

Table of Disclosable Items in Personal Information Files Held by the FSC Financial Examination Bureau

Item Serial No.	Name of personal Information file	Basis for holding the file	Category of personal information	Department holding the file
1	HR-related information and reports (including recruitment and dismissal, transfers, performance evaluations, regular conduct assessments, training, retirement and bereavement compensation, and recognition and discipline)	<ol style="list-style-type: none"> 1. Personnel Management Act 2. Guidelines for the Establishment of Official Positions in the Personnel Offices Under the Executive Yuan 3. National Guidelines on Remuneration of Military, Civil Service, and Education Personnel 4. Civil Service Training and Education Act; Enforcement Rules of the Civil Service Training and Education Act 5. Civil Service Performance Evaluation Act; Enforcement Rules of Civil Service Performance Evaluation Act 6. Civil Servants Retirement Act; Enforcement Rules of the Civil Servants Retirement Act 7. Act Governing the Payment of Compensation to Surviving Dependents of Public Functionaries; Enforcement Rules of the Act Governing the Payment of Compensation to Surviving Dependents of Public Functionaries 	Codes for identification (C001 For identifying individuals, C002 For identifying financial conditions, C003 For identifying government-related data), Code for personal characteristics (C011 For individual description), Codes for family condition (C021 Family, C022 History of marriage, C023 Details about other family members, C024 Other social relations), Codes for social conditions (C031 Residence and facilities, C038 Occupation), Code for Education, examinations passed, skills possessed, or other professional expertise (C051 School records), Code for health condition and other matters (C111 Health records)	Financial Examination Bureau

Item Serial No.	Name of personal Information file	Basis for holding the file	Category of personal information	Department holding the file
		8. Civil Servants Service Act 9. Civil Servant and Teacher Insurance Act; Enforcement Rules of Civil Servant and Teacher Insurance Act 10. National Health Insurance Act; Enforcement Rules of the National Health Insurance Act 11. Statute for Establishment and Management of Budget, Accounting and Statistics Agencies and BAS Personnel 12. Act of the Establishment and Management of the Government Employee Ethics Units and Officers		
2	Information on contracted labor	Government Procurement Act	Codes for identification (C001 For identifying individuals, C003 For identifying government-related data), Code for personal characteristics (C011 Individual description), Codes for social conditions (C031 Residence and facilities, C038 Occupation)	Financial Examination Bureau
3	Accounting documents, account books, and related reports	1. Accounting Act 2. Organic Act Governing the Establishment of the Financial Supervisory Commission	Codes for identification (C001 For identifying individuals, C002 For identifying financial conditions, C003 For identifying government-related data), Code for personal characteristics	Financial Examination Bureau

Item Serial No.	Name of personal Information file	Basis for holding the file	Category of personal information	Department holding the file
			(C011 Individual description), Code for social conditions (C031 Residence and facilities)	
4	Information related to financial examinations	<ol style="list-style-type: none"> 1. Organic Act Governing the Establishment of the Financial Supervisory Commission 2. Organic Act Governing the Establishment of the Financial Examination Bureau, Financial Supervision Commission 3. Directions for the Council of Agriculture, Executive Yuan, in Commissioning the Financial Supervisory Commission to Conduct Examinations of the Operations of Agricultural Financial Institutions 	Codes for identification (C001 For identifying individuals, C002 For identifying financial conditions, C003 For identifying government-related data), Codes for personal characteristics (C011 Individual description, C012 Physical description, C013 Habits, C014 Characteristics), Codes for family condition (C021 Family, C022 History of marriage, C023 Details about other family members, C024 Other social relations), Codes for social conditions (C031 Residence and facilities, C032 Properties, C038 Occupation), Code for Education, examinations passed, skills possessed, or other professional expertise (C051 School records), Code for health and other matters (C111 Health records)	Financial Examination Bureau
5	Information related to review of internal audits	<ol style="list-style-type: none"> 1. Organic Act Governing the Establishment of the Financial Supervisory Commission 2. Implementation Rules of Internal Audit and Internal 	Codes for identification (C001 For identifying individuals, C002 For identifying financial conditions, C003 For identifying government-	Financial Examination Bureau

Item Serial No.	Name of personal Information file	Basis for holding the file	Category of personal information	Department holding the file
		<p>Control System of Financial Holding Companies and Banking Industries</p> <p>3. Regulations Governing Implementation of Internal Control and Auditing System of Insurance Enterprises</p> <p>4. Directions for Evaluating the Audit Work of Bills Finance Companies</p> <p>5. Regulations Governing Responsible Persons and Associated Persons of Securities Firms</p> <p>6. Regulations Governing the Establishment of Internal Control Systems by Service Enterprises in Securities and Futures Markets</p> <p>7. Regulations Governing Qualification Requirements and Concurrent Serving Restrictions and Matters for Compliance by the Responsible Persons of Banks</p> <p>8. Regulations Governing Qualification Requirements for the Founder or Responsible Persons of Financial Holding Companies and Concurrent Serving Restrictions and Matters for Compliance by the Responsible Persons of a Financial Holding Company</p>	<p>related data), Code for personal characteristics (C011 Individual description), Codes for family condition (C021 Family, C022 History of marriage, C023 Details about other family members, C024 Other social relations), Codes for social conditions (C031 Residence and facilities, C038 Occupation), Codes for education, skills possessed, or other professional expertise (C051 School records, C052 Qualifications or skills)</p>	
6	Correspondence-related information	1. Organic Act Governing the Establishment of the Financial Supervisory Commission	Code for identification (C001 For identifying individuals), Codes for family condition (C021	Financial Examination

Item Serial No.	Name of personal Information file	Basis for holding the file	Category of personal information	Department holding the file
		<ol style="list-style-type: none"> 2. Organic Act Governing the Establishment of the Financial Examination Bureau, Financial Supervision Commission 3. The Banking Act of The Republic of China 4. The Act Governing Bills Finance Business 5. Directions for the Financial Supervisory Commission When Handling Matters Involving the Operations of the Central Bank or any Other Agency of the Central Government 6. Implementation Rules of Internal Audit and Internal Control System of Financial Holding Companies and Banking Industries 7. Directions for evaluating the audit work of Credit Cooperatives 	Family, C022 History of marriage, C023 Details about other family members, C024 Other social relations), Codes for social conditions (C031 Residence and facilities, C032 Properties, C038 Occupation)	Bureau
7	Information related to administrative assistance	<ol style="list-style-type: none"> 1. Organic Act Governing the Establishment of the Financial Supervisory Commission 2. Organic Act Governing the Establishment of the Financial Examination Bureau, Financial Supervision Commission 3. Directions for Encouragement by the Financial Supervisory Commission of Public Reporting of Illegal 	Codes for identification (C001 For identifying individuals, C002 For identifying financial conditions, C003 For identifying government-related data), Code for personal characteristics (C011 Individual description), Codes for family condition (C021 Family, C022 History of marriage, C023 Details about other family members, C024	Financial Examination Bureau

Item Serial No.	Name of personal Information file	Basis for holding the file	Category of personal information	Department holding the file
		Financial Activities 4. Guidelines for Handling by the Executive Yuan and Subordinate Agencies of Petitions from the Public 5. Principles and Measures that a Financial Institution Must Observe and Implement When Providing the Contents of a Financial Examination Report 6. The Freedom of Government Information Law 7. Scope of Material Contingencies to be Reported by Financial Institutions, Required Procedures, and Other Compliance Matters 8. Scope of Material Contingencies to be Reported by Insurance Enterprises, and the Range of Entities Subject to Reporting Requirements 9. Regulations Governing Internal Audit and Internal Control System of Anti-Money Laundering and Countering Terrorism Financing	Other social relations), Codes for social conditions (C031 Residence and facilities, C032 Properties, C038 Occupation), Code for Education, examinations passed, skills possessed, or other professional expertise (C051 School records)	
8	R&D and training-related information	1. Personnel Management Act 2. Organic Act Governing the Establishment of the Financial Examination Bureau, Financial Supervision Commission	Codes for identification (C001 For identifying individuals, C003 For identifying government-related data), Code for personal characteristics (C011 Individual description), Codes for family condition (C021 Family, C022 History of marriage,	Financial Examination Bureau

Item Serial No.	Name of personal Information file	Basis for holding the file	Category of personal information	Department holding the file
			C023 Details about other family members, C024 Other social relations), Codes for social conditions (C031 Residence and facilities, C038 Occupation), Code for Education, examinations passed, skills possessed, or other professional expertise (C051 School records)	
9	Information related to financial supervisory analyses	<ol style="list-style-type: none"> 1. The Banking Act of The Republic of China 2. The Act Governing Bills Finance Business 3. Financial Holding Company Act 4. Securities and Exchange Act 5. Insurance Act 6. Organic Act Governing the Establishment of the Financial Supervisory Commission 7. Organic Act Governing the Establishment of the Financial Examination Bureau, Financial Supervision Commission 8. Guidelines for Handling by the Executive Yuan and Subordinate Agencies of Petitions from the Public 	Codes for identification (C001 For identifying individuals, C002 For identifying financial conditions, C003 For identifying government-related data), Code for personal characteristics (C011 Individual description), Codes for family condition (C021 Family, C022 History of marriage, C023 Details about other family members, C024 Other social relations), Codes for social conditions (C031 Residence and facilities, C032 Properties, C038 Occupation), Code for Education, examinations passed, skills possessed, or other professional expertise (C051 School records)	Financial Examination Bureau
10	HR-related information	<ol style="list-style-type: none"> 1. Organic Act Governing the Establishment of the Financial Supervisory Commission 	Codes for identification (C001 For identifying individuals, C003 For identifying government-	Financial Examination

Item Serial No.	Name of personal Information file	Basis for holding the file	Category of personal information	Department holding the file
		2. Organic Act Governing the Establishment of the Financial Examination Bureau, Financial Supervision Commission	related data), Code for personal characteristics (C011 Individual description), Codes for family condition (C021 Family, C022 History of marriage, C023 Details about other family members, C024 Other social relations), Code for social conditions (C038 Occupation), Code for Education, examinations passed, skills possessed, or other professional expertise (C051 School records), Code for Health and other matters (C111 Records of health)	Bureau
11	Information related to preventative measures	1. Act on Property-Declaration by Public Servants 2. Act on Recusal of Public Servants Due to Conflicts of Interest	Codes for identification (C001 For identifying individuals, C002 For identifying financial conditions, C003 For identifying government-related data), Code for personal characteristics (C011 Individual description), Codes for Family condition (C021 Family, C022 History of marriage, C023 Details about other family members, C024 Other social relations), Codes for Social conditions (C031 Residence and facilities, C038 Occupation), Code for Education, examinations passed, skills possessed, or other professional expertise (C051	Financial Examination Bureau

Item Serial No.	Name of personal Information file	Basis for holding the file	Category of personal information	Department holding the file
			School records)	
12	Information related to investigation and processing	Administrative Procedure Act	Codes for identification (C001 For identifying individuals, C003 For identifying government-related data), Codes for Family condition (C021 Family, C022 History of marriage, C023 Details about other family members, C024 Other social relations), Codes for Social conditions (C031 Residence and facilities, C038 Occupation)	Financial Examination Bureau
13	Information related to the FSC Secretariat's administrative matters (including official documents, procurement matters, etc.)	<ol style="list-style-type: none"> 1. Word processing manual 2. Government Procurement Act; Enforcement Rules of the Government Procurement Act 3. Vehicle management manual 	Codes for identification (C001 For identifying individuals, C002 For identifying financial conditions, C003 For identifying government-related data), Code for personal characteristics (C011 Individual description), Code for Social conditions (C031 Residence and facilities)	Financial Examination Bureau
14	Secretariat staff management	<ol style="list-style-type: none"> 1. Organic Act Governing the Establishment of the Financial Examination Bureau, Financial Supervision Commission 2. Guidelines for the Management of janitors 	Codes for identification (C001 For identifying individuals, C002 For identifying financial conditions, C003 For identifying government-related data), Code for personal characteristics	Financial Examination Bureau

Item Serial No.	Name of personal Information file	Basis for holding the file	Category of personal information	Department holding the file
		3. Cashier management manual 4. Income Tax Act 5. National Health Insurance Act; Enforcement Rules of the National Health Insurance Act 6. The Environmental Education Act	(C011 Individual description), Codes for Family condition (C021 Family, C022 History of marriage), Codes for Social conditions (C031 Residence and facilities, C038 Occupation), Code for Education, examinations passed, skills possessed, or other professional expertise (C051 School records)	
15	Information related to information system management	1. Organic Act Governing the Establishment of the Financial Examination Bureau, Financial Supervision Commission 2. The Banking Act of The Republic of China 3. The Act Governing Bills Finance Business	Codes for identification (C001 For identifying individuals, C002 For identifying financial conditions, C003 For identifying government- related data), Code for personal characteristics (C011 Individual description), Code for Social conditions (C038 Occupation), Code for Education, examinations passed, skills possessed, or other professional expertise (C051 School records)	Financial Examination Bureau
16	Information related to IT procurements	Government Procurement Act	Codes for identification (C001 For identifying individuals, C003 For identifying government- related data)	Financial Examination Bureau
17	Information related to information	Regulations on the Notification and Response of Cyber Security Incident	Code for identification (C001 For identifying individuals)	Financial Examination

Item Serial No.	Name of personal Information file	Basis for holding the file	Category of personal information	Department holding the file
	security management			Bureau

<p>Specific purposes for the Financial Examination Bureau's holding of personal information files</p>	<p>Life and health insurance matters (001), Human resource management (including recruitment, separation, employee profile, current position, education, working experience, examination distribution, life-long learning, training and development, performance management, Verification of qualifications, compensation, attendance records, benefits, check for any deprivation of civil rights, special check (background), other personnel management matters) (002), Civil servant property declarations, Avoidance of conflict of interest and political donations (014), Opinion polls (024), National health insurance, labor insurance, farmers insurance, national pension insurance, or other social insurance (031), Deposits and remittances (036), Financial service firms' collection and processing of information in accordance with legal and financial supervisory requirements (059), Financial supervision, regulation, and examination purposes (061), Youth development administration (062), Procurement and supply management (107), Education or training administration (109), Handling of complaints, petitions, and whistleblower reports (113), Job placement, career planning, and management (117), Tax administration (120), Anti-corruption administration (128), Information (communication) services (135), Information (communication) and database management (136), Information security and management (137), Management of auxiliary and logistical support (150), Environment protection (165), Internal management, supervision of public affairs, and provision of administrative assistance and related services by other central government authorities and their subordinate agencies (171), Other judicial administration (174), Other internal management, supervision of public affairs, and provision of administrative assistance and related services by local government authorities and their subordinate agencies (175),</p>
--	---

	Other financial regulatory matters (177), Other business operations that are among an entity's registered lines of business or are set out in its articles of incorporation (181).	
Contact Information of the Financial Examination Bureau	Address: 14F., No.7, Sec. 2, Xianmin Blvd., Banqiao Dist., New Taipei City 220232, Taiwan (R.O.C.)	Financial Examination Bureau

⌘Note: Parties who would like to check the personal information mentioned above should submit an application in accordance with the Freedom of Government Information Law, Archives Act, and Personal Data Protection Act.