

### CAIDP Update 4.02

September 26, 2022

#### AI POLICY NEWS

# The Committee on Artificial Intelligence (CAI) of the Council of Europe Holds 2nd Plenary Meeting

<u>During the meeting</u>, the Committee examines <u>the first draft convention</u> on artificial intelligence, human rights, democracy, and the rule of law. The focus is on developing common principles that ensure the continued application and respect of human rights, democracy, and the rule of law where AI systems assist or replace human decision-making.

CAIDP, Council of Europe Al Treaty

CAIDP Statement to CAHAI on Legal Standards for AI (Nov. 23, 2021)

#### New Zealand Government Releases <u>Digital Strategy</u>

New Zealand has released a digital <u>strategy</u> to harness the potential of the digital economy. Trust, inclusion, and growth form the core of the strategy. Government agencies are committed to using algorithms in a fair, ethical, and transparent manner. As stated in the strategy, "Trust is important when collecting data, analysing it with artificial intelligence (AI) and other algorithms, and using it to make decisions."

#### AEPD and EDPS Clarify Common Misunderstandings Regarding Machine Learning

<u>In a joint paper</u>, the Spanish Data Protection Authority (AEPD) and the European Data Protection Supervisor (EDPS) clarified common misconceptions regarding machine learning systems, while emphasizing the importance of data protection principles.

## The Federal Council Approves the <u>Negotiation mandate</u> of the Swiss Delegation to the Council of Europe Committee on Artificial Intelligence

It is the Swiss delegation's objective to promote international rules based on existing law, but the new legal framework should regulate the negative effects of artificial intelligence rather than the technology itself. According to the mandate, it is crucial to promote innovation while protecting human rights, including the right to privacy.

The Federal Council derived its mandate for the Swiss delegation from the Federal Department of Foreign Affairs' <u>Artificial Intelligence and International Rules report</u> as well as from the <u>digital foreign policy strategy 2021-2024</u>.

# UNESCO launches 2022 State of the Education Report for India: Artificial Intelligence in Education

In the report, ten action-oriented recommendations are presented, with the hope that they will catalyze India's transformation through technological education and advanced technology-driven solutions. The recommendations include establishing a rapid regulatory framework for Artificial Intelligence in Education as well as correcting algorithmic biases and discrimination.

#### **CNIL Publishes Resources on AI Challenges**

CNIL, the French National Commission on Informatics and Liberty, publishes a <u>collection of resources</u> to highlight privacy and data protection challenges associated with AI and help professionals comply with the law. Particularly, it aims to build trust among European citizens by developing a solid regulatory framework based on human rights and fundamental values. The work is intended to be extended in the future, and professionals are encouraged to contribute.

#### ITI Publishes Global Policy Principles for Enabling Transparency of Al Systems

The Information Technology Industry Council (ITI) has published new Policy Principles for Enabling Transparency of AI Systems. ITI's principles emphasize the need for transparency in developing reliable AI systems to avoid unintended consequences. In its recommendations, ITI encourages policymakers to include provisions in legislation empowering users to understand decisions made by AI systems that could negatively affect their fundamental rights and allow them to review and/or challenge such decisions.

#### UNESCO Hosts Southern Africa Forum on Artificial Intelligence

The Government of Namibia and UNESCO organized the Southern Africa sub-regional Forum on AI. UNESCO's Operational Strategy for Priority Africa (2022-2029) includes a flagship programme on "Harnessing new and emerging technologies for sustainable development in Africa", including through the implementation of the recommendation on the ethics of AI.

#### CAIDP ACTIONS

### Merve Hickok addresses the COE Committee on Artificial Intelligence

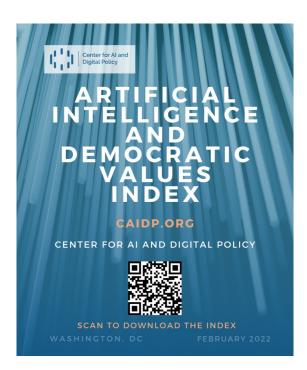
CAIDP Research Director Merve Hickok spoke at the COE Committee on Artificial Intelligence's 2nd Plenary Meeting about democratic values, the rule of law, and fundamental rights. CAIDP has recommended that the draft Convention on



Al highlight the fundamental rights principles contained in the document.

#### AI AND DEMOCRATIC VALUES INDEX 2021

CAIDP's <u>Artificial Intelligence and</u> <u>Democratic Values Index</u> evaluates national AI policies and practices.





Country Focus: China

China has emerged as one of the first AI superpowers and has an ambitious plan of leading the world in AI by 2030. In addition to the G20 AI Principles, China has endorsed important principles on AI and ethics. However, China's use of its AI against ethnic minorities and protesters in Hong Kong, as well as a means to score citizens for their alliance with the state (a practice recently banned by the UNESCO Recommendation on AI Ethics), is the source of widespread fear and skepticism. There is also a concern about the development of lethal autonomous weapons. As China is now rapidly deploying AI systems, there is an urgent need to assess China's actual practices against global standards for human-centric AI.

#### Analyses From Members of the CAIDP Global Academic Network

Immigration and Privacy in the Law of the European Union

"In this book, Niovi Vavoula examines the privacy challenges raised by the establishment, operation and reconguration of EU-wide information systems that store personal data, including biometrics, of different categories of third-country nationals that may be used for various immigration related and law enforcement purposes. The monograph analyses both the currently operational databases – Schengen Information System (SIS), Visa Information System (VIS) and Eurodac – and forthcoming systems – Entry/Exit System (EES), European Travel Information and

Authorisation Systems (ETIAS) and European Criminal Record Information System for ThirdCountry Nationals (ECRIS-TCN) – as well as their future interoperability."

#### **CAIDP NEWS**

### Virginia Dignum Joins CAIDP's Global Academic Network

<u>Virginia Dignum</u> is a professor in social and ethical AI, and Wallenberg chair on Responsible Artificial Intelligence. Scientific Director of WASP-HS (Humanities and Society).



Her research focuses on the complex interconnections and interdependencies between people, organizations and technology. My work ranges from the engineering of practical applications and simulations to the development of formal theories that integrate agency and organization and includes a strong methodological design component.

#### AI POLICY CLINICS

The Center has launched a comprehensive <u>certification</u> program for Al Policy. The certification program is an outgrowth of the work of the Research Group and includes requirements for research, writing, and policy analysis. Research Group members who fulfill the requirements will be awarded with the Al Policy Certificate.



CAIDP offers <u>several AI policy clinics</u>. The clinics are intensive, interdisciplinary, semester-long courses, established to teach future leaders in the AI policy field skills in policy analysis, research, evaluation, team management, and policy formation. Topics covered include AI History, AI Issues and Institutions, AI Regulation and Policy Frameworks, and Research Methods.

GAIDP Policy Clinic remains free, empowering, and inclusive with a growing number of researchers worldwide. In our Fall 2022 cohort, we have more than 200 participants from 60 countries with extraordinary backgrounds in academics, policy, ethics, law, administration, business, and governance.

#### AI POLICY EVENTS

- Rethinking Consumer Protection in the Digital Age, <u>European Consumer</u> <u>Association (BEUC)</u> September 27, 2022
- CAIDP Conversation with EEOC Commissioner Keith Sonderling, September

29, 2022

- <u>CAIDP Conversation</u> with Josh Chin and Liza Lin, authors of Surveillance State: Inside China's Quest to Launch a New Era of Social Control, October 13, 2022
- Al Policy Summit, ETH Zurich, October 10-14, 2022
- Global Privacy Assembly, Istanbul, Turkey, October 23-25, 2022
- CAIDP Conversation with Renée Cummings, November 10, 2022
- <u>CAIDP Conversation</u> with Professor Anu Bradford, author of the Brussels Effect, December 8, 2022

## FEATURED: BEUC Conference: To Empower, not to Weaken: Rethinking Consumer Protection in the Digital World, September 27, 2022

The world is changing rapidly. Commercial surveillance, automated decision making, black box algorithms, as well as personalised persuasion and targeted offers are now omnipresent. Consumers are put in a position of 'digital asymmetry' – a state of power imbalance which requires a fundamental rethinking of existing legal protections and the very notions of fairness in the digitalised world. CAIDP President Marc Rotenberg will discuss current efforts to establish new legal norms for AI to safeguard consumers.



### FEATURED: CAIDP Conversation with EEOC Commissioner Keith Sonderling, September 29, 2022

The use of AI and algorithmic tools in employment decisions, such as recruitment, termination, and promotion, is a topic gathering more attention in policy, regulatory and professional circles globally.

<u>Join</u> EEOC Commissioner <u>Keith Sonderling</u> and CAIDP Research Director <u>Merve Hickok</u> for this informative CAIDP Conversation, discussing AI policy, governance, and principles in employment decisions.



### **CAIDP Conversations**

AI, Employment, and Civil Rights

Join us on September 29th at 10 am EDT

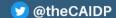


Speaker: Keith Sonderling, Commissioner, EEOC (Equal Employment Opportunity Commission)

Registration: CAIDP.org/events



Moderator: Merve Hickok, Chair and Research Director, CAIDP



#### SUPPORT CAIDP

CAIDP aims to promote a better society, more fair, more just—a world where technology promotes broad social inclusion based on fundamental rights, democratic institutions, and the rule of law. Your contribution will help us pursue this mission.



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