

Center for AI and Digital Policy

CONTENTS

Report Generated on: 09/13/2024	Executive Summary	2
	Programs & Results	4
	Financial Review	8
	Operations & Leadership	10
	APPENDIX	
	Key Documents	18
	Charity Check Report	19

Center for AI and Digital Policy

- aka CENTER FOR AI AND DIGITAL POLICY
- Washington, DC
- caidp.org
- 2024156788



View GuideStar Profile



Platinum Transparency 2024

MISSION

"The Center for AI and Digital Policy aims to promote a better society, more fair, more just — a world where technology promotes broad social inclusion based on fundamental rights, democratic institutions, and the rule of law."

EIN

86-3350258

RULING YEAR

2021

IRS SUBSECTION

501(c)(3) Public Charity

FOUNDING YEAR

2020

MAIN ADDRESS

1100 13th St. NW Suite 800 Washington, DC 20005

AFFILIATION TYPE

Independent Organization

CONTACT

Marc Rotenberg, Executive Director (202) 415-6788 marc@caidp.org

PRESIDENT, POLICY

DIRECTOR

Merve Hickok

EXECUTIVE DIRECTOR

Marc Rotenberg

BOARD CHAIR

Marc Rotenberg

SUBJECT AREA

Science

NTEE Code

Research Institutes and/or Public Policy Analysis (U05)

PROGRAMS

1. Al and Democratic Values Index

Population(s) served:

2. Al Policy Clinics

Population(s) served:

3. Public Voice

Population(s) served:

4. Conferences and Conversations

Population(s) served:

COMPLIANCE

- ✓ IRS Pub 78 Verified as of September 2024
- ✓ IRS BMF 509(a) (1) as of September 09, 2024
- X A-133 Audit Required/Performed?
- ✓ Conflict of Interest Policy
- ✓ Written whistleblower policy

Section 509(a)(1) organization as referred to in Section 170(b)(1)(A)(vi)

TRANSPARENCY MEASURES

- ✓ Board Practices Reported?
- ✓ Diversity Data Reported?
- 10 Number of Independent Board Members

Programs & Results

PROGRAMS

GUIDESTAR PRO REPORT

Source: Self-Reported by Organization, September 2024

Al and Democratic Values Index

Population(s) Served: n/a

The Center for AI and Digital Policy publishes annually "AI and Democratic Values Index." a comprehensive review of AI policies and practices in 80 countries. The extensive report provides a comparative and longitudinal assessment, and the basis to rate and rank countries, to recognize countries that are making progress, and to call attention to countries that are falling behind. The release of the 2023 edition featured remarks from leading academic experts and NGO representatives. More than 900 people in 80 countries have contributed to the CAIDP report. CAIDP has also established a global network of academic experts and human rights advocates that seeks to uphold and sustain democratic values in the realm of AI policies

Al Policy Clinics

Population(s) Served: n/a

The Center has launched a comprehensive certification program for AI Policy. The clinics are intensive, interdisciplinary, courses, established to teach future leaders in the AI policy field skills in policy analysis, research, evaluation, team management, and policy formation. Topics covered include AI History, AI Issues and Institutions, AI Regulation and Policy Frameworks, and Research Methods. Receipt of the CAIDP AI Policy Certification requires completion of a detailed multi-part test. Candidates are also required to sign a Statement of Professional Ethics for AI Policy. As of June 2024, CAIDP had graduated over 950 students from 102 countries across the AI Policy Clinics. The CAIDP AI Policy Clinics also provide opportunities for participants to

meet with leading AI policymakers, such as Dr Alondra Nelson (2024 graduation speaker) former deputy director of the OSTP, and Gabriela Ramos, our 2022 graduation speaker, who led the effort on the UNESCO Recommendation on AI Ethics.

Public Voice

Population(s) Served: n/a

Many governments provide opportunities for the public to express their views on AI-related policies. CAIDP highlights these opportunities at caidp.org/public-voice/. The Center for AI and Digital Policy provides recommendations to national governments and international organizations in response to requests for comments and also in situations where the Center believes it is important to highlight important AI policy issues. The Center provided comments on AI policy to these national governments and international organizations:

The European Parliament, Council, and
Commission
Council of Europe, Committee on AI
The African Union
The G20/G7
The OECD
The Organization of American States
UNESCO
United Nations
Brazil, China, Columbia, France, India,
Singapore United Kingdom, United States

Conferences and Conversations

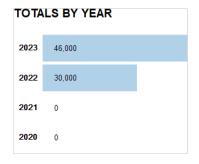
Population(s) Served: n/a

CAIDP hosts Conferences and Conversations with leading AI policy experts, and participates in AI policy events. Featured speakers at our conferences include Wonki Min (OECD / Korea), Gabriela Ramos (UNESCO), and Professor Stuart Russell. For CAIDP

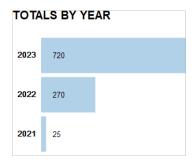
Conversations, we have hosted Shalini
Kantayya (the director of Coded Bias), John
Podesta (former White House advisor), Gregor
Strojin (the chair of the Council of Europe
Expert Group on AI), Professor Ben
Shneiderman (author, Human-Centered AI),
Anu Bradford (author, The Brussels Effect),
criminologist Rene Cummings, and others. We
have also participated in many international
policy conferences, including the Athens AI
Roundtable, the Africa Tech Festival,
Computers Privacy & Data Protection, the
European Law Institute, the Global AI Summit,
and the Global Privacy Assembly.

RESULTS

Number of list subscribers



Number of program graduates



Population(s) Served: No target populations selected

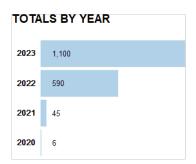
Related program: Al and Democratic Values Index Related program: Al Policy Clinics

Notes: We relaunched the CAIDP Update, a weekly newsletter, in 2022 to provide timely information about Al policy news to subscribers around the world

Population(s) Served: No target populations selected

Notes: We have received over 1,200 applications for the first semester of 2024.

Total number of organization members



Population(s) Served: No target populations selected

Related program: Al Policy Clinics

Notes: This is the total number of members in our Research Group, including students, graduates, instructors, teaching fellows, and academic advisors.

CHARTING IMPACT

GUIDESTAR PRO REPORT

What is the organization aiming to accomplish?

- Encourage AI policies aligned with democratic values through training, education, and advocacy. International organizations have already taken important steps for the governance of AI. We have supported these initiatives and we are now working for implementation of these important AI policy frameworks
- Support the implementation of new legal frameworks for AI, including the EU AI Act and the Council of Europe Convention on AI. We work closely with organizations that are seeking to ensure meaningful AI govenance
- Monitor implementation of the OECD AI Principles / G20 AI Guidelines and the UNESCO Recommendation on the Ethics of AI.
- Support adoption and implementation of the US Executive Order on AI and the OMB Guidance on AI, and enactment of bipartisan legislation to promote AI accountability
- Promote the Universal Guidelines for AI. The UGAI, a widely endorsed framework for the governance of AI, provides a useful framework for understanding and assessing AI policies and practices. We continue to promote the UGAI as a starting for emerging AI policy issues, such as generative AI.
- Establish a UN Special Rapporteur for AI and Human Rights. We believe that a UN Special Rapporteur could play a key role in safeguarding fundamental rights and respond effectively in this rapidly changing field.
- Train future leaders in AI policy. Critical to sustained impact in the field of AI policy will be the training of future leaders. Central to CAIDP's work is the development of AI policy leaders who share a commitment to democratic institutions, fundamental rights, and the rule of law.

What are the organization's key strategies for making this happen?

- Train future leaders in AI policy. Critical to sustained impact in the field of AI policy will be the training of future leaders. Central to CAIDP's work is the development of AI policy leaders who share a commitment to democratic institutions, fundamental rights, and the rule of law. (as above)
- Advise national governments and international organizations on AI policies and practices. We are actively engaged with the key organization establishing the global norms for the governance of AI, including the Council of Europe Committee on AI, the European Union, UNESCO, the OECD, the G2O, and the G7, the International Bar Association, and also the US Office of Science and Technology Policy.
- Publish commentaries on AI policy. We have published commentaries on AI policies in many publications including Communications of the ACM, Council on Foreign Relations, The Economist, The European Law Institute, The Hill, Issues in Science and Technology Policy, The Journal of AI Law and Regulation, The New York Times, Technomy, Tech Policy Press, Verfassungsblog, and others.
- Update and publish Artificial Intelligence and Democratic Values Index annually, a comparative study of AI policies and practices. The 2024 edition covered 80countries. The report is likely the most comprehensive AI policy report in the world 1,600+ pages, 6,000+ footnotes.
- Publish weekly the CAIDP Update. We are now publishing one of the most popular AI policy newsletters in the world. Our weekly CAIDP Update has more than 63,000 subscribers. We also have more than 57,000 followers on LinkedIn, as if September 2024.
- Support AI initiatives, projects, and campaigns that safeguard the rule of law, democratic institutions, and fundamental rights.

- Organize educational events with AI policy experts

What are the organization's capabilities for doing this?

In a little more than a year, we have built a global network of 1,400 AI policy experts and advocates in over 100 countries. We have a strong presence on LinkedIn (over 57,000 followers) and a substantial subscriber base (over 64,000).

AI policymakers look to us for advice and direction. We serve as expert advisors to the Council of Europe, the European Parliament, the OECD, and UNESCO. In March 2023, CAIDP President Merve Hickok testified before the US Congress on AI policy.

We have a talented, eager, dedicated network of volunteers, expert advisors, and staff members, prepared to carry forward the mission of the organization and take on new challenges.

Financials

FISCAL YEAR START: 01/01 FISCAL YEAR END: 12/31

Financial Trends Analysis

Business Model Indicators

Created in Partnership with



Profitability	2021	2022	2023
Unrestricted surplus (deficit) before depreciation	\$65,700	\$60,591	\$304,825
As a % of expenses	134.0%	30.6%	74.5%
Unrestricted surplus (deficit) after depreciation	\$65,700	\$60,591	\$304,825
As a % of expenses	134.0%	30.6%	74.5%
Revenue Composition			
Total revenue (unrestricted & restricted)	\$114,726	\$258,841	\$714,133
Total revenue, % change over prior year	0.0%	125.6%	175.9%
Program services revenue	0.0%	0.0%	0.0%
Membership dues	0.0%	0.0%	0.0%
Investment income	0.0%	0.0%	0.4%
Government grants	0.0%	0.0%	0.0%
All other grants and contributions	100.0%	100.0%	99.6%
Other revenue	0.0%	0.0%	0.0%
Expense Composition			
Total expenses before depreciation	\$49,026	\$198,250	\$409,308
Total expenses, % change over prior year	0.0%	304.4%	106.5%
Personnel	20.4%	34.4%	34.8%
Professional Fees	42.0%	29.9%	41.0%
Occupancy	0.0%	6.2%	4.6%
Interest	0.0%	0.0%	0.0%
Pass-Through	0.0%	0.0%	0.0%
All other expenses	37.6%	29.5%	19.6%

Financial Trends Analysis, continued

Moving Toward Full Cost Coverage

Full Cost Components (estimated)	2021	2022	2023
Total Expenses (after depreciation)	\$49,026	\$198,250	\$409,308
One Month of Savings	\$4,086	\$16,521	\$34,109
Debt Principal Repayment	\$0	\$0	\$0
Fixed Asset Additions	\$0	\$0	\$0
Total Full Costs (estimated)	\$53,112	\$214,771	\$443,417

Capital Structure Indicators

Liquidity			
Months of cash	1.5	6.9	12.7
Months of cash and investments	1.5	6.9	12.7
Months of estimated liquid unrestricted net assets	16.1	7.6	12.6
Balance Sheet Composition			
Cash	\$6,200	\$113,763	\$433,524
Investments	\$0	\$0	\$0
Receivables	\$69,500	\$15,250	\$0
Gross land, buildings, and equipment (LBE)	\$0	\$0	\$0
Accumulated depreciation (% of LBE)	0.0%	0.0%	0.0%
Liabilities (as % of assets)	13.2%	16.0%	1.8%
Unrestricted net assets	\$65,700	\$126,291	\$431,116
Temporarily restricted net assets	N/A	N/A	N/A
Permanently restricted net assets	N/A	N/A	N/A
Total restricted net assets	\$0	\$0	\$0
Total net assets	\$65,700	\$126,291	\$431,116

Key Data Checks

Material Data Errors	2021	2022	2023
	No	No	No

Note: This issue is relevant to a small number of organizations: The nonprofit subject(s) of this report may have affiliates. The Form 990 data may not include information about any or all potential affiliates. If an organization does have affiliates and these affiliates have substantial financial activity, the financial data in this report may not present a comprehensive picture of the nonprofit's financial condition. Please consult the 990s of any potentially related affiliates for additional information.

- ☑ <u>'Key Revenue & Expense Data from Form 990'</u>
- 'Key Balance Sheet Data from Form 990'

Operations

Source: Self-Reported by Organization, September 2024

PRESIDENT, POLICY DIRECTOR

Merve Hickok

☑ LinkedIn profile

GUIDESTAR PRO REPORT

Merve Hickok is the President of the Center for AI and Digital Policy, and the Founder of Alethicist.org. Her work intersects both AI ethics and AI policy and governance. She is focused on AI bias, social justice, DE&I, public benefit and participatory development and governance – as they translate into policies and practices. Merve is a Data Ethics Lecturer at University of Michigan, School of Information; Member of the Advisory Board of Turkish Policy Quarterly Journal; Member of the Founding Editorial Board at Springer Nature AI & Ethics journal; Advisor at The Civic Data Library of Context; Member at IEEE work groups on AI standard setting and Open Community for Ethics in Autonomous and Intelligent Systems (OCEANIS) alongside national institutions. She has been recognized by several organizations - most recently as one of the 100 Brilliant Women in AI Ethics™ 2021.

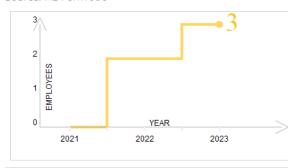
EXECUTIVE DIRECTOR

Marc Rotenberg

Marc Rotenberg is Executive Director and Founder of the Center for Al and Digital Policy. He is a leading expert in data protection, open government, and Al policy. He has served on many international advisory panels, including the OECD Al Group of Experts. Marc helped draft the Universal Guidelines for Al, a widely endorsed human rights framework for the regulation of Artificial Intelligence. Marc is the author of several textbooks including the 2020 Al Policy Sourcebook and Privacy and Society (West Academic 2016). He teaches privacy law and the GDPR at Georgetown Law. Marc has spoken frequently before the US Congress, the European Parliament, the OECD, UNESCO, judicial conferences, and international organizations. Marc has directed international comparative law studies on Privacy and Human Rights, Cryptography and Liberty, and Artificial Intelligence and Democratic Values. Marc is a graduate of Harvard College, Stanford Law School, and Georgetown Law.

NUMBER OF EMPLOYEES

Source: IRS Form 990



BOARD CHAIR

Marc Rotenberg

Term: 2024 - 2025

BOARD MEMBERS

Marc Rotenberg

Karine Caunes

European Law Journal

Pablo Molina

Georgetown University

Doaa Abu-Alyounes

UNESCO

Len Kennedy

Lorraine Kisselburgh

Purdue University

Larissa Zutter

London School of Economics

Ursula Pachl

BEUC

Ivan Fong

Medtronic

Maria Murphy

Maynooth University School of Law

Merve Hickok

OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

Name	Title	Compensation	Other	Related
Marc Rotenberg	EXECUTIVE DIRECTOR	\$55,000	\$0	\$0
Merve Hickok	PRESIDENT	\$52,500	\$0	\$0
Pablo Molina	TREASURER	\$0	\$0	\$0
Karine Caunes	SECRETARY	\$0	\$0	\$0
Doaa Abu-Alyounes	DIRECTOR	\$0	\$0	\$0
Len Kennedy	DIRECTOR	\$0	\$0	\$0
Lorraine Kisselburgh	CHAIR	\$0	\$0	\$0
Larissa Zutter	DIRECTOR	\$0	\$0	\$0
Cristos Velasco	DIRECTOR	\$0	\$0	\$0
Ivan Fong	DIRECTOR	\$0	\$0	\$0
Wonki Min	DIRECTOR	\$0	\$0	\$0
Ursula Pachl	DIRECTOR	\$0	\$0	\$0

OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

Name	Title	Compensation	Other	Related
Marc Rotenberg	PRESIDENT	\$40,000	\$0	\$0
Merve Hickok	CHAIR	\$12,000	\$0	\$0
Karine Caunes	SECRETARY	\$12,000	\$0	\$0
Larissa Zutter	DIRECTOR	\$7,500	\$0	\$0
Lorraine Kisselburgh	DIRECTOR	\$6,000	\$0	\$0
Pablo Molina	TREASURER	\$0	\$0	\$0
Doaa Abu-Alyounes	DIRECTOR	\$0	\$0	\$0
Len Kennedy	DIRECTOR	\$0	\$0	\$0
Cristos Velasco	DIRECTOR	\$0	\$0	\$0

OFFICERS, DIRECTORS, TRUSTEES, AND KEY EMPLOYEES

Name	Title	Compensation	Other	Related
Marc Rotenberg	PRESIDENT	\$10,000	\$0	\$0
Merve Hickok	CHAIR	\$10,000	\$0	\$0
Karine Caunes	SECRETARY	\$10,000	\$0	\$0
Pablo Molind	TREASURER	\$0	\$0	\$0
Doaa Abu-Alyounes	DIRECTOR	\$0	\$0	\$0
Len Kennedy	DIRECTOR	\$0	\$0	\$0
Lorraine Kisselburgh	DIRECTOR	\$0	\$0	\$0
Larissa Zutter	DIRECTOR	\$0	\$0	\$0
Cristo Velasco	DIRECTOR	\$0	\$0	\$0

Paid Preparers

FISCAL YEAR 2023

Firm Name	Firm EIN	Firm Address	Firm Phone
YOUNT HYDE & BARBOUR PC	541149263	702 KING FARM BOULEVARD SUITE 610, ROCKVILLE MD 20850	3019173040

Paid Preparers

FISCAL YEAR 2022

Firm Name	Firm EIN	Firm Address	Firm Phone
YOUNT HYDE & BARBOUR PC	541149263	702 KING FARM BOULEVARD SUITE 610, ROCKVILLE MD 20850	3019173040

Paid Preparers

Firm Name	Firm EIN	Firm Address	Firm Phone
GLASS JACOBSON PA	521035214	800 KING FARM BOULEVARD SUITE 500, ROCKVILLE MD 20850	3019173040

BOARD LEADERSHIP PRACTICES

GuideStar worked with BoardSource, the national leader in nonprofit board leadership and governance, to create this section, which enables organizations and donors to transparently share information about essential board leadership practices.

Yes	
Yes	
Yes	
Yes	
	Yes

No

Has the board conducted a formal, written self-assessment of its performance within

the past three years?

Organizational Demographics

Who works and leads organizations that serve our diverse communities? This organization has voluntarily shared information to answer this important question and to support sector-wide learning. GuideStar partnered on this section with CHANGE Philanthropy and Equity in the Center.

Leadership

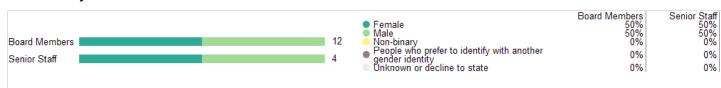
The organization's leader identifies as:

No data

Race & Ethnicity

No data

Gender Identity



Transgender Identity

Sexual Orientation

No data

Disability

No data.

We do not display staff or senior staff disability information for organizations with fewer than 15 staff.

GUIDESTAR PRO REPORT

September 13, 2024 www.guidestar.org Center for Al and Digital Policy

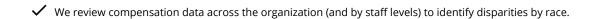
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Description: The properties of the pr

Equity Strategies Last Updated: 08/30/2022

Candid partnered with Equity in the Center - a project that works to shift mindsets, practices, and systems within the social sector to increase racial equity - to create this section. <u>Learn More</u>

Data

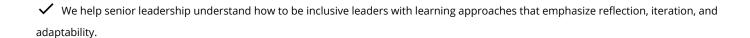


✓ We have long-term strategic plans and measurable goals for creating a culture such that one's race identity has no influence on how they fare within the organization.

Policies and processes

✓	We have a promotion process that anticipates and mitigates implicit and explicit biases about people of color serving in leadership
pos	itions.

/	We seek individuals from va	arious race hackgrounds f	or hoard and executive	director/CEO position	ns within our organization
~	We seek illulyluudis Il Olli va	ilibus lace backgi bullus i	ui buai'u aliu executive	: ullector/cro positio	HS WILHIII OUI OLEAHIZALIOH.



Appendix

Key Documents					
IRS Forms 990	[2] 2023 990				
	Z <u>2022 990</u>				
	Z <u>2021 990</u>				
IRS Forms 990T	Not Available				
Audited Financial Statements	2023 Audited Financial Statement				
	2022 Audited Financial Statement				
	② 2021 Audited Financial Statement				
Key Organization Documents	[2] Form 1023/1024				
	☑ 2022 Annual Report				
	☑ 2021 Annual Report				
	☑ 'Key Revenue & Expense Data from Form 990'				
	[2] 'Key Balance Sheet Data from Form 990'				

GuideStar Charity Check

Center for AI and Digital Policy

Aka CENTER FOR AI AND DIGITAL POLICY

1100 13th St. Nw Suite 800 Washington, DC 20005

- ✓ Foundation Status Code: PC*
- ✓ Public charity described in section 509(a)(1) or (2)

IRS Publication 78 Details

IRS Pub 78 verified

Organization name Location Most recent IRS Publication 78

Caidp Washington, DC September 2024

EIN Deductibility status description Verified with most recent Internal Revenue Bulletin

86-3350258 A public charity (50% deductibility limitation). September 09, 2024

IRS Business Master File Details

IRS BMF 509(a)(1)

Organization name Most recent IRS BMF Reason for Non-Private Foundation Status

CAIDP September 09 2024 Section 509(a)(1) organization as referred to in Section

170(b)(1)(A)(vi)

EIN IRS subsection Ruling date
86-3350258 This organization is a 501(c)(3) Public Charity 12/2021

Foundation Code Foundation Code Description

15 Organization which receives a substantial part of its support from a governmental unit or the general public

This organization was not included in the Office of Foreign Assets Control Specially Designated Nationals (SDN) list.

On September 8, 2011, the IRS issued regulations which eliminated the advance ruling process for a section 501(c)(3) organization. Learn more

* The Foundation Status Code is the code that foundations are required to provide for each grantee annually on part XV of Form 990PF. Note that this code cannot be derived in some cases (e.g., supporting organizations for which 'type' can't be determined).

<u>IRS Revenue Procedure 2011-33</u> allows grantors to rely on third-party resources, such as GuideStar Charity Check, to obtain required Business Master File (BMF) data concerning a potential grantee's public charity classification under section 509 (a) (1), (2) or (3).

GuideStar Charity Check Data Sources

- -GuideStar acquires all IRS data directly from the Internal Revenue Service.
- -<u>IRS Publication 78 (Cumulative List of Organizations)</u> lists organizations that have been recognized by the Internal Revenue Service as eligible to receive tax-deductible contributions.
- -The <u>IRS Internal Revenue Bulletin</u> (IRB) lists changes in charitable status since the last Publication 78 release. Between the release of IRS Publication 78 and the subsequent IRS Internal Revenue Bulletin, the IRB date will reflect the most recent release date of IRS Publication 78.
- -The <u>IRS Business Master File</u> lists approximately 1.7 million nonprofits registered with the IRS as tax-exempt organizations.
- -The I<u>RS Automatic Revocation of Exemption List</u> contains organizations that have had their federal tax-exempt status automatically revoked for failing to file an annual return or notice with the IRS for three consecutive years.
- -The Foundation Status Code is a value derived by mapping the codes found on the <u>990PF filing instructions</u> to the corresponding codes in the IRS BMF. Note that not all codes are able to be mapped due to insufficient data.
- -The Office of Foreign Assets Control (OFAC) <u>Specially Designated Nationals (SDN) list</u> organizations that are owned or controlled by targeted individuals, groups, and entitlies, such as terrorists or narcotics traffickers. Their assets are blocked and U.S. persons are generally prohibited from dealing with them.