W-9 — 要求納税人識別號碼及證明

如為聯名戶口,每位戶口持有人必須分別填寫一份表格。

W-9表格必須準確填寫,不得塗改。

如果填寫有誤,請用新表格重新填寫。

請勿使用塗改液或其他塗改工具。

所有W表格均必須以英文填寫。

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第1欄 填寫您在所得税報表中所示的全名。

第2欄 填寫您的商業名稱/無行企業實體名稱,僅於其與第1欄所填「名稱 | 不同時填寫。

第3欄 只選擇其中一個方格。如果您是一家有限責任公司(Limited liability company),請只選擇「有限責任公司」 的方格, 並在所示欄位填寫C、S或P。

第4欄 (如滴用) 在所示欄位填寫「獲豁免收款人代碼 | 和「豁免FATCA申報代碼 | 。

注意:如果您對上述代碼存有疑問,請尋求獨立稅務意見。

第5a欄 在第一行填寫完整的街道地址。

第5b欄 在第二行填寫城市/城鎮、國家及/或州,以及郵政編碼。

第6欄 請勿填寫戶口號碼,否則表格將僅限於所列戶口使用,您可能須為其他戶口另外填寫表格。

第一部分:納税人識別號碼(TIN)

請按照指示填寫相關欄位:

第7a欄 個人/獨資經營業主:社會保障號碼(SSN)。

或

第7b欄 實體(即商業機構):僱主身分識別號碼(EIN)。

注意:有效的美國納税人識別號碼應由9個數字組成。

納税人識別號碼不會:

- (1) 含有數字以外的內容,
- (2) 少於或超過9個數字,
- (3) 含有9個相同的數字,或
- (4) 含有9個順序排列的數字(無論升序還是降序)。

如果沒有納稅人識別號碼,並且計劃馬上申請,或者您已經申請了納稅人識別號碼,請在社會保障號碼或僱主身分識 別號碼一欄填寫 "Applied For" (已申請)。您必須在60天內向我們提供您的納税人識別號碼。

第二部分:證明

第8a和8b欄 請簽名並填寫日期。如果代表某個實體簽名,必須確保您有權代表該實體簽名。

注意:除非授權書特別註明代理人/律師可以簽署稅務文件或稅務表格(並提供或持有相關副本),或者提供國稅局 2848表格,否則本表格不得誦過授權書授權簽署。

* 滙豐不能提供任何稅務建議。如需有關建議,請諮詢獨立稅務顧問。





W-9 – Request for Taxpayer Identification Number and Certification

For Joint Accounts, each account holder must complete a separate form. A form W-9 must be completed correctly without any alterations. If you make a mistake, please start over using a new form. Do not use liquid paper or any other correctional tool.

All W Forms must be completed in English.

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- **Field 1** Insert the full name shown on your income tax return form.
- Field 2 Insert your Business name/disregarded entity name, only if the answer is different to your answer for 'Name' (Field 1).
- Field 3 Only check one box in this section. If you are an LLC check only the LLC box and also write down C. S or P in the field as indicated.
- Field 4 (If applicable) write down the 'Exempt payee code' and 'Exemption from FATCA reporting code' in the fields indicated.

Note: If you have any queries regarding these codes, please seek independent tax advice.

- Field 5a Write down your full street address on the first line.
- Field 5b Write down your City/Town, Country and/or State, and postcode on the second line.
- Field 6 DO NOT LIST ACCOUNT NUMBERS as this could limit the form to the accounts listed and you may have to provide another form for your other accounts.

PART I: Taxpayer Identification Number (TIN)

Complete the relevant fields as indicated:

Field 7a For Individual/sole proprietors: Social Security Number (SSN).

OR

Field 7b For Entities (i.e. business): Employer Identification Number (EIN)

Note: A valid US Tax Identification Number (TIN) will always be made up of 9 digits.

A TIN should not:

- (1) contain something other than numbers,
- (2) contain fewer than or more than nine digits,
- (3) consist of nine of the same number, or
- (4) consist of nine sequential numbers (whether ascending or descending order).

If you do not have a TIN and you intend to apply for one soon, or you have already applied for a TIN, please enter 'Applied For' in either the Social Security Number or the Employer Identification Number field. You will have 60 days to provide your TIN to us.

Part II: Certification

Field 8a and 8b Please sign and date the form. If you are signing on behalf of an entity, you must ensure you are authorized to sign on behalf of the entity.

Note: This form cannot be signed under a Power of Attorney (POA) unless the POA document specifically mentions that the agent/attorney is able to sign on tax matters or on tax forms (and a copy is provided, or held), or alternatively if an IRS Form 2848 is provided.



^{*} HSBC are unable to provide any Tax advice. If you require any advice please refer to an independent tax advisor.