institutions and entities affiliated by the SEO contributing to the overall goal when necessary.



Ringling the Bell for WIW 2023

Dr. Majid Eshghi, the SEO's Chair, accompanied by several high-ranking officials of the Organization rang the bell for WIW 2023, officially starting the campaign. He also made a speech on the importance of financial literacy and other activities aimed at protecting retail investors, in front of various capital market reporters. In addition to that, Eshghi elaborated a bit on why the Organization had selected the message of this year.



SEO’s Selected Message for WIW 2023

From among the key messages suggested by IOSCO, the SEO decided to focus on **“A smart investor Understands the way asset classes may behave in a persistent inflation environment and the impact on their attractiveness”** as its message of the year for the World Investor Week campaign of 2023.

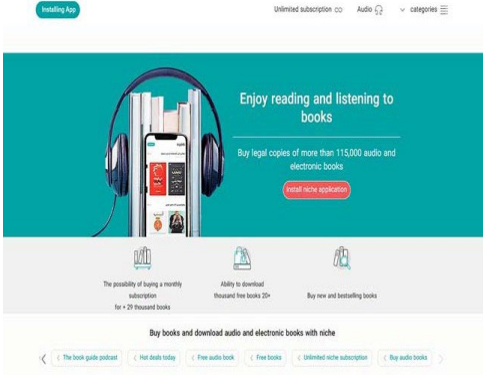
SEO’s Activities for WIW 2023

With the aim to realize the objectives of the event and promote its key messages, the SEO released posts with key messages of WIW 2023 translated into Farsi included via social media platforms like Telegram, LinkedIn, Facebook and Instagram within the week. A banner was also designed and uploaded on the SEO’s website and exchanges and other entities operating under its supervision.

It’s worth mentioning that the Securities and Exchange Management Education Company, SEMEDCO, which is affiliated with the SEO, arranged for the best-performing school students of the national Olympiad of Management and Economics to visit the Securities and Exchange Organization of Iran and other relevant market entities like exchanges and brokerage firms in order for them to get a better understanding of how the capital market works.



In addition to that, Bourse Publications, founded over a decade ago by SEMEDCO, released within the World Investor Week 2023, 120 e-books and 3 audio-books on the website taaghche.com 30% off in the global campaign of the World Investor Week. Moreover, within the week, one title of these books was offered 60% off each day.



On the Occasion of Word Investor Week Global Campaign,

Education Department Manager of SEMEDCO Elaborates on Financial Literacy Initiatives of the Company

IRELAND

WORLD INVESTOR WEEK 2023

National Coordinator: [The Central Bank of Ireland, Grace O'Flaherty](#)

Other IOSCO members: [CCPC](#)

Other participants: [CCPC](#)

The Central Bank of Ireland participated in World Investor Week 2023. Its initiatives to raise awareness of this event among consumers included highlighting World Investor Week on Twitter by reposting WIW 2023 tweets.

The Central Bank also engaged with the CCPC, which has a statutory mandate for financial education and financial literacy in Ireland. As part of the CCPC's initiatives in WIW 2023, it carried out the following: it retweeted 19 posts in total across Instagram, Twitter and Facebook. It had 27 direct engagements and 1,088 video views. CCPC social media has 54,773 followers.

ITALY

WORLD INVESTOR WEEK 2023

National Coordinator: [Commissione Nazionale per le Società e la Borsa \(CONSOB\)](#)

Other IOSCO members: n/a

Other participants: [Adeimf](#), [Anasf](#), [Feduf](#), [GLT Foundation](#), [Museo del Risparmio di Torino](#), [QfinLab-Politecnico di Milano](#), [several Italian Universities](#)

The 7th edition of the World Investor Week (WIW) in Italy has been celebrated with a number of initiatives mainly held between Monday 2 October and Friday 6 October 2023. In light of the high level of flexibility allowed in organizing the WIW, participants have been also given the opportunity to choose a different week or period within the month of October, exploiting any synergies with the Italian 'Financial Education Month' ("FEM").

The FEM, held in October every year, is promoted by the National Committee for the planning and coordination of financial education activities ("Edufin Committee"). The Edufin Committee has been established in 2017 to promote and plan awareness-raising campaigns as well as financial education initiatives and plays a crucial role in laying down and implementing the National Strategy for Financial Education in Italy.

As usual, during the FEM and the WIW 2023, CONSOB has operated in tight connection with the Edufin Committee, contributing to the planned activities jointly with the other members. CONSOB has also collaborated with historical WIW partners, namely "Associazione dei Docenti di Economia degli Intermediari e dei Mercati Finanziari e Finanza d'Impresa" (ADEIMF), "Associazione Nazionale Consulenti Finanziari" (ANASF), "Fondazione per l'Educazione Finanziaria e al Risparmio" (Feduf), "Global Thinking Foundation", "Museo del Risparmio", "Qfinlab - Politecnico di Milano", as well as with a number of Italian Universities affiliated with CONSOB.

The calendar for 2023 contained about 30 streaming and in-person events and CONSOB organised or participated in most of them, reaching tens of cities and thousands of recipients (from primary and secondary school students, to university students, adults and women). Most initiatives have covered thematic areas like financial scams and illegal acts, investment in times of crisis, sustainability and digital innovation as well as financial control tools (e.g. financial planning and budgeting), contributing to prevent financial vulnerabilities and coping with challenging times (in line with the concept of 'smart investor').

During the FEM and the WIW 2023, CONSOB proposed both consolidated and recently defined initiatives. With regard to the latter, it is worth mentioning the launch of the modular training course organized by CONSOB in cooperation with the University of Insubria and dedicated to Small and Medium Enterprises (SMEs), aimed at increasing knowledge of basics and tools useful for encouraging access to the market by SMEs. This also in connection with the evolution of the context and the opportunities offered by digitalisation and the transition towards a model of an economic, more sustainable development.

Moreover, CONSOB designed two new educational programs, based on edutainment: one dedicated to distributed ledger technology and crypto-assets and the other to sustainability and sustainable finance.

The first one, called ‘The moment is cryptic’ and developed under a format called “Finance on stage”, is a theatre piece where the title plays on the Italian words ‘critico’ that means ‘critical’ and ‘cripto’ that means ‘crypto’), and provide some useful tips for recognising risk associated with crypto and online scams (resilience to the risks of new form of investments).

The second, called “Due parole sulla sostenibilità (A couple of words on sustainability)” is a show-conference which specifically addresses how climate change and respect for workers' rights can be related to finance and investments. CONSOB and Taxi 1729, a scientific dissemination company, talk about sustainability and provide the audience with a basic glossary to navigate the world of sustainable finance.

Finally, Consob organized a global WIW webinar on sustainable finance in the context of investor education and investor protection, with the support of the IOSCO Secretariat, to provide the audience with a fresh update about the landscape and the evolution of the sustainable investments markets after the publication of IOSCO report on sustainable finance and investor education on 31 August 2022, especially from the angle of retail investors, and with some possible tips.



Il momento è cri(p)tico

31 ottobre 2023, ore 10.30-12.00
Università Roma Tre Scuola di Economia e Studi Aziendali
Aula 4 Via Silvio D'Amico 77

Dal crollo degli Atzachi al fallimento della Lehman Brothers alle transazioni crittografate. Una conferenza-spettacolo che affianca all'ironica prospettiva del corteo teatrale di Janet DE NARDIS e Marco PASSIGLIA le indicazioni di Paola SOCCORSO (CONSOB) in merito a criptovalute e truffe online.
Interviene Massimo CARATELLI (Università degli Studi Roma Tre) per i saluti istituzionali.

L'evento è un'iniziativa del Progetto CONSOB

FINANZA IN PALCOSCENICO

programma di educazione finanziaria rivolto a tutti.

Partecipazione aperta fino a capienza della sala.

#OttobreEdufin2023
Il mese dell'educazione finanziaria








CONSOB
COMMISSIONE NAZIONALE
PER LE SOCIETÀ E LA BORSA

Conferenza
spettacolo

TAXI 29



**Due parole sulla
sostenibilità**





**WIW GLOBAL WEBINAR ON
SUSTAINABLE FINANCE IN
THE CONTEXT OF INVESTOR
EDUCATION AND INVESTOR
PROTECTION**

Registration is open from today until 1st October 2023

Going green is a trend which might be soon taking the lion's share in the retail financial markets. The diffusion of sustainable investment products within retail investors is expected on the rise in the coming years, following an intense debate about their main benefits and challenges and related regulatory proposals. The webinar gathers a group of experts to talk about sustainable finance and to provide the audience with some key messages about the state-of-the-art and the possible evolution, in particular from the angle of investor protection and investor education. International standard setters (IOSCO and OECD) and the industry (EFAMA and WFE) will share their thoughts and views about the present and the future of the retail sustainable investments' markets.

Link to online registration:
<https://www.iosco.org/meeting-registration/?MeetingID=313>

**2nd
October
2023**

13:00 - 14:00 CEST

White Rays Backgr

	CHIARA MOSCA INTRODUCTORY REMARKS COMMISSIONER, COMMISSIONE NAZIONALE PER LE SOCIETÀ E LA BORSA, ITALY
	PASQUALE MUNAFÒ MODERATOR CHAIRMAN OF IOSCO COMMITTEE ON RETAIL INVESTORS (COMMITTEE 8), CONSOB, ITALY
	BIANCA ISAINCU PANELLIST POLICY ANALYST, ORGANISATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT
	MARK MANNING PANELLIST STRATEGIC POLICY ADVISOR ON SUSTAINABLE FINANCE AT THE FCA
	NANDINI SUKUMAR PANELLIST CHIEF EXECUTIVE OFFICER, THE WORLD FEDERATION OF EXCHANGES
	TANGUY VAN DE WERVE PANELLIST DIRECTOR GENERAL OF THE BRUSSELS-BASED EUROPEAN FUND AND ASSET MANAGEMENT ASSOCIATION, EFAMA

JAPAN

WORLD INVESTOR WEEK 2023

National Coordinator: [Financial Services Agency \(FSA\)](#)

Other IOSCO members: [Japan Securities Dealers Association \(JSDA\)](#)

Other participants: [Japan Exchange Group \(JPX\)](#)

In Japan, this year's World Investor Week (WIW) was held from October 2-8. One of the characteristics of Japan's initiatives during the World Investor Week 2023 campaign is the active participation of SROs, namely the Japan Securities Dealers Association (JSDA) and Japan Exchange Group (JPX).

1. **Japan Securities Dealers Association (JSDA)**

In 2023, the JSDA actively participated and cooperated in the World Investor Week Campaign through the following activities:

• Creation of a special website and requesting cooperation from Association Members

The JSDA created a website introducing World Investor Week, and through it, widely disseminated the campaign. In addition, the JSDA created a WIW banner in Japanese and requested its Association Members to cooperate in the World Investor Week campaign by widely using the banner as well.

For more information, see: <https://www.jsda.or.jp/en/activities/international-events/others/html/wiw2023.html>

• Educational activities for young investors

The JSDA created a special website in order to provide inexperienced investors and uninterested people, particularly members of the young generation, with an opportunity to get to know about securities investment.

For more information, please see <https://www.jsda.or.jp/start/pr/soroyaba/index.html> (Japanese)

• Message videos from celebrities

The JSDA also posted video messages from celebrities for Securities Investment Day (designated October 4) on a specially created website for the event.



For more information, please see

<https://www.jsda.or.jp/start/pr/2023toushimessage/index.html> (Japanese)

• Educational activities for individual investors



The JSDA summarizes the main points of NISA (Nippon Individual Savings Account), which is a tax incentive system suitable for medium- and long-term asset building and disseminates information on special websites and leaflets.

For more information, please see <https://www.jsda.or.jp/nisa/index.html> (Japanese)

• Financial and securities education

The JSDA hosted seminars & events, dispatched lecturers, and provided online content for inexperienced or novice investors.

For more information, please see <https://www.jsda.or.jp/jikan/index.html> (Japanese)

<https://www.jsda.or.jp/jikan/manekatsu/> (Japanese)

https://www.youtube.com/channel/UCMdIaJg0R2Qxvvh-6c_aJjQ/ (Japanese)

(On the YouTube channel above, the JSDA uploaded archive footage of its “First Time Asset Management Lecture” webinar, hosted on October 4.

Ref: https://www.jsda.or.jp/jikan/seminar_info/info/20231004_1.html (Japanese))

• Preventing investment scams

In order to prevent investment scams, the JSDA has been and continues to conduct public awareness campaigns directed at general consumers and investors that call attention to their potential risk of becoming victims of investment fraud, through strengthening our collaboration with the police, government authorities, and association members.

For more information, please see https://www.jsda.or.jp/anshin/inv_alerts/toushisagi/campaign.html (Japanese)

In 2023, the JSDA also released an investor alert warning the general public of fake accounts and fake advertisements pretending to be securities companies or the JSDA on social media.

https://www.jsda.or.jp/about/hatten/inv_alerts/alearts05/index.html (Japanese)

2. Japan Exchange Group (JPX)

JPX has a long history of providing financial and economic education, and from April 2022, to further strengthen our activities, we launched a new brand called "JPX Manebu Lab". Under this brand, various programs for working adults and students are systematically organized into five "Manebu" streams, and we are developing our activities under this new structure.

*"Manebu" is a play on two words: the English 'money' and the Japanese word "manabu" meaning 'to learn'.

On October 4, which was Securities Investment Day in Japan during World Investor Week, JPX released the first activity report on its financial and economic education activities: the "JPX Manebu Lab" Report 2023.

3. **Financial Services Agency (FSA)**

The FSA contributed to the WIW 2023 as a national coordinator, closely communicating with relevant domestic and global parties and collaborating on events held during the campaign period.

This year, as Chair of the IOSCO Asia-Pacific Regional Committee, ARIIZUMI Shigeru, Vice Minister for International Affairs, delivered the opening remarks of WIW in the Asia-Pacific region, contributing to increased awareness of WIW.

In addition, on October 4, Securities Investment Day, the FSA and JSDA posted four-to-six-minute message videos from KISHIDA Fumio, Prime Minister, and SUZUKI Shunichi, Minister of State for Financial Services, on the JSDA's website, in order to explain the importance of asset building and to disseminate the NISA.

The FSA also published a press release about the WIW and the online content to raise awareness of the initiatives during the 2023 event.

KINGDOM OF BAHRAIN

WORLD INVESTOR WEEK 2023

National Coordinator: Central Bank of Bahrain

Other IOSCO members: n/a

Other participants: Bahrain Bourse

Bahrain Bourse hosted a Ring the Bell Event to promote the importance of financial literacy, below is a link to the campaign video:

<https://www.instagram.com/reel/Cx7QPffMOv3/?igsh=MzRIODBiNWFIZA==>

The Central Bank of Bahrain participated by posting tweets as per the "IOSCO World Investor Week 2023 Posts & Tweets Agenda", the following are links to the publications:

https://twitter.com/cbb_news/status/1710954541531697535?s=46&t=dw3am3s6vifzn3XIOD-K4g

https://twitter.com/cbb_news/status/1709898966240723277?s=46&t=dw3am3s6vifzn3XIOD-K4g

https://twitter.com/cbb_news/status/1709123514584727719?s=46&t=dw3am3s6vifzn3XIOD-K4g

https://twitter.com/cbb_news/status/1708410457785057523?s=46&t=dw3am3s6vifzn3XIOD-K4g

https://twitter.com/cbb_news/status/1709506274121949197?s=46&t=dw3am3s6vifzn3XIOD-K4g

https://twitter.com/cbb_news/status/1706241210145259978?s=46&t=dw3am3s6vifzn3XIOD-K4g

https://twitter.com/cbb_news/status/1706241305829835065?s=46&t=dw3am3s6vifzn3XIOD-K4g

https://twitter.com/cbb_news/status/1709506195478745571?s=46&t=dw3am3s6vifzn3XIOD-K4g

https://twitter.com/cbb_news/status/1710954610553168351?s=46&t=dw3am3s6vifzn3XIOD-K4g

https://twitter.com/cbb_news/status/1709899081445687567?s=46&t=dw3am3s6vifzn3XIOD-K4g

https://twitter.com/cbb_news/status/1709505986950517155?s=46&t=dw3am3s6vifzn3XIOD-K4g

https://twitter.com/cbb_news/status/1708803837425774926?s=46&t=4a1-WqYvbHEMSd0Gbz130Q

https://twitter.com/cbb_news/status/1709123578271047703?s=46&t=4a1-WqYvbHEMSd0Gbz130Q

https://twitter.com/cbb_news/status/1711308351135424581?s=46&t=4a1-WqYvbHEMSd0Gbz130Q

https://twitter.com/cbb_news/status/1711308207090467063?s=46&t=4a1-WqYvbHEMSd0Gbz130Q

https://twitter.com/cbb_news/status/1708803657636942050?s=46&t=4a1-WqYvbHEMSd0Gbz130Q

https://twitter.com/cbb_news/status/1710954792481161417?s=46&t=4a1-WqYvbHEMSd0Gbz130Q

https://twitter.com/cbb_news/status/1708804790132604940?s=46&t=4a1-WqYvbHEMSd0Gbz130Q

https://twitter.com/cbb_news/status/1709899118150127714?s=46&t=4a1-WqYvbHEMSd0Gbz130Q

https://twitter.com/cbb_news/status/1709506343676080557?s=46&t=4a1-WqYvbHEMSd0Gbz130Q

https://twitter.com/cbb_news/status/1710954490059239707?s=46&t=4a1-WqYvbHEMSd0Gbz130Q

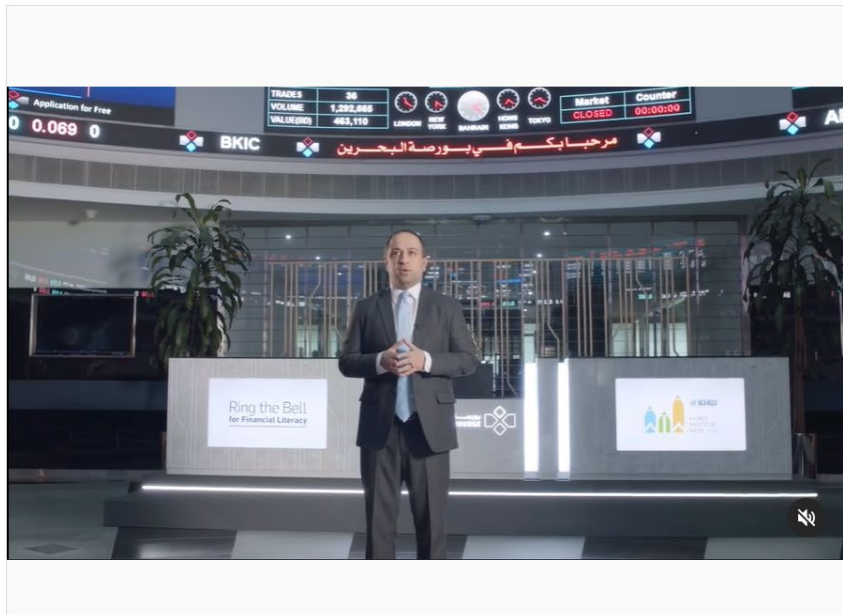
https://twitter.com/cbb_news/status/1709899032263274761?s=46&t=4a1-WqYvbHEMSd0Gbz130Q

https://twitter.com/cbb_news/status/1709123420108083526?s=46&t=4a1-WqYvbHEMSd0Gbz130Q

https://twitter.com/cbb_news/status/1708803786624397641?s=46&t=4a1-WqYvbHEMSd0Gbz130Q

https://twitter.com/cbb_news/status/1709123744730325455?s=46&t=4a1-WqYvbHEMSd0Gbz130Q

In addition to posting the Central Bank of Bahrain's participation in Bahrain Bourse's ring the bell for Financial Literacy Event on Instagram and Twitter:



centralbankofbahrain • Seguir
Áudio original

centralbankofbahrain Part of Central Bank of Bahrain's participation with @BahrainBourse to promote the importance of Financial Literacy through Ring the Bell for Financial Literacy Event. #WorldInvestorWeek #IOSCOWI2023
27 sem

90 curtidas
3 de outubro de 2023

<https://www.instagram.com/reel/Cx7VLeiM6uL/?igsh=MzRIODBiNWFIZA==>

https://twitter.com/cbb_news/status/1709101331061833759?s=46&t=4a1-WqYvbHEMSd0Gbz130Q

KINGDOM OF SAUDI ARABIA

WORLD INVESTOR WEEK 2023

National Coordinator: Capital Market Authority (CMA), Saudi Arabia

Other IOSCO members: n/a

Other participants: CMA's Awareness programs: Thameen and Smart investor

Campaign dates: 5th – 14th of October 2023

The Capital Market Authority (CMA) of Saudi Arabia has contributed to World Investor Week 2023 through its two awareness programs; Thameen (“Arabic for precious”, and Smart Investor). These two programs are CMA’s initiatives that have been created to increase national financial literacy. Thameen is targeting adults in general, as well as current and potential investors in the capital market, while Smart Investor is tailored for the youth generation.



The World Investor Week campaign for 2023 lasted for a total of ten days, starting from the 5th until the 14th of October 2023. The focus of the campaign was to cover the following areas: Investment, Fraud, Fintech, and Crypto Assets.

In order to reach the target audience for the campaign, different digital channels were used: Twitter, Instagram, YouTube, Facebook, and LinkedIn. For both Thameen and Smart Investor. Furthermore, several workshops were held during the WIW, in addition to University visits, where more than 120 people benefited from them. During the campaign period, more than 17 posts were published, the content included visuals, texts, graphics, and videos. By the end of the campaign, we reached over 22.6 million impressions, and more than 4.5 million views; the post engagement also had a positive outcome, accomplishing over 1.2 million interactions.

<https://thameen.org.sa> <https://si.org.sa>

Photo Gallery:



Thameen | تمين @thameensa · Oct 6

عند الاستثمار.. حلك حريص

#تمين
#WorldInvestorWeek
#IOSCOIW2023



1 طرق الاستثمار الآمن

2 تنوع السي الاستثمار

3 تأكد من نسبة المخاطر المحتملة

4 المتابعة المستمرة

1 4 2.9K

Thameen | تمين @thameensa · Oct 5

نصائح لازم تعرفها زين قبل تبدأ تستثمر

#تمين
#WorldInvestorWeek
#IOSCOIW2023



1 تحديد أهدافك الاستثمارية

2 قياس مستوى المخاطرة

3 معرفة المنتجات الاستثمارية

2 4 2.5K

Thameen | تمين @thameensa · Oct 8

تعرف حقوقك وواجباتك كمستثمر؟

تمين
#WorldInvestorWeek
#IOSCOWI2023



1:13

6 11 89 2.6M

Thameen | تمين @thameensa · Oct 7

حلك واعى فطين .. لا يحدعوك

تمين
#WorldInvestorWeek
#IOSCOWI2023



1:06

5 12 90 2.9M

Thameen | تمين @thameensa · Oct 14

قبل أن تستثمر ..

تمين
#WorldInvestorWeek
#IOSCOWI2023



تأكد من وجود
التراخيص الرسمية اللازمة قبل
أن تستثمر أموالك

تمين

2 3.4K

Thameen | تمين @thameensa · Oct 12

تعرف على أبرز منتجات التقنية المالية (فنتك)

تمين
#WorldInvestorWeek
#IOSCOWI2023



أبرز منتجات
التقنية المالية

تمويل الملكية الجماعية
وهي تمكن المستثمرين من
تمويل الشركات من خلال الحصول
على حصة من أسهمها وذلك
باستخدام بوابة تقنية

المستشار الآلي
تمكن المستثمرين من الحصول
على خدمات استشارية من خلال
منصة إلكترونية متعلقة بورقة
مالية

تمين

7 3.3K

Thameen | تمين @thameensa · Oct 18

تحديد أهدافك الاستثمارية أهم خطوة قبل اتخاذ أي قرار استثماري
ويمكنك معرفة ذلك من خلال الاستماع إلى كتيب تحديد الأهداف الاستثمارية والمخاطر

تمين
#WorldInvestorWeek
#IOSCOWI2023



استمع إلى كتيب
تحديد الأهداف
الاستثمارية والمخاطر

تمين

1 6 65 2.1M

Thameen | تمين @thameensa · Oct 17

قبل الاستثمار أعرف كيف تحدد أهدافك الاستثمارية
مع كتيب تحديد الأهداف الاستثمارية والمخاطر

تمين
#WorldInvestorWeek
#IOSCOWI2023



تمين

10 15 166 6.2M



LITHUANIA

WORLD INVESTOR WEEK 2023

National Coordinator: [Bank of Lithuania](#)

Other IOSCO members: [n/a](#)

Other participants: [Association of Lithuanian Banks](#), [Financial Freedom Forum](#), [Nasdaq Vilnius](#), [Invega](#), [Vytautas Magnus University](#), [Šiauliai Academy](#).

A survey by the Bank of Lithuania showed that as many as a quarter of Lithuanian residents involved in investing activities do not check whether they are purchasing a financial product from a legally operating company. Therefore, seeking to educate the public about safe investing, the Bank of Lithuania joined the World Investor Week 2023 (WIW) and invited people to learn or remember the basic rules of investing. The Bank of Lithuania created a special subpage on its website, organized an online seminar, prepared a test to check one's knowledge of investing and a guide on how to avoid giving one's money away to scammers, as well as delivered a presentation to the investor community.

The World Investor Week was celebrated in Lithuania from 23 to 29 October 2023.

- **Special page on the Bank of Lithuania's website**

The Centre for Financial Literacy of the Bank of Lithuania prepared the program which was published on the special subpage for the World Investor Week 2023 on the Bank of Lithuania's website (www.lb.lt). Each part of the program had its own section.

- **TV coverage of the World Investor Week 2023**

The WIW 2023 initiative and its events, activities and the investment situation in Lithuania were presented live on one of the most popular Lithuanian TV shows Good morning, Lithuania. The audience reached during this show was more than 250,000 people.

- **Knowledge test: how much do I know about investing?**

This anonymous test helped people in Lithuania to assess what they already knew about investing and what they still needed to learn more about. The test attracted a lot of public attention both during the WIW and after the event. Over 1,700 completed the test and some people are still taking it.

- **Online seminar “Measure twice, invest once”.**

The aim of the seminar is to provide knowledge and information for those who are considering investing now or in the future. The seminar participants learned: the 3 fundamental laws that guide smart investors; the real risks involved in investing in different instruments; how to spot investment scams; what to do if you do fall into a scam. More than 1,200 people viewed the seminar.

- **Memo “How to protect your money from fraudsters when investing”**

In Lithuania, studies and stories of people’s encounters with fraudsters show that many problems are caused by people’s lack of resilience to fraudsters: both the number of people defrauded and the amount of money lost are on the rise. Investment fraud is particularly high. Therefore, the Centre for Financial Literacy of the Bank of Lithuania has prepared and presented through the WIW a memo on the most important steps to avoid falling for a scam.

- **Presentation at the conference “Financial Freedom Forum”**

It is one of the largest events in Lithuania dedicated to enhancing financial literacy and investment knowledge. In this event, Dr Viktorija Dičpinigaitienė, Head of the Centre for Financial Literacy of the Bank of Lithuania, gave a presentation “Does Financial Literary (Not) Work?”. She says: “To start investing, you first need to remember the 5-finger rule: knowledge, practice, emotions, habits, purpose and meaning.”

- **Partners’ activities**

A growing number of organizations, universities and other institutions are focusing on the topics of financial literacy and investment. The partners celebrated the WIW by organizing a financial literacy exam, workshops on financial innovations and investment rules, discussions on crypto-assets, etc.

LUXEMBOURG

WORLD INVESTOR WEEK 2023

National Coordinator: [Luxembourg Commission de Surveillance du Secteur Financier \(CSSF\)](#)

Other IOSCO members: [n/a](#)

Other participants: [n/a](#)

The Commission de Surveillance du Secteur Financier (CSSF) participated in the World Investor Week from 2 - 8 October 2023. The following initiatives were carried out to disseminate the key messages of the WIW and to strengthen the awareness of the importance of financial education:

The CSSF published a press release to inform the public about the WIW.

<https://www.cssf.lu/en/2023/10/iosco-world-investor-week/>

<https://www.letzfin.lu/de/pressemitteilung-world-investor-week/>

<https://www.letzfin.lu/communiquede-presse-world-investor-week/>

On the website dedicated to financial education (www.letzfin.lu), the CSSF published the key messages of the WIW.

<https://www.letzfin.lu/de/world-investor-week-5/>

<https://www.letzfin.lu/world-investor-week-4/>

As part of the World Investor Week, a video “Understanding the risks linked to cryptos” was produced and published. This video provides information on some of the risks faced by crypto investors and users.

French version: <https://www.letzfin.lu/comprendre-les-risques-lies-aux-cryptos/>

German version: <https://www.letzfin.lu/de/die-risiken-von-kryptos-verstehen/>



On the Lëtzfîn Facebook and Instagram, 27 posts promoted the key messages of the WIW.



The Association of the Luxembourg Fund Industry (ALFI) participated in the World Investor Week by producing further RTL – Podcasts “[Money Talks](#)”.



MOROCCO

WORLD INVESTOR WEEK 2023

National Coordinator: [Moroccan Capital Market Authority \(AMMC\)](#)

Other IOSCO members: [n/a](#)

Other participants: [n/a](#)

For the seventh year running, the Moroccan Capital Market Authority (AMMC) took part in the World Investor Week (WIW), to promote financial literacy among the public. The World Investor Week 2023 was launched on the 2nd of October and continued until the end of December.

Financial literacy, a strategic priority for the AMMC

The AMMC attaches the utmost importance to financial literacy among the public, as a lever for capital market development. The AMMC's financial literacy program aims to enhance the knowledge of capital market concepts while making them accessible to the greatest number. Therefore, the AMMC is intensifying its efforts to facilitate and improve the understanding of key concepts related to the capital market.

The AMMC has led several activities to raise awareness towards the enhancement of financial education for investors through various instructional content targeting the public including:

A new issue of the "Investor's handbook"

The investor handbook is intended to provide current and future investors with the understanding of financial market concepts. The new release addresses general meetings.



The aim of this seventh issue is to outline the different aspects of general meetings, and to inform investors about the practicalities of attending them.

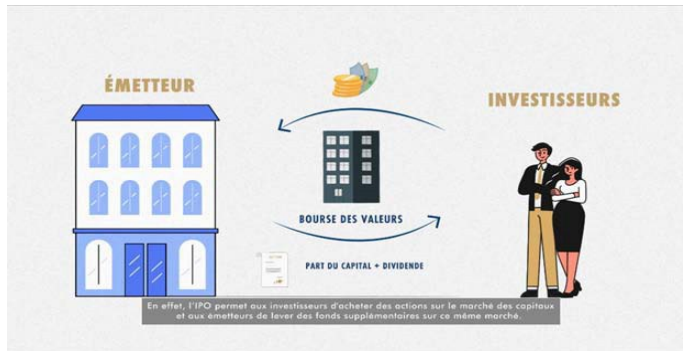
[Download French Version here](#)

[Download Arabic Version here](#)

Educational videos

The AMMC released a motion design video explaining what an Initial Public Offering is. This video serves as an educational tool, simplifying the concepts of the IPO through visually captivating storytelling.

What is an IPO ?



[Watch French Version here](#) [Watch Arabic Version here](#)

A comic book contest

As part of its mission to promote financial literacy, the AMMC launched a comic book contest as part of World Investor Week.

This competition endeavors to engage and challenge young individuals, from 12 to 24 years old, by evaluating their comprehension of fundamental financial principles. It aspires to elevate consciousness regarding the importance of financial literacy by encouraging innovative and original creations.

Participants are given the possibility to choose among these three topics: budgeting, savings, and investment in the capital market.



Financial education and awareness-raising key messages

The Moroccan Capital Market Authority has implemented a social media campaign, disseminating IOSCO key messages that were translated to French and Arabic and published in the authority's social media (LinkedIn and Twitter).

التمويل المستدام

المستثمر الواعي

يدرك أنه في حين يمكن تعريف الاستثمار باستخدام بطرق مختلفة (مثل الاستثمار البيئي والاجتماعي والحكومي أو الاستثمار المسؤول اجتماعيا أو الاستثمار المؤثر)، فإنه من المهم تحديد إذا ما كان الاستثمار يتطابق مع أهدافه وغاياته وقدرته على تحمل المخاطر.



www.ammc.ma | /ammc | @ammc_news

قدرة المستثمرين على الصمود

المستثمر الواعي

يدرك أن خطة استثماريه متنوعة وطويلة الأجل وملائمة من حيث مستوى المخاطر يمكن أن تساعد على مواجهة الظروف الاقتصادية المتغيرة وتقلبات السوق. فهو يفهم تأثير نسب العائدات الحقيقية على استثماره، بما في ذلك آثار التضخم على مختلف فئات الأصول.



www.ammc.ma | /ammc | @ammc_news

Finance durable

Un investisseur averti

Comprend que même si l'investissement durable peut être défini de différentes manières (intégration ESG, investissement d'impact, etc.), il est important de vérifier que l'investissement correspond à ses objectifs, à son horizon de placement et à sa tolérance au risque.



www.ammc.ma | /ammc | @ammc_news

Résilience des investisseurs

Un investisseur averti

Sait qu'une stratégie d'investissement diversifiée, axée sur le long terme et adaptée au risque peut aider à faire face aux évolutions de la situation économique et aux fluctuations du marché. Il comprend l'impact des taux de rendement réels, et notamment les effets de l'inflation sur les différentes catégories d'actifs.



www.ammc.ma | /ammc | @ammc_news

Student-oriented seminar

A Seminar was organized to benefit students from university students. This session was designed to explain financial concepts and covered the following topics:

- The Moroccan Capital Market Authority and its missions.
- The Moroccan capital market ecosystem (Financial instruments, market intermediaries, etc).
- Market surveillance and control.










A brochure outlining the main investment vehicles and their characteristics

The Moroccan capital market offers a range of financial instruments to invest savings. The AMMC has published a brochure detailing the main investment products available in the Moroccan capital market, their characteristics, and associated risks.



Marché des capitaux : les principaux placements et leurs caractéristiques

Produit de placement	Caractéristiques	Rendement	Garantie en capital	Durée de placement	
OPCVM  OPCVM actions  OPCVM obligations  OPCVM monétaires  OPCVM contractuels  OPCVM diversifiés	Les OPCVM sont gérés par des professionnels.	Constitués principalement d'actions de sociétés cotées en bourse.	Les OPCVM actions visent généralement à obtenir des rendements supérieurs à ceux des OPCVM obligataires et monétaires.	Non	Long terme
	Les sociétés de gestion mettent en commun les fonds collectés des souscripteurs pour constituer des portefeuilles d'instruments financiers, selon une stratégie d'investissement définie dans le règlement de gestion.	Constitués principalement d'obligations émises par des sociétés ou un Etat.	Les OPCVM obligations visent à générer des rendements plus stables et prévisibles que les OPCVM actions. Les rendements des OPCVM obligations sont généralement inférieurs à ceux des OPCVM actions.	Non	Moyen long terme
	Une part ou action d'un OPCVM représente une fraction du portefeuille d'instruments financiers.	Constitués principalement d'instruments du marché monétaire.	Les OPCVM monétaires sont conçus pour la préservation du capital et la liquidité plutôt que pour générer des rendements élevés.	Non	Court terme
		Conçus pour atteindre un objectif de rendement ou de performance spécifique sur une période déterminée.	Les rendements des OPCVM contractuels dépendent de la formule ou de la stratégie spécifique du fonds.	Variable selon le type de placement (cf note d'information)	Variable
		Constitués d'actions et de titres de créances et ne doivent appartenir à aucune autre catégorie.	Les OPCVM diversifiés visent à offrir un équilibre entre les rendements potentiels et la réduction du risque en investissant dans une variété de classes d'actifs.	Non	Variable
 Actions cotées	Titre de propriété représentatif d'une fraction de capital d'une entreprise.	Dividendes et +/- valeurs de cession s'il y a lieu	Non	Long terme	
 Obligations cotées (APE sans cotation)	Titre de créance représentatif d'une fraction d'un emprunt émis par une entreprise ou un Etat.	Coupon et +/- valeurs de cession s'il y a lieu	Non	Variable	

[Download French Version here](#) [Download Arabic Version here](#)

New content on the "Quiz Finance" mobile app

Two quizzes were released via the "Quiz Finance" mobile app, providing users a fun way to assess their knowledge of the capital market. One quiz covers general meetings, while the other one focuses on disclosure documents. Both quizzes feature questions directly drawn from the investor's handbooks previously published.

NEPAL

WORLD INVESTOR WEEK 2023

National Coordinator: Securities Board of Nepal (SEBON)

Other participants: Nepal Stock Exchange Limited (NEPSE), CDS and Clearing Limited (CDSC), Stockbrokers' Association of Nepal (SBAN), Dhitopatra Brokers Association Nepal (DBAN), Merchant Bankers' Association of Nepal (MBAN), Stockbrokers, Merchant Bankers and Credit Rating Agencies, Private Equity/Venture Capital (PE/VC) Fund Managers

SEBON in line with the guidance of Committee 8 (Committee on Retail Investors) of IOSCO organized various programs nationwide on the occasion of seventh World Investor Week. The World Investor Week was observed from October 2 to October 8, 2023. Market participants like NEPSE, CDSC, Merchant Bankers, Stockbrokers, Stock Dealers, Credit Rating Agencies and PE/VC Fund Managers played an active role in organizing various programs with overwhelming participation of general investors and other stakeholders. The programs organized in Nepal were aimed at raising awareness about the importance of investor education and protection basically based on the theme of investor resilience, crypto assets and sustainable finance complemented with frauds and scams prevention, basics of investing, technology and digital finance.

Various programs were organized during the week after pre discussion with all the concerned stakeholders of the programs. Major highlights of the weeklong campaign are summarized as below in chronological order:

First Day (2nd October 2023)

The first day of World Investor Week 2023 was celebrated as the opening ceremony of the weeklong campaign which was inaugurated by Honorable Finance Minister Dr Prakash Sharan Mahat. On this auspicious occasion, AI based chatbot was launched to facilitate the general investors by addressing their general queries related to capital markets. Similarly, to promote and empower the general investors to take the informed investment decisions, the booklets namely, 'Capital Market and Basics of Investment', 'Secondary Market and Investment', and 'Central Depository System, and Clearing and Settlement' that were published respectively by SEBON, NEPSE and CDSC and were unveiled by Honorable Finance Minister Dr Prakash Sharan Mahat. Similarly, winner and first runner up of essay competition on the topic 'Investor Resilience in Changing Economic Conditions' were awarded. This essay competition aimed to create the awareness among the post graduate students through their active involvement. A conference on 'Securities Market Infrastructures, Instruments, Investors' Behavior and Market Stability' was conducted with the massive participation of general investors, students, journalists, employees from different government and non-government organizations. In the conference, various aspects of securities markets were presented by expert from Tribhuvan University, NEPSE, Nagarik Stock Dealer and SBAN and queries raised by the participants were addressed by the related experts.

Second Day (3rd October 2023)

SEBON published key messages for the investors in the national daily “Karobar” and “Aarthik Abhiyan”. The key messages mainly included the major points the investors should be aware of before making investment decisions. SEBON had also incorporated the key messages on announced theme: Investor Resilience, Sustainable Finance, Frauds and Scams Prevention, Basics of Investing, and Technology and Digital Finance by IOSCO on the occasion of World Investor Week. Similarly, NEPSE, CDSC, Stock Dealers, Credit Rating Agencies, SBAN, MBAN and PE/VC Fund Managers published key messages in line with the announced theme for the investors in their websites, and print media.

Third Day (4th October 2023)

NEPSE and CDSC jointly conducted the guided tours to educate the students about the operations of secondary market and the services rendered by CDSC. This aims to aware the participants about different aspects of secondary market operation and functional structure of CDSC and its services. This program provided insights about the basic aspects of capital market to the students.

Fourth Day (5th October 2023)

As an important event of World Investor Week 2023, Memorandum of Understanding (MoU) was signed between SEBON and Federation of Nepalese Chamber of Commerce and Industry (FNCCI) for conducting jointly nationwide investor education and awareness building programs throughout the year. Mr Ramesh Kumar Hamal, Chairman of SEBON and Mr Chandra Prasad Dhakal, President of FNCCI have signed the MoU. On the same day, seminar on “Investment in Securities Markets and Risk Management” with the participation of traders, industrialists and investors was jointly conducted by SEBON and FNCCI as the first program under MoU. Similarly, Securities market education and awareness building programs were jointly conducted by SEBON, NEPSE, CDSC, MBAN, SBAN and DBAN on ‘Securities Markets, Basics of Investment and Risk Management’ in different places of Nepal.

Fifth Day (6th October 2023)

SEBON and Dakshinkali Municipality (local level government authority) jointly conducted the investors education and awareness building program in Dakshinkali Municipality. The program was conducted in the delightful presence of Honorable Rajan KC, Member of Parliament representing from the same electoral region and other local representatives and bureaucrats including Mayor, Deputy Mayor and Ward Chairpersons. This program aimed to provide the knowledge about capital market to local authorities and bureaucrats as well as investors. Dr Nabaraj Adhikari, Executive Director and Country Coordinator, World Investor Week 2023, SEBON made presentation on ‘Basics of Investment, Instruments, Investors Resilience, Sustainable Finance Prevention from Frauds and Scams, and Technology and Digital Finance’. Similarly, Securities market awareness and interaction programs on ‘Securities Market Dynamics and Informed Investment Decisions’ were jointly conducted by SEBON, NEPSE, CDSC, Stock Dealers, MBAN, SBAN, DBAN and Credit Rating Agencies in different places of Nepal. These places were selected so as to cover all the seven provinces of the country. There was active participation of different stakeholders like the retail investors, college students, the government, employees, the representatives from local government, cooperatives and nonprofit organizations, and the representatives from listed companies in the training programs.

Sixth Day (7th October 2023)

The expert talk initiative on ‘Investor Education, Awareness and Market Stability’ was conducted on Radio Nepal, national media in order to build awareness on securities markets. This initiative was conducted by SEBON with the coordination of Radio Nepal. Dr Nabaraj Adhikari, Executive Director, SEBON, Dr Prakash Kumar Shrestha, Executive Director, Nepal Rastra Bank, Dr Jitendra Dangol, Associate Professor, Tribhuvan University and KP Pandey, Lecturer, Tribhuvan university were the expert in the talk initiative. The experts kept their views on the need of investor education and awareness, financial literacy and market stability. Similarly, Walkathon from Bhrikuti Mandap to Basantapur Durbar Square was conducted by DBAN with the aim to aware general investors on basics of investing in securities markets.

Seventh Day (8th October 2023)

The first-ever big event, the MBAN Inter-College Capital Market Quiz Contest 2023 was organized at Global College, Mid-Baneshwor. This event's primary goal is to educate and sensitize on securities markets, growth and integrity. Eight colleges out of the 31 participating college teams qualified for the semifinal and final rounds. The team from Kathmandu University School of Management (KUSOM), Nepal College of Management (NCM) and Quest International College were winner, first runner up and second runner up respectively. The certification of recognition and cash prizes were distributed to winner, first runner up and second runner up respectively. This program was distinct and unique as it provides a very interactive and interesting platform for the participants to accelerate their knowledge on capital market. Similarly, webinar on ‘Investors Resilience, Securities Instruments, Sustainable Finance, Prevention from Frauds and Scams’, was conducted by SEBON with delightful presence of participants which was also broadcasted live from Facebook page of SEBON. Mr Ramesh Kumar Hamal, Chairman of SEBON highlighted the objectives of the World Investor Week 2023 and the events conducted during the campaign. He further opined that this campaign has significantly contributed in enhancing the education and awareness level of investors about the securities markets. The weeklong campaign ended here with the vote of thanks along with summarizing the details of weeklong program by Dr Nabaraj Adhikari, SEBON, Country Coordinator, World Investors Week 2023. Dr Nabaraj Adhikari gave thanks to all the participants of the weeklong campaign for their engagement in making the program successful and unprecedented.

Stock exchanges, market intermediaries, industry associations and other relevant stakeholders in the ecosystem have joined the securities regulators around the world to support a range of investor education initiatives aimed at enhancing investor protection. SEBON as the associate member of IOSCO also celebrated the World Investor Week 2023 in a broad way including all the possible stakeholders and territorial coverage. More than 15,000 participants in over 40 different locations of all seven provinces of Nepal have got direct benefit from this weeklong campaign. This campaign is effective in enhancing the education and awareness level of securities markets of the investors and other stakeholders and also empowering them for informed investment decisions. The active participation of the organizing institutions, overwhelming participation of investors in the countrywide programs and wide media coverage justifies the effectiveness, broadness and success of world investor week 2023 in Nepal.

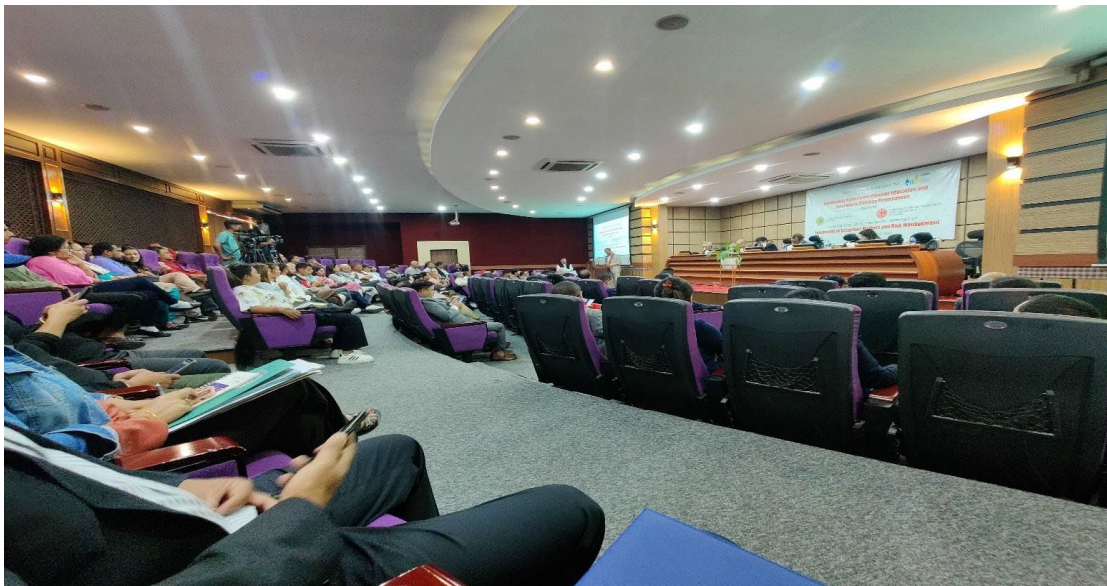
Some of the glimpses of the various initiative organized in Nepal on the occasion of World Investor Week 2023 are as follows:



*Inauguration of the **World Investor Week 2023** by Honorable Minister for Finance, Dr Prakash Sharan Mahat*



MoU signing ceremony between SEBON and FNCCI for conducting countrywide investor education and awareness programs



Joint seminar organized on investment in securities markets and risk management by SEBON and FNCCI at FNCCI building, Teku, Kathmandu



Inauguration by Honorable Mr Rajan KC, Member of Parliament for investors education program conducted at Dakshinkali Municipality



A glimpse of the investor awareness program conducted at Dakshinkali Municipality



Investors education program organized by SBAN at Banepa, Kavre



Honorable Hari Dhakal, Member of Parliament addressing the investors in the investor education and awareness program at Banepa, Kavre



Investors education program organized by SBAN at Bhimdatta Municipality, Kanchanpur



Investors awareness program organized by MBAN at Maijogmai Rural Municipality, Illam.



A glimpse of the capital market quiz program organized by MBAN



Interaction program conducted by DBAN with the presence of market participants and SEBON officials



Walkathon program organized by DBAN with the participation of employees of stockbrokers and general investors

PAKISTAN

WORLD INVESTOR WEEK 2023

National Coordinator: Securities and Exchange Commission of Pakistan (SECP)

Other IOSCO members: n/a

Other participants: Pakistan Stock Exchange Limited (PSX), Pakistan Mercantile Exchange Limited (PMEX), Central Depository Company of Pakistan Limited (CDC), Mutual Fund Association of Pakistan (MUFAP), Pakistan Stock Brokers Association (PSBA), Institute of Financial Markets of Pakistan (IFMP)

The beginning of World Investor Week 2023 was marked with a grand Inaugural Ceremony on October 02, 2023 at the Marriott Hotel Karachi. Mr. Abdul Rehman Warraich, Commissioner - SMD, Securities and Exchange Commission of Pakistan (SECP), graced the ceremony as chief guest. The ceremony saw the confluence of almost 100 representatives from top academic institutions, professional bodies, Capital Market Infrastructure Institutions, stock and commodity brokers, and asset management companies.



PSX marks World Investor Week 2023 with a gong ceremony



October 02, 2023

PSX marks World Investor Week 2023 with a gong ceremony

Pakistan Stock Exchange (PSX) along with numerous other exchanges & organisations across the world marked World Investor Week (WIW) 2023 through a gong ceremony held at PSX Trading Hall. The World Federation of Exchanges (WFE), of which PSX is an Affiliate Exchange, spearheads the WIW. The WIW is being held from October 2 - 8, 2023. PSX is holding this event and organising the WIW for the third consecutive year.

Present at the gong ceremony were representatives from the SECP, leading academic institutions, professional bodies, and securities brokers, amongst others. The week-long activities in World Investor Week such as webinars, in-person sessions, and workshop(s) are planned to promote the importance of financial literacy and to raise awareness about investor education and protection. The objective being to encourage financial inclusion and foster learning opportunities for investors.

The gong was struck by the Chief Guests, Mr. Abdul Rehman Warraich, Commissioner, Securities Market Division, Supervision Division, Securities & Exchange Commission of Pakistan (SECP) and Mr. Shoaib Javed Hussain, CEO State Life Insurance Corporation of Pakistan (SLIC), along with the PSX Board member(s) and MD & CEO PSX Mr. Farrukh H. Khan. The gong was struck amidst the presence of senior management of PSX and heads of leading brokerage houses, among others, to officially mark the beginning of the week-long World Investor Week and to open the trading day. The gong striking ceremony was followed by speeches by both the Chief Guests and by the MD & CEO PSX.



Seminars and Panel Discussions

SECP Partners with Industry Leaders to Empower Investors: To raise financial literacy and awareness, the Securities and Exchange Commission of Pakistan (SECP) teamed up with key capital market institutions like PSX, PMEX, CDC, MUFAP, PSBA, and IFMP. Renowned speakers from these organizations shared their expertise on various financial topics like savings, investing, personal finance, capital market products, mutual funds, and retirement planning.

Reaching Diverse Audiences: These insightful seminars catered to a broad audience, including students, faculty members, and corporate employees. The sessions were held at renowned Educational institutions like the Millennium Institute of Technology and Entrepreneurship (MiTE) in Karachi, the Institute of Business Administration (IBA) in Karachi, Bahauddin Zakariya University in Multan, Jinnah University for Women in Karachi, Shaheed Benazir Bhutto University in Sanghar, and the University of Sargodha. Additionally, seminars were conducted for employees of K-Electric and Sui Southern Gas Company Karachi.

This collaborative effort between SECP and industry equipped a diverse range of individuals with valuable financial knowledge, empowering them to make informed financial decisions and plan for their future.

Awareness session with the students and faculty members of Millennium Institute of Technology and Entrepreneurship (MiTE) - Karachi



Awareness session with the students and faculty members of Institute of Business Administration (IBA) – Karachi



Investor awareness session with the corporate employees of K – Electric – Karachi



Investor awareness session with the corporate employees of Sui Southern Gas Company (SSGC) – Karachi



Awareness session with the students and faculty members of Bahauddin Zakariya University - Multan



Investor Awareness Workshop for the students and faculty of Jinnah University of Women - Karachi



Investor Awareness Session for the students of Shaheed Benazir Bhutto University - Sanghar Campus



Investor Awareness Session for the students of University of Sargodha



Webinars

PSX Webinar on “Roshan Equity Investment the Shariah Way”



PSX
Pakistan Stock Exchange

Hilal invest
ARIF HABIB LIMITED

PSX Webinar
Roshan Equity Investment
the Shariah Way

In collaboration with Pakistan Business Professional Council Abu Dhabi, Hilal Invest, and Arif Habib Limited.

Topics of discussion

- Overview and trends of RDA/REI
- Which ETFs are available on PSX and how to invest in them
- Investing the Shariah way
- Q&A Session

Mr. Faraz Younus Bhatti, CFA
Co-Founder, Hilal Invest

Mr. Shabbid Ali Habib, CEO
Arif Habib Limited

Mr. Javed Hameed Hashmi, CMO
Pakistan Stock Exchange

Mr. Qasim Aziz, President
Pakistan Business Professional Council Abu Dhabi

#WorldInvestorWeek

Register in advance for this webinar

Date: 4th Oct, 2023 Link: <https://bit.ly/yks/ViaY>

Time: 3:00 PM (GST) Zoom ID: 851 7467 5509
4:00 PM (PST)



PSX Webinar on “Exchange Traded Funds”



PSX
PAKISTAN STOCK EXCHANGE

PSX Webinar
Exchange Traded Funds

Topics to be covered

- What is an ETF
- Mutual Funds vs. ETFs
- How can a Retail Investor invest in ETFs
- Global ETF Market
- Shariah Compliant ETF Investments
- How to get started

3rd October, 2023
03:00 PM

Registration
<https://bitly.ws/VJZ7>

Zoom ID
847 3736 9065

#WorldInvestorWeek

X-space

Fundamentals of Investment



PSX X Spaces (Twitter Spaces)

Gain valuable insights into the fundamentals of investment

Whether you're a beginner or looking to refine your investment skills, this session is for you!

Learn more about:

- Saving vs. Investment
- The need to Invest
- Types of Investments
- Investment for short term and long term goals
- Building a diversified investment portfolio

MODERATOR

Fahad Asif,
Manager Digital Media and Financial Literacy, PSX

SPEAKER

Raeda Latif,
Chief Marketing & Business Development Officer, PSX

Mark your calendar and join us on

Monday, 2nd October, 2023
3:00 PM

#WorldInvestorWeek

Investor Protection Measures at PSX



PSX X Spaces (Twitter Spaces)

What are Investor Protection Measures at PSX

Join us to gain valuable insight about Investor Protection measures and enhance your understanding of your investor rights.

Learn more about:

- Why investor protection measures are implemented?
- What measures have been put in place by PSX?
 - Dealing with registered brokers at registered offices
 - Important disclosures for customers while opening trading account
 - Placement of clients' trade instructions through agreed modes of communication with the broker
 - Transmission of confirmation of clients' trade execution within 24 hours
 - Transmission of alerts by NCOPL and CDC pertaining to their trades
 - Mandatory provision of quarterly account statements by brokers to clients
 - Investor's complaint and dispute resolution and appellate forums of PSX
 - Compensation from the Centralized Customer Compensation and Protection Fund of PSX
 - Reporting and disclosure obligations of the listed companies

MODERATOR

Fahad Asif,
Manager Digital Media and Financial Literacy, PSX

SPEAKER

Ajeet Kumar,
Chief Regulatory Officer, PSX

Mark your calendar and join us on

Friday, 6th October, 2023
3:00 PM

#WorldInvestorWeek

Road Shows and Exhibitions

Karachi's Dolmen Mall Transformed into Investment Hub: 3-Day Event Boosts Financial Literacy: As part of World Investor Week, Karachi's Dolmen Mall Clifton buzzed with financial excitement during a 3-day Investor Weekend exhibition held from October 13th to 15th, 2023. This immersive event aimed to demystify the world of investments and empower visitors to make informed financial decisions.

The exhibition offered a wealth of resources and opportunities.

Exploring diverse investment options: From stocks and bonds to mutual funds, attendees gained insights into various investment avenues.

Platforms for informed choices: Experts from leading financial institutions guided visitors through various platforms for making secure and strategic investments.

Boosting financial literacy: Interactive sessions equipped participants with the knowledge and confidence to navigate the financial landscape.

This engaging event wasn't just about information; it fostered a vibrant community of investors. Visitors actively participated in discussions, networked with professionals, and gained valuable financial insights. By bringing stakeholders together, the Investor Weekend played a crucial role in promoting financial literacy and empowering individuals to invest confidently in their future.



Multan Investment Road Show: A Thriving Hub for Financial Growth: Multan witnessed a pivotal moment in its financial landscape with the Pakistan Investment Road Show, held on October 6th, 2023. This collaborative effort by the PMEX, the SECP, and the Multan Chamber of Commerce & Industry (MCCI) marked a significant step towards bolstering investment relationships and advancing financial literacy in the region.

A Testament to Shared Vision: The event served as a powerful testament to the shared commitment of these institutions to cultivating a robust investment environment in Pakistan. By bringing together key stakeholders – including chamber members, entrepreneurs, local investors, and business leaders – the Road Show fostered valuable connections and paved the way for future collaborations.

Engaging Showcase and Knowledge Sharing: The Road Show offered a unique exhibition space for capital market entities, showcasing various stock & commodity brokers and leading asset management companies. This provided attendees with a direct window into diverse investment opportunities and facilitated interaction with industry experts.

Furthermore, an insightful seminar on savings and investment equipped participants with valuable knowledge and practical strategies. This blend of exposure and education proved highly impactful, attracting over 500 attendees eager to explore the exciting world of capital markets.





Collaborations

In line with World Investor Week 2023, Central Depository Company (CDC) signed an MoU with the Pakistan Institute of Corporate Governance (PICG) to launch a corporate governance portal called e-Go. The initiative has been taken to protect the rights of minority shareholders and engage the potential investor base in governance-related issues.

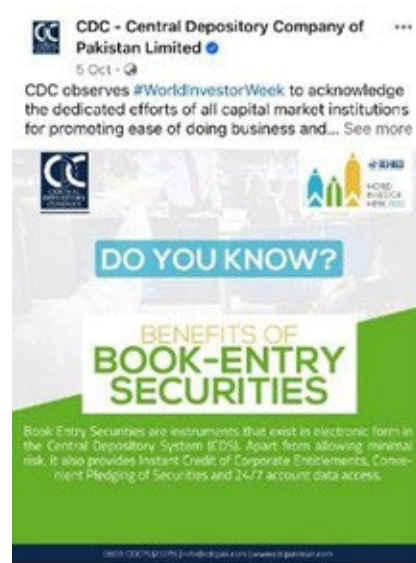


Social Media Promotion

To celebrate World Investor Week 2023 and promote investor awareness, a dedicated social media campaign buzzed across various channels. The campaign focused on informative posts and exciting promotions for the upcoming World Investor Weekend 2023. The impactful event promotion post garnered an impressive reach of over 35,000 individuals, demonstrating a strong interest in financial literacy. The audiences were engaged with timely updates, visually striking graphics, and insightful event takeaways, sparking a surge in activity across platforms.

But the reach wasn't limited to just one platform! The post was shared by all participating entities, including the Dolmen Mall group, amplifying the message and spreading awareness of capital market investment to a wider audience.

This social media blitz successfully leveraged the power of collaboration and online engagement to promote financial literacy and encourage participation in World Investor Weekend 2023. By working together, the organizers effectively reached a diverse audience and sparked interest in exploring the world of capital market investments.



Media Coverage

SECP



SECP Inaugurates the World Investor Week 2023. – Daily The Azb



SECP launches 'world Investor week' to promote investors' education

ISLAMABAD: In collaboration with the International Organization of Securities Commissions (IOSCO) the Securities and Exchange Commission of Pakistan (SECP) has launched its second annual World Investor Week (WIW). It will continue up to October 7. Being an IOSCO member, the SECP participated in WIW 2017 by carrying out activities with professional bodies, entrepreneurs, corporate bodies and students, said in statement issued by SECP here on Monday. Once again, the SECP is geared to conduct investor awareness sessions for audiences ranging from the youth to professionals from various walks of life in Karachi, Lahore, Islamabad, Peshawar, Gilgit, Skardu and Khuzdar. A key objective of the WIW is to highlight the importance of investors' education and protection and to foster learning opportunities for investors, given today's rapidly changing environment of online and technological innovations.

INTERNATIONAL THE NEWS

PSX begins WIW 2023

KARACHI: The Pakistan Stock Exchange (PSX) joined hands with exchanges and organisations worldwide to celebrate World Investor Week (WIW) 2023. The event commenced with a gong ceremony held at the PSX Trading Hall.

PSX, an affiliate exchange of the World Federation of Exchanges (WFE), is hosting the WIW for the third consecutive year. This global event takes place from October 2 to 8, 2023, with the aim of promoting financial literacy and emphasizing the importance of investor education and protection. The gong was struck by chief guests, Abdul Rehman Warraich, Commissioner of the Securities Market Division at SECP, and Shoaib Javed Hussain, CEO of the State Life Insurance Corporation of Pakistan (SLIC). They were joined by PSX Board members, as well as PSX MD and CEO Farrukh H Khan.



PSX marks World Investor Week 2023 with a gong ceremony

KARACHI-Pakistan Stock Exchange (PSX) along with numerous other exchanges & organisations across the world marked World Investor Week (WIW) 2023 through a gong ceremony held at PSX Trading Hall. The World Federation of Exchanges (WFE), of which PSX is an Affiliate Exchange, spearheads the WIW. The WIW is being held from October 2 – 8, 2023. PSX is holding this event and organising the WIW for the third consecutive year. Present at the gong ceremony were representatives from the SECP, leading academic institutions, professional bodies, and securities brokers, amongst others. The week-long activities in World Investor Week are planned to promote the importance of financial literacy and to raise awareness about investor education and protection. The objective being to encourage financial inclusion and foster learning opportunities for investors.



SECP Inaugurates the World Investor Week 2023.

Karachi, October 2, 2023: Mr. Abdul Rehman Warraich, Commissioner, Securities Market Division Securities and Exchange Commission of Pakistan (SECP) formally inaugurated the World Investor Week 2023 in Pakistan in a grand ceremony organized by the Pakistan Mercantile Exchange (PMEX) in Karachi on October 02, 2023. The said week (Oct 02-08) will comprise of week long investor outreach, education, and awareness events titled 'Financial Inclusion Festivals' organized by Pakistan Mercantile Exchange with the support and guidance of Investor Education Department SECP. These events will comprise of exhibitions by stock and commodity brokers and asset management companies at Millenium Institute of Technology and Entreprenship (MiTE), Institute of Business Administation (IBA), KElectric, Sui Southern Gas Company Limited, and a Pakistan Investment Road Show in Multan with the collaboration of Multan Chamber of Commerce and Industry.



SECP kicks of World Investor Week

KARACHI: The Securities and Exchange Commission of Pakistan (SECP) launched the World Investor Week 2023 in Pakistan on Wednesday, aiming to promote financial literacy and inclusion among the public. The week-long event, organized by the Pakistan Mercantile Exchange (PMEX) with the support of SECP's Investor Education Department, will feature various activities such as exhibitions, workshops, and road shows across the country. The event was inaugurated by Abdul Rehman Warraich, SECP's Commissioner for Securities Market Division, in a ceremony attended by representatives from the capital market, academic institutions, professional bodies, and asset management companies. Warraich said that SECP would continue to spearhead the initiatives of financial literacy to educate the masses about the opportunities, risks, and benefits of investing in the capital market. He also highlighted the untapped potential of the commodities market and SECP's role in its development and growth. Abdul Qadir Memon, Chairman of PMEX, said that PMEX was committed to empowering investors through knowledge and best practices.

BUSINESS RECORDER

Karachi, Friday 13 October 2023, 30 Rabi-ul-Awwal 1445

PMEX organizes investment road show in Multan

KARACHI: Pakistan Mercantile Exchange (PMEX) organized Pakistan Investment Road Show in Multan in collaboration with the Securities and Exchange Commission of Pakistan (SECP) and Multan Chapter of Commerce and Industry (MCCI). This event was organized during the World Investor Week 2023 by PMEX under the guidance of SECP to spread financial literacy and encourage the culture of savings and investing.

The event comprised of an exhibition showcasing securities features, commodities features, and trading related facts. — PM

PAKISTAN TODAY

Pakistan Mercantile Exchange organizes Investment Road Show in Multan



MULTAN: Pakistan Mercantile Exchange (PMEX) organized Pakistan Investment Road Show in Multan in collaboration with the Securities and Exchange Commission of Pakistan (SECP) and Multan Chapter of Commerce and Industry (MCCI). This event was organized during the World Investor Week 2023 by PMEX under the guidance of SECP to spread financial literacy and encourage the culture of savings and investing.

The event comprised of an exhibition showcasing securities features, commodities features, and trading related facts. — PM

THE NEWS

Friday, October 13, 2023

PMEX holds investment road show

Item Text

MULTAN: Pakistan Mercantile Exchange (PMEX) organized Pakistan Investment Road Show in Multan in collaboration with the Securities and Exchange Commission of Pakistan (SECP) and Multan Chapter of Commerce and Industry (MCCI), a statement said.

The event, which was held during the World Investor Week 2023, aimed to spread financial literacy and encourage the culture of savings and investing.

The event featured an exhibition showcasing securities features, commodities features, and trading related facts, as well as an interactive quiz competition where experts from SECP and PMEX educated the participants about the regulatory role, the benefits of trading and saving, and the opportunities of investment in the stock market.

PMEX representative explained the benefits of trading with a PMEX, which offers transparent, secure and efficient platform for trading and investing in securities.

She added that, besides of SECP, appreciation for education and skills should be kept for the city's education for skill knowledge about stock and other investment decisions. "There is a big support of PMEX and SECP to ensure that every citizen has the right to a safe and sound investment plan," he said.



نیوز 92 کی اس سہ ماہی میں پاکستان کے تازہ ترین خبریں اور تجزیاتی مضامین شامل ہیں۔ اس سہ ماہی میں پاکستان کے تازہ ترین خبریں اور تجزیاتی مضامین شامل ہیں۔



جہانم کی اس سہ ماہی میں پاکستان کے تازہ ترین خبریں اور تجزیاتی مضامین شامل ہیں۔ اس سہ ماہی میں پاکستان کے تازہ ترین خبریں اور تجزیاتی مضامین شامل ہیں۔



جہانم کی اس سہ ماہی میں پاکستان کے تازہ ترین خبریں اور تجزیاتی مضامین شامل ہیں۔ اس سہ ماہی میں پاکستان کے تازہ ترین خبریں اور تجزیاتی مضامین شامل ہیں۔

The Financial Daily International

Pakistan Mercantile Exchange organizes an Investment Road Show in Multan

MULTAN: Pakistan Mercantile Exchange (PMEX) organized Pakistan Investment Road Show in Multan in collaboration with the Securities and Exchange Commission of Pakistan (SECP) and Multan Chapter of Commerce and Industry (MCCI). This event was organized during the World Investor Week 2023 by PMEX under the guidance of SECP to spread financial literacy and encourage the culture of savings and investing.

The event comprised of an exhibition showcasing securities features, commodities features, and trading related facts. — PM

انسان

انسان کی اس سہ ماہی میں پاکستان کے تازہ ترین خبریں اور تجزیاتی مضامین شامل ہیں۔ اس سہ ماہی میں پاکستان کے تازہ ترین خبریں اور تجزیاتی مضامین شامل ہیں۔

پاکستان میں کرنٹنٹ کی پیشکش

پاکستان میں کرنٹنٹ کی پیشکش کی تقریب میں شرکت کرنے والے افسران اور میزبانوں کی تصویریں دکھائی گئی ہیں۔

Saturday

October 14, 2023

SECURITIES AND EXCHANGE COMMISSION OF PAKISTAN (SECP) AND CENTRAL DEPOSITORY COMPANY OF PAKISTAN (CDC) HOLD 3-DAY EVENT

By our correspondent

KARACHI: The Securities and Exchange Commission of Pakistan (SECP) and the Central Depository Company of Pakistan (CDC) have joined forces with leading asset management companies and brokerage houses to organize a three-day event in Karachi to promote financial literacy and

POLAND

WORLD INVESTOR WEEK 2023

National Coordinator: Poland, [Urząd Komisji Nadzoru Finansowego \(UKNF\)](#)

Other IOSCO members: n/a

Other participants: [KDPW_CCP](#), [Giełda Papierów Wartościowych w Warszawie S.A.](#), [GPW Foundation](#), [CFA Society Poland](#), [Warsaw Institute of Banking Foundation](#), [Franklin Templeton](#), [the National Police Headquarters](#)

The agenda of the 7th edition of the World Investor Week campaign, the WIW 2023, included events taking place not only in the first week of October, but also throughout the whole month.

The WIW in Poland was for the second time inaugurated by the [‘Ring the Bell for Financial Literacy’](#) event organized on 2 October 2023 on the initiative of the Warsaw Stock Exchange (GPW) and the GPW Foundation in cooperation with [Urząd Komisji Nadzoru Finansowego \(UKNF\)](#), the Polish financial supervisor’s administration, and the [KDPW_CCP](#). The event agenda featured two presentations delivered by the UKNF representatives: Does investing your money always mean multiplying your capital: what is the difference between investments in the capital market and investment ‘opportunities’? and Online investment fraud: how not to get robbed on the internet. The event also served as an occasion to present educational projects of the organizers.

As part of the 7th WIW and the educational project of the Education Centre for Market Participants (CEDUR), the UKNF organized 8 webinars for students and teachers from secondary schools. The webinar topics included: Investor on the Warsaw Stock Exchange (GPW): how the GPW operates and where to find information about companies; Cybersecurity from the perspective of a user of financial services: practical aspects; Crowdfunding: basic information and investor protection; Various forms of investing in the capital market: opportunities and risks from the perspective of beginner investors; Investment funds: how to begin investing; How to protect your phone and not get hacked; Fraud and other criminal offences in the financial market: rules of investing; Different sides of risk: assessment of risk level, relationship between profit and risk: examples of selected financial services and products.

The WIW 2023 campaign agenda also included a CEDUR webinar for retail investors titled Informed investment decision-making: crowdlending, organized by the UKNF in cooperation with the National Police Headquarters. According to the estimated data, 6 760 participants took part in the CEDUR webinars organized for the World Investor Week.

The Polish WIW agenda included [the 3rd edition of the Financial Education Day](#) organized by the GPW Foundation in cooperation with the Warsaw Stock Exchange (GPW). The event was attended by close to 1 800 people (on-site and online). The agenda of the Financial Education Day included a debate among experts and multiple lectures for those interested in learning more about personal finance and investing. The event also featured two presentations delivered by the UKNF representatives: Cyber fraudsters and false investments on the Internet and Let’s talk about the capital market: how to shape mature investor’s attitudes. In addition, for the first time during the Financial Education Day, a Meeting of Student Societies took place, bringing together students representing 7 student societies from 7 Polish universities.

The WIW 2023 agenda also included two lessons for secondary school students, organized as part of the 3rd edition of EduAkcja project organized by the CFA Society Poland, the GPW Foundation, the Warsaw Institute of Banking Foundation and Franklin Templeton, titled: The quest for capital: benefits and process of entry on the stock exchange and Saving and investing.



Summary of the campaign World Investor Week (WIW) in Poland

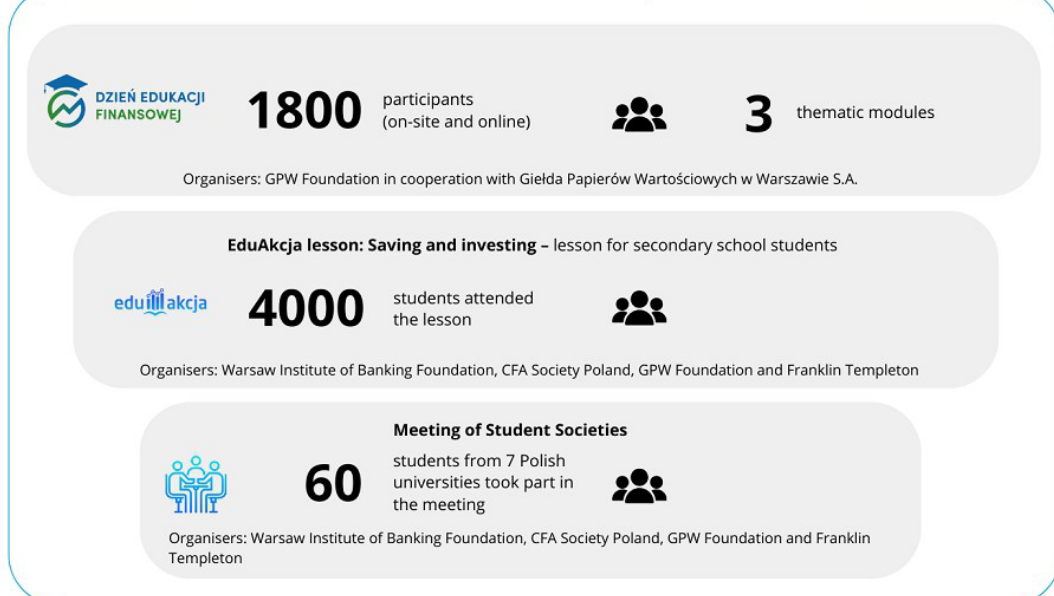
CEDUR webinars offered during the WIW



Other programme proposals implemented during the WIW



3rd edition of the Financial Education Day – 18 October 2023



These data are estimates

PORTUGAL

WORLD INVESTOR WEEK 2023

National Coordinator: CMVM - Comissão do Mercado de Valores Mobiliários

Other IOSCO members: n/a

Other participants: Partners: Banco de Portugal (BdP), Portuguese Insurance and Pension Funds Supervisory Authority (ASF), Euronext Lisbon (Lisbon Stock Exchange), AEM (Portuguese Issuers Association) APB (Portuguese Banking Association), APIFPP (Portuguese Investment and Pension Funds Association), APAF (Portuguese Association of Financial Analysts) and APS (Portuguese Insurance Association), CFA Society Portugal, the Portuguese Association for Consumers Protection (DECO), the Directorate-General for Education (DGE) and the Portuguese Tax Authority (AT).

As in previous years, the CMVM's coordination of WIW2023 took place in close cooperation with twelve relevant stakeholders of the Portuguese financial sector. CMVM believes that the partnership with these entities enriches the experience and maximizes the dissemination of key messages to investors.

While developing this year's program, two main topics guided our choices: (i) the importance of savings and the role capital markets can play and (ii) the importance of digital channels and tools in financial investment and innovation, and the underlying challenges to investors. These considerations led to the WIW2023 slogan "**Save for the future, invest with innovation**".

Following CMVM's guidance, the partners were invited to organize their own events under CMVM's coordination. In the 2023 edition, twenty-eight events were organized, the majority of which in digital format.

All information concerning events and partners in 2023 is available on our WIW dedicated site. The website also has a link to the YouTube channel, where most of the events were streamed. The WIW channel currently stores all the content from this and previous editions, constituting a rich data base of information for the investor, that can be accessed by the public at any time. To navigate it, please click <https://smiportugal.pt/>.

The main initiatives are listed below and reflect the above-mentioned objectives.

APB - Portuguese Banking Association | "Open Day in Banking"

The APB organized three in person initiatives in bank branches called "**Open Day in Banking: come and learn about digital banking**" targeted at high school students and young investors to learn about new solutions and services that banks provide resulting from digital innovation. Some banks now have innovative and multifunctional customer services, which move away from the traditional concept of a bank branch. It was deemed important that young people were made aware of this new reality and deepen their knowledge on digital finance.

Around 200 high school students participated in this initiative.

CFA – CFA Society Portugal | “Medium, long-term savings through real estate investment”

Within the scope of the promotion of savings through real estate funds, the CFA organized a session (hybrid format) where various experts explained how real estate investment funds can be used for growing one’s savings, how they operate, what the big challenges in the real estate industry are, namely in the housing market.

Three top managers of the largest real estate funds participated, conveying a very interesting and educational perspective on this type of investment and key information about the sector.

Forty-five (45) people attended the session in person and around 400 participated remotely.

APS – Portuguese Insurance Association | “The importance of savings for reform and the contribution of complementary solutions to the public regime”

APS organized a webinar that started with a very interesting overview of the savings landscape and recent evolution in Portugal, followed by a debate about the importance of preparing for retirement and measures to complement the existing solutions to better face the challenges surrounding the sustainability of the current public regime.

Around 450 people participated in the event (online) including through the WIW YouTube streaming.

AEM – Portuguese Issuers Association | “European Taxonomy – impact on companies and investors, challenges, best practices, new geographies, and perspectives for the future”

The AEM organized a webinar to discuss the European Taxonomy, given its role in redefining the financial landscape and, as markets adjust to its guidelines, opening new perspectives and geographies for investment as well as expansion and diversification.

However, speakers recognized that the adaptation to these new rules and criteria is challenging, impacting the activity of companies and investors alike, as the former need to align their business strategies with the new and highly complex regulation and the former need to navigate through these changes and understanding them.

The role of Green Taxonomy was explained and the perspective of regulators and companies on the application and evolution of the European framework, particularly in terms of reporting, both from a national and international perspective.

One hundred and twenty-four (124) people watched this initiative through WIW2023 YouTube streaming.

CMVM, IAPMEI, Turismo de Portugal and Banco de Portugal | “Protection and innovation in digital financial transactions: the impact of new European regulation”

Within the scope of an existing partnership in financial education, the above-mentioned entities under the coordination of the CMVM, organized a webinar about the impact of new regulation in digital financial transactions. This webinar was targeted at entrepreneurs and management of small and medium sized companies.

Four hundred and fifty (450) people followed this initiative through its zoom link and 120 through the WIW2023 YouTube streaming.

Portuguese Tax Authority | “Taxation of financial products for individual investors”

The Portuguese Tax Authority joined the WIW as a partner for the first year in 2023. This event was organized online and started by clarifying the concept of individual taxation and by drilling down to taxation of Financial Products. The webinar was quite comprehensive focusing on taxation of bank deposits, shares, bonds, saving plans, investment funds, life insurance, certificates, instruments of public debt and crypto assets. More than 3000 people watched this event, streamed in our YouTube channel and made available in the Authorities social media network.

DECO | “Savings and investment in capital markets”

This webinar moderated by a journalist, discussed Portuguese population savings levels, their preferences, and habits. It also analyzed the current and desirable participation of retail investors in capital market and their expectations regarding market developments. Some important investor protection considerations were highlighted.

Through our WIW YouTube channel about 184 people followed this initiative.

CFA – CFA Society Portugal, CMVM | “Farsighted, patient and efficient: becoming a long-term investor is simpler than it seems.”

This was an initiative organized by the CFA Society Portugal with the CMVM and the University of Economy of Oporto (FEP), as a hybrid event. The situation of the Portuguese social security system, its sustainability and its connection to the Portuguese demographic evolution was presented. After the presentation by the CFA, there was a debate on the importance of strengthening the private savings pillar.

In person, through Zoom and YouTube about 500 people participated in this session.

ASF – Portuguese Insurance and Pension Funds Supervision Authority | “Long-term savings and retirement: current characterization and instruments of the insurance and pension fund sector.”

This initiative was organized online and was based on a survey carried out by the University of Minho, within the scope of a cooperation agreement signed with the Insurance and Pension Funds Supervision Authority (ASF), on long-term savings for retirement, focused on the needs, habits and motivations in planning that phase of life.

The main objective of the webinar was to present the main conclusions of the survey, while analyzing the current situation of savings in Portugal. Relevant information was provided on the importance of savings, as well as of savings instruments provided by the insurance and pension fund entities, with a focus on long-term savings for retirement.

One hundred and fifty-one (151) people followed this initiative through WIW2023 YouTube streaming.

CMVM – LIVE at NOVASBE “Investment in the capital market... risk or opportunity”

In coordination with the NovaSBE, the CMVM organized, at the university’s premises, a live session that was divided into two main parts: a first module about “How to start investing” and a second segment about financing through the capital markets. In the latter, it was explained to students in what way football companies use the market to raise funds and how companies in Portugal have used capital markets to finance green projects. A former football player, who is today a strong defendant of financial literacy, was the keynote speaker.

45 to 50 students participated in this event in person and 305 followed the event on WIW’s Youtube channel.

APFIPP, Euronext Lisbon “Artificial Intelligence in Savings Management: opportunities and risks”

APFIPP and Euronext, in coordination with the Universidade Católica Portuguesa, held a hybrid session on how AI can be used in savings management and the associated opportunities and risks. The panel was rich in perspectives bringing together a student, a journalist, representatives of a well-known IT multinational company and from investment companies. The session was streamed through the platform of a major economic newspaper and, consequently, achieved around 40.000 followers. 248 people watched it through the WIW’s Youtube channel.

CMVM, DGE “Digitalization in financial services: crypto assets and the importance of social media in decision making.”

Like last year, the CMVM, in partnership with the Portuguese Directorate-General for Education (DGE), organized a webinar targeted at teachers about digitalization impact on investment, social media’s growing importance and the increased appetite for crypto assets.

About 260 teachers followed this webinar and 135 people watched it through the WIW's Youtube channel.

APAF - Portuguese Association of Financial Analysts | "Digital Revolution: Risks and Opportunities"

APAF organized this debate, returning to a face-to-face format. The digital revolution and its most relevant impacts, both from the perspective of opportunities and risks for participants in financial markets, were discussed. In this session, APAF was joined by LSEG to discuss how innovation is driving growth and efficiency in financial markets, but also how preserving their integrity is key to successfully navigating these new scenarios.

CMVM | LIVE in ISEG "How to invest in a growing digital world"

In coordination with the ISEG and its students, a live session was organized at the university to discuss investment in a growing digital world.

The CMVM invited a well-known Portuguese *finfluencer* as the Keynote speaker, who opened the session in a lively manner, sharing with the public not only her view on digital investment, but also her own experience as a young investor.

The event had two parts: the first on "How to start investing" (basic investment principles) and the second on the advantages and risks associated with digital investment, i.e, social media impact, cyber security, behavioral factors, neo brokers and the importance of investment as a channel to secure the future.

Around 45 to 50 students attended this event in person and around 428 watched it on Youtube.

DECO | "A conversation about digitalization and gamification in investment activities"

This online event started by addressing the current situation regarding savings and investment habits of the Portuguese population. This was followed by a debate on innovation and digitalization in financial investment services and products.

DECO also addressed the issue of gamification in investment activities and how many platforms use gaming as a tool to entice investors, how it operates, and the associated risks.

Seventy-two (72) people followed this initiative through the WIW2023 YouTube streaming.

CMVM | "Get Together."

To close WIW 2023, the CMVM organized a Get Together with the WIW2023 partners, their guests, and CMVM's guests aimed at promoting networking. It was also an opportunity to discuss and exchange opinions on the topics debated throughout WIW 2023.

Before this more informal moment a conversation between Luís Laginha de Sousa, Chair of the CMVM Management Board, and Filippo Annunziata, professor at the Bocconi University in Milan, took place with the latter sharing with guests his vision on regulation of capital markets, and how it impacts/will continue to impact both investors and market participants.

WIW 2023 in numbers:

Partner	12
Number of	28
Number of direct	48.000^[1]
New	5 videos Second edition of Investor's
Press releases	3
Printed news	-
TV	Not yet
Social media	1.163.597(CMVM LinkedIn, X and
Social media impressions	107.12
WIW YouTube views (2-10	2.86
Site	50.08

^[1] With a lot of activities happening digitally and in social media, an exact number is challenging to obtain. Estimate based on data on our YouTube channel, social media and zoom sessions.

^[2] This data includes metrics about social media ads on LinkedIn and Instagram

REPUBLIC OF KOREA

WORLD INVESTOR WEEK 2023

National Coordinator: [Financial Supervisory Service, Republic of Korea](#)

Other IOSCO members: [n/a](#)

Other participants: [Korea Financial Consumer Protection Foundation and the Korea Council for Investor Education](#)

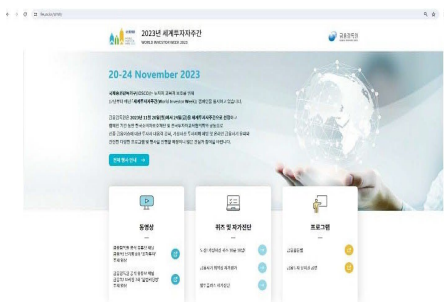
The Financial Supervisory Service (FSS) of Korea hosted the 4th World Investor Week (WIW) in coordination with the Korea Financial Consumer Protection Foundation and the Korea Council for Investor Education from November 20 to 24, 2023. Topics discussed at the WIW were Crypto Assets, Fraud Prevention, and Fractional Investment. In 2023, various face-to-face education programs as well as virtual events were provided.

During the WIW, the FSS created and uploaded a YouTube video about fractional investment to increase public understanding of the new way of investing. The video explained the risks embedded in fractional investment and what to look out for when engaging in fractional investment. At the end of December 2023, its cumulative views reached about 5,000. The FSS also launched a webpage with educational contents on crypto assets and frauds prevention as well as information on various WIW events in 2023.

<< YouTube Video >>



<< 2023 FSS WIW Webpage >>



In addition, the FSS, the Korea Financial Consumer Protection Foundation and the Korea Council for Investor Education held three (3) quiz contests on crypto assets and frauds prevention as ways to generate more public interest. Prizes were given to randomly picked 200 participants.

During the WIW, the Korea Council for Investor Education provided outreach financial education programs dedicated to middle school students on November 21, 2023. The programs were designed to promote the knowledge about investment fraud and overall financial literacy, and about 100 students from three (3) different schools in Hongcheon participated in the programs.

<< Outreach Education Programs >>



In Bucheon, a musical made to help improve students' financial literacy was shown in a high school.



<< Musical >>



Also, the FSS made efforts to strengthen the financial capabilities of students after the WIW, which included an award ceremony for the 18th financial contest. The awards were given to 165 participants, individuals, teams, financial firms, etc.

<<Award Ceremony for the Financial Contest>>



ROMANIA

WORLD INVESTOR WEEK 2023

National Coordinator: [Financial Supervisory Authority](#)

Other IOSCO members: [n/a](#)

Other participants: [Bucharest Stock Exchange \(BVB\)](#), [Institute of Financial Studies](#), [Central Depository](#), [universities](#), [schools and high-schools](#), [National Union of Insurance and Reinsurance Companies from Romania \(UNSAR\)](#), [companies in financial markets](#)

Financial Supervisory Authority (FSA) considers financial education as one of its strategic objectives in its activities, aiming to increase the level of financial education in Romania through its financial education programs and actions. In this context, the FSA implements financial education programs and actions for all target groups, from children and students to adults and consumers specific to non-banking financial markets.

Given the current highly dynamic and competitive nature of financial markets, financial education is becoming increasingly important to shape healthy financial behaviors with the ultimate goal of well-being. In this regard, a financially educated population is less vulnerable to potential economic shocks. Financial education programs and actions carried out by FSA target elements such as knowledge, attitudes, and behaviors.

Financial Supervisory Authority organized in 16-20th October, 2023, under the umbrella of World Investor Week (WIW), a series of actions and events dedicated to financial education. The purpose of these initiatives is to raise awareness about the importance of acquiring specific knowledge related to non-banking financial markets among students, young people, and the general public.

In the regard of WIW 2023, FSA organized multiple financial education actions and activities that included seminars and webinars, trainings, and other events, all aimed at raising awareness about the importance of financial education and encouraging people to get involved in it.

Within WIW 2023, a unique event in Romania was organized – the White Night of Universities. **White Night of Universities** is a conceptual event where students experience edutainment, networking, coaching, and mentoring. They have the opportunity to meet and learn the stories of prominent figures from business, the artistic world, sports, and academia, who can help them transform their passions into businesses or careers. Additionally, students enjoy entertainment, contests with prizes, and many surprises. This edition was held at Iași, with the collaboration of Al. I. Cuza University of Iași and ISF. The event was very well received by participants, as the main scope is to develop skills and acquire knowledge in order to contribute to raising personal financial wellness.

Also, from the series of events organized within WIW 2023:

1. *The Bell Rings at BVB!* is a traditional project of FSA and Bucharest Stock Exchange (BVB) – twice a year, young people open the trading session on the first day of GMW and WIW. Opening of stock trading on October 16, 2023 with a group of 80 high-school students is part of the FSA financial education program. Another group of over 1,425 high-school students participated online. Representatives of FSA and BVB gave a presentation on the main role of the capital market and the stock market in order to raise awareness of specific aspects related to investments and financial decisions among young people.
2. The Central Depository issued free of charge registration of IBAN code dedicated to shareholders, offering this service throughout entire WIW 2023 week.
3. Especially for WIW 2023, FSA organized a public podcast where the topic of the stock market and cryptocurrencies was discussed (*Stock Exchange vs Crypto – Who runs the game?*). The audience consisted of students, with 130 participants. The event took place in the Polytechnic University of Bucharest Aula.
4. Financial education sessions were organized for school and high school students as *Start2Learn* program addressed over 3,970 participants during WIW. The program provides the basics the youngsters need to start planning their financial objectives and helps them to make healthy financial decisions.
5. The FSA program dedicated to students – *Academic Laboratory*, continued throughout WIW 2023, with a total of over 2,180 students participating in the organized sessions.
6. Within WIW, the winners of the *FinPitic and Financial World* contest, dedicated to primary school children, came to its finals and winners were announced. The contest involved listening to the original financial education stories provided by FSA and having children to illustrate a financial concept encountered in the stories through drawings.
7. FSA's *Financial Wellness* program is aimed at employees from various fields of activity, within the concept of education at the workplace. Employers are offering access to various financial education workshops organized and supported by FSA. Within WIW 2023, seminars and webinars were organized and over 4,500 employees from partner companies within the program participated in the organized financial education sessions.
8. In October 2023 a dedicated campaign was launched by FSA regarding digital financial literacy and how to be protected in case of financial fraud. A dedicated section of the educational website is since then publicly available, containing not only educational advice, but also examples, media spots and so on, and national coverage was planned so social media posts and mass media interventions were held in this period.

9. The FSA financial education website, www.edutime.ro, has been accessed by over 26,000 users, targeting educational materials and resources. FSA site is consistently updated with new educational resources and materials.

Several posts were shared on FSA's social media page (Facebook and Instagram) and key messages from the IOSCO were distributed to be disseminated during WIW 2023, with a total impact of over 20,000 people during this period.

FSA's organized events were well received and appreciated from a media perspective. The following are the main links that refer to FSA's actions during WIW 2023:

<https://financiarul.ro/economie/educatie-financiara-sub-auspiciile-world-investor-week-2023/>

<https://news24.ro/educatie-financiara-sub-auspiciile-world-investor-week-2023/>

<https://financialintelligence.ro/educatie-financiara-sub-auspiciile-world-investor-week-2023/>

<https://jurnalulnational.ro/educatie-financiara-sub-auspiciile-world-investor-week-2023/>

<https://www.piatafinanciara.ro/educatie-financiara-sub-auspiciile-world-investor-week-2023/>

<https://www.facebook.com/bursadevaloribucuresti/posts/327485756639146>

[https://ziarulnews.ro/2023/10/16/clopotelul-suna-la-bvb-cu-ocazia-saptamanii-mondiale-a-](https://ziarulnews.ro/2023/10/16/clopotelul-suna-la-bvb-cu-ocazia-saptamanii-mondiale-a-investitorului-2023/)

[investitorului-2023/](https://ziarulnews.ro/2023/10/16/clopotelul-suna-la-bvb-cu-ocazia-saptamanii-mondiale-a-investitorului-2023/) https://www.dcbusiness.ro/depozitarul-central-ofera-gratuit-serviciul-de-inregistrare-a-codului-iban_659679.html

<https://www.agerpres.ro/economic-intern/2023/10/17/depozitarul-central-ofera-gratuit-in-saptamana-16-20-octombrie-serviciul-de-inregistrare-a-codului-iban--1187649>

<https://ziarulnews.ro/2023/10/17/depozitarul-central-se-alatura-activitatilor-educationale-si-promotionale-din-cadrul-saptamanii-mondiale-a-investitorilor-oferind-serviciul-gratuit-de-inregistrare-a-codului-iban-utilizat-pentru-efec/>

<https://www.wall-street.ro/articol/Economie/301677/inregistrarea-gratuita-a-codului-iban-la-depozitarul-central-deschisa-intre-16-20-octombrie.html>

<https://www.finzoom.ro/articole/comunicat-presa/depozitarul-central~9595baf4-cf67-4ec0-9aab-1d0c3ce01010/>

<https://asfromania.ro/ro/a/2850/comunicat-de-presa:-a.s.f.-celebreaza-educatia-financiara-in-cadrul-world-investor-week>

<https://www.1asig.ro/ASF-celebreaza-educatia-financiara-in-cadrul-Saptamanii-Mondiale-a-Investitorului-articol-100-71719.html>

https://www.stiripesurse.ro/asf-celebreaza-educatia-financiara-in-cadrul-world-investor-week_3110344.html

<https://olt-alert.ro/2023/10/17/a-s-f-celebreaza-educatia-financiara-in-cadrul-world-investor-week-2/>

<https://www.facebook.com/asf.romania/posts/730420789125408>

<https://www.transilvaniabusiness.ro/2023/10/17/saptamana-mondiala-a-investitorului-e-marcata-de-autoritatea-de-supraveghere-financiara/>

<https://www.ziuadevest.ro/a-s-f-celebreaza-educatia-financiara-in-cadrul-world-investor-week-2/>

<https://www.bzc.ro/a-s-f-celebreaza-educatia-financiara-in-cadrul-world-investor-week/>

<https://www.facebook.com/cotidianul.bzc/posts/755595163278385>

<https://live.klarmedia.com//article/1A03C77F89FA9F454F90DC787714F0E8221206819/420>

<https://www.bizplus.ro/autoritatea-de-supraveghere-financiara-celebreaza-educatia-financiara-in-cadrul-world-investor-week/>

<https://www.piatafinanciara.ro/depozitarul-central-ofera-gratuit-serviciul-de-inregistrare-a-codului-iban-mesaje-primate/>

<https://www.economistul.ro/stiri-si-analize-business/asf-celebreaza-educatia-financiara-in-cadrul-world-investor-week-2-60178/>

<https://www.facebook.com/Revista.Economistul/posts/777738457695751>

<https://www.facebook.com/asf.romania/posts/731044055729748>

<https://www.facebook.com/asf.romania/posts/731723392328481>

<https://www.finzoom.ro/articole/stiri/a-s-f-celebreazc483-ed~9fe2c7df-31ef-41cd-bd64-70768fe7c834/>

<https://www.facebook.com/asf.romania/posts/732246132276207>

<https://zilesinopti.ro/2023/10/03/peste-6-000-de-spectatori-prezenti-la-cea-de-a-6-a-editie-tiff-oradea/>

<https://www.rador.ro/2023/10/06/calendarul-evenimentelor-6-octombrie-selectiuni-9/>

<https://www.facebook.com/arteiasi/posts/814003230520667>

<https://www.facebook.com/asd.uaic/posts/706923161477475>

<https://www.bzi.ro/noaptea-alba-a-universitatilor-pentru-prima-data-in-iasi-4830063>

<https://www.facebook.com/asf.romania/posts/730967815737372>

<https://www.facebook.com/istorieiasi/posts/818771790042954>

<https://www.facebook.com/UAIcdinIASI/posts/716382167180435>

<https://www.facebook.com/asfi.ro/posts/715210010645403>

<https://www.facebook.com/servicii.studentiUAIC/posts/803140708483616>

<https://www.facebook.com/Facultate.Litere.Iasi/posts/838369408298240>

<https://www.facebook.com/aegeeiasi/posts/719365540222849>

<https://www.ziaruldeiasi.ro/stiri/iasi-a-doua-editie-a-evenimentului-a-noaptea-alba-a-universitatilora-pe-2-noiembrie--365646.html>

<https://www.facebook.com/Asociatia.PRIME.Iasi/posts/712520177571790>

<https://www.facebook.com/Ziaruldeiasi/posts/876743623993097>

<https://www.facebook.com/TeologieOrtodox/posts/717615193733466>

<https://www.facebook.com/geo.geo.iasi/posts/831037358818177>

<https://www.facebook.com/727913400556805/posts/785601610035855>

<https://www.facebook.com/LSGGis/posts/713878837439927>

<https://www.facebook.com/asppiasi/posts/719525020209693>

<https://www.facebook.com/math.uaic/posts/776902714239575>

<https://www.facebook.com/LSGGis/posts/714921074002370>

<https://www.facebook.com/asfi.ro/posts/718214040345000>

<https://www.facebook.com/Asociatia.PRIME.Iasi/posts/714768024013672>

<https://www.facebook.com/asf.romania/posts/734071102093710>

<https://www.facebook.com/asd.uaic/posts/710038824499242>

<https://www.facebook.com/drept.uaic/posts/822444963214735>

<https://www.facebook.com/math.uaic/posts/779588573970989>

<https://www.facebook.com/UAICdinIASI/posts/719210253564293>

<https://www.facebook.com/istorieiasi/posts/821603969759736>

<https://www.facebook.com/asjiasi/posts/319773890663944>

<https://www.facebook.com/TeologieOrtodox/posts/721277326700586>

<https://www.facebook.com/servicii.studentiUAIC/posts/806415164822837>

<https://www.facebook.com/727913400556805/posts/792549859341030>

<https://www.ziaruldeiasi.ro/stiri/studentii-si-elevii-din-iasi-invitati-sa-participe-la-noaptea-alba-a-universitatilor-care-sunt-invitatii-speciali--366543.html> <https://www.iasi4u.ro/noaptea-alba-a-universitatilor-2023/>



10th ANIVERSAR
AUTORITATEA DE SUPRAVEGHETARE FINANCIERĂ

ISF
INSTITUTUL NAȚIONAL DE STUDII ȘI ANALIZĂ

UNIVERSITATEA „ALEXANDRU IOAN CUZA”
din IAȘI

EVENTIMENT DEDICAT
STUDENTILOR ȘI ELEVILOR
INTRAREA GRATUITĂ

**Noaptea Albă a
Universităților**

02 NOIEMBRIE ORA 18⁰⁰ AFLĂ MAI MULTE
WWW.EDUTIME.RO

VINO ALĂTURI
DE NOI DACĂ
VREI SĂ:

- TE ÎNTĂLNEȘTI CU PERSONALITĂȚI DIN LUMEA BUSINESS-ULUI ȘI DIN MEDIUL UNIVERSITAR
- PARTICIPI LA TRAINING-URI DE DEZVOLTARE PERSONALĂ
- AFLI MAI MULTE DESPRE LUMEA FINANCIARĂ DISTRĂNDU-ȚE
- DESCOPERI OPORTUNITĂȚI DE CARIERĂ
- AI PĂRTE DE VOIE BUNĂ ȘI PREMII

UNIVERSITATEA „ALEXANDRU IOAN CUZA” DIN IAȘI
Bulevardul Carol I nr. 11, Iași



SINGAPORE

WORLD INVESTOR WEEK 2023

National Coordinator: [Monetary Authority of Singapore \(MAS\)](#)

Other IOSCO members: [The Singapore Exchange Limited](#)

Other participants: [The Central Provident Fund Board \(CPF Board\)](#), [The Association of Banks in Singapore](#), [The Singapore Exchange Limited](#), [Institute for Financial Literacy](#), [Securities Investors Association \(Singapore\)](#), [Financial Planning Association of Singapore](#), [Credit Bureau \(Singapore\) Pte Ltd](#), [Financial Industry Disputes Resolution Centre Ltd](#), [Investment Management Association of Singapore](#)

Campaign Dates (From/To): [7 Oct – 26 Oct 2023](#)

MoneySense, Singapore's national financial education program, celebrated its 20th Anniversary this year. As part of the celebration, MoneySense organized a month-long financial education series of events which included a Seminar, Town Hall and a Webinar during the World Investor Week (WIW).

The series of events aimed to enhance investors' knowledge so that they can make more informed investment decisions in line with their needs and risk appetites.

"Ready for Life" Festival Seminar

The launch of WIW on 7 October 2023 was marked by the "Ready for Life" Festival which was jointly organized with CPF Board. The festival aimed to inspire Singaporeans to re-imagine retirement and plan for it holistically, guiding them on how daily financial decisions today will help achieve their desired state of retirement in the future. Besides panel discussions on financial wellness topics such as financial planning and investment, the in-person event also included partners-led activities (e.g. workshops and booths) to showcase various wellness dimensions of retirement planning.

During the Festival, the investment talk segment covered important aspects for investors to consider in building a resilient investment portfolio in times of inflation. The 90 min segment started with global trends and market outlook as a backdrop for the key investment trends in the year ahead. This was followed by presentations on various asset classes that can build a balanced portfolio, tips on how to build a resilient portfolio, and ESG investing.

A Basic Financial Planning Guide, developed by MAS and industry associations to help Singaporeans take charge of their financial well-being, was unveiled on the same day. It outlines a few rules of thumb people should adopt when addressing the key aspects of a holistic financial plan, namely, emergency funds, insurance protection, investment, and legacy planning.

The seminar ended with a panel discussion on the topic "Building Investor Resilience in Times of Inflation" where panelists shared important aspects that investors should look out for in building a portfolio that is resilient in a volatile market.

In addition to the presentations, our booth partners shared information and resources on financial related matters ranging from dispute resolution to scams. Participants could browse the booths prior to the start of event and during the breaks, with the booths covering information on:

- How the Financial Industry Disputes Resolution Centre Ltd can help to resolve disputes between a consumer and the financial institution;
- Educating and improving understanding of investment knowledge by Investment Management Association of Singapore;
- Why credit reports are important;
- Tips on how-to see-through investment scams; and
- Free financial literacy talks and courses offered by MoneySense's ground outreach arm, the Institute for Financial Literacy, with the opportunity to do a one-to-one consultation with a financial practitioner on steps to improve financial health.

The investment talk was physically attended by close to 500 participants, with the recording of the event hosted on CPF's Facebook page to allow it to be accessible to more audience.

The festival's financial talks and highlights can be viewed here: ["Ready for Life" Festival 2023 - YouTube](#)

Ready for Life Festival



Ready for Life Festival
Marina Bay Sands
7 October 2023

INVESTMENT TALK

7 October 2023
2.30pm - 4.00pm
Marina Bay Sands
Heliconia Ballroom
10 Bayfront Ave, S018956

Register with us at cpf.gov.sg/ReadyforLife

Staying the Course: Building Resilience in Times of Inflation

What do inflation, high interest rates and volatile markets mean for investors? How can investors build portfolio resilience and protect their investments in such times? Hear our industry experts provide an update on the market outlook, discuss different types of investments and share considerations on Environmental, Social and Governance (ESG) investing.

Investment Outlook and Market Updates
Vasu Menon
Managing Director, Investment Strategy, Wealth Management Unit, OCBC Bank

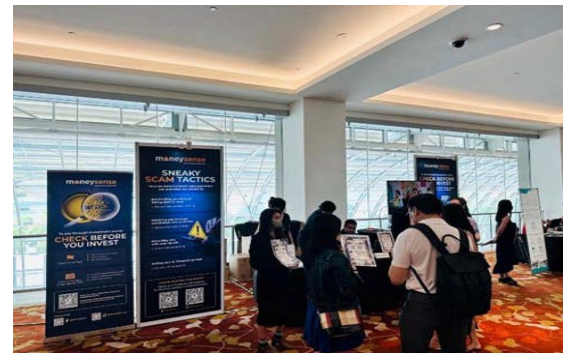
Building a Resilient Investment Portfolio
Roseline Fong
Head of Investment and Financial Planning, DEAL, HSBC Global Private Banking and Wealth

How to Hedge Against Inflation? (Bonds and Equities)
Eddy Loh
Chief Investment Officer, Maybank Group, Wealth Management

Go Green with ESG Investing
John Lau
Executive Director, Sustainability Lead, Group Personal Financial Services, UOB

Connect with us!
www.moneysense.gov.sg | @MoneySense | @moneysense_sg | @moneysense

Brought to you by: manysense | 20 | abs



Townhall Session

To deepen our reach to the young adult segment who have the longest investment time horizon and runway, students from Institutes of Higher Learning were invited to attend the townhall session.

At the My Money Townhall on 14 October 2023, students from the various universities across Singapore picked up valuable insights on building a diversified investment portfolio from industry speakers. They also exchanged ideas and experiences during the lively networking lunch and panel discussion. The students gained great insights into the world of investing, including useful tips on starting early and staying invested for the long-term.



My Money

TOWNHALL

Start Young: Investing in Your 20s

14 October 2023 | 10.30am - 3.00pm

Bistro G (Millenia Walk)

9 Raffles Blvd, #01-79/80 Singapore 039596

Dresscode: Smart Casual

Lunch will be provided



James Cheo (ABS Speaker)
Managing Director,
Chief Investment Officer, Southeast Asia,
HSBC Global Private Banking and Wealth



Albert Tse (IMAS Speaker)
CEO, South Asia, Amundi Singapore Limited
(Chairman of the IMAS Human Capital Committee)



Alvin Chow (SGX Speaker)
CEO, Dr Wealth

Join us for a town hall on "Start Young: Investing in Your 20s".
Our exciting lineup includes:

- Learning how to build a diversified investment portfolio with affordable investment instruments
- Panel discussion with industry experts on investing trends and market insights
- Networking with industry experts

Connect with us!

www.moneysense.gov.sg [@MyMoneySense](https://www.facebook.com/MyMoneySense) [@moneysense_sg](https://www.instagram.com/moneysense_sg) [@moneysense](https://www.youtube.com/channel/UCmoneysense)

Brought to you by:

maneysense

20

abs





Webinar Session

The final session of the series was a 90 min webinar session on 26 October 2023 to cater to busy working individuals who prefer to attend virtual events after work hours and at their convenience.

The webinar, “Seizing Opportunities: Digital Finance and AI” comprising short presentations and a panel discussion, focused on market outlook and enhancing investment portfolio with FinTech tools. Industry experts shared how investors could use technology ranging from financial planning tools to Robo- investment platforms to enhance their financial future.

The session comprised the following topics:

1) Investment Outlook and Market Updates

The presentation featured how market and policymakers may react to market developments, key issues when assessing short and medium-term outlook for the global economy, impact of market developments on investors and opportunities and sectors for growth.

2) Level Up your investing with Fintech tools

This segment showcased three industry speakers who introduced various digital investing platforms, financial planning digital services and Robo-investing platforms.

The webinar had close to 700 views and was well-received. The video remains accessible on MoneySense’s Facebook page, and continues to garner post-event viewership.

Webinar

URL : <https://www.facebook.com/share/v/KCrCtHMSjCA9vVsh/?mibextid=dzYiin>

My Money

WEBINAR

26 October 2023
8.00pm - 9.30pm
LIVE @MoneySense

Seizing Opportunities: Digital Finance & AI

Hear from industry experts about market outlook and levelling up your investing with fintech tools

Investment Outlook & Market Updates

Level Up Your Investing with Fintech Tools

Geoff Howie
Market Strategist, SCX

Jonathan Yap
Executive Director and Team Head
Investment Products Management
Consumer Banking, DBS

Aaron Chwee
Managing Director
Wealth Management - Wealth Advisory
Global Consumer Financial Services
OCBC Bank

Freddy Lim
Co-Founder, StashAway

Connect with us!

www.moneysense.gov.sg | [@MoneySense](https://www.facebook.com/MoneySense) | [@moneysense_sg](https://www.instagram.com/moneysense_sg) | [@moneysense](https://www.youtube.com/channel/UCmoneysense)

Brought to you by: **manysense** **20** **abs**

Singapore markets have been increasingly & determinedly looking towards 2024.

Growth
IMF maintain Singapore and ASEAN will see growth acceleration in 2024.

- Singapore GDP to grow by 1.0% this year, then doubling up to 2.1% in 2024.
- IMF expects ASEAN-5 growth to also accelerate from 4.2% in 2023 to 4.5% in 2024.
- While Europe is also expected to see growth accelerate in 2024, China, Japan and the United States are expected to see growth deceleration.

Trade
WTO see global merchandise trade volume growth accelerating to 3.3% in 2024, from 0.8% in 2023.

Submit your questions at: <https://go.gov.sg/mymoneyquestions2023>

Mr Geoff Howie

My Money

Investment Outlook and Market Updates

Mr Geoff Howie

Mr Jonathan Yap

Mr Edward Choy

Mr Aaron Chwee

Mr Freddy Lim

My Money

Seizing Opportunities: Digital Finance & AI

SOUTH AFRICA

WORLD INVESTOR WEEK 2023

National Coordinator: [Financial Sector Conduct Authority \(FSCA\), South Africa](#)

Other IOSCO members: n/a

Other participants: [National Business Solutions \(NBS\)](#), [City of Tshwane](#), [National Credit Regulator \(NCR\)](#), [Small Medium and Micro Enterprises \(SMMEs\)](#), [South Africa](#)

1. Background

World Investor Week (WIW) is a week-long global campaign which aims to promote investor education and investor protection. It is an initiative organised by the International Organisation of Securities Commissions (IOSCO) that is made up of 34 securities regulators member organisations. During this week, members and stakeholders across the different continents embark on a range of activities, such as offering investor-focused information and services to increase awareness of investor education initiatives, organising workshops and conferences. WIW took place from the 3rd to 8th of October 2023.

WIW offers a unique opportunity for IOSCO members to work in collaboration with investor education and protection stakeholders, at both local and international levels. The WIW campaign focused on three main themes: Investor Resilience, Crypto Assets, and Sustainable Finance, which the IOSCO Board has identified as particularly relevant given the current and expected global market conditions. Other themes cover Fraud and Scam Prevention, Basics of Investing, Technology and Digital Finance.

In celebrating WIW 2023, the FSCA has undertaken various activities to raise awareness and promote investments amongst consumers and investors. The aim of this project was to raise awareness of the importance of investor education focusing on crypto assets and how to protect investors from scams

2. The objectives of this project were:

- To promote financial literacy and investor education.
- To educate investors and possible investors about investments in crypto assets.
- To raise awareness of the scams in investments.

3. Activities implemented

The FSCA conducted the following activities in celebration of the WIW 2023:

3.1 Workshops

- The FSCA conducted two workshops targeted at Small, Medium and Micro Enterprises (SMMEs) in Hammanskraal, North-West province, South Africa, from the 3rd to the 8th of October 2023.

The workshops covered the following topics: Crypto Currency as well as Investment Fraud and Scams. The session had speakers from the FSCA, the City of Joburg (CoJ), Small Enterprise Development Agency (SEDA) as well as the National Credit Regulator (NCR). The target group for this session was the SMMEs as well as individual entrepreneurs. A total of 91 (ninety-one) representatives from various SMMEs attended the workshops. Below are images of various activities for WIW:



Image: Invitation to attend workshop. This was circulated via WhatsApp, email, and social media.



Image: Invitation to attend workshop. This was circulated via WhatsApp, email, and social media.



Image: Pictures of the workshop taking place in Hammanskraal.



Image: Pictures of the workshop taking place in Hammanskraal.

3.2 Radio Interview:

The FSCA conducted an interview on Moretele community radio stations which covers 52 villages around the Northwest province. The interview focused on the importance of investments and ways to protect investments. Approximately 150 000 listeners were reached.

3.3 Webinar in collaboration with the Johannesburg Stock Exchange (JSE)

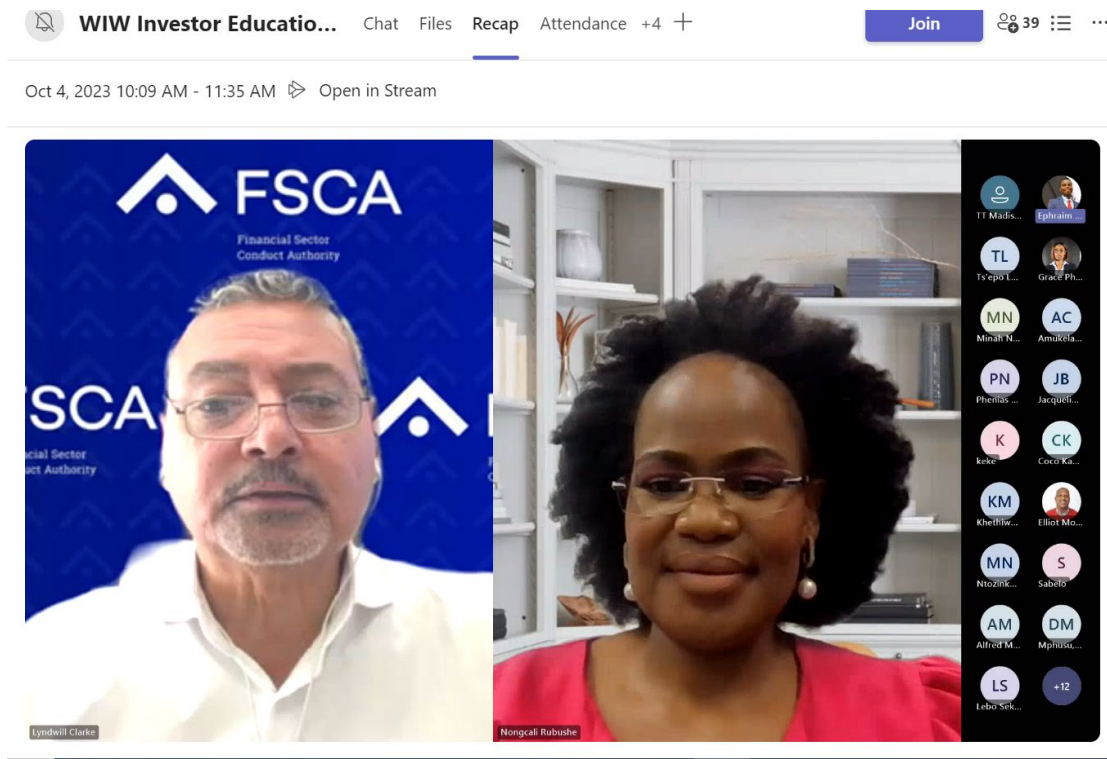


Image: Pictures of the webinar with the JSE.

On the 4th of October, the FSCA in collaboration with the Johannesburg Stock Exchange (JSE) organised an online seminar, highlighting the importance of investments and how to invest in the JSE. A total of 101 participants attended the webinar.

4. Social Media Posts about Investments

As part of the WIW, the FSCA disseminated key messages on various social media platforms to raise awareness, promote financial literacy and foster learning opportunities for investors.

The social media platforms used was LinkedIn, Facebook, and Twitter as per the social media handles below:

Facebook: FSCA South Africa

Twitter: @FSCA_ZA

YouTube: FSCA Connect

LinkedIn: Financial Sector Conduct Authority

The screenshots below, speak to the social media post breakdown for the various social media platforms used for the period 3 to 10 October 2023.

Twitter

FSCA South Africa
49,352 followers
3mo • 🌐

#WorldInvestorWeek2023

Today marks the start of International Organization of Securities Comr...see more

Investor Education Webinar

Microsoft Teams 04 October 2023 10:00 - 12:00

Organic impressions: 1,698 Impressions

Post performance
Targeted to: All followers

1,698 Impressions	15 Engagements	2.24% Engagement rate
23 Clicks	1.35% Click-through rate	12 Reactions
3	0	

Facebook

FSCA South Africa
49,352 followers
3mo • 🌐

#WorldInvestorWeek2023

Join us today for a virtual webinar for entrepreneurs and SMMEs along...see more

Investor Education Webinar

Microsoft Teams 04 October 2023 10:00 - 12:00

Organic impressions: 1,743 Impressions

Post performance
Targeted to: All followers

1,743 Impressions	17 Engagements	1.43% Engagement rate
8 Clicks	0.46% Click-through rate	14 Reactions
0 Comments	3 Reposts	

Boost post

Investor Education Webinar

#WorldInvestorWeek2023 Join us today for a virtual webinar f...

4 October 2023 at 08:51
ID: 697120212451080

Interactions
12 reactions 0 comments 4 shares

Performance

Reach Total 1,813	Engagements Reactions 12	Negative interactions Total 0
Organic Paid	1,813 (100%) 0 (0%)	Comments Shares
		0 4

Unique: 0

Boost post

Investor Education Webinar

#WorldInvestorWeek2023 Today marks the start of Internatio...

2 October 2023 at 08:00
ID: 695948452568256

Interactions
4 reactions 0 comments 0 shares

Performance

Reach Total 1,260	Engagements Reactions 4	Negative interactions Total 0
Organic Paid	1,260 (100%) 0 (0%)	Comments Shares
		0 0

Unique: 0

5. Monitoring and Evaluation

The internal M&E team designed a questionnaire which was circulated to participants for completion before and after the workshop. Below is a summary of responses from participants:

The success of the Programme was informed by the extent to which the content was relevant to the participants' needs.

Targeted Participants: The workshop was targeted at the SMMEs and entrepreneurs, and indeed based on the attendance registers all the participants were SMMEs and emerging entrepreneurs.

Attendance registers: The total number of participants that attended the face-to-face workshop exceeded the expected target number.

The participants indicated that they learned new Information with regards to the scams, and how they can protect their investments.

6. Challenges

Managing Stakeholder Expectations, some of the stakeholders have different motives for participating in the project, therefore it is important to manage their expectations and participation to ensure that the objective of the project is not derailed and diverted.

Technology and availability of resources, some of the stakeholders based in rural areas do not have resources such as projectors and screens and this necessitated alternative means of delivering the message.

Participation by the SMMEs in workshops, many of the SMMEs representatives happens to be busy with their business and from time to time they ask to be excused to attend to one matter or the other.

Not having enough capacity for M&E, it is important to allocate funding towards M&E, especially from outside the organization, so that we can be able to monitor the effectiveness of our project, and to regularly assess and identify successes and areas where improvements can be made.

Some of the participants of the workshop expected to have refreshments during the workshop.

7. Recommendation

The implementation of investor education workshops has been successful and there is still more that needs to be done to inform and educate the consumers.

Here are some recommendations based on the implementation.

- **Planning for resources:** When conducting workshops in rural areas, one must improvise and where possible plan for presentation tools such as overhead projectors and screen.
- **Continuous stakeholder engagement throughout the year** - This will get the stakeholders on board in a timely manner and avoid stakeholders from “rolling off” and then mobilizing again.

- To have this workshop seminar engagements with SMME's and Entrepreneurs, not as a once of activity but to have it continually on certain intervals.
- Source out funding for Monitoring and Evaluation of the project.

8. Conclusion

Over the past years, there has been an incredible growth and development in offering financial and investor education, and this is because the financial education stakeholders have been in good positions to not only develop the quality of education, but also the strategies through which investor education will be promoted.

However, there is still more that has to be done, for example, lack of project monitoring and progress tracking can hamper the delivery of the project, deliverables, and quality. Issues such as managing stakeholders' expectations from the beginning such as allowing inputs from everyone involved, it helps for the smooth running of the project. Making sure that one commits enough time to project planning and stakeholder involvement. taking the time to reflect on a project's success. Commit time to project and resource planning. With all this being put in place it will consumers will have more knowledge about investments and how they can protect their investments.

SPAIN

WORLD INVESTOR WEEK 2023

National Coordinator: **SPAIN Comisión Nacional del Mercado de Valores (CNMV)**

Other IOSCO members: n/a

Other participants: **BME/WFE**

Campaign Dates (From/To): **Oct 23– Oct 27 2023**

The CNMV has supported the World Investor Week (WIW).

The WIW was held during the second week of November. Throughout the week, CNMV promoted it by having the logo on its homepage.

Social media:

- CNMV disseminated key messages to increase the awareness of the importance of investor education and investor protection and a number of posts have been shared on Twitter, using the following hashtags: **#WorldInvestorWeek; #IOSCOWIW2023**
- CNMV shared the following 18 posts on Twitter during the week (translated into Spanish):
- What is #WorldInvestorWeek? (10/23)
- #WorldInvestorWeek begins and from @CNMV_Medios we continue in our efforts to promote investor protection and education. Promoted by @IOSCOWIW and with the support of international organizations, such as the #G20. (10/23)
- 50 basic investing questions and answers #WorldInvestorWeek #IOSCOWIW2023 @IOSCOWIW (10/23)
- Be well informed before investing: youtu.be/P3JIBMwDQZU #WorldInvestorWeek #IOSCOWIW2023 @IOSCOWIW (10/23)
- Do you know how to invest in the stock market? Are you ready?. Don't miss our #CNMV course "How to invest in the stock market" <https://bit.ly/3QfOAYi> #WorldInvestorWeek #IOSCOWIW2023 @IOSCOWIW (10/23)
- What are the qualities to be a smart investor? bit.ly/3OvEKBG #WorldInvestorWeek #IOSCOWIW2023 @IOSCOWIW (10/24)
- Learn how you can identify a financial scam with our #CNMV guide on scams and frauds: <https://bit.ly/3rFfSyl> #WorldInvestorWeek #IOSCOWIW2023 @IOSCOWIW (10/24)

- Discover all types of #scams and #financial frauds and how to avoid them with our #CNMV infographic bit.ly/3rFfSyl #WorldInvestorWeek #IOSCOWIW2023 @IOSCOWIW (10/24)
- Do you want to be a smart investor? #WorldInvestorWeek #IOSCOWIW2023 @IOSCOWIW (10/25)
- Learn all the basic skills of an investor with this #CNMV guide <https://bit.ly/46HPcwM> #WorldInvestorWeek #IOSCOWIW2023 @IOSCOWIW (10/25)
- Choose the best path as an #investor: bit.ly/494ApxF #WorldInvestorWeek #IOSCOWIW2023 @IOSCOWIW (10/25)
- Do you know what protection you have as an #investor? Learn about the Directive on #Markets in #Financial Instruments with the #CNMV infographic <https://bit.ly/45MRKbF> #WorldInvestorWeek #IOSCOWIW2023 @IOSCOWIW (10/25)
- Smart #investors and #digitalfinance #WorldInvestorWeek #IOSCOWIW2023 @IOSCOWIW (10/26)
- Learn about economic psychology for #investors with this #CNMV guide bit.ly/476wJcK #WorldInvestorWeek #IOSCOWIW2023 @IOSCOWIW (10/26)
- Smart #investors and #sustainable #finance #WorldInvestorWeek #IOSCOWIW2023 @IOSCOWIW (10/27)
- Do you know the #ESG criteria? What #sustainable financial products exist? What are your #sustainability preferences? Consult the #CNMV guide to be well informed: bit.ly/3QbAoiY (10/27)
- Learn the most essential things about #SustainableFinances with this #CNMV infographic: bit.ly/498h5Q6 #WorldInvestorWeek #IOSCOWIW2023 @IOSCOWIW (10/27)
- Take advantage of this weekend and take the #CNMV course on #SustainableFinances. bit.ly/3shONSu You will learn, for example, what #ESG criteria, #greenbonds, #sustainable financial products and #sustainability preferences are. #WorldInvestorWeek2023 (10/27)

Each of the social post reached almost 14,300 people.

Five guides were shared on Twitter as well:

1. Economic psychology for investors
2. 50 basic investment questions and answers
3. Scams and frauds
4. Basic skills for investors
5. Sustainable finance

An infographic on financial scams and fraud was also made. Other infographics were about sustainable finance and protection as an investor.

Some videos were shared on Twitter:

1. Be well informed before investing:
<https://bit.ly/48cv85E>
2. Choose the best path as an investor:
<https://bit.ly/46HPcwM>
3. Gloria Caballero, from Spain CNMV, shares her tips for investors. World Investor Week 2023 is still going. Don't miss this great message for our #smartinvestor series and stay tuned for more tips!!! #WorldInvestorWeek #WorldInvestorWeek2023 #IOSCOWIW2023: <https://bit.ly/3SYvKre>

Some online courses were shared:

1. How to invest in the Stock Market <https://bit.ly/3OFqJRO>
2. Sustainable finances <https://bit.ly/3SU0YPV>

Some podcasts were shared on Twitter as well: bit.ly/3SaykKH

1. Impersonation of authorized entities
2. The “recovery room” fraud
3. Ponzi schemes or pyramid scam
4. Financial fraud on social networks
5. IT Technician Fraud
6. Fraud related to cryptoassets
7. Phishing, smishing and vishing
8. Pharming
9. Funded Trading Account Services

SWEDEN

WORLD INVESTOR WEEK 2023

National Coordinator: [Sweden, Finansinspektionen](#)

Other IOSCO members: [n/a](#)

Other participants: [Members from The Swedish National Network on Financial Education: Min Pension, Swedbank & Sparbankerna, Internetstiftelsen, Konsumentverket and SEB.](#)

Finansinspektionen works with educational projects related to financial matters all year round and often cooperates with The Swedish National Network on Financial Education, which consists of authorities, organizations, and financial firms.

During World Investor Week 2023, Finansinspektionen organized two public digital knowledge seminars in collaboration with partners from the above mentioned network. One seminar was aimed at single parents about savings, and another one targeted at the elderly, covering topics including frauds and scams.

THAILAND

WORLD INVESTOR WEEK 2023

National Coordinator: The Securities and Exchange Commission, Thailand

Other IOSCO members: n/a

Other participants: n/a

The Securities and Exchange Commission (SEC), Thailand's consistent participation in the annual IOSCO World Investor Week campaign since 2017 has confirmed our organization's commitment to enhancing the Thai people's knowledge about capital market investments as well as digital financial literacy, and to ensuring that investors have appropriate skills to protect themselves from investment harms. All the endeavors undertaken are aimed at fostering financial resilience and well-being of the people at each stage of their lives, particularly during their retirement years. This year's educational activities and initiatives were launched, throughout the week of 2-8 October 2023, under the theme, **"Knowledgeable Investing Will Bring About Financial Resilience"**, highlighting key messages on knowledgeable investing and protecting oneself from falling victim to frauds and scams.

The activities carried out are as follows:

1. To signify the launch of the campaign in Thailand, the SEC Secretary General, Mrs. Pornanong Budsaratagoon, gave a quote to promote key messages of the campaign throughout the week, reflecting a firm support given to this important event.



2. Press releases and online poster were released to promote the event via online communication channels, i.e., the SEC’s Facebook pages as well as certain well-known online news media pages.



3. A series of educational motion graphics and infographics with the key messages under this year’s theme were launched during the week. The series, consisting of the following seven episodes, were released one episode per day on 4 social media channels, namely, Facebook pages “the SEC” and “Start-to Invest”, Youtube “ThaiSEC”, and Tiktok “ThaiSEC_Official”, achieving a total of 174,756 reaches and 120,541 views from the target audience, most of whom are 25-45 years of age. This target group is the working-age population, interested in investments with an aim to establish financial stability for themselves and their families.

Title	Motion Graphics	Infographics
1. Basic investment knowledge	https://www.youtube.com/watch?v=GA5ppZ3HO68	
2. Understanding investment products	https://www.youtube.com/watch?v=OfSm4NSSo7c	
3. Understanding digital assets and related risks	https://www.youtube.com/watch?v=GOe7HDgkXs	
4. Investment diversification and monitoring	https://www.youtube.com/watch?v=kEnr94dbahM	

Title	Motion Graphics	Infographics
<p>5. Understanding digital financial technology that enables investment accessibility</p>	<p>https://www.youtube.com/watch?v=SyHV9Kr08uo</p>	
<p>6. Five red flags of “exaggeration” to look out for when solicited to invest</p>	<p>https://www.youtube.com/watch?v=ZJkzFceBb4k</p>	
<p>7. Getting to know the “Scam Center”, a knowledge hub for prevention of financial frauds and scams</p>	<p>https://www.youtube.com/watch?v=1rHJRut-RrM</p>	

National Coordinator: The Stock Exchange of Thailand



Sarapol Tulyasatian, Deputy Managing Director, Head of Corporate Strategy and Sustainable Market Development at the Stock Exchange of Thailand, organized “Ring the Bell for Financial Literacy” event, ringing the bell for financial knowledge at the Stock Exchange building. In its capacity as a member of the International Organization of Securities Commissions (IOSCO) and the World Federation of Exchanges (WFE), the Stock Exchange of Thailand joined this global event, which took place in more than 44 countries during World Investor Week from October 2-8, 2023. The aim was to raise awareness about the importance of financial literacy for sustainability. MBA students from the Gordon Institute of Business Science, University of Pretoria, South Africa, participated and listened to a lecture on the significance of ESG for the Thai capital market.

National Coordinator: Thai Financial Planners Association (TFPA)

During 14 September - 7 October 7, 2023, Thai Financial Planners Association (TFPA) joined Financial Planning Standards Board (FPSB) and FPSB affiliates network in promoting World Financial Planning Day 2023 on October 4, 2023, which coincides with the International Organization of Securities Commissions (IOSCO) World Investor Week (WIW) from 2 to 8 October 2023.

TFPA publishes the content on Facebook to raise awareness of the importance and value of financial planning and of working with CFP professionals namely WFPD2023 social media posts with, articles relating to financial planning, CFP Professional Talk video program launch on WFPD 2023, FPSB’s value of financial planning video and TFPA commercial VDO with 1,796 likes and 927,655 impressions in total. In those social media posts, the hashtag of #IOSCOWIW2023 has been included to promote the World Investor Week 2023 aimed to raise awareness of the importance of financial education and investor protection.



สมาคมนักวางแผนการเงินไทย 25 September · [Follow](#)

Overview Comments

มันใจได้ว่าจะสามารถบรรลุเป้าหมายทางการเงินและเป้าหมายชีวิตได้ตามที่ต้องการ โดยคำนึงถึงประโยชน์ของผู้รับคำปรึกษาเป็นสำคัญ

สนใจติดต่อขอรับบริการวางแผนการเงินจากนักวางแผนการเงิน CFP ได้ที่ <https://bit.ly/3POUsFF> หรือเข้าร่วมรับคำปรึกษาวางแผนการเงินรายบุคคลโดยนักวางแผนการเงิน CFP ในกิจกรรม Financial Planning Clinic 7 ต.ค. 2566 ที่ ดูรายละเอียดเพิ่มเติมได้ที่ <https://bit.ly/3rpOd4U>

ข้อมูลเพิ่มเติมเกี่ยวกับ World Financial Planning Day 2023 ที่ worldfpday.org #WFPD2023 #IOSCOWIW2023 #cfp #financialplanning

เป้าหมายชีวิตสำเร็จได้ด้วย นักวางแผนการเงิน CFP®
#นักวางแผนการเงินCFP #วางแผนการเงิน
See less

Write a comment...

TRINIDAD AND TOBAGO

WORLD INVESTOR WEEK 2023

National Coordinator: [Trinidad and Tobago Authority – Trinidad and Tobago Securities and Exchange Commission \(“TTSEC”\)](#)

Other IOSCO members: [n/a](#)

Other participants: [n/a](#)

The TTSEC as a member of the International Organization of Securities Regulators (IOSCO), actively participates in the annual observance of World Investor Week (WIW), celebrated in October. The TTSEC collaborates with our partners in the region on this important global initiative, as part of the International Forum for Investor Education - Caribbean Working Group (IFIE-CWG). This year, our WIW campaign focused on fraud and scam prevention, under the theme **‘Protect your Money. Be Cyber Smart’** and executed across social media during WIW from October 2nd-8th 2023. The campaign aimed to raise public awareness about the increasing threat of online financial scams.

Utilizing AI marketing tools, the TTSEC produced six (6) videos addressing key messages including:

1. General Cybersecurity and Investors
2. Password Protection
3. Social media Protection
4. Public Wi-fi Protection
5. Protecting your devices; and
6. Phishing Emails.

These informative videos were developed in-house to underscore TTSEC's commitment to leveraging technology in awareness campaigns.

The campaign received widespread promotion on our social media platforms including Facebook, Instagram, LinkedIn, and X. The videos were also uploaded to our YouTube channel where they can be viewed via the following link [🔗](#)

<https://www.youtube.com/watch?v=4zR-5SwW7qM&list=PLiwefHwZxhRUPQIZIZIScfP0mfahhCyiZ&pp=gAQBIAQB>



The Campaign garnered the following metrics across TTSEC's social media platforms Facebook, Twitter, Instagram, LinkedIn, and YouTube:

Metric	2023
Total Number of posts	25
Post reactions	109
Post engaged	1.3K
Post engagement rate	2.7%
Post reach	42K

TUNISIA

WORLD INVESTOR WEEK 2023

National Coordinator: Tunisia, Conseil du Marché Financier (CMF), Mehdi Ben Mustapha

Other IOSCO members: n/a

Other participants: n/a

The Conseil du Marché Financier (CMF) of Tunisia has successfully participated, for the fourth consecutive year, in the celebration of the IOSCO World Investor Week (WIW) from October 30th to November 5th, 2023.

The choice of the Tunisian securities regulator to participate on a regular basis in this important international event confirms its renewed commitment to promoting financial education among the wider public and is in line with its legal mission to protect savings invested in securities and exchange-traded funds.

On October 30th 2023, the CMF issued a press release announcing the kick-off of the World Investor Week 2023, detailing the main topics selected by the IOSCO. For the occasion of the WIW 2023, the CMF has chosen to focus its communication on the presentation of a new financial activity namely «Crowdfunding in investment in securities» by publishing on its website a guideline file devoted to this subject which is highly topical.

Similarly and on the occasion of this event, the CMF held several presentations to students from various Tunisian institutions of higher education with the aim of promoting a better understanding of financial markets and products, as well as the missions and working methodology of the national securities regulator.

Please also find below some pictures of the main initiatives held during the WIW 2023:

FICHE THEMATIQUE
COMPRENDRE LE CROWDFUNDING EN
INVESTISSEMENT DANS DES VALEURS MOBILIERES



TURKEY

WORLD INVESTOR WEEK 2023

National Coordinator: Capital Markets Board of Türkiye

Other IOSCO members: n/a

Other participants: n/a

WIW was celebrated from October 2 to 6, 2023 for the seventh time in Türkiye. In collaboration with Capital Markets Board of Türkiye, Borsa Istanbul, Central Registration Agency and Istanbul Settlement and Custody Bank, Turkish Capital Markets Association organized an online event open to public.

The event covered 19 sessions with 108 speakers on various issues such as investor resilience, crypto assets, and sustainable finance was discussed, as well as basics of investing, issues regarding frauds, scams and digitalization in capital markets. Participants received useful information from experts and market professionals and gained the opportunity to obtain answers to their questions about the relevant topics, through registering from a dedicated website, www.dunyayatirimcihaftasi.org.tr.

Seminars were held online on www.dunyayatirimcihaftasi.org.tr and each session was followed by approximately 13.000 individuals. The seminars are available on YouTube and are continuously being watched by those who are interested on the related issues.

During the World Investor Week a total of 2.000 gifts were given to the participants. These gifts contained certificate programs regarding financial education, financial analysis, subscription to online platforms, books and other types of education materials.

In between session breaks, short films and videos were shown explaining the fundamentals of capital markets instruments and investing in capital markets as well as various issues regarding investment scams. In addition, there were some videos that targeted children which engaged them on topics of saving and investing.

Below are some numerical information regarding WIW 2023.

Channel	Quantity	Access
Written Media	194	6.723.631
Television	22 channels; 141 times.	11.200.625
Online Channels	1.314	6.804.541
Total Engagement	24.728.797	
Advertising Value Equivalency	12.643.712 Turkish Lira	

UNITED STATES

WORLD INVESTOR WEEK 2023

National Coordinator: [United States, Securities and Exchange Commission \(SEC\)](#)

Other IOSCO members: [Financial Industry Regulatory Authority \(FINRA\)](#), [U.S. Commodity Futures Trading Commission \(CFTC\)](#), [National Futures Association \(NFA\)](#), and [Securities Investor Protection Corporation \(SIPC\)](#)

Other participants: [North American Securities Administrators Association \(NASAA\)](#)

Noteworthy activities and accomplishments:

On October 2, the SEC issued a [press release](#) announcing it would highlight due diligence during World Investor Week (WIW) 2023, especially with arising technologies and new digital tools making it easier and quicker to invest. During WIW, SEC staff throughout the country hosted outreach events highlighting the importance that investors: conduct their own research on investment opportunities; create a risk-appropriate, long-term, and diversified investment plan that can help them weather changing economic conditions and market fluctuations; establish an emergency fund; and learn how to spot fraud. The Director of the SEC's Office of Investor Education and Advocacy (OIEA), Lori Schock, also posted a new Director's Take article, "[Protecting Your Retirement Money](#)," which provides investors with tips on how to protect their hard-earned money, such as checking the background of their investment professional, asking questions about investment opportunities, knowing the red flags of fraud, and avoiding unsolicited phone calls, texts, and emails from anyone asking for money. In addition, OIEA, FINRA, CFTC, NFA, SIPC and NASAA published a joint [Investor Bulletin](#) to provide information about the three themes of WIW: investor resilience, crypto assets and sustainable finance.

FINRA and the FINRA Investor Education Foundation also actively promoted WIW through participation in and hosting of events and professional trainings, social media posts aligned with WIW key messages, and the release of investor-focused research. This included collaboration with AARP to host a World Investor Week Tele-Town Hall discussing financial fraud and smart investing with thousands of Americans from the state of Washington. The FINRA Foundation also partnered with First Nations Development Institute to train tribal leaders and the BBB Institute for Marketplace Trust to train community-based Better Business Bureaus to spot, avoid, and assist others in preventing financial fraud. FINRA and the FINRA Foundation regularly shared tips and resources via Facebook, X (Twitter), and LinkedIn throughout the month of October in support of WIW. With regard to research, the FINRA Foundation and Texas Tech University released a [study](#) exploring the relationship between investing knowledge and the investing fees investors report paying. Finally, the FINRA Foundation awarded Doug Shadel, Ph.D., the 2023 Ketchum Prize—its highest honor—in recognition of his outstanding service and research on protecting consumers, particularly vulnerable Americans.

The CFTC hosted "Technology and Fraud: Stopping Scams in a Digital World," a two-hour virtual event on October 4.

The event consisted of two panels. The first, “Exploring Effective Social Media Investment Scam Interventions,” covered the rapid growth of social media investment fraud, how platforms contribute to the scams’ effectiveness, and ways educators, regulators, and other stakeholders can develop interventions to combat social media frauds. Panelists included state and federal fraud enforcement experts as well as a Massachusetts Institute of Technology researcher who focuses on disinformation interventions and a psychologist who specializes in elder fraud. The second panel, “Is AI for Investors Road-Ready?” focused on the capabilities and risks associated with artificial intelligence in trading and other financial services, and safeguards customers and stakeholders should consider. Panelists included experts from the CFTC, FINRA, and the CEO of an AI reliability platform.

More than 140 people from the United States and about 20 other countries participated in the virtual event, which can be viewed at <https://www.cftc.gov/LearnAndProtect/videos/index.htm>.

As part of WIW, NFA and the CFTC held a free webinar on October 5 entitled “Recent Fraud Trends and Tips for Avoiding Them” to help investors recognize the warning signs of investment fraud. SIPC highlighted WIW on its public website and promoted two Investor Bulletins that it jointly published with OIEA to help educate investors on SIPC protection for brokerage accounts. The first [Investor Bulletin](#) provides investors with an overview of how SIPC protection works and what it protects. The second [Investor Bulletin](#) provides investors with an overview of the process to file a SIPC claim.

Selected highlights from WIW outreach:

<p style="text-align: center;">?</p> <p>Officials from the SEC's New York Regional Office conducted an investor outreach event to share saving and investing tips with members of the U.S. Coast Guard.</p>	<p style="text-align: center;">?</p> <p>Officials from the SEC's New York Regional Office presented "Three Ways to Avoid Fraud" to the West Point Financial Club.</p>	<p style="text-align: center;">?</p> <p>Officials from the SEC's Miami Regional Office visited students at Ronald Reagan High School in Doral, Florida, for a presentation about "The Power of Starting Early" with saving and investing.</p>	<p style="text-align: center;">?</p> <p>Officials from the SEC's Miami Regional Office visited students at Westland-Hialeah High School in Hialeah, Florida, for a presentation about "The Power of Starting Early" with saving and investing.</p>
<p style="text-align: center;">?</p> <p>Officials from the San Francisco Regional Office presented "Basics of Saving and Investing" to Mexican Consulate employees.</p>	<p style="text-align: center;">?</p> <p>SEC officials participated in a financial readiness event to introduce military at Joint Base Anacostia-Bolling to the Thrift Savings Plan.</p>	<p style="text-align: center;">?</p> <p>SEC officials participated in a Financial Empowerment Summit in Washington D.C.</p>	<p style="text-align: center;">?</p> <p>SEC officials participated in a Community Information Expo at Fort Meade in Maryland.</p>

Financial Planning Standards Board Ltd.(FPSB)

WORLD INVESTOR WEEK 2023

National Coordinator: [International, Financial Planning Standards Board Ltd.](#)

Other IOSCO members: [Financial Planning Standards Board Ltd.](#)

Other participants: [n/a](#)

FPSB Affiliates in 21 territories participated in the World Investor Week / World Financial Planning Day campaign.

View the territories and their global activities at: <https://worldfpday.org/global-events/>

International Federation of Accountants (IFAC)

WORLD INVESTOR WEEK 2023

National Coordinator: [International Federation of Accountants \(IFAC\)](#)

Other IOSCO members: n/a

Other participants: n/a

As the global voice of the accounting profession, IFAC understands that promoting financial literacy is essential to our mandate to serve the public interest. Grasping the fundamentals of accounting allows non-accountants to understand the ways in which accounting intersects with their own experiences, financial and professional. IFAC's engagement with IOSCO's World Investor Week was formed out of this desire to enhance the financial literacy of non-accountants by providing accessible information about how and where accounting and investing intersect, given IFAC's unique area of expertise.

In 2021, for IOSCO's World Investor Week event, IFAC produced a video entitled "Understanding ESG Reporting" to help break down how sustainable finance is reported and regulated. The video became the IFAC YouTube channel's most-watched video of all time, making it clear that there is demand for video content that clearly and concisely conveys accounting basics.

For World Investor Week 2022, IFAC created three new videos explaining evergreen accounting concepts so that they can be understood by first-time and lifelong investors alike. They aim to provide an overview of the services provided by accountants and tools used by accountants.

- [What is a Financial Statement?](#)
- [What is an Audit?](#)
- [What is Professional Accountancy?](#)

For World Investor Week 2023, IFAC added two new videos to this series that explain the fundamental accounting concept of assurance in basic terms and in the context of sustainability. With a better understanding of assurance, and the increasingly important area of sustainability assurance, investors (as well as the general public/potential investors) will have a more well-rounded understanding of potential investments, which in turn can help to foster trust in the accountancy profession.

- [What is Assurance?](#)
- [What is Sustainability Assurance?](#)

As in previous years, the videos were published on YouTube, and then promoted on the IFAC website.

DEVELOPING THE ACCOUNTANCY PROFESSION

Assurance for New and Veteran Investors, part of the IFAC & IOSCO World Investor Week Series

OCTOBER 6, 2023

This year, World Investor Week is October 2nd to 8th. We are happy to once again partner with the International Organisation of Securities Commissions (IOSCO) to create several videos for World Investor Week. Part of IFAC's mandate to serving the public interest is improving financial literacy, including the financial literacy of non-accountants, and these videos are intended to help investors grasp the fundamentals of accounting, allowing non-accountants to better understand how accounting and investment interact.

For World Investor Week 2023, IFAC has created two new videos, "What is Assurance?" and "What is Sustainability Assurance?" explain a fundamental accounting concept in basic terms, and in the context of sustainability. These videos are intended to be understood by first-time and lifelong investors alike. With a better understanding of assurance, investors will have a more well-rounded understanding the financial well-being of potential investments and to foster trust in the accountability profession.

For those interested in hearing other accounting fundamentals, please see our work for World Investor Week 2022 and 2021. These short, informative videos are intended to help break down the role of accountants and explain why the work that they do is critical to investors. "Understanding ESG Reporting", "What is an Audit", and "What is Professional Accountancy?" are also IFAC's top three performing YouTube videos since their release, with close to 10,000 views.

This Year's World Investor Week Videos

<p>What is Assurance?</p> <p>What is Assurance?</p> <p>In this explainer video, IFAC Board Member Ayin Araki discusses how professional accountants evaluate the reliability of information, and how assurance enhances trust and confidence.</p>	<p>What is Sustainability Assurance?</p> <p>IFAC Board Member Margrit Fitzmaurice discusses the important role professional accountants play in understanding the accuracy of non-financial information, with a particular focus on sustainability matters.</p>
-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

2022 and 2021 World Investor Week Videos

<p>What is a Financial Statement?</p> <p>IFAC Board member Terry Hutton provides an overview of the documents accountants prepare to disclose how much a company makes, how much it spends, how it manages its money and how well it does these things.</p>	<p>What is an Audit?</p> <p>IFAC Board member Lisa Fuchs explains what an audit is, what an auditor does (and doesn't do) and why audits can enhance the degree of confidence for users of financial statements, like investors.</p>	<p>What is Professional Accountancy?</p> <p>IFAC CEO Kevin Dancy describes what professional accountants do, how they are governed by professional codes, and the professional accountability organizations that oversee the enforcement of those codes to create a strong and sustainable accountability profession as well as strong and sustainable private and public sector organizations, financial markets, and global economies.</p>
--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

They were also promoted by IFAC's LinkedIn account in two separate posts (Post 1, Post 2). Sharing the videos on IFAC's LinkedIn expanded the audience of the videos to its 40,000+ followers on the social media platform, and the videos individually gathered around 3,000 organic impressions each. They had a number of likes and reposts from IFAC followers, helping to further the reach of the content outside of IFAC's direct influence.



IFAC
42,213 followers
4mo · Edited



Join us in celebrating World Investor Week!

In support of this global campaign led by the International Organization of Securities Commissions (IOSCO) to raise awareness about the importance of investor education, IFAC is excited to share "What is Assurance?", the first of two videos made in celebration of World Investor Week. In this video, IFAC Board Member **Ayşe ARIAK** highlights the vital role accounting professionals play in ensuring the accuracy of information, providing investors peace of mind.

Watch the video: <https://lnkd.in/ezbndg8t>

#IFAC #Assurance #WorldInvestorWeek



What is Assurance?
<https://www.youtube.com/>

43 · 2 Comments

Like Comment Share



Lisa Padmore
FCPA, FCGA

4mo · ...

Wonderful job!

Like · Reply | 2 Reactions



Scott Hanson
Director, Policy and Global Engagement at IFAC

4mo · ...

Great contribution to WIW. Thanks Ayse!

Like · Reply | 2 Reactions


See more comments

IFAC
42,213 followers
4mo

IFAC is excited to share the final video, "What is Sustainability Assurance?", in honor of the World Investor Week, hosted by the International Organization of Securities Commissions (IOSCO). As a sequel to our "What is Assurance?" video, IFAC Board Member Margrét Pétursdóttir sheds light on the key role accounting professionals also play in maintaining the accuracy of non-financial information, with a particular focus on sustainability matters (environmental, social, and governance risks).

👉 Watch the "What is Sustainability Assurance?" video: https://lnkd.in/ef-DVvm_A

#IFAC #SustainabilityAssurance #WorldInvestorWeek



What is Sustainability Assurance?
<https://www.youtube.com/>

👍❤️🗨️ 66 · 2 Comments

👍 Like 🗨️ Comment ➦ Share

Scott Hanson
Director, Policy and Global Engagement at IFAC
4mo ...
Great video Margret! Thank you!

👍 Like · 🗨️ Reply

Lisa Padmore
FCPA, FCGA
4mo ...
Superstar - well done!

👍 Like · 🗨️ Reply | 1 Reaction

See more comments

In 2023, IFAC formally changed its relationship with IOSCO by becoming an Affiliate Member of IOSCO. As the sole representative of the accountancy profession within the IOSCO membership, IFAC is now in a unique position to bring the voice of the global accountancy profession to IOSCO, its activities and its membership. IFAC now participates in the IOSCO Affiliate Member Consultative Committee and attends the IOSCO Annual General Meeting.

International Forum for Investor Education (IFIE)

2023



INTERNATIONAL FORUM FOR INVESTOR EDUCATION (IFIE) CARIBBEAN WORKING GROUP

WORLD INVESTOR WEEK 2023 SHOWCASE



BACKGROUND

In 2014, at the International Forum for Investor Education (IFIE) – IOSCO Global Investor Education Conference: “Applying Theory to Action–Implementing Financial Capability/Investor Education (FC/IE) Best Practices and Lessons Learned”, held in Washington, DC, the seeds for a new way for securities and other financial regulators from different jurisdictions to collaborate to address shared FC/IE needs were planted. Several Caribbean jurisdictions and other IOSCO Growth and Emerging Market Committee members proposed that IFIE and the IFIE Americas Working Group I organize a pilot program for members to work on FC/IE content and delivery basics, to discuss common critical priorities, and to explore what they might be able to implement together to mitigate some of the constraints that hindered implementation and sustainable initiatives. The pilot program commenced near the end of 2014 with a Caribbean region-centered membership. The successful pilot resulted in the formation of the IFIE Americas Chapter Caribbean Working Group (CWG) which has continued to work together over the past nine years, focused on the implementation of FC/IE initiatives, and finding ways to collaborate to deliver sustainable FC/IE programs.

2023 IFIE CWG SHOWCASE

For 2023, the CWG’s strategic focus was to review its financial capability, resilience and investor education programs and strategies against needs coming out of the COVID-19 pandemic, and to strengthen our strategies, programs and initiatives as our jurisdictions and the region fully emerged in the past year. This included looking at content, initiatives to serve emerging audiences or the needs of our existing constituencies, and starting to work on strategies and initiatives that serve our goals and mission going forward.

As a result of this review, the CWG is pleased to provide a regional description showcase by each CWG jurisdiction of highlights, strengths, learnings and programming strategies that together build our platforms to serve the financial capability, financial resilience and investor education needs across the Caribbean. The “showcase” only presents some of the work the CWG has done individually or collaboratively; however, it suggests paths forward as the world emerges from the pandemic and our region seeks to serve those who live here.

SECURITIES COMMISSION OF THE BAHAMAS (SCB)

The Securities Commission of The Bahamas continues to actively promote investor education and financial literacy through knowledge and skill building programs. Some of the most impactful initiatives included the launch of two video series featuring trusted local sources, highlighting tried and proven methods to financial resilience and wealth building, and the use of video competitions to engage with target audiences and leverage their peer networks to share messages. The SCB continues to focus on leveraging the power of social and digital media to reach target groups with an ongoing focus on frauds and scams prevention and awareness of the risks of crypto assets for retail investors.

“Starting Out? Start Right!” Video Competition winner for WIW 2022 – Risk and Reward (<https://www.scb.gov.bs/investor-media/starting-out-start-right-video-competition/>)

“How I did it!” video trilogy launched for WIW 2018 (sample: <https://www.scb.gov.bs/investor-media/how-i-did-it-sir-franklyn-wilson/>)

The Financial Resilience Video series published for WIW 2021: <https://www.scb.gov.bs/investor-media/financial-resilience-video-series/>

The Commission also conducted a pilot study, in partnership with the Ministry of Education, into enhancing financial literacy and investor education through the national curriculum.

FINANCIAL SERVICES COMMISSION BARBADOS (FSC)

Barbados FSC continues its initiatives to develop partnerships to implement its financial literacy and investor education agenda. Barbados also has been a leader in investigating how to address issues related to the impact of changes in climate.

FINANCIAL SERVICES COMMISSION BRITISH VIRGIN ISLANDS (BVI FSC)

BVI FSC continues its initiatives to build solid foundations of relevant knowledge with the voices and experiences of young people to strengthen their money management and investing skills and knowledge. After the challenges of the past few years, BVI FSC is bringing awareness to young people about the benefits, detriments, and regulatory impact of investing with a particular focus on making sure young people understand financial products and services as they are structured and regulated in the Caribbean region since some young people consuming media from other jurisdictions may tend to assume universal applicability of rules and contexts.

CAYMAN ISLANDS MONETARY AUTHORITY (CIMA)

CIMA created a Young Ambassador event for students going abroad to university so they would understand more about the economy and markets of the Cayman Islands. The popular and meaningful event evolved into an annual networking and recruiting event for financial services related employment for young professionals in the Cayman Islands.

CENTRALE BANK OF CURAÇAO AND SINT MAARTEN (CBCS)

The CBCS has created two programs that have been particularly useful models to replicate and adapt: first, the creation of an illustrated narrative of episodes featuring the hypothetical Family Florin suitable for traditional media platforms that highlights contemporary money management situations, experiences and lessons to be learned; second, the CBCS has focused on understanding and supporting the entrepreneurial skill and knowledge needs of young entrepreneurs wanting to start or grow small and family owned businesses on both islands including technical information, surveys and customized skill building workshops and conferences. <https://www.centralbank.cw/education>

SUPERINTENDENCIA DEL MERCADO DE VALORES DE LA REPUBLICA DOMINICAN (SIMV)

SIMV has shown creative ways of working with educational institutions including university professors and departments to strengthen the quality and availability of effective financial literacy and investor education knowledge, skills and concepts suitable for public outreach and awareness. SIMV also brings in additional partners for more effective information distribution and program outreach.

EAST CARIBBEAN SECURITIES REGULATORY COMMISSION (ECSRC)

As the securities regulator for eight East Caribbean countries, ECSRC has demonstrated effective ways to work regionally, continue to develop programs and strategies to meet emerging financial literacy and investor education needs of residents of the eight jurisdictions and worked to implement effective training and tools to staff them. Initiatives such as crowdfunding and partnerships strengthen the effectiveness of ECSRC's regional approach.

FINANCIAL SECURITIES COMMISSION OF JAMAICA (FSC JAMAICA)

FSC Jamaica has long focused on the financial education and entrepreneurship needs of the country's young people, both at schools and in the community. This has included focus on professional training for teachers and other adults who work in community programs. Recognizing the emerging challenges and needs of young people and communities created by the pandemic, FSC Jamaica also has an ongoing initiative to strengthen public understanding of what sources of information can be trusted related to investment and financial information, products and services.

TRINIDAD AND TOBAGO SECURITIES AND EXCHANGE COMMISSION (TTSEC)

TTSEC uses new and traditional media to build knowledge, skills and behavior for long-term personal financial wellbeing and has ongoing initiatives to educate investors about sources of financial investment information, products and services that can be trusted. Top recent examples of their work:

1) Knowledge and Skill building:

Financial Capability and Investor Education Beat Investment Fraud

<https://www.youtube.com/watch?v=L8Q2wV85zz0&list=PLiwefHwZxhRWhCSn64UH78mQv6iNEIzXY&pp=gAQBiAQB>

IE – Do your Homework (Resulted from a Competition)

<https://www.youtube.com/watch?v=WIMz-lq9q4U>

SCAM

Fairy

<https://www.youtube.com/watch?v=nlzJQCBOQAI&list=PLiwefHwZxhRVAsZ0o09koSB2H9vZLKzEA&pp=gAQBiAQB>

2) Encouraging Individual Entrepreneurship

[\(1\) Tiffany's Nut Butter - TTSEC's Youth Entrepreneurs Series \(YES\), celebrating Global Money Week 2022 - YouTube](#)

3) Investor Protection App

Animation:

<https://www.youtube.com/watch?v=hvm3ORPgeUI&list=PLiwefHwZxhRXkzUHGqW0aTpIFPxX9pPDj&pp=gAQBiAQB>

Live Video: <https://www.youtube.com/watch?v=WkuiOV78WQQ>

As additional components of its initiative for WIW 2023, CWG members have also looked to develop and adapt content on social and traditional media platforms to address emerging needs across the region and pilot program delivery templates that might serve new public financial capability and investor education needs that emerged during the pandemic.

Annex 1 – WIW 2023 Global Events

WIW 2023 had 7 Global events. See more information and the main results below:



Ring the Bell for Financial Literacy

Sponsor: **The World Federation of Exchanges (WFE)**

Location: **Worldwide**

Date: **Mon, 05-Oct-2023 00:00 CEST**

Exchanges and CCPs around the globe ringed the bell for financial literacy all week during IOSCO's World Investor Week. Many exchanges held virtual bell ringing ceremonies, workshops and events to drive awareness of the importance of financial literacy in enabling the development of an inclusive market infrastructure and the opportunities that it brings.

List of participants, videos, articles, and photos available on WFE website at:

[Ring the Bell for Financial Literacy 2023 | The World Federation of Exchanges \(world-exchanges.org\)](https://www.world-exchanges.org/ring-the-bell-for-financial-literacy-2023)

Organization - Total = 52	Opening or Closing Bell	Date
Abu Dhabi Securities Exchange	Opening Bell	Monday 2nd October
Amman Stock Exchange	Opening Bell	Tuesday 3rd October
Athens Stock Exchange (ATHEX)	Opening Bell	Thursday 5th October
Australian Securities Exchange	Opening Bell	Thursday 12th October
B3 - Brasil Bolsa Balcão	Opening Bell	Monday 2nd October
Bahrain Bourse	Opening Bell	Monday 2nd October
Baku Stock Exchange	Opening Bell	Wednesday 4th October
BIVA - Bolsa Institucional de Valores	Closing Bell	Tuesday 3rd October
Boerse Stuttgart Group	Other	Monday 2nd October
Bolsa de Valores Quito	Opening Bell	Monday 23rd October
Bolsa Mexicana de Valores	Opening Bell	Monday 2nd October
Borsa Istanbul	Opening Bell	Thursday 5th October
Bourse Régionale des Valeurs Mobilières S.A.	Opening Bell	Thursday 5th October
Bursa Malaysia Bhd	Opening Bell	Wednesday 4th October
Cboe Global Markets	Opening Bell	Tuesday 3rd October
Chittagong Stock Exchange PLC	Other	TBD
Colombo Stock Exchange	Opening Bell	Friday 6th October
Dar es Salaam Stock Exchange	Opening Bell	Friday 6th October
Dhaka Stock Exchange Limited	Other	Thursday 12th October

FMDQ Group PLC	Opening Bell	Wednesday 4th October
Ghana Stock Exchange	Closing Bell	Tuesday 3rd October
Hong Kong Exchanges and Clearing Limited	Opening Bell	Wednesday 4th October
Johannesburg Stock Exchange	Opening Bell	Wednesday 4th October
Kazakhstan Stock Exchange	Opening Bell	Monday 2nd October
KDPW_CCP	Opening Bell	Monday 2nd October
Latinex - Latin American Stock Exchange	Other	Tuesday 24th October
London Stock Exchange Group	Opening Bell	Tuesday 3rd October
Luxembourg Stock Exchange	Other	Thursday 5th October
Macedonian Stock Exchange	Other	Thursday 26th October
Malta Stock Exchange	Opening Bell	Tuesday 3rd October
Metropolitan Stock Exchange of India Limited (MSE)	Opening Bell	Monday 9th October
MIAX	Opening Bell	Thursday 26th October
Muscat Stock Exchange	Opening Bell	Tuesday 3rd October
Nasdaq Copenhagen	Opening Bell	Other
Nasdaq Helsinki	Opening Bell	Tuesday 3rd October
Nasdaq Iceland	Other	TBD
National Stock Exchange of India Limited	Closing Bell	Monday 9th October
Nigerian Exchange Group	Closing Bell	Other
NZX Limited	Opening Bell	Monday 2nd October
Pakistan Stock Exchange	Opening Bell	Wednesday 4th October
Palestine Exchange	Opening Bell	Tuesday 10th October
The Philippine Stock Exchange, Inc.	Closing Bell	Wednesday 4th October
Qatar Stock Exchange	Opening Bell	Tuesday 3rd October
SIX Swiss Exchange (SIX Group)	Opening Bell	Friday 6th October
Taipei Exchange	Closing Bell	Wednesday 4th October
Taiwan Futures Exchange	Other	Monday 2nd October
Taiwan Stock Exchange	Other	Thursday 5th October
Tehran Securities Exchange	Opening Bell	Wednesday 11th October
The Egyptian Exchange	Opening Bell	Monday 2nd October
The Philippine Stock Exchange, Inc.	Opening Bell	Wednesday 4th October
The Stock Exchange of Thailand	Other	Tuesday 3rd October
Ulaanbaatar Securities Exchange	Opening Bell	Monday 2nd October



WIW Global Webinar on Sustainable Finance in the context of Investor Education and Investor Protection

Sponsor: **WIW**

Location: **Worldwide**

Date: **Tue, 02-Oct-2023 13:00 – 14:00 CEST**

Going green is a trend which might be soon taking the lion’s share in the retail financial markets. The diffusion of sustainable investment products within retail investors is expected on the rise in the coming years, following an intense debate about their main benefits and challenges and related regulatory proposals. The webinar gathered a group of experts to talk about sustainable finance and to provide the audience with some key messages about the state-of-the-art and the possible evolution, in particular from the angle of investor protection and investor education. International standard setters (IOSCO and OECD) and the industry (EFAMA and WFE) will share their thoughts and views about the present and the future of the retail sustainable investments’ markets.



WIW GLOBAL WEBINAR ON SUSTAINABLE FINANCE IN THE CONTEXT OF INVESTOR EDUCATION AND INVESTOR PROTECTION

Registration is open from today until 1st October 2023

Going green is a trend which might be soon taking the lion's share in the retail financial markets. The diffusion of sustainable investment products within retail investors is expected on the rise in the coming years, following an intense debate about their main benefits and challenges and related regulatory proposals. The webinar gathers a group of experts to talk about sustainable finance and to provide the audience with some key messages about the state-of-the-art and the possible evolution, in particular from the angle of investor protection and investor education. International standard setters (IOSCO and OECD) and the industry (EFAMA and WFE) will share their thoughts and views about the present and the future of the retail sustainable investments' markets.

Link to online registration:
<https://www.iosco.org/meeting-registration/?MeetingID=313>

2nd October 2023
13:00 - 14:00 CEST

CHIARA MOSCA
 INTRODUCTORY REMARKS
 COMMISSIONER, COMMISSIONE NAZIONALE PER LE SOCIETÀ E LA BORSA, ITALY

PASQUALE MUNAFÒ
 MODERATOR
 CHAIRMAN OF IOSCO COMMITTEE ON RETAIL INVESTORS (COMMITTEE 9), CONSOB, ITALY

BIANCA ISAINCU
 PANEILLIST
 POLICY ANALYST, ORGANISATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT

MARK MANNING
 PANEILLIST
 STRATEGIC POLICY ADVISOR ON SUSTAINABLE FINANCE AT THE FCA

NANDINI SUKUMAR
 PANEILLIST
 CHIEF EXECUTIVE OFFICER, THE WORLD FEDERATION OF EXCHANGES

TANGUY VAN DE WERVE
 PANEILLIST
 DIRECTOR GENERAL OF THE BRUSSELS-BASED EUROPEAN FUND AND ASSET MANAGEMENT ASSOCIATION, EFAMA



Global Webinar on Investor Resilience

Sponsor: **WIW**

Location: **Worldwide**

Date: **Tue, 02-Oct-2023 13:00 – 14:00 CEST**

2023 was a year marked by a range of events having an impact, both on financial markets and on the financial behavior of many investors. In this unique context, the resilience of investors has been put to the test. This webinar has brought together a group of experts who shed light on recent initiatives and surveys related to investor resilience and financial well-being. Distinguished speakers covered the Investor Resilience in the context of Regulatory response (ASIC), financial well-being recent work at the OECD, some recent FINRA Foundation research showing that new investors who joined the markets in 2020 remained active investors during a volatile period (2020-2022), and the role of professional financial planning as a pathway to improving financial resilience (Financial Resilience Institute).

WIW GLOBAL WEBINAR ON INVESTOR RESILIENCE

Registration is open from today until 2nd October 2023

2023 is a year marked by a range of events having an impact, both on financial markets and on the financial behavior of many investors. In this unique context, the resilience of investors is being put to the test. This webinar will bring together a group of experts who will shed light on recent initiatives and surveys related to investor resilience and financial well-being. Distinguished speakers will cover the Investor Resilience in the context of Regulatory response (ASIC), financial well-being recent work at the OECD, some recent FINRA Foundation research showing that new investors who joined the markets in 2020 remained active investors during a volatile period (2020-2022), and the role of professional financial planning as a pathway to improving financial resilience (Financial Resilience Institute).

Link to online registration:
<https://www.iosco.org/meeting-registration/?MeetingID=314>

3rd October 2023
13:00 - 14:00 CEST

MR. CAMILLE BEAUDOIN
 MODERATOR
 DIRECTOR OF FINANCIAL EDUCATION EXPERTISE AND PARTNERSHIPS, AUTORITÉ DES MARCHÉS FINANCIERS (AMF QUEBEC, CANADA)

CHIARA MONTICONE
 PANELLIST
 SENIOR POLICY ANALYST, FINANCIAL CONSUMER PROTECTION, FINANCIAL EDUCATION AND FINANCIAL INCLUSION UNIT, ORGANISATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT

ELOISE DUNCAN
 PANELLIST
 CEO AND FOUNDER, FINANCIAL RESILIENCE INSTITUTE

GARY MOTTOLA
 PANELLIST
 PH.D., RESEARCH DIRECTOR, FINRA INVESTOR EDUCATION FOUNDATION, USA

GERARD FITZPATRICK
 PANELLIST
 SENIOR EXECUTIVE, INTERNATIONAL, ASIC, AUSTRALIA



World Financial Planning Day
 Sponsor: **Financial Planning Standards Board Ltd.**
 Location: **Worldwide**
 Date: **Wed, 04-Oct-2023 00:00 CEST**

Financial Planning Standards Board Ltd. (FPSB) joined the International Organization of Securities Commissions (IOSCO) to raise awareness of, and promote financial literacy, during IOSCO’s World Investor Week. Goals: Unite the global financial planning community to raise awareness of the value of financial planning, of having a financial plan and of working with a financial planner who has committed to competency and ethical standards, like a CFP professional.

For more information about World Financial Planning Day, please visit <http://www.WorldFPDay.org>



Fireside Chat – FPSB CEO Dante de Gori and IOSCO Secretary General Martin Moloney
 Sponsor: **Financial Planning Standards Board (FPSB)**
 Location: **Worldwide**
 Date: **Wed, 04-Oct-2023 13:00 – 14:00 CEST**

FPSB CEO Dante de Gori and IOSCO Secretary General Martin Moloney discussed the goals and themes for WFPD and WIW and how the financial planning community can support financial education and consumer protection.

Please watch the video at <https://www.youtube.com/watch?v=GtIFS5SCDnk>



WIW Global Webinar on Crypto-Assets in the Context of Investor Education and Protection

Sponsor: **WIW**

Location: **Worldwide**

Date: **Wed, 05-Oct-2023 13:00 – 14:00 CEST**

Significant growth in the area of crypto-assets has created new challenges in terms of educating and protecting retail investors. This webinar brought a variety of regulators and other experts to discuss the most important lessons for retail investors in the area of crypto-assets. This webinar explored the experience of retail investors, discussed how education can be used to better protect investors, and addressed research related to investor experience in this space. Panelists shared their research and experience around retail investor involvement with crypto-assets, including messages and approaches that resonate with investors and affect investor behavior.







OWEN DONLEY
MODERATOR
CHIEF COUNSEL OFFICE OF INVESTOR EDUCATION AND ADVOCACY, U.S. SECURITIES AND EXCHANGE COMMISSION



ABIGAIL NG
PANELLIST
EXECUTIVE DIRECTOR OF MARKETS POLICY AND CONSUMER DEPARTMENT, MAS SINGAPORE, AND IOSCO FINTECH TASK FORCE



DANTE DE GORI
PANELLIST
CFP, CHIEF EXECUTIVE OFFICER FINANCIAL PLANNING STANDARDS BOARD LTD.



MILES LARBHEY
PANELLIST
HEAD OF THE FINANCIAL CONSUMER PROTECTION, EDUCATION & INCLUSION UNIT, ORGANISATION FOR ECONOMIC CO-OPERATION AND DEVELOPMENT



OLIVIA M. VALDES
PANELLIST
PH.D., SENIOR RESEARCH, FINRA INVESTOR EDUCATION FOUNDATION, USA



VALERIE A. SZCZEPANIK
PANELLIST
DIRECTOR OF THE STRATEGIC HUB FOR INNOVATION AND FINANCIAL TECHNOLOGY (FINHUB) OFFICE, U.S. SECURITIES AND EXCHANGE COMMISSION

WIW GLOBAL WEBINAR ON CRYPTO-ASSETS IN THE CONTEXT OF INVESTOR EDUCATION AND PROTECTION

Registration is open from today until 4th October 2023.

Significant growth in the area of crypto-assets has created new challenges in terms of educating and protecting retail investors. Join us for this exciting webinar to hear from a variety of regulators and other experts discussing the most important lessons for retail investors in the area of crypto-assets. This webinar will explore the experience of retail investors, discuss how education can be used to better protect investors, and address research related to investor experience in this space. Panelists will share their research and experience around retail investor involvement with crypto-assets, including messages and approaches that resonate with investors and affect investor behavior.

Link to online registration:
<https://www.iosco.org/meeting-registration/?MeetingID=315>

**5th
October
2023**

13:00 - 14:00 CEST



WIW Global Webinar on challenges for Investor Education and Investor Protection in the context of digitalization

Sponsor: **WIW**

Location: **Worldwide**

Date: **Thu, 06-Oct-2023 13:00 – 14:00 CEST**

The digital transformation has brought significant changes to the financial landscape, creating new opportunities and challenges for consumers, investors, and regulators. This webinar discussed the benefits and risks associated with digital finance services and digital assets. The panelists shared their insights and experiences on how to adopt responsible financial behaviors in the context of digitalization.



WIW GLOBAL WEBINAR ON CHALLENGES FOR INVESTOR EDUCATION AND INVESTOR PROTECTION IN THE CONTEXT OF DIGITALIZATION

Registration is open from today until 5th October 2023

The digital transformation has brought significant changes to the financial landscape, creating new opportunities and challenges for consumers, investors, and regulators. This webinar will discuss the benefits and risks associated with digital finance services and digital assets. The panelists will share their insights and experiences on how to adopt responsible financial behaviors in the context of digitalization. Join us for this informative session and discover how you can become a more confident and empowered investor in the digital era.

Link to online registration:
<https://www.iosco.org/meeting-registration/?MeetingID=316>

6th October 2023
13:00 - 14:00 CEST

NATHALIE VIDUAL
 MODERATOR
 DIRECTOR, OFFICE OF INVESTOR PROTECTION AND ASSISTANCE - CVM - BRAZIL

DANIEL WALTER MAEDA BERNARDO
 PANELLIST
 DIRECTOR, OFFICE OF INSTITUTIONAL INVESTOR SUPERVISION - CVM - BRAZIL

GERARD FITZPATRICK
 PANELLIST
 SENIOR EXECUTIVE, INTERNATIONAL AT ASIC - AUSTRALIA

DR. JOSEPH LEE
 PANELLIST
 READER IN LAW IN THE SCHOOL OF LAW AT THE UNIVERSITY OF MANCHESTER UK

DR. LINDA BIEK
 PANELLIST
 DIRECTOR, IESBA (INTERNATIONAL ETHICS STANDARDS BOARD FOR ACCOUNTANTS)

Finfluencer Regulation

Sponsor: IOSCO Asia Pacific Hub

Location: Kuala Lumpur, Malaysia

Date: Tue, 16-Nov-2023

4:30 pm – 6:00 pm MYT

Workshop on Finfluencer Regulation: Retail Investor Protection in the Age of Social Media.

Financial Influencers, commonly referred to as finfluencers, wield considerable influence across social media platforms such as Instagram, TikTok, YouTube, Telegram etc., potentially influencing investment decisions, especially among the younger generation of retail investors. As these developments continue to attract regulatory attention, the Workshop will delve into the role of finfluencers and their impact on investment decisions. The Workshop will also explore the challenges associated with regulating finfluencers, including whether they fall within the existing scope of securities regulations and cross-border implications. Furthermore, in strengthening investor protection and education, the Workshop discussed ways to monitor and regulate the ecosystem in which a finfluencer operates, and the potential tools and strategies that regulators can consider to mitigate the risks associated with these activities.



The IOSCO Asia Pacific Hub is pleased to invite you to join a Workshop on

Finfluencer Regulation:

Retail Investor Protection In the Age of Social Media

Date: 16 November 2023 (Thursday)
Time: 4.30 pm – 6.00 pm (MYT)

Financial Influencers, commonly referred to as finfluencers, wield considerable influence across social media platforms such as Instagram, TikTok, YouTube, Telegram etc., potentially influencing investment decisions, especially among the younger generation of retail investors. As these developments continue to attract regulatory attention, the Workshop will delve into the role of finfluencers and their impact on investment decisions.

The Workshop will also explore the challenges associated with regulating finfluencers, including whether they fall within the existing scope of securities regulations and cross-border implications. Furthermore, in strengthening investor protection and education, the Workshop will discuss ways to monitor and regulate the ecosystem in which a finfluencer operates and the potential tools and strategies that regulators can consider to mitigate the risks associated with these activities.

 TYLER FLEMING <small>SPEAKER</small> Director, Investor Office, Ontario Securities Commission	 GERRI WALSH <small>SPEAKER</small> President, Financial Industry Regulatory Authority (FINRA) Investor Education Foundation	 LYN MAK <small>MODERATOR</small> International Affairs Department, Securities Commission Malaysia
 PASQUALE MUNAFÒ <small>Chair of IOSCO's Committee # 1 (CC) on Retail Investors</small>	<small>INTERMEDIARY REMARKS</small>	
	 GLORIA CABALLERO NÚÑEZ <small>Deputy Director Financial Education Strategy and Institutional Relations, Comisión Nacional del Mercado de Valores (CNMV), Spain</small>	

This in-person workshop is open to IOSCO members only. A recording of the event will be made available afterwards.

To participate in-person, please contact
 Yoko Fuchizawa (yoko.fuchizawa@iosco.org.my) or Azfalyna Abdul Aziz (azfalyna@iosco.org.my).

Annex 2 – WIW 2023 Regional Events

WIW 2023 had 3 Regional events. See more information below:



Regional launch – European Region
Sponsor: **The Polish Financial Supervision Authority (UKNF) and GPW**
Location: **Poland**
Date: **02-Oct-2023**

Remarks by:

- Marek Dietl, PhD, Chairman of the WSE Board of Directors
- Maciej Trybuchowski, Chairman of the Board of Directors of KDPW_CCP
- Piotr Kosiński Acting Director General of the Capital Market Supervision Division of the Polish Financial Supervisory Authority
- Alina Bączar, Vice-Chair of the Board of Directors of the WSE Foundation
- Jean-Paul Servais, Chairman, Financial Services and Markets Authority, Belgium, and Vice-Chairman of the IOSCO Board.

The video recording about the event is available online:

<https://www.youtube.com/watch?v=dtvBEDplzSQ>



Ring the Bell for Financial Literacy | 02.10.2023 r.



**Regional launch – Asia Pacific
Region**

Sponsor: **International Affairs,
Financial Services Agency,
Japan**

Location: **Japan**

Date: **Mon, 02-Oct-2023**

The video recording about the event is available online at:

[World Investor Week 2023 Launching Message \(youtube.com\)](https://www.youtube.com/watch?v=...)

Mr. Ariizumi, Vice Minister for International Affairs of the Financial Services Agency, who serves as the chair of the IOSCO Asia-Pacific Regional Committee (APRC)



World Investor Week 2023 Launching Message



Regional launch – Inter-American Region

Sponsor: **Securities and Exchange Commission of Brazil (CVM) and**

B3 (Brazilian Stock and Derivatives Exchange of Brazil)

Location: **São Paulo, Brazil**

Date: **Mon, 02-Oct-2023**

On October 2nd, the Ring the Bell Ceremony at B3 marked the launch of the event in Brazil, with the participation of the Chairman of the Securities and Exchange Commission (CVM), João Pedro Nascimento, the Vice President of Products and Customers of B3, Juca de Andrade, and the Superintendent of Financial Services of the Central Bank of Uruguay, Juan Pedro Cantera.

In addition, Lucia Buenrostro, Chairwoman and Vice President for Regulatory Policy of the National Banking and Securities Commission of Mexico, was among the esteemed guests who participated in the ceremony. She connected with the audience digitally and delivered a speech on the importance of the WIW Campaign to disseminate financial education initiatives.

More information about the event is available online:

[Abertura Semana Mundial do Investidor | World Investor Week \(WIW\) \(youtube.com\)](https://www.youtube.com/watch?v=...)



Annex 3 – IOSCO Committee on Retail Investors (Committee 8 members)

Chairman

Mr. Pasquale Munafò, Senior Finance Professional, Commissione Nazionale per le Società e la Borsa,
Italy

Vice-Chairman

Mr. Camille Beaudoin, Director, Financial Education Department, Autorité des marchés financiers,
Quebec

Members

Comissão do Mercado de Capitais, **Angola**
(Mr. Sebastião Manuel, Advisor of the Board of Directors, Chairman's Office)

Comisión Nacional de Valores, **Argentina**
(Ms. Nadia Montenegro, Gerente, Gerencia de Gobierno Corporativo y Protección al Inversor)

Australian Securities and Investments Commission, **Australia**
(Mr. Gerard Fitzpatrick, Senior Executive, International)

Financial Services and Markets Authority, **Belgium**
(Mr. Jim Lannoo, Head of Communications and Financial Education)

Comissão de Valores Mobiliários, **Brazil**
(Ms. Nathalie Vidual, Director, Office of Investor Protection and Assistance)

China Securities Regulatory Commission, **China**
(Ms. Lijun Chen, Deputy Director-General, Department of Legal Affairs (Office of Chief Counsel) /
Mr. Weiyang Wu, Division Head, Department of Legal Affairs (Office of Chief Counsel))

Autorité des marchés financiers, **France**
(Ms. Florence Corne, Deputy Director, Direction des relations avec les épargnants et de leur
protection)

Bundesanstalt für Finanzdienstleistungsaufsicht, **Germany**
(Mr. Jörg Janotte, Senior Advisor, Consumer Protection)

Securities and Futures Commission, **Hong Kong**
(Michelle Yeung, Head of Investor Education and Communications, SFC Investor and Financial
Education Council)

Securities and Exchange Board of India, **India**
(Girraj Prasad Garg, Executive Director, Office of Investor Assistance and Education)

Indonesia Financial Services Authority, **Indonesia**
(Mrs. Friderica Widyasari Dewi, Member of the Board Commissioners, Consumer Education and
Protection / Mr. Cecep Setiawan, Deputy Director, Financial Literacy and Consumer Protection)

Israel Securities Authority, **Israel**

(Mr. Tom Goldreich, Head of Financial Technologies at the International Affairs and Markets Development Department / Ms. Yael Beuchler, Head of Collaborations)

Commissione Nazionale per le Società e la Borsa, **Italy**
(Mr. Pasquale Munafò, Senior Officer)

Financial Services Agency, **Japan**
(Mr. Yuki Nishino, Deputy Director for International Affairs Office / Mr. Teruaki Osada, Section Chief for International Affairs Office)

Jersey Financial Services Commission, **Jersey**
(Ms. Diane Maxwell, Executive Director of Policy and Risk)

Financial Services Commission/Financial Supervisory Service, **Korea, Republic of**
(Ms. Hae-min Hwang, Associate, International Affairs Department)

Commission de Surveillance du Secteur Financier, **Luxembourg**
(Mr. Patrick Hommel, Conseiller, Secrétariat Général)

Securities Commission, **Malaysia**
(Mr. Jawahar Ali Ameer Ali, General Manager, Consumer & Investor Office Department)

Comisión Nacional Bancaria y de Valores, **Mexico**
(Ms. Valeria Garcia, General Director for Supervision of Market Participants' Conduct / Ms. Gabriela Ríos)

Securities and Exchange Commission, **Nigeria**
(Mrs. Ojone J. Kabir, Deputy Director / Head, Financial Inclusion & Investor Education, Market Development Department)

Ontario Securities Commission, **Ontario**
(Mr. Tyler Fleming, Director, Investor Office)

Comissão do Mercado de Valores Mobiliários, **Portugal**
(Ms. Ana Frasquilho, Senior Associate, Direção Supervisão Comportamental e do Investidor)

Autorité des marchés financiers, **Quebec**
(Mr. Camille Beaudoin, Director, Expertise and Partnerships in Financial Education)

Financial Supervisory Authority, **Romania**
(Ms. Alexandra Bontas, Head of Service, Financial Monitoring and Education Service)

The Bank of Russia, **Russia**
(Ms. Daria Bezmenova, Head of International Best Practice Analysis Unit, Service for Consumer Protection and Financial Inclusion)

Capital Market Authority, **Saudi Arabia**
(Mr. Ali Aldakheel, Head of Media and Investor Awareness)

Monetary Authority of Singapore, **Singapore**
(Ms. Felicia Tan, Deputy Director, Corporate Finance and Consumer Department / Ms. Beverly Tan, Assistant Director, Corporate Finance and Consumer Department)

Financial Sector Conduct Authority, **South Africa**

(Mr. Elliot Modisa)

Comisión Nacional del Mercado de Valores, **Spain**

(Ms. Gloria Caballero Núñez, Deputy Director Financial Education Strategy and Institutional Relations)

Securities and Exchange Commission of Sri Lanka, **Sri Lanka**

(Mr. Tushara Jayaratne, Director External Relations and Capital Market Education External Relations and Capital Market Education)

Finansinspektionen, **Sweden**

(Mr. Lars Malmström, Director, Consumer Affairs)

Financial Supervisory Commission, **Chinese Taipei**

(Ms. Doris Lo, Section Chief, Securities Firm Division, Securities and Futures Bureau)

Securities and Exchange Commission, **Thailand**

(Ms. Sarica Apiwatthakakul, Director, Capital Market Education and Provincial Network Department)

Capital Markets Board, **Türkiye**

(Ms. Müge Çetin, Senior Specialist, Corporate Finance / Mrs. Sanem Üzeler Demirağ, Legal Expert, Legal Department)

Financial Conduct Authority, **United Kingdom**

(Mr. Sam Stoakes, Global Institutions, International Division)

Commodity Futures Trading Commission, **United States of America**

(Melanie Devoe, Office of Customer Education and Outreach / Ms. Bianca Gomez, Special Counsel, Office of International Affairs)

Securities and Exchange Commission, **United States of America**

(Mr. Owen Donley, Chief Counsel, Office of Investor Education and Advocacy)

Financial Industry Regulatory Authority, **United States of America**

(Ms. Geraldine Walsh, Senior Vice President, Office of Investor Education)

Observers (2)

European Securities and Markets Authority, **European Union**

(Mr. Matteo Rava, Senior Policy Officer, Investor Protection and Intermediaries)

Japan Securities Dealers Association, **Japan**

(Mr. Yuya Nakase, Senior General Manager, Global Regulation Office)

The C8 and the WIW WG would like to thank Nathalie Vidual (WIW WG Coordinator) and their team at the CVM Brazil (Jonathan Vidal, Philip Silberman, and Darcy Oliveira), and the IOSCO GS for their support in the preparation of this Report.