

1 We sincerely thank all the reviewers for their thorough feedback, and detailed comments. We will incorporate all  
2 feedback related to presentation (typos, stating benefits of variations, ending abruptly, etc.) in the draft. We'd like to  
3 start by addressing the feedback of reviewer 2:

- 4 • *If a selected participant fails to provide gradient update:* For clarity of the framework, we have not modelled  
5 various kinds of 'drop-outs' that could take place in such a system, e.g., dropping out after a random check-in,  
6 disconnecting after receiving the model from the server, etc. Our framework is designed such that the privacy  
7 guarantees will not degrade due to such drop-outs. One way to envision this is a time-based system, where  
8 the server stops waiting for some client after a predetermined amount of time, and proceeds with applying an  
9 all-zero model update with noise. Thus, the utility of the system will depend on such factors, but not privacy.
- 10 • *Assumptions of a trusted server and privileged communications:* The primary focus of our work is to en-  
11 sure a model published to the world doesn't regurgitate private data, for e.g., a language model accurately  
12 completing the sentence "John Doe's credit card number is ...". Requiring less trust from the server is also  
13 an interesting problem for a distributed setup, and addressing this may be best accomplished by orthogonal  
14 techniques like Secure Multi-Party Computation. Moreover, in our framework each model update sent from  
15 a client device does obtain a local differential privacy (LDP) guarantee, which is what gets amplified for a  
16 central DP guarantee. The amplified guarantees do assume both the stated assumptions, however we would  
17 like to state that these assumptions hold for all existing amplification guarantees for a general distributed  
18 learning setting (namely, privacy amplification by sampling and shuffling).
- 19 • *No analysis of convergence; why optimal ... unlikely to work in NN training?:* We do state that the utility  
20 guarantees of our main protocol are *optimal for convex ERM*s, and we also provide a bound on the number of  
21 "dummy" updates in general. The method of DP-SGD is commonly used in practice for training deep NNs  
22 (without any formal utility guarantees), and our technique is modeled on that. Moreover, for non-convex  
23 settings, very little is known in general about optimality/convergence in the DP literature.
- 24 • *Threat by malicious clients could be higher due to local randomness:* The assumption of no malicious clients  
25 in our setup is required only by the "thrifty" updates version of the algorithm (Section 4.1), and the privacy  
26 guarantees degrade smoothly with the proportion of malicious users (similar to the analyses of privacy ampli-  
27 fication via shuffling). For the other two versions of our algorithm (the main version in Section 3.1, and the  
28 sliding window version in Section 4.2), the amplified privacy of a client device is crucially dependent on that  
29 client and the server following the protocol, and thus, it won't degrade by the actions of malicious clients. In  
30 other words, the central DP guarantee provided is for all clients that follow the protocol along with the server.
- 31 • *Other variations could be compared with the approach in Section 3.1:* Since the submission deadline, we  
32 have worked out a comparison of the utility of the algorithm in Section 4.1 to the main algorithm in Section  
33 3.1, in that for convex non-smooth losses and  $m \ll n$ , the main algorithm provides a better utility whereas  
34 the utility bounds are incomparable for smooth losses.

35 Addressing the feedback of reviewers 1 and 2 regarding empirical evaluation of random check-ins: There are various  
36 design choices to be made for modeling real-world device availability (e.g., diurnal variations, overlap in availabil-  
37 ity for small/large/global populations, device capabilities across the population, etc.) to enable running appropriate  
38 simulations, and thus we leave it for future work.

39 Addressing the feedback of reviewer 3:

- 40 • *Practicality of local u.a.r. slot selection, and availability for largely overlapping fixed-size windows:* The de-  
41 sign choices for our framework are motivated by real-world applications, such as a client device can actually  
42 locally determine and participate in a u.a.r. slot in its own availability window (since it is available to partici-  
43 pate during each instant of its availability window). Similarly, federated learning involving a population of a  
44 country, for instance, can be expected to have largely overlapping windows due to diurnal variations in client  
45 device availability. A sliding-window type behavior can be expected for learning from a global population  
46 (due to shifting time-zones for various sub-populations).
- 47 • *Slot  $R_j$  known to the algorithm?:* The amplified DP guarantees are central DP guarantees, which assume a  
48 trusted server, and thus  $R_j$  is known to the algorithm (though it cannot be released publicly for the amplifica-  
49 tion, similar to 'secrecy of the sample' in amplification due to sampling, or the random ordering being secret  
50 in amplification due to shuffling).
- 51 • *Difficulty for non-overlapping slots:* The privacy guarantees of our protocols do not depend on any over-  
52 lapping structure of the slots, but the utility of a protocol will have a dependence. Thus, we analyze some  
53 variants that were motivated by practical applications, such as limited overlap of clients that is motivated  
54 by diurnal variations in training on local/national populations, and sliding window availability additionally  
55 motivated by shifting time-zones for training on a global population.