

FINANCIAL RESILIENCE REPORTING (No 2) INSTRUMENT 2023

Powers exercised

- A. The Financial Conduct Authority (“the FCA”) makes this instrument in the exercise of the powers and related provisions in or under the following:
- (1) the Financial Services and Markets Act 2000 (“the Act”):
 - (a) section 137A (The FCA’s general rules);
 - (b) section 137T (General supplementary powers);
 - (c) section 139A (Power of the FCA to give guidance); and
 - (d) section 293 (Notification requirements);
 - (2) regulation 109 (Reporting requirements) and regulation 120 (Guidance) of the Payment Services Regulations 2017; and
 - (3) regulation 49 (Reporting requirements) and regulation 60 (Guidance) of the Electronic Money Regulations 2011.
- B. The rule-making provisions listed at paragraph A(1) above are specified for the purposes of section 138G(2) (Rule-making instruments) of the Act.

Commencement

- C. This instrument comes into force on 1 January 2024.

Amendments to the Handbook

- D. The Supervision manual (SUP) is amended in accordance with the Annex to this instrument.

Citation

- E. This instrument may be cited as the Financial Resilience Reporting (No 2) Instrument 2023.

By order of the Board
27 July 2023

Annex

Amendments to the Supervision manual (SUP)

In this Annex, underlining indicates new text and striking through indicates deleted text.

16.30 Baseline Financial Resilience Report

Application

16.30.1 R This section applies to any *firm* except:

- (1) a ~~*credit broker firm*~~ *firm with limited permission*;
- (2) a *MIFIDPRU investment firm*;
- (3) a *not-for-profit debt advice body*;
- (4) a *PRA-authorised person*;
- (5) a *supervised run-off firm*; and
- (6) a *TP firm*.

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16 Annex 54G

Guidance notes on the data items for FIN073 (the Baseline Financial Resilience Report)

This annex consists of guidance which can be found through the following link:

[*Editor's note*: insert link to document containing guidance on completing data items for the Baseline Financial Resilience Report]

Guidance notes for FIN073 ('Baseline Financial Resilience Report')

Introduction

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Consolidated reports

This form should be completed by all *firms* except:

- a ~~*credit broker firm*~~ *firm with limited permission*;
- a *MIFIDPRU investment firm*;
- a *not-for-profit debt advice body*;

- a *PRA-authorised person*;
- a *supervised run-off firm*; and
- a *TP firm*.

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