

THE
CARTER CENTER



Celebrating

30

*30 years of waging peace,
fighting disease, and building hope*

ANNUAL REPORT 2010-2011



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A woman works at a small-scale subsistence mine in the province of Katanga, southeastern Democratic Republic of the Congo. The Carter Center's work in the country is raising awareness about the nation's lucrative mining industry, which generates huge profits while impoverished local communities receive few of the benefits.

The Carter Center at a Glance

OVERVIEW

The Carter Center was founded in 1982 by former U.S. President Jimmy Carter and his wife, Rosalynn, in partnership with Emory University, to advance peace and health worldwide. A nongovernmental organization, the Center has helped to improve life for people in more than 70 countries by resolving conflicts; advancing democracy and human rights; preventing diseases; improving mental health care; and teaching farmers to increase crop production.

ACCOMPLISHMENTS

- Leading a coalition that has reduced incidence of Guinea worm disease from an estimated 3.5 million cases in 1986 to about 1,100 today, making it likely to be the first disease since smallpox to be eradicated
- Observing 90 elections in 36 countries to help establish and strengthen democracies
- Teaching techniques that have helped more than 8 million small-scale farmers in 15 African nations to double or triple grain production
- Furthering avenues to peace in Ethiopia, Eritrea, Liberia, Sudan, Uganda, the Korean Peninsula, Haiti, Bosnia and Herzegovina, and the Middle East
- Helping to establish a village-based health care delivery system in thousands of communities in Africa that now have trained health care personnel and volunteers to distribute drugs and provide health education

- Strengthening international standards for human rights and the voices of individuals defending those rights in their communities worldwide
- Pioneering new public health approaches to preventing or controlling devastating neglected diseases in Africa and Latin America
- Advancing efforts to improve mental health care and diminish stigma against people with mental illnesses.

DONATIONS

The Center received \$185.7 million in cash, pledges, and in-kind gifts in 2010–2011. The Center is a 501(c)(3) charitable organization, financed by private donations from individuals, foundations, corporations, and international development assistance agencies. Contributions by U.S. citizens and companies are tax-deductible as allowed by law.

LOCATION

In a 35-acre park, about 1.5 miles east of downtown Atlanta. The Jimmy Carter Library and Museum, which adjoins the Center, is owned and operated by the National Archives and Records Administration and is open to the public. (404) 865-7100.

STAFF

175 employees in Atlanta headquarters, with staff in field offices in more than a dozen nations.

A Liberian actress performs for a radio program in Monrovia on rule of law, supported by The Carter Center. Since 2008, the Center has produced several radio shows each month to keep Liberians informed of their legal rights.

A girl in Guatemala is measured by a health worker to determine her dosage of the medication that prevents river blindness. In 2011, the Guatemalan government announced that the disease had ceased transmission in the country.





A Message From President Jimmy Carter

President and Mrs. Carter take notes at a polling station while observing the January 2011 referendum in southern Sudan.

In the last 30 years the meaning of human rights has expanded. Today it includes not only civil & political rights—freedom of speech, assembly, & religion, and self-governance—but also social and economic rights to food, shelter, access to health care, & education. At the Carter Center we strive to advance this broad vision of human rights, so people can fulfill their potential and live in peace.

Jimmy Carter

Our Mission

The Carter Center, in partnership with Emory University, is guided by a fundamental commitment to human rights and the alleviation of human suffering; it seeks to prevent and resolve conflicts, enhance freedom and democracy, and improve health. While the program agenda may change, The Carter Center is guided by five principles:

- The Center emphasizes action and results. Based on careful research and analysis, it is prepared to take timely action on important and pressing issues.
- The Center does not duplicate the effective efforts of others.
- The Center addresses difficult problems and recognizes the possibility of failure as an acceptable risk.
- The Center is nonpartisan and acts as a neutral in dispute resolution activities.
- The Center believes that people can improve their lives when provided with the necessary skills, knowledge, and access to resources.

The Carter Center collaborates with other organizations, public or private, in carrying out its mission.



Above: In Bolivia, The Carter Center is looking for ways to keep an increasingly polarized society from erupting into violence.

Right: A Chinese woman in the village of Hetao, Yunnan province, waits for the results of local elections, monitored by The Carter Center.

Below: Now free of Guinea worm disease, Sadia Mesuna is able to attend school in northern Ghana.





Oz Nelson

John Hardman

A Message From the Officers

President and Mrs. Carter founded The Carter Center 30 years ago to create a brighter future for some of the world's most vulnerable people. Though problems like conflict, oppression, and disease can seem insurmountable, the Carters were not deterred. They, and all of us at the Center, have remained committed to working in places and on issues others have deemed too difficult or too risky.

We've achieved success the Carters never imagined in the early years. But our many accomplishments cannot be attributed solely to us. It is the partnerships we have built and the way in which we work that have allowed the Center to make a difference in the lives of people around the world.

We want our work to be sustainable and have lasting impact; therefore, we never start a project without the support of the local and national governments. For example, in our health programs, we coordinate work in collaboration with our host country's ministry of health. At the ground level, we hire and mobilize local community members because they are the ones with the most at stake.

Similarly, The Carter Center will not observe an election unless all the major participating political parties welcome our involvement. And we train local community groups in techniques to monitor elections and human rights, so they can hold their governments accountable into the future.

Often, we find that as we become invested with our partners in a country's success on one front, we have been invited to expand our efforts beyond a single project to help create more comprehensive infrastructures to further peace and health.

For example, our work in Liberia began with conflict mediation in 1991 and grew to include observation of multiple elections. Today, the Center has partnered with a variety of stakeholders—ministerial agencies, traditional councils, and civil society organizations, to name a few—to help guide the post-conflict democracy as it restores the rule of law and establishes a mental health care system.

In Sudan, we have worked both during times of peace and war throughout the last quarter-century to fight crippling diseases like Guinea worm and prevent conflict. In January 2011, we witnessed the birth of the world's newest nation—South Sudan—during a historic referendum that brought the region another step closer to a lasting peace.

We thank our many partners from the last 30 years. The Carter Center's achievements would not be possible without them.

Kent C. "Oz" Nelson
Chairman

John Hardman
President and Chief
Executive Officer

Peace Programs

As part of a nationwide effort to create the country's first digital voter list, a Nepalese woman is photographed for her voter registration in Bhaktapur district. Carter Center observers monitored the process, continuing to support and strengthen democracy in the country.





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Pioneer of Election Observation

The Carter Center has pioneered the field of election observation, monitoring some 90 elections in Africa, Latin America, and Asia since 1989 to help countries in political transition fulfill democratic aspirations.

“The growing global movement toward democracy brought with it a demand for the presence of independent election observers. Over time, the Center has received more and more invitations to assist as nations take steps toward holding genuinely democratic elections,” said Dr. David Carroll, director of the Democracy Program. “With more than two decades of election observation missions, the Center has developed a solid record of expertise and impartiality. The assessments of Carter Center observers play an increasingly important role in shaping public perceptions about the quality of elections.”



The Carter Center observed its first elections in Panama in 1989, and President Carter denounced the elections as fraudulent.

Some election missions have been integral parts of still-fragile peace accords, as in Nicaragua, the Democratic Republic of the Congo, Cote d'Ivoire, Liberia, Nepal, and Sudan. Others have taken place in countries undergoing difficult democratic transitions, such as in Panama, Haiti, Indonesia, Guinea, Tunisia, and Egypt. And in East Timor and Sudan, observers even witnessed the birth of new states.



The Carter Center was present in Indonesia to observe the largest single-day election in the world in July 2004.

“The Carter Center must be invited by a country’s election authorities, welcomed by all major parties, and allowed unfettered access to all facets of an electoral process to ensure we can play a credible role.”

– John Stremlau, vice president for peace programs



After polls have closed in Liberia, an election worker reads the identification numbers off a ballot box security tag to verify that the boxes have not been tampered with during voting.

In nations where democratic institutions are generally weak, incumbent governments enjoy organizational advantages, and political space for new parties to develop may be lacking. That is why many election missions are much longer now than in the early years of observation, beginning many months before voting and counting, and often continuing after an election to monitor the resolution of election disputes. Today, The Carter Center sometimes even maintains a presence in aspiring democracies long after elections as newly elected officials draft a constitution and develop public institutions to ensure transparency and citizen participation in governance.

“Strengthening democracy and peace building do not come easily or quickly. They involve much more

than what happens on election day, and the length and breadth of our election observation activities at the Center have grown to reflect that,” Carroll said.

As election observation groups proliferated over the last 20 years, the Center also has been a leader in harmonizing their approaches. With the United Nations and the National Democratic Institute, The Carter Center in 2005 drafted principles to help guide the field practice of election observation, which have been endorsed by 37 major election monitoring organizations. Now, the Center is working with a number of organizations to build further consensus on the criteria and standards used by observers to assess elections.



One of the most important democratic experiments of the last 25 years has been the movement in 600,000 small villages across China toward open elections for local officials. Since 1997, at the invitation of the Chinese government, The Carter Center has helped standardize the vast array of village election procedures.



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Trusted Broker for Peace

For 30 years, parties in conflict have turned to The Carter Center when traditional methods of resolving disputes have failed. President Carter's success negotiating an agreement between Israel and Egypt in 1978 led to his initial focus on conflict resolution when he founded the Center in 1982.

As a nongovernmental organization with access to leaders, The Carter Center has bridged an important space between official diplomacy and grassroots peace efforts to help resolve armed conflicts. "The respect for President Carter as a man of peace and human rights has accrued to The Carter Center over the years," said Hrair Balian,

The Carter Center has paved pathways to peace in Ethiopia, Eritrea, Liberia, Sudan, Uganda, Korean Peninsula, Nepal, Haiti, Bosnia and Herzegovina, the Middle East, and the Andean region of South America.



The Carter Center works to support a viable two-state solution to the Israeli-Palestinian conflict and to promote comprehensive peace in the region.

director of the Conflict Resolution Program. “We serve as an alternative channel for dialogue until official diplomacy can take place.”

While direct negotiation remains the main focus of the Center’s conflict resolution efforts, decades of experience revealed corollary needs for inclusive governance, respect for human rights, and rule of law.

“Today, the global view of what it takes to prevent conflict and foster peace is more holistic, and the breadth of our work reflects that,” says Balian. Carter Center projects also aim to strengthen the public institutions that ensure access to information, credible elections, rule of law, justice, and human rights as building blocks of peaceful societies.

Opposite: In rural Liberia, an audience watches a humorous skit with a serious message: violence is no way to resolve conflict. A long-term project between The Carter Center and local partners has increased use of the developing legal system in the post-conflict country to resolve grievances or crimes.



In 1994, President Carter met with North Korean President Kim Il Sung to negotiate terms for the resumption of dialogue between the United States and North Korea. President Carter returned to the country in 2010 and brought about the release of imprisoned American teacher Aijalon Gomes.



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Champion for Human Rights

Since President Carter's groundbreaking efforts in the White House to place human rights at the center of U.S. foreign policy, the goal of securing human rights for all—civil, political, social, and economic rights—has driven the Carter Center's work to advance peace and health in more than 70 nations.

These rights, which are the bedrock of peaceful and just societies, have gained support from a growing movement for global human rights standards in recent decades.

Two of the most significant developments were the creation of the post of U.N. High Commissioner for Human Rights and the International Criminal Court (ICC) with the active help of The Carter Center and President Carter.

"In the 1990s, there was a collective call for international enforcement of the fundamental human rights that had been codified in the Universal Declaration of Human Rights since 1948," said Karin Ryan, director of the Human Rights Program. "Through the U.N. Human Rights Council and the ICC, the international human rights community now wields significant power in its ability to name and confront violators, to bring about change, and to encourage



Sima Samar, activist for women's rights in Afghanistan, has attended the Center's Human Rights Defenders Policy Forum over the years, sharing her experiences with others on the front lines of freedom. "We must learn from the past and make sure that the principles of human rights are common across all countries and are not negotiable," said Samar.

Members of the U.N. General Assembly discuss a report from the International Criminal Court, the goal of which is to end impunity for perpetrators of the most serious crimes of concern to the global community. In the late 1990s, President Carter and The Carter Center were instrumental in the formation of the court, which has issued 27 indictments since its founding.





In the Democratic Republic of the Congo, The Carter Center is working on several fronts to strengthen human rights. At the invitation of the Congolese government, the Center assisted in a review of the fairness of national mining contracts in this poverty-stricken, but mineral-rich nation. In capital Kinshasa, the Center's Human Rights House provides training and networking resources to local human rights groups.

governments to investigate and prosecute serious acts of violence that occurred.”

With the growing cry for human rights and democracy, many more local movements and organizations also have emerged in the past 30 years to hold their governments

President and Mrs. Carter have worked privately since leaving the White House to prevent the persecution and unlawful detention of thousands of people worldwide for their political or religious beliefs, often interceding with world leaders on behalf of those unjustly imprisoned.

accountable for meeting specific human rights standards and for protecting citizens' rights. Through its Human Rights Defenders Policy Forum, the Center has amplified the voices of these courageous and effective grassroots leaders, who often face shrinking political space, unlawful detention, and even threats to their lives. Periodic forums led by President Carter and the U.N. High Commissioner for Human Rights have given activists from more than 50 countries a platform to reach and inform policy makers and a network for creating and coordinating strategies to address common challenges, such as the role of religions in advancing human rights and women's rights.

“People all over the world are demanding their rights—the freedom to express oneself, freedom to associate with anyone they want, equal treatment under the law,” said Ryan. “When these rights are granted, so much more is possible.”

Year in Review



Former First Lady Rosalynn Carter talks with a Tunisian man waiting to vote. She participated in the Carter Center delegation that monitored elections in Tunisia.

DEMOCRACY PROGRAM

In 2011, the Carter Center's Democracy Program observed momentous elections across the globe, from the birth of a new country to the first elections of the Arab Spring.

The program sent more than 100 observers to the referendum in southern Sudan in January, where an overwhelming majority voted for secession from Sudan. Despite election challenges, the Center found the process generally credible.

In September, the Center sent a delegation to observe a special election for principal chief of the Cherokee Nation, a rare domestic mission.

Liberia's presidential and parliamentary elections were seen as a key test of the country's democratic institutions, and The Carter Center observed both the Oct. 11 elections

and a presidential runoff. Following a peaceful first round, the runoff was well-administered but marred by an opposition boycott, violence, and low turnout.

Carter Center observers monitoring Tunisia's Oct. 23 constituent assembly elections reported that procedures were transparent, and there was general confidence about the country's democratic transition. Voters waited patiently in very long lines, determined to take part in the historic first election of the Arab Spring.

In fall 2011, the Center also was undertaking a major observation of challenging elections in the Democratic Republic of the Congo.

As part of the Center's ongoing project to standardize international election observation, staff met with other observer organizations in October to increase coordination and





Women wait to cast their ballot in a January referendum in southern Sudan that led to the area seceding and forming a new nation in July.



A new website sponsored by The Carter Center maps the location of industrial and artisanal mines in the mineral-rich Katanga province of the Democratic Republic of the Congo.

understanding of circumstances in host countries and to work to ensure peaceful acceptance of election results.

Finally, The Carter Center remains closely involved in Nepal, where long-term observers monitor the country's peace process and constitution drafting, issuing ongoing statements about the situation.

HUMAN RIGHTS PROGRAM

In 2011, the Human Rights Program partnered with local nongovernmental organizations in the Democratic Republic of the Congo to create an alert system to help human rights defenders when they are threatened or arbitrarily arrested.

In addition, through the Human Rights House in Kinshasa, The Carter Center provided direct support to 154 Congolese organizations that work to halt child trafficking, shepherd victims of sexual and gender-based violence through the legal system, and demand transparent and equitable use of natural resources through mining policy reform.

To foster greater understanding in the United States of the Israeli-Palestinian conflict, the Center brought Israeli

and Palestinian human rights defenders to the United States to discuss with influential U.S. groups the human cost of the ongoing conflict.

In April, the program hosted a group of human rights defenders and religious leaders representing more than 20 countries, calling on faith leaders to assess the role religions play in continuing discrimination against women worldwide. The group discussed challenges that women's rights activists face and ways to advance the protection of women's rights. Former U.S. President Jimmy Carter and U.N. High Commissioner for Human Rights Navi Pillay led the forum.

CONFLICT RESOLUTION PROGRAM

The Conflict Resolution Program continued its work to further peace in the Middle East by helping to organize a constructive response from the international community on the Palestinian reconciliation agreement. Center staff convened a series of discussions on community reconciliation to inform recommendations to Palestinian leadership. Working with partners, the Center held two strategy



A Carter Center journalist training program enhances professionalism and the role media can play in stabilizing conflict.



Two Chinese citizens prepare to vote for their town council. Using several avenues, The Carter Center works for progress toward democracy and transparency in China.

sessions with Palestinian leaders on their request for full membership status at the United Nations. Subsequent sessions focused on ways to advance the two-state solution to the Israeli-Palestinian conflict.

Following Arab Spring events, The Carter Center monitored developments in the region, particularly the deteriorating situation in Syria.

Similarly, during the transition of Sudan from one country into two, the Center watched the unfolding political and security situation, particularly threats posed to Guinea worm eradication efforts, and also sponsored a roundtable discussion with individuals involved in mitigating threats from South Sudan insurgents.

In Liberia, the Center continued to increase awareness of the formal justice system and strengthen local justice mechanisms by supporting 34

community legal advisers who provide free legal services and mediation to marginalized citizens. The Center has assisted in more than 5,000 cases since 2008. The program also works with chiefs, women, and youth leaders in rural areas on understanding of the law and supporting local resolution of land and other community disputes. Working with the women's section of the National Traditional Council, the Center convened the first women-only forum on dispute resolution and rule of law.

AMERICAS PROGRAM

In 2011, the Americas Program concluded its Andean-U.S. Dialogue Forum with influential citizens from Venezuela, Colombia, Ecuador, Peru, Bolivia, and the United States. Over the course of several meetings, the group was able to recommend ways to





The Carter Center continues its efforts to resolve the Israeli-Palestinian conflict through dialogue between Palestinian groups, with Israel, and with U.S. leaders and the international community.



In Liberia, local election observers watch voters in a polling station. The elections, which were observed by The Carter Center, were seen as a test of the country's democratic institutions.

improve cooperation and provide a report on drug policy in the Andean region. Discussions among Venezuelan, Colombian, and later U.S. media professionals focused on the media's role in intercountry relations.

The Center also continued media training in Bolivia and Venezuela. In Bolivia, where ethnic and cultural diversity and struggles over natural resources have created tensions, the project addressed the media's role in influencing peace and stability. In Venezuela, journalists and editors from politically diverse outlets were brought together to debate the function of journalism in a polarized society.

This year, the Friends of the Inter-American Democratic Charter, assisted by The Carter Center, pushed for an ombudsman on democracy in the Inter-American system, presented analyses and recommendations during 10th anniversary celebrations of the charter, and sponsored delegations to Nicaragua to advocate for more transparent elections.

From within the Americas Program, the Center continued efforts to advance the right of access to information in Liberia and China and launched a tool to help assess specific steps that countries have taken to implement access to information laws.

CHINA PROGRAM

In 2011, the China Program continued to work at the grassroots level toward self-governance for Chinese citizens. The Center's influential and award-winning website, www.chinaelections.org, continued to gain in popularity.

The Center also launched a China-Africa initiative that focuses on understanding China's role in Africa and facilitating collaboration between the regions. The initiative's website, www.sinoafrica.org, is available in both English and Chinese and provides news updates, translations of important articles, and academic research.

In November, a group of Chinese scholars were brought to the United States to observe midterm elections. The delegation visited campaign headquarters, polling places, and the election commission in the greater Los Angeles area to learn more about the American electoral system.

The Center continued to support China in the institutionalization of its Open Government Information regulation. The Center has worked with local governments, universities, and Chinese think tanks to raise awareness of the value of access to information and to share international experiences on implementation, enforcement, and use.



Health Programs



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In Ethiopia, a surgeon and his assistant finish an eye operation on an Ethiopian woman to correct the painful effects of trichiasis, the most advanced stage of the bacterial eye infection trachoma, in which in-turned eyelashes scrape the cornea with every blink. In 2011, about 34,000 Ethiopians received free surgery supported by The Carter Center.



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Leader in Disease Eradication and Elimination



Guatemalan schoolchildren whack a fly-shaped piñata, which serves as a reminder that river blindness is transmitted by the bites of tiny flies. In 2011, Guatemala announced it had stopped transmission of the disease.

The Carter Center has become a global leader in the eradication and elimination of diseases, focusing efforts to build health and hope in some of the poorest and most isolated places on earth.

Eradication campaigns aim to rid the earth of a disease altogether; elimination efforts attempt to abolish a disease in a specific geographic area, such as a country or region.

Since 1986, the Center has spearheaded the international campaign

to eradicate Guinea worm disease, a painful and debilitating condition caused by a waterborne parasite. Through use of simple tools like health education and fine mesh filter cloths to strain infective larvae from drinking water, cases of Guinea worm disease have been reduced more than 99.9 percent since 1986, from 3.5 million to fewer than 1,100 in 2011.

“Even though Guinea worm disease does not usually kill people, its effect on communities is devastating,” said Dr. Donald R. Hopkins, vice president for the Center’s health programs. “In the past, we saw entire villages unable to work or go to school because of a Guinea worm outbreak. That is why we must get rid of this disease.”

The Carter Center leads campaigns to halt Guinea worm disease, river blindness, blinding trachoma, and lymphatic filariasis.

With its partners, the Center also is eliminating river blindness from Latin America. Through health education and mass distribution of the medicine Mectizan,[®] donated by Merck, people in the region are no longer being blinded by the disease, which is caused by repeated bites of tiny black flies. Of the six countries that were endemic in 1996, four have stopped transmission of the disease.

In Ghana, Carter Center-led interventions have helped eliminate

blinding trachoma, a bacterial eye disease that can be extremely painful in its latter stages. And recent Carter Center work in Nigeria has shown it is possible to eliminate lymphatic filariasis, a parasitic disease that causes painful and severe swelling, resulting in social stigma for victims.

“We are helping families around the world fulfill their potential and lead healthier lives, free from the threat of these diseases,” Dr. Hopkins said.

In Nigeria, The Carter Center has shown that it is possible to eliminate lymphatic filariasis, a disease that causes gross and irreversible swelling.



Above: Ghanaian women take care of household chores, such as fetching water, without the threat of losing their sight due to trachoma. With assistance from The Carter Center, the country was able to declare that the painful eye disease was no longer a public health problem.

Left: A health worker in South Sudan shows a Guinea worm that has been extracted from a victim's leg. South Sudan is one of only a handful of countries still fighting Guinea worm disease.



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Partner for Village-Based Health Care Delivery

Building on our work to eradicate Guinea worm disease, the Center has helped create better disease surveillance and sustainable health care delivery systems in poor nations with few resources.

Local volunteers are elected and trained to coordinate health interventions within their villages, resulting in a corps of individuals who take personal responsibility for the health of their fellow community members.

The campaign to eradicate Guinea worm disease brought basic medical care and health education to the smallest corners of the earth. Today, those networks have been expanded to address additional diseases, such as trachoma and river blindness.

For example, the medicine that treats and prevents river blindness, Mectizan,[®] which is donated by Merck, can be delivered safely to community members by trained individuals.

“The use of community members for drug distribution and health education has made a huge difference in the successful fight against neglected diseases,” said Dr. Frank O. Richards, director of the Center’s River Blindness Program. “In particular, I think the control of river blindness is one of the great success stories in public health.”

By harnessing the power of local people who understand their communities and have the respect of their peers,

The Carter Center is able to help implement simple, cost-effective solutions that allow communities to take ownership of their own health care challenges, sometimes resulting in even greater success than was thought possible.

With assistance from The Carter Center, women in Ethiopia have led highly successful latrine-building campaigns in their villages to fight trachoma, a debilitating blinding disease that predominantly affects women.

“We showed people how to build a low-cost latrine with local materials,” said Dr. Paul Emerson, director of the Center’s Trachoma Control Program. “Once families—particularly women—discovered the benefits, they took charge, making sure their latrines were built and maintained.”

A Ghanaian volunteer shows Dr. John Hardman, president and CEO of The Carter Center, the leg of a young Guinea worm patient.

The Guinea Worm Eradication Program brought disease surveillance to 23,700 villages in Africa.



Across Latin America, including Colombia (pictured), local health workers have played a crucial role in making sure communities were vigilant in taking medication and receiving health education to halt river blindness.

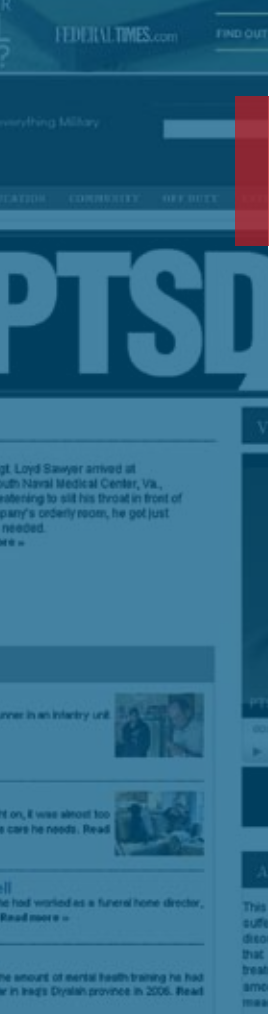


Below: In Ethiopia, women lead the movement to build low-cost latrines for their households. The latrines help control the spread of trachoma, a bacterial eye disease.



Below: Village volunteers in Uganda deliver medication to their families and friends, allowing the community to take charge of its own health.





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Voice for Mental Health Care

Under the leadership of former First Lady Rosalynn Carter, the Carter Center's Mental Health Program has increased awareness about mental health issues, informed public policy, and reduced stigma and discrimination against those with mental illnesses.

Through the annual Rosalynn Carter Symposium on Mental Health Policy, the Center has brought a more united voice to a traditionally

divided field, bringing together health leaders, national organizations, and other key stakeholders to build consensus on critical policy issues such as veterans' mental health, children's access to mental health services, and stigma and mental illness.

One of the major successes the program witnessed during the past decade has been the passage of mental health and addiction parity legislation—an important statement that

The mental health of children has been a frequent topic of the annual Rosalynn Carter Symposium on Mental Health Policy. Celebrating its 27th year in 2011, the symposium brings together national leaders in mental health to coordinate their efforts on an issue of common concern.





Under the auspices of the Rosalynn Carter Fellowships for Mental Health Journalism, journalists have produced hundreds of mass media pieces on mental health.



More than 120 journalists have received one-year fellowships with The Carter Center to report on topics related to mental health, including photographer Gail Fisher (pictured).

In 2007, former First Lady Rosalynn Carter testified before a House Education and Labor subcommittee in favor of parity for insurance coverage of mental illnesses.



In Monrovia, Liberia, physician assistant Klubo Mulba (left) is reunited with Surprise Otto, a patient Mulba treated for depression several months earlier. Mulba is a newly certified mental health clinician, trained through a Carter Center program that is building a corps of health professionals who can treat mental illnesses in the post-war country.

these diseases should be treated like any other physical illness.

The Center also has worked on the local level in Georgia to provide community-supported solutions for improving the state's crumbling mental health care systems.

While working to defeat stigma and discrimination against people with mental illnesses at the policy level, recipients of the Center's Rosalynn Carter Fellowships for Mental Health Journalism work to counter myths, misperceptions, and stigma about these disorders in the public arena through the mass media.

"The attitudes about mental illness are changing," Rosalynn Carter said. "I've been working on this issue for more than 40 years, and I think even stigma is improving some."



Year in Review



In a remote part of Lakes state, South Sudan, Carter Center field officer Isaiah Deng Mayom explains how a cloth water filter can protect this woman's family from Guinea worm disease.

GUINEA WORM ERADICATION PROGRAM

The Carter Center-led campaign to eradicate Guinea worm disease is moving closer to its goal with 1,058 estimated cases of Guinea worm disease reported in 2011 in pockets of three endemic countries—South Sudan, eastern Mali, and western Ethiopia—and an isolated outbreak in Chad. When successful, eradication of this devastating parasitic infection will have been achieved without the use of a vaccine or drug treatment. The total number of cases reported in 2011 marks a 41 percent reduction from the 1,797 cases reported in 2010.

In mid-2011, after a more than a two-decades-long struggle, Ghana completed 12 consecutive months with zero indigenous cases reported, indicating that disease transmission has been halted. Today, South Sudan harbors the vast majority

(approximately 97 percent) of cases in the world, and potential conflict in the region remains the greatest threat to the global eradication effort. Guinea worm disease is transmitted only when people drink contaminated water, and its presence often indicates abject poverty. The disease can be prevented primarily through health education; water filtration with a fine-mesh cloth; treatment of stagnant water sources with ABATE,[®] an environmentally safe larvicide donated by BASF Corporation; or provision of clean drinking water.

Some of the many partners in the fight against Guinea worm disease include the following: Bill & Melinda Gates Foundation, United Kingdom's Department for International Development, Conrad N. Hilton Foundation, John P. Hussman Foundation, Saudi Fund for Development, Sultanate of Oman,



A health extension worker helps a new mother wash her infant's face during a home visit in Awassa, Ethiopia. Clean faces help prevent the spread of trachoma, a bacterial eye disease.



Women plant coffee on a farm in Guatemala. Farmers who work long hours near rivers and streams in affected areas are particularly vulnerable to river blindness.

Khalifa Bin Zayed Al Nahyan Foundation, Arab Fund for Economic and Social Development, OPEC Fund for International Development, Children's Investment Fund Foundation, Vestergaard Frandsen, BASF Corporation, and ESRI.

RIVER BLINDNESS PROGRAM

The goal of the Center and its partners, including the Bill & Melinda Gates Foundation and the Lions Clubs International Foundation, is to eliminate river blindness in the Americas, Uganda, and one area of Sudan. The Center also assists ministries of health in Cameroon, Ethiopia, and Nigeria to control river blindness. Since 1996, The Carter Center and its partners have assisted in the distribution of more than 150 million treatments of Mectizan,[®] the Merck-donated medicine that prevents and treats the disease, and the provision of health education. Today, four of the six countries in the Americas that were endemic for river blindness in 1996 have halted transmission of the disease, including Guatemala and Mexico in 2011. River blindness is spread by the bites of small black flies that breed near rapidly flowing streams and rivers. In addition to severe itching and scarring, the disease eventually can lead to blindness for its victims.

TRACHOMA CONTROL PROGRAM

Working in partnership with six African countries to eliminate blinding trachoma, The Carter Center has become a leader in the implementation of the World Health Organization's SAFE strategy for trachoma control—surgery, antibiotics, face and hand washing, and environmental improvement. Alongside partners such as national ministries of health, the Conrad N. Hilton Foundation, and Lions Clubs International Foundation, the Trachoma Control Program has touched the lives of nearly 40 million people suffering from or at risk of trachoma. In 2010–2011, The Carter Center assisted with more than 41,000 surgeries (approximately 30 percent of all worldwide) to treat trichiasis, relieving the debilitating pain of advanced trachoma and preventing further vision damage. To treat active infections of the bacteria, the Center helped distribute more than 16.2 million doses of the antibiotic Zithromax[®] (donated by Pfizer Inc). Ongoing routine health education is conducted in nearly 10,000 villages to encourage face and hand washing, and more than 612,000 household latrines were constructed this year to improve environmental sanitation.



A Nigerian woman and her baby are protected from lymphatic filariasis and malaria by a long-lasting insecticidal bed net.



Children who swim and play in rivers and streams, such as these Nigerian boys, can contract schistosomiasis, a parasitic infection that wreaks havoc on a person's intestinal and urinary systems.

LYMPHATIC FILARIASIS ELIMINATION PROGRAM

The Carter Center is working with Plateau and Nasarawa states in Nigeria to show that lymphatic filariasis can be interrupted on a large scale through health education and treatments of albendazole (donated by GlaxoSmithKline) given in combination with Mectizan® (donated by Merck). Most of these treatments are distributed as part of an approach pioneered by The Carter Center, combining preventive efforts for lymphatic filariasis, river blindness, and schistosomiasis, which has improved efficiency and brought operational costs down 41 percent. Since 2000, more than 32 million treatments for lymphatic filariasis have been distributed, and mosquito infection rates have dropped by 86 percent. As a result, 30 percent of districts in Plateau and Nasarawa states are believed to have stopped disease transmission based on human and mosquito infection rates. In some of these districts, treatment

was halted—a first-of-its-kind achievement for the battle against lymphatic filariasis in Nigeria.

SCHISTOSOMIASIS CONTROL PROGRAM

Since 1999, the Carter Center–assisted schistosomiasis program has been the largest in Nigeria for prevention and treatment of the disease through health education campaigns and the distribution of the medication praziquantel in Delta, Edo, Nasarawa, and Plateau states. Schistosomiasis is contracted while bathing or swimming in water contaminated with a parasite, causing anemia, damage to internal organs, and impaired growth and learning ability in children. In Plateau and Nasarawa, all children—about 1 million—are targeted for treatment. In Edo and Delta, treatments are given in communities when more than 10 percent of the population is infected. As a result of these efforts in Nigeria, nearly 6 million treatments have been given cumulatively, and,





In Ethiopia, a blood test will reveal whether this woman is suffering from malaria and needs treatment.

The Carter Center is helping war-torn Liberia build its national capacity to treat those with mental illnesses through a clinician training program.

most importantly, the schistosomiasis infection rate in children has been reduced by two-thirds. The Carter Center assistance is supported by the World Health Organization, Merck KGaA, and the Izumi Foundation.

MALARIA CONTROL PROGRAM

The Carter Center is helping to demonstrate that large-scale malaria control and prevention are possible in the largest endemic countries in Africa—Nigeria and Ethiopia. This year, the Center and its partners achieved an important milestone by reaching a total of more than 10 million insecticide-treated bed nets distributed in Ethiopia and Nigeria since 2004. In addition, the Center supported national malaria surveys in both countries and conducted targeted studies to evaluate program activities and ongoing field research projects. In the Amhara region of Ethiopia, the Center integrates malaria and trachoma control during weeklong mass treatment campaigns. This year, more than 55,000 people received treatment for malaria, and millions received health education to help prevent this potentially deadly disease.

AGRICULTURE PROGRAM

Since 1986, the Carter Center's Agriculture Program—in partnership with the Sasakawa Africa Association—has worked in 15 sub-Saharan African

countries to establish better crop production, train workers, and create production demonstration plots. More than 8 million small-scale farmers have doubled or tripled crop yields in countries where malnutrition is a constant threat.

MENTAL HEALTH PROGRAM

In 2011 in Liberia, the program, in partnership with the Liberian Ministry of Health and Social Welfare, graduated the first class of 21 locally trained, credentialed mental health clinicians. These specialized nurses and physician assistants will return to their communities and primary care positions throughout Liberia to help integrate mental health care into local health systems or to teach in local universities in this post-conflict country. Also in 2011, after more than three years of work, The Carter Center issued a groundbreaking report with recommendations for improving mental health services in the state of Georgia that provides solutions for children, forensic patients, and other patient populations not covered by a 2010 settlement between the state and the Department of Justice to improve patient safety and quality of care in the public mental health care system. At the 27th annual Rosalynn Carter Symposium on Mental Health Policy, participants discussed the needs of children exposed to trauma through domestic violence, the juvenile justice system, and welfare.



30

*30 years of waging peace,
fighting disease, and building hope*

Near the Dukanani dam in northern Ghana, a cloth water filter provides a frame against boys on their bicycles. The cloth, which is used in the three countries still endemic for Guinea worm disease, keeps the larvae that cause the disease out of household drinking water.

Philanthropy



A Message About Our Donors

As a not-for-profit organization, The Carter Center can realize its mission to wage peace, fight disease, and build hope around the world only through generous support from individuals, foundations, corporations, and governments.

More than 98,589 donors contributed \$185.7 million in cash, pledges, and in-kind gifts in 2010–2011 to support the Center’s peace and health programs.

The Carter Center’s peace programs are supported by a number of dedicated donors. The Center’s election observation missions in Sudan and Liberia and constitutional drafting in Nepal were made possible with funding from Norway, the United Kingdom’s Department of International Development, the Canadian International Development Agency, the United States Agency for International Development, Nordem, the Netherlands, Germany, the United Nations Development Program, Humanity United, Norway, and Ireland.

Assistance from Switzerland and the Catherine Hawkins Foundation advanced reconciliation efforts in the Occupied Palestinian Territory. The Office of the United Nations High Commissioner for Refugees Development Program, USAID, the John C. and Karyl Kay Hughes Foundation, and the Natemba Foundation supported the Center’s project in post-conflict Liberia to strengthen rule of law. Projects in Andean nations were supported by International IDEA, the Corporacion Andina de Fomento, and Denmark.

Many of the Center’s longtime donors continue to make generous gifts to support the Carter Center’s health programs. Partners such as the Conrad N. Hilton Foundation and Alwaleed Bin Talal Foundation, among others, recognize that the road to lasting change in the health care of a country requires foresight and resolve in equal parts.

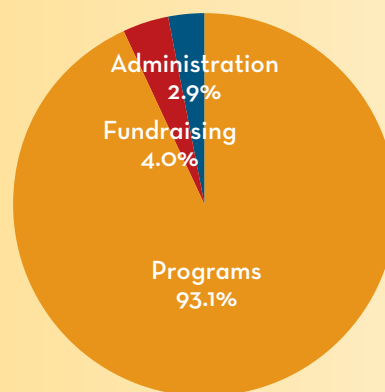
The Center’s health programs would be impossible without the tireless support of our corporate pharmaceutical partners—GlaxoSmithKline, Merck, and Pfizer Inc—which donate the large quantities of medication necessary to fight disease.

The Mental Health Program received vital support for its activities from partners such as the Annie E. Casey Foundation, the William Randolph Hearst Foundation, and the Charles Engelhard Foundation. The John P. Hussman Foundation joined several partners, including the Skoll Foundation, to provide necessary startup funding for the Center’s new mental health project in Liberia.

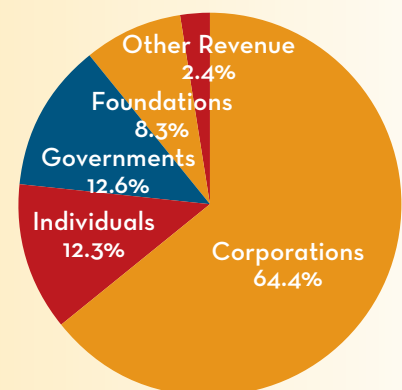
In addition to support from corporations, foundations, and governments, the Center is grateful for the confidence of many individual donors. Members of the Ambassadors Circle help sustain Carter Center programs through annual unrestricted gifts of \$1,000 or more. Their support provides the flexibility to seize opportunities, tackle urgent needs in the field, bridge revenue gaps in funding specified for a particular program, and run day-to-day operations.

“I feel privileged to be able to support an organization which so effectively serves as an ambassador for good around the globe,” said longtime donor Steven Nothern of Scituate, Mass., who has a particular interest in the Center’s peace programs. “The work of The Carter Center and its employees is immensely effective in supporting democratic elections in parts of the world where democracy has been hard-earned, sometimes through bloodshed. The peaceful transition of government by free and fair elections must never be underestimated. I thank The Carter Center for its dedication to supporting democracy, creating healthier communities, and building hope.”

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A Liberian woman and her infant watch a Carter Center-supported theater group perform an educational skit about private property rights.



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Col. Mary H. Yeakel
Mr. Aaron Yeargan
Ms. Kathleen M. Yori
Mr. Hai Tee Young
Mr. and Mrs. Timothy D. Zeak
Mr. Nick Zeller
Mr. James R. Ziegler
Ms. Nancy Zinner

Founders

The Carter Center and the Jimmy Carter Library and Museum were built in large measure thanks to the early leadership and financial support of the Carter Center Founders.

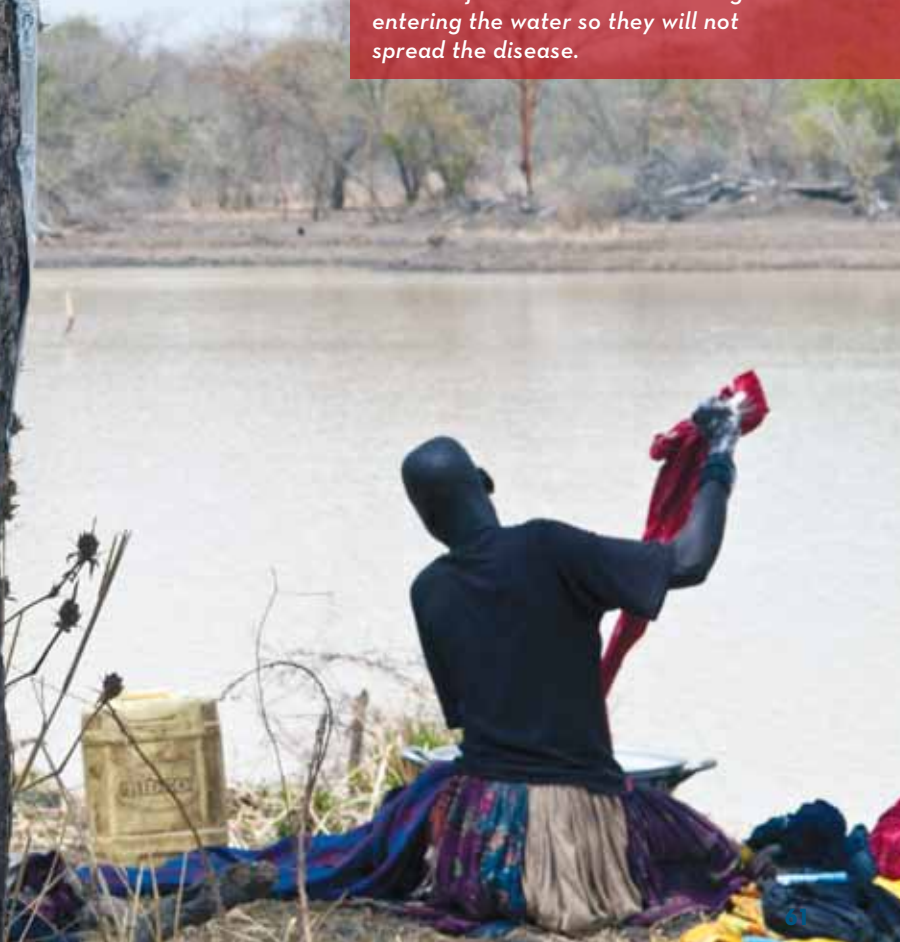
Agha Hasan Abedi
Senator Hajime Akiyama
Ivan Allen III
Dwayne O. Andreas
Arthur and Diana Blank
Richard C. Blum
W. Michael Blumenthal
Edgar M. Bronfman Sr.
James C. and Connie Calaway
Mr. and Mrs. Michael C. Carlos
Anne Cox Chambers
Warren Christopher
Dominique de Menil
Charles W. Duncan Jr.
His Majesty King Fahd of Saudi Arabia
J. B. Fuqua
Roberto C. Goizueta

Walter and Elise Haas
Armand Hammer
Sidney Harman and Jane Frank
Harman, Esq.
Governor and Mrs. W. Averell Harriman
Jess Hay
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Philip M. and Ethel Klutznick
Mathilde and Arthur Krim
George P. Livanos
Fraydun Manocherian
G. William Miller
Guy W. Millner
George P. and Cynthia Mitchell
Set Charles Momjian
David Packard
George and Thelma Paraskevaides

Allen E. Paulson
Lamar and Frances Plunkett
John and Betty Pope
James D. Robinson III
Hasib J. Sabbagh
Deen Day Sanders
Ryoichi Sasakawa
Walter H. and Phyllis J. Shorenstein
Richard R. Swann
R. E. "Ted" Turner
Robert and Ann Utley
Edie and Lew Wasserman
Thomas J. Watson Jr.
Milton A. Wolf
Robert W. Woodruff
Tadao Yoshida
Erwin E. Zaban



In South Sudan, an illustration near the community water source warns victims of Guinea worm disease against entering the water so they will not spread the disease.



Financials



30

*30 years of waging peace,
fighting disease, and building hope*

Tunisians make their way through the ancient streets of Tunis. The Arab uprising began in this north African country in December 2010, and The Carter Center observed its peaceful and orderly elections less than a year later, in October 2011.

دار بالحاج
DAR BELHADJ



Consolidated Financial Statements

THE
CARTER CENTER



Independent Auditors' Report

THE BOARD OF TRUSTEES THE CARTER CENTER, INC.:

We have audited the accompanying consolidated statements of financial position of The Carter Center, Inc. and subsidiary (CCI) as of August 31, 2011 and 2010, and the related consolidated statements of activities, functional expenses, and cash flows for the years then ended. These consolidated financial statements are the responsibility of CCI's management. Our responsibility is to express an opinion on these consolidated financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of CCI's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements, assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the financial position of The Carter Center, Inc. and subsidiary as of August 31, 2011 and 2010, and the changes in their net assets and their cash flows for the years then ended in conformity with U.S. generally accepted accounting principles.

KPMG LLP

February 10, 2012

30

30 years of waging peace,
fighting disease, and building hope

Consolidated Statements of Financial Position

AUGUST 31, 2011 AND 2010

	2011	2010
ASSETS		
Cash and cash equivalents	\$ 19,109,783	3,456,969
Short-term investments (note 5)	—	27,117,834
Accounts receivable:		
Due from Federal government	1,991,205	1,395,445
Other	229,301	211,501
Total accounts receivable	<u>2,220,506</u>	<u>1,606,946</u>
Contributions receivable, net (note 3)	22,541,426	23,892,833
Inventory (notes 4 and 15)	16,606,000	43,819,646
Investments (notes 5 and 7)	419,352,660	365,675,313
Property, plant, and equipment, net (note 6)	7,472,795	8,070,368
Artwork	2,175,165	2,142,515
Other assets	221,083	32,682
Total assets	<u>\$489,699,418</u>	<u>475,815,106</u>
LIABILITIES AND NET ASSETS		
Liabilities:		
Accounts payable and accrued expenses	\$ 3,560,224	3,643,181
Deferred revenue	2,309,384	3,190,144
Annuity obligations (note 7)	4,074,214	4,269,593
Total liabilities	<u>9,943,822</u>	<u>11,102,918</u>
Net assets (note 12):		
Unrestricted	163,880,724	146,776,510
Temporarily restricted	191,880,041	195,259,694
Permanently restricted	123,994,831	122,675,984
Total net assets	<u>479,755,596</u>	<u>464,712,188</u>
Commitments and contingencies (notes 7, 8, 9 and 16)		
Total liabilities and net assets	<u>\$489,699,418</u>	<u>475,815,106</u>

See accompanying notes to consolidated financial statements.

Consolidated Statement of Activities

YEAR ENDED AUGUST 31, 2011 (WITH COMPARATIVE TOTALS FOR 2010)

	Unrestricted	Temporarily restricted	Permanently restricted	Totals	
				2011	2010
REVENUE AND SUPPORT					
Contributions and grants:					
Operating	\$ 23,909,091	304,126	—	24,213,217	26,293,101
Programs:					
Health	4,194,700	15,028,150	—	19,222,850	18,008,605
Peace	19,256,970	2,436,453	—	21,693,423	14,304,830
Cross-program	—	228,073	—	228,073	3,049,145
In-kind gifts (note 10):					
Health	—	118,785,253	—	118,785,253	126,475,477
Peace	—	12,000	—	12,000	73,500
Cross-program	—	31,573	—	31,573	387,925
Operating	256,283	—	—	256,283	225,000
Endowment	—	—	1,318,847	1,318,847	854,664
Total contributions and grants	47,617,044	136,825,628	1,318,847	185,761,519	189,672,247
Endowment fund earnings	6,346,369	11,210,469	—	17,556,838	17,173,424
Appreciation (depreciation) of endowment investments, net	11,201,557	20,444,373	—	31,645,930	8,507,066
Facilities use income	348,805	—	—	348,805	308,554
Interest and investment income	24,005	9,301	—	33,306	1,100,270
Net assets released from restrictions:					
Health	168,108,081	(168,108,081)	—	—	—
Peace	2,726,444	(2,726,444)	—	—	—
Cross-program	640,046	(640,046)	—	—	—
Operating	394,853	(394,853)	—	—	—
Total revenue and support	237,407,204	(3,379,653)	1,318,847	235,346,398	216,761,561
EXPENSES					
Program:					
Health	181,601,500	—	—	181,601,500	178,378,226
Peace	22,414,332	—	—	22,414,332	18,326,350
Cross-program	1,144,612	—	—	1,144,612	3,983,117
Fund-raising	8,860,699	—	—	8,860,699	9,053,787
General and administrative	6,281,847	—	—	6,281,847	6,305,025
Total expenses	220,302,990	—	—	220,302,990	216,046,505
Change in net assets	17,104,214	(3,379,653)	1,318,847	15,043,408	715,056
Net assets at beginning of year	146,776,510	195,259,694	122,675,984	464,712,188	463,997,132
Net assets at end of year	\$163,880,724	191,880,041	123,994,831	479,755,596	464,712,188

See accompanying notes to consolidated financial statements.

Consolidated Statement of Activities

YEAR ENDED AUGUST 31, 2010

	Unrestricted	Temporarily restricted	Permanently restricted	Total 2010
REVENUE AND SUPPORT				
Contributions and grants:				
Operating	\$ 25,649,672	643,429	—	26,293,101
Programs:				
Health	8,054,726	9,953,879	—	18,008,605
Peace	11,563,147	2,741,683	—	14,304,830
Cross-program	—	3,049,145	—	3,049,145
In-kind gifts (note 10):				
Health	—	126,475,477	—	126,475,477
Peace	—	73,500	—	73,500
Cross-program	—	387,925	—	387,925
Operating	225,000	—	—	225,000
Endowment	—	—	854,664	854,664
Total contributions and grants	45,492,545	143,325,038	854,664	189,672,247
Endowment fund earnings	6,034,342	11,139,082	—	17,173,424
Appreciation (depreciation) of endowment investments, net	2,802,051	5,705,015	—	8,507,066
Facilities use income	308,554	—	—	308,554
Interest and investment income	1,075,665	24,605	—	1,100,270
Net assets released from restrictions:				
Health	169,289,402	(169,289,402)	—	—
Peace	2,815,260	(2,815,260)	—	—
Cross-program	2,487,407	(2,487,407)	—	—
Operating	445,944	(445,944)	—	—
Total revenue and support	230,751,170	(14,844,273)	854,664	216,761,561
EXPENSES				
Program:				
Health	178,378,226	—	—	178,378,226
Peace	18,326,350	—	—	18,326,350
Cross-program	3,983,117	—	—	3,983,117
Fundraising	9,053,787	—	—	9,053,787
General and administrative	6,305,025	—	—	6,305,025
Total expenses	216,046,505	—	—	216,046,505
Change in net assets	14,704,665	(14,844,273)	854,664	715,056
Net assets at beginning of year	132,071,845	210,103,967	121,821,320	463,997,132
Net assets at end of year	\$146,776,510	195,259,694	122,675,984	464,712,188

See accompanying notes to consolidated financial statements.

Consolidated Statement of Functional Expenses

YEAR ENDED AUGUST 31, 2011

	Program expenses			Supporting expenses		Total 2011
	Health	Peace	Cross- program	Fundraising	General and administrative	
Salaries	\$ 8,785,580	5,205,312	231,373	3,557,111	3,506,951	21,286,327
Consulting	3,377,916	3,841,004	132,098	430,400	310,529	8,091,947
Communications	1,234,825	704,280	10,241	1,783,017	199,407	3,931,770
Services	320,375	464,529	18,868	1,075,300	266,443	2,145,515
Office and equipment	1,246,427	1,231,962	11,242	117,022	117,466	2,724,119
Vehicles	3,524,782	762,875	43	832	1,353	4,289,885
Travel/meetings	8,023,915	6,923,726	117,924	1,087,281	62,632	16,215,478
Interventions (note 2[k])	148,491,843	—	—	—	—	148,491,843
Other	469,576	143,014	5,917	250,858	908,985	1,778,350
Grants	5,702,214	2,736,307	588,320	—	—	9,026,841
	181,177,453	22,013,009	1,116,026	8,301,821	5,373,766	217,982,075
Common area and depreciation	424,047	401,323	28,586	558,878	908,081	2,320,915
Total expenses	\$181,601,500	22,414,332	1,144,612	8,860,699	6,281,847	220,302,990

See accompanying notes to consolidated financial statements.

YEAR ENDED AUGUST 31, 2010

	Program expenses			Supporting expenses		Total 2010
	Health	Peace	Cross- program	Fundraising	General and administrative	
Salaries	\$ 7,998,364	4,325,826	232,578	3,204,920	3,483,010	19,244,698
Consulting	2,966,052	3,495,588	154,094	597,795	246,660	7,460,189
Communications	1,314,215	520,746	13,758	1,996,347	242,783	4,087,849
Services	588,337	252,606	9,053	1,202,767	336,808	2,389,571
Office and equipment	1,505,198	1,366,919	31,570	109,597	143,128	3,156,412
Vehicles	4,204,572	414,117	44	761	1,379	4,620,873
Travel/meetings	8,619,176	5,073,257	503,131	1,227,456	65,773	15,488,793
Interventions (note 2[k])	144,339,402	—	—	—	—	144,339,402
Other	413,428	108,794	997	209,149	870,167	1,602,535
Grants	6,048,331	2,395,510	3,008,721	—	—	11,452,562
	177,997,075	17,953,363	3,953,946	8,548,792	5,389,708	213,842,884
Common area and depreciation	381,151	372,987	29,171	504,995	915,317	2,203,621
Total expenses	\$178,378,226	18,326,350	3,983,117	9,053,787	6,305,025	216,046,505

See accompanying notes to consolidated financial statements.

Consolidated Statements of Cash Flows

YEARS ENDED AUGUST 31, 2011 AND 2010

	2011	2010
Cash flows from operating activities:		
Change in net assets	\$ 15,043,408	715,056
Adjustments to reconcile change in net assets to net cash provided by operating activities:		
Depreciation	921,010	910,922
(Appreciation) depreciation of endowment investments, net	(31,645,930)	(8,507,066)
Donated artwork	(32,650)	(13,750)
Permanently restricted contributions	(1,318,847)	(854,664)
Net change in inventory balances due to noncash contributions and distributions	27,213,646	13,917,246
Changes in operating assets and liabilities:		
Accounts receivable	(613,560)	(359,097)
Contributions receivable, net of permanently restricted	1,213,423	2,459,726
Other assets	(188,401)	(32,682)
Accounts payable and accrued expenses, deferred revenue, and annuity obligations	(1,159,096)	(224,671)
Net cash provided by operating activities	<u>9,433,003</u>	<u>8,011,020</u>
Cash flows from investing activities:		
Purchase of property and equipment, net of related payables	(323,437)	(337,066)
Sales of short-term investments, net	27,117,834	9,204,319
Purchase of investments	(24,032,316)	(26,462,430)
Sale of investments	2,000,899	—
Net cash provided by (used in) investing activities	<u>4,762,980</u>	<u>(17,595,177)</u>
Cash flows from financing activities:		
Permanently restricted contributions	1,318,847	854,664
(Increase) decrease in permanently restricted contributions receivable, net	137,984	(22,523)
Net cash provided by financing activities	<u>1,456,831</u>	<u>832,141</u>
Net change in cash and cash equivalents	15,652,814	(8,752,016)
Cash and cash equivalents at beginning of year	3,456,969	12,208,985
Cash and cash equivalents at end of year	<u>\$ 19,109,783</u>	<u>3,456,969</u>

Supplemental disclosure:

Property, plant, and equipment additions totaling \$0 and \$81,497 were included in accounts payable and accrued expenses at August 31, 2011 and 2010, respectively.

See accompanying notes to consolidated financial statements.

Notes to Consolidated Financial Statements

1. Organization and Operation

The Carter Center, Inc. (CCI), formerly known as Carter Presidential Library, Inc. and Carter Presidential Center, Inc., was organized on October 26, 1981 under the laws of the State of Georgia as a not-for-profit corporation to be operated exclusively for charitable and educational purposes.

CCI operates programmatically under two main action areas: Peace and Health. CCI also receives broad-based support deemed to be beneficial to all programs and is categorized as Cross-program.

Initiatives in Peace include preventing and resolving conflict, protecting basic human rights, promoting rule of law, and monitoring elections in emerging democracies. The Health area strives to improve health in the United States and around the world. Initiatives include disease eradication and control and mental health reform. CCI operates field offices in various African and Latin American countries as needed to fulfill its programmatic objectives.

The board of trustees of CCI consists of President Carter and Mrs. Carter, the president of Emory University, 9 members appointed by Emory University's board of trustees, and 10 members appointed by President Carter and those trustees not appointed by Emory University's board of trustees (Carter Center class of CCI trustees). Additionally, Emory University's board of trustees has the authority to approve amendments to CCI's articles of incorporation and bylaws and to approve the annual and capital budgets of CCI. Carter Center of Emory University (CCEU) (an affiliate of CCI) is a department of Emory University which was established to assist with the operations of CCI's programs. The financial data for CCEU is not included in these consolidated financial statements, as it is considered part of the Emory University reporting entity.

2. Summary of Significant Accounting Policies and Other Matters

A. BASIS OF ACCOUNTING

The consolidated financial statements of CCI have been prepared on the accrual basis of accounting.

B. PRINCIPLES OF CONSOLIDATION

The consolidated financial statements of CCI include the activity of The Carter Center Collaborative, Inc. (CCCI), an affiliated tax-exempt not-for-profit corporation which supports CCI's mission through receipt of in-kind goods and services. All significant intercompany transactions are eliminated in consolidation.

C. BASIS OF PRESENTATION

Net assets and revenues, expenses, gains, and losses are classified based on the existence or absence of donor-imposed restrictions. Accordingly, net assets of CCI and changes therein are classified and reported as follows:

Unrestricted Net Assets—Net assets that are not subject to donor-imposed stipulations.

Temporarily Restricted Net Assets—Net assets subject to donor-imposed stipulations that may or will be met either by actions of CCI and/or the passage of time.

Permanently Restricted Net Assets—Net assets subject to donor-imposed stipulations that must be maintained permanently by CCI. Generally, the donors of these assets permit CCI to use all or part of the income earned on related investments for general or specific purposes.

D. CASH AND CASH EQUIVALENTS

CCI's cash equivalents represent liquid financial instruments with an original maturity of three months or less.

E. CONTRIBUTIONS

Contributions received, including unconditional promises to give, are recognized as revenue when assets or a donor's unconditional commitment is received.

Unconditional promises to give that are expected to be collected within one year are recorded at net realizable value. Unconditional promises to give that are expected to be collected in future years are recorded at the present value of their estimated future cash flows. Unconditional promises to give received beginning September 1, 2008 are discounted using interest rates approximating fair value at the date of the gift. The discounts on all other unconditional promises to give are computed using risk-free interest rates applicable to the years in which the promises are received. Conditional promises to give are not included as support until the conditions are substantially met.

Contributions are considered to be available for unrestricted use unless specifically restricted by the donor. Amounts received that are designated for future periods or restricted by the donor for specific purposes are reported as temporarily restricted or permanently restricted support that increases those net asset classes.

Contributed property and equipment is recorded at fair value at the date of donation. If donors stipulate how long the assets must be used, the contributions are recorded as restricted support. In the absence of such stipulations, contributions of property and equipment are recorded as unrestricted support.

F. DONATED GOODS AND SERVICES

Donated materials and equipment, primarily medical supplies, are reflected in the consolidated statements of activities as contributions at their estimated fair values. Donated services are reflected as contributions if the following criteria are met: (1) the services received create or enhance nonfinancial assets or (2) the services require specialized skills, are provided by individuals possessing those skills, and would be purchased if not provided by donation. Donated services are recognized at fair value as the services are performed.

G. INVENTORY

Inventory primarily consists of Mectizan tablets, which are used to treat onchocerciasis (river blindness), and Zithromax tablets and syrup, which are used for trachoma control. Inventory is received as an in-kind donation and is valued using the first-in, first-out method at fair value at the time of the gift. Prices as determined by the donor are utilized in management's fair value estimate.

H. INVESTMENTS

Investments in the pooled endowment fund and pooled cash management fund (see note 5) are stated at fair value as determined by the custodian, Emory University. The fair value of publicly traded fixed income and equity securities is based upon quoted market prices and exchange rates, if applicable. Fair values for private partnership interests, real estate, and oil and gas properties held through limited partnerships or commingled funds, and marketable alternative investments (often referred to as hedge funds and typically in the form of limited partnerships) are not as

readily ascertainable. Fair value for these investments is established based on either external events which substantiate a change in fair value or a reasonable methodology that exists to capture and quantify changes in fair value. In some instances, those changes in fair value may require the use of estimates. Accordingly, such values may differ from the amounts that would have been recorded had readily determinable fair values for these investments existed.

Investments in private partnership interests are valued using the most current information provided by the general partner. General partners typically value privately held companies at cost which approximates fair value or an adjusted value based on a recent arms' length transaction. Public companies are valued using quoted market prices and exchange rates, if applicable. Real estate partnerships and funds are valued based on appraisals of properties held and conducted by third-party appraisers retained by the general partner or investment manager. General partners of marketable alternatives provide values based on quoted market prices and exchange rates for publicly held securities and valuation estimates of derivative instruments. General partners of oil and gas partnerships also use third-party appraisers to value properties. The values of the investments in the pooled endowment fund and pooled cash management fund determined by Emory University are evaluated by management of CCI, who has concluded that such values are reasonable estimates of fair value at August 31, 2011 and 2010.

All other investments are stated at fair value based on quoted market prices. Net realized and unrealized gains or losses on investments are reflected in the consolidated statements of activities.

CCI has exposure to a number of risks including interest rate, market and credit risks for both marketable and nonmarketable securities. Due to the level of risk exposure, it is possible that near-term valuation changes for investment securities may occur to an extent that could materially affect the amounts reported in CCI's consolidated financial statements. Management continues to monitor the composition of its portfolio to assess the potential impact of market conditions on the valuation of its investments.

Liquidity risk represents the possibility that an entity may be unable to rapidly adjust the size of its portfolio holdings in times of high volatility and financial stress

Notes to Consolidated Financial Statements

at a reasonable price. If CCI were required to dispose of an illiquid investment at an inopportune time, it may be compelled to do so at a substantial discount to fair value. The Emory University pooled endowment fund invests in alternative investments, which can be highly illiquid. Under adverse market or economic conditions, the secondary market for certain of these alternative investments could further contract. As a result, CCI could find it more difficult to sell these securities or may only be able to sell the securities at prices lower than if such securities were more widely traded.

CCI may hold investments denominated in currencies other than the U.S. dollar. Thus, there is exposure to currency risk because the value of the investments denominated in other currencies may fluctuate due to changes in currency exchange rates and this can have an adverse effect on the reported value of assets and liabilities denominated in currencies other than the U.S. dollar.

CCI's investment portfolio is subject to interest rate and credit risks for certain securities whose valuation would be impacted by changes in interest rates. The portfolio is also subject to the risk that the issuer of security may be unable to pay interest or repay principal when it is due.

The value of securities held by CCI may decline in response to certain economic events. Such events impacting valuation may include (but not be limited to) economic changes, market fluctuations, regulatory changes, global and political instability, and currency, interest rate, and commodity price fluctuations.

I. PROPERTY AND EQUIPMENT

Property and equipment are stated at cost at date of acquisition, or fair value at date of donation in the case of gifts. Depreciation is provided over the estimated useful lives of the respective assets on a straight-line basis.

J. ARTWORK

CCI has capitalized works of art and collectibles received since its inception at the estimated fair value at the date of acquisition. Works of art with service potential that diminishes very slowly over time are not subject to depreciation.

K. FUNCTIONAL ALLOCATION OF EXPENSES

The costs of providing CCI's various programs and supporting services have been summarized on a functional basis in the accompanying statements of activities and functional expenses. Accordingly, certain costs have been allocated among the programs and supporting services benefited. Intervention expenses included within CCI's health program service comprise the distribution of donated medications, primarily Mectizan and Zithromax, as well as filter cloth distribution, epidemiological surveys, and health education training and material.

L. FEDERAL AND OTHER GOVERNMENT GRANTS

Federal and other government grant revenue is recognized as unrestricted revenue and support to the extent that CCI incurs actual expenditures under program agreements with federal or other government agencies. Amounts recorded as accounts receivable due from the federal government are for program grant expenses incurred in advance of the reimbursement of funds. Funds received in advance of program grant expenses are recorded as deferred revenue in the consolidated statements of financial position.

CCI received £1,000,000, £176,801, £125,069, and £12,500 during the year ended August 31, 2011 and £3,500,000, £73,199, £324,931, and £112,500 during the year ended August 31, 2010 under four separate agreements from the Department for International Development of the United Kingdom supporting CCI's Guinea worm eradication program, CCI's observation of the elections in Sudan, CCI's monitoring of the democracy process and constitution drafting in Nepal, and core support of the conflict prevention program, respectively.

M. FAIR VALUE OF FINANCIAL INSTRUMENTS

The carrying amount of cash and cash equivalents, accounts receivable, accounts payable and accrued liabilities, and deferred revenue approximates fair value because of the relative terms and short maturity of these financial instruments. Investments are recorded at fair value based on quoted market prices and fair value estimation techniques described in note 2(h). The carrying value of annuity obligations approximates fair value and is based on the present value of the estimated future cash flows.

N. TAX STATUS

CCI has received a determination letter from the Internal Revenue Service dated December 16, 1991 and CCCI has received a determination letter from the Internal Revenue Service dated March 22, 2007, each indicating recognition as an organization described in Section 501(c)(3) of the Code whereby only unrelated business income, as defined by Section 512(a) of the Code, is subject to federal income tax. Accordingly, no provision for income taxes has been made in the consolidated financial statements.

O. USE OF ESTIMATES

The preparation of consolidated financial statements requires management to make a number of estimates and assumptions relating to the reported amounts of assets and liabilities and the disclosure of contingent assets and liabilities at the date of the consolidated financial statements and the reported amounts of revenue and expenses during the reporting period. Significant items subject to such estimates and assumptions include the useful lives of property, plant, and equipment, carrying values of contributions receivable and other receivables, inventory, fair values of investments without readily determinable fair value, contributed items, obligations under split-interest agreements, and various employment arrangements. Actual results could differ from those estimates.

P. NEW ACCOUNTING PRONOUNCEMENTS

In January 2010, the Financial Accounting Standards Board (FASB) issued Accounting Standards Update (ASU) No. 2010-06, *Fair Value Measurements and Disclosures: Improving Disclosures about Fair Value Measurements* (ASU 2010-06). ASU 2010-06 requires separate disclosure for the amounts and reasons for significant transfers in and out of defined fair value measurement hierarchy levels. ASU 2010-06 also requires entities to separately present information about purchases, sales, issuances, and settlements within the Level 3 hierarchy category, and clarifies existing disclosures for each class of assets and liabilities as well as the valuation techniques and inputs used to measure fair value for recurring and nonrecurring hierarchy levels of fair value measurements. ASU 2010-06 was effective for CCI for the fiscal year beginning September 1, 2010.

3. Contributions Receivable

Contributions receivable consists of the following at August 31, 2011 and 2010:

	2011	2010
Temporarily restricted:		
Health	\$13,783,889	14,315,050
Peace	323,197	699,737
Cross-program	1,792,319	2,007,314
Undesignated	1,369,123	1,459,850
Permanently restricted:		
Endowment	5,272,898	5,410,882
	<u>\$22,541,426</u>	<u>23,892,833</u>

The anticipated receipts of these receivables are as follows at August 31, 2011 and 2010:

	2011	2010
Less than one year	\$10,514,544	11,378,649
One to five years	7,214,883	7,874,543
More than five years	8,000,000	8,000,000
Less unamortized discount	(3,188,001)	(3,360,359)
	<u>\$22,541,426</u>	<u>23,892,833</u>

Contributions to be received after one year are discounted at an appropriate discount rate commensurate with the risks involved. Amortization of discounts is recorded as additional contribution revenue in accordance with donor-imposed restrictions on the contributions. Prior to September 1, 2008, estimated future cash flows to be received after one year were discounted at rates ranging from 2.4% to 6%, based on the U.S. treasury bill rate in effect at the time the unconditional promise was made. Unconditional promises received beginning September 1, 2008 were discounted using interest rates approximating fair value at the date of the gift at rates ranging from 0.20% to 2.7%. In the opinion of CCI's management, all contributions receivable recorded at August 31, 2011 and 2010 are deemed fully collectible.

Notes to Consolidated Financial Statements

4. Inventory

Inventory at August 31, 2011 and 2010 is comprised of:

	2011	2010
Medication:		
Mectizan	\$ 5,576,550	5,451,000
Zithromax	10,784,650	37,785,046
Praziquantel	244,800	583,600
	<u>\$16,606,000</u>	<u>43,819,646</u>

5. Investments and Short-Term Investments

Short-term investments totaling \$27,117,834 as of August 31, 2010, relate to claims on cash invested in a pooled cash management account at Emory University. As of August 31, 2010, the cash management accounts were invested in a composite of bonds (49%), hedge funds (9%), and U.S. 90-day treasury bills (42%). In 2011, short-term investments were converted to short-term money market mutual funds and treasury bills with original maturities of 90 days or less.

CCI invests the majority of its investments in a pooled investment fund managed by Emory University. As of August 31, 2011 and 2010, respectively, CCI's investment in the pooled investment fund totaled \$412,870,485 and \$359,367,303 and was allocated as follows:

	2011	2010
Short-term investments and cash equivalents	4%	5%
Fixed income securities:		
U.S. government securities	3	4
Domestic bonds and long-term notes	7	9
International bonds and long-term notes	3	3
U.S. equity securities	14	10
Non-U.S. equity securities	14	15
Oil and gas properties	7	6
Private markets	24	23
Marketable alternative investments:		
Hedged absolute return	11	11
Long/short strategy	4	7
Other strategy	4	3
Real estate investments	6	5

Emory University is subject to limitations and restrictions on its ability to redeem or sell certain of the investments included in its pooled investment fund. Such restrictions vary by investment type and range from required notice periods (generally 30 to 180 days after initial lockup periods) to specified terms at inception (generally 10 years). While there are no stated limits relative to CCI withdrawals of its investment in Emory University's pooled investment fund, the timing and availability of future redemptions may be impacted by these restrictions.

CCI's investments also include assets invested for its charitable gift annuities and charitable remainder trusts. These investments are presented in the accompanying consolidated statements of financial position at their fair values.

	2011	
	Fair value	Cost
Pooled investments held at Emory	\$412,870,485	388,421,283
Cash and cash equivalents	967,696	967,696
Fixed income securities:		
U.S. government obligations	110,953	103,577
Domestic mutual funds	1,950,205	1,807,690
International mutual funds	174,645	168,273
Equities:		
Domestic mutual funds	2,155,477	2,085,160
International mutual funds	800,269	681,193
Real asset funds	300,373	312,624
Miscellaneous investments	22,557	23,989
	<u>\$419,352,660</u>	<u>394,571,485</u>

	2010	
	Fair value	Cost
Pooled investments held at Emory	\$359,367,303	364,423,222
Cash and cash equivalents	244,393	244,393
Fixed income securities:		
U.S. government obligations	167,684	157,714
Domestic mutual funds	2,056,467	1,865,325
International mutual funds	179,499	175,147
Equities:		
Domestic mutual funds	2,802,699	2,943,815
International mutual funds	334,648	419,194
Real asset funds	460,988	560,943
Miscellaneous investments	61,632	15,879
	<u>\$365,675,313</u>	<u>370,805,632</u>

6. Property, Plant, and Equipment

The components of property, plant, and equipment at August 31, 2011 and 2010 are as follows:

	2011	2010	Estimated useful lives
Land	\$ 636,732	636,732	N/A
Buildings	16,886,003	16,886,003	30 years
Building improvements	1,760,006	1,700,469	15 years
Grounds and land improvements	387,493	381,704	10 years
Furniture and fixtures	618,976	609,138	10 years
Office equipment	244,005	259,711	5 years
Computer equipment	411,936	438,479	3 years
	<u>20,945,151</u>	<u>20,912,236</u>	
Less accumulated depreciation	<u>(13,472,356)</u>	<u>(12,841,868)</u>	
	<u>\$ 7,472,795</u>	<u>8,070,368</u>	

Depreciation expense totaled \$921,010 and \$910,922 during 2011 and 2010, respectively.

7. Split-Interest Agreements

CCI is beneficiary under several types of split-interest agreements, primarily charitable gift annuities. Under these agreements, CCI acts as trustee of assets received from donors and remits to the donor or other designee a fixed amount for a specified period of time, normally until the death of the donor or other designee. Assets related to charitable gift annuities are recorded at their fair values when received and an annuity payment liability is recognized at the present value of future cash flows expected to be paid to the donor or other designee. At the time of the gift, CCI recognizes contribution revenue for the remainder interest in an amount equal to the difference between the fair value of the assets received and the annuity liability. Discount rates and actuarial assumptions used to determine the annuity liability are typically based on factors such as applicable federal interest rates and donor life expectancies contained in mortality tables published by the Internal Revenue Service. The changes in the value of these agreements are included in operating contributions and grants in the accompanying consolidated statements of activities.

Certain states have restrictions on investment allocations. CCI has complied with any known restrictions in states in which it has received charitable gifts subject to such restrictions.

The fair value of the assets related to split-interest agreements is included in investments in the accompanying consolidated statements of financial position and totaled \$6,482,175 and \$6,308,010 at August 31, 2011 and 2010, respectively. The annuity liability related to these agreements is \$4,074,214 and \$4,269,593 at August 31, 2011 and 2010, respectively. The net contribution revenue reported for split-interest agreements totaled \$595,454 and \$238,788 during the years ended August 31, 2011 and 2010, respectively.

CCI is also a secondary life income beneficiary under a trust agreement for which CCI is not the trustee. CCI has recorded a contribution receivable based on the life expectancy of the primary life income beneficiary and estimated rate of return of the trust, totaling \$692,990 and \$694,298 at August 31, 2011 and 2010, respectively.

8. Line of Credit

On November 19, 2009 CCI entered into an agreement for a \$1,000,000 revolving line of credit. Borrowings under this line of credit bear interest at LIBOR plus 0.9%. The agreement called for a 0.025% quarterly charge on the unused portion of the line of credit, was secured by all deposits and investments maintained with the lender, and was guaranteed by Emory University. Such agreement was renewed on August 30, 2010 for an additional 12-month period expiring on August 29, 2011. The agreement was not renewed.

9. Leases

CCI leases space to various entities under noncancelable operating leases with various terms. CCI leases to CCEU approximately 20% of CCI's space under a lease for a term of 99 years with a rental payment of \$1 per year. A business agreement with CCI's caterer has no annual rent; rather, CCI receives 5% to 10% of the tenant's gross revenue, as defined in such agreement. Rental income from these leases is included in facilities use income in the accompanying consolidated statements of activities.

Notes to Consolidated Financial Statements

10. Donated Goods and Services

The components of donated goods and services for the years ended August 31, 2011 and 2010 are as follows:

	2011	2010
Health:		
Medication	\$118,567,583	125,875,828
Other	217,670	599,649
	<u>118,785,253</u>	<u>126,475,477</u>
Peace:		
Software	—	73,500
Other	12,000	—
Cross-Program:		
Transportation	31,573	387,925
Operating:		
Transportation	256,283	225,000
	<u>\$119,085,109</u>	<u>127,161,902</u>

Donations of medication were received primarily from two pharmaceutical companies during the years ended August 31, 2011 and 2010.

11. Fair Value of Financial Instruments

CCI's estimates of fair value for financial and nonfinancial assets and liabilities are based on the framework established in Accounting Standards Codification (ASC) Topic 820, Fair Value Measurement. This framework is based on the inputs used in valuation and gives the highest priority to quoted prices in active markets and requires observable inputs to be used in the valuations when available. The disclosure of fair value estimates in the hierarchy is based on whether the significant inputs into the valuation are observable. In determining the level of the hierarchy in which the estimate is disclosed, the highest priority is given to unadjusted quoted prices in active markets and the

lowest priority to unobservable inputs that reflect CCI's significant market assumptions. The three levels of hierarchy are as follows:

Level 1—Valuations based on unadjusted quoted market prices for identical assets or liabilities in active markets.

Level 2—Valuations based on pricing inputs that are other than quoted prices in active markets which are either directly or indirectly observable. Examples include quoted prices in active markets of the underlying assets, quoted prices for similar assets or liabilities in active markets, quoted prices for identical or similar assets or liabilities in an inactive market, or valuations based on models where significant inputs are observable or can be corroborated by observable market data.

Level 3—Valuations are derived from other valuation methodologies, including pricing models, discounted cash flow models, and similar techniques. Level 3 valuations incorporate certain assumptions and projections that are not observable in the market and require significant professional judgment in determining the fair value assigned to such assets or liabilities.

The hierarchy requires the use of observable market data when available. As required by ASC Topic 820, assets and liabilities are classified in their entirety based on the lowest level of input that is significant to the fair value measurements.

Net asset value was used as a practical expedient estimate of fair value relative to CCI's pooled investments held at Emory University. Net asset value, in many instances, may not equal fair value that would be calculated pursuant to ASC Topic 820. There are no redemption restrictions on CCI with respect to its pooled investments held at Emory University. In accordance with relevant accounting literature, investments which are valued using the practical expedient as described above are classified as Level 2 within the hierarchy because they are redeemable at net asset value at or near the financial reporting date.

The following table summarizes the valuation of CCI's financial instruments, which are recorded at fair value by the ASC Topic 820 fair value hierarchy levels as of August 31, 2011:

	Level 1	Level 2	Level 3	Total
Assets:				
Cash and cash equivalents	\$20,077,479	—	—	20,077,479
Inventory	16,606,000	—	—	16,606,000
Fixed income securities:				
U.S. Government obligations	110,953	—	—	110,953
Domestic mutual funds	1,950,205	—	—	1,950,205
International mutual funds	174,645	—	—	174,645
Equities:				
Domestic mutual funds	2,155,477	—	—	2,155,477
International mutual funds	800,269	—	—	800,269
Real asset funds	300,373	—	—	300,373
Miscellaneous investments	22,557	—	—	22,557
Pooled investments held at Emory University	—	412,870,485	—	412,870,485
Total	\$42,197,958	412,870,485	—	455,068,443

The following table summarizes the valuation of CCI's financial instruments, which are recorded at fair value by the ASC Topic 820 fair value hierarchy levels as of August 31, 2010:

	Level 1	Level 2	Level 3	Total
Assets:				
Cash and cash equivalents	\$ 3,701,362	—	—	3,701,362
Short-term investments	—	27,117,834	—	27,117,834
Inventory	43,819,646	—	—	43,819,646
Fixed income securities:				
U.S. Government obligations	167,684	—	—	167,684
Domestic mutual funds	2,056,467	—	—	2,056,467
International mutual funds	179,499	—	—	179,499
Equities:				
Domestic mutual funds	2,802,699	—	—	2,802,699
International mutual funds	334,648	—	—	334,648
Real asset funds	460,988	—	—	460,988
Miscellaneous investments	61,632	—	—	61,632
Pooled investments held at Emory University	—	359,367,303	—	359,367,303
Total	\$53,584,625	386,485,137	—	440,069,762

Notes to Consolidated Financial Statements

12. Net Assets

A. UNRESTRICTED

As of August 31, 2011 and 2010, unrestricted net assets are as follows:

	2011	2010
Designated for:		
Endowment investment	\$146,764,402	124,785,835
Program funds	8,812,465	13,176,976
Undesignated	7,803,857	8,313,699
Designated for maintenance of property and equipment	500,000	500,000
	<u>\$163,880,724</u>	<u>146,776,510</u>

Unrestricted net assets include funds internally designated as additions for endowment investment and program funding. These amounts are classified as unrestricted net assets due to the lack of explicit donor stipulations that temporarily or permanently restrict their use. Unrealized gains or losses on internally designated endowment funds are classified as changes in unrestricted net assets.

B. TEMPORARILY RESTRICTED

As of August 31, 2011 and 2010, temporarily restricted net assets are available for the following purposes:

	2011	2010
Health	\$ 36,578,869	70,588,650
Peace	761,957	1,049,751
Cross-program	3,151,329	3,573,304
Time-restricted contributions	1,369,123	1,459,850
Time-restricted endowment funds	<u>150,018,763</u>	<u>118,588,139</u>
	<u>\$191,880,041</u>	<u>195,259,694</u>

C. PERMANENTLY RESTRICTED

Permanently restricted net assets are required by donors to be invested in perpetuity, and the income from these assets is expendable to support activities of CCI.

13. Endowment Funds

CCI's endowment fund consists of individual donor-restricted endowment funds and funds designated by the board of trustees (the Board) to function as endowments. The net assets associated with endowment funds, including those funds designated by the Board to function as endowment, are classified and reported based on the existence or absence of donor-imposed restrictions.

CCI applies the provisions of ASC Subtopic 958-205, *Presentation of Financial Statements*. ASC Subtopic 958-205 provides guidance on the net asset classification of donor-restricted endowment funds for a not-for-profit organization that is subject to an enacted version of the Uniform Prudent Management of Institutional Funds Act (UPMIFA), and also requires enhanced disclosures about an organization's endowment funds, both donor-restricted endowment funds and board-designated endowment funds.

CCI has interpreted UPMIFA, as adopted by Georgia, as providing among other things, expanded spending flexibility by allowing, subject to a standard of prudence, CCI to spend from an endowment without regard to the book value of the corpus of the fund. As a result of this interpretation, CCI classifies as permanently restricted net assets (a) the original value of gifts donated to the permanent endowment, (b) the original value of subsequent gifts to the permanent endowment, and (c) accumulations to the permanent endowment made in accordance with the direction of the applicable donor gift instrument at the time the accumulation is added to the fund.

The remaining portion of the donor-restricted endowment fund that is not classified in permanently restricted net assets is classified as temporarily restricted net assets until those amounts are appropriated for expenditure by CCI in a manner consistent with the standard of prudence prescribed in UPMIFA.

In accordance with UPMIFA, CCI considers the following factors in making a determination to appropriate or accumulate donor-restricted endowment funds:

- The duration and preservation of the fund;
- The purposes of CCI and the donor-restricted endowment fund;

- General economic conditions;
- The possible effect of inflation and deflation;
- The expected total return from income and the appreciation of investments;
- Other resources of CCI; and
- The investment policies of CCI.

CCI invests its endowment assets in a pooled investment fund managed by Emory University. CCI's Board follows the investment return objectives and the spending policy as directed and managed by Emory University's board of trustees as set forth in more detail below.

RETURN OBJECTIVES AND RISK PARAMETERS

CCI supports Emory University's investment and spending policies, the objective of which is to provide a predictable stream of funding to programs supported by its endowment while seeking to maintain the purchasing power of the endowment assets. Under this investment policy, the endowment assets are invested in a manner to attain a real total return of at least 6% over the long term. Over shorter time periods (rolling three years), the endowment assets performance will be measured versus a policy benchmark. The policy benchmark represents the weighted average of benchmark returns to each asset class in the policy asset allocation. The performance objective is to outperform the policy benchmark by at least 50 basis points, net of fees, on average. It is not expected that the performance target will be met for every three-year period.

STRATEGIES EMPLOYED FOR ACHIEVING OBJECTIVES

To satisfy its long-term rate-of-return objectives, CCI relies on Emory University's total return strategy in which investment returns are achieved through both capital appreciation (realized and unrealized) and current yield (interest and dividends). Emory University employs a diversified asset allocation strategy across global equities, fixed income, marketable alternatives and private investment to achieve its long-term return objectives within prudent risk constraints.

SPENDING POLICY AND HOW THE INVESTMENT OBJECTIVES RELATE TO SPENDING POLICY

CCI follows Emory University's total return endowment spending policy that establishes the amount of endowment investment return available to support current operating and capital needs. The distribution of endowment income in 2011 and 2010 was based on a target of 4.75% of the previous 12 months' average market value ending on December 31. In establishing its policies, Emory University considered the expected return on its endowment. Accordingly, Emory University expects the current spending policy to allow its endowment to maintain its purchasing power by growing at a rate equal to planned payouts. Additional real growth will be provided by new gifts and any excess investment return.

Notes to Consolidated Financial Statements

Endowment funds consist of the following as of August 31, 2011:

	Unrestricted	Temporarily restricted	Permanently restricted	Total
Donor-restricted endowment funds	\$ —	150,018,763	123,994,831	274,013,594
Board-designated endowment funds	146,764,402	—	—	146,764,402
Total funds	<u>\$146,764,402</u>	<u>150,018,763</u>	<u>123,994,831</u>	<u>420,777,996</u>

Endowment funds consist of the following as of August 31, 2010:

	Unrestricted	Temporarily restricted	Permanently restricted	Total
Donor-restricted endowment funds	\$ —	118,588,139	122,675,984	241,264,123
Board-designated endowment funds	124,785,835	—	—	124,785,835
Total funds	<u>\$124,785,835</u>	<u>118,588,139</u>	<u>122,675,984</u>	<u>366,049,958</u>

Changes in endowment funds for the year ended August 31, 2011 are as follows:

	Unrestricted	Temporarily restricted	Permanently restricted	Total
Endowment funds, September 1, 2010	\$124,785,835	118,588,139	122,675,984	366,049,958
Contributions	2,010,690	—	1,318,847	3,329,537
Investment return:				
Endowment distribution	6,346,369	11,210,467	—	17,556,836
Market value adjustment	11,201,558	20,444,373	—	31,645,931
Total investment return	17,547,927	31,654,840	—	49,202,767
Appropriation of endowment assets for expenditure	(423,140)	(224,216)	—	(647,356)
Transfers to board-designated endowment funds	2,843,090	—	—	2,843,090
Endowment funds, August 31, 2011	<u>\$146,764,402</u>	<u>150,018,763</u>	<u>123,994,831</u>	<u>420,777,996</u>

Changes in endowment funds for the year ended August 31, 2010 are as follows:

	Unrestricted	Temporarily restricted	Permanently restricted	Total
Endowment funds, September 1, 2009	\$107,707,767	101,978,260	121,821,320	331,507,347
Contributions	2,173,841	—	854,664	3,028,505
Investment return:				
Endowment distribution	6,034,343	11,139,082	—	17,173,425
Market value adjustment	2,802,051	5,705,015	—	8,507,066
Total investment return	8,836,394	16,844,097	—	25,680,491
Appropriation of endowment assets for expenditure	(444,109)	(234,218)	—	(678,327)
Transfers to board-designated endowment funds	6,511,942	—	—	6,511,942
Endowment funds, August 31, 2010	<u>\$124,785,835</u>	<u>118,588,139</u>	<u>122,675,984</u>	<u>366,049,958</u>

14. Related-Party Transactions

Emory University provides certain administrative functions to CCI, including, but not limited to, payroll administration, investment management, information technology, and legal services. CCI paid Emory University \$511,536 and \$531,816 during the years ended August 31, 2011 and 2010, respectively, for the provision of these services.

Emory University made unrestricted contributions to CCI of \$616,878 and \$648,808, respectively, during the years ended August 31, 2011 and 2010. In addition, CCEU made unrestricted contributions to CCI, primarily related to endowment earnings at CCEU, of \$384,036 and \$403,261 during the years ended August 31, 2011 and 2010, respectively.

CCI is currently affiliated with two separately incorporated organizations, Carter Center U.K. and Carter Center U.K. Foundation. Payments made by CCI in support of its affiliates totaling \$5,000 at August 31, 2010, is included in peace program expense in the accompanying consolidated statements of activities.

15. The Carter Center Collaborative, Inc. (CCCI)

CCCI received donations of in-kind goods for the benefit of CCI totaling \$118,567,583 and \$125,875,828, respectively, during the years ended August 31, 2011 and 2010 that are included in the accompanying consolidated statements of activities. Expenses totaling \$145,781,208 and \$139,793,075 related to the use or grant of these donations are also included in the accompanying consolidated statements of activities for the years ended August 31, 2011 and 2010, respectively. Inventory for CCCI totaled \$16,606,000 and \$43,819,646 as of August 31, 2011 and 2010, respectively, and is included in the accompanying consolidated statements of financial position.

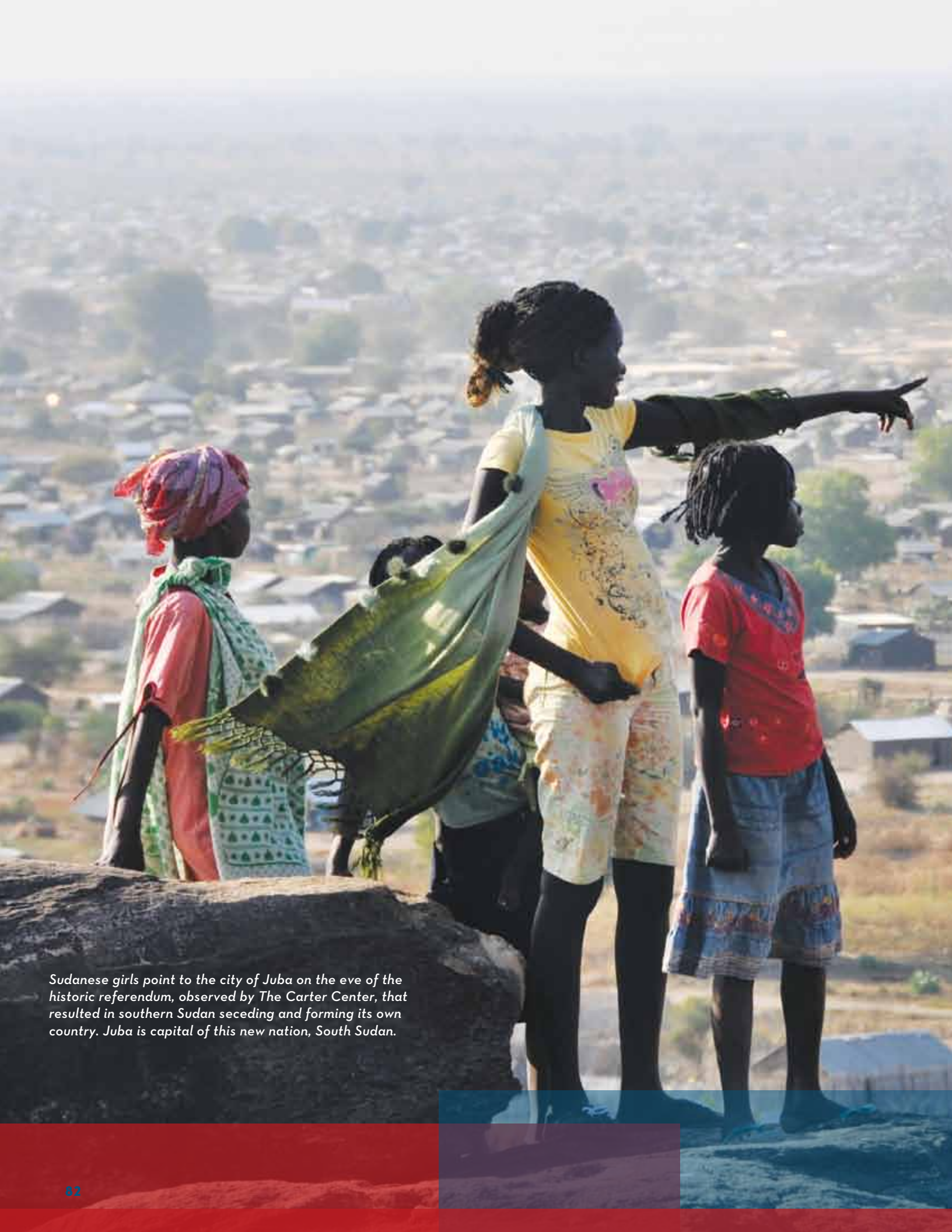
16. Commitments and Contingencies

FEDERAL FINANCIAL ASSISTANCE

Federally funded programs are routinely subject to special audits that could result in claims against the resources of CCI. Management does not believe that there will be any claims arising from such audits that could have a material adverse effect on the financial position of CCI.

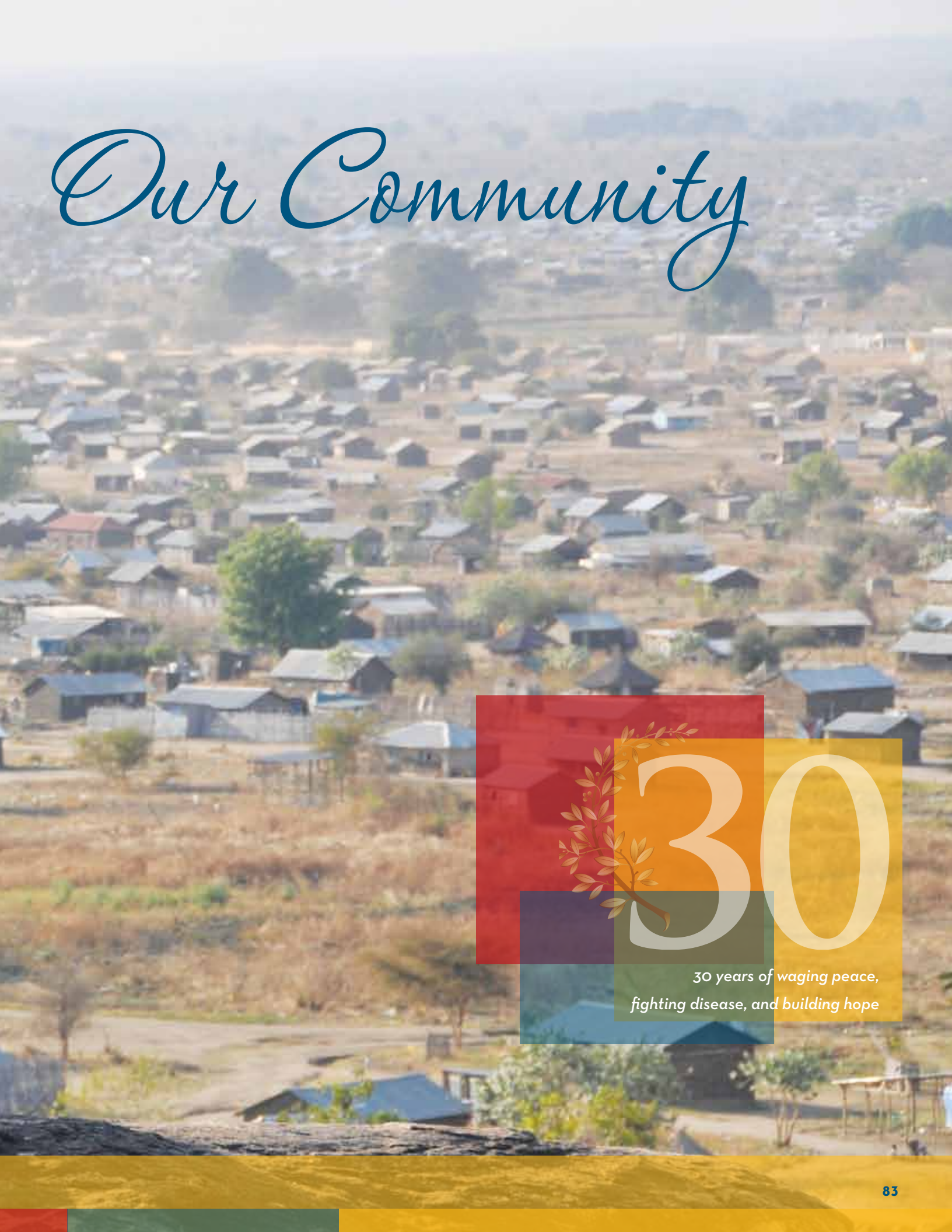
17. Subsequent Events

CCI evaluated events subsequent to August 31, 2011 and through February 10, 2012, the date on which the consolidated financial statements were available to be issued and determined that all significant events and disclosures are included in the consolidated financial statements.



Sudanese girls point to the city of Juba on the eve of the historic referendum, observed by The Carter Center, that resulted in southern Sudan seceding and forming its own country. Juba is capital of this new nation, South Sudan.

Our Community



30

*30 years of waging peace,
fighting disease, and building hope*

A graphic celebrating 30 years. It features the number '30' in a large, white, serif font. To the left of the '3' is a laurel wreath. The background consists of overlapping colored rectangles: red, orange, yellow, purple, and green.

The Carter Center Around the World

Since its founding in 1982, The Carter Center has undertaken peace and health initiatives in more than 70 countries worldwide. These are the countries where the Center has had a presence, past and present.

LEGEND

- Peace Programs
- Health Programs
- Peace and Health Programs
- No Activity





ASIA

- 68 Bangladesh
- 69 China
- 70 East Timor
- 71 India
- 72 Indonesia
- 73 Nepal
- 74 North Korea
- 75 Pakistan
- 76 Philippines
- 77 Sri Lanka

MIDDLE EAST

- 62 Egypt
- 63 Israel and the Occupied Palestinian Territory
- 64 Jordan
- 65 Lebanon
- 66 Syria
- 67 Yemen

AFRICA

- | | | | | |
|-----------------------------|-------------------------------------|---------------|-----------------|-------------|
| 30 Benin | 36 Cote d'Ivoire | 42 Kenya | 49 Nigeria | 56 Sudan |
| 31 Burkina Faso | 37 Democratic Republic of the Congo | 43 Liberia | 50 Rwanda | 57 Tanzania |
| 32 Burundi | 38 Eritrea | 44 Malawi | 51 Senegal | 58 Togo |
| 33 Cameroon | 39 Ethiopia | 45 Mali | 52 Sierra Leone | 59 Tunisia |
| 34 Central African Republic | 40 Ghana | 46 Mauritania | 53 Somalia | 60 Uganda |
| 35 Chad | 41 Guinea | 47 Mozambique | 54 South Africa | 61 Zambia |
| | | 48 Niger | 55 South Sudan | |

OCEANIA

- 78 New Zealand

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John B. Hardman, M.D.
President and Chief Executive Officer, The Carter Center

PEACE PROGRAMS

John J. Stremlau, Ph.D.
Vice President, Peace Programs

Hrair Balian, J.D.
Director, Conflict Resolution Program

David J. Carroll, Ph.D.
Director, Democracy Program

Steven H. Hochman, Ph.D.
Director, Research;
Faculty Assistant to
President Carter

Lauren N. Kent-Delaney, M.A.
Director, Educational Programs

Yawei Liu, Ph.D.
Director, China Program

Jennifer L. McCoy, Ph.D.
Director, Americas Program

Ellen P. Mickiewicz, Ph.D.
Fellow, Commission on
Radio and Television Policy

Karin D. Ryan
Director, Human Rights Program

HEALTH PROGRAMS

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M.D., M.P.H.**
Vice President, Health Programs

Thomas H. Bornemann, Ed.D.
Director, Mental Health Program

Paul M. Emerson, Ph.D.
Director, Trachoma
Control Program;
Co-director, Malaria
Control Program

**William H. Foege,
M.D., M.P.H.**
Senior Fellow, Health Policy

Frank O. Richards Jr., M.D.
Director,
– River Blindness Program
– Lymphatic Filariasis
Elimination Program
– Schistosomiasis Control
Program
– Malaria Control Program

Ernesto Ruiz-Tiben, Ph.D.
Director, Guinea Worm
Eradication Program

**P. Craig Withers Jr.,
M.H.A., M.B.A.**
Director, Program Support

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Vice President, Operations;
Corporate Secretary

Deanna Congileo, M.A.
Director, Public Information

Michael J. Turner
Director, Human Resources

FINANCE

Christopher D. Brown, CPA
Vice President, Finance; Treasurer

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Executive Assistant to
Jimmy Carter

Nancy R. Konigsmark
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Rosallynn Carter

Interns

The Carter Center sponsors a competitive internship program, bringing to Atlanta college students and recent graduates from universities around the world each semester. Interns play a vital role in helping The

Carter Center to accomplish its peace and health initiatives, and interns serve in many capacities around the Center. In turn, The Carter Center provides a substantive learning experience that serves as a basis for

interns to explore their career options and to develop professional skills.

The 2010–2011 class of interns numbered 115 students and recent graduates from 20 countries who spoke 26 languages.

Since its founding, The Carter Center has had 2,478 interns total.

Carter Center intern Aliya Naim (right) observes polling in Liberia.



International Task Force for Disease Eradication

Notable scientists and organizations come together in this Carter Center task force to evaluate the potential for eradicating or controlling infectious diseases. The task force met from 1989 to 1992, and then it was reconvened in 2001 with support from the Bill and Melinda Gates Foundation. It monitors progress in disease eradication, reviews the status of selected diseases, and recommends opportunities for eradication or better control of diseases such as Guinea worm disease, river blindness, lymphatic filariasis, schistosomiasis, malaria, and measles.

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